



THE CITY RECORD

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THE CITY RECORD

BILL DE BLASIO
Mayor

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Commissioner, Department of Citywide
Administrative Services

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Editor, The City Record

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PUBLIC HEARINGS AND MEETINGS

See Also: Procurement; Agency Rules

BOROUGH PRESIDENT - MANHATTAN

MEETING

The December Meeting of the Manhattan Borough Board, will be held at 8:30 A.M., on Thursday, December 14th, at 1 Centre Street, 19th Floor South.

Accessibility questions: Brian Lafferty, Special Projects Coordinator, (212) 669-8300, blafferty@manhattanbp.nyc.gov, by: Tuesday, December 12, 2017, 5:00 P.M.



d8-14



BUILDINGS

MEETING

The next meeting of the New York City Loft Board, will take place on Thursday, December 21, 2017, at 22 Reade Street, Spector Hall, New York, NY 10007, at 2:00 P.M.



d13-21

BOARD OF EDUCATION RETIREMENT SYSTEM

MEETING

The Board of Trustees of the Board of Education Retirement System will be meeting at 5:00 P.M., on Wednesday, December 20, 2017, at High School for Fashion Industries (225 West 24th Street, New York, NY 10011).

Accessibility questions: Leslie Kearns (929) 305-3742, lkearns2@bers.nyc.gov, by: Tuesday, December 19, 2017, 3:00 P.M.



d14-20

EQUAL EMPLOYMENT PRACTICES COMMISSION

MEETING

The next meeting of the Equal Employment Practices Commission, will be held in the Commission's Conference Room/Library, at 253 Broadway, Suite 602, on Thursday, December 21, 2017, at 9:00 A.M.

Accessibility questions: Mohini Ramsukh, (212) 615-8938, Mramsukh@eepc.nyc.gov, by: Thursday, December 21, 2017, 9:00 A.M.



d13-21

HOUSING AUTHORITY

MEETING

The next Board Meeting of the New York City Housing Authority is scheduled for Wednesday, December 20, 2017, at 10:00 A.M., in the Board Room, on the 12th Floor of 250 Broadway, New York, NY (unless otherwise noted). Copies of the Calendar are available on NYCHA's website, or can be picked up at the Office of the Corporate Secretary, at 250 Broadway, 12th Floor, New York, NY, no earlier than 24 hours before the upcoming Board Meeting. Copies of the Minutes are also available on NYCHA's website, or can be picked up at the Office of the Corporate Secretary, no earlier than 3:00 P.M., on the Thursday after the Board Meeting.

Any changes to the schedule will be posted here, and on NYCHA's website, at http://www1.nyc.gov/site/nycha/about/board-calendar.page, to the extent practicable at a reasonable time before the meeting.

The meeting is open to the public. Pre-Registration at least 45 minutes before the scheduled Board Meeting is required by all speakers. Comments are limited to the items on the Calendar. Speaking time will be limited to three minutes. The public comment period will conclude upon all speakers being heard, or at the expiration of 30 minutes allotted by law for public comment, whichever occurs first.

For additional information, please visit NYCHA's website, or contact (212) 306-6088.

Accessibility questions: Office of the Corporate Secretary by phone at (212) 306-6088, or by email, at corporate.secretary@nychanyc.gov, by: Wednesday, December 6, 2017, 5:00 P.M.



n30-d20

TRANSPORTATION

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN, pursuant to law, that the following proposed revocable consents, have been scheduled for a public hearing by the New York City Department of Transportation. The hearing will be held at 55 Water Street, 9th Floor, Room 945, commencing at 2:00 P.M., on Wednesday, December 27, 2017. Interested parties can obtain copies of proposed agreements or request sign-language interpreters (with at least seven days prior notice) at 55 Water Street, 9th Floor South West, New York, NY 10041, or by calling (212) 839-6550.

#1 IN THE MATTER OF a proposed revocable consent authorizing 27 Monroe Place Trust to construct, maintain and use a fenced-in area with steps, built-in planters and trash enclosure on the west sidewalk of Monroe Place, north of Pierrepont Street, in the Borough of Brooklyn. The proposed revocable consent is for a term of ten years from Date of Approval by the Mayor and provides among other terms and conditions for compensation payable to the City according to the following schedule: R.P. #2409

From the date of the final approval by the Mayor to June 30, 2028 - \$25/per annul

the maintenance of a security deposit in the sum of \$4,500 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence for bodily injury and property damage, One Million Dollars (\$1,000,000) for personal and advertising injury, Two Million Dollars (\$2,000,000) aggregate, and Two Million Dollars (\$2,000,000) products/completed operations.

#2 IN THE MATTER OF a proposed revocable consent authorizing 33 Ninth Retail Owner LLC to continue to maintain and use an ADA lift and metal stairs on the north sidewalk of West 13th Street, west of 9th Avenue, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from Date of Approval by the Mayor and provides among other terms and conditions for compensation payable to the City according to the following schedule: R.P. #1954

From the date of the approval by the Mayor to June 30, 2028 - \$3,316/per annul

- For the period July 1, 2018 to June 30, 2019 - \$3,374
For the period July 1, 2019 to June 30, 2020 - \$3,432
For the period July 1, 2020 to June 30, 2021 - \$3,491
For the period July 1, 2021 to June 30, 2022 - \$3,549
For the period July 1, 2022 to June 30, 2023 - \$3,607

- For the period July 1, 2023 to June 30, 2024 - \$3,666
For the period July 1, 2024 to June 30, 2025 - \$3,724
For the period July 1, 2025 to June 30, 2026 - \$3,782
For the period July 1, 2026 to June 30, 2027 - \$3,841
For the period July 1, 2027 to June 30, 2028 - \$3,899

the maintenance of a security deposit in the sum of \$5,000 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence for bodily injury and property damage, One Million Dollars (\$1,000,000) for personal and advertising injury, Two Million Dollars (\$2,000,000) aggregate, and Two Million Dollars (\$2,000,000) products/completed operations.

#3 IN THE MATTER OF a proposed revocable consent authorizing 106 West 56th Street Property Investors III LLC to construct, maintain and use a new electric snow melt system in the north sidewalk of 106 West 56th Street, between Avenue of the Americas and 7th Avenue, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from Date of Approval by the Mayor and provides among other terms and conditions for compensation payable to the City according to the following schedule: R.P. # 2412

From the date of approval by the Mayor to June 30, 2018 - \$3,593/per annul

- For the period July 1, 2018 to June 30, 2019 - \$ 3,657
For the period July 1, 2019 to June 30, 2020 - \$ 3,720
For the period July 1, 2020 to June 30, 2021 - \$ 3,783
For the period July 1, 2021 to June 30, 2022 - \$ 3,846
For the period July 1, 2022 to June 30, 2023 - \$ 3,910
For the period July 1, 2023 to June 30, 2024 - \$ 3,973
For the period July 1, 2024 to June 30, 2025 - \$ 4,036
For the period July 1, 2025 to June 30, 2026 - \$ 4,099
For the period July 1, 2026 to June 30, 2027 - \$ 4,163
For the period July 1, 2027 to June 30, 2028 - \$ 4,226

the maintenance of a security deposit in the sum of \$5,000 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence for bodily injury and property damage, One Million Dollars (\$1,000,000) for personal and advertising injury, Two Million Dollars (\$2,000,000) aggregate, and Two Million Dollars (\$2,000,000) products/completed operations.

