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List of ACS Staff with Substantial Policy Discretion - 2010

John B. Mattingly
Commissioner

Julie A. Friesen
Deputy Commissioner

Janet D. Subrizi
Assistant Commissioner

Office of Personnel Services
150 William Street
16th Floor
New York, New York 10038

212-341-2501 tel
212-341-2574 fax

1. John Mattingly, Commissioner
2. Joseph Cardieri, Deputy Commissioner / General Counsel
3. Jan Flory, Deputy Commissioner
4. Belinda Conway, Executive Deputy Commissioner
5. Susan Morley, Special Advisor for Special Investigations
6. Sharman Stein, Director of Communications
7. Melanie Hartzog, Deputy Commissioner
8. Susan Nuccio, Deputy Commissioner
9. Elizabeth Roberts, Deputy Commissioner
10. Lorraine Stephens, Deputy Commissioner
11. Gilbert Taylor, Deputy Commissioner
12. Valerie Russo, Deputy Commissioner
13. Julie Friesen, Deputy Commissioner
14. Nancy Martin, Associate Commissioner
15. Dawn Saffayeh, Assistant Commissioner
16. Maria Benejan, Associate Commissioner
17. Peggy Ellis, Associate Commissioner
18. Angel Mendoza, MD., Assistant Commissioner
19. Pearl Jack-Mack, Assistant Commissioner
20. Iris Kaplan, Assistant Commissioner
21. Nancy Thomson, Associate Commissioner
22. Amy Baker, Chief of Staff
23. Susan Fojas, Associate Commissioner
24. Ervine Kimerling, Executive Director
25. Diane Connolly, Assistant Commissioner
26. Martin Baron, Deputy General Counsel
27. Beth Leatherman, Assistant Commissioner
28. Marie Philippeaux, Associate Commissioner
29. Richard Beck, Assistant Commissioner
30. Jose Mercado, Assistant Commissioner
31. Andrew Martin, Executive Director
32. Sara Vecchiotti, Assistant Commissioner
33. Charles Hollander, Deputy General Counsel
34. Ronald Kuch, Executive Director (Finance)
35. Curtis A. Smith, Executive Director (Finance)

Brandon Stradford,
Director, Employment Services / COIB Liaison
February 24, 2010

Office of the Actuary

MEMORANDUM

TO: Mark Davies
Executive Director
NYC Conflicts Of Interest Board

FROM: Robert C. North, Jr.

SUBJ: Staff Having Substantial Policy
Discretion

DATE: May 12, 2010

Pursuant to Chapter 68, §2604(b)(12) and §2604(b)(15) of the New York City Charter, the following is the list of employees in the Office of the Actuary who are charged with substantial policy discretion in determining important agency matters:

Susan M. Flaschenberg	Director of Administration
John R. Gibney, Jr.	Assistant Deputy Chief Actuary
Robert C. North, Jr.	Chief Actuary
Sam Rumley	Assistant Deputy Chief Actuary

These employees have been notified in writing of the restrictions on political activity, as specified in the New York City Charter.

cc: S.M. Flaschenberg
ADM/sf:my documents/Financial Disclosure-Ethics:2011M



The City of New York
BUSINESS INTEGRITY COMMISSION
100 Church Street · 20th Floor
New York · New York 10007
Tel. (212) 676-6219 · Fax (212) 676-6204

Michael J. Mansfield
Commissioner/Chair

February 2, 2010

Mark Davies
Executive Director
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

Dear Mr. Davies:

Please find enclosed a list of the Business Integrity Commission employees who have substantial policy making discretion. The Commission has provided each of these employees with a copy of the "Notice to New York City Public Servants Having Substantial Policy Discretion."

Michael Mansfield	Chair
Hope Kennedy	Chief of Staff
Ronald Cohen	Deputy Commissioner for Investigations
Russell Bixler	Assistant Commissioner for Intelligence
Steve Verrelli	Chief Investigator
Eric Dorsch	General Counsel
Ilene Chen	Deputy Commissioner for Operations
John Curry	Assistant Commissioner for Legal Affairs
Hector Serrano	Assistant Commissioner for Licensing

Let me know if you need any further information.

Sincerely,

Eric Dorsch
General Counsel

Bronx Borough President's Office
Persons with Substantial Policy Discretion

As of February 28, 2010

<u>Name</u>	<u>Title</u>
Aurelia Greene	Deputy Borough President
Paul Del Duca	Chief of Staff
Al Rodriguez	Counsel
John Desio	Communications Director

Conflicts Board Policymakers: Bronx District Attorney's Office

Robert T. Johnson, District Attorney

Executive Staff:

(Legal):

Odalys Alonso, Chief Assistant District Attorney

Anthony Girese, Counsel to the District Attorney

Anthony Schepis, Exec. Assistant District Attorney

Robert Dreher, Exec. Assistant District Attorney

Maria Rivero, Administrative Assistant District Attorney

(Support):

Lisa Payne Wansley, Administrative Chief

Chris Standora, Chief Financial Officer

Office of the Brooklyn Borough President

February 1, 2010

Wayne G. Hawley
Deputy Executive Director and General Counsel
New York City Conflicts of Interest Board
2 Lafayette Street, Room 1010
New York, New York 10007

RE: Public servants charged with substantial policy discretion

Dear Mr. Hawley:

As per your request, listed below are employees of the Office of the Brooklyn Borough President who have substantial policy discretion:

Marty Markowitz	Borough President
Yvonne J. Graham	Deputy Borough President
Carlo A. Scissura	Chief of Staff
Jason A. Otaño	Counsel to Borough President
Melody Ruiz	Director of Administration
Richard Bearak	Director of Planning and Development
Laura Sinagra	Director of Communications
Carolyn Greer	Director of Public Events/Special Projects

Please feel free to contact me at (718) 802-3862 should you require additional information.
Thank you for your attention to this matter.

Sincerely,

Jason A. Otaño
Counsel to the Brooklyn Borough President

cc: Marty Markowitz
Yvonne J. Graham
Carlo A. Scissura
Melody Ruiz
Laura Sinagra
Richard Bearak
Carolyn Greer

**2010
Conflicts of Interest Board
List of Those with Substantial Policy Discretion
at the Brooklyn District Attorney's Office**

- Charles J. Hynes - District Attorney**
- Amy Feinstein - Chief Assistant District Attorney**
- Anne Swern - First Assistant District Attorney**
- Lance Ogiste - Counsel to the District Attorney**
- Mary Hughes - Confidential Assistant District Attorney**
- Michael Vecchione - Chief of the Rackets Division**
- Adam Zion - Chief of the Information Technology Bureau**
- Michael Poretsky - Chief of Fiscal**

Board of Standards and Appeals Annual List of Public Servants
with Substantial Policy Discretion 2010

The Board of Standards and Appeals is a body of five Commissioners charged with interpreting New York City's Zoning Resolution. The following employees are deemed to have major responsibilities and exercise independent judgment in connection with determining important agency matters:

- Meenakshi Srinivasan, Chair/Commissioner
- Christopher Collins, Vice-Chair/Commissioner
- Dara Ottley-Brown, Commissioner
- Susan Hinkson, Commissioner
- Eileen Montanez, Commissioner
- Jeffrey Mulligan, Executive Director
- Amy Rebecca Kelly, General Counsel

If you need further information, please contact the Board's Executive Director Jeff Mulligan at (212) 788-8805.



CIVILIAN COMPLAINT REVIEW BOARD
40 RECTOR STREET, 2ND FLOOR
NEW YORK, NEW YORK 10006 ♦ TELEPHONE (212) 442-8833
www.nyc.gov/ccrb

MICHAEL R. BLOOMBERG
MAYOR

JOAN M. THOMPSON
EXECUTIVE DIRECTOR

To: Conflicts of Interest Board

From: Joan M. Thompson, Executive Director
Civilian Complaint Review Board

Date: April 7, 2010

RE: SUBSTANTIAL POLICY DISCRETION

As requested, the following is a list of board members and employees who have substantial policy discretion.

Board Member/Chair: Ernest F. Hart, Esq.

Board Members:

William F. Kuntz, II, Esq.

Mary E. Mulligan, Esq.

Jules A. Martin, Esq.

Bishop Mitchell G. Taylor

Daniel D. Chu, Esq.

David G. Liston, Esq.

Michael McCann, Esq.

Tosano Simonetti

Youngik Yoon, Esq.

James Donlan, Esq.

Dr. Mohammad Khalid

Executive Director: Joan M. Thompson

First Deputy Executive Director - Meera Joshi (formerly Cattafesta)

Deputy Executive Director for Administration: Brian Connell

If you have any questions, please call me at 212 442-8731.



**New York City
Campaign Finance Board**

40 Rector Street, New York, NY 10006
tel. 212.306.7100
fax 212.306.7143
www.nycfb.info

Joseph P. Parkes, S. J.
Chairman

Art Chang
Richard J. Davis
Katheryn C. Patterson
Mark S. Piazza
Members

Amy M. Loprest
Executive Director

Shauna Tarshis Denkensohn
Deputy Executive Director

Sue Ellen Dodell
General Counsel

MEMORANDUM

To: Mark Davies
Executive Director, Conflicts of Interest Board

From: Amy M. Loprest *LZ*
Executive Director

Date: February 1, 2010

Subject: Agency Obligations under Substantial Policy Discretion Rule of the Conflicts of Interest Board

As defined by Conflicts of Interest Board Rule 1-02, the following public servants exercise substantial policy discretion in performing their work at the Campaign Finance Board:

NAME	TITLE
Joseph P. Parkes, S.J.	Chair
Art Chang	Board Member
Richard J. Davis	Board Member
Katheryn C. Patterson	Board Member
Mark S. Piazza	Board Member
Amy M. Loprest	Executive Director
Shauna Tarshis Denkensohn	Deputy Executive Director
Sue Ellen Dodell	General Counsel

Department of City Planning - 030

COIB Substantial Policy Discretion List

LAST NAME	FIRST NAME
BARTH	RICHARD
BATTAGLIA	ANGELA
BESSER	RAYANN
BURDEN	AMANDA
CANTOR	IRWIN
CERULLO III	ALFRED
CHEN	BETTY
CHEN	EDITH HSU
DEL TORO	MARIA
DINERSTEIN	BARRY
DOBRUSKIN	ROBERT
EADDY	RICHARD
GARCIA-DURAN	LEONARD
GROSSMAN	CAROLYN
HARRIS	JACQUELYN
KAPUR	PURNIMA
KARNOVSKY	DAVID
KELLY	ANNE
KNUCKLES	KENNETH
KOBER	ERIC
LEVENTHAL	NATHAN
LEVIN	ANNA
LOPEZ	RUDY
LUBIN	JULIE
MACKINTOSH	ELIZABETH
MCRAE	SHIRLEY
MERANI	JAMES
OWENS	BRIAN
PHILLIPS	KAREN
PRICE, III	GLEN
RAYNOFF	RACHAELE
SALVO	JOSEPH
SAMOL	CAROL
SCHMIDT JR	JACOB
SHILLINGFORD	KENNETH
SLATKIN	HOWARD
VOYAGES	SOTIREOS
WARGO	THOMAS
WOODS	WILBUR
YOUNG	JOHN

NYC Civil Service Commission Policymaker List - 2010

- 1) Simon P. Gourdine, Chairman
- 2) Nancy G. Chaffetz, Vice Chairman
- 3) Rudy Washington, Commissioner
- 4) Jose Maldonado, Commissioner
- 5) Matthew W. Daus, Commissioner
- 6) Norma I. Lopez, Director and General Counsel

Office of the City Clerk

BY EMAIL

March 3, 2010

City of New York
Conflicts of Interest Board
2 Lafayette Street
Suite 1010
New York, NY 10007

Re: Public servants charged with substantial policy discretion

Dear Sir/Madam:

Listed below are the public servants charged with substantial policy discretion in the Office of the City Clerk:

- (a) Michael McSweeney;
- (b) Emmanuel Michalos;
- (c) Patrick L. Synmoie;
- (d) Jose Gonzalez; and
- (e) Acela Velazquez.

Please direct any additional questions to me at 212-669-2610.

Sincerely,

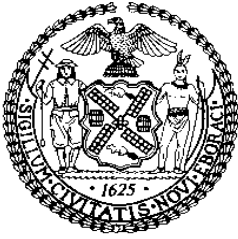
Patrick L. Synmoie
Counsel to the City Clerk

**CITY OF NEW YORK
CONFLICTS OF INTEREST BOARD**

2010 List of Public Servants with Substantial Policy Discretion

Steven B. Rosenfeld, Chair
Monica Blum, Board Member
Angela Mariana Freyre, Board Member
Andrew Irving, Board Member
Burton Lehman, Board Member

Mark Davies, Executive Director



THE CITY OF NEW YORK
OFFICE OF THE COMPTROLLER
1 CENTRE STREET ROOM 710
NEW YORK, N.Y. 10007-2341

AMEDEO D'ANGELO
ASSISTANT COMPTROLLER
BUREAU OF ADMINISTRATION

TELEPHONE: (212) 669-2223
FAX NUMBER: (212) 669-7092
WWW.COMPTROLLER.NYC.GOV

John C. Liu
COMPTROLLER

EMAIL: ADANGEL@COMPTROLLER.NYC.GOV

To: Ricardo Morales
Deputy Comptroller/General Counsel

From: Amedeo D'Angelo

Date: March 17, 2010

Subject: **2010-Employees with Substantial Policy Discretion**

The following Office of the Comptroller employees have been identified as having substantial policy discretion as defined by Conflicts of Interest Board Rule § 1-02:

Michael Aaronson	Bureau Chief, Law & Adjustment
Adaku Aharanwa	Deputy Director, Planning
Sonia Alsol	Division Chief, Fiscal Services, Accountancy
Paul Bader	Executive Director, Law & Adjustment
Michael Bott	Assistant Comptroller/Chief Information Officer
Frank Braconi	Chief Economist, Fiscal & Budget Studies
Valerie Budzik	First Deputy General Counsel, General Counsel's Office
Elizabeth Caldas	Director, Private Markets, Asset Management
Edward Carey, Jr.	Director, Management Audits
Claudia Castro	Deputy Director, Contract Administration
John Choe	Director of Policy & Research
Barbara Cohen	Administrative Claims Examiner, Law & Adjustment
Amedeo D'Angelo	Assistant Comptroller, Administration
Robert DeLaurentis	Assistant Director, Financial Analysis
Anthony Dell'Olio	Agency Chief Contracting Officer, General Counsel's Office
Evelyn Dresler	Director of Contracts, General Counsel's Office
Alfred Dunklin, Jr.	Director of Private Markets, Asset Management
Eric Eve	First Deputy Comptroller
Jeffrey Elmer	Assistant Comptroller, Labor Law

Substantial Policy Discretion List -2010
Page 2

Simcha Felder	Deputy Comptroller, Accountancy & Budget
Allen Fitzer	Deputy General Counsel, General Counsel's Office
Regina Fleszer	Director, Debt Management, Public Finance
Catherina Francis-Gray	Division Chief, Vouchering, Administration
Dorian Fulvio	Assistant Chief Information Officer, Information Systems
Martin Gantz	Senior Investment Officer, Asset Management
John Goddard	Director, Contract Administration
John Graham	Director, Settlements & Adjudication, Law & Adjustment
Booker T. Greene	Procurement Analyst, Administration
Dennis Hochbaum	Director of Quality Assurance, Audit
Aaron Hoffnung	Executive Director, Accountancy & Budget
Faige Hornung	Director, Financial Audits
Thomas Huang	EEO Director
David Jeter	Assistant Comptroller, Asset Management
Lois Johnson	Division Chief, Contract Registration, Contract Administration
Tina Himi Kim	Deputy Comptroller for Audits
Carol Kostik	Deputy Comptroller, Public Finance
Sharon Lee	Press Secretary
William Lipski	Chief Engineer, Bureau of Engineering
Bernard Londin	Administrative Assistant to the Comptroller, Law & Adjustment
Tina Lubin	Bureau Chief, Financial Analysis
Stephen Malusa	Division Chief, Contract Engineering, Contract Administration
Carmen Martinez	Director, Community Action Center
Kathleen Martino	Director, Economically Targeted Investments
Thaddeus McTigue	Executive Director, Pensions
Martin Moran, Jr.	Bureau Chief, Labor Law
Ricardo Morales	Deputy Comptroller for Legal Affairs/ General Counsel,
Yvonne Nelson	Executive Director, Real Estate & Economically Targeted Investments, Asset Management
John O'Callaghan	Deputy Chief Information Officer, Information Systems
Nancy Ozeas	Director, Intergovernmental Affairs
Robert Palmer	Director, Legal Affairs, Labor Law
Bernarda Ramirez	Procurement Manager/ Deputy ACCO, Administration
Regina Robinson	Director of Facilities & Support, Administration
Mei-Hua Ru	Director of Planning, Executive Office
Galliano Salvatore	Division Chief, Real Property
Michael Skrak	Division Chief, Contractor & Procurement Review

Substantial Policy Discretion List -2010
Page 3

Lawrence M. Schloss	Deputy Comptroller/Chief Investment Officer, Asset Management
Michael Spitzer	Assistant Comptroller, Accountancy
Michael Stern	Director, Debt Management, Public Finance
Kenneth Sylvester	Assistant Comptroller, Pension Policy
Eng-Kai Tan	Bureau Chief, Fiscal & Budget Studies
Maria Tavares	Deputy Bureau Chief, Accountancy
Jacqueline Thompson	Division Chief, Accounting Compliance, Accountancy
Patrick Toner	Bureau Chief/Chief Accountant, Accountancy
Charles Trusch	Deputy Bureau Chief, Engineering
Geneith Turnbull	Deputy Comptroller/Contracts & Procurement, Contract Admin.
Marcia Van Wagner	Assistant Comptroller, Fiscal & Budget Studies
Alan van Capelle	Deputy Comptroller, External Affairs
Eric Wollman	Deputy Director of Contracts, General Counsel's Office

Thank you.

Cc: Eric Eve

Memo

To: Wayne G. Hawley, Deputy Executive Director and General Counsel
From: Marla Tepper, Deputy Commissioner for Legal Affairs / General Counsel
Cc: Dorlene Cotton, Director of Human Resources
Manny Menjivar, Assistant Director of Human Resources/
COIB Coordinator
Date: March 24, 2010
Re: 2010 List of Public Servants charged with Substantial Policy Discretion

<u>NAME</u>	<u>TITLE</u>
1) Jonathan Mintz	Commissioner
2) Alba Pico	First Deputy Commissioner
3) Frances Freedman	Deputy Commissioner for External Affairs
4) Marla Tepper	Deputy Commissioner for Legal Affairs / General Counsel
5) George Pape	Assistant Commissioner for Finance, Management, Policy Analysis / Chief of Staff
6) Cathie Mahon	Deputy Commissioner for Financial Empowerment
7) Sanford Cohen	Deputy General Counsel
8) Katherine Sarlin	Assistant Commissioner for Communications
9) Mitchell Kent	Senior Policy Advisor
10) Christopher Montgomery	Chief Info. Officer / A/C for Technology Systems Integration
11) Nancy Schindler	Director of Adjudication



THE COUNCIL
OF
THE CITY OF NEW YORK
OFFICE OF THE GENERAL COUNSEL
250 Broadway, 15th Floor
New York, N.Y. 10007

March 17, 2010

Memorandum

To: Wayne Hawley, Deputy Director & General Counsel
New York City Conflicts of Interest Board

From: Nadir Joshua, Ethics & Employment Counsel
New York City Council

Re: New York City Council Employees with Substantial Policy Discretion

Below are the employees designated by the New York City Council as having substantial policy discretion.

