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THE CITY RECORD

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PUBLIC HEARINGS AND MEETINGS

See Also: Procurement; Agency Rules

BOARD MEETINGS

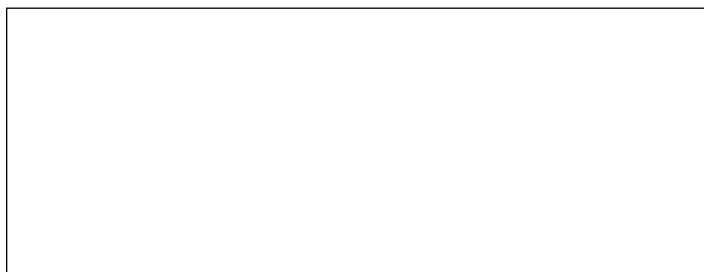
MEETING

City Planning Commission

Meets in Spector Hall, 22 Reade Street, New York, NY 10007, twice monthly on Wednesday, at 10:00 A.M., unless otherwise ordered by the Commission.

City Council

Meets by Charter twice a month in Councilman's Chamber, City Hall,



Manhattan, NY 10007, at 1:30 P.M.

Contract Awards Public Hearing

Meets in Spector Hall, 22 Reade Street, Main Floor, Manhattan, weekly, on Thursday, commencing 10:00 A.M., and other days, times and location as warranted.

Civilian Complaint Review Board

Generally meets at 10:00 A.M. on the second Wednesday of each month at 40 Rector Street, 2nd Floor, New York, NY 10006. Visit <http://www.nyc.gov/html/ccrb/html/meeting.html> for additional information and scheduling changes.

Design Commission

Meets at City Hall, Third Floor, New York, NY 10007. For meeting schedule, please visit nyc.gov/designcommission or call (212) 788-3071.

Department of Education

Meets in the Hall of the Board for a monthly business meeting on the Third Wednesday, of each month at 6:00 P.M. The Annual Meeting is held on the first Tuesday of July at 10:00 A.M.

Board of Elections

32 Broadway, 7th Floor, New York, NY 10004, on Tuesday, at 1:30 P.M. and at the call of the Commissioner.

Environmental Control Board

Meets at 100 Church Street, 12th Floor, Training Room #143, New York, NY 10007 at 9:15 A.M. once a month at the call of the Chairman.

Board of Health

Meets at Gotham Center, 42-09 28th Street, Long Island City, NY 11101, at 10:00 A.M., quarterly or at the call of the Chairman.

Health Insurance Board

Meets in Room 530, Municipal Building, Manhattan, NY 10007, at the call of the Chairman.

Board of Higher Education

Meets at 535 East 80th Street, Manhattan, NY 10021, at 5:30 P.M., on fourth Monday in January, February, March, April, June, September, October, November and December. Annual meeting held on fourth Monday in May.

Citywide Administrative Services

Division of Citywide Personnel Services will hold hearings as needed in Room 2203, 2 Washington Street, New York, NY 10004.

Commission on Human Rights

Meets on 10th Floor in the Commission's Central Office, 40 Rector Street, New York, NY 10006, on the fourth Wednesday of each month, at 8:00 A.M.

In Rem Foreclosure Release Board

Meets in Spector Hall, 22 Reade Street, Main Floor, Manhattan, Monthly on Tuesdays, commencing 10:00 A.M., and other days, times and location as warranted.

Franchise and Concession Review Committee

Meets in Spector Hall, 22 Reade Street, Main Floor, and other days, times and location as warranted.

Real Property Acquisition and Disposition

Meets in Spector Hall, 22 Reade Street, Main Floor, Manhattan, bi-weekly, on Wednesdays, commencing 10:00 A.M., and other days, times and location as warranted.

Landmarks Preservation Commission

Meets in the Hearing Room, Municipal Building, 9th Floor North, 1 Centre Street in Manhattan on approximately three Tuesday's each month, commencing at 9:30 A.M. unless otherwise noticed by the Commission. For current meeting dates, times and agendas, please visit our website at www.nyc.gov/landmarks.

Employees' Retirement System

Meets in the Boardroom, 22nd Floor, 335 Adams Street, Brooklyn, NY 11201, at 9:30 A.M., on the third Thursday of each month, at the call of the Chairman.

Housing Authority

Board Meetings of the New York City Housing Authority are scheduled for the last Wednesday of each month (except August) at 10:00 A.M. in the Board Room on the 12th Floor of 250 Broadway, New York, NY 10007 (unless otherwise noted). Any changes to the schedule will be posted here and on NYCHA's website at http://www.nyc.gov/html/nycha/html/about/boardmeeting_schedule.shtml to the extent practicable at a reasonable time before the meeting. For additional information, please visit NYCHA's website or contact (212) 306-6088.

Parole Commission

Meets at its office, 100 Centre Street, Manhattan, NY 10013, on Thursday, at 10:30 A.M.

Board of Revision of Awards

Meets in Room 603, Municipal Building, Manhattan, NY 10007, at the call of the Chairman.

Board of Standards and Appeals

Meets at 40 Rector Street, 6th Floor, Hearing Room "E" on Tuesdays at 10:00 A.M. Review Sessions begin at 9:30 A.M. and are customarily held on Mondays preceding a Tuesday public hearing in the BSA conference room on the 9th Floor of 40 Rector Street. For changes in the schedule, or additional information, please call the Application Desk at (212) 513-4670 or consult the bulletin board at the Board's Offices, at 40 Rector Street, 9th Floor.

Tax Commission

Meets in Room 936, Municipal Building, Manhattan, NY 10007, each month at the call of the President. Manhattan, monthly on Wednesdays, commencing 2:30 P.M.

CITY PLANNING

MEETING

**PUBLIC NOTICE OF A SCOPING MEETING
DRAFT ENVIRONMENTAL IMPACT STATEMENT
(CEQR No. 17DCP001M)**

NOTICE IS HEREBY GIVEN that pursuant to Section 5-07 of the Rules of Procedure for Environmental Review (CEQR) and 6 NYCRR 617.8 (State Environmental Quality Review) that the New York City Department of City Planning (DCP), acting on behalf of the City Planning Commission (CPC) as CEQR lead agency, has determined that a Draft Environmental Impact Statement (DEIS) is to be prepared for the Greater East Midtown Rezoning project (CEQR No. 17DCP001M).

The CEQR lead agency hereby requests that the applicant prepare or have prepared, at their option, a Draft Environmental Impact Statement (DEIS) in accordance with 6 NYCRR 617.9(b) and Sections 6-08 and 6-12 of Executive Order No. 91 of 1977 as amended (City Environmental Quality Review).

A public scoping meeting has been scheduled for Thursday, September 22nd, 2016, and will be held in the Manhattan Municipal Building, Mezzanine level, 1 Centre Street, New York, NY 10007; access through the North Entrance. The public meeting will be held in two sessions with the first session starting at 2:00 P.M. and the second starting at 6:00 P.M. Written comments will be accepted by the lead agency until the close of business on Tuesday, October 4, 2016.

The New York City Department of City Planning (DCP) is proposing a zoning text amendment and a zoning map amendment (collectively the "Proposed Action") affecting an approximately 78 block area within the Greater East Midtown neighborhood of Manhattan Community Districts 5 and 6. The affected area is generally bounded by East 57th Street to the north, East 39th Street to the south, Second and Third Avenues to the east and Fifth Avenue to the west.

The Proposed Action includes:

1. A zoning text amendment to establish the East Midtown Subdistrict (the "Subdistrict") within the Special Midtown District that would supersede and subsume the existing Grand Central Subdistrict; and,
2. A zoning map amendment to replace an existing C5-2 district with a C5-3 district, and extend the Special Midtown District and the East Midtown Subdistrict over the proposed C5-3 district, in the area bounded by East 43rd Street to the north, East 42nd Street to the south, Second Avenue to the east, and a line 200 feet easterly of Third Avenue to the west.

The Proposed Action is intended to: a) protect and strengthen Greater East Midtown as a regional job center and premiere central business district by seeding the area with new modern and sustainable office buildings; b) help preserve and maintain landmarked buildings by permitting their unused development rights to transfer within the district's boundary; c) permit overbuilt buildings to retain their non-complying floor area as part of a new development on the site; d) upgrade the area's public realm through improvements that create pedestrian friendly public spaces and that facilitates safer, more pleasant pedestrian circulation within the transit stations and the street network; and e) maintain and enhance key characteristics of the area's built environment such as access to light and air, active retail corridors, and the iconic street wall character in the area surrounding Grand Central Terminal.

The analysis year for this proposal is 2036.

Copies of the Draft Scope of Work and the Environmental Assessment Statement may be obtained from the Environmental Assessment and Review Division, New York City Department of City Planning, 120 Broadway, 31st Floor, New York, NY 10271, Robert Dobruskin, AICP, Director (212) 720-3423; or from the Mayor's Office of Sustainability, 253 Broadway, 14th Floor, New York, NY 10007, Esther Brunner Deputy Director (212) 676-3293. The Draft Scope of Work and scoping protocol will also be made available for download at www.nyc.gov/planning. Public comments are requested with respect to issues to be addressed in the draft environmental impact statement.



a22

CITY PLANNING COMMISSION

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that resolutions have been adopted by the City Planning Commission, scheduling public hearings on the following matters to be held at Spector Hall, 22 Reade Street, New York, NY, on Wednesday, August 24, 2016 at 10:00 A.M.

BOROUGH OF BROOKLYN

No. 1

BROOKLYN COMMUNITY BOARD 10 TEXT AMENDMENT

CD 10

N 160377 ZRK

IN THE MATTER OF an application submitted by Community Board 10, Brooklyn, pursuant to Section 201 of the New York City Charter for an amendment of the Zoning Resolution of the City of New York, concerning Section 73-622 (Enlargements of single- and two-family detached and semi-detached residences), in Community District 10, Borough of Brooklyn.

Matter in underline is new, to be added;

Matter in ~~strikeout~~ is to be deleted;

Matter within # # is defined in Section 12-10;

*** indicates where unchanged text appears in the Zoning Resolution

Article VII
ADMINISTRATION

Chapter 3
Special Permits by the Board of Standards and Appeals

73-622
Enlargements of single- and two-family detached and semi-detached residences

The Board of Standards and Appeals may permit an enlargement# of an existing #single-# or #two-family detached# or #semi-detached residence# within the following areas:

- (a) Community Districts 10, 11 and 15, in the Borough of Brooklyn; and
- (b) R2 Districts within the area bounded by Avenue I, Nostrand Avenue, Kings Highway, Avenue O and Ocean Avenue, Community District 14, in the Borough of Brooklyn.

BOROUGH OF MANHATTAN
Nos. 2-7

550 WASHINGTON STREET/ SPECIAL HUDSON RIVER PARK DISTRICT
No. 2

CD 2 **N 160308 ZRM**
IN THE MATTER OF an application submitted by the Department of City Planning pursuant to Section 201 of the New York City Charter, for an amendment of the Zoning Resolution of the City of New York, relating to Article VIII, Chapter 9 (Special Hudson River Park District) to establish the Special Hudson River Park District within Community District 2, Borough of Manhattan.

Matter in underline is new, to be added;
Matter in ~~strikeout~~ is old, to be deleted;
Matter within # # is defined in Section 12-10;
*** indicates where unchanged text appears in the Zoning Resolution

Article I: GENERAL PROVISIONS

Chapter 1 - Title, Establishment of Controls and Interpretation of Regulations

11-122
Districts established

Special Purpose Districts

Establishment of the Special Hillside Preservation District

In order to carry out the special purposes of this Resolution as set forth in Article XI, Chapter 9, the #Special Hillside Preservation District# is hereby established.

Establishment of the Special Hudson River Park District

In order to carry out the special purposes of this Resolution as set forth in Article VIII, Chapter 9, the #Special Hudson River Park District# is hereby established.

Establishment of the Special Hudson Square District

In order to carry out the special purposes of this Resolution as set forth in Article VIII, Chapter 8, the #Special Hudson Square District# is hereby established.

Chapter 2 - Construction of Language and Definitions

12-10
DEFINITIONS

Special Hillside Preservation District

The "Special Hillside Preservation District" is a Special Purpose District mapped in Staten Island designated by the letters "HS" in which special regulations set forth in Article XI, Chapter 9, apply.

Special Hudson River Park District

The "Special Hudson River Park District" is a Special Purpose District designated by the letters "HRP" in which special regulations set forth in Article VIII, Chapter 9, apply.

Special Hudson Square District

The "Special Hudson Square District" is a Special Purpose District designated by the letters "HSQ" in which special regulations set forth in Article VIII, Chapter 8, apply.

Article VIII: SPECIAL PURPOSE DISTRICTS

Chapter 9: Special Hudson River Park District

89-00
GENERAL PURPOSES

The "Special Hudson River Park District" established in this Resolution is designed to promote and protect public health, safety, general welfare and amenity. These general goals include, among others, the following specific purposes:

- (a) to facilitate the repair and rehabilitation of piers, bulkheads and infrastructure within Hudson River Park, and to facilitate their maintenance and development, through the transfer of development rights within the Special Hudson River Park District;
- (b) to promote an appropriate range of uses that complements Hudson River Park and, to the extent housing is included, to serve residents of varied income levels; and
- (c) to promote the most desirable use of land and development in this area and thus to conserve the value of land and buildings and thereby protect the City's tax revenues.

89-01
General Provisions

The provisions of this Chapter shall apply within the #Special Hudson River Park District#. The regulations of all other Chapters of this Resolution are applicable, except as superseded, supplemented or modified by the provisions of this Chapter. In the event of a conflict between the provisions of this Chapter and other regulations of this Resolution, the provisions of this Chapter shall control. However, in #flood zones#, in the event of a conflict between the provisions of this Chapter and the provisions of Article VI, Chapter 4 (Special Regulations Applying in Flood Hazard Areas), the provisions of Article VI, Chapter 4, shall control.

89-02
Definitions

For the purposes of this Section, matter in italics is defined in Section 12-10 (DEFINITIONS) or within this Section.

Granting site

Within the #Special Hudson River Park District#, the "granting site" is a #zoning lot#, within the area identified as "A1" on the map in the Appendix, upon which development is regulated by contract, lease, covenant, declaration or otherwise to assure compliance with the purposes of this Special District and from which floor area may be transferred.

Receiving site

Within the #Special Hudson River Park District#, the "receiving site" is a #zoning lot#, within the area identified as "A2" on the map in the Appendix, to which floor area of the #granting site# may be transferred.

89-03
District Plan and Maps

The regulations of this Chapter are designed to implement the #Special Hudson River Park District# Plan. The District Plan includes the map, "Special Hudson River Park District" in the Appendix to this Chapter which is hereby incorporated and made part of this Resolution for the purpose of specifying locations where special regulations and requirements set forth in this Chapter apply.

89-10
USE AND BULK REGULATIONS

The #use# and #bulk# regulations applicable to the #receiving site# shall be modified as follows:

(a) C6-4 Districts

The #use# and #bulk# regulations of the C6-4 District shall not apply. In lieu thereof, the #use# and #bulk# regulations of an M1-5 District shall apply.

(b) C6-3 and M1-5 Districts

The #use# and #bulk# regulations of the C6-3 and M1-5 Districts shall not apply. In lieu thereof, the #use# and #bulk# regulations of an M2-4 District shall apply.

However, on a #receiving site#, for any #development# or #enlargement# that is the subject of a special permit granted by the City Planning Commission pursuant to Section 89-21 (Transfer of Floor Area from Hudson River Park), the #use# and #bulk# regulations of the underlying C6-3, C6-4 or M1-5 District shall only apply to such approved #development# or #enlargement#.

89-20 SPECIAL PERMITS

89-21 Transfer of Floor Area from Hudson River Park

The City Planning Commission may permit a transfer of #floor area# from a #granting site# to a #receiving site#, may permit distribution of total allowable #floor area# of a #receiving site# without regard for zoning district boundaries, may permit that such #receiving site# be treated as a single #zoning lot# for all purposes of this Resolution, and may modify #bulk# regulations for a #development#, #enlargement# or #conversion# located on such #receiving site#.

(a) Application requirements

All applications for a special permit pursuant to this Section shall include the following:

- (1) a survey of the #granting site# illustrating existing #buildings# to remain on the #granting site# and zoning calculations indicating the #floor area# on the #granting site# and within such #buildings#;
- (2) a survey of the #receiving site# and a site plan illustrating the proposed #development#, #enlargement# or #conversion# on such lot, and associated zoning calculations demonstrating compliance with the conditions and limitations set forth in this special permit;
- (3) drawings that illustrate any proposed #bulk# modifications for the proposed #development#, #enlargement# or #conversion# on the #receiving site#; and
- (5) a statement from the Hudson River Park Trust identifying improvements to be made to Hudson River Park, and indicating that the transfer of #floor area# pursuant to this Section, in combination with any other available funding, would be sufficient, according to the Trust's estimate, to complete such identified improvements.

(b) Conditions and limitations

All applications for a special permit pursuant to this Section shall comply with the following conditions:

- (1) the maximum #floor area# that may be transferred from the #granting site# shall be the maximum #floor area# permitted for the #granting site# under the applicable district regulations if it were undeveloped, less the #floor area# of all #buildings# on such #granting site#;
- (2) the increase in #floor area# on the #receiving site# allowed by the transfer of #floor area# to such #receiving site# shall in no event exceed 20 percent of the maximum #floor area# permitted on such #receiving site# by the underlying district;
- (3) the transfer, once completed, shall irrevocably reduce the amount of #floor area# that can be utilized by the #granting site# by the amount of #floor area# transferred;
- (4) the #granting site# and location of identified improvements to be made to the Hudson River Park in connection with the proposed transfer of #floor area# are located in the same Community District as the #receiving site#, or within one-half mile of the #receiving site#;

- (5) if the proposed #development#, #enlargement# or #conversion# on the #receiving site# includes #residential floor area#, it shall provide #affordable housing# in accordance with Section 23-90 (Inclusionary Housing);
- (6) the portion of the #receiving site# located over West Houston Street shall not generate #floor area#, and no #floor area# shall be located directly above West Houston Street; and
- (7) the height and setback requirements of the applicable district shall apply to the portions of the #receiving site# located on each side of the mapped #street lines# of West Houston Street.

(c) Findings

The Commission may grant the transfer of #floor area# and any associated #bulk# modifications, provided that:

- (1) such transfer of #floor area# will facilitate the repair, rehabilitation, maintenance and development of Hudson River Park, including its piers, bulkheads and infrastructure; and
- (2) the transfer of #floor area# will support the completion of improvements to Hudson River Park as identified in the statement submitted to the Commission by the Trust as part of this application; and
- (3) for the #receiving site#:
 - (i) the proposed configuration and design of #buildings#, including any associated structures and open areas, will result in a superior site plan, and such #buildings# and open areas will relate harmoniously with one another and with adjacent #buildings# and open areas;
 - (ii) the location and quantity of the proposed mix of #uses# will complement the site plan;
 - (iii) the proposed transfer of #floor area# and any modification to #bulk# regulations will not unduly increase the #bulk# of any #building# on the #receiving site# or unduly obstruct access of adequate light and air to the detriment of the occupants or users of #buildings# on the #block# or nearby #blocks#, or of people using the public #streets# and other public spaces;
 - (iv) such transferred #floor area# and any proposed modifications to #bulk# are appropriate in relation to the identified improvements to Hudson River Park; and
 - (v) any #affordable housing#, as defined in Section 23-90 (Inclusionary Housing), that is provided as part of the project will support the objectives of the Inclusionary Housing Program.

(d) Additional requirements

The City Planning Commission shall receive a copy of a transfer instrument legally sufficient in both form and content to effect such a transfer of #floor area#. Notices of the restriction upon further #development#, #enlargement# or #conversion# of the #granting site# and the #receiving site# shall be filed by the owners of the respective #zoning lots# in the Office of the Register of the City of New York (County of New York). Proof of recordation of the notices shall be submitted to the Chairperson of the City Planning Commission, in a form acceptable to the Chairperson.

Both the transfer instrument and the notices of restriction shall specify the total amount of #floor area# transferred and shall specify, by lot and block numbers, the #granting site# and the #receiving site# that are a party to such transfer.

On a #receiving site#, for any #development# or an #enlargement# that is the subject of a special permit granted by the Commission pursuant to Section 89-21 (Transfer of Floor Area from Hudson River Park), the Department of Buildings shall not:

- (1) issue a building permit until the Chairperson of the Commission has certified that the owner of the #receiving site# and the Hudson River Park Trust have jointly executed documents sufficient to facilitate a payment schedule associated with the transfer of #floor area#; or
- (2) issue a temporary certificate of occupancy until the Chairperson of the Commission has certified that the Hudson River Park Trust has submitted a letter to the Chairperson confirming that payment of all required funds has been made by the owner of such #receiving site# to the Hudson River Park Trust, and that all required funding tools and/or payments are in satisfactory compliance with the executed payment schedule.

The Commission may prescribe additional appropriate conditions and safeguards to improve the quality of the #development# or #enlargement# and minimize adverse effects on the character of the surrounding area.

APPENDIX

* * *

No. 3

CD 2 C 160309 ZMM

IN THE MATTER OF an application submitted by SJC 33 Owner 2015 LLC pursuant to Section 197-c and 201 of the New York City Charter for the amendment of the Zoning Map, Section No. 12a:

1. changing from an M1-5 District to a C6-4 District property bounded by Clarkson Street, Washington Street, West Houston Street, and West Street;
2. changing from an M2-4 District to a C6-3 District property bounded by West Houston Street, Washington Street, a line 596 feet northerly of Spring Street, and West Street;
3. changing from an M2-4 District to an M1-5 District property bounded by a line 596 feet northerly of Spring Street, Washington Street, a line 415 feet northerly of Spring Street, and West Street; and
4. establishing a Special Hudson River Park District bounded by:
 - a. Clarkson Street, Washington Street, a line 415 feet northerly of Spring Street, and West Street; and
 - b. a line 57 feet northerly of the westerly prolongation of the northerly street line of Leroy Street, the U.S. Pierhead Line, a line 1118 feet southerly of the westerly prolongation of the northerly street line of Leroy Street, and the U.S. Bulkhead Line;

as shown on a diagram (for illustrative purposes only) dated May 9, 2016.

