



## What We Do

The Department of Correction (DOC) provides for the care, custody and control of persons 16 years of age and older, accused of crimes or convicted and sentenced to one year or less of incarceration. The Department operates 14 facilities, including 10 jails on Rikers Island, four borough houses of detention in Brooklyn, the Bronx, Queens and Manhattan, court pens in each of the five boroughs, and two prison hospital wards; processes over 84,500 admissions and releases annually; and manages an average daily inmate population of approximately 12,300 individuals.

## Our Services and Goals

### **Service 1: Provide a safe and secure environment for inmates, staff and host communities.**

- Goal 1a: Ensure the security and safety of inmates in DOC custody.
- Goal 1b: Ensure that use of force is authorized and appropriate.
- Goal 1c: Provide inmates with timely access to health services.
- Goal 1d: Maximize bed capacity and address cell maintenance and repairs in a timely manner.
- Goal 1e: Ensure timely transport of inmates to courts throughout the City.

### **Service 2: Prepare inmates for return to their neighborhoods as civil and contributing members.**

- Goal 2a: Prepare as many inmates as possible for successful release through participation in skills-building programs including educational opportunities, jobs training, behavioral interventions and mental health services.
- Goal 2b: Reduce idleness by increasing inmate participation in mandated and other programs, services and activities.

### **Service 3: Provide correction-related services and information to the public.**

- Goal 3a: Provide timely notifications to crime victims.

## How We Performed: July–October

- The rate of uses of force resulting in serious injury fell 21.3 percent in Fiscal 2013, from 1.05 incidents per 1,000 average daily population (ADP) to 0.83 incidents per 1,000 ADP. The rate of uses of force resulting in no injury increased 60.6 percent, from 5.88 percent in Fiscal 2012 to 10 percent in Fiscal 2013. Uses of force with no injury now comprise 45 percent of all uses of force.
- The rate of serious injuries to staff as a result of inmate assaults also decreased 11 percent, from 0.28 per 1,000 ADP to 0.25 per 1,000 ADP, although inmate assaults on staff rose 15 percent. Assaults on staff encompass all physical contacts of any kind with a uniformed employee. The three most frequently occurring assaults on staff in descending order involve throwing a liquid, throwing an article of clothing and bodily physical force.
- The rate of inmate-on-inmate incidents rose from 24.9 per 1,000 ADP in the first four months of Fiscal 2012 to 29.3 in Fiscal 2013, a 17.7 percent increase. This increase is primarily the result of an increase in inmate fights, which rose from 1,046 to 1,390. Because more fights are stopped sooner, serious injuries to inmates resulting from these incidents increased at a lower rate (28 percent) than fights, from 1.11 per 1,000 ADP to 1.43 per 1,000 ADP.
- The Department conducted 3 percent more searches in Fiscal 2013 (79,012 in Fiscal 2013 versus 77,082 in Fiscal 2012) and recovered 3 percent more weapons (676 in Fiscal 2013 versus 654 in Fiscal 2012).

- The Department made 33 percent more jail-based arrests of inmates, with 292 arrests in Fiscal 2013, up from 220 in Fiscal 2012. The issuance of inmate infractions for fights, another form of enforcement, increased 23 percent.
- Population as a percentage of capacity fell 3.5 percentage points from 92.8 percent in Fiscal 2012 to 89.3 percent in Fiscal 2013 as the Department aggressively closed housing areas to complete court-ordered fire safety and sanitation improvements, causing a 71 percent increase in short-term housing area closures. The Department also delivered 95.3 percent of inmates to court on time in Fiscal 2013, down slightly from 97.6 percent in Fiscal 2012 but still within the acceptable range of on-time deliveries.
- The average waiting time in DOC clinics rose 7 percent, from 27 minutes to 29 minutes. Continuing an ongoing trend, the number of inmates with a mental health diagnosis rose to 35 percent of the average daily population (ADP), up from 33 percent in Fiscal 2012, a 6 percent increase.