Gail Benjamin
Danielle DeCerbo
Nadine Felton
Elizabeth Fine
Raymond Majewski
Ramon Martinez
Steven Matteo
Jamie McShane
Charles Meara
Philip Monaco
Margaret Nelson
Robert Newman
Preston Niblack

DCAS (868) Employees with Substantial Policy Discretion COIB - CY 2009

NAME	DIVISION	TITLE
ALBANO, DANIEL	OATH	ECB BOARD AGENCY MEMBER (NYPD)
BADILLO, RICHARD	DFMO	ASSISTANT COMMISSIONER, DFMO
BALSAM, HELAINE	OATH	LEGAL DIRECTOR ECB
BARTH, STUART	DEM	DIRECTOR OF PROCUREMENT & BUDGET
BAZEL, JULIAN	OATH	ECB BOARD AGENCY MEMBER (FDNY)
BEDDOE, SUZANNE	OATH	EXECUTIVE DIRECTOR ECB
BIBLA, PHILIP	DAS	DIRECTOR, DISCIPLINARY PROCEEDINGS
BLOCK, LEIGH	DMSS	ASSISTANT COMMISSIONER
BLUM, JENNIFER	EXEC	DIRECTOR, SPECIAL PROJECTS
BOYER, SHAMEKA	DAS	DIRECTOR, ADMINISTRATION
BROSEN, DONALD	DFMO	DEPUTY COMMISSIONER, DFMO
BRUNET, ANDREW	DCPS	ASSISTANT COMMISSIONER, DCPS
CAMPBELL, CORINNE	OGC	ACCO/ASSISTANT COMMISSIONER
CARVER, ROBERT	OATH	ECB BOARD CIVILIAN MEMBER
CASTELLANETA, JOHN	DAS	DEPUTY COMMISSIONER, DAS
CAVALLO, ERNEST	OATH	ECB BOARD CIVILIAN MEMBER
CHANG, JENNIFER	DMSS	PURCHASE DIRECTOR, DMSS
COHEN, SUSAN	DEM	EXECUTIVE DIRECTOR, OEC
COLLINS, CHRISTOPHER	BSA	VICE-CHAIR/COMMISSIONER
CROTHERS, DIANE	DCEEEO	DEPUTY COMMISSIONER, CEEEO
DALY, MARK	EXEC	DIRECTOR OF COMMUNICATIONS
DIMITRI, DAVID	DFMC	ASSISTANT DIRECTOR
DIPAOLA, MARIA	DCPS	ASSOCIATE COMMISSIONER, CSA
EVANGELISTA, JOHN	OATH	SENIOR DIRECTOR OF ADMINISTRATION AND PLANNING
FIERSTEIN, LORI	DRES	DEPUTY COMMISSIONER, DRES
FINEGAN, KEVIN	DCPS	DEPUTY DIRECTOR, SPECIAL PROGRAMS
FONG, RANDAL	DRES	FIRST ASSISTANT COMMISSIONER, DRES
FORTE, MICHAEL	DCPS	ASSISTANT COMMISSIONER, PERSONNEL DEVELOPMENT
FRIEDMAN, RICHARD	DRES	ASSISTANT COMMISSIONER, FINANCIAL SERVICES
GARAUFIS, MICHAEL	DRES	SR. PORTFOLIO MANAGER
GENDELMAN, BARRY	DRES	ASSISTANT COMMISSIONER, PROP MGMT & LEASING
GEOGHEGAN, WILLIAM	OATH	DIRECTOR OF PLANNING & FISCAL SERVICES
GOLDMAN, SHELLEY	DRES	DEPUTY ASSISTANT COMMISSIONER, DRES
GOLDSTEIN, ERIC	OATH	ECB BOARD CIVILIAN MEMBER (Resigned position in late 2008)
GREEN, CAROL	DMSS	ASSISTANT COMMISSIONER
HEBERT, AMY	DAS	DIRECTOR, TRAINING & PROFESSIONAL DEVELOPMENT
HEIN, JAMES	DCPS	DEPUTY COMMISSIONER, DCPS
HERSZDORFER, PAUL	EXEC	DIRECTOR, TRANSPORTATION SERVICES
HICKS, ERIC	DAS	DEP DIR, DISCIPLINARY PROCEEDINGS
HINKSON, SUSAN	BSA	COMMISSIONER
HIRST, MARTHA K.	EXEC	COMMISSIONER
HOROWITZ, BELLE	OATH	ECB BOARD CIVILIAN MEMBER
HYTON, RENALDO	OATH	ECB BOARD AGENCY MEMBER (DOB)
IMPOLLONIA, PAT, A	DEM	DIRECTOR OF ENERGY CONSERVATION PLANNING AND PROGRAMS
KAYE, LAURIE	OGC	DEPUTY GENERAL COUNSEL, LEGAL SERVICES
KERNER, SUSAN	DFMO	DIRECTOR, CITYSTORE
LIVINGSTON, KAREN	OATH	DIRECTOR OF HUMAN RESOURCES
KIM, LANA	DFMC	DIRECTOR OF STRATEGIC PLANNING & SPECIAL PROJECTS

DCAS (868) Employees with Substantial Policy Discretion COIB - CY 2009

NAME	DIVISION	TITLE
KLIMOWICZ, WILLIAM	DCPS	ASSISTANT COMMISSIONER, EXAMS
KONDRAT, JEFFREY	DRES	ASSISTANT COMMISSIONER, ACQUISITIONS & CONSTRUCTION SVCS
KOTTER, NINA	DRES	SENIOR PORTFOLIO MANAGER
KRUZMAN, TAYO	OATH	ECB BOARD AGENCY MEMBER (FDNY)
LALLY, EMILY	OATH	ECB BOARD CIVILIAN MEMBER
LEDESMA-GARRIDO, RAMONA	DMSS	EXECUTIVE, DMSS
LEES, ILENE	OGC	GENERAL COUNSEL
LEIBOWITZ, KENNETH	OGC	RECORDS ACCESS OFFICER
LIGUORI, MADELYN	OATH	ECB BOARD AGENCY MEMBER (DSNY)
LUKE, JAMES	OATH	ECB BOARD AGENCY MEMBER (DOHMH)
MARON, ARIELLA	DEM	DEPUTY COMMISSIONER
MARTIN, IVAN	OATH	INFRASTRUCTURE DIRECTOR ECB
MARTIN, NORMA	EXEC	EEO OFFICER
MARTINEZ, JORGE	OATH	ECB BOARD AGENCY MEMBER (DOHMH)
MASON, SHAUN	OATH	TRIBUNAL AFFAIRS DIRECTOR ECB
MCFAUL, CHARLES	OATH	DEPUTY CHIEF ADMINISTRATIVE LAW JUDGE
MEGNA, JEANETTE	DMSS	DEPUTY ASSISTANT COMMISSIONER
MONTANEZ, EILEEN	BSA	COMMISSIONER
MORAN, MICHAEL	OATH	DEPUTY EXECUTIVE DIRECTOR ECB
MORTMAN, STEVEN	OGC	DEPUTY GENERAL COUNSEL, LEGAL SERVICES
MULLIGAN, JEFF	BSA	EXECUTIVE DIRECTOR
NAKANISHI, LISA	DCEEEO	EXECUTIVE DIRECTOR
NEISSER, JULIET	OATH	LEGAL DIRECTOR ECB
OTTLEY-BROWN, DARA	BSA	COMMISSIONER
PALUSZEK, MITCHELL	OGC	DEPUTY GENERAL COUNSEL, LEGAL SERVICES
PECUNIES, RUSSELL	OATH	ECB BOARD AGENCY MEMBER (DEP)
PHILIP, GEORGE	OATH	ECB BOARD AGENCY MEMBER (DOHMH)
PITTS, RONALD	EXEC	ASSISTANT COMMISSIONER, OMIS
PRESTON, MARTIN	EXEC	ASSISTANT COMMISSIONER, SPECIAL EVENTS
PRYCE, SYLVIA	OGC	DIRECTOR, COSH
PYMENTO, GLENN	DRES	DIRECTOR, ENGINEERING SERVICES
RICCELLI, ROBERT	DMSS	EXECUTIVE DIRECTOR, DMSS
ROSI, BARBARA	OATH	ECB BOARD AGENCY MEMBER (DSNY)
ROSS, VIRGINIA	DMSS	DEPUTY COMMISSIONER, DMSS
RUSSO, STEVEN	OATH	ECB BOARD CIVILIAN MEMBER
SEIBERT, LANCE	DFMC	ASSISTANT COMMISSIONER, DFMC
SICILIANO, MICHAEL	DAS	ASSISTANT COMMISSIONER, DAS
SRINIVASAN, MEENAKSHI	BSA	CHAIR/COMMISSIONER
STIX, MARGARET	BSA	GENERAL COUNSEL
TELFORD, ANSON	DMSS	PURCHASE DIRECTOR, DMSS
TEPPER, MARLA	OATH	ECB BOARD AGENCY MEMBER (DCA)
THOM, RICHARD	DFMO	DEPUTY ASSISTANT COMMISSIONER, DFMO
TOBIN, ROBERT	DFMO	DIRECTOR, CAPITAL BUDGET
VELEZ, ROBERTO	OATH	CHIEF ADMINISTRATIVE LAW JUDGE
WAGNER, JOSEPH	DFMC	DEPUTY COMMISSIONER, DFMC
WEIR, STEVE	DMSS	DIRECTOR, AUTO CONTRACT SERVICES
ZIEHA, ANN	DRES	DIRECTOR, ARCHITECTURAL SERVICES



DAVID J. BURNEY, AIA
Commissioner

Memo

TO: Mark Davies
Executive Director, Conflicts of Interest Board

FROM: David J. Burney, AIA
Commissioner

DATE: March 10, 2010

SUBJECT: List of Employees with Substantial Policy Discretion at the Department of Design and Construction

NAME		TITLE
Barrio	Ana	Chief of Staff
Bonné	Jeff	Deputy Commissioner /Administration
Burney	David	Commissioner
Canabal	Raul	Assistant Commissioner, Information Technology Services
Canu	Mark	Associate Commissioner/Technical Support
VACANT		Assistant Commissioner, Budget and Finance/Administration
Clough	Rebecca	Assistant Commissioner, Courts/Corrections/ Health/Structures
D'Arpino	Frank	Associate Commissioner, Client Programs/Structures
Devgan	Vinod	Assistant Commissioner, Police & Fire/ Structures
DiAgostino	Carol	Agency Chief Contracting Officer
Doran	John	Assistant Commissioner, Technical Services/Structures
Foley	Thomas	Assistant Commissioner, Construction Management 3/Infrastructure
Granger	Donald	Assistant Commissioner, Construction Management 2/Infrastructure
Harrison	Dalela	Agency Equal Employment Opportunity Officer
Holley	Lorraine	Deputy ACCO, Competitive Sealed Bid Contracts
Igweatu	Chris	Engineering Audit Officer
Litera	Lisa	Deputy General Counsel
MacFarlane	Eric	Deputy Commissioner/Infrastructure
Mallick	Ali	Deputy Assistant Commissioner, Design 2/ Infrastructure
Matti	Robert	Director, Internal Audit
McClain	Dennis	Assistant Commissioner, Policy Analysis
Monahan	Matthew	Assistant Commissioner, Public Information/ Intergovernmental Affairs/Executive
Ng	Yun Poy	Associate Commissioner, Design/ Infrastructure



Nnabugwu	Veronica	Deputy ACCO, Professional Contracts
Pasagiannis	Eftihia	Disciplinary Advocate/Executive
Pestka	Bogdan	Assistant Commissioner, Cultural Institutions & Transportation/ Structures
Rebarber	Sergiu	Deputy Assistant Commissioner, Design 1/ Infrastructure
Resnick	David	Deputy Commissioner/Structures
Rodriguez	Ramon	Deputy ACCO, Contract Administration
Saini	Gurdip	Assistant Commissioner, Design 1/ Infrastructure
Savarese	John	Deputy Assistant Commissioner/Technical Support
Silveira	Sergio	Assistant Commissioner, Libraries & Human Services/Structures
Stroughter	Janice	Assistant Commissioner, Human Resources/Administration
Varoli	David	General Counsel
Venugopalan	Narayana	Assistant Commissioner / Program Administration
Voisich	Edward	Assistant Commissioner, Operations/Administration
Wynne	Thomas	Assistant Commissioner, Construction Management 1/ Infrastructure
Woolley	Margaret	Assistant Commissioner, Architecture & Engineering /Structures
Zargarelahi	Mohsen	Assistant Commissioner, Design 2/Infrastructure
Zetterlund	Richard	Associate Commissioner, Construction/ Infrastructure

EM/H:\List of Employees Policy Discretion 10.doc

cc: J. Bonné
J. Stroughter

DEP – Substantial Policy Discretion List - 2010

Caswell F. Holloway
Commissioner, DEP

Michael Gilsenan
Deputy Commissioner for Environmental Compliance (Acting)

Steven Lawitts
Chief Financial Officer

Vacant
Assistant Commissioner for Human Resources Management

Aristides Georgelis
Engineering Audit Officer

Carol Fenves
Agency Chief Contracting Officer

Lynn Cole
Chief of Staff

Kathryn Garcia
Deputy Commissioner, Operations

Michael Gilsenan
Asst. Commissioner for Environmental Compliance

Vincent Sapienza
Deputy Commissioner, Wastewater Treatment

Robin Levine
General Counsel

Lindsey Ganson
Treasurer, NYC Water Board (Acting)

James J. Roberts
Deputy Commissioner for Water & Sewer Operations

Mark Lanaghan
Asst. Commissioner for Intergovernmental Relations

Matthew Mahoney
Associate Commissioner for Intergovernmental Affairs

DEP- Substantial Policy Discretion List - 2010

(Continued)

Constance Fitzgerald
Director of Communications & Marketing

Kevin McBride
Deputy Commissioner, Police and Security

Carter Strickland
Deputy Commissioner, Sustainability

Sergej Mahnovski
Senior Advisor to the Commissioner

Angela Licata
Deputy Commissioner for Environmental Planning

Esther Siskind
Assistant Commissioner for Environmental Planning

James Mueller
Deputy Commissioner for Engineering Design & Construction

Gerould McCoy
Assistant Commissioner, Office of Environmental Health & Safety

Cecil McMaster
Assistant Commissioner for Information Technology

Michael Saucier
Press Secretary

Zoe Ann Campbell
Deputy Commissioner for Human Resources & Administration

Paul Rush
Deputy Commissioner, Water Supply

David Warne
Assistant Commissioner, Water Supply

Mark Ritze
Assistant Commissioner for Management Analysis, Planning & Auditing

Joseph F. Singleton, Jr.
Deputy Commissioner for Customer Service

DEP – Substantial Policy Discretion List - 2010

(Continued)

Joseph Murin
Assistant Commissioner for Budget

Peter Fusco
Chief of DEP Police

Michael Borsykowsky
Assistant Commissioner, Engineering Management

Kathryn Mallon
Assistant Commissioner, In-House Design & Support

Water Board:

Donald A. Cappocia
Member of the Water Board

Amaziah Howell
Member of the Water Board

Marcia Bystryn
Member of the Water Board

Mehul J. Patel
Member of the Water Board

Benjamin A. Tisdell
Member of the Water Board

Alan Moss
Member of the Water Board

*Revised:
March 8, 2010*

NYC DEPARTMENT FOR THE AGING (DFTA)		
EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION -- FEBRUARY 2010		
NAME	POSITION	CIVIL SERVICE TITLE
Lilliam Barrios-Paoli	Commissioner	Commissioner (M-VII)
Sally Renfro	First Deputy Commissioner	Deputy Commissioner (M-VI)
Maureen Murphy	General Counsel	Counsel (M-IV)
Caryn Resnick	Deputy Commissioner, External Affairs	Administrative Director of Social Services (M-IV)
Angeles Pai	Deputy Commissioner, Planning & Fiscal Operations	Administrative Staff Analyst (M-V)
Gabriel Oberfied	Chief of Staff	Administrative Staff Analyst (M-II)
Michael Bosnick	Assistant Commissioner Bureau of Planning & Fiscal Operations	Administrative Staff Analyst (M-V)
Betty Lee	Agency Chief Contracting Officer	Agency Chief Contracting Officer (M-III)
Marah Rhoades	Assistant Commissioner for Senior Centers	Administrative Program Officer (M-IV)
Digna Sanchez	Assistant Commissioner Equal Employment Opportunity	Administrative Staff Analyst (M-IV)
Karen Shaffer	Assistant Commissioner for Long Term Care & Active Aging	Administrative Staff Analyst (M-IV)
Linda Whitaker	Assistant Commissioner for Emergency Preparedness	Administrative Program Officer (M-IV)
Joy Wang	Assistant Commissioner, Planning & Fiscal Operation	Administrative Staff Analyst (M-IV)

Name	Title	Division
Abad, Ronald	Assistant Commissioner	Family Services
Barro, Alexandre	Admin. Director of SS	Family Services
Bass, Stephen	Assistant Commissioner	Fiscal Operations
Bazerjian, Linda	Director of Communication	Executive
Branch, Tony	Assistant Commissioner	Administration
Bynoe-Kasden, Valerie	Deputy Commissioner	Administration
Chew, Rebecca	Executive Agency Counsel	Legal Services
Correa, Evelyn	Assistant Commissioner	Family Services
David, Carol	Assistant Commissioner	Family Services
Distefano, William	Admin. Staff Analyst	Adult Services
Freeman, Sarah	Associate Commissioner	Adult Services
Gagliardi, Michael	Deputy Commissioner	Security
Gramann, Barbara	Computer Systems Manager	Office of Information Technology
Hall, Mary	Admin. Director of SS	Adult Services
Hamilton, Todd	Assistant Commissioner	Facility Maintenance & Development
Harper, Deborah	Admin. Director of SS	Family Services
Heller, Elizabeth	Deputy Commissioner	Family Services
Hess, Robert	Commissioner	Executive
Hillman, Trinity	Assistant Commissioner	Adult Services
Howard-Cooper, Ellen	Deputy Commissioner	Prevention & Aftercare
James, Douglas	Executive Agency Counsel	Legal Services
Lockhart, Frances	Assistant Commissioner	Adult Services
Lynch, Eileen	Admin. Director of SS	Policy & Planning
Marder, Dova	Agency Medical Director	Adult Services
Martinez, Patricia	Admin. Staff Analyst	Executive
Minelli, Danielle	Admin. Staff Analyst	Adult Services