#4 IN THE MATTER OF a proposed revocable consent authorizing 425 Park Owner LLC to construct, maintain and use a new snow melt system in the west sidewalk of Park Avenue, between East 56th Street and East 55th Street, and in the south sidewalk East 55th, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from Date of Approval by the Mayor and provides among other terms and conditions for compensation payable to the City according to the following schedule: R.P. #2413

From the date of approval by the Mayor to June 30, 2018 - \$11,650/per annul

- For the period July 1, 2018 to June 30, 2019 - \$ 11,855
For the period July 1, 2019 to June 30, 2020 - \$ 12,060
For the period July 1, 2020 to June 30, 2021 - \$ 12,265
For the period July 1, 2021 to June 30, 2022 - \$ 12,470
For the period July 1, 2022 to June 30, 2023 - \$ 12,675
For the period July 1, 2023 to June 30, 2024 - \$ 12,880
For the period July 1, 2024 to June 30, 2025 - \$ 13,085
For the period July 1, 2025 to June 30, 2026 - \$ 13,290
For the period July 1, 2026 to June 30, 2027 - \$ 13,495
For the period July 1, 2027 to June 30, 2028 - \$ 13,700

the maintenance of a security deposit in the sum of \$20,000 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence for bodily injury and property damage, One Million Dollars (\$1,000,000) for personal and advertising injury, Two Million Dollars (\$2,000,000) aggregate, and Two Million Dollars (\$2,000,000) products/completed operations.

#5 IN THE MATTER OF a proposed revocable consent authorizing 770 Broadway Owner LLC to continue to maintain and use vault spaces under East 9th Street (Wanamaker Place), east of Broadway and under East 9th Street (Wanamaker Place) and Fourth Avenue, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026 and provides among other terms and conditions for compensation payable to the City according to the following schedule: R.P. #190A

- For the period July 1, 2016 to June 30, 2017 - \$66,629
For the period July 1, 2017 to June 30, 2018 - \$68,121
For the period July 1, 2018 to June 30, 2019 - \$69,613
For the period July 1, 2019 to June 30, 2020 - \$71,105
For the period July 1, 2020 to June 30, 2021 - \$72,597
For the period July 1, 2021 to June 30, 2022 - \$74,089
For the period July 1, 2022 to June 30, 2023 - \$75,581
For the period July 1, 2023 to June 30, 2024 - \$77,073
For the period July 1, 2024 to June 30, 2025 - \$78,565
For the period July 1, 2025 to June 30, 2026 - \$80,057

the maintenance of a security deposit in the sum of \$80,100.20 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence for bodily injury and property damage, One Million

Dollars (\$1,000,000) for personal and advertising injury, Two Million Dollars (\$2,000,000) aggregate, and Two Million Dollars (\$2,000,000) products/completed operations.

#6 IN THE MATTER OF a proposed revocable consent authorizing 61-63 Crosby Street, Inc. to construct, maintain and use two new steps with railings in the west sidewalk of 61-63 Crosby Street, between Spring Street and Broome Street, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from Date of Approval by the Mayor and provides among other terms and conditions for compensation payable to the City according to the following schedule: **R.P. #2415**

- From the date of approval by the Mayor to June 30, 2018 - \$3,000/per annum
- For the period July 1, 2018 to June 30, 2019 - \$ 3,053
- For the period July 1, 2019 to June 30, 2020 - \$ 3,106
- For the period July 1, 2020 to June 30, 2021 - \$ 3,158
- For the period July 1, 2021 to June 30, 2022 - \$ 3,211
- For the period July 1, 2022 to June 30, 2023 - \$ 3,264
- For the period July 1, 2023 to June 30, 2024 - \$ 3,317
- For the period July 1, 2024 to June 30, 2025 - \$ 3,370
- For the period July 1, 2025 to June 30, 2026 - \$ 3,422
- For the period July 1, 2026 to June 30, 2027 - \$ 3,475
- For the period July 1, 2027 to June 30, 2028 - \$ 3,528

the maintenance of a security deposit in the sum of \$5,000 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence for bodily injury and property damage, One Million Dollars (\$1,000,000) for personal and advertising injury, Two Million Dollars (\$2,000,000) aggregate, and Two Million Dollars (\$2,000,000) products/completed operations.

#7 IN THE MATTER OF a proposed revocable consent authorizing Daniel F. Hunter and Dana E. Rathkopf to construct, maintain and use a fenced-in planted area, stoop and steps on the south east sidewalk of Henry Street, between State and Atlantic Avenue, in the Borough of Brooklyn. The proposed revocable consent is for a term of ten years from Date of Approval by the Mayor and provides among other terms and conditions for compensation payable to the City according to the following schedule: **R.P. #2411**

- From the date of Approval by the Mayor to June 30, 2028 - \$25/per annum

the maintenance of a security deposit in the sum of \$4,680 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence for bodily injury and property damage, One Million Dollars (\$1,000,000) for personal and advertising injury, Two Million Dollars (\$2,000,000) aggregate, and Two Million Dollars (\$2,000,000) products/completed operations.

#8 IN THE MATTER OF a proposed revocable consent authorizing LaGuardia Fuel Facilities Corporation to continue to maintain and use 12-inch pipeline, from Long Island City to LaGuardia Airport, in the Borough of Queens. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026 and provides among other terms and conditions for compensation payable to the City according to the following schedule: **R.P. #893D**

- For the period July 1, 2016 to June 30, 2017 - \$284,557
- For the period July 1, 2017 to June 30, 2018 - \$290,931
- For the period July 1, 2018 to June 30, 2019 - \$297,305
- For the period July 1, 2019 to June 30, 2020 - \$303,679
- For the period July 1, 2020 to June 30, 2021 - \$310,053
- For the period July 1, 2021 to June 30, 2022 - \$316,427
- For the period July 1, 2022 to June 30, 2023 - \$322,801
- For the period July 1, 2023 to June 30, 2024 - \$329,175
- For the period July 1, 2024 to June 30, 2025 - \$335,549
- For the period July 1, 2025 to June 30, 2026 - \$341,923

the maintenance of a security deposit in the sum of \$342,000 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence for bodily injury and property damage, One Million Dollars (\$1,000,000) for personal and advertising injury, Thirty Five Million Dollars (\$35,000,000) aggregate, and Thirty Five Million Dollars (\$35,000,000) products/completed operations.