**Service 1: Provide a safe and secure environment for inmates, staff and host communities.**

**Goal 1a: Ensure the security and safety of inmates in DOC custody.**

Performance Statistics	Actual			Target		4-Month Actual	
	FY10	FY11	FY12	FY13	FY14	FY12	FY13
Admissions	95,385	87,515	84,754	*	*	28,310	28,812
Average daily population	13,049	12,790	12,287	*	*	12,378	12,101
Fight/assault infractions	7,475	7,431	7,552	*	*	2,287	2,804
Jail-based arrests of inmates	526	642	650	*	*	220	292
Searches	203,403	215,038	225,501	*	*	77,082	79,012
Weapons recovered	1,213	1,901	2,324	*	*	654	676
★ Violent inmate-on-inmate incidents (monthly rate per 1,000 ADP)	24.3	25.2	26.9	*	25.4	24.9	29.3
★ Serious injury to inmate(s) as a result of violent inmate-on-inmate incidents (monthly rate per 1,000 ADP)	NA	1.2	1.3	*	1.3	1.1	1.4
★ Inmate assault on staff (monthly rate per 1,000 ADP)	3.2	3.5	4.0	*	4.0	3.8	4.3
★ Serious injury to staff as a result of inmate assault on staff (monthly rate per 1,000 ADP)	NA	0.3	0.3	*	0.3	0.3	0.3
★ Escapes (monthly rate per 1,000 ADP)	0.01	0.00	0.00	*	0.00	0.00	0.00
★ Non-natural inmate deaths in custody (monthly rate per 1,000 ADP)	0.01	0.01	0.01	*	↓	0.02	0.00

**Goal 1b: Ensure that use of force is authorized and appropriate.**

Performance Statistics	Actual			Target		4-Month Actual	
	FY10	FY11	FY12	FY13	FY14	FY12	FY13
★ Department use of force incidents with serious injury (rate per 1,000 ADP)	NA	NA	1.00	*	1.00	1.05	0.83
Department use of force incidents with minor injury (rate per 1,000 ADP)	NA	NA	8.15	*	*	7.09	11.38
Department use of force incidents with no injury (rate per 1,000 ADP)	NA	NA	7.20	*	*	5.88	10.00
Incidents and allegations of use of force	2,222	2,272	2,837	*	*	819	1,213

**Goal 1c: Provide inmates with timely access to health services.**

★ Critical Indicator "NA" - means Not Available in this report ↓ ↑ shows desired direction

Performance Statistics	Actual			Target		4-Month Actual	
	FY10	FY11	FY12	FY13	FY14	FY12	FY13
Inmates with a mental health diagnosis (% ADP)	29.0%	32.0%	34.0%	*	*	33.0%	35.0%
Inmate health clinic visits	86,130	79,385	83,914	*	*	28,966	27,684
★ - Average clinic waiting time (minutes)	30	29	28	*	*	27	29

**Goal 1d: Maximize bed capacity and address cell maintenance and repairs in a timely manner.**

Performance Statistics	Actual			Target		4-Month Actual	
	FY10	FY11	FY12	FY13	FY14	FY12	FY13
Jail-cells unavailable (short-term repair) (%)	0.9%	1.2%	1.8%	1.0%	1.0%	1.7%	2.9%
★ Population as percent of capacity (%)	93%	94%	92%	96%	96%	93%	89%

**Goal 1e: Ensure timely transport of inmates to courts throughout the City.**

Performance Statistics	Actual			Target		4-Month Actual	
	FY10	FY11	FY12	FY13	FY14	FY12	FY13
★ On-trial inmates delivered to court on time (%)	99.7%	97.5%	96.9%	95.0%	95.0%	97.6%	95.3%

**Service 2: Prepare inmates for return to their neighborhoods as civil and contributing members.**

**Goal 2a: Prepare as many inmates as possible for successful release through participation in skills-building programs including educational opportunities, jobs training, behavioral interventions and mental health services.**

