

New York City Conflicts of Interest Board Advisory Opinions

(Note: you may use Control-F or Command-F to search this document by AO # or description keyword)

Year	AO #	Description (click each description for link to the full PDF text of the AO)
1989	89-1	<u>Application of New Post-Employment Restrictions to Already Retired Employees</u>
1990	90-1	<u>Expediting Business of Spouse of DOB Employee</u>
	90-2	<u>Waiver to Permit Incoming Commissioners to Have Outside Part-Time Work</u>
	90-3	<u>Overseas Travel by Elected Official to be Paid for by Private Philanthropic Organization</u>
	90-4	<u>Mayor Dinkins Voting on Time Warner Matters</u>
	90-5	<u>Council President Stein Voting on Time Warner Matters</u>
	90-6	<u>Elected Officials Forwarding Resumes of Constituents and of Family Members for Possible City Employment</u>
	90-7	<u>Part-Time Public Servant Appearing as a Lawyer against the City</u>
	90-8	<u>Application of Post-Employment Restrictions to Former CCRB Members</u>
	90-9	<u>Agency Head's Participation on Advisory Board of a Trade Association</u>
1991	91-1	<u>High-Ranking Official Appearing in Advertisement for a For-Profit Entity</u>
	91-2	<u>Spouse of Public Servant Bids to Perform Work for Public Servant's City Agency</u>
	91-3	<u>Community Boards: Discussing or Voting on Matters involving a Member's Private Interests or involving a City Agency where the Member Is Employed</u>
	91-4	<u>Offer of Honorarium to Elected Official for Speech related to Official's City Duties</u>

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91-5	<u>Teaching a Course on a Subject Directly Involving One's Official Duties</u>
91-6	<u>Offer of Honorarium for Teaching Unrelated to One's City Duties</u>
91-7	<u>Private Practice of Law (but see AO 2001-3)</u>
91-8	<u>Waivers of the One-Year Post-Employment Ban May Be Granted, But Sparingly</u>
91-9	<u>Serving As Expert Witness for Out-of-State Municipality</u>
91-10	<u>Charitable Fundraising by High-Ranking Officials (but see AO 2003-4 and AO 2008-6)</u>
91-11	<u>Service on a City Commission by the Law Assistant to a State Court Judge</u>
91-12	<u>Community Boards: Chairs and District Managers Are Not "Public Servants Charged with Substantial Policy Discretion"</u>
91-13	<u>Agency Head Serving as President of Dormant, For-Profit Corporation</u>
91-14	<u>Outside Employment by High-Ranking Part-Time Official</u>
91-15	<u>Collaboration with an Institution That Employs One's Spouse</u>
91-16	<u>Moonlighting for a Not-for-Profit Whose Mission Is Very Similar to the Mission of One's City Agency</u>
91-17	<u>Serving Merely as a Member of a Political Party Committee</u>
91-18	<u>Participating In Auction of Property Conducted by One's Own City Agency</u>
91-19	<u>FOIL Requests and the One-Year Post-Employment Ban</u>
91-20	<u>Acceptance of Prize in Contest Open to All U.S. Residents</u>
1992	92-1 <u>High-level Official Serving on a City Advisory Board</u>

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92-2	<u>Application of Pre-1990 Post-Employment Restrictions to Official Who Left Prior to April 1, 1990</u>
92-3	<u>Renting An Apartment Whose Vacancy Was not Advertised Where Employee Learns of Vacancy through City Job</u>
92-4	<u>Agency Purchases from a Store Owned by the Parents of High-Ranking Employee of the Agency</u>
92-5	<u>Member of City Commission Whose Private Firm Has Matters before that Commission</u>
92-6	<u>Appointment of Retired Goldman Sachs Partner as Deputy Comptroller for Asset Management</u>
92-7	<u>Serving on a Co-op or Condo Board</u>
92-8	<u>Agency Head Handling Matters Involving Not-for-Profit that He Had Founded And Led</u>
92-9	<u>Financial Interests of Incoming Deputy Mayor Sullivan</u>
92-10	<u>Gift to Elected Official of Resort Trip from Firm with No City Business Dealings</u>
92-11	<u>DEP Employee Selling Watershed Property to the City</u>
92-12	<u>Agency Head Serving on Board of For-Profit Corporation</u>
92-13	<u>Post-Employment Waiver for High-Ranking Official Denied</u>
92-14	<u>Scholarship for Children of FDNY and NYPD Employees</u>
92-15	<u>Agency Head Serving on Honorary Committee for Charity's Annual Benefit (but see AO 2008-6)</u>
92-16	<u>Application of Job-Seeking Restriction to Positions at a BID</u>
92-17	<u>Post-Employment Waiver for Position at Not-for-Profit That Has Contracts with Departing Employee's Agency</u>
92-18	<u>Participating in Bar Association Discussions of Amicus Brief in Litigation Against the City</u>