#9 IN THE MATTER OF a proposed revocable consent authorizing New York Historical Society to maintain and use a 3-foot diameter sidewalk plaque on the northeast sidewalk of West 77th Street, between West 77th Street and West 76th Street, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from Date of the Approval by the Mayor and provides among other terms and conditions for compensation payable to the City according to the following schedule: **R.P. #2414**

- From the date of the final approval by the Mayor to June 30, 2027 - \$300/per annum

the maintenance of a security deposit in the sum of \$3,000 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence for bodily injury and property damage, One Million

Dollars (\$1,000,000) for personal and advertising injury, Two Million Dollars (\$2,000,000) aggregate, and Two Million Dollars (\$2,000,000) products/completed operations.

d6-27

PROPERTY DISPOSITION

CITYWIDE ADMINISTRATIVE SERVICES

■ SALE

The City of New York in partnership with PropertyRoom.com posts vehicle and heavy machinery auctions online every week at: <https://www.propertyroom.com/s/nyc+fleet>

All auctions are open to the public and registration is free.

Vehicles can be viewed in person by appointment at: Kenben Industries Ltd., 1908 Shore Parkway, Brooklyn, NY 11214. Phone: (718) 802-0022

o11-m29

OFFICE OF CITYWIDE PROCUREMENT

■ NOTICE

The Department of Citywide Administrative Services, Office of Citywide Procurement is currently selling surplus assets on the internet. Visit <http://www.publicsurplus.com/sms/nycdcas.ny/browse/home>.

To begin bidding, simply click on 'Register' on the home page.

There are no fees to register. Offerings may include but are not limited to: office supplies/equipment, furniture, building supplies, machine tools, HVAC/plumbing/electrical equipment, lab equipment, marine equipment, and more.

Public access to computer workstations and assistance with placing bids is available at the following locations:

- DCAS Central Storehouse, 66-26 Metropolitan Avenue, Middle Village, NY 11379
- DCAS, Office of Citywide Procurement, 1 Centre Street, 18th Floor, New York, NY 10007

j3-d29

HOUSING PRESERVATION AND DEVELOPMENT

■ PUBLIC HEARINGS

Pursuant to Section 695(2)(b) of the General Municipal Law and Section 1802(6)(j) of the Charter, **NOTICE IS HEREBY GIVEN** that the Department of Housing Preservation and Development ("HPD") of the City of New York ("City") has proposed the sale of the following City-owned properties (collectively, the "Disposition Area") to Restoring Communities Housing Development Fund Corporation ("Sponsor"):

PROPERTY ONE:

Block 2136, Lot(s) 47 in the Borough of Manhattan, also known by the street address 9 Fort Washington Avenue, which contains 20 occupied multiple dwelling with 25 dwelling units.

PROPERTY TWO:

Block 2119, Lot(s) 28 in the Borough of Manhattan, also known by the street address 518 West 161st Street, which contains 15 occupied multiple dwelling with 24 dwelling units.

PROPERTY THREE:

Block 2122, Lot(s) 142 in the Borough of Manhattan, also known by the street address 544-46 West 163rd Street, which contains 13 occupied multiple dwelling with 20 dwelling units.

PROPERTY FOUR:

Block 2136, Lot(s) 5 in the Borough of Manhattan, also known by the street address 609 West 158th Street, which contains 15 occupied multiple dwelling with 25 dwelling units.

Under HPD's Affordable Neighborhood Cooperative Program, occupied City-Owned residential buildings are purchased by Restoring Communities Housing Development Fund Corporation ("Sponsor") and then rehabilitated by a private developer selected through a request for qualifications. Upon conveyance to the Sponsor, buildings will be managed by the private developers. Following completion of rehabilitation, the Sponsor conveys the building to a cooperative housing development fund corporation ("Cooperative Corporation") formed by the building's tenants. The cooperative interests attributable to occupied apartments will be sold to the existing tenants for \$2,500 per apartment. The cooperative interests attributable to vacant apartments will be sold for a price affordable to families earning no more than 165% of the area median income.

Under the proposed project, the City will sell the Disposition Area to Sponsor for the nominal price of one dollar (\$1.00) per building. At the time of sale, the Sponsor will be required to sign a rental regulatory agreement with HPD containing, among other things, restrictions on rents, incomes, and assets. When such Sponsor conveys the building to the Cooperative Corporation upon the completion of rehabilitation, the Cooperative Corporation will be required to sign a cooperative regulatory agreement with HPD containing, among other things, restrictions on sale prices, incomes, and assets as well as requirements for a flip tax and building reserve fund.

The appraisal and the proposed Land Disposition Agreement and Project Summary for the project indicated above are available for public examination at the office of HPD, 100 Gold Street, Room 5-I, New York, NY on business days during business hours.

PLEASE TAKE NOTICE that a public hearing will be held on January 17, 2018, at 1 Centre Street, Manhattan, Mezzanine, at 10:00 A.M., or as soon thereafter as the matter may be reached on the calendar, at which time and place those wishing to be heard will be given an opportunity to be heard concerning the proposed sale of the Disposition Areas, pursuant to Section 695(2)(b) of the General Municipal Law and Section 1802(6)(j) of the Charter.

Individuals requesting sign language interpreters should contact the Mayor's Office of Contract Services, Public Hearings Unit, 253 Broadway, Room 915, New York, NY 10007, (212) 788-7490, no later than ten (10) business days prior to the public hearing. TDD users should call Verizon relay services.

◀ d14

POLICE

■ NOTICE

OWNERS ARE WANTED BY THE PROPERTY CLERK DIVISION OF THE NEW YORK CITY POLICE DEPARTMENT

The following listed property is in the custody of the Property Clerk Division without claimants: Motor vehicles, boats, bicycles, business machines, cameras, calculating machines, electrical and optical property, furniture, furs, handbags, hardware, jewelry, photographic equipment, radios, robes, sound systems, surgical and musical instruments, tools, wearing apparel, communications equipment, computers, and other miscellaneous articles.

Items are recovered, lost, abandoned property obtained from prisoners, emotionally disturbed, intoxicated and deceased persons; and property obtained from persons incapable of caring for themselves.

INQUIRIES

Inquiries relating to such property should be made in the Borough concerned, at the following office of the Property Clerk.

FOR MOTOR VEHICLES (All Boroughs):

- Springfield Gardens Auto Pound, 174-20 North Boundary Road, Queens, NY 11430, (718) 553-9555
- Erie Basin Auto Pound, 700 Columbia Street, Brooklyn, NY 11231, (718) 246-2030

FOR ALL OTHER PROPERTY

- Manhattan - 1 Police Plaza, New York, NY 10038, (646) 610-5906
- Brooklyn - 84th Precinct, 301 Gold Street, Brooklyn, NY 11201, (718) 875-6675
- Bronx Property Clerk - 215 East 161 Street, Bronx, NY 10451, (718) 590-2806
- Queens Property Clerk - 47-07 Pearson Place, Long Island City, NY 11101, (718) 433-2678

- Staten Island Property Clerk - 1 Edgewater Plaza, Staten Island, NY 10301, (718) 876-8484

j3-d29

PROCUREMENT

"Compete To Win" More Contracts!

Thanks to a new City initiative - "Compete To Win" - the NYC Department of Small Business Services offers a new set of FREE services to help create more opportunities for minority and women-owned businesses to compete, connect and grow their business with the City. With NYC Construction Loan, Technical Assistance, NYC Construction Mentorship, Bond Readiness, and NYC Teaming services, the City will be able to help even more small businesses than before.