No. 4

CD 2 C 160310 ZSM

IN THE MATTER OF an application submitted by SJC 33 Owner 2015 LLC pursuant to Section 197-c and 201 of the New York City Charter for the grant of a special permit pursuant to Section 127-21* of the Zoning Resolution to allow the distribution of 200,000 square feet of floor area from a granting site (A1*, Block 656, Lot 1) to a receiving site (A2*, Block 596, Lot 1), and to modify the height and setback requirements of Sections 23-60 (Height and Setback Regulations) and Section 43-40 (Height and Setback Regulations), the height factor requirements of 23-151 (Basic regulations for R6 through R9 Districts) and the rear yard requirements of Section 43-20 (Rear Yard Regulations), in connection with a proposed mixed use development, on property located at 550 Washington Street (Block 596, Lot 1), in C6-3**, C6-4** and M1-5** Districts, within the Special Hudson River Park District**.

*Note: Note: A zoning text amendment is proposed to create a Special Hudson River Park District under a concurrent related application (N 160308 ZRM).

**Note: The development site is proposed to be rezoned by changing M1-5 and M2-4 Districts to C6-3, C6-4 and M1-5 Districts, and by establishing a Special Hudson River Park District (HRP), under a concurrent related application C 160309 ZMM.

Plans for this proposal are on file with the City Planning Commission and may be seen at 120 Broadway, 31st Floor, New York, NY 10271-0001.

No. 5

CD 2 C 160311 ZSM

IN THE MATTER OF an application submitted by SJC 33 Owner 2015 LLC pursuant to Section 197-c and 201 of the New York City Charter for the grant of a special permit pursuant to Sections 13-45 and 13-451 of the Zoning Resolution to allow an attended accessory parking garage with a maximum capacity of 236 spaces on portions of the ground floor and cellar of a proposed mixed use development (North Site), on property located at 550 Washington Street (Block 596, Lot 1), in C6-3**, C6-4** and M1-5** Districts, within the Special Hudson River Park District**.

**Note: the development site is proposed to be rezoned by changing M1-5 and M2-4 Districts to C6-3, C6-4 and M1-5 Districts, and by establishing a Special Hudson River Park District (HRP), under a concurrent related application C 160309 ZMM.

Plans for this proposal are on file with the City Planning Commission and may be seen at 120 Broadway, 31st Floor, New York, NY 10271-0001.

No. 6

CD 2 C 160312 ZSM

IN THE MATTER OF an application submitted by SJC 33 Owner 2015 LLC pursuant to Section 197-c and 201 of the New York City Charter for the grant of a special permit pursuant to Sections 13-45 and 13-451 of the Zoning Resolution to allow an attended accessory parking garage with a maximum capacity of 372 spaces on portions of the ground floor and cellar of a proposed mixed use development (Center Site), on property located at 550 Washington Street (Block 596, Lot 1), in C6-3**, C6-4** and M1-5** Districts, within the Special Hudson River Park District**.

**Note: the development site is proposed to be rezoned by changing M1-5 and M2-4 Districts to C6-3, C6-4 and M1-5 Districts, and by establishing a Special Hudson River Park District (HRP), under a concurrent related application C 160309 ZMM.

Plans for this proposal are on file with the City Planning Commission and may be seen at 120 Broadway, 31st Floor, New York, NY 10271-0001.

No. 7

CD 2 C 160313 ZSM

IN THE MATTER OF an application submitted by SJC 33 Owner 2015 LLC pursuant to Section 197-c and 201 of the New York City Charter for the grant of a special permit pursuant to Sections 13-45 and 13-451 of the Zoning Resolution to allow an attended accessory parking garage with a maximum capacity of 164 spaces on portions of the ground floor and cellar of a proposed mixed use development (South Site), on property located at 550 Washington Street (Block 596, Lot 1), in C6-3**, C6-4** and M1-5** Districts, within the Special Hudson River Park District**.

**Note: the development site is proposed to be rezoned by changing M1-5 and M2-4 Districts to C6-3, C6-4 and M1-5 Districts, and by establishing a Special Hudson River Park District (HRP), under a concurrent related application C 160309 ZMM.

Plans for this proposal are on file with the City Planning Commission and may be seen at 120 Broadway, 31st Floor, New York, NY 10271-0001.

NOTICE

On Wednesday, August 24, 2016, at 10:00 A.M., at Spector Hall, 22 Reade Street, New York, NY, in Manhattan, a joint public hearing is being held by the City Planning Commission and the Hudson River Park Conservancy in conjunction with the above ULURP hearing to receive comments related to a Draft Environmental Impact Statement (DEIS) concerning applications by the New York City Department of City Planning (DCP) and a private applicant, SJC 33 Owner 2015 LLC. DCP is proposing a zoning text amendment to establish the Special Hudson River Park District, which would comprise Pier 40 and the development site. SJC 33 Owner 2015 LLC owns the development site and is proposing a zoning map amendment, zoning special permits, authorizations, and a Chairperson's certification. The proposed actions would facilitate the redevelopment of St. John's Terminal Building, at 550 Washington Street and would result in a mix of residential and commercial uses, public open space and parking. Written comments on the DEIS are requested and will be received and considered by the Lead Agency until Tuesday, September 6, 2016.

This hearing is being held pursuant to the State Environmental Quality Review Act (SEQRA) and City Environmental Quality Review (CEQR), CEQR No. 16DCP031M.

**No. 8
1968 SECOND AVENUE REZONING**

CD 11 C 160194 ZMM

IN THE MATTER OF an application submitted by 1968 Second Avenue Realty LLC pursuant to Section 197-c and 201 of the New York City Charter for the amendment of the Zoning Map, Section No. 6b, establishing within an existing R7A District a C1-5 District bounded by a line 100 feet easterly of Second Avenue, a line midway between East 101st Street and East 102nd Street, a line 155 feet easterly of Second Avenue, and East 101st Street, as shown on a diagram (for illustrative purposes only) dated May 9, 2016.

No. 9
1290 MADISON AVENUE

CD 8 C 160213 ZSM

IN THE MATTER OF an application submitted by Kayvan Hakim, Zimak Company pursuant to Sections 197-c and 201 of the New York City Charter for the grant of a special permit pursuant to Section 74-711 of the Zoning Resolution to modify the height requirements of Section 23-692 (Height limitations for narrow buildings or enlargements), the inner court requirements of Section 23-85 (Inner Court Regulations), the side yard requirements of Section 35-52 (Modification of Side Yard Requirements), and the street wall recess requirements of Section 99-052 (Recesses, balconies and dormers), to facilitate the enlargement of an existing 6-story and penthouse mixed-use building, on property located at 1290 Madison Avenue (Block 1503, Lot 56), in an R10/C1-5 District, within the Special Madison Avenue Preservation District, within the Expanded Carnegie Hall Historic District.

Plans for this proposal are on file with the City Planning Commission and may be seen at 120 Broadway, 31st Floor, New York, NY 10271-0001.

BOROUGH OF QUEENS
Nos. 10 & 11
SEAGIRT BOULEVARD REZONINGS
No. 10

CD 14 C 160033 ZMQ

IN THE MATTER OF an application submitted by Gleitman Realty Associates pursuant to Sections 197-c and 201 of the New York City Charter for an amendment of the Zoning Map, Section No. 31a, establishing within an existing R5 District a C1-3 District bounded by Heyson Road, Seagirt Boulevard, and Beach 13th Street, as shown on a diagram (for illustrative purposes only) dated May 23, 2016.

No. 11

CD 14 C 160351 ZMQ

IN THE MATTER OF an application submitted by Gleitman Realty Associates pursuant to Sections 197-c and 201 of the New York City Charter for an amendment of the Zoning Map, Section No. 31a:

1. changing from an R4-1 District to an R5 District property bounded by a line 55 feet southeasterly of Highland Court, Watjean Court, Fernside Place, and Seagirt Boulevard; and
2. establishing within the proposed R5 District a C1-3 District bounded by a line 55 feet southeasterly of Highland Court, a line 100 feet northeasterly of Seagirt Boulevard, Fernside Place, and Seagirt Boulevard;

as shown on a diagram (for illustrative purposes only) dated May 23, 2016.

BOROUGH OF THE BRONX
No. 12
1175 GRAND CONCOURSE OFFICE SPACE

CD 5 N 170027 PXX

IN THE MATTER OF a Notice of Intent to acquire office space submitted by the Department of Citywide Administrative Services, pursuant to Section 195 of the New York City Charter for use of property, located at 1175 Grand Concourse (Block 2822, Lot 7501) (Administration for Children's Services offices).

YVETTE V. GRUEL, Calendar Officer
City Planning Commission
120 Broadway, 31st Floor, New York, NY 10271
Telephone (212) 720-3370



a10-24

CITY UNIVERSITY

CENTRAL OFFICE

■ NOTICE

The Annual Manhattan Borough Hearing will take place on Monday, September 19, 2016 at 5:00 P.M., in Room 14-220, Baruch College Vertical Campus, at 55 Lexington Avenue (corner of 24th Street), New York, NY.

MAYOR'S OFFICE OF SUSTAINABILITY

■ PUBLIC HEARINGS

NOTICE OF PUBLIC SCOPING

The Office of the Deputy Mayor for Housing and Economic Development

Draft Scope of Work for an Environmental Impact Statement (EIS) Downtown Far Rockaway Redevelopment Project

NOTICE IS HEREBY GIVEN that a public scoping meeting will be held as detailed below for the Downtown Far Rockaway Redevelopment Project. The purpose of the scoping meeting is to provide the public with the opportunity to comment on the Draft Scope of Work proposed to be used to develop an Environmental Impact Statement (EIS) for the proposed Downtown Far Rockaway Redevelopment Project.

A public scoping meeting has been scheduled for Tuesday, September 20, 2016, at 6:30 P.M., and will be held at St John's Episcopal Hospital, Boces Conference Room, 510 Beach 20th Street, Far Rockaway, NY 11691. Translation services will be accommodated if required at a minimum five business days before the public scoping meeting (by Monday, September 12, 2016).

Comments on the Draft Scope of Work are invited and may be presented at the public scoping meeting and/or may be submitted in writing to the Mayor's Office of Sustainability until 5:00 P.M. on Monday, October 3, 2016 to the addresses below.

Directing that an Environmental Impact Statement be prepared, the Environmental Assessment Statement, Positive Declaration, and Draft Scope of Work were issued by the Office of the Deputy Mayor for Housing and Economic Development on August 19, 2016, and are available for review from the contact person listed below and on the websites of the Mayor's Office of Sustainability and the New York City Economic Development Corporation: www.nyc.gov/oec and www.nyced.com, respectively.

The City of New York, acting through the New York City Economic Development Corporation (EDC), is proposing a series of land use actions and other discretionary actions (collectively the "Proposed Actions") to implement recommendations of a comprehensive plan to redevelop and revitalize an approximately 25-block area of the Downtown Far Rockaway neighborhood of Queens, Community District 14. The affected area is generally bounded by Cornaga Avenue to the south; Beach 22nd Street, Beach Channel Drive and Redfern Avenue to the west and northwest; Gateway Boulevard to the southeast; and Central Avenue and Hassock Street to the east and northeast.

Within this area, the Proposed Actions are anticipated to facilitate construction of new residential, commercial, community facility, and publically-accessible open space. The reasonable worst case development scenario (RWCDS) for the Proposed Actions is comprised of new floor area on the proposed Downtown Far Rockaway Urban Renewal Area, two Disposition Sites, and 17 Projected Development Sites, which are all expected to be developed by the analysis year of 2032 (future With Action condition). The development of these sites is expected to result in an incremental increase (comparing future No Action with future With Action conditions) of approximately 3,027 dwelling units (DUs) [total residential floor area of 3,048,459 gross square feet (gsf)]; 152,935 gsf of commercial (retail) space; up to 86,947 gsf of community facility space; and new public plaza space on Mott Avenue between Central Avenue and Redfern Avenue (the "Proposed Project"). The RWCDS also identifies nine potential development sites which are considered possible but less likely to be developed by the analysis year of 2032.

The Proposed Project would require multiple City approvals. Some of these approvals are discretionary actions requiring review under the New York State Environmental Quality Review Act (SEQRA) and City Environmental Quality Review (CEQR). The Office of the Deputy Mayor for Housing and Economic Development will be the lead agency for CEQR. It is currently anticipated that the Proposed Project would require the following approvals:

- 1) Zoning map amendments to change existing R5, R5/C1-2, R5/C2-2, C4-2, C8-1 and M1-1 districts to R5, R5/C2-4, R6, R6/C2-4, C4-2 and C4-4 districts within the Rezoning Area. All of the existing C1-2 and C2-2 commercial overlays within the Rezoning Area would be replaced or removed;
- 2) Zoning text amendments to the New York City Zoning Resolution (ZR) would:

- a. Establish the Special Downtown Far Rockaway District within the Rezoning Area to modify underlying zoning to require active ground floors where commercial uses are allowed, adjust maximum permitted base and building heights to reflect Downtown Far Rockaway's existing built scale, and to adjust accessory off-street parking requirements to reflect the area's higher auto ownership rates;
 - b. Establish the Special District as a Mandatory Inclusionary Housing Area (MIHA) in Appendix F of the Zoning Resolution;
 - c. Establish a City Planning Commission Special Permit for Transient Hotels within the Special District in order to ensure area residential goals are achieved and new transient hotels fit within the context of Downtown Far Rockaway's neighborhood character and would minimize vehicular traffic and congestion;
- 3) Disposition of two city-owned properties (Block 15534, Lot 70 and Block 15705, Lots 59 and 69), which requires approval through the City's Uniform Land Use Review Procedure (ULURP) pursuant to New York City Charter Section 197 (c) and approval pursuant to New York City Charter Section 384(b)(4);
 - 4) Acquisition of real property to facilitate implementation of the Proposed Project. The City of New York may acquire certain property through negotiated acquisition or through eminent domain. Any property acquired through eminent domain would be done in compliance with the provisions of the New York State Eminent Domain Procedure Law and the New York City Administrative Code; and
 - 5) Establish the Downtown Far Rockaway Urban Renewal Area (DFRURA). The City, acting through the New York City Department of Housing Preservation and Development (HPD), proposes the establishment of a URA for Downtown Far Rockaway. Establishing the URA would provide the City with authority to dispose of City-owned property within the Downtown Far Rockaway URA for redevelopment in accordance with the Downtown Far Rockaway Urban Renewal Plan (DFRURP).

In addition, the Proposed Project requires the approval from the MTA Board of Directors authorizing the surrender of the MTA's leasehold interest in Block 15705, Lot 69. The two Disposition Sites currently under DOT/MTA and DSNY jurisdiction (Block 15705, Lots 59 and 69, and Block 15534, Lot 70, respectively) would be disposed to a private developer for redevelopment via a competitive Request for Proposal process by EDC and HPD.

Finally, a number of Projected and Potential Development Sites within the Rezoning Area and a portion of the URA are built within mapped street widening lines. Future development on these sites assumes that property owners would follow a series of administrative actions to comply with General City Law Section (GCL) 35 provisions, whereby the owners would submit an application for a GCL 35 waiver at the New York City Board of Standards and Appeals (BSA). Following this submission, the BSA would submit the application to DOT for review and approval.

Written comments on the Draft Scope of Work will be accepted until 5:00 P.M. on Monday, October 3, 2016.

Copies of the Positive Declaration and Draft Scope of Work for the project may be obtained by any member of the public from:

Mayor's Office of Sustainability
 Attn: Esther Brunner, Deputy Director for
 Environmental Coordination
 253 Broadway, 14th Floor
 New York, NY 10007
 Telephone: (212) 676-3290

These documents are also available on the websites of the Mayor's Office of Sustainability and the New York City Economic Development Corporation: www.nyc.gov/oc and www.nycedc.com, respectively.

CEQR Number: 16DME010Q

Lead Agency: Office of the Deputy Mayor for Housing and Economic Development
 Hilary Semel
 Assistant to the Mayor
 253 Broadway, 14th Floor
 New York, NY 10007 – hsemel@cityhall.nyc.gov

Applicant: New York City Economic Development Corporation
 Attn: Nate Gray, Vice President
 110 William Street, 6th Floor
 New York, NY 10038
 (212) 619-5000 – hadasko@edc.nyc

SEQRA/CEQR Classification: Type I

Location of Actions: Queens Community Board 14

This Notice of Public Meeting has been prepared pursuant to Article 8 of the New York State Environmental Conservation Law (the State Environmental Quality Review Act (SEQRA)), its implementing regulations found at 6 NYCRR Part 617, and the Rules of Procedure for City Environmental Quality Review found at 62 RCNY Chapter 5, and Mayoral Executive Order 91 of 1977, as amended (CEQR).

◀ a22-24

TRANSPORTATION

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN, pursuant to law, that the following proposed revocable consents, have been scheduled for a public hearing by the New York City Department of Transportation. The hearing will be held at 55 Water Street, 9th Floor, Room 945, commencing at 2:00 P.M. on Wednesday, August 24, 2016. Interested parties can obtain copies of proposed agreements or request sign-language interpreters (with at least seven days prior notice) at 55 Water Street, 9th Floor SouthWest, New York, NY 10041, or by calling (212) 839-6550.

#1 IN THE MATTER OF a proposed revocable consent authorizing 120 Park Avenue Associates LLC to continue to maintain and use two flagpoles on the west sidewalk of Park Avenue, north of East 41st Street, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026 and provides among other terms and conditions for compensation payable to the City according to the following schedule:

For the period July 1, 2016 to June 30, 2026 - \$0,00/annum

the maintenance of a security deposit in the sum of \$2,000 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#2 IN THE MATTER OF a modification of revocable consent authorizing 280 Henry LLC to construct, maintain and use an electrical snowmelt system in the west sidewalk of Henry Street, north of State Street, in the Borough of Brooklyn. The terms and conditions of the revocable consent agreement dated November 1, 2013, shall remain in full force and effect.

the maintenance of a security deposit in the sum of \$6,500 and the insurance shall be in the amount of Two Million Dollars (\$1,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#3 IN THE MATTER OF a proposed revocable consent authorizing Empire Realty Associates LLC to continue to maintain and use a force main, together with a manhole, and a sanitary sewer under and across Richmond Avenue and under and along Lander Avenue, in the Borough of Staten Island. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

- For the period July 1, 2016 to June 20, 2017 - \$5,380
- For the period July 1, 2017 to June 20, 2018 - \$5,518
- For the period July 1, 2018 to June 20, 2019 - \$5,656
- For the period July 1, 2019 to June 20, 2020 - \$5,794
- For the period July 1, 2020 to June 20, 2021 - \$5,932
- For the period July 1, 2021 to June 20, 2022 - \$6,070
- For the period July 1, 2022 to June 20, 2023 - \$6,208
- For the period July 1, 2023 to June 20, 2024 - \$6,346
- For the period July 1, 2024 to June 20, 2025 - \$6,484
- For the period July 1, 2025 to June 20, 2026 - \$6,622

the maintenance of a security deposit in the sum of \$6,650 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#4 IN THE MATTER OF a proposed revocable consent authorizing Lindsay Park Housing Corp. to continue to maintain and use conduits under and across Boerum Street, west of Manhattan Avenue, in the Borough of Brooklyn. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

- For the period July 1, 2016 to June 30, 2017 - \$6,010
For the period July 1, 2017 to June 30, 2018 - \$6,164
For the period July 1, 2018 to June 30, 2019 - \$6,318
For the period July 1, 2019 to June 30, 2020 - \$6,472
For the period July 1, 2020 to June 30, 2021 - \$6,626
For the period July 1, 2021 to June 30, 2022 - \$6,780
For the period July 1, 2022 to June 30, 2023 - \$6,934
For the period July 1, 2023 to June 30, 2024 - \$7,088
For the period July 1, 2024 to June 30, 2025 - \$7,242
For the period July 1, 2025 to June 30, 2026 - \$7,396

the maintenance of a security deposit in the sum of \$7,400 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#5 IN THE MATTER OF a proposed revocable consent authorizing Mirin Corporation to continue to maintain and use a stair, together with surrounding fence, on the west sidewalk of Bowery between Great Jones Street and East 4th Street, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from July 1, 2015 to June 30, 2025, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

- For the period July 1, 2015 to June 30, 2016 - \$477
For the period July 1, 2016 to June 30, 2017 - \$489
For the period July 1, 2017 to June 30, 2018 - \$501
For the period July 1, 2018 to June 30, 2019 - \$513
For the period July 1, 2019 to June 30, 2020 - \$525
For the period July 1, 2020 to June 30, 2021 - \$537
For the period July 1, 2021 to June 30, 2022 - \$549
For the period July 1, 2022 to June 30, 2023 - \$561
For the period July 1, 2023 to June 30, 2024 - \$573
For the period July 1, 2024 to June 30, 2025 - \$585

the maintenance of a security deposit in the sum of \$2,300 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#6 IN THE MATTER OF a proposed revocable consent authorizing Pace University to continue to maintain and use two conduits under and across Spruce Street, east of Nassau Street and under and across Nassau Street, south of Spruce Street, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

- For the period July 1, 2016 to June 30, 2017 - \$4,890
For the period July 1, 2017 to June 30, 2018 - \$5,015
For the period July 1, 2018 to June 30, 2019 - \$5,140
For the period July 1, 2019 to June 30, 2020 - \$5,265
For the period July 1, 2020 to June 30, 2021 - \$5,390
For the period July 1, 2021 to June 30, 2022 - \$5,515
For the period July 1, 2022 to June 30, 2023 - \$5,640
For the period July 1, 2023 to June 30, 2024 - \$5,765
For the period July 1, 2024 to June 30, 2025 - \$5,890
For the period July 1, 2025 to June 30, 2026 - \$6,015

the maintenance of a security deposit in the sum of \$6,100 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#7 IN THE MATTER OF a proposed revocable consent authorizing Saba Realty Partners LLC to continue to maintain and use a fenced-in area on the east sidewalk of Smith Street, south of Lorraine Street, in the Borough of Brooklyn. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

- For the period July 1, 2016 to June 30, 2017 - \$1,890
For the period July 1, 2017 to June 30, 2018 - \$1,938
For the period July 1, 2018 to June 30, 2019 - \$1,986
For the period July 1, 2019 to June 30, 2020 - \$2,034
For the period July 1, 2020 to June 30, 2021 - \$2,082
For the period July 1, 2021 to June 30, 2022 - \$2,130

- For the period July 1, 2022 to June 30, 2023 - \$2,178
For the period July 1, 2023 to June 30, 2024 - \$2,226
For the period July 1, 2024 to June 30, 2025 - \$2,274
For the period July 1, 2025 to June 30, 2026 - \$2,322

the maintenance of a security deposit in the sum of \$5,000 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#8 IN THE MATTER OF a proposed revocable consent authorizing Village View Housing Corporation to continue to maintain and use conduits and pipes under and across East 4th Street and East 3rd Street, east of First Avenue, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

- For the period July 1, 2016 to June 30, 2017 - \$25,352
For the period July 1, 2017 to June 30, 2018 - \$26,001
For the period July 1, 2018 to June 30, 2019 - \$26,650
For the period July 1, 2019 to June 30, 2020 - \$27,299
For the period July 1, 2020 to June 30, 2021 - \$27,948
For the period July 1, 2021 to June 30, 2022 - \$28,597
For the period July 1, 2022 to June 30, 2023 - \$29,246
For the period July 1, 2023 to June 30, 2024 - \$29,895
For the period July 1, 2024 to June 30, 2025 - \$30,544
For the period July 1, 2025 to June 30, 2026 - \$31,193

the maintenance of a security deposit in the sum of \$31,200 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

a4-24

PROPERTY DISPOSITION

CITYWIDE ADMINISTRATIVE SERVICES

SALE

The City of New York, in partnership with PropertyRoom.com, posts vehicle and heavy machinery auctions online every week at: http://www.propertyroom.com/s/7300

All auctions are open to the general public, and registration is free.