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92-19	<u>Private Entities Pay for Head of Film Office to Attend Cannes Festival</u>
92-20	<u>Service in Local Government Positions by City Employees Residing in the Watershed</u>
92-21	<u>Soliciting and Accepting Gifts to the City (but see AO 2003-4)</u>
92-22	<u>Elected Awarding Funding to Not-for-Profit on Whose Board He is Ex Officio Member (see also AO 2009-2)</u>
92-23	<u>Gift of Free Travel to Elected Official</u>
92-24	<u>Service on Not-for-Profit Board</u>
92-25	<u>High-Level, Part-Time Commissioner Proposing Outside Activities</u>
92-26	<u>Appointee to High-level Part-Time Position Whose Firm Will Have Matters before Appointee's City Agency</u>
92-27	<u>Community Board Soliciting Funds for Land Use Study</u>
92-28	<u>Councilmanic Aide Providing Legal Services to Council Member and to Organizations with Matters Before the Council</u>
92-29	<u>Raising Funds for City Employee Seriously Injured in Auto Accident</u>
92-30	<u>Moonlighting by Incoming Mental Health Commissioner Marcos</u>
92-31	<u>Community Board Member Proposing to Provide Compensated Legal Services to Community Board</u>
92-32	<u>Post-Employment Appearances before DOB by Electricians</u>
92-33	<u>Offer of Gift to the City from Donor with Major Matter Pending</u>
92-34	<u>Parks Employees Receiving Salary Supplements from Parks Conservancies</u>
92-35	<u>Ownership in Building Receiving City Payments for Renting to Public Assistance Recipients</u>

	92-36	<u>Appearances before DOB by City Employees Moonlighting as Electricians</u>
	92-37	<u>Request for Post-Employment Waivers from Former Deputy Commissioner</u>
	92-38	<u>Former Public Servant's Involvement Not "Substantial" within Meaning of Particular Matter Bar</u>
1993	93-1	<u>Part-Time Councilmanic Aide Serving as President of Local Development Corporation</u>
	93-2	<u>Chair of Community Board Youth Services Committee by Person Who Is also a Member of the Local Community School Board</u>
	93-3	<u>Community Board Members Voting on Budget Priorities Affecting Local Development Corporations They Serve as Unpaid Directors</u>
	93-4	<u>High-Ranking Official Serving As Executive Director of Affiliate of Not-for-Profit with City Contracts</u>
	93-5	<u>Proposed Service on Board of Publicly-Traded For-Profit</u>
	93-6	<u>Mere Listing of Name of Public Servant with Substantial Policy Discretion on Committee for Political Fundraiser Is Not a Prohibited Solicitation of a Political Contribution</u>
	93-7	<u>Work of Part-Time Commissioner on A City Project, Part of Which Is Subject to the Commission's Review</u>
	93-8	<u>Application of Job-hunting Restriction to City Employee Who Conducts Research and Analysis</u>
	93-9	<u>Service of Community Board District Manager on Not-for-Profit Boards</u>
	93-10	<u>PVB Administrative Law Judges Are Subject to the Conflicts of Interest Law</u>
	93-11	<u>One-Year Post-Employment Ban Does Not Restrict Appearances by Partners of Former Public Servant or Prohibit Their Use of Stationery Bearing Name of Former Public Servant</u>
	93-12	<u>The "Consulting Back" Variation on the Government-to-Government Exception to the Post-Employment Restrictions</u>
	93-13	<u>Local Development Corporation in Question is Arm of City Government Employment At Which Falls within Government-to-Government Exception to Post-Employment Restrictions</u>