- Win More Contracts at nyc.gov/competetowin

"The City of New York is committed to achieving excellence in the design and construction of its capital program, and building on the tradition of innovation in architecture and engineering that has contributed to the City's prestige as a global destination. The contracting opportunities for construction/construction services and construction-related services that appear in the individual agency listings below reflect that commitment to excellence."

HHS ACCELERATOR

To respond to human services Requests for Proposals (RFPs), in accordance with Section 3-16 of the Procurement Policy Board Rules of the City of New York ("PPB Rules"), vendors must first complete and submit an electronic prequalification application using the City's Health and Human Services (HHS) Accelerator System. The HHS Accelerator System is a web-based system maintained by the City of New York for use by its human services Agencies to manage procurement. The process removes redundancy by capturing information about boards, filings, policies, and general service experience centrally. As a result, specific proposals for funding are more focused on program design, scope, and budget.

Important information about the new method

- Prequalification applications are required every three years.
- Documents related to annual corporate filings must be submitted on an annual basis to remain eligible to compete.
- Prequalification applications will be reviewed to validate compliance with corporate filings, organizational capacity, and relevant service experience.
- Approved organizations will be eligible to compete and would submit electronic proposals through the system.

The Client and Community Service Catalog, which lists all Prequalification service categories and the NYC Procurement Roadmap, which lists all RFPs to be managed by HHS Accelerator may be viewed at <http://www.nyc.gov/html/hhsaccelerator/html/roadmap/roadmap.shtml>. All current and prospective vendors should frequently review information listed on roadmap to take full advantage of upcoming opportunities for funding.

Participating NYC Agencies

HHS Accelerator, led by the Office of the Mayor, is governed by an Executive Steering Committee of Agency Heads who represent the following NYC Agencies:

- Administration for Children's Services (ACS)
- Department for the Aging (DFTA)
- Department of Consumer Affairs (DCA)
- Department of Corrections (DOC)
- Department of Health and Mental Hygiene (DOHMH)
- Department of Homeless Services (DHS)
- Department of Probation (DOP)
- Department of Small Business Services (SBS)
- Department of Youth and Community Development (DYCD)
- Housing and Preservation Department (HPD)
- Human Resources Administration (HRA)
- Office of the Criminal Justice Coordinator (CJC)

To sign up for training on the new system, and for additional information about HHS Accelerator, including background materials, user guides and video tutorials, please visit www.nyc.gov/hhsaccelerator

CITYWIDE ADMINISTRATIVE SERVICES

■ SOLICITATION

Goods

SIEMENS DEMAG DELAVAL PUMP PARTS-BRAND SPECIFIC - Competitive Sealed Bids - PIN#8571800114 - Due 1-18-18 at 10:30 A.M.
● GRUNDFOS PUMP PARTS-BRAND SPECIFIC - Competitive Sealed Bids - PIN#8571800115 - Due 1-18-18 at 10:30 A.M.
● LINERS, TRASH, GREEN RE-AD - Competitive Sealed Bids - PIN#8571800109 - Due 1-18-18 at 10:30 A.M.

A copy of the bid can be downloaded from the City Record Online at www.nyc.gov/cityrecord. Enrollment is free. Vendor may also request the bid by contacting Vendor Relations via email at dcasdmssbids@dcas.nyc.gov, by telephone at (212) 386-0044.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Citywide Administrative Services, 1 Centre Street, 18th Floor, New York, NY 10007. Tia Clarke (212) 386-0227; tclarke@dcas.nyc.gov

← d14

OFFICE OF CITYWIDE PROCUREMENT

■ AWARD

Goods

SCOURING POWDER (GENERAL USE) RE-AD - Competitive Sealed Bids - PIN#8571700303 - AMT: \$105,315.00 - TO: Care Supplies LLC, 217 Brook Avenue, Suite 18, Passaic, NJ 07055.

← d14

DESIGN AND CONSTRUCTION

AGENCY CHIEF CONTRACTING OFFICER

■ SOLICITATION

Construction/Construction Services

ROADWAY AND SIDEWALK RESTORATION OVER WATERMAIN AND SEWER REPAIRS, AND AROUND CATCH BASINS, MANHOLES AND OTHER APPURTENANCES - CITYWIDE - Competitive Sealed Bids - PIN#85018B0056 - Due 1-5-18 at 11:00 A.M.
 PROJECT NO. HYDREST05/DDC PIN:8502017WM0015C
● CONSTRUCTION OF RIGHT-OF-WAY GREEN INFRASTRUCTURE IN THE CSO TRIBUTARY AREA NCB-014, -BROOKLYN - Competitive Sealed Bids - PIN#85018B0019 - Due 1-9-18 at 11:00 A.M.
 PROJECT NO. GNCB14-1A/DDC PIN:8502016SE0007C

Bid document deposit-\$35.00 per set-company check or money order only-no cash accepted. Late bids will not be accepted. Special experience requirements. Apprenticeship Participation Requirements apply to these contracts.

Bid documents are available at: <http://ddcbiddocuments.nyc.gov/inet/html/contrbid.asp>.

These projects are subject to HireNYC

As of August 1, 2017, the New York City Mayor's Office of Contract Services (MOCS) has launched the Procurement and Sourcing Solutions Portal (PASSPort), a new procurement system that will replace the paper - VENDEX process.

All organizations intending to do business with the City of New York should complete an online disclosure process to be considered for a contract. This disclosure process was formerly completed using Vendor Information Exchange System (VENDEX) paper-based forms. In anticipation of awards, bidders/proposers must create an account and enroll in PASSPort, and file all disclosure information. Paper submissions, including Certifications of No Changes to existing VENDEX packages will not be accepted in lieu of complete online filings. You can access PASSPort from the following link: <http://www.nyc.gov/passport>.

Companies certified by the New York City Department of Small Business Services as Minority- or Women-Owned Business Enterprises ("M/WBE") are strongly encouraged to submit a bid. This procurement

is subject to Minority-Owned and Women-Owned Business Enterprises (MWBE) participation goals as required by Local Law 1 of 2013. All respondents will be required to submit an M/WBE Participation Plan with their response. For the MWBE goals, please visit our website at <http://ddcbiddocuments.nyc.gov/inet/html/contrbid.asp> see "Bid Opportunities". For a list of companies certified by the NYC Department of Small Business Services, please visit www.nyc.gov/buycertified. To find out how to become certified, visit www.nyc.gov/getcertified or call the DSBS certification helpline at (212) 513-6311.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Design and Construction, 30-30 Thomson Avenue, Long Island City, NY 11101. Brenda Barreiro (718) 391-1041; csb_projectinquiries@ddc.nyc.gov

Accessibility questions: For questions about accessibility, please contact our Disability Services Facilitator at (718) 391-2815 or via email at DDCEE@ddc.nyc.gov, by: Thursday, December 28, 2017, 5:00 P.M.



← d14

DISTRICT ATTORNEY - NEW YORK COUNTY

■ SOLICITATION

Services (other than human services)

TEMPORARY ARCHITECT STAFF - Request for Proposals - PIN#20180400004 - Due 1-8-18 at 3:00 P.M.