Mitchell, Yvette	Computer Systems Manager	Office of Information Technology
Moten, Julia	Associate Commissioner	Family Services
Nashak, George	Deputy Commissioner	Adult Services
Neal, Mark	Agency Attorney	Executive
Ovesey, Michelle	General Counsel	Legal Services
Panazzolo, Glenn	Assistant Commissioner	Security
Pock, Stephen	Deputy Commissioner	Fiscal Operations
Rudin, Joann	Assistant Commissioner	Adult Services
Russo, Jim	Assistant Commissioner	Facility Maintenance & Development
Schulman, Suellen	Assistant Commissioner	Fiscal Operations
Skallerup, Robert	Deputy Commissioner	Facility Maintenance & Development
Taurisano, Michael	Assistant Commissioner	Administration
Urquhart, Lula	Assistant Commissioner	Fiscal Operations
Vanacore, Thomas	Assistant Commissioner	Facility Maintenance & Development
Villari, Erin	Admin. Staff Analyst	Fiscal Operations
Weissman, Joanna	Admin. Director of SS	Policy & Planning
Williams, Denita	Director of Personnel	Administration
Winter, Fran	First Deputy Commissioner	Executive
Wu, Han-Wen	Director of Labor Relations	Administration
Zikmund, Alyson	Admin. Director of SS	Adult Services
Zima, Kenneth	Chief Information Officer	Policy & Planning
Zuiderveen, Sara	Assistant Commissioner	Prevention & Aftercare

Department of Juvenile Justice

Proposed 2010 Staff with Substantial Policy Discretion

Migdalia Aldea	Executive Director, Crossroads Juvenile Center
Nina Aledort	Assistant Commissioner, Program Services
Lorraine Anderson	Director, Office of Policy and Procedure
Kevin Baker	Executive Director, Transportation/Court Services
Sarah Bass	Director, Health and Mental Health Services
Marva Chevalier	Executive Director, NSD/SEEDS
Herman Dawson	General Counsel & Deputy Commissioner for Legal Affairs
Alison Ferrara	Director, Occupational Safety and Health
Christopher Fisher	Assistant Commissioner, Strategic Planning
Elsa Forbes	Director, Disciplinary Affairs
Norman Fullwood	Director, Records and Retention [Outstanding 2009 Filer]
Sonia Galarza	Director, Program Services
Sandra Gordon	Assistant Commissioner, Workforce Development
Sarah Graizbord	Director, Strategic Planning
Nicole Halsey	Director, Intergovernmental Affairs
Tonia Haynes	EEO Officer
Melvin Hyatte	Chief Information Officer
Miles Jackson	Director, Case Management Services
Jacqueline James	Deputy Commissioner, Administration and Policy
Michael Joseph	Deputy Agency Chief Contracting Officer
Donna Locke	Executive Director, Bridges Juvenile Center
Peteena Long	Director, General Services [2009 Appeal Denied / Filed]
Suzette Mapp	Director, Human Resources
Eduardo Marcial	Executive Director, Horizon Juvenile Center
Beverly McInnis	Director, Labor Relations [2009 Appeal Denied / Pending Filing Due 3/1/10]
Torry Moorer	Director, Training
Josette Nelson-Dabo	Assistant Commissioner, Operations and Detention
Judith Pincus	First Deputy Commissioner
Stephanie Prussack	Assistant Commissioner, NSD/SEEDS
William Soto	Director, Movement Control and Communications Unit
Russell Steinberg	Deputy General Counsel
Scott Trent	Assistant Commissioner, Communications and Public Affairs
Margaret Tullai	Agency Chief Contracting Officer
Eric Ugalde	Financial Management and Planning Officer
Fridrey Uwoghiren	Director, Audits [2009 Appeal Denied / Filed]
[VACANT]	Assistant Commissioner, Administration

2009 Filers That Have Separated From the Agency / Changed Responsibility

Jerome Davis	Deputy Commissioner, Operations and Detention [Returned to DOC, 2/16/10]
Marilyn Farmer	Director, Discharge Planning [Reassigned to Director, 145 th NSD, 7/15/09]
Neil Hernandez	Commissioner [Resigned / Filed]
Sheila Scharfman	Senior Advisor to the Commissioner [Resigned / Outstanding Separation Filer]

Staff with Pending Appeals

Chuma Uwechia

Senior Contract Manager [Appeal Pending Since 2008]

DEPARTMENT OF BUILDINGS EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION

2009

LAST NAME	FIRST NAME	TITLE
ALACHA	MICHAEL	ASSISTANT COMMISSIONER, ENGINEERING AND EMERGENCY OPERATIONS
ALEXANDER	LANNY	EXECUTIVE DIRECTOR, NYC LOFT BOARD
AMER	FATMA	FIRST DEPUTY COMMISSIONER
ARNOLD	PHYLLIS	DEPUTY COMMISSIONER
BEST	JENNY	CHIEF OF STAFF
COLGATE	JAMES	ASSISTANT COMMISSIONER, TECHNICAL AFFAIRS
DEFOE	WERNER	DEPUTY BOROUGH COMMISSIONER
FARIELLO	THOMAS	BOROUGH COMMISSIONER
GALLAGHER	JOHN	DEPUTY BOROUGH COMMISSIONER
GLUCKMAN	IRA	BOROUGH COMMISSIONER
GORDON	KANDA	ASSOCIATE COMMISSIONER, BOROUGH OPERATIONS
KAMINER	MARSHAL	BOROUGH COMMISSIONER
KHEDEKAR	DILEEP	DEPUTY BOROUGH COMMISSIONER
KING FESTA	MARILYN	DEPUTY COMMISSIONER
KRAMER	STEPHEN	SENIOR COUNSEL
LEE	DEREK	BOROUGH COMMISSIONER
LEE	MAX	DEPUTY BOROUGH COMMISSIONER
LIMANDRI	ROBERT	COMMISSIONER
MACK-HENRY	DIANA	DEPUTY BOROUGH COMMISSIONER
MOSSAD	MAGDI	BOROUGH COMMISSIONER
PLUMEY	RAYMOND	DEPUTY BOROUGH COMMISSIONER
SANTULLI	CHRISTOPHER	ACTING ASSISTANT COMMISSIONER ENGINEERING AND SAFETY OPERATIONS
SEHGAL	MONA	GENERAL COUNSEL
SHAH	MANHER	EXECUTIVE ENGINEER
SZPYLKA	BASIL	DEPUTY BOROUGH COMMISSIONER
VILENCHIK	KAZIMIR	DEPUTY BOROUGH COMMISSIONER
WINTER	BRYAN	DEPUTY BOROUGH COMMISSIONER



NEW YORK CITY DEPARTMENT OF CORRECTION
Dora B. Schriro, Commissioner
Alan Vengersky, Assistant Commissioner
Personnel
2 Washington Street
New York, NY 10004
212 • 487 • 6594
Fax 212 • 487 • 6442

March 1, 2010

Mr. Mark Davies
Executive Director
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

Dear Mr. Davies:

Pursuant to your request, the following is a listing of employees with substantial policy discretion:

<u>Name</u>	<u>Position</u>
Dora B. Schriro	Commissioner
John J. Antonelli	Senior Deputy Commissioner - Management Services
Kathleen Coughlin	Deputy Commissioner, Discharge Planning and Programs
Lewis Finkelman	Deputy Commissioner Legal Matters/General Counsel
David Koosis	Deputy Commissioner, Information Technology/Chief Information Officer
Robert Maruca Jr.	Deputy Commissioner, Budget and Finance
Stephen Morello	Deputy Commissioner, Public Information/Community Affairs
Richard White	Deputy Commissioner, Investigations and Trials
Larry Davis	Chief of Department
Michael Hourihane	Deputy Chief of Department

Sincerely,

Alan Vengersky

AV:ra

C: John J. Antonelli, Senior Deputy Commissioner - Management Services
Lewis Finkelman, Deputy Commissioner – Legal Matters/General Counsel
File

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Department of Education - Substantial Policy-Making List March 2010

Last name	First Name	Title
Aiani	Lou	ISC Executive Director, Staten Island
Anagnostopoulos	Photeine	Chief Operating Officer
Anderson	Cami	District 79 Superintendent
Becker	Larry	Chief Executive, Human Resources
Behr	Timothy	Interim Acting Superintendent, District 8
Bell-Ellwanger	Jennifer	Senior Policy Advisor to the Chancellor
Best	Michael	General Counsel
Brodheim	Ted	Chief Information Officer
Brown	Bonnie	District 75 Superintendent
Cantor	David	Press Secretary
Chin	Judith	Chief Executive Officer, Integrated Curriculum and Instruction Learning Support Organization
Clark	Vincent	Chief Executive Officer, Integrated Service Centers
Composto	Philip	Community Superintendent, District 30
Conyers	Donald	Community Superintendent, District 23
Cortazzo	Luz	Community Superintendent, District 4
Costantino	Karina	Community Superintendent, District 20
Cumberbatch	Ainslie	Brooklyn High School Superintendent
DiMola	Isabel	Interim Acting Superintendent, District 21
Druck	Lillian	Community Superintendent, District 32
Ellner	Brian	Senior Counselor for Public and Community Affairs
Esposito	Dolores	Community Superintendent, District 9
Ferrara	Marianne	Community Superintendent, District 22
Gawkoski	Krista	Chief of Staff to the Chancellor
Gibson	Dorita	Senior Supervising Superintendent
Goldstein	Eric	Chief Executive, Office of School Support Services
Gorman	Elaine	Manhattan High School Superintendent
Grimm	Kathleen	Deputy Chancellor for Infrastructure and Planning
Guerrier	Martine	Chief Family Engagement Officer
Guzman	Mariano	ISC Executive Director, Brooklyn
Hederman	Judith	Executive Director, Division of Financial Operations
Hurdle-Taylor	Rhonda	Community Superintendent, District 17
Kay	Diane	Community Superintendent, District 25
Keaney	Maura	Executive Director, External Affairs

King	Sabrina	Chief Academic Officer, Division of Teaching and Learning
Klein	Joel	Chancellor
Konstan	Elayna	Chief Executive Officer, Office of School and Youth Development
Kurshan	Debra	Interim Acting Director of Portfolio Planning
LaBoy	Bonnie	Executive Director, Division of Teaching and Learning
Lloyd-Bey	Michelle	Community Superintendent, District 27
Machen	James	Community Superintendent, District 13
Madera	Martha	Community Superintendent, District 6
Menendez	Sonia	Community Superintendent, District 10
Murray	Lenon	Community Superintendent, District 29
Nadelstern	Eric	Chief Schools Officer
Olds	Susan	Executive Budget Director
Papaliberios	Elena	Bronx High School Superintendent
Pena	Francesca	Queens High School Superintendent
Penzell	Alexis	Manhattan High School Superintendent
Phillips	Daniella	Community Superintendent, District 1
Quail	James	Community Superintendent, District 14
Reed	Jeannette	Community Superintendent, District 28
Reeves	Gale	Community Superintendent, District 5
Rigney	Daria	Community Superintendent, District 2
Rodriguez	Laura	Chief Executive Officer Leadership Learning Support Organization
Rodriguez	Myrna	Community Superintendent, District 12
Ross	David	Executive Director, Contracting and Purchasing
Salavert	Roser	Community Superintendent, District 3
Santiago	Evelyn	Community Superintendent, District 16
Saunders	Anita	Community Superintendent, District 26
Schultz	Margaret	Community Superintendent, District 31
Sciabarra	Elizabeth	Chief Executive Officer, Office of Student Enrollment
Siegel	Marlene	ISC Executive Director, Bronx
Skop	Anita	Interim Acting Superintendent, District 15
Speiller	Lenny	Executive Director, Public Affairs
Suransky	Shael Polakow	Interim Acting Chief Accountability Officer
Taub	Madeline Chan	Interim Acting Superintendent, District 24

Taveras	Santiago	Interim Acting Deputy Chancellor, Teaching and Learning
Thomases	Joshua	Chief Academic Officer, Division of School Support Services
Torres	Yolanda	Community Superintendent, District 7
Unger	Doris	High School Superintendent
Waite	Linda	Staten Island High School Superintendent
Weinstein	Martin	Community Superintendent, District 19
White	Elizabeth A.	Community Superintendent, District 11
White	John	Interim Acting Deputy Chancellor, Strategy and Innovation
Wilkins	Beverly	Community Superintendent, District 18
Wilson	Robert	ISC Executive Director, Manhattan

Department of Education - Substantial Policy Making List -PEP Members

Last name	First Name	Title
Berry	Philip	Member, Panel for Education Policy
Bryant	Linda Lausell	Member, Panel for Education Policy
Chan	Joe	Member, Panel for Education Policy
Chang	David	Member, Panel for Education Policy
Correale	Joan	Member, Panel for Education Policy
Fedkowskyj	Dmytro	Member, Panel for Education Policy
Hernandez	Tino	Member, Panel for Education Policy
Morales	Tomas	Member, Panel for Education Policy
Okotieuro	Gbubemi	Member, Panel for Education Policy
Peng	Gitte	Member, Panel for Education Policy
Santos	Anna	Member, Panel for Education Policy
Sullivan	Patrick	Member, Panel for Education Policy
Whelan	Jim	Member, Panel for Education Policy

**THE DEPARTMENT OF HEALTH & MENTAL HYGIENE (H816)
EMPLOYEES HAVING SUBSTANTIAL POLICY DISCRETION
LIST FOR 2009/10**

<u>LAST NAME FIRST NAME</u>	<u>POSITION</u>	<u>DIVISION</u>
Beatrice, Sara, Ph.D	Assistant Commissioner	Disease Control
Bedell, Jane, MD	Assistant Commissioner	Health Promotion & Disease Prevention
Beiger, Elizabeth	Assistant Commissioner	Epidemiology
Boyd, Christopher	Assistant Commissioner	Environmental Health
Brown, Patricia	Assistant Commissioner	Health Care Access & Improvement
Butcher, Barbara	Chief of Staff	Office of Chief Medical Examiner
Burton, Olivette	Chair	General Counsel for Health & Mental Hygiene
Chang, Christina	Chief of Staff	Commissioner (Maternity Leave – returning 5/17/10)
Clark, Nancy L.	Assistant Commissioner	Environmental Health
Cohen, Louise	Deputy Commissioner	Health Care Access & Improvement
Cowley, Geoffrey	Associate Commissioner	Financial & Strategic Management
Cresciullo, Frank	Assistant Commissioner	Environmental Health
Delaney, Richard	Director	Finance & Planning - FSM
Durrah, James	Assistant Commissioner	Administrative Services
Edman, Robert	Assistant Commissioner	Environmental Health
English, Janice	Assistant Commissioner	Office of Chief Medical Examiner
Farfel, Mark	Director	Epidemiology

(H816)

LAST NAME
FIRST NAME

POSITION

DIVISION

Farley, Thomas MD, MPH	Commissioner	Commissioner
Gasner, Rose	Deputy General Counsel	Mental Hygiene
Goldberg, Allan	Assistant Commissioner	Environmental Health
Goodman, Andrew, MD, MPH	Deputy Commissioner	Health Promotion & Disease Prevention
Gordon, Suzette	Agency Counsel	Health Care Access & Improvement
Greene, Carolyn, MD	Assistant Commissioner	Epidemiology
Hirsch, Charles, MD	Chief Medical Examiner	Office of Chief Medical Examiner
Hayes, Roger	Acting Assistant Commissioner	Health Promotion & Disease Prevention
Kaplan, Deborah	Assistant Commissioner	Health Promotion & Disease Prevention
Kass, Daniel	Assistant Commissioner	Environmental Health
Kerker, Bonnie	Assistant Commissioner	Epidemiology
Kilanko, Isaac	Assistant Commissioner	Administrative Services
Layton, Marcelle, MD	Assistant Commissioner	Disease Control
Lehman, Daniel	Deputy Commissioner	Finance & Plan (FSM)
Lintern, Thomas	Deputy Commissioner	Office of Chief Medical Examiner
Lipton, Jody	Executive Agency Counsel	Office of Chief Medical Examiner

Liu, Jian	Assistant Commissioner/ Chief Technical Officer	Financial & Strategic Management
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McIntyre, Brenda M.	Assistant Commissioner/Director	Administrative Services
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(H816)

LAST NAME
FIRST NAME

POSITION

DIVISION

Marcus, Elliott	Associate Commissioner	Environmental Health
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Marie, Jeannine	Assistant Commissioner	Financial & Strategic Management
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May, Linda	Assistant Commissioner	Health Care Access & Improvement
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Maybank, Karen Aletha MD	Assistant Commissioner	Health Promotion & Disease Prevention
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Merrill, Thomas, Esq.	General Counsel	General Counsel for Health & Mental Hygiene
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Page, Jennifer	Assistant Commissioner	Office of Chief Medical Exam.
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Paris, Fran	Senior Policy Advisor	Financial & Strategic Management
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Parsons, Amanda	Assistant Commissioner	Health Care Access & Improvement
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Perl, Sarah	Assistant Commissioner	Health Promotion & Disease Prevention
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Platt, Roger, MD	Assistant Commissioner	Health Promotion & Disease Prevention
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Prinz, Mechthild	Director	Office of Chief Medical Exam.
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Prud'homme, Jeanine	Assistant Commissioner	Environmental Health
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Raphael, Marisa	Assistant Commissioner	Disease Control
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Rozza, Assunta	Senior Director	Finance & Planning - FSM
Sampson, Barbara	Deputy Chief Medical Examiner	Office of Chief Medical Exam.
(H816)		4.
<u>LAST NAME</u> <u>FIRST NAME</u>	<u>POSITION</u>	<u>DIVISION</u>
Silver, Lynn, MD, MPH	Assistant Commissioner	Health Promotion & Disease Prevention
Slade, Joseph	Assistant Commissioner	Epidemiology
Stevelman, Daniel	Deputy Commissioner	Office of Chief Medical Exam.
Sweeney, Monica, MD	Assistant Commissioner	Disease Control
Tessler-Handler, Rose, Esq.	Director	General Counsel for Health & Mental Hygiene
Thomas, Patricia	Associate Commissioner	Financial & Strategic Management
Troob, Charles	Assistant Commissioner	Financial & Strategic Management
Vassall, Linda Hall	Assistant Commissioner	Health Promotion & Disease Prevention
Weinstein, Joyce	Assistant Commissioner	Health Care Access & Improvement
Weisfuse, Isaac, MD, MPH	Agency Medical Director	Disease Control
Windholz, Roslyn, Esq.	Deputy General Counsel	General Counsel for Health & Mental Hygiene

BOARD OF HEALTH MEMBERS

1.	Thomas Farley, Chair
2.	Pamela S. Brier, M.P.H.
3.	Sixto R. Caro, M.D.
4.	Thomas Tsang, M.D. (inactive)
5.	Marlon Brewer, M.D.
6.	Susan Klitzman, DrPH
7.	Kenneth Popler, Ph.D.
8.	Lynne D. Richardson, M.D.
9.	Bruce C. Vladeck, Ph.D.
10.	David Vlahov, Ph.D.
11.	Michael Phillips, M.D.

(H816)

**MENTAL HYGIENE EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION
LIST FOR 2009/10**

6.