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93-14	<u>Service on Board of Not-for-Profit That is Acquiring Property Subject to Jurisdiction of Public Servant's Agency</u>
93-15	<u>Fundraising by Council Members for Charities In Their Districts (but see AO 2008-6)</u>
93-16	<u>Tax Assessors Owning Real Property for Investment Purposes</u>
93-17	<u>Part-Time Commissioner Employed at Public Benefit Corporation with Matters before the Commission</u>
93-18	<u>Application for Post-Employment Waiver Denied to Former City Employee Working at For-Profit Corporation</u>
93-19	<u>Outside Activities of ECB Members</u>
93-20	<u>Counsel to High-level Elected Official has Substantial Policy Discretion and Is Therefore Subject to Special Restrictions on Certain Political Activities</u>
93-21	<u>Nominating Relatives or Employees of Other Elected Officials to Community Boards</u>
93-22	<u>Part-Time Commissioner Proposing Work on a Project That Would Ultimately Be Reviewed by the Commission</u>
93-23	<u>Proposed Criminal Defense Practice by Public Servant with Police Officer Status</u>
93-24	<u>Paid Work for Political Campaigns</u>
93-25	<u>Publication of Book Describing Author's City Work (see also AO 99-5)</u>
93-26	<u>Soliciting Contributions for Not-for-Profit Formed to Support Programs of the District Attorney of Kings County</u>
93-27	<u>City Employee with Interest in For-Profit Corporation That Will be Seeking City Business</u>
93-28	<u>Spouse of Public Servant Makes Appearances Before Public Servant's Agency</u>
93-29	<u>Position of Spouse at Private Firm with City Business Dealings</u>
93-30	<u>Post-Employment Waiver Application from Former Employee Concerning Next-to-last City Agency He Served</u>

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	93-31	<u>Departing Public Servant Seeking a Position at a SUNY Facility</u>
	93-32	<u>Member of City Planning Commission Seeking Work through Department of General Services</u>
1994	94-1	<u>City Employee Contracting to Provide Consulting Services to Another City Agency</u>
	94-2	<u>City Official's Former Firm Bidding for City Work</u>
	94-3	<u>City Employee's Spouse Renting Property from His City Agency</u>
	94-4	<u>Acceptance of Computer As Gift to the City</u>
	94-5	<u>Unpaid Fact Witness Will Not Violate Particular Matter Bar</u>
	94-6	<u>Part-Time Public Servant Employed at Firm Receiving Funding from His City Agency</u>
	94-7	<u>This Local Development Corporation is An Arm of Government for Purposes of Post-Employment Restrictions</u>
	94-8	<u>City Employee Owning Campaign Consulting Firm</u>
	94-9	<u>Acceptance of Raffle Prizes As Gifts to the City</u>
	94-10	<u>Investments in Retirement Accounts, Mutual Funds, and Government Debt</u>
	94-11	<u>Agency Head Owns Real Property in the City</u>
	94-12	<u>Gift of Ceremonial Sword May Not Be Accepted</u>
	94-13	<u>Incoming Agency Head Whose Husband is Partner In Law Firm with City Business</u>
	94-14	<u>Fees Charged by Training Institute of Human Rights Commission</u>
	94-15	<u>Post-Employment Waiver Granted to Work at Not-for-Profit Closely Related to the City</u>

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94-16	<u>Incoming Agency Head Teaches at College that Sends Interns to His City Agency</u>
94-17	<u>Commissioner's Vote on A Bank's Project, Where a Client of the Commissioner's Firm Has a Close Relationship With the Bank</u>
94-18	<u>High-level Official Places Investments in Blind Trust</u>
94-19	<u>Waiver of One-Year Ban for Work at Not-for-Profit Organizations</u>
94-20	<u>City Employee Whose Spouse Works at Private Firm that Deals with Employee's City Agency</u>
94-21	<u>This BID Is an Arm of Government for Purposes of the Post-Employment Restrictions</u>
94-22	<u>Post-Employment Waiver to Head New Bio-Med Center</u>
94-23	<u>Approval of Invitation to Trade Association's Golf Outing</u>
94-24	<u>Appearances of Part-Time Commissioner's Law Firm before His City Commission</u>
94-25	<u>Blind Trust, plus Ownership of Several Co-op Apartments</u>
94-26	<u>Blind Trust, plus Uncompensated Service to Not-for-Profits</u>
94-27	<u>Continued Service on Co-op Board of Incoming High-level City Employee</u>
94-28	<u>Council Member Advocating for His Business Associate versus Member Supporting Legislation that Could Benefit this Associate (see also 2009-2)</u>
94-29	<u>Fundraising by Medical and Health Research Association in Support of Department of Health</u>
1995 95-1	<u>The "Consulting Back" Variation on the Government-to-Government Exception to the Post-Employment Restrictions</u>
95-2	<u>Unpaid Endorsement of Book on Topic Related to Official's City Work</u>

