



WHAT WE DO

The Department of Correction (DOC) provides for the care, custody and control of inmates, persons 16 years of age and older, accused of crimes or convicted and sentenced to one year or less of incarceration. The Department operates 14 facilities, including 10 jails on Rikers Island, four borough houses of detention, one each in Brooklyn, the Bronx, Queens and Manhattan, court pens in each of the five boroughs, and two hospital prison wards, processes over 77,000 admissions and releases annually, and manages an average daily inmate population of approximately 11,400 individuals.

FOCUS ON EQUITY

A disproportionate number of people placed in Department of Correction (DOC) custody come from some of New York City's lowest income neighborhoods, including the South Bronx, central Brooklyn, northern Manhattan and eastern Queens. Efforts to enhance safety and improve jail conditions and inmate outcomes therefore advance the City's concerns about equity. DOC operates two major re-entry initiatives designed to reduce recidivism—the Adolescent Behavioral Learning Experience (ABLE) for younger inmates and the Individualized Correction Achievement Network (I-CAN) for adults—and collaborates with the Department of Health and Mental Hygiene (DOHMH) to provide discharge planning for inmates with a mental health diagnosis. DOC is part of Mayor Bill de Blasio's Task Force on Behavioral Health and the Criminal Justice System, which, as part of its efforts, is developing strategies to improve mental health care services for mentally ill people involved in the justice system, including those in custody. With an additional \$32 million in safety funding authorized for Fiscal 2015, the Department has begun implementing significant new measures, which are expected to reduce violence and improve long-term inmate outcomes. In addition, the Department has started to address the adolescent and young adult populations within its facilities. DOC is improving programs, initiating therapeutic models, increasing staff to inmate ratios, and revising its punitive segregation policies for these key populations. The Department will build off these efforts and continue to expand upon its broader reform agenda to create safer jails for both inmates and staff.

OUR SERVICES AND GOALS

SERVICE 1 Provide a safe and secure environment for inmates, staff and host communities.

- Goal 1a Ensure the security and safety of inmates in DOC custody.
- Goal 1b Ensure that use of force is authorized and appropriate.
- Goal 1c Provide inmates with timely access to health services.
- Goal 1d Maximize bed capacity and address cell maintenance and repairs in a timely manner.
- Goal 1e Ensure timely transport of inmates to courts throughout the City.

SERVICE 2 Prepare inmates for return to their neighborhoods as civil and contributing members.

- Goal 2a Prepare as many inmates as possible for successful release through participation in skills-building programs including educational opportunities, jobs training, behavioral interventions and mental health services.
- Goal 2b Reduce idleness by increasing inmate participation in mandated and other programs, services and activities.

SERVICE 3 Provide correction-related services and information to the public.

- Goal 3a Provide timely notifications to crime victims.