<u>NAME</u>	<u>POSITION</u>
Adam Karpati, Md, MPH	Executive Deputy Commissioner for Mental Hygiene
Marie B. Casalino, M.D., MPH	Assistant Commissioner for Early Intervention Program
Janice Chisholm	Assistant Commissioner, Health Integration, Bureau of Mental Hygiene Policy, Planning & Clinical Affairs
Patricia Pate	Assistant Commissioner, Bureau of Mental Hygiene Administration & Contract Services
Myla Harrison, M.D., MPH	Assistant Commissioner, Office of Child & Adolescent Services
Gerard Cohen, M.D.	Director of Clinical Affairs
Trish Marsik	Assistant Commissioner for Mental Hygiene
Lily Tom, D.S.W.	Assistant Commissioner for Mental Hygiene Policy, Planning & Clinical Affairs
Louis Cuoco, DSW	Director, Program Initiatives, Bureau of Alcohol & Drug
Howard Grossman	Assistant Director of OPRE, Bureau of MH Policy, Planning & Clinical Affairs
Harris A. Epstein	Director of Contracts & Community Liaison, Bureau of Mental Retardation & Developmental Disabilities
William Lopez	Assistant Commissioner for the Bureau of Mental Retardation & Development Disabilities
Daliah Heller, PhD, MPH	Assistant Commissioner for Alcohol & Drug Use Prevention, Care and Treatment
Vicki Morris	Director of Contract Audits
Kathleen Kennedy	Director of CD Contracts and Community Liaison, Bureau of Alcohol and Drug Use Prevention, Care, and Treatment
Denise Arthur	Senior Director for Administrative Services, Bureau of Administration
Melissa Mink	Senior Director of Contract Services and Finance, Bureau of Administration and Contract Services
Gloria Liu	Senior Director of DMH Office Management Information System (OMIS), Bureau of Administration and Contract Services
Linda Fraser	Acting Director of the Office of Coordinated Mental Health Services
Laura Grund	Director of the Office of Housing, Bureau of Mental Hygiene
JoAnne McLean	Director of the NYC 9/11 Benefit - Program
Bruce Schiller	Chief Administrative Officer, Early Intervention Program
Beverly Samuels	Director, Early Intervention Consumer Affairs
Prashil Govind, MD	Medical Director, Early Intervention Program
Meggan Christman Schilkie	Senior Advisor to Executive Deputy Commissioner
Jeanne Clancy	Project Director, Early Intervention Program
Anne Zweiman	Director of MH Treatment, Bureau of Mental Health
Robert Goldblatt	Director of Rehabilitation Program, Bureau of Mental Hygiene
Ireti Bobb	Director of Early Intervention
Robin Wunsch-Hitzig	Acting Director, Office of Mental Hygiene Quality Improvement, Bureau of Mental Hygiene Policy & Clinical Affairs

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Gail Wolsk	Director of Mental Health Disaster Preparedness & Response
Pablo Sadler	Medical Director, Bureau of Mental Health
Lidiya Lednyak	Director of Policy & Quality Assurance, Bureau of Early Intervention Services
Cheryl King	Director of E-Mental Hygiene
JoAnne McLean	Director, 9/11 Mental Health & Substance Use Benefit Program, Bureau of Mental Hygiene
Nora Puffett	Director of Program Planning
Marnie Davidoff	Director of Policy

DOI Policy
Maker List

	Filer	Title
	ANCRUM, ALBERTA	Assistant Commissioner
	BALLARD, MELISSA	Inspector General
	BALUKAS, PAUL	Assistant Commissioner
	BLIT, MARNIE	Executive Director CCPC
	CARROLL, MIKE	Assistant Commissioner
	COLEMAN, ANASTASIA	Inspector General
	DAVIE, VICKI	ACCO
	GIGANTE, ROBB	Inspector General
	GILL HEARN, ROSE	Commissioner
	GREEN, VINCENT	Deputy Commissioner
	HERLICA, DEBRA	Director
	HIBSHOOSH, YUVAL	Assistant Commissioner
	KANTOR, JOHN	Assistant Deputy Commissioner
	KHAN, FAISAL	Inspector General
	LANDA, MARJORIE	Deputy Commissioner/General Counsel
	MATHIS, CYNTHIA	Director of Human Resources
	MIDDLETON, COLEEN	Associate Commissioner
	MORALES, IVETTE	Director of Not forProfit
	NABEREZNY, JAYME	Inspector General
	OFFSEY, MICHELLE	Inspector General
	PIAZZA, JOSEPH	Inspector General
	PIECHOCKI, MARY	Inspector General
	RIVERA, ABRAHAM	Executive Director IT
	RUSSO, PASQUALIN	Inspector General
	SCHWAM, KEITH	Assistant Commissioner
	SILLER, MICHAEL	First Deputy General Counsel/Inspector General
	STAACKMAN, CHRISTOPHER	Inspector General

	STURCKEN, KYLE	Inspector General
	SULLIVAN, RICHARD	Deputy Commissioner
	TIERNEY, MARGARET	Assistant Commissioner
	VITIELLO, MICHAEL	Chief of Staff
	ZANOLIN, PETER	Inspector General
	CONDON, RICHARD	Special Commissioner/SCI
	JEREMIAH, KELVIN	Inspector General

DoITT Employees with Substantial Policy Discretion 2010

<u>NAME</u>	<u>TITLE</u>
Lauren Aaronson	Director, Client Solutions
Mitchel Ahlbaum	General Counsel/Deputy Commissioner for Franchise Administration & Contracts
Todd Asher	Chief Operating Officer
Ronald Bergmann	First Deputy Commissioner
Steven Bezman	Assistant Commissioner
Michael Bimonte	Deputy Commissioner
Jean Blanc	Deputy ACCO
Ricardo Browne	Senior Director, IT Services
Jose Caraval	Deputy Director, Wireless Carrier Services
Saadia Choudry	Director, 311 Call Center
Girish Chhugani	Executive Director, Citywide Initiatives
Jay Damashek	Senior Managing Attorney
Raquel De Los Reyes	Chief of Staff
John Dillworth	Senior Program Manager
Joseph Dispenza	Senior Director, Telecom Services
Jim DiRobbio	Executive Director, IT Services
Barry Feddema	Director, IT Services
Deirdre Flynn	Senior Director, Telecommunications Policy
Arthur Friedman	Associate Commissioner
Chenda Fruchter	Assistant Commissioner, Content & Agency Relations
Joseph Gallagher	Assistant Commissioner
Jacob Glickman	Director of Finance, NYC Media
Steve Harte	Associate Commissioner
Annette Heintz	Deputy Commissioner
Emily Johnson	Acting EEO Director
Marsha Kaunitz	Assistant Commissioner
Michael Lebow	Senior Advisor to the Commissioner for Technology, Chief Technology Officer
Barbara Lederman	ACCO
Richard Lindemulder	Senior Project Manager – Phase Lead
Samuel Litt	Deputy Chief Technology Officer
Chris Long	Director, Web Strategy &

	Operations
Joe Morrisroe	Executive Director 311 & NYC.GOV
Greg Neuhaus	Assistant Commissioner Unix and Open Systems
John Paul Nicosia	Director, Data Management & Protection
Paul O'Brien	Director, IT Systems
Gerry O'Neill	Telecom Specialist
John Paschke	Executive Director, Applications & Development
Ann Pedersen	Director, Enterprise Services
James Perazzo	Assistant Commissioner
Sherri Porcu	Assistant Commissioner for Human Resources
Carole Post	Commissioner
Rahul Puri	Chief Software Architecture Manager
Ciara Reynolds	Executive Director
Chad Rosenthal	Agency Attorney
Nicholas Sbordone	Communications and Intergovernmental Affairs
Diane Sciaberra	Director, Mainframe Systems
Brent Schimke	ECTP Program Director
Rita Seroski	Assistant Commissioner IT Operations
Stanley Shor	Assistant Commissioner
Joseph Sorrentino	Assistant Commissioner
Daniel Srebnick	Associate Commissioner IT Security
Ellen Stein	Associate Commissioner
Robert Whalen	Director, General Services
John Winker	Assistant Commissioner
Joseph Zucco	Asst Commissioner for Network Support/Director, Field Operations (Telecom)

Department of Records & Information Services (DORIS) Policymaker List 2010

Brain Andersson, Commissioner

Eileen Flannelly, Deputy Commissioner

Frederic Grevin, Deputy Commissioner

Kenneth Cobb, Assistant Commissioner

Lucita Andres, Auditor General

Lori Ardito
First Deputy Commissioner

Keith Bray
Deputy Borough Commissioner- Brooklyn

Louis Calcagno
Assistant Deputy Commissioner, Alternative Fuels

Nelson Castillo
Assistant Commissioner of Office of Emergency Response; Signals and Street Lighting

Thomas Cocola
Borough Commissioner -Staten Island

Philip Damashek
General Counsel

James DeSimone
Deputy Commissioner
Chief Operating Officer- Staten Island Ferry

Michael Diverniero
Chief Citywide Operations

Wendy Feuer
Assistant Commissioner, Urban Design and Art

Margaret Forgione
Borough Commissioner- Manhattan

Steven Galgano
Executive Director for Traffic Engineering

John Giaccio
Associate Commissioner-Securities & Facilities Management

Margaret Gordon
S. I. Ferry Executive Director of Safety Security Ferry Operations

Leon Heyward
Deputy Commissioner, Sidewalk Inspection and Management

Marlene Hochstadt
Deputy Commissioner, Human Resources and Facilities Management

Russell Holcomb
Deputy Chief Engineer-Maintenance, Inspections & Operations

Keith Howard
Assistant Commissioner, Sidewalks Inspection and Management

Jay Jaber
Assistant Commissioner, Permit Management and Construction Control

Joseph Jarrin
Deputy Commissioner, Finance Contracting and Program Management

Sarah Keeville
Chief of Staff to the First Deputy Commissioner

Lawrence King
Deputy Chief Engineer-Roadway Bridges

Kamal Kishore
Deputy Chief Engineer-Review & Support

George Klein
Deputy Chief Engineer-Construction

Vincent Maniscalco
Assistant Commissioner, HIQA and Street Assessment

Franklin Mark
Assistant Commissioner-Capital Program Management and Land Use

Michael Marsico
Assistant Commissioner, Congestion Pricing

Maura McCarthy
Borough Commissioner-Queens

Brooke McKenna
Assistant Commissioner, Coordinated Street Furniture Franchise

Catherine Messana
Assistant Commissioner, Customer Service

Constance Moran
Borough Commissioner- Bronx

Margaret Newman
Chief of Staff to the Commissioner

Jonathan Orcutt
Senior Policy Advisor

Galileo Orlando
Deputy Commissioner, Roadway Repair and Maintenance

Joseph Palmieri
Borough Commissioner- Brooklyn

Jayantilal Patel
Deputy Chief Engineer-East River & Movable Bridges

Henry Perahia
Deputy Commissioner, Chief Bridge Officer

Susan Pondish
Deputy General Counsel

Vincent Pullo
Assistant Commissioner, Agency Chief Contracting Officer

Brenda Rivera
Assistant Commissioner, Special Events

Victor Rosen
Assistant Commissioner, Parking

Ryan Russo
Assistant Commissioner- Traffic Management

Janette Sadik-Khan
Commissioner, DOT

Luis Sanchez
Borough Commissioner- Lower Manhattan

Cordell Schachter
Chief Technology Officer

DOT
Annual List of Public Servants Charged with Substantial Policy Discretion

R. Bruce Schaller
Deputy Commissioner, Planning and Sustainability

Gerard Soffian
Deputy Commissioner-Traffic

Seth Solomonow
Assistant Commissioner, Communications/Press Secretary

Jai Therattil
Associate Commissioner-Grants Administration

Charles Ukegbu
Deputy Borough Commissioner-Bronx

Steven Weber
Assistant Commissioner, Strategic Planning

Andy Wiley-Schwartz
Assistant Commissioner, Public Plazas

Ann Williams
Assistant Commissioner, EEO Officer

David Woloch
Deputy Commissioner, External Affairs

Department of Sanitation

February 18, 2010

TO: Mark Davies, Executive Director
Conflict of Interest Board
Attention: Wayne Hawley, General Counsel

FROM: Joseph DiPiazza, Director of Human Resources

RE: COIB, Agency Obligations under the Substantial Policy Discretion
Rule 2604(b)(12), (15)

Below is a listing of all the employees in our Agency who fall under the category of having substantial policy discretion. They will be notified of the restrictions in Charter 2604(b)(12) and (15).

Doherty, John	Commissioner
Sullivan, Bernard	First Deputy Commissioner
Cipollina, Lorenzo	Deputy Commissioner, Admin & Financial Mgmt.
Orlin, Robert	Deputy Commissioner, Legal Affairs
Turso, Vito	Deputy Commissioner, Public Information
DiRico, Rocco	Deputy Commissioner, Support Operations
Mucci, Michael	Director, Waste Disposal
Nucatola, John	Director of Cleaning and Collection
Milora, Thomas	Assistant to the Chief of Staff
Marino, Philip	Chief, Bureau Operations
Bellew, Michael	Chief, Bureau of Cleaning and Collection
Blendermann, Ronald	Assistant Commissioner, ACCO
Brautigam, Steven	Assistant Commissioner, Environmental Affairs
Ebert, Michael	Assistant Commissioner, Planning and Budget
Gleason, Philip	Assistant Commissioner, Waste Management
Strauss, James	Assistant Commissioner, Legal Affairs
Szarpanski, Harry	Deputy Commissioner, Long Term Export
Termini-Miller, Maria	Associate Commissioner, Community Affairs
Camacho, Fernando	Director, EEO
Binn, Joel	Director, Information Technology
Clavin, Thomas	Director, Admin./Fiscal Services
Czwartacky, Walker	Director, Special Projects, Long Term Export
DiPiazza, Joseph	Director, Human Resources
Dolinar, Sarah	Director, Environmental Review
Egan, Eugene	Director, Labor Relations
Gallagher, Neil	Director, Building Management
Harbin, Stephen	Director, Safety and Training
Joyner, Ruth	Director, WEP
Lange, Robert	Director, Waste Prevention, Reuse and Recycling
Miller, Joseph	Director, Medical Division
Ferguson, Alfred	Director, Operations Mgmt Division

Schnabel, Mark
Shah, Harshad
Shrivastava, Brij
Costas, Stephen

Director, Fiscal Services
Director, Engineering Audit Services
Director, Engineering Unit
Director, PMD

Brakeen, Rita
Kuznitz, Todd
McKeon, Peter
Walsh, Delano
Fridman, Simon
Taylor, Steven
Singer, Jeffrey
Diggins, Dennis
Lee, Edmund
Mittelman, Barnett
Franz, Ingrid
Weinberger, Bernard

Department Advocate/Employment Counsel
Chief, Enforcement
Chief, Collection Operations
Chief Engineer
Deputy Chief Engineer
Assistant to the Chief Engineer
Information Technology Office-Tech Support Mgr
Deputy Director, Waste Disposal
Deputy, Information Technology
Deputy, Information Technology
Associate Counsel
Engineer

C: John J. Doherty, Commissioner
JoAnne Giura-Else, COIB
Robert Orlin
Larry Cipollina

**DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT
2010 LIST OF EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION**

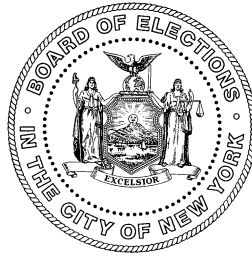
	EMPLOYEE	TITLE	LVL	WORK UNIT
1	ALEXANDER, KAREN	ADMIN MANAGER	3	SUPPORT SERVICES
2	ALMANZAR, KATHLEEN	ADM CONT SPEC	2	IMMIGRATION INITIATIVES
3	ALMODOVAR, MIGUEL	ADM CONT SPEC	3	SUMMER YOUTH EMP. PROG
4	ASCHERL, WANDA	ADM CONT SPEC	2	AFTER SCHOOL PROGRAMS
5	BERMAN, NEIL	COMP SYS MGR	4	INFORMATION TECHNOLOGY
6	BREITMAN, JULIA	ADMIN MANAGER	2	LADDERS FOR LEADERS PROGRAM
7	BRUNO, MARIO	ADM CONT SPEC	3	ADMINISTRATION
8	CATRAMBONE, JOSEPH	ADM COMM REL SPEC	2	NEIGHBORHOOD ADVISORY BOARDS
9	CARUSO, CHRISTOPHER	ADM STAFF ANALYST	3	OUT OF SCHOOL TIME
10	CAUSEY, RENEE	ADM STAFF ANALYST	2	VULNERABLE AND SPECIAL NEEDS YOUTH
11	CHENG, ALAN	ADM CONT SPEC	1	SUMMER YOUTH EMP. PROG
12	CHIN, MAY SUE	ADM STAFF ANALYST	2	EXTERNAL RELATIONS
13	CHONG, TZYLAI	ADM CONT SPEC	5	YOUTH SERVICES
14	CIROLIA, JOHN	ADMIN MANAGER	3	FINANCIAL MANAGEMENT
15	COLLINS, CATHLEEN	ADM STAFF ANALYST	2	COMMISSIONER'S OFFICE
16	COLTER, CINDY	ADM STAFF ANALYST	3	COMMUNITY DEVELOPMENT
17	CORTES, CARLOS	ADM STAFF ANALYST	3	ADMINISTRATION
18	DESAI, YOGESH	ASSOC. STAFF ANALYST		HUMAN RESOURCES - PAYROLL
19	DILLARD, REGINA	ADM CONT SPEC	2	OUT OF SCHOOL TIME
20	DODGE, RYAN	ADM CONT SPEC	1	CHIEF OF STAFF'S OFFICE
21	DONAHUE, JOHN	ADM STAFF ANALYST	2	LEGAL AFFAIRS
22	DOVE, STANLEY	PROC. ANALYST	3	ADMINISTRATIVE SERVICES
23	FISH, RICHARD	ADM STAFF ANALYST	3	COMMUNITY DEVELOPMENT
24	FRENZEL-BERRA, ROBERT	ADM STAFF ANALYST	2	PLANNING, RESEARCH & PROG. DEV.
25	GARCIA-MITCHELL, TRACY	ADM MANAGER	NM	SERVICE LEARNING
26	GULICK, LISA	ADM STAFF ANALYST	3	PLANNING, RESEARCH & PROG. DEV.
27	HARRIS, YVONNE	ADM CONT SPEC	2	COMMUNITY DEVELOPMENT
28	HASKELL, ADELAIDE	ADM STAFF ANALYST	3	VULNERABLE AND SPECIAL NEEDS YOUTH
29	HUGHES, EVERETT	EXEC AGENCY COUNS	4	LEGAL AFFAIRS
30	IVEY, JOSE	COMP SYS MGR	3	INFORMATION TECHNOLOGY
31	JONES, MERYL	ADM STAFF ANALYST	2	CAPACITY BUILDING
32	KAMEN, WILLIAM	ADM STAFF ANALYST	2	LEGAL AFFAIRS
33	KATZ, YVETTE	ADM STAFF ANALYST	2	EXTERNAL RELATIONS
34	KEENAN-BERRYMAN, MEGAN	ADM CONT SPEC	2	OUT OF SCHOOL YOUTH EMPLOYMENT PROG.
35	LOPEZ, VALENTIN	ADM CONT SPEC	2	PROCUREMENT
36	LYNN, SUZANNE	ADM STAFF ANALYST	5	COMMUNITY DEVELOPMENT
37	MAGIERA-GUY, ANDREA	ADM CONT SPEC	2	SERVICE LEARNING PROGRAM
38	MILLER, ANDREW	ADM STAFF ANALYST	2	EXTERNAL RELATIONS
39	MILLER, CECELIA	PRINCIPAL ADM ASSOC	3	CONTRACT AGENCY FINANCE
40	MONTANEZ, DAPHNE	ADM STAFF ANALYST	2	LADDERS FOR LEADERS PROGRAM
41	MULLGRAV, JEANNE	COMMISSIONER	7	COMMISSIONER'S OFFICE
42	MURRAY, RIEN	ADM STAFF ANALYST	2	CAPACITY BUILDING
43	NOBLES, RUSSELL ANN	EXEC AGENCY COUNS	2	LEGAL AFFAIRS
44	OGNIBENE, MICHAEL	ADM STAFF ANALYST	4	CHIEF OF STAFF'S OFFICE
45	OSORIO, LUIS	ADM CONT SPEC	2	CORNERSTONE
46	PANTAGES, LINDA	ASSOC. STAFF ANALYST		CONTRACT AGENCY FINANCE
47	PERNETTI, VINCENT	ADM CONT SPEC	1	PROCUREMENT
48	PHANOR, JAGDEEN	ADM STAFF ANALYST	2	FINANCIAL MANAGEMENT
49	PILGRIM, DENISE	ADM STAFF ANALYST	2	WIA - EO
50	RAHMAN, FAISAL	ADM CONT SPEC	2	AFTER SCHOOL PROGRAMS
51	RAMIREZ, ANTHONY	ADM STAFF ANALYST	2	YOUTHLINE
52	RATTRAY, DARRYL	ADM CONT SPEC	2	BEACONS AND AFTER SCHOOL PROG'S
53	SALVAYON, JOSEPH	ADM CONT SPEC	2	PROCUREMENT
54	SCICERE, STEVEN	ADM MGMT AUDITOR	1	CONTRACT AGENCY AUDIT
55	SYMON, DANIEL	ADM CONT SPEC	3	PROCUREMENT
56	THOMPSON, NAREEN	ADM CONT SPEC	2	COMMUNITY DEVELOPMENT
57	VIDAL, SARA	ADM STAFF ANALYST	2	EXTERNAL RELATIONS
58	WATERS, PATRICIA	ADM CONT SPEC	2	OUT OF SCHOOL TIME
59	WILLIAMS, DENICE	ADM STAFF ANALYST	3	CAPACITY BUILDING
60	ZHANG, RONG	ADM STF ANALYST	NM	ADULT LITERACY PROGRAMS