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95-3	<u>Moonlighting Waivers for Outside Teaching Need not be Renewed Annually</u>
95-4	<u>Soliciting Participation in a City Program and, If Accepted, Resigning from City Service</u>
95-5	<u>Soliciting Discounts for Private Businesses</u>
95-6	<u>Moonlighting Architects and Engineers Filing Plans at DOB</u>
95-7	<u>High-level Official Serving on Not-for-Profit Board</u>
95-8	<u>"Agency Served" for PVB ALJs is Department of Finance</u>
95-9	<u>Moonlighting as a Private Investigator</u>
95-10	<u>Proposed City Business Dealings of Spouse's Firm</u>
95-11	<u>Serving on Board of Co-op That is Applying For Loan From One's Own City Agency</u>
95-12	<u>Part-Time Commissioner with Ownership in Buildings under Jurisdiction of his City Agency</u>
95-13	<u>Political Fundraiser At Home of Policymaker, albeit Sponsored by His Wife</u>
95-14	<u>Offer of Special Banking Privileges to Employees of All Businesses in Local Area</u>
95-15	<u>Moonlighting Waiver to Work for BID Denied</u>
95-16	<u>Police Department Employee Moonlighting for PBA to Assist with Pension Calculations</u>
95-17	<u>Proposed Outside Legal Work by Councilmanic Aide</u>
95-18	<u>Chairing Community Board Committees</u>
95-19	<u>DOHMH Family Court Clinicians Moonlighting in Family Court Matters Involving Custody and Visitation</u>

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	95-20	<u>Borough President Molinari Serving on Board of Federal Home Loan Bank of New York</u>
	95-21	<u>City Dealings of Firm Owned by Public Servant's Spouse</u>
	95-22	<u>Paid Service on Co-op Board</u>
	95-23	<u>Application of Particular Matter Bar to Bills Re-introduced in Subsequent State Legislative Sessions</u>
	95-24	<u>Use of City Staff and Resources for Non-Partisan Voter Registration</u>
	95-25	<u>Service on Co-op Board in Building in Which Public Servant Owned Eleven Apartments</u>
	95-26	<u>When Contracting for a City Agency Will be Considered a "Second City Job" and thus not Require A Moonlighting Waiver</u>
	95-27	<u>Community Board Fundraising</u>
	95-28	<u>Award of Watch for Heroism</u>
	95-29	<u>HRA Employees Renting Apartments to Public Assistance Recipients</u>
1996	96-1	<u>Post-Employment Waiver Sought by Employee Long on Paid Release Time</u>
	96-2	<u>Position of HHC President Marcos at NYU Medical School</u>
	96-3	<u>Paying for Value of Gift less \$50</u>
	96-4	<u>Community Board Member Proposes to Represent Private Interests before Community Board</u>
	96-5	<u>Law Firm of Part-Time Commissioner Representing Industry Group defending Commission's Rule</u>
	96-6	<u>Former City Employee Serving as Paid Expert Witness Against the City</u>
	96-7	<u>Application of Particular Matter Bar to Former City Hearing Officer</u>

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	96-8	<u>Community Board Chairs and Matters Involving Their Private Interests</u>
1997	97-1	<u>Brooklyn Public Library Is Arm of Government for Purposes of the Post-Employment Restrictions</u>
	97-2	<u>NYCHA Residency Preference for Police Officers</u>
	97-3	<u>Proposed City Business of Spouse of Commissioner Handy</u>
1998	98-1	<u>Spouse of Public Servant Employed by Firm Responding to RFP's Issued by Her Division</u>
	98-2	<u>Parking Meter Employees Marketing Their Invention to the City</u>
	98-3	<u>Imputed Ownership Interest of NYCHA Employee Bat-Sheva Horodniceanu</u>
	98-4	<u>NYPD Paid Detail Program</u>
	98-5	<u>City Employees Moonlighting through Temp Firms</u>
	98-6	<u>Unpaid Endorsement of Documentary Film</u>
	98-7	<u>Outside Business whose Regular Customers Have City Business Dealings</u>
	98-8	<u>Non-Policymaking Volunteers for Not-for-Profit Organizations</u>
	98-9	<u>Waivers for Community Board Members to Make Otherwise Prohibited Appearances</u>
	98-10	<u>Pro Bono Legal Services to Community School Board</u>
	98-11	<u>When One-Year Post-Employment Clock Starts to Run</u>
	98-12	<u>Fundraising and Sales Within a City Office</u>
	98-13	<u>HPD Employees Renting Apartments to Section 8 Recipients</u>