The District Attorney's Office of New York County ("DANY") is seeking proposals from a qualified contractor to provide services of a Project Architect Manager(s) and a Project Architect(s) for upcoming projects managed by DANY's Facilities Design Unit. The Project Architect Manager(s) and Project Architect(s) will be tasked with assisting in the planning, programming, design, construction and management of office expansion and renovation projects. The anticipated term of the contract will be three (3) years.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

District Attorney - New York County, 1 Hogan Place, 7th Floor, New York, NY 10013. Jose Rodriguez (212) 335-3908; rodriguezjo@dany.nyc.gov

← d14

EDUCATION

CONTRACTS AND PURCHASING

■ SOLICITATION

Goods and Services

INSTALLATION AND REPAIRS OF STAINLESS STEEL TOILET PARTITIONS - Competitive Sealed Bids - PIN#B3119040 - Due 1-30-18 at 4:00 P.M.

For all questions related to this RFB, please email jdarcangelo@schools.nyc.gov with the RFB number and title in the subject line of your email. The Contractor shall provide all labor, material and supervision required and necessary to install new stainless steel toilet enclosure partitions, new stainless steel privacy screens, individual stainless steel components, and all necessary hardware and bracing and to repair existing installations as required. There will be a Pre-Bid Conference on Friday, December 29, 2017, 3:00 P.M., at 65 Court Street, 12th Floor, Conference Room 1201, Brooklyn, NY 11201. Bid opens on Wednesday, January 31, 2018, at 11:00 A.M.

● REPAIR, REPLACEMENT AND INSTALLATION OF PHENOLIC AND HIGH DENSITY POLYETHYLENE (HDPE) TOILET PARTITIONS - Competitive Sealed Bids - PIN#B3120040 - Due 2-26-18 at 4:00 P.M.

For all questions related to this RFB, please email krodrig7@schools.nyc.gov with the RFB number and title in the subject line of your email. The Contractor shall provide all labor, material and supervision required and necessary to install new phenolic or high density polyethylene (HDPE) toilet enclosure partitions, new phenolic or high density polyethylene privacy screens, install all necessary hardware and to repair existing installations as required. There will be a Pre-Bid Conference on Monday, January 8, 2018, at 9:30 A.M., at 65 Court Street, 12th Floor, Conference Room 1201, Brooklyn, NY 11201. Bid opens on February 27, 2018, at 11:00 A.M.

To download, please go to <http://schools.nyc.gov/Offices/DCP/Vendor/RequestsforBids/Default.htm>. If you cannot download, send an email to vendorhotline@schools.nyc.gov with the RFB number and title in the subject line.

The New York City Department of Education (DOE) strives to give all businesses, including Minority and Women-Owned Business Enterprises (MWBEs), an equal opportunity to compete for DOE procurements. The DOE's mission is to provide equal access to procurement opportunities for all qualified vendors, including MWBEs, from all segments of the community. The DOE works to enhance the ability of MWBEs to compete for contracts. DOE is committed to ensuring that MWBEs fully participate in the procurement process.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Education, 65 Court Street, Room 1201, Brooklyn, NY 11201. Vendor Hotline (718) 935-2107; Fax: (718) 935-2155; vendorhotline@schools.nyc.gov



← d14

REPAIR, REPLACEMENT AND INSTALLATION OF PNEUMATIC TEMPERATURE CONTROL SYSTEMS -

Competitive Sealed Bids - PIN# B3192040 - Due 2-28-18 at 4:00 P.M.

To download, please go to <http://schools.nyc.gov/Offices/DCP/Vendor/RequestsforBids/Default.htm>. If you cannot download, send an email to vendorhotline@schools.nyc.gov with the RFB number and title in the subject line.

For all questions related to this RFB, please email krodrig7@schools.nyc.gov with the RFB number and title in the subject line of your email.

The Contractor shall provide all labor, material and supervision required and necessary to test, maintain, service, repair, modify, make addition to and/or install or make minor additions to Temperature Control Systems, and allied equipment.

There will be a Pre-Bid Conference on Monday, January 8, 2018, at 3:30 P.M., at 65 Court Street, 12th Floor, Conference Room 1201, Brooklyn, NY 11201.

Bid opens on March 1, 2018, at 11:00 A.M.

The New York City Department of Education (DOE) strives to give all businesses, including Minority and Women-Owned Business Enterprises (MWBEs), an equal opportunity to compete for DOE procurements. The DOE's mission is to provide equal access to procurement opportunities for all qualified vendors, including MWBEs, from all segments of the community. The DOE works to enhance the ability of MWBEs to compete for contracts. DOE is committed to ensuring that MWBEs fully participate in the procurement process.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Education, 65 Court Street, Room 1201, Brooklyn, NY 11201. Vendor Hotline (718) 935-2300; vendorhotline@schools.nyc.gov



← d14

REPAIR, MAINTENANCE, REPLACEMENT AND INSTALLATION OF FIRE ALARM SYSTEMS - Competitive Sealed Bids - PIN# B3190040 - Due 2-27-18 at 4:00 P.M.

The Contractor shall provide all labor, material and supervision required and necessary to repair, replace, maintain, and/or inspect fire alarm systems as covered by this contract and any associated equipment. There will be a Pre-Bid Conference on Monday, January 8, 2018, at 11:00 A.M., at 65 Court Street, 12th Floor, Conference Room 1201, Brooklyn, NY 11201. Bid opens on February 28, 2018, at 11:00 A.M.

● REPAIR AND INSTALLATION OF ROLLING DOORS, ROLLING GRILLS AND SHUTTERS - Competitive Sealed Bids - PIN# B3191040 - Due 2-20-18 at 4:00 P.M.

The Contractor shall provide all labor, material and supervision required and necessary to repair, replace or install rolling doors, rolling grills and shutters and related components. The work may require the replacement of a component, motor, wiring or the installation of a new assembly. Work may also be ordered for repairs and replacement of parts, switches and interlocking safety components on folding panel doors in such rooms as gymnasiums, auditoriums, and general purpose rooms. There will be a Pre-Bid Conference on Monday, January 8, 2018, at 2:00 P.M., at 65 Court Street, 12th Floor, Conference Room 1201, Brooklyn, NY 11201. Bid opens on February 21, 2018, at 11:00 A.M.

To download, please go to <http://schools.nyc.gov/Offices/DCP/Vendor/RequestsforBids/Default.htm>. If you cannot download, send an email to vendorhotline@schools.nyc.gov with the RFB number and title in the subject line.

For all questions related to these RFB's, please email krodrig7@schools.nyc.gov with the RFB number and title in the subject line of your email.

The New York City Department of Education (DOE) strives to give all businesses, including Minority and Women-Owned Business Enterprises (MWBEs), an equal opportunity to compete for DOE procurements. The DOE's mission is to provide equal access to procurement opportunities for all qualified vendors, including MWBEs, from all segments of the community. The DOE works to enhance the ability of MWBEs to compete for contracts. DOE is committed to ensuring that MWBEs fully participate in the procurement process.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Education, 65 Court Street, Room 1201, Brooklyn, NY 11201. Vendor Hotline (718) 935-2300; vendorhotline@schools.nyc.gov



← d14

WATER TREATMENT OF STEAM BOILER SYSTEMS, CHILLED AND HOT WATER HYDRONIC SYSTEMS - Competitive Sealed Bids - PIN# B3171040 - Due 2-12-18 at 4:00 P.M.