2010 List of EDC Employees Charged with Substantial Policy Discretion

Seth Pinsky
Maria Torres
Jason Wright
Mel Glickman
Madelyn Wills
Meredith Jones
Steven Strauss
Kyle Kimball
John Cicerello

2010 list of those at EEPCC charged with substantial policy discretion

Cesar A. Perez, Esq. Chair	Chair/ Commissioner
Angela Cabrera	Commissioner
Elaine S. Reiss, Esq.	Commissioner
Malini Cadambi Daniel	Commissioner
Arva A. Rice	Commissioner
Abraham May, Jr.	Executive Director
Charise L. Hendricks	Deputy Director



JULIE DENT
PRESIDENT

JUAN CARLOS "J.C." POLANCO
SECRETARY

JOSE MIGUEL ARAUJO
NAOMI BARRERA
NANCY MOTTOLA-SCHACHER
J.P. SIPP

GREGORY C. SOUMAS
JUDITH D. STUPP
FREDERIC M. UMANE
COMMISSIONERS

BOARD OF ELECTIONS

IN
THE CITY OF NEW YORK
EXECUTIVE OFFICE, 32 BROADWAY
NEW YORK, NY 10004-1609
(212) 487-5300
FAX (212) 487-5349
www.vote.nyc.ny.us

MARCUS CEDERQVIST
EXECUTIVE DIRECTOR

GEORGE GONZALEZ
DEPUTY EXECUTIVE DIRECTOR

PAMELA GREEN PERKINS
ADMINISTRATIVE MANAGER

JOHN OWENS, Jr.
ENFORCEMENT COUNSEL

ANNUAL LIST OF PERSONS WITH SUBSTANTIAL POLICY DISCRETION 2010

- | | |
|-----------------------------------|---|
| 1. Anthony Andriulli | Deputy Chief Clerk (Richmond Office) |
| 2. Hon. Jose Miguel Araujo | Commissioner |
| 3. Elliot Borack | Agency Chief Contract Officer
(Termination Report) |
| 4. Marcus Cederqvist | Executive Director (Termination Report) |
| 5. Barbara Conacchio | Chief Clerk (Queens Office) |
| 6. Sheila Del Giorno | Chief Clerk (Richmond Office) |
| 7. Hon. Julie Dent | Commissioner (President) |
| 8. Steven Ferguson | Director MIS |
| 9. Timothy Gay | Deputy Chief Clerk (New York Office) |
| 10. George Gonzalez | Deputy Executive Director |
| 11. Lucille Grimaldi | Manager Electronic Voting Systems |
| 12. Katherine James | Deputy Chief Clerk (Queens Office) |
| 13. Troy Johnson | (No longer in Policy Discretion Position) |
| 14. Greg Lehman | Chief Clerk (New York Office) |
| 15. Stewart Lieberman | Agency Chief Contract Officer |
| 16. John O'Grady | Chief Voting Machine Technician |
| 17. Pamela Perkins | Administrative Manager |
| 18. Hon. Juan Carlos Polanco | Commissioner (Secretary) |
| 19. Steven H. Richman, Esq. | General Counsel |
| 20. Diane Rudiano | Chief Clerk (Kings Office) |
| 21. Hon. James J. Sampel | Commissioner (Deceased) |
| 22. Dawn Sandow | Deputy Chief Clerk (Bronx Office) |
| 23. Mary Rose Sattie | Deputy Chief Clerk (Kings Office) |
| 24. Hon. Nancy Mottola Schacher | Commissioner |
| 25. Hon. Naomi C. Silie (Barrera) | Commissioner |
| 26. Hon. J.P. Sipp | Commissioner |
| 27. Hon. Gregory C. Soumas | Commissioner |
| 28. Hon. Judith D. Stupp | Commissioner |
| 29. Anna Torres | Deputy Chief Clerk (Bronx Office) |
| 30. Hon. Frederic M. Umane | Commissioner |
| 31. Valerie Vazquez | Director Public Relations |

NYC Fire Department

TO: **Mark Davies**
Executive Director
Conflicts of Interest Board

FROM: **Daniel Shacknai**
Deputy Commissioner for Legal Affairs/General Counsel

RE: **List of Employees with Substantial
Policy Discretion**

DATE: February 1, 2010

In response to your memorandum dated January 29, 2010, I have attached a list of those Fire Department employees who are charged with substantial policy discretion pursuant to New York City Charter, Sections 2604(b)(12) and (15).

Please do not hesitate to call me if you need any further information.

c: Salvatore J. Cassano, Fire Commissioner
John Benanti, Deputy Commissioner for Technology & Support
Donay J. Queenan, Assistant Commissioner for Human Resources
Wayne Hawley, Conflicts of Interest Board

Fire Department employees with substantial policy discretion and who, therefore, are subject to the prohibitions of New York City Charter, Sections 2604(b) (12) and (15).

<u>Name</u>	<u>Functional Title</u>
Salvatore J. Cassano	Fire Commissioner
Frank Cruthers	First Deputy Fire Commissioner
Edward S. Kilduff	Chief of Department
John Benanti	Deputy Commissioner for Technology & Support
William Eimicke	Deputy Commissioner for Strategic Planning & Policy
Daniel Shacknai	Deputy Commissioner for Legal Affairs
Frank Gribbons	Deputy Commissioner for Public Information
Douglas White	Deputy Commissioner for Administration
Robert Byrnes	Chief Fire Marshal
Robert F. Sweeney	Chief of Operations
Robert Boyce	Chief of Communications
Thomas R. Galvin	Chief of Training
Thomas A. Jensen	Chief of Fire Prevention

John Peruggia	Chief of EMS Command
Dr. Kerry Kelly	Chief Medical Officer, Bureau of Health Services
Dr. David Prezant	Chief Medical Officer, Office of Medical Affairs
James J. Manahan, Jr.	Assistant Chief of Operations
Richard Tobin	Assistant Chief of Fire Prevention
Joseph W. Pfeifer	Deputy Assistant Chief of Operations
Edward Baggott	Deputy Assistant Chief of Operations
Ronald R. Spadafora	Deputy Assistant Chief of Operations
William Seelig	Deputy Chief of Operations/Special Operations Command
James Leonard	Assistant Chief, Brooklyn Borough Commander
Michael Marrone	Assistant Chief, Staten Island Borough Commander
James E. Esposito	Assistant Chief, Manhattan Borough Commander
Kevin Butler	Assistant Chief, Bronx Borough Commander
John Sudnik	Assistant Chief, Queens Borough Commander
John Mooney	Chief of the Fire Academy
Michael Gala	Deputy Chief of Uniformed Personnel
Jerry Z. Gombo	Assistant Chief of EMS Command
John S. McFarland	Deputy Assistant Chief of EMS Command
Caroline R. Kretz	Associate Commissioner for Intergovernmental Affairs
Michael Vecchi	Associate Commissioner for Management Initiatives
James Basile	Assistant Commissioner for Fleet
Kay Ellis	Assistant Commissioner, Administration and Enforcement
Joseph Mastropietro	Assistant Commissioner, Facilities
Lyndelle Phillips	Assistant Commissioner, Equal Employment Opportunity
Donay J. Queenan	Assistant Commissioner, Human Resources
Stephen Rush	Assistant Commissioner, Budget and Finance
Suzanne Sebert	Assistant Commissioner, Family Assistance Unit
Donald Stanton	Assistant Commissioner, Tech Development and Systems
Robert Wallace	Assistant Commissioner, Investigations and Trials
Julian Bazel	Counsel to the Department
Robert L. Scott	Agency Chief Contracting Officer
Domenic Loccisano	Executive Director, Compliance, Internal Audit & Control



Office of the Commissioner
1 Centre Street – Suite 500
New York, NY 10007
Tel. 212.669.4855
Fax 212.669.2275

DAVID M. FRANKEL
Commissioner

February 26, 2010

Mr. Mark Davies
2 Lafayette Street, Suite 101
New York, New York 10007

RE: Substantial Policy Discretion

Dear Mr. Davies:

In response to your communication regarding “Agency Obligations Under the Substantial Discretion Rule of the Conflicts of Interest Board,” the following is a list of the names and titles (or positions) of employees within the Department of Finance who have substantial policy discretion:

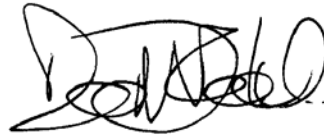
David Frankel	Commissioner
Elizabeth Botwin	Deputy Commissioner, Administration & Planning
Diane Bratcher	Director, Pension Unit
Allison Cruz	Director, Pension Unit
Lindsay Eason	Deputy Commissioner, Sheriff Division
Galia Galansky	Assistant Commissioner, Employee Services
Beth Goldman	Deputy Commissioner, General Counsel
Mary Gotsopoulos	Chief Administrative Law Judge, Adjudication Division
Annette Hill	Assistant Commissioner, City Register
Polly Horton	Director, Assigned Counsel Plan
Michael Hyman	Deputy Commissioner, Tax Policy & Planning
Dara Jaffee	Assistant Commissioner, Legal Division
Maurice Kellman	Assistant Commissioner, Property Division
Jane Landon	Chief Information Officer/ Deputy Commissioner FIT
Patricia Mattera-Russell	Budget Director
Sam Miller	Assistant Commissioner, Communications & Govt. Affairs
Pamela Parker-Cortijo	Assistant Commissioner, Collections Division
Oliver Pu-Folkes	First Deputy Sheriff, Sheriff Division
Andrew Salkin	Deputy Commissioner, Operations

Karen Schlain
Leslie Zimmerman

Assistant Commissioner, Tax Policy
Assistant Commissioner, Payment Operations Division

If you have any further questions, please feel free to contact me.

Sincerely,

A handwritten signature in black ink, appearing to read 'DMF', with a stylized flourish at the end.

David M. Frankel

DMF:nr



The City of New York
Financial Information Services Agency

450 West 33rd Street, 4th Floor
New York, NY 10001-2603

Telephone: (212) 857-1200
Fax: (212) 857-1106
TTY: (212) 857-1780

MICHAEL R. BLOOMBERG, *Mayor*
WILLIAM C. THOMPSON, JR., *Comptroller*

ROBERT W. TOWNSEND
Executive Director

To: Mark Davies
Executive Director
Conflicts of Interest Board
(Mailed electronically only: davies@coib.nyc.gov)

From: Adrienne R. Edley
Deputy Executive Director and General Counsel

Date: February 9, 2010

Subject: Agency Obligations under the Substantial Policy Discretion Rule of
the Conflicts of Interest Board (the "Board")

Pursuant to your memorandum dated January 29, 2010, and Board Rules §1-02, below is a list of public servants at FISA who have substantial policy discretion:

1. Robert W. Townsend, Executive Director
2. James Festa, First Deputy Executive Director Financial Systems
3. Don Pascali, Deputy Executive Director Information Management
4. Edward J. Fitzpatrick, Jr., Deputy Executive Director Administration
5. Adrienne R. Edley, Deputy Executive Director and General Counsel Legal and Business Services
6. Rose-Ellen Myers, Deputy Executive Director HR/Payroll Systems
7. Velu Pillai, Associate Executive Director HR/Payroll Systems
8. Peter Reddy, Associate Executive Director Financial Systems

If you have any comments or you require additional information please contact me at 212-857-1120.

Thank you.

cc: (cc's distributed electronically only)
Robert W. Townsend, FISA
Edward J. Fitzpatrick, Jr., FISA
Sherri Roth, FISA
Wayne Hawley, COIB (hawley@coib.nyc.gov)

Housing Development Corporation

February 18, 2010

Mr. Wayne Hawley
General Counsel
City of New York
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, N.Y. 10007

Dear Mr. Hawley:

The following is an update of employees and members who are designated as having substantial policy discretion in determination of important agency matters:

Employee/Member	Title
Bolden-Rivera, Gina L.	REMIC Member
Cestero, Rafael	Member, Ex-officio, Chair
Ciampa, Felix	Member
Duffy, Ellen	Sr. V.P. Debt Issuance
Frankel, David	Member, Ex-officio
Froehlich, Richard M.	Exec. V.P. Global Markets/General Counsel
Gigliello, Teresa A	Sr. V.P. Asset Management
Jahr, Marc	President
Gould, Jr., Harry E.	Member
Kimmel, Jonathon	REMIC Member
Mariconda, Pellegrino	Sr. V.P. Chief Info./Admin. Officer
Moerdler, Charles G.	Member
Naeris, Urmas	Chief Credit Officer
Notice-Scott, Denise	Member
O'Reilly, Eileen	Sr. V.P. Loan Servicing
Page, Mark	Member, Ex-Officio
Tally, Joan	Sr. V.P. Development
Wambua, Mathew	Exec.V.P. Real Estate/Public Affairs

Please contact me or Melissa Barkan, Deputy General Counsel, if you should need additional information.

Sincerely,

Mary McConnell
Vice President, Human Resources

Cc: Melissa Barkan

New York City Health and Hospitals Corporation
Public Servants with Substantial Policy Discretion

Michael A. Stocker, MD, Chairman of the Board of Directors
Patricia Almodovar, Board Member
Josephine Bolus, Board Member
Marcia R. Brown, MD, Board Member
Robert Doar, Board Member
Thomas A. Farley, MD, Board Member
Linda I. Gibbs, Board Member
Christina L. Jenkins, MD, Board Member
Adam Karpati, MD, Board Member
Anna Kril, Board Member
Rev. Diane Lacey, Board Member
John R. Maese, MD, Board Member
Bernard Rosen, Board Member
Paula Wilson, Board Member
Emily A. Youssouf, Board Member

Alan D. Aviles, President
Richard A. Levy, General Counsel
Ramanathan Raju, MD, Executive Vice President/Corporate Chief Medical Officer
Marlene Zurack, Senior Vice President, Finance and Capital
Frank Cirillo, Senior Vice President, Chief Restructuring Officer
LaRay Brown, Senior Vice President, Corporate Planning, Community Health and Intergovernmental Relations
Caroline M. Jacobs, Senior Vice President, Patient Safety, Accreditation, Regulatory Services
Ross Wilson, MD, Senior Vice President, Medical and Professional Affairs
Ana Marengo, Senior Vice President, Communications and Marketing
Debra Hall, Corporate Chief Information Officer
Ernesto Marrero, Corporate Compliance Officer
Norman M. Dion, Inspector General
Gayle Gavin, Chairperson, Personnel Review Board

Lynda Curtis, Senior Vice President, South Manhattan Healthcare Network
Arthur Wagner, Senior Vice President, Southern Brooklyn/Staten Island Healthcare Network
Ann Marie Sullivan, MD, Senior Vice President, Queens Health Care Network
Chris D. Constantino, Executive Director, Elmhurst Hospital Center
George Proctor, Executive Director, Queens Hospital Center
Antonio Martin, Senior Vice President, Central Brooklyn Health Network
Jose R. Sanchez, Senior Vice President, Generations +/Northern Manhattan Health Network
John Palmer, Executive Director, Harlem Hospital Center
Meryl Weinberg, Executive Director, Metropolitan Hospital Center
William P. Walsh, Senior Vice President, North Bronx Healthcare Network
Iris Jimenez-Hernandez, Senior Vice President, North Brooklyn Health Network
Arnold Saperstein, MD, Executive Director, MetroPlus Health Plan
Ann Frisch, Executive Director, HHC Health and Home Care