Vehicles can be viewed in person by appointment at: KenBen Industries, 364 Maspeth Avenue, Brooklyn, NY 11211. Phone: (718) 802-0022

a28-o6

OFFICE OF CITYWIDE PROCUREMENT

NOTICE

The Department of Citywide Administrative Services, Office of Citywide Procurement is currently selling surplus assets on the internet. Visit http://www.publicsurplus.com/sms/nycdcas.ny/browse/home

To begin bidding, simply click on 'Register' on the home page.

There are no fees to register. Offerings may include but are not limited to: office supplies/equipment, furniture, building supplies, machine tools, HVAC/plumbing/electrical equipment, lab equipment, marine equipment, and more.

Public access to computer workstations and assistance with placing bids is available at the following locations:

- DCAS Central Storehouse, 66-26 Metropolitan Avenue, Middle Village, NY 11379
DCAS, Office of Citywide Procurement, 1 Centre Street, 18th Floor, New York, NY 10007

j4-d30

POLICE

■ NOTICE

OWNERS ARE WANTED BY THE PROPERTY CLERK DIVISION OF THE NEW YORK CITY POLICE DEPARTMENT

The following listed property is in the custody of the Property Clerk Division without claimants: Motor vehicles, boats, bicycles, business machines, cameras, calculating machines, electrical and optical property, furniture, furs, handbags, hardware, jewelry, photographic equipment, radios, robes, sound systems, surgical and musical instruments, tools, wearing apparel, communications equipment, computers, and other miscellaneous articles.

Items are recovered, lost, abandoned property obtained from prisoners, emotionally disturbed, intoxicated and deceased persons; and property obtained from persons incapable of caring for themselves.

INQUIRIES

Inquiries relating to such property should be made in the Borough concerned, at the following office of the Property Clerk.

FOR MOTOR VEHICLES (All Boroughs):

- Springfield Gardens Auto Pound, 174-20 North Boundary Road, Queens, NY 11430, (718) 553-9555
- Erie Basin Auto Pound, 700 Columbia Street, Brooklyn, NY 11231, (718) 246-2030

FOR ALL OTHER PROPERTY

- Manhattan - 1 Police Plaza, New York, NY 10038, (646) 610-5906
- Brooklyn - 84th Precinct, 301 Gold Street, Brooklyn, NY 11201, (718) 875-6675
- Bronx Property Clerk - 215 East 161 Street, Bronx, NY 10451, (718) 590-2806
- Queens Property Clerk - 47-07 Pearson Place, Long Island City, NY 11101, (718) 433-2678
- Staten Island Property Clerk - 1 Edgewater Plaza, Staten Island, NY 10301, (718) 876-8484

j4-d30

PROCUREMENT

“Compete To Win” More Contracts!

Thanks to a new City initiative - “Compete To Win” - the NYC Department of Small Business Services offers a new set of FREE services to help create more opportunities for minority and women-owned businesses to compete, connect and grow their business with the City. With NYC Construction Loan, Technical Assistance, NYC Construction Mentorship, Bond Readiness, and NYC Teaming services, the City will be able to help even more small businesses than before.

- *Win More Contracts at nyc.gov/competetowin*

“The City of New York is committed to achieving excellence in the design and construction of its capital program, and building on the tradition of innovation in architecture and engineering that has contributed to the City’s prestige as a global destination. The contracting opportunities for construction/construction services and construction-related services that appear in the individual agency listings below reflect that commitment to excellence.”

HHS ACCELERATOR

To respond to human services Requests for Proposals (RFPs), in accordance with Section 3-16 of the Procurement Policy Board Rules of the City of New York (“PPB Rules”), vendors must first complete and submit an electronic prequalification application using the City’s Health and Human Services (HHS) Accelerator System. The HHS Accelerator System is a web-based system maintained by the City of New York for use by its human services Agencies to manage procurement. The process removes redundancy

by capturing information about boards, filings, policies, and general service experience centrally. As a result, specific proposals for funding are more focused on program design, scope, and budget.

Important information about the new method

- Prequalification applications are required every three years.
- Documents related to annual corporate filings must be submitted on an annual basis to remain eligible to compete.
- Prequalification applications will be reviewed to validate compliance with corporate filings, organizational capacity, and relevant service experience.
- Approved organizations will be eligible to compete and would submit electronic proposals through the system.

The Client and Community Service Catalog, which lists all Prequalification service categories and the NYC Procurement Roadmap, which lists all RFPs to be managed by HHS Accelerator may be viewed at <http://www.nyc.gov/html/hhsaccelerator/html/roadmap/roadmap.shtml>. All current and prospective vendors should frequently review information listed on roadmap to take full advantage of upcoming opportunities for funding.

Participating NYC Agencies

HHS Accelerator, led by the Office of the Mayor, is governed by an Executive Steering Committee of Agency Heads who represent the following NYC Agencies:

- Administration for Children’s Services (ACS)
- Department for the Aging (DFTA)
- Department of Consumer Affairs (DCA)
- Department of Corrections (DOC)
- Department of Health and Mental Hygiene (DOHMH)
- Department of Homeless Services (DHS)
- Department of Probation (DOP)
- Department of Small Business Services (SBS)
- Department of Youth and Community Development (DYCD)
- Housing and Preservation Department (HPD)
- Human Resources Administration (HRA)
- Office of the Criminal Justice Coordinator (CJC)

To sign up for training on the new system, and for additional information about HHS Accelerator, including background materials, user guides and video tutorials, please visit www.nyc.gov/hhsaccelerator

ADMINISTRATION FOR CHILDREN’S SERVICES

■ AWARD

Services (other than human services)

ARCHITECTURAL SERVICES - Demonstration Project - Testing or experimentation is required - PIN#06816D0002001 - AMT: \$199,500.00 - TO: Biber Architects PC, 233 Broadway, New York, NY 10279. ◀ a22

CITYWIDE ADMINISTRATIVE SERVICES

■ INTENT TO AWARD

Services (other than human services)

VETCONNECTNYC - Negotiated Acquisition - Other - PIN#85616N0004 - Due 9-2-16 at 2:00 P.M.

THIS NOTICE IS FOR INFORMATIONAL PURPOSES ONLY. Organizations interested in future solicitation for these services are invited to do so by submitting a written expression of interest to the email address listed below.

Pursuant to Section 3-04 of the Procurement Policy Board Rules, the Department of Citywide Administrative Services (DCAS), acting on behalf of the Department of Veteran Affairs (DVS) intends to enter into negotiated acquisition with the Institute for Veterans and Military Families (IVMF) at Syracuse University to ensure continued services of the VetConnectNYC services. It is anticipated that the contract term will be for one (1) year with a two 1-year options to renew. The anticipated funding for this program is \$514,000.00, annually. Through this program, New York City will become the first city in the nation whose veterans, transitioning service members and families could access the full range of City, public, private and not-for-profit resources, services and care. VetConnectNYC is a collaboration of 60 plus broad-based health and human services providers from the public, private, and nonprofit sectors who are addressing the needs of the service members, veterans, and military families who call the five boroughs of New York City their home. The services and care within the program include: health and mental health, housing, employment,

education, and entrepreneurship. This contract will provide oversight, technical assistance, and measurement and evaluation of the VetConnectNYC coordinated network. As a singular service delivery system, VetConnectNYC will provide transformative and impactful community-based coordinated care and services for the city's returning service members, veterans, and their families.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Citywide Administrative Services, 1 Centre Street, 18th Floor, New York, NY 10007. Karen Allen (212) 386-0453; Fax: (212) 313-3131; kallen@dcas.nyc.gov

a16-22

CORRECTION

CENTRAL OFFICE OF PROCUREMENT

■ INTENT TO AWARD

Human Services/Client Services

YOUTH AND ADULT HORTICULTURAL THERAPY - Negotiated Acquisition - Judgment required in evaluating proposals - PIN#072201645APC - Due 8-29-16 at 5:00 P.M.

The Department of Correction (DOC) is seeking to partner with a horticultural therapy organization with experience and a distinctive knowledge based approach in working with justice involved individuals. The DOC wishes to leverage this partnership to create pathways to education, skill development and job training in horticulture as well as building participant self esteem and empowering individuals to enter the growing field of green jobs post release. In the correctional environment, horticultural therapy has been shown to reduce psychological symptoms, tension, and distress. For this reason, bringing a horticultural therapy program to the DOC is timely and connected to the 14 Point Reform agenda in two central ways: reducing in facility violence and creating sustainable paths to employment in order to reduce recidivism.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Correction, Bulova Corporate Center, 75-20 Astoria Boulevard, Suite 160, East Elmhurst, NY 11370. Jia Mei (718) 546-0695; Fax: (718) 278-6205; jia.mei@doc.nyc.gov

a19-25

DESIGN AND CONSTRUCTION

AGENCY CHIEF CONTRACTING OFFICE

■ AWARD

Construction/Construction Services

REQUIREMENTS CONTRACT FOR REI SERVICES FOR MEDIUM INFRASTRUCTURE PROJECTS, CITYWIDE

Competitive Sealed Proposals - Judgment required in evaluating proposals - PIN# 8502016RQ0037P - AMT: \$15,000,000.00 - TO: Entech Engineering, PC, 11 Broadway, 21st Floor, New York, NY 10004.

● **REQUIREMENTS CONTRACT FOR REI SERVICES FOR LARGE INFRASTRUCTURE PROJECTS, CITYWIDE**

Competitive Sealed Proposals - Judgment required in evaluating proposals - PIN#8502016RQ0019P - AMT: \$20,000,000.00 - TO: Aecom USA, Inc., 1 Penn Plaza, Suite 600, New York, NY 10119.

● **REQUIREMENTS CONTRACT FOR REI SERVICES FOR SMALL INFRASTRUCTURE PROJECTS, CITYWIDE**

Competitive Sealed Proposals - Judgment required in evaluating proposals - PIN#8502016RQ0032P - AMT: \$12,000,000.00 - TO: Gedeon Engineering, P.C. dba Gedeon Grc Consulting, 6901 Jericho Turnpike, Suite 216, Syosset, NY 11791.

◀ a22

HEALTH AND MENTAL HYGIENE

AGENCY CHIEF CONTRACTING OFFICER

■ INTENT TO AWARD

Services (other than human services)

LABORATORY TESTING SERVICES - Negotiated Acquisition - Testing or experimentation is required - PIN# 17TB009201R0X00 - Due 8-29-16 at 1:00 P.M.

The Department of Health and Mental Hygiene ("DOHMH") intends to enter into a negotiated acquisition extension contract with Quest Diagnostics, Inc., in order to continue providing laboratory testing services on an as-needed basis for the DOHMH's Bureau of Tuberculosis Control and Bureau of STD Prevention and Control.

This notice of intent to award is for informational purposes only. Any contractor that believes it can provide these services for such procurement in the future is invited to submit an expression of intent, which must be received no later than August 29, 2016, by 1:00 P.M. EST. Any questions regarding this negotiated acquisition extension should be addressed in writing to the contracting officer identified below.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Health and Mental Hygiene, 42-09 28th Street, 17th Floor, Long Island City, NY 11101. Jose Rodriguez (347) 396-6754; Fax: (347) 396-6758; jrodriguez3@health.nyc.gov

◀ a22

HOUSING AUTHORITY

SUPPLY MANAGEMENT

■ SOLICITATION

Goods and Services

SMD INSTALLATION OF VINYL COMPOSITION (V/C) FLOOR TILE IN APTS.-VARIOUS DEVELOPMENTS LOCATED IN THE FIVE (5) BOROUGH OF NEW YORK CITY - Competitive Sealed Bids - Due 9-8-16

PIN#64001 - Surfside Gardens and Coney Island, Brooklyn - Due at 10:00 A.M.

PIN#64002 - Lincoln Houses, Manhattan - Due at 10:05 A.M.

● **SMD INSTALLATION OF VINYL COMPOSITION (V/C) FLOOR TILE IN APTS.-VARIOUS DEVELOPMENTS LOCATED IN THE FIVE (5) BOROUGH OF NEW YORK CITY** - Competitive Sealed Bids - Due 8-25-16

PIN#64023 - Various Developments in Brooklyn - Due at 10:00 A.M.

PIN#64024 - Laguardia Houses, Laguardia Addition and Two Bridges, Manhattan - Due at 10:05 A.M.

PIN#64031 - Rangel Houses, Manhattan - Due at 10:10 A.M.

PIN#64032 - Queensbridge South Houses, Queens - Due at 10:15 A.M.

The Term of these Contracts is One (1) Year. Installation of vinyl-composition floor tile over existing floor tile.

Installation of vinyl-composition floor tile over the existing properly prepared concrete floor. The removal and replacement of existing/or missing vinyl cove base molding. The removal of existing floor tile and installation of vinyl-composition floor tile over the existing properly prepared concrete floor.

Interested firms are invited to obtain a copy on NYCHA's website. To conduct a search for the RFQ number; vendors are instructed to open the link: <http://www1.nyc.gov/site/nycha/business/isupplier-vendor-registration.page>. Once on that page, please make a selection from the first three links highlighted in red: New suppliers for those who have never registered with iSupplier, current NYCHA suppliers and vendors for those who have supplied goods or services to NYCHA in the past but never requested a login ID for iSupplier, and Login for registered suppliers if you already have an iSupplier ID and password. Once you are logged into iSupplier, select "Sourcing Supplier," then "Sourcing" followed by "Sourcing Homepage" and then reference the applicable RFQ PIN/solicitation number.

Suppliers electing to obtain a non-electronic paper document will be subject to a \$25 non-refundable fee; payable to NYCHA by USPS-Money Order/Certified Check only for each set of RFQ documents requested. Remit payment to NYCHA Finance Department at 90 Church Street, 6th Floor; obtain receipt and present it to the Supply Management Procurement Group; RFQ package will be generated at the time of request.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Housing Authority, 90 Church Street, 6th Floor, New York, NY 10007. Mimose Julien (212) 306-8141; Fax: (212) 306-5109; mimose.julien@nychc.nyc.gov

◀ a22

LAW DEPARTMENT

■ SOLICITATION

Services (other than human services)

RE-SOLICITATION ENERGY COUNSEL LEGAL SERVICES STATEMENT OF QUALIFICATIONS - Negotiated Acquisition - Judgment required in evaluating proposals - PIN# 02516X100002 - Due 9-23-16 at 5:00 P.M.

The New York City Law Department (the "Department") is issuing this Re-Solicitation. The Department seeks proposals from law firms with expertise in energy, utility and rate matters, to provide legal representation, counsel and expertise to the City on these matters, including those related to the implementation of OneNYC, the City's long term vision for a sustainable City. OneNYC lays out certain sustainability goals in many areas, including energy. The proposals must be submitted in the form of responses to the Statement of Qualifications ("SOQ") available from the New York City Law Department beginning August 22, 2016.

The Department intends to enter into negotiations with one or more law firms and anticipates awarding one contract for these services in order to insure that the Department's need for experienced and qualified legal services will be met in a timely and cost effective manner. It is anticipated that the term of the contract(s) will be five years, commencing no later than January 1, 2017. All attorneys in the employ of the selected law firm assigned to perform services under this contract shall be members in good standing of the Bar of the jurisdiction in which all relevant proceedings are conducted and, if necessary, shall be admitted to practice before the relevant Federal judicial or administrative body and shall be considered to be key personnel for the purpose of this agreement. Changes or substitutions in key personnel must be approved by the Department. All work performed by associates or paralegals of the firm shall be appropriately supervised under the direction of a member of the firm.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Law Department, 100 Church Street, Room 5-209, New York, NY 10007. Robin Wakefield (212) 356-1123; Fax: (212) 356-1148; rowakeft@law.nyc.gov



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NYC HEALTH + HOSPITALS

■ SOLICITATION

Goods and Services

EXCHANGE SUPPORT SERVICES - Request for Proposals - PIN# 100912R134 - Due 9-19-16 at 4:00 P.M.

MetroPlus Health Plan, Inc., a network-model health maintenance organization and subsidiary corporation of the New York City Health plus Hospitals Corporation, has issued a Request for Proposal (RFP) for a vendor to assist the Plan's Actuary with the NYS Exchange Bid Development, Product Implementation, Operational Readiness, and Strategic Planning and Support. Proposer must demonstrate expertise and experience in providing similar services.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

NYC Health + Hospitals, 160 Water Street, 3rd Floor, New York, NY 10038. Kathleen Nolan (212) 908-8730; nolank@nychhc.org

◀ a22

PARKS AND RECREATION

■ VENDOR LIST

Construction / Construction Services

PREQUALIFIED VENDOR LIST: GENERAL CONSTRUCTION - NON-COMPLEX GENERAL CONSTRUCTION SITE WORK ASSOCIATED WITH NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION ("DPR" AND/OR "PARKS") PARKS AND PLAYGROUNDS CONSTRUCTION AND RECONSTRUCTION PROJECTS

DPR is seeking to evaluate and pre-qualify a list of general contractors (a"PQL") exclusively to conduct non-complex general construction site work involving the construction and reconstruction of DPR parks and playgrounds projects not exceeding \$3 million per contract ("General Construction").

By establishing contractor's qualification and experience in advance, DPR will have a pool of competent contractors from which it can draw to promptly and effectively reconstruct and construction its parks, playgrounds, beaches, gardens and green-streets. DPR will select contractors from the General Construction PQL for non-complex general construction site work of up to \$3,000,000.00 per contract, through the use of a Competitive Sealed Bid solicited from the PQL generated from this RFQ.

The vendors selected for inclusion in the General Construction PQL will be invited to participate in the NYC Construction Mentorship. NYC Construction Mentorship focuses on increasing the use of small NYC contracts, and winning larger contracts with larger values. Firms participating in NYC Construction Mentorship will have the opportunity to take management classes and receive on-the-job training provided by a construction management firm.

DPR will only consider applications for this General Construction PQL from contractors who meet any one of the following criteria:

- 1) The submitting entity must be a Certified Minority/Woman Business enterprise (M/WBE)*;
- 2) The submitting entity must be a registered joint venture or have a valid legal agreement as a joint venture, with at least one of the entities in the joint venture being a certified M/WBE*;
- 3) The submitting entity must indicate a commitment to sub-contract no less than 50 percent of any awarded job to a certified M/WBE for every work order awarded.

*Firms that are in the process of becoming a New York City-certified M/WBE may submit a PQL application and submit a M/WBE Acknowledgement Letter, which states the Department of Small Business Services has began the Certification process.

Application documents may also be obtained on-line at: <http://a856-internet.nyc.gov/nycvendonline/home.asap>; or <http://www.nycgovparks.org/opportunities/business>

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Parks and Recreation, Olmsted Center, Annex, Flushing Meadows-Corona Park, Flushing, NY 11368. Alicia H. Williams (718) 760-6925; Fax: (718) 760-6781; dmwbe.capital@parks.nyc.gov.

j4-d30

TRANSPORTATION

BRIDGES

■ INTENT TO AWARD

Services (other than human services)

AASHTOWARE BRIDGE RATING SOFTWARE LICENSE - Sole Source - Available only from a single source - PIN# 84117MBBR043 - Due 8-29-16 at 2:00 P.M.

The New York City Department of Transportation (NYCDOT) intends to enter into a sole source agreement with American Association of State Highway and Transportation Officials (AASHTO), to purchase software subscriptions (AASHTOWare Bridge Rating) and associated configuration/consulting services.

On August 8, 2016 the ACCO's office determined, in accordance with Section 3-05(b) of the Procurement Policy Board Rules, that American Association of State Highway and Transportation Officials (AASHTO) is the only vendor able to provide, AASHTOWare Bridge Rating Software Subscriptions and associated configuration/consulting services which are supplied exclusively by AASHTO.

NYCDOT use the AASHTOWare Bridge Rating analytical software to

perform bridge load ratings that are indispensable for determining maintenance needs, assuring public safety, scheduling retrofit or replacement elements, and for assessing overload permits. Bridge Rating provides highly accurate load rating techniques and calculations.

Vendors may express interest in providing this service by contacting Nicola Rahman, New York Department of Transportation, ACCO's Office, 55 Water Street, 8th Floor, New York, NY 10041, nrahman@dot.nyc.gov or 212-839-8167, no later than August 29, 2016 at 2:00 P.M.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above. Transportation, NYC DOT, Agency Chief Contracting Officer Office, 55 Water Street, 8th Floor, New York, NY 10041. Nicola Rahman (212) 839-8167;

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AGENCY RULES

ADMINISTRATIVE TRIALS AND HEARINGS

ADMINISTRATIVE TRIALS AND HEARINGS

NOTICE

Notice of Promulgation of Rule

NOTICE IS HEREBY GIVEN PURSUANT TO THE AUTHORITY VESTED in the Office of Administrative Trials and Hearings (OATH) in accordance with Sections 1049 and 1043 of the New York City Charter. OATH amends Section 6-17 of Chapter 6 of Title 48 of the Rules of the City of New York. The change clarifies that certain decisions of the OATH Hearing officers in the OATH Hearings Division are not final decisions. The proposed rule amendment was published in *The City Record* on July 8, 2016, and a public hearing was held on August 9, 2016.

One member of the public attended the public hearing and that member testified at the public hearing concerning the rule amendment. OATH received three written comments on this rule amendment.