To download, please go to <http://schools.nyc.gov/Offices/DCP/Vendor/RequestsforBids/Default.htm>. If you cannot download, send an email to vendorhotline@schools.nyc.gov with the RFB number and title in the subject line.

For all questions related to this RFB, please email krodrig7@schools.nyc.gov with the RFB number and title in the subject line of your email.

The Contractor shall provide all labor, material and supervision required and necessary to provide chemical water treatment services and to repair, replace, maintain, inspect, test, diagnose, and report on chemical water treatment and the equipment used or required for proper chemical treatment of the systems included in this specification. The water treatment program will provide scale and corrosion protection for condenser water systems, chilled water systems, steam boilers, deaerator, steam/condensate lines, and closed hot water loops, while maximizing program safety, efficiency and performance.

There will be a Pre-Bid Conference on Wednesday, December 27, 2017, at 3:00 P.M., at 65 Court Street, 12th Floor, Conference Room 1201, Brooklyn, NY 11201.

Bid opens on February 13, 2018, at 11:00 A.M.

The New York City Department of Education (DOE) strives to give all businesses, including Minority and Women-Owned Business Enterprises (MWBEs), an equal opportunity to compete for DOE procurements. The DOE's mission is to provide equal access to procurement opportunities for all qualified vendors, including MWBEs, from all segments of the community. The DOE works to enhance the ability of MWBEs to compete for contracts. DOE is committed to ensuring that MWBEs fully participate in the procurement process.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Education, 65 Court Street, Room 1201, Brooklyn, NY 11201. Vendor Hotline (718) 935-2300; vendorhotline@schools.nyc.gov



← d14

ENVIRONMENTAL PROTECTION

AGENCY CHIEF CONTRACTING OFFICE

■ SOLICITATION

Services (other than human services)

BLUBLTDES-CW: ENGINEERING AND LANDSCAPING ARCHITECTURE SERVICES FOR BLUEBELT STORM WATER MANAGEMENT SYSTEMS CITYWIDE - Competitive Sealed Proposals - Judgment required in evaluating proposals - PIN# 82617BLUBLTD - Due 1-26-18 at 4:00 P.M.

The New York City Department of Environmental Protection, Bureau of Water and Sewer Operations ("BWSO") is issuing this Request for Proposals ("RFP") for Engineering and Landscaping Architecture services for Bluebelt Storm Water Management Systems, Citywide and Preparation of Construction Plans for the City of New York.

MINIMUM QUALIFICATIONS: Proposers must be authorized to practice engineering in the State of New York. A copy of the proposer's "Certificate of Authorization" to practice Engineering issued by the New

York State Education Department, Office of the Professions, must be included with the proposal. Proposals that fail to include the "Certificate of Authorization" will be deemed non-responsive. Key personnel include a Project Manager, and managers responsible for the completion of the Work. Registered Landscape Architecture services must be available as needed. Joint Venture proposals will be considered.

PRE-PROPOSAL CONFERENCE: Thursday, January 4, 2018, at 10:00 A.M., NYC Department of Environmental Protection, 96-05 Horace Harding Expressway, 3rd Floor, Conference Room #1, Flushing NY 11373. Attendance to the Pre-Proposal Conference is not mandatory but it is highly recommended. Please limit attendance to no more than (2) representatives from each firm to attend.

LAST DAY TO SUBMIT QUESTIONS REGARDING THIS RFP WILL BE NO LATER THAN CLOSE OF BUSINESS ON FRIDAY, JANUARY 12, 2018.

The M/WBE Total Participation Goal for the Contract let from this RFP is 30 percent .

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Environmental Protection, 59-17 Junction Boulevard, 17th Floor, Bid Room, Flushing, NY 11373. Glorivee Roman (718) 595-3226; Fax: (718) 595-3208; glroman@dep.nyc.gov



← d14

FIRE DEPARTMENT

■ AWARD

Construction/Construction Services

OVERHEAD DOOR REPAIR IN BROOKLYN AND STATEN ISLAND - Competitive Sealed Bids - PIN#057180000185 - AMT: \$1,690,875.00 - TO: Atlantic Rolling Steel Door Corporation, 10 Kimball Place, Mount Vernon, NY 10550.

EPIN: 05717B0015001
CT No.: 057 20181409333
Term of Contract: December 7, 2017 - December 6, 2022

← d14

HOUSING AUTHORITY

SUPPLY MANAGEMENT

■ SOLICITATION

Goods and Services

SMD EMERGENCY LIGHTING MAINTENANCE - VARIOUS DEVELOPMENTS WITHIN THE FIVE (5) BOROUGH OF NYC - Competitive Sealed Bids - Due 1-11-18

- PIN# 66422 - Bronx Property Management Department - Due at 10:00 A.M.
- PIN# 66423 - Bronx Property Management Department - Due at 10:05 A.M.
- PIN# 66424 - Manhattan Property Management Department - Due at 10:10 A.M.
- PIN# 66425 - Mixed Finance Property Management Department - Due at 10:15 A.M.
- PIN# 66426 - NGO, Optimal Property Management Department - Due at 10:20 A.M.
- PIN# 66427 - Queens/Staten Island Property Management Department - Due at 10:25 A.M.

Please Note: This Contract shall be subject to the New York City Housing Authority's Project Labor Agreement (PLA). As part of its bid and no later than three (3) business days after the bid opening, the Bidder must submit Letters of Assent to the Project Labor Agreement signed by the Bidder and each of the Bidder's proposed Subcontractors. Failure to submit all required signed Letters of Assent within three (3) business days after the bid opening shall result in a determination that the Bidder's bid is non-responsive.

The work to be done under this Contract consists of providing all labor, materials, equipment and other incidental items required for emergency lighting repairs and replacement. Such repair and replacement may be performed in and around roofs, basements, lobbies, hallways, stairwells, management offices or any area on the Authority's property.

Repair or replacement of existing lighting may include, but is not limited to, conduit, cables, fixtures, inverter systems, etc. for exit signs,

emergency lights, egress pathways or any other type of emergency lighting located on the Authority's property. Equipment shall be installed in accordance with manufacturer's instructions and recommendations.

Interested firms are invited to obtain a copy on NYCHA's website. To conduct a search for the RFQ number; vendors are instructed to open the link: <http://www1.nyc.gov/site/nycha/business/isupplier-vendor-registration.page>. Once on that page, please make a selection from the first three links highlighted in red: New suppliers for those who have never registered with iSupplier, current NYCHA suppliers and vendors for those who have supplied goods or services to NYCHA in the past but never requested a login ID for iSupplier, and Login for registered suppliers if you already have an iSupplier ID and password. Once you are logged into iSupplier, select "Sourcing Supplier," then "Sourcing" followed by "Sourcing Homepage" and then reference the applicable RFQ PIN/solicitation number.