Rafael Cestero	Commissioner			
Douglas Apple	First Deputy Commissioner			
Alexandra Sewell	Chief of Staff			
Moon Wha Lee	Assistant Commissioner, Housing Policy Research			
Molly Park	Deputy Commissioner, Budget, Fiscal & Engineering Audit			
Merrick Reid	Assistant Commissioner, Fiscal			
Joseph Rosenberg	Deputy Commissioner, Intergovernmental Affairs			
Carol Clark	Assistant Commissioner, Local Legislative Affairs			
Matthew Shafit	Deputy Commissioner, Legal Affairs			
Curtis Deane	Assistant Commissioner, Procurement (ACCO)			
Darylynn Lewis	Deputy ACCO			
Kimberly Hardy	Special Counsel			
Bernard Schwarz	Deputy Commissioner, Administration			
Holly Leicht	Deputy Commissioner, Development			
Miriam Colon	Assistant Commissioner, Housing Incentives			
Shampa Chanda	Assistant Commissioner, Planning			
Timothy O'Hanlon	Assistant Commissioner, Special Needs Housing			
Ruth Visnauskas	Associate Commissioner, Preservation			
Wendell Walters	Assistant Commissioner, New Construction			
Laurie Loprimo	Assistant Commissioner			
Anne-Marie Hendrickson	Deputy Commissioner, Asset & Property Management			
Vivian Louie	Assistant Commissioner, Property Management			
Christopher Allred	Assistant Commissioner, Asset Management			
Julie Walpert	Assistant Commissioner, Housing Supervision			
Timothy Joseph	Assistant Commissioner, Architecture, Construction & Engineering			
Patricia Zafiriadis	Assistant Commissioner, Tenant Resources			
Vito Mustaciuolo	Deputy Commissioner, Enforcement & Neighborhood Services			
Mario Ferrigno	Assistant Commissioner, Code Enforcement			
Rassoul Azarnejad	Assistant Commissioner, Maintenance			
Deborah Rand	Assistant Commissioner, Housing Litigation			
William Carbine	Assistant Commissioner, Neighborhood Preservation			

HUMAN RESOURCES ADMINISTRATION 2009 SUBSTANTIAL POLICY DISCRETION STAFF

Bailey, Joanne	Director Policy Analysis and Research
Beirne, Barbara	Deputy ACCO for Operations
Berger, Robert	Assistant Deputy Commissioner
Berry, Jill	Deputy Commissioner
Borkow, Samuel	Deputy Commissioner
Bosket, Michael	Assistant Deputy Commissioner
Brady, Marie	Deputy Commissioner Home Care Services Program
Brancaccio, Barbara	Admin Public Information Specialist
Brune, Matthew	Deputy Commissioner
Cadogan, Marjorie	Executive Deputy Commissioner
Colares, Ana	Executive Director Bureau of Space and Design
Corbett, Jane	Executive Deputy Commissioner
Coyle, Jean	Assistant Deputy Commissioner
DeMartino, Joseph	Deputy Commissioner
DePippo, Thomas	Senior Executive Deputy Commissioner
Diamond, Seth	Executive Deputy Commissioner
Discala, Helen	Assistant Deputy Commissioner
Doar, Robert	Commissioner
Durney, Mary	Director MICSA Reporting and Analysis
Dyjak, Kathryn	Deputy Commissioner
Eisner, Michael	Deputy Commissioner Home Care Services Program
Esnard, Roy	General Counsel
Flaum, Jacquelyn	Assistant Deputy Commissioner
Fouks, Michelle	Executive Deputy Commissioner
Freeman, Dexterley	Assistant Deputy Commissioner
Freiman, Edward	Director MICSA Budget & Program Planning
Garcia, Patricia	Executive Regional Manager
Gaut, Gwendolyn	Assistant Deputy Commissioner
Giove, Barbara	Deputy Commissioner
Glase, Peter	Executive Agency Counsel
Glaves-Morgan, Sandra	Executive Deputy Commissioner
Glickson, Mark	Assistant Deputy Commissioner
Gomez, Gregory	Executive Regional Manager
Gritz, Elliott, MD	Psychiatrist/Deputy Medical Director
Gunn, Regina	Deputy ACCO for Contract Review
Hacker, Linda	Assistant Deputy Commissioner
Hannah, Brenda	Executive Regional Manager
Harper, Mary	Executive Deputy Commissioner
Holm-Carela, Annette	Director Home Care Services Field Operations
James, Marcia	Assistant Deputy Commissioner
Jarvis, Ingrid	Deputy Commissioner
Jenik, Peter	Executive Deputy Commissioner
Jenkins, Gary	Assistant Deputy Commissioner
Joseph, Francois	Director of Procurement Fulfillment

HUMAN RESOURCES ADMINISTRATION 2009 SUBSTANTIAL POLICY DISCRETION STAFF

Kumar, Elizabeth	Assistant Deputy Commissioner
Laganis, Elizabeth	Assistant Deputy Commissioner
Lane, Karen	Deputy Commissioner
LeMelle, Edward	Associate General Counsel
Levine, Rachel	Executive Deputy Commissioner
Lipton, Frank MD	Executive Deputy Commissioner
Litman, Richard	Deputy Director, Administration/Vendor Integrity
Maharaj, Lamaunda	Director, Office of Food Programs
Marques, Anna	Director APS Contracts
Martinez, Annie	Assistant Deputy Commissioner
Mattos, Alida	Assistant Deputy Commissioner
McAlevey, Catherine	Deputy Commissioner
Mittelman, Judith	Assistant Deputy Commissioner
Mo, Chi	Executive Agency Counsel
Molina-Summers, Darlene	Procurement Manager/Human Services
Morcos, Sameh	Assistant Deputy Commissioner
Ng, Arnold	Director of Home Care Contracts
Noel, Cecile	Executive Deputy Commissioner
Odiase, Charles	Director of Procurement and Administrative Services
Olton, Frank	First Deputy Commissioner
Ovadia, Neil	Director Office of Contract Services
Owens, Troy	Deputy Commissioner
Pardus-Abbadessa, Frances	Deputy Commissioner
Perez-Degado, Maria	Director of Management and Tracking
Philip, Marie	Executive Director Office of Domestic Violence
Ramadas, Sarathi	Deputy ACCO
Roeder, Jane	Deputy Commissioner
Rosas, Milagros	Director, Emergency Services
Saberski, Lin	Deputy Commissioner
Salnave, Samuel	Assistant Deputy Commissioner
Sanchez, Sandra	Director Home Energy Assistance Program
Santino, Joseph	Deputy Commissioner
Schoonmaker, Joanne	Executive Director
Sheehan, Angela	Deputy Commissioner
Sherman, Martha	Assistant Deputy Commissioner
Siemer, Richard	Deputy Commissioner
Singleton, Raymond	Assistant Deputy Commissioner
Smith, Patricia M.	First Deputy Commissioner
Sonde, Diane	Assistant Deputy Commissioner
Soto, Anna Dr.	Medical Director
Tyler, Kathleen	Deputy Commissioner
Usselman Kearney, Amy	Assistant Deputy Commissioner
Vasile-Davila, Fern	Assistant Deputy Commissioner
Walsh, Maureen	Executive Agency Counsel
Watson, Jacqueline	Assistant Deputy Commissioner
Whelan, James	Deputy Commissioner
Williams, Adrienne	Assistant Deputy ACCO
Williams, Vivian	Director, Administration and Planning
Wohl, Ronald	Executive Agency Counsel



COMMISSION ON HUMAN RIGHTS

40 RECTOR STREET, NEW YORK, NY 10006

Dial 311 www.nyc.gov/cchr

PATRICIA L. GATLING

Commissioner and Chair

February 19, 2010

City of New York
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

Dear Sir/Madam,

Listed below are the names and titles of those public servants in our agency who have substantial policy discretion:

Patricia L. Gatling	Commissioner/Chair
Cliff Mulqueen	Deputy Commissioner for Investigations/General Counsel
Carlos Velez	Executive Director, Law Enforcement Bureau
Lee Hudson	Deputy Commissioner for Community Relations
Daniel Tymus	Director of MIS

Additionally, in accordance with the Charter 2604(b)(12) and (15), which states that a public servant is deemed to have substantial policy discretion if he or she has major responsibilities and exercises independent judgment in connection with determining important agency matters, below are the names of our ten (10) lay Commissioners, who are not required to file a financial disclosure report:

Calvin Butts	Grace Lyu-Volckhausen
Eda Harris Hastick	Omar Mohammedi
William J. Hibsher	Derek Park
Renee Lobo	Joseph Potasnik
Haskel Lookstein	Lisa Quiroz

If you have any further questions regarding the above information, please contact Cliff Mulqueen at 212.306.7741.

Very truly yours,

Patricia L. Gatling

Independent Budget Office

March 10, 2010

Mark Davies
Executive Director
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

Re: Annual Identification of Public Servants with
Substantial Policy Discretion pursuant to COIB Rule § 1-02

Dear Mr. Davies:

The following staff members at the Independent Budget Office are public servants with substantial policy discretion as defined in COIB Rule § 1-02.

<u>Agency Position</u>	<u>Name</u>
Director	Ronnie Lowenstein
Deputy Director	Frank Posillico
Deputy Director	George Sweeting
General Counsel	Lisa Neary
Chief of Staff/ Communications Director	Douglas Turetsky

I have notified these staff members in writing of the restrictions imposed on them by Charter §2604(b)(12) and (b)(15). If you have any further questions or comment please call Lisa Neary at (212) 442-0226.

Sincerely,

Ronnie Lowenstein



The New York City Landmarks Preservation Commission

1 Centre Street, 9th Floor North New York NY 10007 TEL: 212-669-7921 FAX: 212-669-7797
www.nyc.gov/landmarks



John Weiss
Deputy Counsel
jweiss@lpc.nyc.gov

March 1, 2010

Wayne Hawley
General Counsel
City of New York
Conflicts of Interest Board
2 Lafayette St., Suite 1010
New York, NY 10007

Re: LPC Public Servants with Substantial Policy Discretion

Dear Mr. Hawley:

To fulfill the Landmarks Preservation Commission's obligations under the Substantial Policy Discretion Rule of the Conflicts of Interests Board, please find below a list of public servants at the Landmarks Preservation Commission who have substantial policy discretion:

Commissioners

Robert B. Tierney, Chair
Pablo E. Vengoechea, Vice-Chair
Roberta Brandes Gratz, Commissioner
Stephen Byrns, Commissioner
Diana Chapin, Commissioner
Joan Gerner, Commissioner
Christopher Moore, Commissioner
Marjorie Perlmutter, Commissioner
Fred Bland, Commissioner
Elizabeth Ryan, Commissioner
Roberta Washington, Commissioner

Please note that only the Chair of the Commission is a paid employee and as such, will be filing all necessary disclosure forms. The Vice-Chair and other Commissioners are unpaid and are not employees of the City of New York.

Landmarks Preservation Commission Staff

Kate Daly, Executive Director
Mark Silberman, General Counsel
John Weiss, Deputy Counsel
Sarah Carroll, Director of Preservation
Mary Beth Betts, Director of Research

The Commissioners and above employees will be receiving the “Notice to New York City Public Servants Having Substantial Policy Discretion.”

If you have any questions, I can be reached at (212) 669-7921.

Yours truly,

John Weiss
Deputy Counsel

cc: Robert B. Tierney, Chair
Mark Silberman, General Counsel

FullName	Division	OfficeTitleDescription
ALBERTUS, ANGELA	Family Court	Acting Division Chief
CARDOZO, MICHAEL A.	Executive	Corporation Counsel
CRANE, THOMAS C	General Litigation	Division Chief
CUSHMAN, STEVEN STEIN	Contracts and Real Estate	Division Chief
DUMAIN, RITA D	Tax And Bankruptcy Litigation	Division Chief
FRIEDLANDER, JEFFREY D	Executive	First Assistant Corporation Counsel
GOODE-TRUFANT, MURIEL	Special Federal Litigation	EEO Officer/Division Chief
HIGGINS, MALACHY T	Administration	Division Chief
KAHN, LAWRENCE S	Executive	Chief Litigating Assistant
KATH, SUSAN M	Environmental Law	Division Chief
KOELEVELD, CELESTE L	Executive	Executive Assistant Corporation Counsel for Public Safety
KOERNER, LEONARD J	Executive	Chief Assistant Corporation Counsel
LEOUSSIS, FAY	Tort	Division Chief
LOUIS, STEPHEN	Legal Counsel	Division Chief
MERCES, JOSEPH	Information Technology	Chief Technology Officer
MILLS, G. FOSTER	Executive	Managing Attorney
MONCURE, ALBERT F Jr.	Municipal Finance	Division Chief
PESTANA, GEORGIA	Labor And Employment Law	Division Chief
REPHEN, PAUL T	Executive	Executive Assistant
RUBIN, GAIL	Affirmative Litigation	Division Chief
RUNDBAKEN, ERIC	Commercial And Real Estate Litigation	Division Chief
SWEENEY, JOHN	Workers' Compensation	Division Chief
TAUSSIG, GABRIEL	Administrative Law	Division Chief
VAN EYSDEN, INGA	Pensions	Division Chief
WASSERMAN, LEONARD M	Economic Development	Division Chief

Office of the Manhattan Borough President

February 2, 2010

Mark Davies, Executive Director
New York City Conflicts of Interest Board
2 Lafayette St., Suite 1010
New York, NY 10007

Re: Annual list of public servants charged with substantial policy discretion

Dear Mr. Davies:

Pursuant to your memorandum dated January 29, 2010, we are submitting to you the names and titles of employees in the Manhattan Borough President's Office having substantial policy discretion:

<u>Name</u>	<u>Title</u>
Dan Benjoya	Director of Scheduling
Carmen Boon	Press Secretary
Anthony Borelli	Director of Land Use
Andrew Doba	Director of Communications
Alaina Gilligo	Chief of Staff
Nakia James-Jenkins	Director of Operations
Shaan Khan	Director of Community Affairs and Constituent Services
Cuong Nguyen	Deputy Chief of Staff
Maggi Peyton	Senior Advisor
Rosemonde Pierre-Louis	Deputy Borough President
Sascha Puritz	Director of External Affairs
Shanifah Rieara	Director of Northern Manhattan Office
Scott Schell	Director of Policy
Scott Stringer	Borough President
Susannah Vickers	Director of Budget and Grants
Jimmy Yan	General Counsel

Please feel free to contact me at (212) 669-8157 should you have any questions. Thank you.

Sincerely,

Jimmy Yan
General Counsel

DISTRICT ATTORNEY

OF THE
COUNTY OF NEW YORK
ONE HOGAN PLACE
New York, N. Y. 10013
(212) 335-9000

CYRUS R. VANCE, JR.
DISTRICT ATTORNEY

May 17, 2010

Mr. Wayne G. Hawley
Deputy Executive Director and General Counsel
New York City Conflicts of Interest Board
2 Lafayette Street, Room 1010
New York, NY 10007

Re: Persons with Substantial Policy Discretion

Dear Mr. Hawley:

In response to your memorandum of January 28, 2009, the following is a list of persons at the New York County District Attorney's Office – in addition to District Attorney Cyrus R. Vance, Jr., an elected official – who have substantial policy discretion for purposes of §§2604 (b)(12) and (15) of the New York City Charter:

Daniel R. Alonso, Chief Assistant District Attorney
Caitlin J. Halligan, General Counsel
Leroy Frazer, Jr., Executive Assistant District Attorney
Frederick J. Watts, Executive Assistant District Attorney
Adam S. Kaufmann, Chief of the Investigation Division
Karen Friedman-Agnifilo, Chief of the Trial Division
Jeannette Molina, Administrative Assistant District Attorney
Chauncey G. Parker, Executive Assistant District Attorney
Hillary Hassler, Chief of Appeals Bureau
Erin Duggan, Director of Communications

If you need further information, please let me know.

Yours very truly,

Frederick J. Watts
Executive Assistant District Attorney

NYCERS Annual List of Public Servants Charged with Substantial Policy Discretion (Staff)

Linda Chiariello, Deputy Executive Director of NYCERS
Diane D'Alessandro, Executive Director of NYCERS
Dominick Fallucci, Administrative Management Auditor
Andrew Feneck, Administrative Retirement Benefit Specialist
Sari Goldmeer, Agency Attorney
Michael Goldson, Administrative Staff Analyst
Karen Mazza, Executive Agency Counsel
Felita Ramsami, Administrative Manager
Claudine Rasp, Agency Attorney
Elizabeth Reyes, Administrative Staff Analyst
Sherif Soliman, Administrative Staff Analyst
Ingrid Stephen, Administrative Staff Analyst
Craig Thornton, Agency Security Director
Glenda Villareal, Agency Attorney
Tenaye Wolde, Administrative Staff Analyst

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Debbie DeLauro
Mary J. O'Connell
Dennis Sullivan
Raymond Santander
Patricia Stryker
Nancy True
Joel I. Sosinsky
Pete Foley
Norman Rosenfeld
Tony Utano
Larry Cary

**NYCHA Public Servants Charged With Substantial Policy Discretion as Defined by
Conflicts of Interests Board Rule § 1-02**

<u>Office Title</u>	<u>Name</u>
EXECUTIVE	
Chairman	John B. Rhea
Vice-Chairman	Earl Andrews, Jr.
Board Member	Margarita López
Secretary of the Authority	Vilma Huertas
Special Assistant to the Chairman	Helen Morillo
Chief of Staff	(Vacant)
General Manager	Michael Kelly
Chief Communications Officer	Florence L. Godfrey
Assistant Deputy General Manager for Development	Ilene Popkin-Make
Director, Audit Department	Savitri Gosine
Director, Equal Opportunity	Celeste T. Segure
Director, Public Private Partnerships	Dawn Walker
Director, Research & Management Analysis	Anne-Marie Flatley
Director, State and City Legislative Affairs	Brian Honan
Director, Strategic Planning & Change Management	(Vacant)
Inspector General	Kelvin Jeremiah

Office Title

Name

ADMINISTRATION

First Deputy General Manager	Natalie Y. Rivers
Director, Facility Planning and Administration	John McCormick
Director, General Services	(Vacant)
Director, Human Resources	Dawn M. Pinnock
Deputy Director, Personnel & Labor Relations	David Marcinek
Director, Security	Patrick O'Hagan
Director, Supply Chain Operations	Gary Smith
Director, Procedure Development and Administration	Robin Jackson

CAPITAL PROJECTS

Acting Deputy General Manager for Capital Projects	Giannoula Pavlakos
Assistant Deputy General Manager for Capital Projects	(Vacant)
Managing Director for Research, Policy and Planning Support	Celeste Glenn
Directors, Administration	James Scanlon
Directors, Bronx/Queens	Douglas McNevin
Directors, Brooklyn/Staten Island	William Cardinale
Directors, Manhattan	(Vacant)
Directors, Design	(Vacant)
Directors, Technical Support	Paul Vitale

Office Title

Name

COMMUNITY OPERATIONS

Deputy General Manager for Community Operations	Hugh Spence
Assistant Deputy General Manager for Community Operations	Deidra Gilliard
Director, Administration	Joseph LaMarca
Director, Bronx	Ilia Figueroa
Director, Brooklyn	Jenelle Mitchell
Director, Manhattan	Ukah Busgith
Director, Queens / Staten Island	Anthony Richburg
Director, Resident Support Services	Richard Greene
Director, Social Services	Nora Reissig-Lazzaro

FINANCE

Chief Financial Officer/Deputy General Manager for Finance	Felix Lam
Assistant Deputy General Manager for Financial and Strategic Investments	Andreas Spitzer
Comptroller, Accounting and Fiscal Services	Jeffrey A. Pagelson
Deputy Director, Risk Finance/Insurance	Arlene Orenstein
Deputy Director, Business and Revenue Development	Edward Velez
Director, Budget & Financial Planning	Carlos Serrano
Director, Energy	(Vacant)