Statement of Basis and Purpose

Executive Order No. 18 of 2016, dated June 23, 2016, transfers to OATH all of the adjudications under the Department of Consumer Affairs (DCA) Tribunal. Beginning on August 22, 2016, or as soon thereafter as may be practicable, OATH will adjudicate all pending and future violations issued by DCA. Because certain State laws require that final decisions of these adjudications be made by the DCA Commissioner, this proposed rule establishes that OATH hearing officer decisions on violations of those State laws will be recommended decisions only. The DCA Commissioner will make the final determination after reviewing OATH's recommendation. Chapter 6 of Title 6 of the Rules of the City of New York contains the procedural rules that govern DCA's determinations.

The proposed rule amends Section 6-17 (Decisions) of OATH's rules, contained in Title 48 of the Rules of the City of New York, to set out the State laws to which this procedure applies.

Deleted material is in [brackets]. New text is underlined.

"Shall," "will" and "must" denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. Section 6-17 of Chapter 6 of Title 48 of the Rules of the City of New York, as amended by the Environmental Control Board at its meeting on June 30, 2016, is amended to read as follows:

§6-17 Decisions

(a) Decisions. After a hearing, the Hearing Officer who presided over the hearing will promptly write a decision sustaining or dismissing each charge in summons. The Tribunal will promptly serve the decision on all parties. Each decision will contain findings of fact and conclusions of law. Where a violation is sustained, the Hearing Officer will impose the applicable penalty, which may include a fine, penalty points, a suspension or revocation of the respondent's license or any other penalty authorized by applicable laws, rules and regulations.

(b) Except as provided in subdivision (c), the decision of the Hearing Officer is the final decision unless an appeal is filed pursuant to §6-19 of this Chapter.

(c) Recommended Decisions.

(1) For all violations of Article 13-E of the New York State Public Health Law, the Hearing Officer will issue a recommended decision and order, which the Commissioner of the Department of Health and Mental Hygiene may adopt, reject or modify, in whole or in part.

(2) For all violations of Article 13-F of the New York State Public Health Law:

(i) where the Department of Consumer Affairs is the petitioner, the Hearing Officer will issue a recommended decision and order, which the Commissioner of such department may adopt, reject or modify, in whole or in part.

(ii) where the Department of Health and Mental Hygiene is the petitioner, the Hearing Officer will issue a recommended decision and order, which the Commissioner of such department may adopt, reject or modify, in whole or in part.

(3) For all violations in which summonses are returnable to the Tribunal as authorized by the Board under §1049-a of the New York City Charter and provisions of the New York City Administrative Code, any rules and regulations made thereunder, or provisions of New York State law, the Hearing Officer's decision is a recommended decision to the Board. If an appeal is not filed pursuant to §6-19, the Hearing Officer's recommended decision will be automatically adopted by the Board and will constitute the Board's final decision in the matter. The Board's final decision is also the final decision of the Tribunal.

(4) For all violations of Section 194 of Article 11 of the New York State General Business Law, Article 5 of the New York State General Business Law, and Sections 192, 192-a, 192-b, and 192-c of Article 16 of the New York State Agriculture and Markets Law, and of any rules and regulations promulgated thereto, the Hearing Officer will issue a recommended decision and order, which the Commissioner of the Department of Consumer Affairs may adopt, reject or modify, in whole or in part.

(d) The Tribunal may, due to Tribunal needs or the unavailability of the Hearing Officer who heard the case, designate another Hearing Officer to write the recommended decision. The decision will state the reason for the designation and will be based on the record, which includes (i) the summons, (ii) all briefs filed and all exhibits received in evidence, and (iii) a complete audio recording of the hearing or, if a complete audio recording is unavailable for any reason, a complete transcript of the hearing.

FINDING OF SUBSTANTIAL NEED FOR EARLIER IMPLEMENTATION

I hereby find, and represent to the Mayor, that there is a substantial need for the implementation, immediately upon its final publication in *The City Record*, of the amendment to Section 6-17 of Chapter 6 of Title 48 of the Rules of the City of New York.

This declaration is made pursuant to Section 1043(f)(1)(c) of the New York City Charter. Executive Order No. 18, dated June 23, 2016, transferred all categories of adjudications within the jurisdiction of the Department of Consumer Affairs (DCA) Tribunal to OATH effective August 22, 2016, or as soon thereafter as practicable. Certain State laws require that final decisions of these adjudications be made by the DCA Commissioner. OATH's rule amends Section 6-17 of Chapter 6 of Title 48 of the Rules of the City of New York. The rule as amended provides that the OATH hearing officers will issue recommended decisions for violations where the DCA Commissioner is required to make the final decision. The DCA Commissioner may adopt, reject, or modify the recommended decision.

OATH intends to begin adjudicating violations previously under the jurisdiction of the DCA Tribunal on August 22, 2016. Immediate implementation of this rule amendment is necessary to conform State law and OATH rules concerning the finality of these hearing officers' decisions prior to August 22, 2016.

Without this finding, the amendment to Section 6-17 will not take effect until mid-September. In order to avoid a conflict between OATH's procedural rules and State law concerning the finality of OATH hearing officer decisions related to DCA adjudications, there is a substantial need for bringing these rules into effect immediately upon publication in *The City Record*.

/s/ Fidel F. Del Valle
Fidel F. Del Valle, Commissioner
Chief Administrative Law Judge, OATH
Chairperson and Executive Director, ECB

APPROVED: /s/ Bill de Blasio
Bill de Blasio
Mayor

DATE: August 15, 2016

CONSUMER AFFAIRS

■ NOTICE

Notice of Adoption of Rule

Notice of Adoption of Amendment of Title 6 of the Rules of the City of New York by repealing and replacing Chapter 6.

NOTICE IS HEREBY GIVEN PURSUANT TO THE AUTHORITY VESTED IN the Commissioner of the Department of Consumer Affairs by Sections 1048(2) and 2203(f) of the City Charter, Executive Order No. 18 of 2016 and Section 20-104 of Chapter 1 of Title 20 of the Administrative Code of the City of New York and in accordance with the requirements of Section 1043 of the New York City Charter, of the adoption by the Department of an amendment to Title 6 of the Rules of the City of New York by repealing and replacing Chapter 6 to effectuate Executive Order No. 18, which transferred adjudications of the Department's administrative tribunal to the Office of Administrative Trials and Hearings.

The rule was proposed and published on July 7, 2016. The required public hearing was held on August 8, 2016.

Statement of Basis and Purpose of Rules

Chapter 6 of Title 6 of the Rules of the City of New York, entitled "Administrative Hearings," contains the procedural rules of the Department of Consumer Affairs' ("Department") administrative tribunal. By Executive Order No. 18, dated June 23, 2016, the Mayor transferred adjudications within the jurisdiction of the Department's administrative tribunal to the Office of Administrative Trials and Hearings ("OATH").

Beginning on August 22, 2016, or as soon thereafter as may be practicable, OATH will adjudicate all pending and future violations issued by the Department. Chapter 1 and Chapter 6 of Title 48 of the Rules of the City of New York contain the procedural rules that govern OATH's administrative tribunals.

These rules repeal the procedural rules that governed the Department's administrative tribunal, clarify provisions in the Executive Order and provide guidance to those who want to settle their violations before appearing at OATH. Specifically, these rules:

- Outline the Department's delegation to OATH of certain adjudicatory authority granted to the Department's Commissioner;
- Clarify when OATH will issue a recommended decision and the Department's process for receiving arguments prior to issuing a written decision;
- Provide guidance on how to respond to a pleading letter to settle a violation prior to hearing;
- Clarify the methodology for submitting a proof of cure to the Department and outlining the specific violations for which an opportunity to cure is available;
- Require those entering into settlement agreements to comply with their terms;
- Establish that a settlement agreement constitutes a plea of guilty to the charges in the Notice of Violation, unless otherwise provided;
- Establish that a settlement agreement has the force of an order and that failure to comply will subject a respondent to a civil penalty of up to \$500;
- Establish that a failure to comply with an order of the Commissioner will subject the respondent to sanctions, including a civil penalty of up to \$500 or suspension or revocation of its licenses;
- Set fixed penalties for violations issued by the Department to promote consistency and fairness in decision making and provide predictability for those whose Notices of Violations are decided at OATH; and
- Explain the Department's rulemaking procedures for public hearings.

New text is underlined; deleted material is in [brackets].

Rules

Section 1. Chapter 6 of Title 6 of the Rules of the City of New York, relating to the adjudication of violations issued by the department, are hereby REPEALED.

§ 2. A new Chapter 6 of Title 6 of the Rules of the City of New York is hereby adopted and shall read as follows:

SUBCHAPTER A: ADJUDICATORY PROCEEDINGS

§ 6-01. Delegation of Authority

- (a) Except as otherwise provided in this subchapter, the adjudicatory powers granted to the Commissioner of the Department of Consumer Affairs ("Commissioner") under the Administrative Code of the City of New York ("Administrative Code") and New York State law to conduct hearings, issue decisions, impose fines and civil penalties, and order any other relief are hereby delegated to the Office of Administrative Trials and Hearings ("OATH").
- (b) Nothing in this rule shall prohibit the Commissioner from exercising powers that the Commissioner may invoke without an adjudication, including the power to suspend a license pursuant to Administrative Code § 20-104(e)(3).

§ 6-02. Recommended Decisions

- (a) OATH shall issue a recommended decision for all violations of the following statutes and of rules or regulations promulgated pursuant to such statutes: Article 13-F of the New York State Public Health Law; Section 194 of the New York State General Business Law; Article 5 of the New York State General Business Law; and Sections 192, 192-a, 192-b, and 192-c of Article 16 of the New York State Agriculture and Markets Law. The Commissioner or the Commissioner's authorized designee may adopt, reverse, remand or modify such recommended decision in whole or in part.
- (b) Within thirty (30) days of the issuance of the recommended decision, the respondent may submit to the Commissioner by regular mail, email, or delivery to the Department at its main office, a written argument why the decision should or should not be followed. The Commissioner may prescribe one or more forms to be used for submission of such argument, which may include a specific email and office address.
- (c) Not less than 60 days after the issuance of the recommended decision, the Commissioner or the Commissioner's authorized designee will issue a written decision affirming, reversing or modifying the recommendation decision, or remanding the matter back to OATH for further proceedings. Except as provided in Subdivision d of this section, the Commissioner's decision adopting, reversing, remanding or modifying the recommended decision shall constitute a final agency determination.
- (d) If the Commissioner or the Commissioner's authorized designee adopts a recommended decision issued by OATH for a finding of default, and thereafter OATH finds sufficient grounds to vacate the default, the default and the Commissioner's original decision shall be vacated and a new hearing shall be allowed on all of the charges in the original notice of violation. The recommended decision resulting from such hearing will be subject to the provisions of this section.

§ 6-03. Pre-Hearing Disposition of Violations

Any person who receives a notice of violation and a pleading letter from the Department may respond in the following ways:

- (a) Payment of the fine prior to the hearing. Any person who receives an Offer of Settlement prior to the hearing date may plead guilty and pay the fine listed in the letter either by mail, online, or in person.
 - (1) By Mail. Any person may pay the fine as shown on the Offer of Settlement by sending a check or money order (not cash) payable to the "Department of Consumer Affairs" in the amount of the fine specified in the letter. The payment must be received by the Department prior to the hearing date.
 - (2) In person. Any person may pay the fine in person at the Department of Consumer Affairs during the Department's normal business hours.
- (b) Opportunity to Cure. (1) Any person charged with a first-time violation of any of the mandates set forth in Paragraph (5) of this subsection will not be subject to a civil penalty if such person proves to the satisfaction of the Department, within thirty days of the issuance of the notice of violation and prior to the commencement of an adjudication of the violation, that the violation has been cured.
 - (2) A person seeking to submit proof of a cure must submit a certification in a form approved by the Department. The Department may require submission of such other information, including documentary evidence, reasonably necessary to prove that the violation was cured within thirty days of the issuance of the notice of violation.

- (3) The submission of proof of a cure will constitute an admission of liability for all purposes.
- (4) If the proof that a violation has been cured is not satisfactory, the Department will provide the person who presented the proof with a written notice within five days of receipt that the proof was not satisfactory. A person may seek review of this determination at OATH within fifteen days of receiving written notification that the submitted proof is not satisfactory.
- (5) The following provisions of Title 6 of the Rules of the City of New York constitute the mandates for which the opportunity to cure a first-time violation is available:
- (i) Section 1-03(a), requiring the posting of a sign stating that individuals may complain to the Department about a licensed business;
- (ii) Section 1-03(b), requiring sidewalk cafes to post a sign stating the maximum number of tables and chairs licensed for such sidewalk cafe;
- (iii) Section 2-24, requiring amusement arcades and gaming cafes to post a sign describing age restrictions during certain hours of operation;
- (iv) Section 2-57(f), prohibiting sidewalk cafes from posting signage or advertising except for a sign affixed to the valance of an awning with the name of the establishment in lettering not exceeding eight (8) inches in height on a twelve (12) inch valance in a single horizontal line;
- (v) Section 2-131(s)(4), requiring laundries to distinguish in their advertising between services offered at different prices;
- (vi) Section 2-131(u), requiring an automatic or coin-operated laundry to post a sign on non-functioning machines;
- (vii) Section 2-131(v)(1), requiring a laundry to post a notice that complaints and claims for refunds may be made to a certain person or person;
- (viii) Section 2-131(v)(5), requiring that the information in the sign required by Section 131(v) be in both English and Spanish;
- (ix) Section 2-161(g)(1), requiring that parking lots and garages have separate entrances and exits, with the main entrance clearly designated with illuminated signs marked "entrance" and "exit";
- (x) Section 2-161(g)(2)(v), requiring that parking lot and garage auxiliary signs contain equally sized letters and numbers;
- (xi) Section 2-161(g)(2)(vi), requiring that parking lots and garages post a sign stating:
- (a) the business hours;
- (b) the licensed capacity; and
- (c) the minimum number of bicycle parking spaces;
- (xii) Section 2-161(g)(3)(i), requiring that the parking garage and lot sign required by Section 2-161(g)(2) is illuminated, clearly visible and readable;
- (xiii) Section 2-161(h)(1), requiring the posting of a sign that the garage is at full capacity for car parking;
- (xiv) Section 2-161(h)(2), requiring the posting of a sign that the garage is at full capacity for bicycle parking;
- (xv) Section 2-161(u), requiring that parking lots and garages with waivers under Section 20-327.1 of Subchapter 17 of Chapter 2 of Title 20 of the Administrative Code of the City of New York post a sign that bicycle parking is not required by law;
- (xvi) Section 2-211(h), requiring a sightseeing bus post a sign on the windshield and near the entrance door of such bus that designates the departure time and destination of such bus;
- (xvii) Section 2-253(a)(3) and (4), requiring that electronic or home appliance service dealers post a notice in the department or area where electronic and home appliances are accepted for repair stating that customers are entitled to written estimates for repairs and other customer rights, and that the regulations
- (xviii) Section 2-275(c), requiring dealers of products for the disabled to post a sign summarizing provisions of the New York City Products for the Disabled Law;
- (xix) Section 3-12, requiring labeling declarations required by Subchapter A of Chapter 3 of Title 6 of the Rules of the City of New York to be written in English;
- (xx) Section 3-24(f)(2), requiring stores with weighing and measuring devices for customer use to post a sign informing customer that they may reweigh products using such weighing or measuring device or devices;
- (xxi) Section 5-24, requiring that a business that accepts credit cards post a list of limitations that such business puts on credit card usage at or near the entrance of the business and in all advertising indicating that credit cards are accepted;
- (xxii) Section 5-37, requiring the posting of refund policies;
- (xxiii) Section 5-40(e), prohibiting a sign stating that a business is not liable for its negligence if such a statement is invalid under law;
- (xxiv) Section 5-46(d), requiring a motor vehicle rental business to post a sign not less than twelve (12) inches by eighteen (18) inches in dimension with lettering not less than one (1) inch high providing information about how to complain to the Department and setting forth consumers' rights under the Consumer Protection Law;
- (xxv) Section 5-66(c), requiring that tax preparers post a sign:
- (a) stating his or her name, address, telephone number and qualifications;
- (b) stating that the preparer and taxpayer must sign every tax return;
- (c) stating how his or her fees are calculated;
- (d) stating that he or she or his or her agency will not represent the taxpayer in an audit, if true; and
- (e) stating that he or she is not licensed by the state board of public accounting or the New York state bar, or both, if true; and
- (xxvi) Section 5-195, requiring signage at businesses that sell beverages for off-premises consumption in beverage containers that are covered by title ten of article twenty-seven of the Environmental Conservation Law of the State of New York to be placed within a certain distance of cash registers or to be visible to consumers from any specific vantage point.
- § 6-04. Settlement Agreements**
- (a) Any respondent entering into a settlement agreement with the Department must comply with the terms of the settlement agreement.
- (b) Unless the terms of a settlement agreement provide otherwise, a settlement agreement shall constitute a plea of guilty to the charges in the notice of violation. A settlement agreement shall have the force of a final order of the Commissioner. In addition to any penalties for a breach set forth in the settlement agreement, failure of a respondent to comply with the terms of a settlement agreement, in whole or in part, will subject the respondent to a civil penalty of up to five hundred dollars.
- § 6-05. Orders of the Commissioner**
- Failure of a respondent to comply with an order of the Commissioner will subject the respondent to sanctions, including a civil penalty of up to five hundred dollars and suspension or revocation of any and all licenses held by respondent.
- SUBCHAPTER B: PENALTIES**
- § 6-10. Compliance with New York City Charter**
- Pursuant to New York City Charter § 2203(h)(1), except to the extent that dollar limits are otherwise specifically provided, civil penalties shall not exceed five hundred dollars for each violation. The remedies and penalties provided for in this subchapter shall be in addition to any other remedies or penalties provided for the enforcement of such provisions under any other law including, but not limited to, civil or criminal actions or proceedings.

§ 6-11. License Enforcement Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-109	Improper license transfer	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-110	Failure to obtain DCA approval of change of corporate ownership	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-111	Failure to obtain DCA approval of change in a partnership	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-112	Failure to comply with licensee address requirements	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-113	Failure to comply with trade name requirements	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-114	Failure to comply with inspection and license display requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 1-03(a)	Failure to post the license and complaint sign	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 1-03(b)	Failure to post the sidewalk café license and complaint sign	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 1-05	Failure to contain license number in advertisements and other printed matter	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 1-14	Failure to appear to answer a Notice of Hearing or respond to Subpoena Duces Tecum	\$375	\$375	\$500	\$500	\$500	\$500
6 RCNY § 1-15	Failure to satisfy judgment	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 1-16	Failure to comply with record and business premise inspection requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 1-17	Improper wearing of badge	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 1-18	Failure to surrender identification documents issued by the department	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-12. Retail Cigarette Dealer Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York, Title 17 of the Administrative Code of the City of New York, Title 24 of the Rules of the City of New York, or the New York Public Health Law.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For violations of Title 20 of the Administrative Code, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

For violations of §§ 17-704, 705, subdivisions (a) or (b) of 17-706, or 17-715 of Title 17 of the Administrative Code, a second, third or subsequent violation means a violation, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for any combination of such violations, at the same place of business within a three-year period.

At a hearing, points assigned to a respondent's New York State tobacco registration record may be reduced pursuant to New York Public Health Law § 1399-ee(3)(a).