HOW WE PERFORMED

- During the first four months of Fiscal 2015, the average daily population (ADP) was 10,817, a decrease of eight percent from 11,726 at the same time the prior year. At the same time, the percentage of inmates with gang memberships, high classification felony crimes, and previous violent history declined from 8.2 percent to 6.5 percent of ADP.
- The Department disciplines inmates who assault staff and pursues their arrest and prosecution by the district attorney. Arrests of inmates for jail-based criminal misconduct increased 83 percent during the reporting period with 385 arrests during the first four months of Fiscal 2015, up from 211 in the same period of Fiscal 2014. The most common reasons for jail-based arrests of inmates involved possession of contraband, assaults on staff and obstruction of government administration.
- The Department conducted four percent more searches during the first four months of Fiscal 2015, when 85,863 searches were conducted, compared to 82,833 during the same period in Fiscal 2014. Despite this, the number of weapons recovered decreased 17 percent, from 834 to 696. The Department ended the use of full body scanning imaging equipment in March 2014, which has impacted weapons recoveries during admission. The Department is actively pursuing other tools and procedures to improve its search capabilities, on inmates and staff.
- There was a 52 percent increase in the number of slashings and stabbings during the first four months of Fiscal 2015, up to 32 incidents from 21 incidents during the same period in in Fiscal 2014. In addition, a three percent rise in the number of inmate-on-inmate fights led to an 11.5 percent rise in the overall rate of violent inmate-on-inmate incidents per 1,000 ADP, which increased from 30.9 to 34.5 per 1,000 ADP. The rate per 1,000 ADP of serious injuries to inmates as a result of violent inmate-on-inmate incidents increased 39 percent, from 1.7 to 2.3 per 1,000 ADP during the reporting period. The rate of inmate assaults on staff per 1,000 ADP increased 71 percent to 8.7 per 1,000 ADP. Assaults on staff encompass all physical contacts of any kind by an inmate on a uniformed employee. The rate of serious injuries to staff as a result of inmate assaults declined 39.5 percent, from 0.38 per 1,000 ADP to 0.23 per 1,000 ADP.
- In the first four months of Fiscal 2015, there were 1,454 uses of force in the jails. Where force is warranted, the Department uses the least restrictive means possible. The rate of use of force incidents that resulted in serious injury increased eight percent to 1.06 per 1,000 ADP, slightly higher than the average of the previous three years. During the same period, the rate of use of force incidents that resulted in minor injury increased by 31 percent to 14.9 per 1,000. The rate of incidents resulting in no injury increased by 36 percent to 17.6 per 1,000 ADP.
- The Department focused considerable attention on its adolescent population and achieved great success with its adolescent management initiatives. During the first four months of Fiscal 2015, there were 72 uses of force with adolescent inmates, a 37 percent decrease from the 114 such incidents compared to the same period in Fiscal 2014. In addition, the Department ended punitive segregation for adolescents, reducing the number of adolescent inmates in punitive segregation from a height of 91 in Fiscal 2014 to zero.
- The Department delivered 92 percent of inmates to court on-time in Fiscal 2015, up slightly from 91.4 percent in Fiscal 2014, and below the target of 95 percent. DOC's management plan to improve on-time court arrivals includes and emphasizes staging inmates earlier, ensuring additional bus availability to transport inmates outside of the existing schedule when necessary, and dispatching buses on-schedule. DOC notifies judges any time it is anticipated that an inmate will be late for a scheduled court appearance to allow for other business to proceed before the inmate arrives.
- During the first four months of Fiscal 2015, 40 percent of DOC's average daily population had a mental health diagnosis, up from 38 percent in Fiscal 2014 and 29 percent in Fiscal 2010. The proportion of inmates with a diagnosis of serious mental illness was 11 percent as of October 2014, up from 10 percent during the same period last year.

SERVICE 1 Provide a safe and secure environment for inmates, staff and host communities.

Goal 1a Ensure the security and safety of inmates in DOC custody.

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Admissions	84,754	81,758	77,141	*	*	27,258	24,892
Average daily population	12,287	11,827	11,408	*	*	11,726	10,817
Average daily population - adolescent inmates	NA	681	489	*	*	579	252
Inmates in security risk group (% ADP)	NA	9.9%	8.2%	*	*	8.2%	6.5%
Fight/assault infractions	7,552	7,622	8,827	*	*	2,756	2,846
Jail-based arrests of inmates	650	798	995	*	*	211	385
Searches	225,501	247,868	251,343	*	*	82,833	85,863
Weapons recovered	2,324	2,162	2,348	*	*	834	696
★ Violent inmate-on-inmate incidents (monthly rate per 1,000 ADP)	26.9	27.2	32.9	↓	↓	30.9	34.5
★ Serious injury to inmate(s) as a result of violent inmate-on-inmate incidents (monthly rate per 1,000 ADP)	1.3	1.4	1.8	↓	↓	1.7	2.3
★ Inmate assault on staff (monthly rate per 1,000 ADP)	4.0	4.7	5.9	↓	↓	5.1	8.7
★ Serious injury to staff as a result of inmate assault on staff (monthly rate per 1,000 ADP)	0.27	0.20	0.39	↓	↓	0.38	0.23
★ Escapes	0	1	0	↓	↓	0	0
★ Non-natural deaths of inmates in custody	2	3	2	↓	↓	1	0

★ Critical Indicator "NA" - means Not Available in this report ↓ ↑ shows desired direction

Goal 1b Ensure that use of force is authorized and appropriate.

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Incidents of use of force – total	NA	2,977	3,779	*	*	1,192	1,454
Incidents of use of force - adolescent inmates	NA	715	624	*	*	114	72
★ Department use of force incidents with serious injury (rate per 1,000 ADP)	1.00	0.92	1.18	↓	↓	0.98	1.06
Department use of force incidents with minor injury (rate per 1,000 ADP)	8.15	10.95	13.23	*	*	11.39	14.93
Department use of force incidents with no injury (rate per 1,000 ADP)	7.20	9.11	13.19	*	*	12.96	17.61
Incidents and allegations of use of force	2,837	3,413	4,221	*	*	1,361	1,599

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Goal 1c Provide inmates with timely access to health services.

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Inmates with a mental health diagnosis (% ADP)	34.0%	37.0%	38.0%	*	*	38.0%	40.0%
Inmates with a serious mental health diagnosis (% ADP)	NA	9.5%	10.2%	*	*	10.0%	11.0%
Inmate health clinic visits	83,914	75,664	77,825	*	*	25,617	27,942
★ - Average clinic waiting time (minutes)	28	35	41	*	*	45	34

★ Critical Indicator "NA" - means Not Available in this report ↓ ↑ shows desired direction

Goal 1d Maximize bed capacity and address cell maintenance and repairs in a timely manner.