Suppliers electing to obtain a non-electronic paper document will be subject to a \$25 non-refundable fee; payable to NYCHA by USPS-Money Order/Certified Check only for each set of RFQ documents requested. Remit payment to NYCHA Finance Department, at 90 Church Street, 6th Floor; obtain receipt and present it to the Supply Management Procurement Group; RFQ package will be generated at the time of request.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Housing Authority, 90 Church Street, 6th Floor, New York, NY 10007. Mimose Julien (212) 306-8141; Fax: (212) 306-5109; mimose.julien@nycha.nyc.gov

← d14

SMD MECHANICAL - EMERGENCY PUMPING AND CLEANING AND SANITIZING OF BASEMENTS - VARIOUS DEVELOPMENTS LOCATED WITHIN THE FIVE (5) BOROUGH OF NYC - Competitive Sealed Bids - Due 1-16-18

- PIN#66458 - Bronx Property Management Department - Due at 10:00 A.M.
- PIN#66459 - Brooklyn Property Management Department - Due at 10:05 A.M.
- PIN#66460 - Manhattan Property Management Department - Due at 10:10 A.M.
- PIN#66461 - Mixed Finance Property Management Department - Due at 10:15 A.M.
- PIN#66462 - NGO, Optimal Property Management Department - Due at 10:20 A.M.
- PIN#66463 - Queens/Staten Island Property Management Department - Due at 10:25 A.M.

The Proposed Contractor in the case of Emergency Pumping of Boilers, Tank Rooms, etc., shall provide, but is not limited to providing, the following components: interim pump connections: piping, valves (air release valves, gate valves, and check valves), and fittings to complete a functional system. For the sanitization of the basement which may include boilers, tanks, structures, and equipment which are to store, handle or carry potable water, the Proposed Contractor shall provide taps, corporation stops, temporary pumps, hoses, miscellaneous piping and other items necessary to perform the work and removed after completion of the disinfection procedure.

Interested firms are invited to obtain a copy on NYCHA's website. To conduct a search for the RFQ number; vendors are instructed to open the link: <http://www1.nyc.gov/site/nycha/business/isupplier-vendor-registration.page>. Once on that page, please make a selection from the first three links highlighted in red: New suppliers for those who have never registered with iSupplier, current NYCHA suppliers and vendors for those who have supplied goods or services to NYCHA in the past but never requested a login ID for iSupplier, and Login for registered suppliers if you already have an iSupplier ID and password. Once you are logged into iSupplier, select "Sourcing Supplier," then "Sourcing" followed by "Sourcing Homepage" and then reference the applicable RFQ PIN/solicitation number.

Suppliers electing to obtain a non-electronic paper document will be subject to a \$25 non-refundable fee; payable to NYCHA by USPS-Money Order/Certified Check only for each set of RFQ documents requested. Remit payment to NYCHA Finance Department, at 90 Church Street, 6th Floor; obtain receipt and present it to the Supply Management Procurement Group; RFQ package will be generated at the time of request.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Housing Authority, 90 Church Street, 6th Floor, New York, NY 10007.
Dawn Greggs (212) 306-4521; Fax: (212) 306-5109;
dawn.greggs@nycha.nyc.gov

◀ d14

HUDSON RIVER PARK TRUST

■ SOLICITATION

Services (other than human services)

PIER 25 MARINE FACILITY CONCESSION IN HUDSON RIVER PARK L4865 - Request for Proposals - PIN# HRPL4865 - Due 2-1-18 at 5:00 P.M.

Hudson River Park Trust seeks submissions to this request for proposals from experienced marine facility operators, to manage and/or operate vessel moorings, a town dock, a non-commuter water taxi landing, and a sailing school, at Pier 25, located at North Moore Street in the Tribeca section of the Hudson River Park. The selected respondent will manage and assume responsibility for the operation of the premises, in accordance with the terms and conditions set forth in this RFP and the concession agreement to be subsequently issued by the Trust. All or portions of the permitted uses may be operated by one or more authorized sub-concessionaires as further described in the RFP and Addendum.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.
Hudson River Park Trust, 353 West Street, New York, NY 10014. Freda Manuel (212) 627-2020; pier25rfp@hrpt.ny.gov

d13-27

PIER 25 FOOD AND RECREATION CONCESSION IN HUDSON RIVER PARK L4866 - Request for Proposals - PIN# HRPL4866 - Due 2-1-18 at 5:00 P.M.

Hudson River Park Trust seeks submissions to this request for proposals from experienced and qualified operators for a food and recreation concession at the heavily trafficked Pier 25, located in the Tribeca section of the Hudson River Park. The selected respondent will manage and assume responsibility for the operation of the premises, in accordance with the terms and conditions set forth in this RFP and the concession agreement to be subsequently issued by the Trust.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.
Hudson River Park Trust, 353 West Street, Room 201, New York, NY 10014. Freda Manuel (212) 627-2020; pier25minigolfrfp@hrpt.ny.gov

◀ d14-28

HUMAN RESOURCES ADMINISTRATION

CUSTOMIZED ASSISTANCE SERVICES

■ INTENT TO AWARD

Services (other than human services)

SUPPORTIVE HOUSING CONSULTANT- CONTINUUM OF CARE PROGRAM -HUD FUNDING - Negotiated Acquisition - Other - PIN# 18NPECA00901 - Due 12-15-17 at 2:00 P.M.

For Informational Purposes Only
HRA intends to enter into a Negotiated Acquisition with the following vendor:
"Corporation for Supportive Housing (CSH)", E-PIN# 09618N0002, Contract Amount: \$99,000.00, Term: 7/1/2017-6/30/2018

HRA received grant funding from the Housing and Urban Development (HUD) for the development of a Coordinated Assessment and Placement System (CAPS). Development and implementation CAPS is a HUD requirement that requires comprehensive coordination of all housing and service resources in a community to better match people experiencing homelessness to appropriate permanent housing options. HRA has been working with several governmental partners, community stakeholders and advocacy/coalition groups and the NYC Continuum of Care (CoC) to develop CAPS. As part of the CAPS grant, a position was funded for \$99,000 to be contracted out to a community agency to hire a consultant to act as liaison to several governmental partners, community stakeholders and advocacy/coalition groups and the NYC Continuum of Care (CoC) on the development and

implementation of CAPS. Corporation for Supportive Housing (CSH) is chosen after interviews and evaluation performed on three potential vendors.

***Organizations that believe they are qualified to provide these services or are interested in similar future procurements may express their interest by filing with the New York City Vendor Enrollment Center at (212) 857-1680, or via email at vendorenrollmen@cityhall.nyc.gov. For Human Service contracts go to <http://www.nyc.gov/html/hhsaccelerator/html/roadmap/roadmap.shtml>.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Human Resources Administration, 150 Greenwich Street, 37th Floor, New York, NY 10007. Jacques Frazier (929) 221-5554; frazierjac@hra.nyc.gov

d8-14

PARKS AND RECREATION

■ VENDOR LIST

Construction/Construction Services

PREQUALIFIED VENDOR LIST: GENERAL CONSTRUCTION - NON-COMPLEX GENERAL CONSTRUCTION SITE WORK ASSOCIATED WITH NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION ("DPR" AND/OR "PARKS") PARKS AND PLAYGROUNDS CONSTRUCTION AND RECONSTRUCTION PROJECTS

DPR is seeking to evaluate and pre-qualify a list of general contractors (a "PQL") exclusively to conduct non-complex general construction site work involving the construction and reconstruction of DPR parks and playgrounds projects not exceeding \$3 million per contract ("General Construction").