In certain cases, the Department may ask for license suspension, revocation, or sealing as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-202(a)(1)	Engaging in unlicensed cigarette retail dealer activity	\$2,000, plus \$100 per day	\$2,000, plus \$100 per day	\$2,000, plus \$100 per day	\$2,000, plus \$100 per day	\$2,000, plus \$100 per day	\$2,000, plus \$100 per day
Admin Code § 20-202(a)(2)	Permitting premises to be used for unlicensed cigarette retail dealer activity	\$1,500	\$2,000	\$1,800	\$2,000	\$2,000	\$2,000
Admin Code § 20-202(d)(2)	Failure to display license conspicuously	\$1,500	\$2,000	\$1,800	\$2,000	\$2,000	\$2,000
Admin Code § 20-203	Failure to comply with recordkeeping and inspection requirements	\$1,500	\$2,000	\$1,800	\$2,000	\$2,000	\$2,000
Admin Code § 20-205	Engaged in prohibited sales or purchases	\$1,500	\$2,000	\$1,800	\$2,000	\$2,000	\$2,000
Admin Code § 17-176.1	Selling discounted cigarettes or tobacco products	\$1,000	\$1,000	\$2,000	\$2,000	\$5,000	\$5,000
Admin Code § 17-703	Operating as a wholesale dealer without a license	\$5,000	\$5,000	\$10,000 (plus revocation)	\$10,000 (plus revocation)	\$10,000 (plus revocation)	\$10,000 (plus revocation)
Admin Code § 17-704	Improper out-of-package sales	\$1,000	\$1,000	\$2,000 (plus revocation)	\$2,000 (plus revocation)	\$2,000 (plus revocation)	\$2,000 (plus revocation)
Admin Code § 17-705	Failure to comply with age restrictions on handling	\$1,000	\$1,000	\$2,000 (plus revocation)	\$2,000 (plus revocation)	\$2,000 (plus revocation)	\$2,000 (plus revocation)
Admin Code § 17-706(a)	Unlawful sale of cigarettes, tobacco products, or electronic cigarettes to an individual under 21	\$1,000	\$1,000	\$2,000 (plus revocation)	\$2,000 (plus revocation)	\$2,000 (plus revocation)	\$2,000 (plus revocation)
Admin Code § 17-706(b)	Unlawful sale of non-tobacco shisha, pipes, or rolling papers to an individual under 18	\$1,000	\$1,000	\$2,000 (plus revocation)	\$2,000 (plus revocation)	\$2,000 (plus revocation)	\$2,000 (plus revocation)
Admin Code § 17-706(c)	No minimum age sign violation/missing information on sign	\$500	\$500	\$500	\$500	\$500	\$500
Admin Code § 17-714	Unlawful sale of herbal cigarettes to persons under 18	\$2,000	\$2,000	\$2,000	\$2,000	\$2,000	\$2,000
Admin Code § 17-715	Unlawful sale of flavored tobacco	\$1,000	\$1,000	\$2,000	\$2,000	\$5,000 (plus 1 to 90 day suspension)**	\$5,000 (plus one year suspension)
NY Pub Health § 1399-CC(2) (sign)	Failure to conspicuously post the required tobacco sign	\$1,000	\$1,000	\$1,500	\$1,500	\$1,500	\$1,500
NY Pub Health § 1399-CC(2) (sale)	Unlawful sale of tobacco products, herbal cigarettes, liquid nicotine, shisha or electronic cigarettes to an individual under eighteen years of age	\$1,000 (plus 2 points on NYS registration)	\$1,000 (plus 2 points on NYS registration)	\$1,500 (plus 2 points on NYS registration)	\$1,500 (plus 2 points on NYS registration)	\$1,500 (plus 2 points on NYS registration)	\$1,500 (plus 2 points on NYS registration)
NY Pub Health § 1399-CC(3)	Failure to obtain proper identification from purchaser	\$1,000	\$1,000	\$1,500	\$1,500	\$1,500	\$1,500
NY Pub Health § 1399-CC(5)	Improper use of the electronic transaction information	\$1,000	\$1,000	\$1,500	\$1,500	\$1,500	\$1,500

<u>NY Pub Health § 1399-CC(7)</u>	<u>Failure to store tobacco products or herbal cigarettes behind a counter accessible only to store personnel or in a locked container</u>	\$1,000	\$1,000	\$1,500	\$1,500	\$1,500	\$1,500
<u>NY Pub Health § 1399-DD</u>	<u>Unlawful sale of tobacco products, herbal cigarettes, or electronic cigarettes in vending machines</u>	\$1,000	\$1,000	\$1,500	\$1,500	\$1,500	\$1,500
<u>NY Pub Health § 1399-EE(2)</u>	<u>Unlawful sale of tobacco products or herbal cigarettes with a suspended or revoked NYS tobacco registration</u>	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500
<u>NY Pub Health § 1399-GG</u>	<u>Unlawful out-of-package sales or minimum package size</u>	\$1,000	\$1,000	\$1,500	\$1,500	\$1,500	\$1,500
<u>NY Pub Health § 1399-LL</u>	<u>Failure to comply with regulations prohibiting the sale of bidis</u>	\$500	\$500	\$500	\$500	\$500	\$500
<u>NY Pub Health § 1399-MM</u>	<u>Failure to comply with the regulations prohibiting the sale of gutka</u>	\$500	\$500	\$500	\$500	\$500	\$500
<u>24 RCNY § 28-06</u>	<u>Unlawful sale of tobacco product or non-tobacco product designed for consumption through the inhalation of smoke not in original packaging</u>	\$1,000	\$1,000	\$1,000	\$1,000	\$1,000	\$1,000

§ 6-13. Amusement Devices, Arcades, and Operators Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

The parties shall be authorized to present evidence to mitigate the license suspension or premise sealing period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-212(a)</u>	<u>Unlicensed amusement device operator</u>	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
<u>Admin Code § 20-212(b)</u>	<u>Unlicensed operation of an amusement device or operation</u>	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
<u>Admin Code § 20-212(c)</u>	<u>Unlicensed operation of an arcade or gaming café</u>	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
<u>Admin Code § 20-214</u>	<u>Failure to comply with license requirements</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-216</u>	<u>Improper location of player-operated amusement devices or failure to prohibit minors entering certain premises</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>6 RCNY § 2-23(a)</u>	<u>Failure to file written accident report</u>	\$375	\$500	\$450 (plus 0 to 15 day suspension)**	\$500 (plus 15 day suspension)	\$500 (plus revocation)**	\$500 (plus revocation)

6 RCNY § 2-23(b)	Failure to notify DCA of accident	\$500 (plus 0 to 15 day suspension)**	\$500 (plus 15 day suspension)	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)
6 RCNY § 2-24(a)	Failure to post required signage	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-24(b)	Sign does not conform to requirements.	\$375*	\$500*	\$450	\$500	\$500	\$500

§ 6-14. Billiard and Pocket Billiard Tables and Billiard and Pocket Billiard Rooms Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law shall also apply to all subsections contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule. In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law, within two years of the prior violation(s).

The parties shall be authorized to present evidence to mitigate the license suspension or premise sealing period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-215(a)	Unlicensed operation of a billiard or pocket billiard room	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-217(1)	Permitting gambling or game of chance	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-217(2)	Permitting disorderly premises	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-217(3)	Permitting illegal drugs in premises	\$500 (plus 0 to 15 day suspension)**	\$500 (plus 15 day suspension)	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)
Admin Code § 20-217(4)	Permitting unaccompanied minor to enter or remain in premises	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-15. Sidewalk Cafes Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or the Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second, third, fourth or subsequent violation means a violation on a different day within a two year period at the same place of business, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license suspension or premise sealing period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third Violation	Third Default	Fourth and Subsequent Violation	Fourth and Subsequent Default
Admin Code § 20-224(a)	Operating an unlicensed sidewalk cafe	\$750	\$1,000	\$1,500 (plus 0 to 10 day sealing)**	\$2,000 (plus 3 day sealing)	\$2,000 (plus 0 to 20 day sealing)**	\$2,000 (plus 5 day sealing)	\$2,000 (plus 0 to 30 day sealing)**	\$2,000 (plus 7 day sealing)
Admin Code § 20-224(c)	Sidewalk cafe improperly obstructs means of egress	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
Admin Code § 20-224(e)	Sidewalk cafe serves alcohol but does not provide waiter or waitress service to patrons	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)

<u>Admin Code § 20-225(i)</u>	<u>Failure to comply with the terms of the revocable consent for enclosed sidewalk cafes</u>	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
<u>Admin Code § 20-226(g)</u>	<u>Failure to comply with the terms of the revocable consent for unenclosed sidewalk cafes</u>	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
<u>6 RCNY § 2-46</u>	<u>Failure to comply with sidewalk clearance standards</u>	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
<u>6 RCNY § 2-51</u>	<u>Failure to comply with license application requirements</u>	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
<u>6 RCNY § 2-52</u>	<u>Failure to comply with sidewalk cafe location criteria</u>	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
<u>6 RCNY § 2-53</u>	<u>Failure to comply with physical criteria for sidewalk cafes</u>	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
<u>6 RCNY § 2-53(d)</u>	<u>Improper signs on a sidewalk cafe</u>	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
<u>6 RCNY § 2-54</u>	<u>Failure to comply with physical criteria for enclosed sidewalk cafes</u>	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
<u>6 RCNY § 2-55</u>	<u>Failure to comply with physical criteria for unenclosed sidewalk cafes</u>	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
<u>6 RCNY § 2-55(a)</u>	<u>Removable base, wall, or planter exceeds 30 inches in height</u>	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
<u>6 RCNY § 2-55(c)</u>	<u>Improper sidewalk cafe awning</u>	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
<u>6 RCNY § 2-57</u>	<u>Improper sidewalk cafe operations</u>	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)

6 RCNY § 2-57(f)	Posting prohibited signage	\$750*	\$1,000*	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-57(g)	Improper menu	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-57(h)	Improper illumination	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)

§ 6-16. Sidewalk Stands Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-229	Operating an unlicensed newsstand	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-231	Failure to comply with restrictions and size requirements	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-233(a)	Operating an unlicensed stoop line stand	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-233(b)	Improper use of a stoop line stand	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-237(a) (food items)	Failure to comply with stoop line height, location and size restrictions displaying any food items	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-237(b) (food items)	Failure to comply with specific stoop line stand length and width restrictions on stands displaying any food items	\$375*	\$500*	\$450	\$500	\$500	\$500
Admin Code § 20-237(a) (non-food items)	Failure to comply with stoop line stand location and size restrictions on stands displaying only non-food items	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-237(b) (non-food items)	Failure to comply with specific stoop line stand length and width restrictions on stands displaying only non-food items	\$375*	\$500*	\$450	\$500	\$500	\$500
Admin Code § 20-237(c)	Unlawfully leasing to or permitting another person to use adjacent sidewalk space to display or sell merchandise	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-240	Unlawfully sleeping in stoop line stand	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-65	Failure to comply with newsstand site requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-66	Improper operation of a newsstand	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-70.2	Failure to comply with stoop line stand requirements	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-17. Sightseeing Guides Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-243	Operating as an unlicensed sightseeing guide	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-247	Failure to comply with sightseeing guide regulations	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-71	Improper documentation on receipts	\$260	\$350	\$450	\$500	\$500	\$500
6 RCNY § 2-72	Improperly charged additional fees	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-73	Improperly offered tie-in services	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-18. Pedicabs Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within one year of the prior violation(s).

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-250(a)	Operating without DCA pedicab business license	\$375	\$500	\$900	\$1,000	\$4,000	\$4,000
Admin Code § 20-253	Failure to comply with DCA insurance requirements	\$500 (plus 0 to 15 day suspension)**	\$500 (plus 15 day suspension)	\$1,000 (plus 0 to 30 day suspension)**	\$1,000 (plus 30 day suspension)	\$4,000 (plus revocation)**	\$4,000 (plus revocation)
Admin Code § 20-254	Operating without required equipment in pedicabs	\$375	\$500	\$900	\$1,000	\$4,000	\$4,000
Admin Code § 20-255	Failure to comply with inspection or registration plate requirements	\$375	\$500	\$900 (plus 30 to 60 day suspension)**	\$1,000 (plus 60 day suspension)	\$4,000 (plus one year to 18 month suspension)**	\$4,000 (plus 18 month suspension)
Admin Code § 20-256	Failure to comply with recordkeeping requirements	\$375	\$500	\$900	\$1,000	\$4,000	\$4,000
Admin Code § 20-257(a)	Operating without DCA pedicab driver license	\$375	\$500	\$900	\$1,000	\$4,000	\$4,000
Admin Code § 20-257	Failure to comply with pedicab driver license requirements	\$375	\$500	\$900	\$1,000	\$4,000	\$4,000
Admin Code § 20-258(b)	Failure to wear or display visible photo identification card	\$375	\$500	\$900	\$1,000	\$4,000	\$4,000
Admin Code § 20-259	Failure to comply with restrictions on the operation of pedicabs	\$375	\$500	\$900	\$1,000	\$4,000	\$4,000

<u>Admin Code § 20-259(b)(6)</u>	<u>Driver impaired or intoxicated by alcohol</u>	<u>\$500 (plus 0 to 15 day suspension)**</u>	<u>\$500 (plus 15 day suspension)</u>	<u>\$1,000 (plus 0 to 30 day suspension)**</u>	<u>\$1,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus revocation)**</u>	<u>\$4,000 (plus revocation)</u>
<u>Admin Code § 20-260</u>	<u>Violated pedicab rate requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-262</u>	<u>Failure to display pedicab registration or pedicab driver's license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-420</u>	<u>Failure to notify DCA of accident</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-424</u>	<u>Improper advertisements placed on pedicab</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-425</u>	<u>Failure to comply with signage requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-428</u>	<u>Failure to comply with recordkeeping requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-429</u>	<u>Failure to comply with Pedicab Information Card requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>

§ 6-19. Dealers in Second-Hand Articles Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-265</u>	<u>Operating as a second hand dealer without a license</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-267</u>	<u>Failure to report required records to police commissioner</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-268</u>	<u>Failure to comply with specified restrictions pertaining to second hand dealers</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-270</u>	<u>Failure to comply with signage requirements</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-271</u>	<u>Failure to comply with labeling requirements</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-272</u>	<u>Failure to comply with requirements pertaining to lost or stolen property</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-273</u>	<u>Failure to maintain record of purchases and sales</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-101</u>	<u>Failure to verify and record identity of persons who sell to second-hand dealers</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-102</u>	<u>Failure to comply with requirements pertaining to sale of second-hand furniture</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-103(a)</u>	<u>Failure to maintain documents recording transfer of title in sale of second-hand automobile</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

6 RCNY § 2-103(b)	<u>Failure to comply with requirements pertaining to deferred payment, collateral and financing terms in sale of second-hand automobile</u>	\$500	\$500	\$500	\$500	\$500	\$500
6 RCNY § 2-103(c)	<u>Covering or concealing of motor or serial number in sale of second-hand automobile or motorcycle</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(d)	<u>Failure of dealer in second-hand automobiles to meet deferred payment commitments in purchase of second-hand automobile from private person</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(e)	<u>Improper statements, representations, promises or acts by dealer in second-hand automobiles or agents</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(f)	<u>Failure of dealer in second-hand automobiles to disclose all terms of undertaking or agreement with purchaser</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(g)(1)(i)	<u>Sale of second-hand automobile not inspected and certified in accordance with Vehicle and Traffic Law</u>	\$500	\$500	\$500	\$500	\$500	\$500
6 RCNY § 2-103(g)(1)(ii)	<u>Failure to provide required Notice to Buyer with contract for sale of second-hand automobile</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(g)(1)(iii)	<u>Contract for sale of second-hand automobile containing prohibited limitations</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(g)(1)(iv)	<u>Failure of dealer in second-hand automobiles to submit form contracts with license application</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(g)(1)(v)	<u>Failure of dealer in second-hand automobiles to display required sign at place of business</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(g)(2)	<u>Misrepresentation of vehicle mileage</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(h)	<u>Failure of dealer in second-hand automobiles to investigate previous use of vehicle or to maintain record of vehicle's previous use and odometer reading</u>	\$500	\$500	\$500	\$500	\$500	\$500
6 RCNY § 2-103(i)	<u>Sale of second-hand automobile at price other than advertised</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(j)	<u>Sale of second-hand automobile from licensed place of business by any person other than licensed dealer in second-hand automobiles</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(k)(1)	<u>Improperly accepting deposit in sale of second-hand automobile</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(k)(2)	<u>Deposit receipt fails to contain required information</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(k)(3)	<u>Failure to keep proper record of deposits in sales of second hand automobiles</u>	\$375	\$500	\$450	\$500	\$500	\$500

6 RCNY § 2-103(1)(1)	Failure of dealer in second hand automobiles to keep proper records of income and expenses	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(1)(2)	Failure of dealer in second hand automobiles to maintain proper record of cash receipts and cash disbursements	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-20. Pawnbrokers Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-276	Employed clerk(s) under 16 years old	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-277	Failure to comply with reports and recordkeeping requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-222	Improper caveats on pawn tickets	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-224	Improper proof of notice of intention to sell pledged property	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-226	Failure to meet requirements of acceptable forms of verification of pledgor's identity and required records	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-21. Auctioneers Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-278	Operating without an auctioneer license	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-280	Failure to post license during auction	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-281	Improperly acting as an auctioneer	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-282	Improper auction advertising	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-284	Failure to comply with the sale of jewelry requirements	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-285	Failure to comply with auctioneer license restrictions	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-286	Failure to comply with sale of real property requirements	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-287	Failure to comply with split fee requirements	\$375	\$500	\$450	\$500	\$500	\$500

Admin Code § 20-301	Failure to comply with locksmith regulations	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-302	Failure to comply with equipment listing requirements	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-303	Failure to comply with key marking requirement	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-304	Failure to display license in required form	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-305	Failure to comply with prohibition for itinerant locksmith	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-307(b)	Failure to comply with apprentice requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-140	Improper posting of locksmith license information	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-141	Failure of locksmith to comply with obtaining and recording information requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-142	Failure to provide customer copy of completed form	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-143	Failure to retain required forms	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-144	Failure to make forms available for inspection	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-24. Sales Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-309	Failure to obtain sale license	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-315	Failure to maintain proper stock records	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-316	Failure to display license in required form	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-317	Failure to meet the requirement(s) for advertising	\$260	\$350	\$450	\$500	\$500	\$500
Admin Code § 20-318	Violated license requirement(s) by continuing to conduct business at the same premises	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-151(a)	Use of untrue, deceptive, or misleading advertisement	\$260	\$350	\$450	\$500	\$500	\$500
6 RCNY § 2-151(b)	Improper advertising of merchandise without an intent to sell	\$260	\$350	\$450	\$500	\$500	\$500
6 RCNY § 2-151(c)	Improper offer to procure goods not in the stock listed in inventory	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-151(d)	Failure to provide Department with inventory of stock	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-25. Garages and Parking Lots Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-321	Failure to have garage or parking lot license from DCA	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-324	Failure to meet requirements of rate schedule(s) or change of rates	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-324(b)	Failure to post the required rates sign	\$375*	\$500*	\$450	\$500	\$500	\$500
Admin Code § 20-325	Failure by transferring license to another or using license at another location	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-326	Failure to meet the requirement(s) of claim check(s)	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-327	Failure to meet the requirement(s) for the number of vehicles, maximum capacity or the manner of storage	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-327.1	Failure to meet bicycle parking requirements	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-328	Unauthorized use of customer's vehicle	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-161	Failure to meet the requirement(s) for the operation of garages and parking lots.	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-161(g)(1)	Failure to post the required signs for separate entrances and exits	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-161(g)(2)(vi)	Failure to post the required signage regarding business hours, capacity, or bicycle parking	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-161(g)(2)(v)	Failure to post the required auxiliary signs	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-161(g)(3)(1)	Failure to illuminate the parking garage and lot sign	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-161(u)	Failure to post the bicycle parking waiver sign	\$375*	\$500*	\$450	\$500	\$500	\$500

§ 6-26. Bingo Licensing Law Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-341	Conducting games by organization other than an authorized organization	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-342	Failure to comply with restrictions upon conduct of bingo games	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-346	Improper form, content or display of license	\$375	\$500	\$450	\$500	\$500	\$500

Admin Code § 20-348	Conducting games prior to 6 P.M. on Sunday	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-349	Admission of or participation by persons under eighteen	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-350	Conducting games in excess of permitted frequency or sale of alcohol beverages	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-351	Operating or conducting games by improper persons or with improper equipment or improper expenditures	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-352	Improper charge for admission, participation or game cards; improper award of prizes	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-353	Improper advertising	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-354	Improper filing or failure to file statements and receipts; failure to maintain records or to pay fee based on proceeds	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-358	Failure to comply with other specified requirements	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-27. Public Dance Halls, Cabarets and Catering Establishment Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation or an independent monitor, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-360	Operating as an unlicensed public dance hall, cabaret, or catering hall	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-360.1	Improper security guards	\$375	\$500	\$450 (plus 0 to 15 day suspension)**	\$500 (plus 15 day suspension)	\$500 (plus revocation)**	\$500 (plus revocation)
Admin Code § 20-360.2	Failure to comply with additional security measures for cabarets and public dance halls	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-360.2(b)(9)	Failure to comply with video indexing and preservation requirements	\$1,000	\$1,000	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
Admin Code § 20-360.2(b)(10)	Failure to comply with video storage and access requirements	\$1,000	\$1,000	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
Admin Code § 20-360.2(b)(12)	Failure to post video security signs	\$1,000	\$1,000	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
Admin Code § 20-361	Failure to comply license requirements	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-364	License not posted at entrance	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-365	Improper transfer of license	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-366	Failure to provide notice of change in corporate licenses	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-367	Open to the public during unauthorized hours	\$375	\$500	\$450	\$500	\$500	\$500

<u>Admin Code § 20-370.2</u>	<u>Failure to report substantiated violations</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>6 RCNY § 2-201</u>	<u>Improper charges for food or drink</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>6 RCNY § 2-202</u>	<u>Improper accessibility to authorities</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>6 RCNY § 2-203</u>	<u>Entrances or exits locked</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>6 RCNY § 2-205</u>	<u>Improper security guards</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>6 RCNY § 2-206</u>	<u>Improper complaint handling</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>6 RCNY § 2-207</u>	<u>Improper crowd control</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>6 RCNY § 5-63</u>	<u>Improper catering contract</u>	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-28. Sight-seeing Buses, Horse-drawn Cabs and Drivers Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-373</u>	<u>Operating sight-seeing bus or horse-drawn cab without a license</u>	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
<u>Admin Code § 20-374</u>	<u>Improper transfer of license for operation of sight-seeing bus; improper transfer of license for operation of horse-drawn cab</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-375</u>	<u>Failure to display license plate or replaceable date tag on sight-seeing bus or horse-drawn cab</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-376</u>	<u>Failure to submit sight-seeing bus for inspection</u>	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)
<u>Admin Code § 20-376.1</u>	<u>Failure to equip sight-seeing bus with headphone-limited sound reproduction system</u>	\$550 per day (plus revocation)**	\$750 per day (plus revocation)	\$675 per day (plus revocation)**	\$750 per day (plus revocation)	\$750 per day (plus revocation)**	\$750 per day (plus revocation)
<u>Admin Code § 20-377.1(b)</u>	<u>Failure of horse-drawn cab licensee to report modification, amendment, cancellation or substitution of required insurance policy</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-380</u>	<u>Collection of fare for use of horse-drawn cab in excess of specified amounts</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-381(a)</u>	<u>Unlicensed operation of horse-drawn cab</u>	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
<u>Admin Code § 20-381(e)</u>	<u>Operation of horse-drawn cab by person who is not licensed driver or apprentice or holder of probationary license</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-381.1</u>	<u>Operating horse-drawn cab at prohibited time or location</u>	\$375	\$500	\$450	\$500	\$500	\$500

<u>Admin Code § 20-381.1(d)</u>	<u>Operating horse-drawn cab in violation of notice given by authorized enforcement officer</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)</u>
<u>Admin Code § 20-381.2(b)</u>	<u>Failure to equip horse drawn cab with emergency brake system</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>
<u>Admin Code § 20-382</u>	<u>Unlawful agreement between owner of horse-drawn cab and any hotel, apartment house, restaurant or cafe</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-211</u>	<u>Violation of requirements applicable to owners and drivers of sightseeing buses</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-211(c)</u>	<u>Failure to post schedule of rates charged for each sightseeing bus trip</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-211(m)</u>	<u>Operation of sightseeing bus by single driver for more than 12 hours in any 24 hour period</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>
<u>6 RCNY § 2-211.1</u>	<u>Failure to comply with recordkeeping requirements applicable to owners of sightseeing buses</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-212</u>	<u>Violation of requirements applicable to owners and drivers of horse-drawn cabs</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-212(q)(3)</u>	<u>Operation of horse-drawn cab by single driver for more than 12 hours in any 20 hour period</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>
<u>6 RCNY § 2-212(q)(6)</u>	<u>Operating horse-drawn cab under influence of intoxicating liquor or drugs</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>