Office Title

Name

INFORMATION TECHNOLOGY

Chief Information Officer/General Manager for Information Technology	Atefeh Riazi
Deputy CIO/Deputy General Manager for Business Solution Technology	Robert Marano
Deputy CIO for Enterprise Technology Management	John Saggese
Chief Technology Officer for IT Infrastructure	Rudolph Kurkjian
Senior Director, Process & Information Management	Irene Shapiro

LAW DEPARTMENT

Acting General Counsel, Law Department	Sonya Kaloyanides
Deputy General Counsel, Civil Litigation	(Vacant)
Deputy General Counsel, Corporate Affairs	Laurence Redican
Deputy General Counsel, Housing Litigation	Sam Mordi
Deputy General Counsel, Tort Litigation	Karen Sneed-Cohen
Deputy General Counsel, Real Estate and Economic Development	David Morris

MANAGEMENT OPERATIONS

Deputy General Manager for Operations	Gloria Finkelman
Assistant Deputy General Manager of Operations for Support Services	Brian Clarke
Assistant Deputy General Manager of Operations for Leased Housing	Gregory Kern
Financial Manager for Operations	John Aber

<u>Office Title</u>	<u>Name</u>
Director, Management & Customer Relationship Systems	Patricia Lawler
Director, Applications & Tenancy Administration	Tina Lam
Director, Customer Contact Center	John Devine
Director, Emergency Services	Conrad Vazquez
Director, Technical Services	Luis Ponce
Directors, Bronx	Melania Allen
Directors, Brooklyn	Marguerite Mann
Directors, Manhattan	Robert Knapp
Directors, Queens / Staten Island	Carolyn Jasper

RESIDENT ECONOMICS

Senior Advisor for Resident Economic Empowerment & Sustainability	Michelle Pinnock
Director, Resident Economic Initiatives & Partnerships	Debra-Ellen Glickstein
Director, Resident Employment Services	Sonia Torruella

New York Police Department

March 1, 2010

Mr. Mark Davies
Executive Director
City of New York Conflicts of Interest Board
2 Lafayette Street
Suite 1010
New York, NY 10007

Dear Director Davies:

The following is a list of managers in the New York City Police Department who fall under the Substantial Policy Discretion Rule of the Conflicts of Interest Board:

<u>NAME</u>	<u>OFFICE TITLE</u>	<u>CIVIL SERVICE TITLE</u>
Allocco, Edward J.	Dep. Comm., Management & Budget	Deputy Commissioner
Anderson, George W.	C.O., Police Academy	Assistant Chief
Banks, Philip	C.O., Patrol Borough Manhattan North	Assistant Chief
Beirne, John P.	Dep. Comm., Labor Relations	Admin. Labor Relations Analyst
Bello, Frank	Asst. Comm., Contract Admin. Unit	Agency Chief Contracting Ofcr.
Brandon, Nancy	Director, Central Records Div.	Admin. Staff Analyst
Browne, Paul J.	Dep. Comm., Public Information	Deputy Commissioner
Burrus, Donald J.	Asst. Comm., Mgmt. Inf. Systems Div.	Dir., Management Inf. Systems Div.
Campisi, Charles V.	Chief of Internal Affairs	Chief of Internal Affairs
Caspersen, Sidney	Asst. Comm., Programs	Intel. Research Spec.
Chan, Thomas M.	C.O., School Safety Division	Assistant Chief
Chapman, Wilbur	Dep. Comm., Training	Director of Training
Cohen, David	Dep. Comm., Intelligence	Dep. Comm., Intelligence
Cohen, David M.	Dep. Comm., Labor Counsel	Exec. Agency Counsel
Dale, Thomas	Chief of Personnel	Chief of Personnel
Daniels-Depeyster, Claudia	Asst. Dep. Comm., Trials	Executive Agency Counsel
Delatorre, Edward	Exec. Officer, Housing Bureau	Assistant Chief
Diaz, Raymond	C.O., Patrol Borough Manhattan South	Assistant Chief
Doepfner, Thomas	Asst. Dep. Comm., Legal Bureau	Exec. Agency Counsel
Doka, Frank	Asst. Comm., Financial Mgmt. Division	Admin. Staff Analyst
Esposito, Joseph J.	Chief of Department	Chief of Department
Falkenrath, Richard	Dep. Comm., Counterterrorism	Dep. Comm., Counter Terrorism
Farrell, Michael J.	Dep. Comm., Strategic Initiatives	Admin. Staff Analyst
Fox, Joseph	C.O., Patrol Borough Brooklyn South	Assistant Chief
Galati, Thomas P.	C.O., Intelligence Division	Assistant Chief
Grappone, John	Asst. Dep. Comm., Trials	Asst. Dep. Comm., Trials

Hall, James	Chief of Transit	Chief of Transit
Izzo, Anthony	Chief of O.C.C.B.	Chief of O.C.C.B.
Jaffe, Joanne	Chief of Housing Bureau	Chief of Housing Bureau
Kammerdener, Charles	Chief of Special Operations Division	Chief of Special Operations
Karopkin, Martin	Dep. Comm., Trials	Deputy Commissioner
Kearns, Kathleen	Asst. Comm., Dept. Advocate	Exec. Agency Counsel
Kelly, Raymond W.	Police Commissioner	Police Commissioner
Kleinman, Eli	Supervising Chief Surgeon	Supervising Chief Surgeon
Lemire, Katherine	Counsel to Police Commissioner	Counsel to Police Commissioner
Lewis, Robert A.	Asst. Comm., Administration	Admin. Staff Analyst
Lodispoto, Joseph	Director, Payroll & Benefits Division	Admin. Manager
Lubin, Kevin	Asst. Comm., Criminal Justice Bureau	Exec. Agency Counsel
Martinez, Robert	Director, Fleet Services	Director, Motor Transport
Materasso, Alfred	C.O., Det. Bor. Manhattan	Assistant Chief
McCarthy, John	Asst. Comm., Police Commissioner's Office	Exec. Agency Counsel
McGrann, Joseph	C.O., Support Services Bureau	Assistant Chief
McGuire, Philip G.	Asst. Comm., Program & Policies	Asst. Comm., Program & Policies
Messner, Robert	Asst. Comm., Civil Enforcement Unit	Exec. Agency Counsel
Nelson, Gerald	C.O., Patrol Borough Brooklyn North	Assistant Chief
Onalfo, Vincent	Dep. Comm., Technological Development	Computer Systems Manager
O'Neill, Michael	C.O., Employee Relations Section	Assistant Chief
Paragallo, Stephen R.	C.O., Patrol Borough Staten Island	Assistant Chief
Petito, Susan R.	Asst. Comm., Intergovernmental Affairs	Admin. Attorney
Pineiro, Rafael	First Deputy Commissioner	First Deputy Commissioner
Pizzola, Peter	Asst. Comm., Police Crime Lab	Managerial Criminalist
Pizzuti, Diana	C.O., Patrol Borough Queens North	Assistant Chief
Prasso, Thomas	Director, License Division	Executive Agency Counsel
Pulaski, Phil	Chief of Detectives	Chief of Detectives
Purtell, Thomas	C.O., Patrol Borough Bronx	Assistant Chief
Reznick, Joseph J.	C.O., Narcotics Division	Assistant Chief
Roberson, Carolann	Asst. Comm., Training	Admin. Manager
Ryan, Kathy E.	C.O., Domestic Violence Unit	Assistant Chief
Ryan, Marie	Asst. Comm., O.E.E.O.	Exec. Agency Counsel
Sanchez, Lawrence	Asst. Comm., Intelligence Division	Intel. Research Specialist
Schaffer, Seth	Dep. Comm., Legal Matters	Deputy Commissioner
Schwartz, Julie	Dep. Comm., Dept. Advocate	Director, Department Advocate
Secreto, James A.	C.O., Patrol Borough Queens South	Assistant Chief
Shea, Michael E.	C.O., Police Commissioner's Office	Assistant Chief
Strebel, Gary	X.O., I.A.B.	Assistant Chief
Timlin, Patrick	Dep. Comm., Operations	Secretary of the Dept.
Tuller, James	Chief of Transportation Bureau	Chief of Transportation Bureau
Vinal, Robert	Asst. Dep. Comm., Trials	Executive Agency Counsel
Waters, James R.	C.O., Dep. Comm. Counterterrorism	Assistant Chief
Wechsler, Arnold S.	Asst. Comm., Employee Management Div.	Director, Employee Mgmt. Div.
Wedin, Harry	C.O., Operations Division	Assistant Chief
Weisel, David	Asst. Dep. Comm., Trials	Executive Agency Counsel
Wuensch, Joseph	Chief of Staff	Chief of Staff
Zeigler, Douglas	Chief of Community Affairs	Chief of Community Affairs.
Zeigler, Neldra	Dep. Comm., O.E.E.O.	Deputy Commissioner
Zoland, Deborah	Asst. Dep. Comm., Legal Matters	Admin. Attorney

The above individuals will be notified in writing of their restrictions and obligations to file financial disclosure reports under the Substantial Policy Discretion Rule of the Conflicts of Interest Board.

If I can be of any further assistance, please feel free to contact me.

Sincerely,

Arnold S. Wechsler
Assistant Commissioner

EMD# 504/10

**POLICE DEPARTMENT
CITY OF NEW YORK**

March 1, 2010

From: Assistant Commissioner, Employee Management Division
To: Chief of Personnel
Subject: **NOTICE TO NEW YORK CITY PUBLIC SERVANTS HAVING
SUBSTANTIAL POLICY DISCRETION**

1. Attached notice to Police Department employees having substantial policy discretion has been prepared for your approval and signature.

Arnold S. Wechsler
Assistant Commissioner
Employee Management Division

ASW:jwc

**POLICE DEPARTMENT
CITY OF NEW YORK**

March 1, 2010

From: Chief of Personnel
To: C.O., Patrol Borough Brooklyn
Subject: **NOTICE TO NEW YORK CITY PUBLIC SERVANTS HAVING
SUBSTANTIAL POLICY DISCRETION**

1. You have been identified as a public servant charged with substantial policy discretion, as defined by Conflicts of Interest Board Rule 1-02. As such, you are subject to certain restrictions on your political activity under the conflicts of interest provisions of the New York City Charter.

Specifically, you may not directly or indirectly request any person to make or pay any political assessment, subscription, or contribution for any candidate for an elective office of the City or for any elected City official who is a candidate for any elective office. See Charter 2604(b)(12). This section, however, does not itself prohibit you from speaking on behalf of any such candidate or elected official at an occasion where a request for a political assessment, subscription, or contribution may be made by others.

Furthermore, you may not be a member of the national or state committee of a political party, serve as an assembly district leader of a political party, or serve as the chair or as an officer of the county committee or county executive committee of a political party. See Charter 2604(b)(15).

In addition, Chapter 68 subjects you and all other public servants to several other restrictions on political activities, including a prohibition against using City time or resources for such activity and a prohibition against even asking a subordinate public servant to make a political contribution or to participate in a political campaign.

If you have any questions about the above restrictions, please contact the Conflicts of Interest Board at (212) 442-1400, or visit their website (<http://www.nyc.gov/ethics>).

2. For your information.

Arnold S. Wechsler
Assistant Commissioner

ASW:jwc

March 19, 2010

Mr. Mark Davies
Executive Director
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York, 10007

Re: Agency Obligations under the Substantial Policy Discretion Rule
of the Conflicts of Interest Board

Dear Mr. Davies:

The following agency employees have substantial policy discretion and will be notified in writing of the political activity restrictions contained in the New York City Charter, §2604(b)(12), and §2604(b)(15):

<u>NAME</u>	<u>POSITION</u>
Marlene A. Gold	Chair/Director
Steven C. DeCosta	Deputy Director/General Counsel
Susan J. Panepento	Deputy Director for Disputes

In addition, the following board members will be notified of the political activity restrictions contained in Charter §2604(b)(12), and §2604(b)(15):

George Nicolau, Esq.	Impartial Member
Carol A. Wittenberg	Impartial Member
Pamela S. Silverblatt	City Member
M. David Zurndorfer, Esq.	City Member
Charles G. Moerdler, Esq.	Labor Member
Gabrielle Semel, Esq.	Labor Member

The two impartial members, named above, file annual financial disclosure reports because they are entitled to compensation. However, the above-named city and labor members will not be added to the list of public servants required to file financial disclosure reports because they serve without compensation.

Very truly yours,

Mr. Mark Davies
March 19, 2010

2

Marlene A. Gold
Chair/Director

c: Marlene A. Gold
Steven C. DeCosta
Susan J. Panepento
George Nicolau, Esq.
Carol A. Wittenberg
Pamela S. Silverblatt
M. David Zurndorfer, Esq.
Charles G. Moerdler, Esq.
Gabrielle Semel, Esq.
Amy Villafane



NYC
**Office of Chief
Medical Examiner**

Jan English, Assistant Commissioner
Human Resources
421 E. 26th Street New York, NY 10016
Telephone: 212-323-1711 Fax: 2123231770
Email: jenglish@ocme.nyc.gov
Official Website: www.nyc.gov/ocme

March 4, 2010

Mr. Wayne Hawley
General Counsel
Conflicts of Interest Board
2 Lafayette Street Suite 1010
New York, NY 10007

Re: OCME Employees Having Substantial Policy Discretion

Dear Mr. Hawley,

As per Mark Davies memo of January 29, 2010, the following is the listing of those employees at the Office of the Chief Medical Examiner who have been indentified as having Substantial Policy Discretion for the agency.

Dr. Charles Hirsch, Chief Medical Examiner
Barbara Butcher, Chief of Staff
Dr. Barbara Sampson, 1st Deputy Chief Medical Examiner
Jody Lipton, General Counsel
Thomas Linter, Deputy Commissioner Administration
Daniel Stevelman, Deputy Commissioner Operations
Dr. Mechthild Prinz, Director Forensic Biology
Jennifer Page, Assistant Commissioner Finance
Janice English, Assistant Commissioner Human Resources

All have been notified of the restrictions contained in Charter §2604(b)(12) and (15). Additionally, this list has been provided to the staff of the Department of Health and Mental Hygiene who administers the financial disclosure reporting for the Office of Chief Medical Examiner.

If any additional information is required, please do not hesitate to contact me at 212-323-1711.

Sincerely,

Jan English
Assistant Commissioner
Human Resources



New York City Office of Emergency Management

165 Cadman Plaza East
Brooklyn, New York, 11201

February 18 2010

City of New York
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, N.Y. 10007

Att: Mark Davies

Re: Agency Obligations under the Substantial
Policy Discretion Rule of the Conflicts of
Interest Board

Dear Mr. Davies:

For purposes of Charter §2604(b)(12) and §2604(b)(15), by letter herein, I am providing the Conflicts of Interest Board with the list of current New York City Office of Emergency Management employees charged with substantial policy discretion:

Commissioner	Joseph F. Bruno
First Deputy Commissioner.....	Calvin Drayton
Deputy Commissioner of Administration.....	Rachel Stein-Dickinson
Deputy Commissioner of External Affairs.....	Christina Farrell
Deputy Commissioner for Operations.....	John Scrivani
Deputy Commissioner for Legal Affairs.....	Stella Guarna
Deputy Commissioner of Technology.....	Henry Jackson
Deputy Commissioner for Preparedness.....	Kelly McKinney
Deputy Commissioner for Agency Development & Coordination	Jacob Cooper



Page -2-
February 18, 2010
COIB - Mark Davies
Re: Annual List Substantial Policy Discretion

Assistant Commissioner for Training & Exercise	Virginia Mewborn
Assistant Commissioner for Strategic Data	Jim McConnell
Assistant Commissioner of Operations	Marzio Penzi
Assistant Commissioner for Administration & Finance ...	Stacy Rosenfeld

PLEASE NOTE, I have provided the above named with a copy of the "Notice to New York City Public Servants Having Substantial Policy Discretion" MEMO, provided to me in your January 29, 2010 letter.

I have also provided a copy of the above list, to our agency staff responsible for administration of financial disclosure reporting.

Thank you.

Very truly yours,

STELLA GUARNA,
D.C. Legal Affairs, NYC OEM
718 422 4802
sguarna@oem.nyc.gov

SG/sg
cc: Commissioner Joseph F. Bruno
Annette Santiago, NYC OEM Director, Human Resources

NYC Office of Labor Relations

Polycymaker List - 2010

James Hanley
Jean Brewer
Michael Babette
Mayra Bell
Matthew Campese
Renee Campion
Georgette Gestely
Victor Levy
Patricia Slesarchik
Dorothy Wolfe
Richard Yates
James F. Hanley

OMB Employees Policymaking & M-4 and Above as of
February 18, 2010

NAME	TITLE	POLICYMAKING	M-4 & ABOVE
Anantharam, Plachikkat	Deputy Director	Yes	Yes
Anders, Alan	Deputy Director	Yes	Yes
Anderson, Stephen	Assistant Director	Yes	Yes
Angelo, Larian	Deputy Director	Yes	Yes
Boirard, Tara	Assistant Director	Yes	Yes
Brisky, Charles	Assistant Director	Yes	Yes
Cataldo, Paul	Assistant Director	Yes	Yes
Cheung, Cary	Assistant Director	Yes	Yes
Chun, Rodney	Chief Economist	Yes	Yes
Dardia, Michael	Deputy Director	Yes	Yes
Dowling, Andrew	Assistant Director	Yes	Yes
Garofalo, Jeffrey	Assistant Director	Yes	Yes
Godiner, Kenneth	Assistant Director	Yes	Yes
Grathwol, John	Assistant Director	Yes	Yes
Henning, Marjorie	General Counsel	Yes	Yes
Herrick, Patricia ***	Assistant Director	Yes	Yes
Higgins, James	Assistant Director	Yes	Yes
Kang, Mellanie	Assistant Director	Yes	Yes
Kausch, Edward	Assistant Director	Yes	Yes
Klein, Stuart	First Deputy Director	Yes	Yes
Larson, Burdette	Associate Director	Yes	Yes
Levine, Ellen	Assistant Director	Yes	Yes
Levine, Michelle	Assistant Director	Yes	Yes
Levine, Steven	Deputy Director	Yes	Yes
Mogilanski, Roy	Assistant Director	Yes	Yes
Montgomery, Mark	Associate Director	Yes	Yes
Mulligan, Timothy	Assistant Director	Yes	Yes
Murray, John **	Assistant Director	Yes	Yes
Olson, Franklin	Assistant Director	Yes	Yes
Orlandi, Flavia	Assistant Director	Yes	Yes
Orlando, Raymond	Deputy Asst. Director	Yes	No
Page, Mark	Budget Director	Yes	Yes
Quintian, Yvonne	Assistant Director	Yes	Yes
Rahman, Yousuf	Assistant Director	Yes	Yes
Ricci, Andrew	Assistant Director	Yes	Yes
Robinson, Brett	Assistant Director	Yes	Yes
Ryan, Kristine	Assistant Director	Yes	Yes
Sheehan, Karen	Assistant Director	Yes	Yes
Tymus, Paul	Assistant Director	Yes	Yes
Ulrey, Prescott	Counsel	Yes	Yes
Wasserman, Philip	Assistant Director	Yes	Yes
Woller, Jill	Assistant Director	Yes	Yes
Young Pauline	Assistant Director	Yes	Yes

*** New addition to the list

** Will be retiring on February 26, 2010



www.nyc.gov/payroll

OFFICE OF PAYROLL ADMINISTRATION

One Centre Street, Room 200N, New York, New York 10007

JOEL BONDY
Executive Director

BUREAU OF ADMINISTRATIVE SERVICES AND SYSTEMS
MOHAMED H. HAFEZ
Deputy Executive Director
Phone: 212-669-4605

MEMORANDUM

To: Mark Davies
From: Mohamed H. Hafeez
Date: March 10, 2010
Subject: OPA Employees with Substantial Policy Discretion

The Office of Payroll Administration is responsible for ensuring payrolls are processed timely and accurately for all of the City's employees and retirees, as well as provide other payee services. OPA has five (5) individuals with substantial policy discretion. These individuals listed below all have major responsibilities and exercise independent judgment in connection with determining important agency matters.