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Jail-cells unavailable (short-term repair) (%)	1.8%	3.1%	2.8%	1.0%	1.0%	3.2%	1.6%
★ Population as percent of capacity (%)	92%	89%	86%	96%	96%	87%	84%

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Goal 1e Ensure timely transport of inmates to courts throughout the City.

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
★ On-trial inmates delivered to court on-time (%)	96.9%	94.9%	94.2%	95.0%	95.0%	91.4%	92.0%

★ Critical Indicator "NA" - means Not Available in this report ↕ shows desired direction

SERVICE 2 Prepare inmates for return to their neighborhoods as civil and contributing members.

Goal 2a Prepare as many inmates as possible for successful release through participation in skills-building programs including educational opportunities, jobs training, behavioral interventions and mental health services.

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
I-CAN Referrals	NA	1,420	4,117	*	*	1,458	1,298
★ I-CAN Enrollments	NA	634	2,408	*	*	784	821
I-CAN Workshops	NA	333	1,580	*	*	497	678

★ Critical Indicator "NA" - means Not Available in this report ↕ shows desired direction

Goal 2b Reduce idleness by increasing inmate participation in mandated and other programs, services and activities.

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Average daily number of inmates in vocational skills training programs	148	204	216	*	*	173	276
Average daily attendance in school programs	713	693	526	*	*	576	250
★ Inmates participating in skills-building activities/discharge planning (%)	10.0%	10.9%	10.3%	10.0%	10.0%	8.2%	NA

★ Critical Indicator "NA" - means Not Available in this report ↕ shows desired direction

SERVICE 3 Provide correction-related services and information to the public.

Goal 3a Provide timely notifications to crime victims.

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Victim Identification Notification Everyday (VINE) system registrations	16,111	14,929	15,291	*	*	5,015	5,072
VINE confirmed notifications	16,925	17,396	18,445	*	*	6,155	6,828

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AGENCY-WIDE MANAGEMENT

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Collisions involving City vehicles	69	60	104	*	*	39	28
Workplace injuries reported	NA	2,545	3,599	*	*	1,039	1,108
Accidents involving inmates	38	43	38	*	*	15	12

AGENCY CUSTOMER SERVICE

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Customer Experience							
Letters responded to in 14 days (%)	91.4%	82.7%	99.6%	*	*	100.0%	98.6%
E-mails responded to in 14 days (%)	100.0%	99.9%	100.0%	*	*	100.0%	100.0%

AGENCY RESOURCES

Resource Statistics	Actual			Sept. 2014 MMR Plan	Updated Plan	Plan	4-Month Actual	
	FY12	FY13	FY14	FY15	FY15 ¹	FY16 ¹	FY14	FY15
Expenditures (\$000,000) ²	\$1,078.8	\$1,090.9	\$1,103.1	\$1,095.5	\$1,142.3	\$1,170.5	\$342.0	\$352.9
Revenues (\$000,000)	\$24.4	\$22.5	\$21.8	\$21.7	\$21.6	\$21.7	\$7.0	\$6.4
Personnel (uniformed)	8,540	8,991	8,922	9,109	9,537	9,526	9,200	8,711
Personnel (civilian)	1,459	1,394	1,397	1,656	1,776	1,777	1,392	1,384
Overtime paid (\$000,000)	\$144.7	\$154.6	\$139.1	\$93.4	\$124.3	\$84.5	\$42.1	\$46.4
Capital commitments (\$000,000)	\$95.4	\$104.2	\$124.8	\$678.3	\$401.5	\$205.3	\$104.4	\$25.5

¹February 2015 Financial Plan ²Expenditures include all funds. "NA" - Not Available in this report

NOTEWORTHY CHANGES, ADDITIONS OR DELETIONS

- DOC introduced new indicators in this report: 'Inmates with a serious mental illness (SMI) diagnosis (% ADP)', 'Incidents of use of force - total', 'Incidents of use of force - adolescent inmates' and 'Inmates in security risk group (% ADP)'.
- Four-month Fiscal 2015 data is not available for the indicator 'Inmates participating in skills-building activities/discharge planning (%)'. Full-fiscal year data will be reported in the Fiscal 2015 Mayor's Management Report.

ADDITIONAL RESOURCES

- Select annual indicators:
http://www.nyc.gov/html/doc/html/stats/doc_stats.shtml

For more information on the agency, please visit: www.nyc.gov/doc.