§ 6-29. Home Improvement Business Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-387</u>	<u>Unlicensed solicitation or performance of home improvement contract</u>	<u>\$1,000, plus \$100 per day</u>	<u>\$1,000, plus \$100 per day</u>	<u>\$1,000, plus \$100 per day</u>	<u>\$1,000, plus \$100 per day</u>	<u>\$1,000, plus \$100 per day</u>	<u>\$1,000, plus \$100 per day</u>
<u>Admin Code § 20-389</u>	<u>Unauthorized assignment or transfer of license; improper posting of license.</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-392(a)(1)</u>	<u>Fraud, misrepresentation, or bribery in securing a license</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>Admin Code § 20-392(a)(2)</u>	<u>False statement regarding a material matter in license application</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-392(a)(3)</u>	<u>Management personnel untrustworthy or not of good character</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-392(a)(4)</u>	<u>Failure to timely perform or complete contracts, manipulation of assets or accounts, or fraud or bad faith in business transactions, or unwholesome method or practice of solicitation</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>

<u>Admin Code § 20-392(a)(5)</u>	<u>Failure to display license properly</u>	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000
<u>Admin Code § 20-392(a)(6)</u>	<u>Failure to comply with lawful demand or requirement of commissioner</u>	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000
<u>Admin Code § 20-392(a)(7)</u>	<u>Act of omission, fraud, or misrepresentation by agent or employee approved or known by licensee</u>	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000
<u>Admin Code § 20-393</u>	<u>Prohibited practices in operation of home improvement business</u>	\$750 (plus revocation)**	\$1,000 (plus revocation)	\$900 (plus revocation)**	\$1,000 (plus revocation)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
<u>Admin Code § 20-394.1</u>	<u>Failure to provide notice of right of owner to cancel home improvement contract.</u>	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000
<u>Admin Code § 20-395</u>	<u>Failure to advise consumer of availability of estimate or failure to provide estimate on request</u>	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000
<u>6 RCNY § 2-221</u>	<u>Failure to meet requirements for content and cancellation of home improvement contract</u>	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000
<u>6 RCNY § 2-222</u>	<u>Prohibited advertising or selling practices</u>	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000
<u>6 RCNY § 2-223</u>	<u>Violation of duties and responsibilities of home improvement prime contractor</u>	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000
<u>6 RCNY § 2-224</u>	<u>Failure of participant in home improvement business trust fund to meet requirements of participation</u>	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000
<u>6 RCNY § 2-225</u>	<u>Failure to furnish required bond</u>	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000

§ 6-30. Process Servers Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-403</u>	<u>Operated as an unlicensed process server or process serving agency.</u>	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
<u>Admin Code § 20-406.2</u>	<u>Failure to comply with the responsibilities of process serving agencies</u>	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
<u>Admin Code § 20-406.3</u>	<u>Failure to maintain proper records</u>	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
<u>Admin Code § 20-410</u>	<u>Failure to maintain electronic record of service</u>	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
<u>6 RCNY § 2-233</u>	<u>Failure to comply with recordkeeping requirements</u>	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
<u>6 RCNY § 2-233a</u>	<u>Failure to comply with electronic recordkeeping requirements</u>	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
<u>6 RCNY § 2-233b</u>	<u>Failure to comply with global positioning system recordkeeping requirements</u>	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
<u>6 RCNY § 2-234</u>	<u>Failure to comply with all Federal, State and municipal laws, rules, regulations and requirements</u>	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
<u>6 RCNY § 2-234a</u>	<u>Failure to comply with the duties of process serving agencies</u>	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000

6 RCNY § 2-234b	Failure to comply with the duty of process servers to report agencies that assign service	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-235	Improper preparation or maintenance of affidavit of service	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-236	Failure to comply with the duty to report hearings contesting service	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-237	Improper wearing of insignia	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-238	Improper use of zip codes	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000

§ 6-31. Electronic or Home Appliance Service Dealer Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a sustained violation by the same respondent of the same provision of law, rule, or regulation within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-412	Operating business as an electronic or home appliance service dealer without a license	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-417	Failure to comply with the duties of an electronic or home appliance service dealer licensee	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-420	Engaging in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-251	Engaging in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-252	Improper advertising by service dealers	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-253	Failure to display required information	\$260	\$350	\$450	\$500	\$500	\$500
6 RCNY § 2-253(a)(1)	Failure to display required information regarding service dealer's true identity	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-253(a)(2)	Failure to display required information regarding "cash only" or "cash or certified check" only policy	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-253(a)(3)	Failure to display required information regarding the availability for review of the Department's regulations	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-253(a)(4)	Failure to display required notice	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-254	Failure to comply with disclosure required for repairs made on licensee's premises	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-255	Failure to comply with disclosure required for repairs made in the customer's home	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-256	Failure to comply with estimated completion date requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-257	Failure to comply with final bill requirements	\$375	\$500	\$450	\$500	\$500	\$500

6 RCNY § 2-258	Failure to give or maintain copies of documents	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-259	Improper return of removed parts	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-260	Failure to comply with the picture tubes requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-261	Improper warranty or guarantees	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-262	Improper insurance coverage	\$500 (plus 0 to 15 day suspension)**	\$500 (plus 15 day suspension)	\$500 (plus 0 to 30 day suspension)**	\$500 (plus 30 day suspension)	\$500 (plus license revocation)**	\$500 (plus license revocation)

§ 6-32. Products for the Disabled: Sale, Rental, Repair and Servicing Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-426	Engaged in unlicensed activity	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-428	Engaged in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-430	Failure to comply with the duties of a licensee	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-272	Engaged in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-273	Failure to comply with the written estimate requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-274	Improper delay in delivery	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-275	Improper display of required information	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-275(c)	Failure to post the sign summarizing provision of the products for the disabled law	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-276	Improper return of removed parts	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-277	Improper final bill and invoice	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-278	Failure to comply with recordkeeping requirements	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-33. Games of Chance Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 9 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-436	Failure to meet requirements of conduct for games of chance	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-436(1)	Engaging in unlicensed games of chance	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-440	Failure to meet requirement(s) for content and display of license	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-441	Engaging in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-442	Permitting under age person to conduct or assist in the conduct of any game of chance	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-443	Failure to meet the requirement(s) for the frequency of the games of chance	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-444	Failure to meet the requirement(s) for the persons operating and conducting games, equipment and other operations	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-445	Failure to meet the requirement(s) for the charge for admission and participation, amount of prizes and award of prizes	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-446	Failure to meet the requirement(s) for advertising games	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-447	Failure to furnish required documents	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-448	Failure to allow examination of books and records	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-450	Failure to keep the required books and records and failure to meet the requirements for the operation of the business	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-34. Storage Warehouses Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-476	Operating without a storage warehouse license	\$1,000 per day	\$1,000 per day	\$1,000 per day	\$1,000 per day	\$1,000 per day	\$1,000 per day
Admin Code § 20-478	Failure to comply with schedule of rates requirement(s)	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
Admin Code § 20-480	Failure to comply with insurance requirement(s)	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus 0 to 30 day suspension)**	\$1,000 (plus 30 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
6 RCNY § 2-322	Failure to comply with oral disclosures	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-323	Failure to comply with written estimate requirement(s)	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-324	Failure to comply with inventory requirement	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-325	Failure to comply with cancellation requirement	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000

6 RCNY § 2-326	Improper bill for services	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-327	Failure to comply with relocation of household goods requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-328	Failure to meet advertisement requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-329(a)	Failure to provide liability insurance	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus 0 to 30 day suspension)**	\$1,000 (plus 30 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
6 RCNY § 2-329(b)	Failure to meet insurance requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-330	Failure to provide a written storage contract before accepting household goods	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus 0 to 30 day suspension)**	\$1,000 (plus 30 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
6 RCNY § 2-331	Failure to provide consumer with access to household goods	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus 0 to 30 day suspension)**	\$1,000 (plus 30 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
6 RCNY § 2-332	Failure to meet general release requirement(s)	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus 0 to 30 day suspension)**	\$1,000 (plus 30 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
6 RCNY § 2-333	Improper sale of consumer goods	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus 0 to 30 day suspension)**	\$1,000 (plus 30 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
6 RCNY § 2-334	Failure to meet requirements to act as an agent	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000

§ 6-35. Electronic Stores Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-485	Operating without an electronic store license	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-485.3	Engaging in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-485.4	Improper transfer of license	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-485.5	Failure to comply with the duties of a licensee	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-341	Failure to comply with regulations pertaining to gray market merchandise	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-36. Towing Vehicles Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third Violation</u>	<u>Third Default</u>
Admin Code § 20-496	Unlicensed Activity	\$2,250	\$3,000	\$2,700	\$3,000	\$10,000	\$10,000
Admin Code § 20-500	Failure to comply with insurance requirements	\$2,500 (plus 0 to 15 day suspension)**	\$2,500 (plus 15 day suspension)	\$3,000 (plus 0 to 30 day suspension)**	\$3,000 (plus 30 day suspension)	\$3,500 (plus revocation)**	\$3,500 (plus revocation)
Admin Code § 20-501	Improper inspection of tow trucks	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
Admin Code § 20-503	Failure to comply with license plate requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
Admin Code § 20-507	Improper posting of required information	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875(plus revocation)**	\$3,500 (plus revocation)
Admin Code § 20-509	Failure to comply with rates requirements	\$2,250	\$3,000	\$2,700	\$3,000	\$10,000 (plus revocation)**	\$10,000 (plus revocation)
Admin Code § 20-509.1	Failure to comply with arterial tow rates requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875(plus revocation)**	\$3,500 (plus revocation)
Admin Code § 20-510	Improper authorization to tow vehicles	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875(plus revocation)**	\$3,500 (plus revocation)
Admin Code § 20-511	Failure to properly remove vehicle obstructing traffic	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
Admin Code § 20-512	Failure to comply with licensee obligation to perform service	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875(plus revocation)**	\$3,500 (plus revocation)
Admin Code § 20-514	Failure to comply with repair requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875(plus revocation)**	\$3,500 (plus revocation)
Admin Code § 20-515	Engaging in prohibited acts	\$2,250	\$3,000	\$2,700	\$3,000	\$10,000	\$10,000
Admin Code § 20-516	Improper recordkeeping	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875(plus revocation)**	\$3,500 (plus revocation)
Admin Code § 20-517	Improper transfer of license	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
Admin Code § 20-518	Failure to comply with Directed Accident Response Program (DARP) program requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875(plus revocation)**	\$3,500 (plus revocation)
Admin Code § 20-518(b)(2)	Unauthorized response	\$2,250	\$3,000	\$2,700	\$3,000	\$10,000 (plus revocation)**	\$10,000 (plus revocation)
Admin Code § 20-519	Failure to comply with Rotation Tow Program (ROTOW) program requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875(plus revocation)**	\$3,500 (plus revocation)
Admin Code § 20-520	Improper removal of a disabled vehicle on a highway	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875(plus revocation)**	\$3,500 (plus revocation)
Admin Code § 20-520.1(b)	Failure to comply with prerequisite requirements for city towing programs	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875(plus revocation)**	\$3,500 (plus revocation)
Admin Code § 20-527	Failure to comply with credit card requirement	\$2,250	\$3,000	\$2,700	\$3,000	\$10,000 (plus revocation)**	\$10,000 (plus revocation)
Admin Code § 20-528(a)	Improper police precinct notification	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-362	Failure to comply with towing license requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-363	Failure to comply with the obligations of a tow truck licensee	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-364	Improper application for a tow truck operator's license	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-365	Failure to comply with the obligations of a licensed tow truck operator	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-366	Failure to meet the responsibilities to provide towing services	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500

6 RCNY § 2-367	Improper authorization to tow	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-367.1	Failure to comply with Consumer Bill of Rights requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-368	Improper rates and charges	\$2,250	\$3,000	\$2,700	\$3,000	\$10,000	\$10,000
6 RCNY § 2-369	Failure to comply with the authorization to repair requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-370	Improper arterial towing	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-371	Failure to comply with Directed Accident Response Program (DARP) requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-372	Failure to comply with Rotation Tow Program (ROTOW) requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-373	Failure to comply with evidence vehicle program requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-374	Improper primary or adjacent zones	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-375	Failure to comply with the requirements of the tow truck industry fund	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-377	Failure to comply with the duties pertaining to the nonconsensual towing of vehicles from private property	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-378	Improper maintenance of records in electronic format	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500

§ 6-37. Booting of Motor Vehicles Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-531	Unlicensed booting activity	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
Admin Code § 20-534	Failure to maintain records as required	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
Admin Code § 20-535	Improper charge for release of vehicle; failure to release vehicle within prescribed time or at prescribed place	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-401	Failure to comply with signage requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-402	Improper form or content of vehicle window stickers	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-403	Improper practices for acceptance of credit card payments	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-404(b)	Failure to disclose name, phone number and address of each officer, director, principal or stockholder	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-404(d)	Failure to notify DCA within 15 days of change of address	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-405	Failure to notify DCA within 10 days of change in offers or directors or transfer of capital stock	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000

6 RCNY § 2-406	Failure to post license at place of business	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-407	Failure to provide employees with identification badge in required form; failure to maintain record of identification badges issued; failure of employee to display identification made upon request	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-408	Failure to maintain records or to issue receipts in required form	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-409	Failure to notify DCA of change in fees charged or properties served	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000

§ 6-38. Weights and Measures Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York, Title 6 of the Rules of the City of New York, Article 16 of the New York Agriculture and Markets Law, or Title 1 of the New York Code, Rules, and Regulations.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-591	Improper interference with inspector.	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-10	Failure to supplement weight or measure.	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-12	Failure to have information in English.	\$75*	\$100*	\$90	\$100	\$100	\$100
6 RCNY § 3-21	Failure to meet the requirements of display of price by quantity.	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-24	Failure to meet the requirement(s) for the use of scales or measuring devices in stores and markets.	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-24(f)(2)	Failure to post sign informing customer that they may reweigh products	\$75*	\$100*	\$90	\$100	\$100	\$100
6 RCNY § 3-25	Failure to retain inspection certificate(s)	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-41	Failure to meet the requirements for markings on prepackaged foods.	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-42	Failure to meet the requirements for markings on bread weight.	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-54	Failure to meet the requirement(s) for the sale of meat, poultry, and seafood.	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-55	Failure to meet the requirement(s) for labeling of frozen, defrosted or thawed and refrozen, meat, poultry, fish and products thereof.	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-57	Improper colored lighting on meats	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-72	Failure to meet the requirements of weighing device in laundry.	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-81	Failure to meet the requirements for the purchase of gold, silver or precious metal.	\$75	\$100	\$90	\$100	\$100	\$100
NY Agri & Mkts § 190	Failure to comply with regulations pertaining to the method of sale of food and food products	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200

<u>NY Agri & Mkts § 191</u>	<u>Improper standardized packaging</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>1 NYCRR § 221.3</u>	<u>Improper declaration of identity</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.4</u>	<u>Improper declaration of responsibility</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.5</u>	<u>Improper declaration of quantity</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.6</u>	<u>Failure to comply with regulations pertaining to consumer package prominence and placement</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.7</u>	<u>Failure to comply with regulations pertaining to nonconsumer package prominence and placement</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.11</u>	<u>Improper variation</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.12</u>	<u>Improper method of sale of commodities</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.13</u>	<u>Improper method of sale of specific commodities</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.14</u>	<u>Net contents of containers not indicated on the outside</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.15</u>	<u>Improper sales slip</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.16</u>	<u>Improper use of coin-operated devices</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>

§ 6-39. Dealers in Second-Hand Weighing or Measuring Devices Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation shall mean a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-601</u>	<u>Failure to have required license from DCA</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-604</u>	<u>Failure to give notice regarding repaired devices</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>Admin Code § 20-605</u>	<u>Failure to comply with requirements regarding returning tags on condemned vehicles</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>Admin Code § 20-606</u>	<u>Failure to comply with recordkeeping requirements</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>Admin Code § 20-607</u>	<u>Failure to comply with testing equipment requirements</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-01</u>	<u>Failure to meet requirement(s) regarding the NYC security seal</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-02</u>	<u>Failure to meet requirement(s) regarding the licensee's security seal</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-03</u>	<u>Failure to meet requirements in use of initials, abbreviations, trademarks and logos</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-04</u>	<u>Failure to meet requirements of employee's identification number or letter</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-05</u>	<u>Failure to give notice of new repair or service person</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>

6 RCNY § 4-06	<u>Failure to meet requirements regarding the cumulative list of employees.</u>	\$75	\$100	\$90	\$100	\$100	\$100
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§ 6-40. Etching Acid Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-612	<u>Failure to meet the requirement(s) for the sale of etching acid.</u>	\$185	\$250	\$225	\$250	\$250	\$250
Admin Code § 20-613	<u>Failure to meet the requirement(s) of posting notice.</u>	\$185	\$250	\$225	\$250	\$250	\$250
Admin Code § 20-614	<u>Failure to meet recordkeeping requirement(s)</u>	\$185	\$250	\$225	\$250	\$250	\$250
6 RCNY § 4-100	<u>Failure to meet the requirement(s) of valid photo identification.</u>	\$185	\$250	\$225	\$250	\$250	\$250
6 RCNY § 4-101	<u>Failure to meet the requirement(s) of maintaining purchasing records.</u>	\$185	\$250	\$225	\$250	\$250	\$250
6 RCNY § 4-102	<u>Failure to meet the requirement(s) of posting notice.</u>	\$185	\$250	\$225	\$250	\$250	\$250

§ 6-41. Language Assistance in Pharmacies Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-621	<u>Failure to meet the oral interpretation services requirement(s).</u>	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
Admin Code § 20-622	<u>Failure to meet the requirement(s) of written translation services.</u>	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
Admin Code § 20-623	<u>Failure to meet the requirement(s) for notification relating to language assistance services.</u>	\$375	\$500	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 4-11(a)	<u>Failure to include required statement in language assistance signs</u>	\$375	\$500	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 4-11(b)	<u>Failure to comply with the required form pertaining to language assistance signs</u>	\$375	\$500	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 4-11(c)	<u>Failure to comply with the required display of language assistance signs</u>	\$375	\$500	\$900	\$1,000	\$1,000	\$1,000

§ 6-42. Sales of Petroleum Products Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York; Title 6 of the Rules of the City of New York; Title 1 of the New York Code, Rules, and Regulations, or Article 16 of the New York State Agriculture and Markets Law.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second, third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-672</u>	<u>Failure to comply with petroleum sign, placard, or other display requirements</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>Admin Code § 20-672.1</u>	<u>Failure to comply with sales recordkeeping requirements</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>Admin Code § 20-673</u>	<u>Engaging in fraudulent practices</u>	\$7,500	\$10,000	\$9,000	\$10,000	\$15,000	\$15,000
<u>Admin Code § 20-673.1</u>	<u>Improper sale of unleaded gasoline</u>	\$1,000	\$4,000	\$2,500	\$10,000	\$10,000	\$10,000
<u>Admin Code § 20-673.2</u>	<u>Improper certification, display, or representation of octane</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
<u>Admin Code § 20-673.3</u>	<u>Failure to maintain required records</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>6 RCNY § 3-91</u>	<u>Use of improper delivery ticket</u>	\$75	\$100	\$90	\$100	\$100	\$100
<u>6 RCNY § 3-92</u>	<u>Improper delivery in excess of marker capacity</u>	\$75	\$100	\$90	\$100	\$100	\$100
<u>6 RCNY § 3-93</u>	<u>Improper oil temperature for sale, offer, or delivery</u>	\$75	\$100	\$90	\$100	\$100	\$100
<u>6 RCNY § 3-94</u>	<u>Use of improper printer tickets</u>	\$75	\$100	\$90	\$100	\$100	\$100
<u>6 RCNY § 3-95</u>	<u>Improper contents of printer ticket</u>	\$75	\$100	\$90	\$100	\$100	\$100
<u>6 RCNY § 3-96</u>	<u>Improper handling of printer ticket during delivery</u>	\$75	\$100	\$90	\$100	\$100	\$100
<u>6 RCNY § 3-97</u>	<u>Failure to leave delivery ticket</u>	\$75	\$100	\$90	\$100	\$100	\$100
<u>6 RCNY § 3-98</u>	<u>Improper measured liquid diversion device or mechanism</u>	\$75	\$100	\$90	\$100	\$100	\$100
<u>6 RCNY-3-99</u>	<u>Use of improper air eliminator</u>	\$75	\$100	\$90	\$100	\$100	\$100
<u>6 RCNY § 3-100</u>	<u>Improper representation of oil quantities on delivery tickets</u>	\$75	\$100	\$90	\$100	\$100	\$100
<u>6 RCNY § 3-102</u>	<u>Failure to submit truck for inspection</u>	\$75	\$100	\$90	\$100	\$100	\$100
<u>6 RCNY § 4-51</u>	<u>Improper testing or approval of pumps</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>6 RCNY § 4-52</u>	<u>Improper priming of pumps</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>6 RCNY § 4-53</u>	<u>No official seals on pumps</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>6 RCNY § 4-54</u>	<u>Missing or broken security seal on pump</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>6 RCNY § 4-55</u>	<u>No "out of order" sign on pumps</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>6 RCNY § 4-56</u>	<u>Improper interlock or signage at dispensing devices</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>6 RCNY § 4-57</u>	<u>Improper use of bottles and measures</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>6 RCNY § 4-58</u>	<u>Improper use of grease measuring devices</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>6 RCNY § 4-59</u>	<u>Failure to retain certificate(s) of inspection</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>6 RCNY § 4-60</u>	<u>Failure to comply with yard and delivery ticket requirements</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
<u>6 RCNY § 4-61</u>	<u>Failure to comply with return and inspection of ticket requirements</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000