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	98-14	<u>Elected Officials Fundraising for Not-for-Profit Organizations (but see AO 2008-6)</u>
1999	99-1	<u>Ex Officio Service on Not-for-Profit Boards</u>
	99-2	<u>When a Subcontractor on a City Contract Will be Deemed to Have Business Dealings with the City</u>
	99-3	<u>"Representation" of Government Agency for Purposes of Government-to-Government Exception to Post-Employment Restrictions</u>
	99-4	<u>Outside Teaching</u>
	99-5	<u>Outside Writing</u>
	99-6	<u>Moonlighting at CUNY or SUNY</u>
2000	2000-1	<u>Application of Conflicts of Interest Law to Charter School Employees</u>
	2000-2	<u>Post-Employment Waivers in Cases of Public-Private Partnerships</u>
	2000-3	<u>Police Commissioner's Endorsement of Book Supporting the Police Museum</u>
	2000-4	<u>Gifts of Complimentary Tickets (see also AO 2012-4)</u>
2001	2001-1	<u>Application of Ban on Political Fundraising by Policymakers to Case Where the Policymaker Is the Candidate</u>
	2001-2	<u>Political Fundraising by Community School Board Members Seeking Elective Office</u>
	2001-3	<u>Outside Practice of Law</u>
2002	2002-1	<u>Financial Interests of Mayor Bloomberg</u>
2003	2003-1	<u>Policymakers Running for Election as District Attorney</u>
	2003-2	<u>Chairing Community Board Committee that Considers Liquor Licenses</u>

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	2003-3	<u>Appointing Relative of Councilmanic Aide to Community Board/Council Member Hiring Community Board Member</u>
	2003-4	<u>Fundraising for the City</u>
	2003-5	<u>Voter Assistance Commission</u>
	2003-6	<u>City Employees Moonlighting for Political Campaigns</u>
	2003-7	<u>Financial Interests of Deputy Mayor Doctoroff</u>
2004	2004-1	<u>Public Members of Community Boards</u>
	2004-2	<u>Sou-sous, aka Savings Clubs</u>
	2004-3	<u>Relatives of Community Board Members Serving As Staff to the Community Board</u>
2005	2005-1	<u>Salary Supplements</u>
	2005-2	<u>Moonlighting and Volunteering at Charter Schools</u>
	2005-3	<u>Community Board Votes on Rezoning</u>
2006	2006-1	<u>Private Employment of Members of Community Education Councils</u>
	2006-2	<u>Accepting Complimentary Invitations from Lobbyists</u>
	2006-3	<u>Labor Union Conventions</u>
	2006-4	<u>Discounts for Hotels, Car Rentals, Cell Phone Service</u>
	2006-5	<u>Frequent Flyer Miles</u>
2007	2007-1	<u>Post-Employment Waiver for Members of Community Education Councils</u>

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	2007-2	<u>Private Activity, Paid and Unpaid, of City Planning Commissioners</u>
	2007-3	<u>Gifts from Registered Lobbyists of Complimentary Attendance at Widely Attended Events</u>
	2007-4	<u>Financial Interests of Mayor Bloomberg</u>
2008	2008-1	<u>Post-Employment Restrictions for Public Servants Who Serve Multiple Agencies</u>
	2008-2	<u>Community Boards: Voting on Matters Involving Organizations with Which Members Have Some Affiliation</u>
	2008-3	<u>Proposed Legislation Altering Term Limits</u>
	2008-4	<u>Post-Employment Waivers: When the Public-Private Partnership Test Will Apply</u>
	2008-5	<u>Practicing Criminal Defense Law</u>
	2008-6	<u>Fundraising for Not-for-Profit Organizations</u>
2009	2009-1	<u>Use of City-Owned Vehicles</u>
	2009-2	<u>Council Grants of Discretionary Funding</u>
	2009-3	<u>Application of the Conflicts Law to the City's Pension Systems</u>
	2009-4	<u>Law Firm Associates on Loan to City Agencies</u>
	2009-5	<u>One-Year Ban and Communications Seeking Political Endorsements</u>
	2009-6	<u>Water Board Members/Fundraising for PAC's</u>
	2009-7	<u>Trading in City Debt Securities</u>
2010	2010-1	<u>Simultaneous Service on Community Board and Community Education Council</u>

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	2010-2	<u>Cash Awards in Recognition of City Service</u>
2011	2011-1	<u>Matters Involving Clients of a Firm with Which a Public Servant is Affiliated</u>
	2011-2	<u>Acceptance of Payments for Travel</u>
2012	2012-1	<u>Financial Interests of Deputy Mayor Steel</u>
	2012-2	<u>Post-Employment Waivers</u>
	2012-3	<u>Raffle Prizes</u>
	2012-4	<u>Complimentary Tickets to Sporting and Entertainment Events</u>
	2012-5	<u>Activities Related to Political Campaigns</u>
2013	2013-1	<u>Gifts Between City Employees</u>
	2013-2	<u>Letters of Reference</u>
2014	[none]	
2015	[none]	
2016	2016-1	<u>Acceptance of Payments for Travel with Mixed City/Political Purpose</u>
2017	2017-1	<u>Social Media and Political Activities</u>
	2017-2	<u>Legal Defense Funds</u>