Joel Bondy, Executive Director
Mohamed H. Hafeez, Deputy Executive Director
Neil Matthew, Deputy Executive Director
Sarah Gurjal, Assistant Executive Director
Valerie Himelewski, General Counsel

Thank you.

cc: Joel Bondy
Sarah Gurjal
Wayne Hawley
Valerie Himelewski
Neil Matthew

Department of Parks & Recreation – Policymaker List 2010

Name	Position
Adrian Benepe	Commissioner
Liam Kavanagh	First Deputy Commissioner for Operations
Larry Scott Blackmon	Deputy Commissioner for Community Outreach
Therese Braddick	Deputy Commissioner for Capital Projects
Robert L. Garafola	Deputy Commissioner for Management & Budget
Kevin E. Jeffrey	Deputy Commissioner for Public Programs
Nancy Barthold	Assistant Commissioner for Recreation & Programming
Susan Donoghue	Assistant Commissioner for PlaNYC
Michael Dockett	Assistant Commissioner for Urban Park Services
Joanne G. Imohiosen	Assistant Commissioner for Not-for-Profit Partnerships
Keith Kerman	Assistant Commissioner for Citywide Operations
Joshua Laird	Assistant Commissioner for Planning & Natural Resources
Edward J. Lewis	Assistant Commissioner for Community Relations
Jack T. Linn	Assistant Commissioner for Citywide Services
Alessandro G. Olivieri	General Counsel
Betsy Smith	Assistant Commissioner for Revenue and Marketing
David Stark	Assistant Commissioner for Budget and Fiscal Management
Tupper Thomas	Assistant Commissioner for Strategic Partnerships/ Prospect Park Administrator
Fiona Watt	Assistant Commissioner for Forestry and Horticulture
Hector Aponte	Bronx Borough Commissioner
Julius Spiegel	Brooklyn Borough Commissioner
William Castro	Manhattan Borough Commissioner
Dorothy Lewandowski	Queens Borough Commissioner
Thomas Paulo	Staten Island Borough Commissioner
John Natoli	Chief Engineer
Mary Pazan	Chief of Management Services/ACCO
Parmod Tripathi	Chief of Management Services/ACCO



MEMORANDUM

TO: Wayne Hawley
New York City Conflicts of Interest Board

FROM: Rhonda Cavagnaro
General Counsel

RE: Agency Obligations under the Substantial Policy Discretion Rule of the Conflicts of Interest Board

DATE: March 17, 2010

Following is the list of public servants charged with substantial policy discretion in the New York City Police Pension Fund (NYCPPF):

Anthony Garvey	Executive Director	NYCPPF
Robert Sens-Castet	Deputy Executive Director	NYCPPF

BOARD OF TRUSTEES

Name	Title	Agency
Michael Bloomberg	Mayor	New York City
Raymond Kelly	Police Commissioner	NYC Police Department
John Liu	Comptroller	NYC Comptroller
David M. Frankel	Commissioner	NYC Department of Finance
Roy T. Richter	President	Captain's Endowment Association (CEA)
Thomas Sullivan	President	Lieutenant's Benevolent Association (LBA)
Edward Mullins	President	Sergeant's Benevolent Association (SBA)
Michael Palladino	President	Detective's Endowment Association (DEA)
Patrick J. Lynch	President	Patrolmen's Benevolent Association (PBA)
John Puglissi	1 st Vice President	PBA
Mubarak Abdul-Jabbar	2 nd Vice President	PBA
Joseph Anthony	Police Officer	PBA

RC: as

DOP EMPLOYEES HAVING SUBSTANTIAL POLICY DISCRETION

2010

NAME	TITLE	BRANCH
Schiraldi, Vincent	Commissioner	Executive Staff
Albright, Alphonzo	Deputy Commissioner	Information and Technology
Braithwaite, Leona	Assistant Commissioner	Fiscal Services
Brennan, Patricia	Deputy Commissioner	Juvenile Operations
Carrique, Vincent	Assistant Commissioner	Queens Adult Services
DiFiore, Ralph	Assistant Commissioner	Kings Adult Services
Dillon, Joseph	Assistant Commissioner	Juvenile Operations
DiGiovanna, Margaret	Acting General Counsel	General Counsel
Gilmore, Lorene	Associate Commissioner	Adult Operations
Goodwin, Sharun	Assistant Commissioner	Bronx Adult Services
Gray, Gineen	Assistant Commissioner	Juvenile Operations
Hardy, Pamela	Associate Commissioner	Juvenile Operations
Hill, Iris	Deputy Commissioner	Adult Operations
Imbasciani, Jane	Assistant Commissioner	Manhattan Adult Services
LaPook, Judith	Chief of Staff	Executive Staff
Marchiano, Frank	Deputy Commissioner	Administration & Planning
Provenzano, Anthony	Assistant Commissioner	Human Resources
Silverblatt, Sheri	Deputy General Counsel	General Counsel
Tamler, Cary	Associate Commissioner	Compliance & Strategic Initiatives

Name	Title
Bill de Blasio	Public Advocate for the City of New York
Jose Davila	Director of Community Organizing and Constituent Services
Elba Feliciano	Director of Administration
DeNora Getachew	Policy Director
Jacqueline Sherman	General Counsel
Dominic Williams	Senior Policy Advisor
Matthew Wing	Communications Director
Emilia Wolfe	Chief of Staff

HELEN M. MARSHALL
PRESIDENT



(718) 286-3000
TDD (718) 286-2656
TELECOPIER (718) 286-2876

CITY OF NEW YORK
OFFICE OF THE
PRESIDENT OF THE BOROUGH OF QUEENS
120-55 QUEENS BOULEVARD
KEW GARDENS, NEW YORK 11424-1015

June 11, 2010

Mark Davies, Esq.
Executive Director
New York City Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

Dear Mr. Davies:

Pursuant to Section 1-02(a) of Title 53 of the Rules of the City of New York, the purpose of this letter is to advise you that the following individuals employed by the Queens Borough President's Office have substantial policy discretion as defined by that section:

Alexandra Rosa
Chief of Staff

Barry Grodenchik
Deputy Borough President

Hugh B. Weinberg
Counsel

These individuals have all been notified in writing of the restrictions set forth in New York City Charter Sections 2604(b)(12) and (15). In addition, they have been, and will continue to, file annual financial disclosure reports with your agency. This letter hereby supersedes all prior lists that have been filed with the Conflicts of Interest Board.

Please contact my office if you have any questions regarding this matter.

Sincerely,

Helen Marshall
Queens Borough President

cc. Alexandra Rosa
Barry Grodenchik
Hugh Weinberg

2010 List of Public Servants Charged with Substantial Policy Discretion: Office of the District Attorney, Queens County

Brown, Richard A.	Attorney, Queens County
Carroll, Vincent J	Counsel to the Chief Assistant District Attorney
Crusco, Peter A	Executive Assistant District Attorney, Investigations Division
Fidel, Gary S.	Executive Assistant District Attorney, Legal Affairs Division
Quinn, James C.	Senior Executive Assistant District Attorney, Trial Division
Raff, Lois M.	Counsel to the District Attorney
Ryan, John M.	Chief Assistant District Attorney
Sligh, Jesse J.	Executive Assistant District Attorney, Special Prosecutions
Sullivan, Eileen M.	Executive Assistant District Attorney, Administration
Testagrossa, Charles A.	Executive Assistant District Attorney, Major Crimes Division



New York City Rent Guidelines Board

51 Chambers Street, Suite 202 • New York, NY 10007 • (212) 385-2934
Fax (212) 385-2554 • www.housingnyc.com

Chairman
Jonathan L. Kimmel

Executive Director
Andrew McLaughlin

April 6, 2010

Wayne Hawley
NYC Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

Dear Mr. Hawley:

As per your request of April 6, the following are the names and titles of public servants at the Rent Guidelines Board that have substantial policy discretion:

<u>Name:</u>	<u>Position:</u>
Jonathan Kimmel	Chair
Betty Phillips Adams	Public Board Member
Risa A. Levine	Public Board Member
Ronald Scheinberg	Public Board Member
David Wenk	Public Board Member
Aдриene Holder	Tenant Board Member
Ronald S. Languedoc	Tenant Board Member
Magda L. Cruz	Owner Board Member
Steven J. Schleider	Owner Board Member
Andrew McLaughlin	Executive Director

If you have any further questions or comments just contact me at 212-385-2934, xt. 12.

Sincerely,

Andrew McLaughlin
Executive Director

Cc: Jonathan Kimmel, RGB Chairperson
Leon Klein, RGB Office Manager and COIB Liaison



MEMORANDUM

TO: Mark Davies, Executive Director, COIB

FROM: Deborah Buyer, General Counsel

RE: Conflicts of Interest Board

DATE: March 1, 2010

In accordance with your request, the Department of Small Business Services has identified the following employees as having substantial policy discretion.

SBS Employees with Substantial Policy Discretion

1. Robert W. Walsh, Commissioner
2. Andrew Schwartz, First Deputy Commissioner
3. David Margalit, Deputy Commissioner: Business Development
4. Angie Kamath, Deputy Commissioner: Workforce Development
5. Anne Rascon, Deputy Commissioner: Division of Economic and Financial Opportunity
6. Deborah Buyer, General Counsel
7. Daria Hirsch, Chief of Staff
8. Shaazad Ali, Assistant Commissioner: Finance
9. Guy Long, Assistant Commissioner: Human Resources
10. Christine Pascarella, Assistant Commissioner: Technology and Operations
11. Cedric Gaddy, Budget Director
12. Kevin Kelly, Assistant Commissioner: NYC Business Express
13. Christina Shapiro, Assistant Commissioner: NYC Business Solutions
14. Jeremy Waldrup, Assistant Commissioner: District Development
15. Donald Giampietro, Assistant Commissioner: Incentives
16. Shanna Gumaer, Assistant Commissioner: WFICC System Performance
17. Katy Gaul, Assistant Commissioner Workforce 1 Training and Advancement
18. Tracie Abbott, Assistant Commissioner, Strategic Initiatives
19. Xenon Walcott, Assistant Commissioner: Strategic Initiatives
20. Phil Weinberg, President: Workforce Investment Board
21. Katherine Oliver, Commissioner: Mayor's Office of Film, Theater & Broadcasting
22. John Battista, Deputy Commissioner: Mayor's Office of Film, Theater & Broadcasting
23. Julianne Cho, Associate Commissioner: Mayor's Office of Film, Theater & Broadcasting
24. Jacob Glickman, Director of Business Strategy: Mayor's Office of Film, Theater & Broadcasting
25. Allison Kleva, Director Of Marketing: Mayor's Office of Film, Theater & Broadcasting
26. Jennifer Lenihan, Director of Client Relation: Mayor's Office of Film, Theater & Broadcasting

The employees will be notified of applicable rules.

New York City School Construction Authority
February 1, 2010

LastName	FirstName	Title
Barrett	E. Bruce	Vice President, Architecture & Engineering
Bergin	Elizabeth	Senior Director, Capital Planning
Castagnola	Vinicius	Vice President, Environmental & Regulatory Compliance
Collins	Craig	Senior Director, Construction Management
Cowhey	Janet	Senior Director, Procurement
DiTata	Barbara	Vice President & Inspector General
Egri	Marianne	Vice President, Finance
Galvin	Robert	Chief Technology Officer
Greenberger	Sharon L.	President & Chief Executive Officer
Grillo	Lorraine	Chief of Staff / Executive Director
Harris	Curtis	Trustee
Holden	Ross J.	Vice President & General Counsel
Maroney	Stephen	Senior Director, Finance
Nicolazzi-Shapiro	Louise	Senior Director, Human Resources & Organizational Management
Toma	George	Vice President, Construction Management & Operations
van Biema	Michael	Vice President, Administration
Veira	Suzanne	Senior Director, Business Development
Yee	Chester	Vice President, Project Services
Youssouf	Emily	Trustee

Office of the Staten Island Borough President

February 24, 2010

Wayne G. Hawley
Deputy Director/General Counsel
NYC Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

Re: EMPLOYEES CHARGED WITH SUBSTANTIAL POLICY DISCRETION,
STATEN ISLAND BOROUGH PRESIDENT'S OFFICE

Dear Mr. Hawley:

The following individuals with their titles are deemed by this agency to possess substantial policy discretion under the NYC Charter Sec. 2604(b)(12), (15):

James P. Molinaro, Borough President
Edward Burke, Deputy Borough President
Lillian L. Lagazzo, Executive Assistant to the Borough President (retiring 4/1/10)
John Wm. Zaccone, Counsel to the Borough President

Very truly yours,

John Wm. Zaccone
Counsel to the Borough President

JWZ:mas

Sent via FAX 212-442-1407 & MAIL

c: BP James P. Molinaro, enc. Notice
DBP Edward Burke, enc. Notice
Lillian Lagazzo, enc. Notice

TO: Mark Davies
Executive Director/ Counsel, COIB

FROM: Ronald Carara
Chief of Administration

DATE: April 8, 2010

SUBJECT: Employees with Substantial Policy Discretion

Below is a list of all employees of the Office of the Richmond County District Attorney who have substantial policy discretion. In accordance with the New York City Charter, Chapter 68, these employees have been given a copy of the *Notice to New York City Public Servants Having Substantial Policy Discretion*.

	<u>Name</u>	<u>Title</u>
1.	Daniel M. Donovan, Jr.	District Attorney
2.	Daniel L. Master, Jr.	Chief Assistant District Attorney
3.	Ronald Carara	Chief of Administration
4.	Timothy J. Koller	Executive Assistant District Attorney
5.	Paul Capofari	Chief, Supreme Court Bureau
6.	Yolanda L. Rudich	Chief, Sex Crimes/ Special Victims Bureau
7.	Quentin Smith	Chief, Criminal Court Bureau
8.	Morrie I. Kleinbart	Chief, Appeals Bureau
9.	Karen Schoenberg	Special Assistant District Attorney
10.	Wanda De Oliveira	Chief Trial Counsel

If you have any questions, please do not hesitate to contact me at (718) 556-7070.

Office of Administrative Tax Appeals

MEMORANDUM

To: Mark Davies
Executive Director
Conflicts of Interest Board

From: Glenn Newman

Date: March 10, 2010

Re: Public Servants Charged with Substantial Policy Discretion

Pursuant to Board Rules §1-02 and your memorandum dated January 29, 2010, the following public servants in the Office of Administrative Tax Appeals are deemed to have “substantial policy discretion”:

President: Glenn Newman

Commissioners: Fadil Brija
Robert J. Firestone
Susan Grossman
Aladar G. Gyimesi
Ellen E. Hoffman
Alice D. Olick
Richard Stabile
Kirk P. Tzanides

Chief Administrative Law Judge: Steven J. Gombinski

Director of Appraisal & Hearings: Carlo Silvestri

Matthew W. Daus, Commissioner/Chair

February 23, 2010

Mr. Mark Davies
Executive Director
City of New York
Conflicts of Interest Board
2 Lafayette St., Ste. 1010
New York, NY 10007

Dear Mr. Davies:

As required by New York City Charter Sections 2604(b)(12) and 2604(b)(15), the New York City Taxi and Limousine Commission identifies the following individuals as persons having “substantial policy discretion” within the meaning of the Conflicts of Interest Law:

- **Matthew W. Daus**, Commissioner/Chair
- **Elias Arout**, Commissioner
- **Jeffrey Kay**, Commissioner
- **Harry Giannoulis**, Commissioner
- **Edward Gonzales**, Commissioner
- **Iris Weinshall**, Commissioner
- **Lauvienska Polanco**, Commissioner
- **Ira Goldstein**, Chief of Staff
- **Louis Tazzi**, Deputy Commissioner for Financial Management & Administration
- **Charles Fraser**, Deputy Commissioner for Legal Affairs & General Counsel
- **Pansy Mullings**, Deputy Commissioner for Uniformed Services Bureau
- **Raymond Scanlon**, Deputy Commissioner for Adjudications
- **Allan Fromberg**, Deputy Commissioner for Public Affairs
- **Gary Weiss**, Deputy Commissioner for Licensing and Standards
- **Carmena Schwecke**, Chief Administrative Law Judge
- **Peter Tumminelli**, Assistant Commissioner, Adjudications
- **Nicholas Venezia**, Assistant Commissioner, Administration
- **Deborah Murphy**, Director, Budget
- **Jeffrey Grunfeld**, Chief Information Officer
- **David Klahr**, Special Advisor to the Commissioner/Chair



Matthew W. Daus, Commissioner/Chair

Please be advised that the TLC will notify the above-referenced Commissioners and employees in writing of the restrictions contained in Charter Sections 2604(b)(12) and 2604(b)(15), and the TLC will mail them a copy of the “Notice to New York City Public Servants Having Substantial Policy Discretion” that your office forwarded to the TLC.

If there are any further questions, please do not hesitate to contact me at (212) 676-1003.

Sincerely,

Matthew W. Daus
Commissioner/Chair

CC: Charles Fraser, *Deputy Commissioner for Legal Affairs/General Counsel*



June 10, 2010

Wayne G. Hawley
Deputy Executive Director and General Counsel
New York City Conflicts of Interest Board
2 Lafayette Street, Room 1010
New York, NY 10007

Attention: Financial Disclosure Unit

Gentlemen:

With reference to your e-mail of today, regarding Agency Obligations under the Substantial Policy Discretion Rule of the Conflicts of Interest Board please be informed of the following:

Employees in our agency having substantial policy discretion:

Nelson Serrano	Executive Director
Patricia Reilly	Deputy Executive Director
Joel Giller	Executive Agency Counsel

Board of Trustees and their alternates who sit on our board but are not employees of this agency and have substantial policy discretion:

Mel Aaronson	Retirement Board Member
Sandra March	Retirement Board Member
Mona Romain	Retirement Board Member
Bud Larson	Retirement Board Member
John C. Liu	Retirement Board Member
Kathleen Grimm	Retirement Board Member
Tino Hernandez	Retirement Board Member
Diane Bratcher	Retirement Board Member-Alternate for Bud Larson

Thad McTigue	Retirement Board Member – Alternate for John C. Liu
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Jamie Smarr

Retirement Board Member – Alternate for
Kathleen Grimm

Very truly yours,

Ileana Freeman
Human Resources
Financial Disclosure Liaison
612-5424