6 RCNY § 4-62	<u>Failure to comply with selector valve requirements</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
6 RCNY § 4-63	<u>Failure to comply with signage requirements</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
6 RCNY § 4-64	<u>Failure to comply with fill and stick lines - general requirements</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
6 RCNY § 4-65	<u>Failure to comply with fill and stick lines - major oil company requirements</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
6 RCNY § 4-66	<u>Failure to comply with color sample requirements</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
6 RCNY § 4-67	<u>Failure to comply with gauge requirements</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
6 RCNY § 4-68	<u>Improper sale of a petroleum product other than gasoline or diesel motor fuel</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
6 RCNY § 4-69	<u>Failure to comply with requirements regarding water in gas storage tanks</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
6 RCNY § 4-70	<u>Improper octane ratings</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
6 RCNY § 4-71	<u>Improper octane labeling</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
6 RCNY § 5-51	<u>Failure to comply with regulations pertaining to retail sale of gasoline</u>	\$260	\$350	\$500	\$500	\$500	\$500
NY Agri & Mkts § 181	<u>Improper interference with the powers and duties of municipal directors</u>	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 182	<u>Improper notification or use of weighing and measuring devices</u>	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 183	<u>Improper removal, obliteration, or defacing of official or security seal</u>	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 184	<u>Failure to comply with the requirements pertaining to the condemnation, seizure, or repair of false devices</u>	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 185	<u>Failure to comply with stop-use, stop-removal, or removal order</u>	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 186	<u>Improper possession or use of false device</u>	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 189	<u>Failure to comply with the requirements pertaining to the method of sale of commodities</u>	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 192	<u>Failure to comply with the requirements pertaining to the sale or delivery of petroleum products</u>	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 192(5)	<u>Failure to comply with the signage requirements for selling or offering to sell motor fuel for use in internal combustion engines</u>	\$75	\$100	\$450	\$500	\$500	\$500
NY Agri & Mkts § 192(6)	<u>Failure to provide functioning motor driven air compressors</u>	\$25 per day	\$25 per day	\$25 per day	\$25 per day	\$25 per day	\$25 per day
NY Agri & Mkts § 192-a	<u>Failure to comply with fuel octane labeling requirements</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
NY Agri & Mkts § 192-b	<u>Failure to comply with fuel lead content labeling and requirements</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
NY Agri & Mkts § 192-c	<u>Failure to comply with motor fuel standards and labeling; cetane rating of diesel fuel; alcohol content</u>	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
NY Agri & Mkts § 192-c(4)(c)	<u>Failure to maintain the required records</u>	\$200	\$200	\$400	\$400	\$400	\$400

NY Agri & Mkts § 192-e	Failure to comply with the regulations pertaining to the sale or delivery of liquefied petroleum gas	\$450	\$600	\$1,080	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 193	Improper packaging or labeling of containers	\$450	\$600	\$900	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 194	Improper use of false labels	\$450	\$600	\$900	\$1,200	\$1,200	\$1,200
NY Agri & Mkts § 195	Failure to comply with the duties of weigh masters	\$450	\$600	\$900	\$1,200	\$1,200	\$1,200
1 NYCRR § 220.1	Used a prohibited false or unapproved device	\$300	\$400	\$720	\$800	\$800	\$800
1 NYCRR § 220.2	Improper use of inaccurate or defective petroleum devices	\$300	\$400	\$720	\$800	\$800	\$800
1 NYCRR § 220.5	Failure to submit device for inspection	\$300	\$400	\$720	\$800	\$800	\$800
1 NYCRR § 220.8	Improper retail petroleum devices	\$300	\$400	\$720	\$800	\$800	\$800
1 NYCRR § 220.9	Improper delivery of petroleum products	\$300	\$400	\$720	\$800	\$800	\$800
1 NYCRR § 220.11	Improper retail scales	\$300	\$400	\$720	\$800	\$800	\$800
1 NYCRR § 224.3	Failure to comply with gasoline-alcohol, octane, diesel, or kerosene requirements	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.4	Failure to comply with delivery requirements	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.5	Failure to comply with delivery recordkeeping requirements	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.6	Improper or inaccurate retail measuring device	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.7	Failure to maintain required records	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.7(c)	Failure to remove the water from storage tank(s) as required	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.8	Improper retail sale of gasoline-alcohol blends	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.9	Failure to comply with octane requirements	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.10	Failure to properly post cetane ratings	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.11	Improper octane or cetane labeling	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.12	Failure to post unleaded gasoline sign	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.13	Failure to identify grade or post required kerosene signage	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.14	Failure to properly mark fill ports	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
1 NYCRR § 224.18	Improper retail sale of gasoline-alcohol blends	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000

§ 6-43. Sales of Meats Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-677	Failure to meet the requirement(s) for sales of meats at retail	\$100	\$100	\$100	\$100	\$100	\$100
Admin Code § 20-678	Failure to meet the requirement(s) for sales of meats at wholesale	\$100	\$100	\$100	\$100	\$100	\$100
Admin Code § 20-679	Failure to follow prohibition(s) on injection device	\$100	\$100	\$100	\$100	\$100	\$100
Admin Code § 20-680	Failure to meet the requirement(s) in labeling meats	\$100	\$100	\$100	\$100	\$100	\$100

§ 6-44. Sale of Prepackaged Meat Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-682	Failure to meet the requirement(s) for the sales of prepackaged meat	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 4-41	Failure to meet the requirement(s) of custom meat grinding	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 4-42	Failure to meet the requirement(s) for sale of hamburger	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-45. Perishable Foods Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-685	Failure to meet the requirement(s) for the sale of perishable food	\$125	\$250	\$225	\$250	\$250	\$250
6 RCNY § 4-112	Failure to meet the requirement(s) for display	\$125	\$250	\$225	\$250	\$250	\$250
6 RCNY § 4-113	Failure to meet requirement(s) for labeling of perishable foods	\$125	\$250	\$225	\$250	\$250	\$250

§ 6-46. Price Displays Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Each consecutive day a violation of this section is continued shall constitute a separate first violation of the law pursuant to section 20-692 of the Administrative Code.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-691	Failure to meet the requirements of price displays	\$110	\$150	\$135	\$150	\$150	\$150

§ 6-47. Consumer Protection Law Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-700	Engaged in an unlawful deceptive or unconscionable trade practice	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-23	Failure to meet the requirement(s) for layaway plans	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-24	Failure to meet requirement(s) for credit card limitations	\$260*	\$350*	\$315	\$350	\$350	\$350
6 RCNY § 5-32	Failure to meet the requirement(s) for documentation of transactions	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-36	Failure to meet the requirement(s) for sale of used items	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-37	Failure to comply with disclosure of refund policy requirements	\$260*	\$350*	\$315	\$350	\$350	\$350
6 RCNY § 5-39	Failure to meet the requirements for cancellation of home appointment	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-40	Improper limit or disclaimer of liability for negligence	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-40(e)	Improper posting of sign that business is not liable for negligence	\$260*	\$350*	\$315	\$350	\$350	\$350
6 RCNY § 5-46	Failure to meet the requirement(s) for a car rental business	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-46(d)	Failure to post notice of consumer protection law	\$260*	\$350*	\$315	\$350	\$350	\$350
6 RCNY § 5-47	Failure to meet the requirement(s) for jewelry sellers and appraisers	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-51	Failure to meet the requirement(s) for retail sale of gasoline	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-54	Failure to meet the requirement(s) for repairs of consumer goods	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-55	Failure to meet the requirement(s) for meat and poultry advertising	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-56	Failure to meet the requirement(s) for window gates	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-57	Failure to meet the requirement(s) for utility bill payments	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-58	Improper offer of sale of food in damaged containers	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-59	Improper imposition of restaurant surcharges	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-60	Failure to meet the requirement(s) for franchises	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-61	Failure to meet the requirement(s) for public performance seats	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-63	Failure to meet the requirement(s) for catering contracts	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-68	Failure to meet the requirements for dealers at flea markets	\$260	\$350	\$315	\$350	\$350	\$350

6 RCNY § 5-69	Failure to meet the requirements of blood pressure reading services	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-70	Failure to meet the requirements for retail service establishments	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-73	Failure to meet the requirement(s) for the sale of box cutters	\$350	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-75	Failure to post the Buyer's Guide when selling or offering to sell any used automobile	\$260	\$350	\$315	\$350	\$350	\$350

§ 6-48. Truth in Pricing Law

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-708	Failure to display total selling price by tag or sign	\$185	\$250	\$225	\$250	\$250	\$250
Admin Code § 20-708.1(b)	Improper item pricing	\$18 (for first 20 violations)	\$500	\$45 (for first 20 violations within a two-week period)	\$1,000 (within a two-week period)	\$50 (for first 20 violations within a two-week period)	\$1,000 (within a two-week period)
Admin Code § 20-708.1(d)	Improper scanner accuracy	\$260	\$350	\$500	\$500	\$500	\$500
Admin Code § 20-708.1(e)	Improper price accuracy	\$18 (for first 20 violations)	\$500	\$45 (for first 20 violations within a two-week period)	\$1,000 (within a two-week period)	\$50 (for first 20 violations within a two-week period)	\$1,000 (within a two-week period)
Admin Code § 20-709	Failure to display price per measure	\$185	\$250	\$225	\$250	\$250	\$250
6 RCNY § 5-113	Failure to meet the requirements of calculation and display price per measure	\$185	\$250	\$225	\$250	\$250	\$250
6 RCNY § 5-115	Failure to meet guidelines on multiple pricing	\$185	\$250	\$225	\$250	\$250	\$250

§ 6-49. Posting of Prescription Drug Prices

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-713	Improper display of prescription drug prices	\$375	\$500	\$675	\$750	\$750	\$750
6 RCNY § 5-121	Failure to meet the requirements for posting prescription drug prices	\$375	\$500	\$675	\$750	\$750	\$750
6 RCNY § 5-122	Failure to meet the requirements for displaying information relating to emergency contraception	\$375	\$500	\$675	\$750	\$750	\$750

§ 6-50. Representations in Advertising Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-723.2	Failure to meet requirements for business promoting credit counseling services.	\$3,750	\$5,000	\$4,500	\$5,000	\$5,000	\$5,000

§ 6-51. Availability for Sale of Advertised Merchandise Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-727	Improper rain check issuance disclosure	\$75*	\$100*	\$90	\$100	\$100	\$100

§ 6-52. Information with Respect to Funeral Costs Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-731	Failure to comply with the casket and outer interment receptacle information requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
Admin Code § 20-732	Failure by provider of funeral services to furnish required statement	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
Admin Code § 20-733	Engaging in prohibited practices	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
Admin Code § 20-734	Failure to comply with merchandise and service selection requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
Admin Code § 20-736	Failure to provide price information over telephone	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 5-161	Improper casket and outer interment receptacle information	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 5-162	Failure by provider of funeral services to comply with general price list requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 5-163	Improper display of merchandise	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 5-164	Failure to give required price information over telephone	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 5-165	Failure to comply with display of ownership information requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 5-166	Failure to comply with sale of monuments requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000

§ 6-53. Income Tax Preparers Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs,

clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-740(a)	Failure to post identification and qualification statement	\$375*	\$500*	\$675	\$750	\$750	\$750
Admin Code § 20-740(b)	Failure to provide customer with receipt	\$375	\$500	\$675	\$750	\$750	\$750
Admin Code § 20-740.1	Improper consumer bill of rights	\$375	\$500	\$675	\$750	\$750	\$750
Admin Code § 20-741	Improper records	\$375	\$500	\$675	\$750	\$750	\$750
Admin Code § 20-741.1	Failure to comply with refund anticipation loan requirements	\$375	\$500	\$675	\$750	\$750	\$750
6 RCNY § 5-66	Improper tax preparation practices	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-66(c)	Failure to post the required tax preparation signs	\$260*	\$350*	\$315	\$350	\$350	\$350
6 RCNY § 5-171	Failure to comply with sign location requirement	\$375	\$500	\$675	\$750	\$750	\$750
6 RCNY § 5-172	Improper sign form and content	\$375	\$500	\$675	\$750	\$750	\$750

§ 6-54. Posting of Prices in Retail Service Establishments Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-750(a)	Improper display of service prices	\$185	\$250	\$450	\$500	\$500	\$500
Admin Code § 20-750(b)	Improper regular price list display	\$185	\$250	\$450	\$500	\$500	\$500
Admin Code § 20-750(c)	Disclosure of differing prices based on gender	\$185*	\$250*	\$450	\$500	\$500	\$500
Admin Code § 20-751	Failure to comply with base fee disclosure requirements	\$185	\$250	\$450	\$500	\$500	\$500

§ 6-55. Motorized Scooters Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-762(b)	Failure to comply with motor scooter requirements	\$1,000	\$1,000	\$2,000	\$2,000	\$2,000	\$2,000

§ 6-56. Immigration Assistance Service Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Title 20, Chapter 5 of the Administrative Code contains two subchapters numbered 14 that include § 20-770, § 20-771, § 20-772, § 20-773, and § 20-774.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-771	Engaging in prohibited conduct	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
Admin Code § 20-772	Improper written agreement	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
Admin Code § 20-773	Failure to post sign or improper sign	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
Admin Code § 20-774	Improper advertisements	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
Admin Code § 20-775	Improper document retention	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
Admin Code § 20-776	Failure to comply with surety requirements	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
6 RCNY § 5-260	Failure to comply with location or availability of records requirement	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
6 RCNY § 5-261	Failure to comply with written agreement requirements	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
6 RCNY § 5-262	Failure to complete form preparation declaration	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
6 RCNY § 5-263	Failure to comply with sign posting requirements	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
6 RCNY § 5-264	Failure to comply with disclosure of surety requirements	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000

§ 6-57. Tenant Screening Report Disclosure Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-809	Failure to post sign or improper sign	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 5-265	Failure to comply with the requirements for posting signs about tenant screening reports	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-58. Prohibition on the Sale of Expired Over-The-Counter-Medication Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-822(a)</u>	<u>Sale of expired over-the-counter medication</u>	\$250	\$250	\$500	\$500	\$500	\$500

§ 6-59. Employment Agency Penalty Schedule

All citations are to Chapter 20, Article 11 of the New York General Business Law, Title 20 of the Administrative Code of the City of New York, or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Title 20, Chapter 5 of the Administrative Code contains two subchapters numbered 14 that include § 20-770, § 20-771, § 20-772, § 20-773, and § 20-774.

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>NY Gen Bus § 172</u>	<u>Unlicensed activity</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>NY Gen Bus § 175</u>	<u>Failure to notify the Department of changes in license or ownership of the agency</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>NY Gen Bus § 176</u>	<u>Improper assignment or transfer of license, change of location, or addition of a location</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>NY Gen Bus § 179</u>	<u>Failure to maintain adequate registers and other records</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>NY Gen Bus § 181</u>	<u>Failure to maintain adequate contracts or receipts</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>NY Gen Bus § 182</u>	<u>Failure to provide identification card to nurse or maintain records of investigation and verification of nurses</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>NY Gen Bus § 184</u>	<u>Failure to comply with requirements pertaining to recruitment of domestic or household employees who are residents of other states</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>NY Gen Bus § 184-a</u>	<u>Failure to comply with requirements pertaining to recruitment of domestic or household employees from outside the continental United States</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>NY Gen Bus § 185</u>	<u>Charging or accepting an unlawful fee</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>NY Gen Bus § 185-a</u>	<u>Failure to comply with requirements pertaining to domestic dayworkers who are transported to the place of employment</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>NY Gen Bus § 186</u>	<u>Failure to comply with the requirements pertaining to the return of fees</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>NY Gen Bus § 187</u>	<u>Engaging in prohibited activities or conduct</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>NY Gen Bus § 188</u>	<u>Failure to post the required copies of law</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-771</u>	<u>Failure to provide a statement of employee rights and employer obligations under city, state and federal law</u>	\$375	\$500	\$450	\$500	\$500	\$500

Admin Code § 20-772	Failure to provide a statement of job conditions or maintain required records	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-241	Improper financial records	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-242	Failure to comply with corporate applicant requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-243	Failure to comply with trade name and partnership certificate requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-245	Failure to comply with premises requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-246	Failure to comply with referral card requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-247	Improper recruitment of domestic or household employees	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-248	Engaging in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-250	Failure to display sign in required form	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-251	Improper display of license	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-252	Failure to comply with the notice of hearing and subpoena duces tecum requirement	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-253	Failure to comply with change of address requirement	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-254	Failure to comply with judgment requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-255	Failure to respond to consumer complaints	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-257	Failure to comply with lost or mutilated license requirements	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-60. Domestic Workers & Household Employees Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-771	Failure to meet the requirements for providing the statement of employee rights and employer obligations under city, state and federal law	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-772	Improper statement of job conditions or failure to keep records	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-61. Public Safety Penalty Schedule

All citations are to Title 10 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation shall mean a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

The parties shall be authorized to present evidence to mitigate the premise sealing period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 10-131(g)</u>	<u>Unlawful sale of a toy or imitation firearm</u>	\$5,000	\$5,000	\$8,000	\$8,000	\$8,000 (plus 0 to 5 day sealing)**	\$8,000 (plus 5 day sealing)
<u>NYC Admin Code § 10-160(b)</u>	<u>Failure to comply with required security measures at ATM facilities</u>	\$250	\$250	\$250	\$250	\$250	\$250
<u>Admin Code § 10-134.2</u>	<u>Failure to comply with the requirements pertaining to the sale of laser pointers</u>	\$300	\$300	\$500	\$500	\$1,000	\$1,000
<u>6 RCNY § 4-10</u>	<u>Improper sign content regarding laser pointers</u>	\$300	\$300	\$500	\$500	\$500	\$500
<u>6 RCNY § 4-11</u>	<u>Improper size of sign regarding laser pointers</u>	\$300	\$300	\$500	\$500	\$500	\$500
<u>6 RCNY § 4-12</u>	<u>Improper posting of sign regarding laser pointers</u>	\$300	\$300	\$500	\$500	\$500	\$500
<u>6 RCNY § 4-15</u>	<u>Improper number of signs regarding laser pointers</u>	\$300	\$300	\$500	\$500	\$500	\$500
<u>6 RCNY § 4-16</u>	<u>Sign not in required language</u>	\$300	\$300	\$500	\$500	\$500	\$500
<u>6 RCNY § 5-73</u>	<u>Failure to comply with requirements pertaining to the sale of box cutters</u>	\$350	\$350	\$500	\$500	\$500	\$500

SUBCHAPTER C: PUBLIC HEARINGS

§ 6-80. Authority

Where required by statute, the Department shall provide for an open public hearing. An open public hearing may also be held at the discretion of the Commissioner for any lawful purpose.

§ 6-81. Notice

- (a) Notice shall be provided in the manner prescribed by the statute authorizing the Department to hold the hearing.
- (b) Unless otherwise provided by law, notice shall be reasonable and afford all parties who are affected by the proceeding an opportunity to present their views.

§ 6-82. Location

The hearing may be held at the Department's main office or at any other appropriate location. The precise location shall be specified in the notice of the public hearing.

§ 6-83. Procedures

- (a) The hearing shall be presided over by any employee of the Department designated by the Commissioner to serve as the presiding officer.
- (b) The presiding officer shall have authority to determine the order in which testimony is presented and evidence received.

At the conclusion of the hearing, the presiding officer shall cause a transcript of the hearing to be made and prepare a written report containing a summary of the proposal and the evidence submitted.

§ 3. These rules take effect August 22, 2016.



CITY PLANNING

■ NOTICE

POSITIVE DECLARATION

Project Identification
 Greater East Midtown Rezoning
 CEQR No. 17DCP001M
 ULURP Nos. Pending
 SEQRA Classification: Type I

Lead Agency
 City Planning Commission
 120 Broadway, 31st Floor
 New York, NY 10271-3100
 Contact: Robert Dobruskin
 (212) 720-3423

Name, Description and Location of Proposal:

Greater East Midtown Rezoning

The New York City Department of City Planning (DCP) is proposing a zoning text amendment and a zoning map amendment (collectively the "Proposed Action") affecting an approximately 78 block area within the Greater East Midtown neighborhood of Manhattan Community Districts 5 and 6. The affected area is generally bounded by East 57th Street to the north, East 39th Street to the south, Second and Third Avenues to the east and Fifth Avenue to the west.

The Proposed Action includes:

- 1. A zoning text amendment to establish the East Midtown Subdistrict (the "Subdistrict") within the Special Midtown

District which will supersede and subsume the existing Grand Central Subdistrict; and,

2. A zoning map amendment to replace an existing C5-2 district with a C5-3 district, and extend the Special Midtown District and the East Midtown Subdistrict over the proposed C5-3 district, in the area bounded by East 43rd Street to the north, East 42nd Street to the south, Second Avenue to the east, and a line 200 feet easterly of Third Avenue to the west.

The Proposed Action is intended to: a) protect and strengthen Greater East Midtown as a regional job center and premiere central business district by seeding the area with new modern and sustainable office buildings; b) help preserve and maintain landmarked buildings by permitting their unused development rights to transfer within the district's boundary; c) permit overbuilt buildings to retain their non-complying floor area as part of a new development on the site; d) upgrade the area's public realm through improvements that create pedestrian friendly public spaces and that facilitates safer, more pleasant pedestrian circulation within the transit stations and the street network; and e) maintain and enhance key characteristics of the area's built environment such as access to light and air, active retail corridors, and the iconic street wall character in the area surrounding Grand Central Terminal.

Currently, the area around Grand Central Terminal and along the avenues permit development to a maximum floor area ratio (FAR) of 15.0; and, along the midblocks to a maximum of 12.0 FAR. The Proposed Action would permit developments, through as-of-right mechanisms, to achieve specific maximum densities in excess of the base FARs of 15.0 and 12.0 ranging from 18.0 to 27.0 FAR based on geography. Broadly, this would translate to higher permitted FARs in locations proximate to transit nodes and along Park Avenue, the widest street in Midtown.

The Proposed Action would permit new developments to achieve higher densities, up to specified maximum allowances, through three as-of-right mechanisms. The proposed zoning text would permit underbuilt landmark sites that are within the Subdistrict or whose lot borders the Subdistrict's boundary to transfer their unused development rights throughout the Subdistrict to a new development. The text would also allow floor area of pre-1961 buildings that exceeds the maximum permitted base FAR to be utilized in a new development on the site without requiring 25 percent of the current building be retained. New developments in close proximity to transit nodes would be required to complete pre-identified transit infrastructure projects in exchange for a portion of the permitted floor area. Each mechanism would generate public realm improvements that will have been identified by the Department of Transportation and the Metropolitan Transportation Authority.

Future development would need to occur on sites that are able to produce the high-quality office space crucial to the success of this proposal. Consequently, sites that utilize the proposed Subdistrict's as-of-right framework must have cleared frontage along a wide street, dedicate no more than 20 percent of the building's floor area for residential use, and comply with environmental performance standards.

Lastly, the proposed zoning text would modify certain Special Midtown District regulations related to height and setback, hotel use, stacking of non-residential above residential use, and urban design controls.

The reasonable worst case development scenario (RWCDs) for the Proposed Action identifies a total of sixteen projected development sites within the proposed Subdistrict. By 2036, the analysis year for the Proposed Action, the total development expected to occur on these sites in the future with the Proposed Action (With-Action) conditions would consist of approximately 13.4 million gross square feet (gsf) of office space, 0.6 million gsf of retail, and approximately 119 dwelling units. The projected incremental (net) change between the future without the Proposed Action (No-Action) and With-Action conditions that would result from the Proposed Action would be an increase of approximately 6.6 million gsf of office space and 0.16 million gsf of retail; and, a net decrease of 44 residential units and 0.8 million gsf of hotel space. The total difference between the built square footage in the No-Action and With-Action conditions is an increase of approximately 5.9 million gsf.