Performance Statistics	Actual			Target		4-Month Actual	
	FY10	FY11	FY12	FY13	FY14	FY12	FY13
Inmates transported directly to community-based service sites upon discharge through Rikers Island Discharge Enhancement (RIDE) program	3,122	NA	2,334	*	*	836	679

**Goal 2b: Reduce idleness by increasing inmate participation in mandated and other programs, services and activities.**

Performance Statistics	Actual			Target		4-Month Actual	
	FY10	FY11	FY12	FY13	FY14	FY12	FY13
Average daily number of inmates in vocational skills training programs	193	161	148	*	*	183	170
Average daily attendance in school programs	814.0	782.0	713.0	*	*	722.0	682.0
★ Inmates participating in skills-building activities/discharge planning (%)	NA	10.0%	10.0%	*	10.0%	9.0%	10.0%

**Service 3: Provide correction-related services and information to the public.**

**Goal 3a: Provide timely notifications to crime victims.**

Performance Statistics	Actual			Target		4-Month Actual	
	FY10	FY11	FY12	FY13	FY14	FY12	FY13
Victim Identification Notification Everyday (VINE) system registrations	32,308	20,558	16,111	*	*	4,788	5,128
VINE confirmed notifications	24,553	32,604	16,925	*	*	4,695	5,540

★ Critical Indicator "NA" - means Not Available in this report ↕ shows desired direction

## Agency Customer Service

Performance Statistics	Actual			Target		4-Month Actual	
	FY10	FY11	FY12	FY13	FY14	FY12	FY13
Customer Experience							
E-mails responded to in 14 days (%)	98%	94%	100%	100%	*	100%	100%
Letters responded to in 14 days (%)	85%	76%	91%	95%	*	94%	68%

## Agency Resources

Resource Statistics	Actual			September 2012 MMR	Updated		4-Month Actual	
	FY10	FY11	FY12	FY13	FY13 <sup>1</sup>	FY14 <sup>1</sup>	FY12	FY13
Expenditures (\$000,000) <sup>2</sup>	\$1,012.0	\$1,045.1	\$1,078.8	\$1,050.1	\$1,069.7	\$1,059.2	\$350.7	\$351.5
Revenues (\$000,000)	\$23.1	\$22.8	\$24.4	\$23.6	\$22.9	\$23.7	\$7.6	\$6.9
Personnel (uniformed)	8,772	8,456	8,540	8,854	8,962	8,869	8,395	8,696
Personnel (civilian)	1,444	1,423	1,459	1,756	1,633	1,612	1,426	1,434
Overtime paid (\$000,000)	\$97.4	\$113.8	\$144.7	\$69.9	\$77.3	\$74.6	\$41.5	\$50.3
Capital commitments (\$000,000)	\$67.5	\$69.0	\$95.4	\$271.3	\$461.1	\$561.6	\$36.7	\$6.9
<sup>1</sup> January 2013 Financial Plan		<sup>2</sup> Expenditures include all funds.						
"NA" - Not Available in this report		January Plan figures reflect modifications in Federal, State, and other non-City funding since the adoption of the 2013 budget.						

## Noteworthy Changes, Additions or Deletions

- The Department added the following indicators to this report: 'Admissions,' 'Average daily population,' 'Incidents and allegations of use of force,' and 'Inmates transported directly to community-based service sites upon discharge through the Riker's Island Discharge Enhancement (RIDE) program.'
- The Department removed the indicator 'Inmates delivered to court' from this report.
- The Department revised data for the indicator 'Violent inmate-on-inmate violence incidents (monthly rate per 1,000 ADP)' in Fiscal 2011 and Fiscal 2102 to reflect the results of an internal audit.

For additional performance statistics, please visit the website at: [www.nyc.gov/mmr](http://www.nyc.gov/mmr).

For more information on the agency, please visit: [www.nyc.gov/doc](http://www.nyc.gov/doc).