Fourteen additional sites within the affected area, which are considered possible but less likely to be developed by the analysis year, have been identified as potential development sites.

It is anticipated that in the future without the Proposed Action there would be a total of approximately 6.7 million gsf of office space, 0.4 million gsf of retail space, 0.8 million gsf of hotel space, and 163 residential units, on the sixteen projected development sites.

The proposed zoning text amendment would establish or modify provisions related to several special permits. A special permit would be created to allow on-site, publicly accessible areas to be integrated into a new development site in exchange for up to a 20 percent increase of the maximum permitted base FAR. The existing Subway Station

Improvements special permit, pursuant to Zoning Sections 74-634 and 81-292, will be modified in order to allow it to be utilized by new developments in the Subdistrict that are within close proximity to transit nodes. This will permit a bonus of up to 20 percent of the maximum permitted base FAR. As new hotel uses will not be permitted as-of-right within the Subdistrict, a new special permit that would allow for the development, conversion, or enlargement of hotels within the Subdistrict will be created. It is possible that the Proposed Action would also include mechanisms to allow for waivers of various provisions of the Special Midtown District including height and setback. Because it is not possible to predict whether one or more special permits would be pursued on any one site in the future, the RWCDs does not include specific development sites that would include a new or enlargement of hotel use and/or achieve the higher maximum FAR. Therefore, a conceptual analysis will be provided to generically assess the potential environmental impacts that could result from development pursuant to the special permits

Statement of Significant Effect:

On behalf of the lead agency, CPC, the Environmental Assessment and Review Division has determined, pursuant to 6 NYCRR Part 617.7, that the Proposed Action may have a significant effect on the quality of the environment as detailed in the following environmental impacts, and that an environmental impact statement will be required:

1. The action, as proposed, may result in significant adverse impacts related to land use, zoning, and public policy in the vicinity of the affected area.
2. The action, as proposed, may result in significant adverse impacts related to socioeconomic conditions in the vicinity of the affected area.
3. The action, as proposed, may result in significant adverse impacts on publicly accessible open space in the vicinity of the affected area.
4. The action, as proposed, may result in significant adverse shadow impacts in the vicinity of the affected area.
5. The action, as proposed, may result in significant adverse impacts on historic and cultural resources in the affected area.
6. The action, as proposed, may result in significant adverse impacts on urban design and visual resources in the vicinity of the affected area.
7. The action, as proposed, may result in significant adverse impacts related to hazardous materials in the vicinity of the affected area.
8. The action, as proposed, may result in significant adverse impacts on water and sewer infrastructure in the vicinity of the affected area.
9. The action, as proposed, may result in significant adverse impacts on solid waste and sanitation services.
10. The action, as proposed, may result in significant adverse impacts on energy.
11. The action, as proposed, may result in significant adverse impacts to transportation in the vicinity of the affected area.
12. The action, as proposed, may result in significant adverse impacts to air quality in the vicinity of the affected area.
13. The action, as proposed, may result in significant adverse impacts to greenhouse gases in the vicinity of the affected area.
14. The action, as proposed, may result in significant adverse noise impacts in the vicinity of the affected area.
15. The action, as proposed, may result in significant adverse impacts related public health.
16. The action, as proposed, may result in significant adverse impacts on neighborhood character in the vicinity of the affected area.
17. The action, as proposed, may result in significant adverse construction-related impacts.

Supporting Statement:

The above determination is based on an Environmental Assessment Statement prepared for the action which finds that:

1. Land Use, Zoning and Public Policy - The Proposed Action would alter existing land uses and zoning by allowing greater densities than the current zoning permits. In addition, the effects of the Proposed Action may not be compatible with one or more of the public policies that are applicable to portions of the affected area.
2. Socioeconomic Conditions - No direct residential displacement would occur under the Proposed Action

nor would it induce a trend that could potential result in changing socioeconomic conditions for the residents within the area. The Proposed Action could result in direct and/or indirect displacement of commercial or institutional uses as it could displace employees and/or businesses of consequence to the area and would introduce a sizable amount of new commercial uses to the area.

- 3. Community Facilities – The Proposed Action would not result in the direct displacement of any existing community facilities or services; furthermore, based on the RWCDs, the Proposed Action would result in a net decrease of residential units and, therefore would not have the potential to result in significant, adverse impacts related to public schools, libraries, and child care.
- 4. Open Space – The Proposed Action would not have a direct effect on any open space resource; however, it may have an indirect effect due to increased demand for use of publicly accessible spaces by the sizeable number of workers and other daytime users that would be introduced to the area by the Proposed Action.
- 5. Shadows – The Proposed Action would allow an increase in development density and, as the result, greater building heights in the affected area. Shadows cast by the proposed buildings could affect publicly accessible open spaces and sunlight-sensitive architectural resources in the area.
- 6. Historic and Cultural Resources – The Proposed Action’s directly affected area encompasses numerous designated landmarks as well as buildings that may be eligible for landmark designation. In addition, the Proposed Action would result in additional in-ground disturbance on development sites identified in the RWCDs, and therefore has the potential to affect archaeological resources that may be present on those sites.
- 7. Urban Design and Visual Resources – The Proposed Action and subsequent projected development would result in physical changes in the affected area beyond the bulk and form currently permitted as-of-right; therefore, these changes could affect a pedestrian’s experience of public space and may alter the urban design character and visual resources of the surrounding area.
- 8. Natural Resources – The area of the Proposed Action is located in a developed area of Manhattan, and the affected area and immediately adjacent area are substantially devoid of natural resources; therefore, the Proposed Action would not have a significant adverse impact on natural resources.
- 9. Hazardous Materials – The Proposed Action would result in additional in-ground disturbance which, given the historical on-and off-site uses and conditions, has the potential to result in hazardous materials impacts.
- 10. Water and Sewer Infrastructure – The Proposed Action would result a sizable net increase of building space within the affected area which could place additional demands on infrastructure, including water supply and storm water management.
- 11. Solid Waste and Sanitation – Due to the increase in density within the affected area, the Proposed Action would increase the demands on solid waste and sanitation transport and disposal services.
- 12. Energy – Although significant adverse energy impacts are not anticipated for the Proposed Action as it would not affect the transmission or generation of energy, the projected amount of energy consumption during long-term operation resulting from the Proposed Action should be assessed.
- 13. Transportation – The Proposed Action would result in an increase in the number of vehicular trips and increase ridership on mass transit facilities. It also would affect pedestrian movements in the area due to the increased number of workers and visitors to the area.
- 14. Air Quality – Increased demand for heating, ventilation and air conditioning (HVAC) and additional vehicular traffic introduced by the Proposed Action may affect air quality.
- 15. Greenhouse Gas Emissions – The Proposed Action would allow an increase in development density that may affect greenhouse gas emissions due to increased construction and operational activities with the projected development.
- 16. Noise – The Proposed Action would increase the volume of traffic in the area, which could result in additional traffic noise and may have the potential to result in stationary source noise impacts.

- 17. Public Health - The Proposed Action would result in an increase in development density which could potentially result in public health concerns.
- 18. Neighborhood Character – The Proposed Action has the potential to alter certain constituent elements of the affected area’s neighborhood character, including land use patterns, socioeconomic conditions, traffic, and noise levels.
- 19. Construction – The Proposed Action would increase the allowable density of the area resulting in new development that involves activities which may result in construction-related impacts.
- 20. The Draft Environmental Impact Statement (DEIS) to be prepared for the Proposed Action will identify and describe any other potential effects on the environment.

Public Scoping:

The CEQR lead agency hereby requests that the applicant prepare or have prepared, at their option, a Draft Environmental Impact Statement (DEIS) in accordance with 6 NYCRR 617.9(b) and Sections 6-08 and 6-12 of Executive Order No. 91 of 1977 as amended (City Environmental Quality Review).

A public scoping meeting has been scheduled for Thursday, September 22, 2016, and will be held in the Manhattan Municipal Building, Mezzanine level, 1 Centre Street, New York, NY 10007; access through the North Entrance. The public meeting will be held in two sessions with the first session starting at 2:00 P.M. and the second starting at 6:00 P.M. Written comments will be accepted by the lead agency until the close of business on Tuesday, October 4, 2016.

This determination has been prepared in accordance with Article 8 of the Environmental Conservation Law.

Should you have any questions pertaining to this Positive Declaration, you may contact the Project Manager, Diane McCarthy, at (212) 720-3417.

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HOUSING PRESERVATION AND DEVELOPMENT

■ NOTICE

REQUEST FOR COMMENT REGARDING AN APPLICATION FOR A CERTIFICATION OF NO HARASSMENT

Notice Date: August 12, 2016

To: Occupants, Former Occupants, and Other Interested Parties

Property:	Address	Application #	Inquiry Period
	167 East 115 th Street, Manhattan	112/16	July 11, 2013 to Present
	503 West 144 th Street, Manhattan	113/16	July 13, 2013 to Present
	134 West 120 th Street, Manhattan	115/16	July 19, 2013 to Present
	145 Hancock Street, Brooklyn	106/16	July 1, 2013 to Present
	593 Hancock Street, Brooklyn	107/16	July 1, 2013 to Present
	13 Dean Street, Brooklyn	109/16	July 7, 2013 to Present
	970 Park Place, Brooklyn	110/16	July 8, 2013 to Present
	154 Herkimer Street, Brooklyn	115/16	July 19, 2013 to Present

Authority: SRO, Administrative Code §27-2093

Before the Department of Buildings can issue a permit for the alteration or demolition of a single room occupancy multiple dwelling, the owner must obtain a “Certification of No Harassment” from the Department of Housing Preservation and Development (“HPD”) stating that there has not been harassment of the building’s lawful occupants during a specified time period. Harassment is conduct by an owner that is intended to cause, or does cause, residents to leave or otherwise surrender any of their legal occupancy rights. It can include, but is not limited to, failure to provide essential services (such as heat, water, gas, or electricity), illegally locking out building residents, starting frivolous lawsuits, and using threats or physical force.

The owner of the building identified above has applied for a Certification of No Harassment. If you have any comments or evidence of harassment

at this building, please notify HPD at CONH Unit, 100 Gold Street, 6th Floor, New York, NY 10038 by letter postmarked not later than 30 days from the date of this notice or by an in-person statement made within the same period. To schedule an appointment for an in-person statement, please call (212) 863-5277 or (212) 863-8211.

a12-22

**REQUEST FOR COMMENT
REGARDING AN APPLICATION FOR A
CERTIFICATION OF NO HARASSMENT**

Notice Date: August 12, 2016

To: Occupants, Former Occupants, and Other Interested Parties

Property:	Address	Application #	Inquiry Period
	406 West 52 nd Street, Manhattan a/k/a 771 9 th Avenue	111/16	July 11, 2001 to Present
	402 West 50 th Street, Manhattan	114/16	July 18, 2001 to Present

Authority: Special Clinton District, Zoning Resolution §96-110

Before the Department of Buildings can issue a permit for the alteration or demolition of a multiple dwelling in certain areas designated in the Zoning Resolution, the owner must obtain a "Certification of No Harassment" from the Department of Housing Preservation and Development ("HPD") stating that there has not been harassment of the building's lawful occupants during a specified time period. Harassment is conduct by an owner that is intended to cause, or does cause, residents to leave or otherwise surrender any of their legal occupancy rights. It can include, but is not limited to, failure to provide essential services (such as heat, water, gas, or electricity), illegally locking out building residents, starting frivolous lawsuits, and using threats or physical force.

The owner of the building identified above has applied for a Certification of No Harassment. If you have any comments or evidence of harassment at this building, please notify HPD at CONH Unit, 100 Gold Street, 6th Floor, New York, NY 10038 by letter postmarked not later than 30 days from the date of this notice or by an in-person statement made within the same period. To schedule an appointment for an in-person statement, please call (212) 863-5277 or (212) 863-8211.

a12-22

**REQUEST FOR COMMENT
REGARDING AN APPLICATION FOR A
CERTIFICATION OF NO HARASSMENT**

Notice Date: August 12, 2016

To: Occupants, Former Occupants, and Other Interested Parties

Property:	Address	Application #	Inquiry Period
	295 North 7 th Street, Brooklyn	108/16	October 4, 2004 to Present

Authority: Greenpoint-Williamsburg Anti-Harassment Area, Zoning Resolution §§23-013, 93-90

Before the Department of Buildings can issue a permit for the alteration or demolition of a multiple dwelling in certain areas designated in the Zoning Resolution, the owner must obtain a "Certification of No Harassment" from the Department of Housing Preservation and Development ("HPD") stating that there has not been harassment of the building's lawful occupants during a specified time period. Harassment is conduct by an owner that is intended to cause, or does cause, residents to leave or otherwise surrender any of their legal occupancy rights. It can include, but is not limited to, failure to provide essential services (such as heat, water, gas, or electricity), illegally locking out building residents, starting frivolous lawsuits, and using threats or physical force.

The owner of the building identified above has applied for a Certification of No Harassment. If you have any comments or evidence of harassment at this building, please notify HPD at CONH Unit, 100 Gold Street, 6th Floor, New York, NY 10038 by letter postmarked not later than 30 days from the date of this notice or by an in-person statement made within the same period. To schedule an appointment for an in-person statement, please call (212) 863-5277 or (212) 863-8211.

a12-22

MAYOR'S OFFICE OF CONTRACT SERVICES

■ NOTICE

Notice of Intent to Issue New Solicitation(s) Not Included in FY 2017 Annual Contracting Plan and Schedule

NOTICE IS HEREBY GIVEN that the Mayor will be issuing the following solicitation(s) not included in the FY 2017 Annual Contracting Plan and Schedule that is published pursuant to New York City Charter § 312(a):

Agency: Department of Buildings
Description of services sought: Consultant Services to Assist in the Development of Waterfront Code Provisions
Start date of the proposed contract: 4/1/2017
End date of the proposed contract: 6/30/2019
Method of solicitation the agency intends to utilize: Request for Proposals
Personnel in substantially similar titles within agency: None
Headcount of personnel in substantially similar titles within agency: 0

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CHANGES IN PERSONNEL

DEPT OF ENVIRONMENT PROTECTION
FOR PERIOD ENDING 07/15/16

NAME	TITLE	NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
KOYENOV	NEGINA	40510	\$54000.0000	APPOINTED	YES	06/26/16	826
KUNDAN	AMAR	S 20113	\$35183.0000	APPOINTED	YES	06/26/16	826
LACHMAN	MEHENDRA	20113	\$35183.0000	APPOINTED	YES	06/26/16	826
LAROCCA	SALVATOR	91308	\$87164.0000	RETIRED	NO	07/01/16	826
LAWRENCE	SIAN	R 56056	\$38131.0000	APPOINTED	YES	06/26/16	826
LEON	NELSON	A 83008	\$107182.0000	INCREASE	YES	07/05/16	826
LIN	DAVID	T 21744	\$66675.0000	APPOINTED	YES	06/26/16	826
MARQUEZ	GINA	G 10251	\$41591.0000	RESIGNED	NO	04/21/16	826
MAZHUVANCHERY	LIJO	J 91406	\$11.7900	APPOINTED	YES	07/05/16	826

DEPT OF ENVIRONMENT PROTECTION
FOR PERIOD ENDING 07/15/16

NAME	TITLE	NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
MCDERMOTT	WILLIAM	T 90756	\$296.5600	RETIRED	NO	06/30/16	826
MCLEAN	DOREEN	A 10124	\$67219.0000	RETIRED	NO	07/01/16	826
MEAGHER	DYLAN	K 56058	\$75000.0000	APPOINTED	YES	06/26/16	826
MEJIA	GISSELLE	A 81361	\$41242.0000	APPOINTED	YES	06/27/16	826
NEPLOKH	YURIY	20410	\$60483.0000	RETIRED	NO	07/01/16	826
NG	ANDREW	22427	\$93735.0000	RESIGNED	NO	07/01/16	826
OSTOCK	TARA	M 22425	\$49900.0000	APPOINTED	YES	06/26/16	826
PICHARDO	KIMBERLY	10124	\$60727.0000	APPOINTED	YES	06/26/16	826
PUJDAK	DAVID	13631	\$79597.0000	RESIGNED	YES	06/29/16	826
RACANELLI	GTANCARL	56057	\$55620.0000	RESIGNED	YES	06/26/16	826
RAMOUTAR	CHITRAWA	10251	\$18.0011	INCREASE	YES	07/05/16	826
RICIGLIANO	KRISTIN	A 21744	\$57969.0000	APPOINTED	YES	06/26/16	826
ROJAS	JAIRO	10015	\$123021.0000	RETIRED	YES	07/02/16	826
ROJAS	JAIRO	20415	\$87378.0000	RETIRED	NO	06/02/16	826
ROSENFELD	MARTIN	21538	\$68506.0000	RETIRED	YES	06/29/16	826
ROSENFELD	MARTIN	12626	\$50250.0000	RETIRED	NO	06/29/16	826
SOETAN	ZAINAB	O 10209	\$10.3500	APPOINTED	YES	06/26/16	826
THILLET	LEDIA	10251	\$20.7100	RESIGNED	YES	02/16/16	826
VIGGIANO JR	JOHN	A 83008	\$129064.0000	RESIGNED	YES	07/01/16	826
WATSON	NEVILLE	34615	\$47901.0000	DISMISSED	NO	06/17/16	826
WANG	MARK	K 20202	\$52000.0000	APPOINTED	YES	06/26/16	826
YUHAS	CATHERIN	E 10232	\$15.3000	APPOINTED	YES	06/26/16	826

DEPARTMENT OF SANITATION
FOR PERIOD ENDING 07/15/16

NAME	TITLE	NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
ADDEO	VINCENT	A 70150	\$77676.0000	PROMOTED	NO	06/26/16	827
ALI	SHERINA	10251	\$32888.0000	APPOINTED	YES	04/24/16	827
ALSBROOK	DARRYL	56056	\$33800.0000	RESIGNED	YES	06/23/16	827
AOUN	JEAN-CLA	70150	\$77676.0000	PROMOTED	NO	06/26/16	827
BAJRACHARYA	SHYAM	M 10050	\$140000.0000	APPOINTED	YES	06/26/16	827
BENSON	MILES	J 9140A	\$13.5000	APPOINTED	YES	01/24/16	827
BEVENS	IRA	P 70150	\$77676.0000	PROMOTED	NO	06/26/16	827
BONNELL	DAVID	R 70150	\$77676.0000	PROMOTED	NO	06/26/16	827
BOYCE	AMANDA	D 10209	\$10.3500	RESIGNED	YES	06/26/16	827
CAJAMARCA	PATRICK	J 70150	\$77676.0000	PROMOTED	NO	06/26/16	827
CARPIO	MONICA	80633	\$11.7900	RESIGNED	YES	06/24/16	827
CATALA	TAMARA	13611	\$64800.0000	APPOINTED	YES	06/26/16	827
COLE	SEAN	M 70150	\$77676.0000	PROMOTED	NO	06/26/16	827
COMBS	CHLOE	P 10234	\$12.3900	RESIGNED	YES	06/22/16	827
DANIELS	CHIVON	10124	\$53227.0000	APPOINTED	YES	06/19/16	827
DELAROSE JR	DONNIE	D 70150	\$77676.0000	PROMOTED	NO	06/26/16	827
DELL ITALIA	ERIC	A 70112	\$72153.0000	DECEASED	NO	02/25/16	827
DEMAURO	MATTHEW	C 70150	\$77676.0000	PROMOTED	NO	06/26/16	827
DEPASQUALE II	PHILIP	D 70112	\$73235.0000	RETIRED	NO	07/01/16	827

DEPARTMENT OF SANITATION
FOR PERIOD ENDING 07/15/16

Table with columns: NAME, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists employees of the Department of Sanitation with their respective details.

DEPARTMENT OF SANITATION
FOR PERIOD ENDING 07/15/16

Table with columns: NAME, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Continuation of employee list for the Department of Sanitation.

DEPARTMENT OF FINANCE
FOR PERIOD ENDING 07/15/16

Table with columns: NAME, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists employees of the Department of Finance with their respective details.

Table with columns: NAME, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists employees TAVERAS and WHEELER.

DEPARTMENT OF FINANCE
FOR PERIOD ENDING 07/15/16

Table with columns: NAME, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists employee ZHANG.

DEPARTMENT OF TRANSPORTATION
FOR PERIOD ENDING 07/15/16

Table with columns: NAME, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists multiple employees of the Department of Transportation.



ECONOMIC DEVELOPMENT CORPORATION

CONTRACTS

SOLICITATION

Goods and Services

DISASTER RECOVERY CONSULTANT SERVICES - Request for Proposals - PIN#6116-0 - Due 9-22-16 at 4:00 P.M.

New York City Economic Development Corporation (NYCEDC) is seeking a consultant to perform disaster recovery consulting services (the "Services") on an on-call basis at various sites managed by NYCEDC.

NYCEDC plans to select a consultant on the basis of factors stated in the RFP which include, but are not limited to: the quality of the proposal, experience of key staff identified in the proposal, experience and quality of any subcontractors proposed, and demonstrated successful experience in performing services similar to those encompassed in the RFP.

Companies who have been certified with the New York City Department of Small Business Services as Minority and Women Owned Business Enterprises ("M/WBE") are strongly encouraged to apply. To learn more about M/WBE certification and NYCEDC's M/WBE program, please visit <http://www.nycedc.com/opportunitymwdbe>.

An optional informational session will be held on Monday, August 29, 2016, at 11:00 A.M., at NYCEDC. Those who wish to attend should RSVP by email to DisasterRecoveryConsulting2016@edc.nyc on or before August 26, 2016.

Respondents may submit questions and/or request clarifications from NYCEDC no later than 5:00 P.M. on Thursday, September 8, 2016. Questions regarding the subject matter of this RFP should be directed to DisasterRecoveryConsulting2016@edc.nyc. Answers to all questions will be posted by Thursday, September 15, 2016, to www.nycedc.com/RFP.

Please submit five (5) sets of your proposal.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Economic Development Corporation, 110 William Street, 4th Floor, New York, NY 10038. Maryann Catalano (212) 312-3969; Fax: (212) 312-3918; disasterrecoveryconsulting2016@edc.nyc

Accessibility questions: Equal Access Office at equalaccess@edc.nyc or (212) 312-6602, by: Friday, August 26, 2016, 5:00 P.M.