By establishing contractor's qualification and experience in advance, DPR will have a pool of competent contractors from which it can draw to promptly and effectively reconstruct and construction its parks, playgrounds, beaches, gardens and green-streets. DPR will select contractors from the General Construction PQL for non-complex general construction site work of up to \$3,000,000.00 per contract, through the use of a Competitive Sealed Bid solicited from the PQL generated from this RFQ.

The vendors selected for inclusion in the General Construction PQL will be invited to participate in the NYC Construction Mentorship. NYC Construction Mentorship focuses on increasing the use of small NYC contracts, and winning larger contracts with larger values. Firms participating in NYC Construction Mentorship will have the opportunity to take management classes and receive on-the-job training provided by a construction management firm.

DPR will only consider applications for this General Construction PQL from contractors who meet any one of the following criteria:

- 1) The submitting entity must be a Certified Minority/Woman Business Enterprise (M/WBE)*;
- 2) The submitting entity must be a registered joint venture or have a valid legal agreement as a joint venture, with at least one of the entities in the joint venture being a certified M/WBE*;
- 3) The submitting entity must indicate a commitment to sub-contract no less than 50 percent of any awarded job to a certified M/WBE for every work order awarded.

*Firms that are in the process of becoming a New York City-Certified M/WBE, may submit a PQL application and submit a M/WBE Acknowledgement Letter, which states the Department of Small Business Services has begun the Certification process.

Application documents may also be obtained online at: <http://a856-internet.nyc.gov/nycvendononline/home.asap>; or <http://www.nycgovparks.org/opportunities/business>.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Parks and Recreation, Olmsted Center, Annex, Flushing Meadows-Corona Park, Flushing, NY 11368. Alicia H. Williams (718) 760-6925; Fax: (718) 760-6885; dmwbe.capital@parks.nyc.gov

j3-d29

REVENUE

■ SOLICITATION

Services (other than human services)

REQUEST FOR PROPOSALS FOR A SEASONAL FOOD MARKET

- Request for Proposals - PIN# B073O2-2018 - Due 1-5-18 at 4:00 P.M.

The Prospect Park Alliance has issued a Request for Proposals (RFP) and is seeking bids for the operation of a seasonal weekly food market in Prospect Park.

A Pre-Proposal Conference is scheduled for December 15, 2017, at 11:00 A.M., at the Boathouse in Prospect Park. Please limit your group to no more than three individuals for this meeting.

All proposals submitted in response to this RFP must be submitted no later than Friday, January 5th, 2018, at 4:00 P.M., to Litchfield Villa, Prospect Park Alliance, Concessions Department, 95 Prospect Park West, Brooklyn, NY 11215.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Parks and Recreation, Prospect Park Alliance, 95 Prospect Park West, Brooklyn, NY 11215. Patrick Kelly (718) 965-8951; Fax: (718) 965-6950; pkelly@prospectpark.org

d6-19

POLICE

■ AWARD

Construction Related Services

REHAB DOCKING FACILITIES AND MARINA MAINTENANCE DREDGING

- Competitive Sealed Bids - PIN#05617B0007 - AMT: \$931,200.00 - TO: J. T. Cleary Inc., 100 Red Schoolhouse Road, Suite A-12, Chestnut Ridge, NY 10977.

← **d14**

Services (other than human services)

CHILLERS AND RECIPROCATING COMPRESSOR UNITS

- Competitive Sealed Bids - PIN#05617B0005 - AMT: \$728,654.00 - TO: US Chiller Services NY LLC, 83-40 72nd Drive, Glendale, NY 11385.

← **d14**

TRANSPORTATION

TRANSPORTATION PLANNING AND MANAGEMENT

■ INTENT TO AWARD

Services (other than human services)

TEMPORARY BUS BOARDERS - Sole Source - Available only from a single source - PIN#84118MBTP169 - Due 12-27-17 at 2:00 P.M.

The New York City Department of Transportation (NYCDOT), in furtherance of enhancing its Select Bus Service (“SBS”) routes, intends to enter into a sole source agreement with El Almacen del Producto Reciclado, SL (“ZICLA”) to purchase temporary bus boarders, which extend sidewalks out to meet offset bus lanes and thereby facilitate the loading and discharge of passengers.

On November 30, 2017, the Agency Chief Contracting Officer’s office determined, in accordance with Section 3-05(b) of the Procurement Policy Board Rules, that ZICLA is the only vendor able to provide a temporary bus boarder product that has met or exceeded NYCDOT expectations regarding durability and performance.

Vendors may express interest in providing this good by contacting David Maco, New York Department of Transportation, Agency Chief

Contracting Officer’s Office, 55 Water Street, 8th Floor, New York, NY 10041, no later than December 27, 2017, at 2:00 P.M.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Transportation, Agency Chief Contracting Officer’s Office, 55 Water Street, 8th Floor, New York, NY 10041. David Maco (212) 839-9400.

d11-15

AGENCY RULES

BUILDINGS

■ NOTICE

Notice of Public Hearing and Opportunity to Comment on Proposed Rules

What are we proposing? The Department of Buildings (DOB) is proposing to update the Buildings Penalty Schedule to reflect the current construction environment, and to better ensure public safety.

When and where is the hearing? DOB will hold a public hearing on the proposed rule. The public hearing will take place at 10:00 A.M. on 1/16/18. The hearing will be in the 2nd Floor Auditorium, at 125 Worth Street.

How do I comment on the proposed rules? Anyone can comment on the proposed rules by:

- **Website.** You can submit comments to the DOB through the NYC rules website at <http://rules.cityofnewyork.us>.
- **Email.** You can email comments to dobrules@buildings.nyc.gov.
- **Mail.** You can mail comments to the New York City Department of Buildings, Office of the General Counsel, 280 Broadway, 7th Floor, New York, NY 10007.
- **Fax.** You can fax comments to the New York City Department of Buildings, Office of the General Counsel, at (212) 566-3843.
- **Speaking at the hearing.** Anyone who wants to comment on the proposed rule at the public hearing must sign up to speak. You can sign up in the hearing room before the hearing begins on 1/16/18. You can speak for up to three minutes.

Is there a deadline to submit comments? Yes, you must submit comments by 1/16/18.

What if I need assistance to participate in the hearing? You must tell the Office of the General Counsel if you need a reasonable accommodation of a disability at the hearing. You must tell us if you need a sign language interpreter. You can tell us by mail or email at the addresses given above. You may also tell us by telephone at (212) 393-2085. Advance notice is requested to allow sufficient time to arrange the accommodation. Please tell us by 1/2/18.

This location has the following accessibility option(s) available: Wheelchair accessibility.

Can I review the comments made on the proposed rules? You can review the comments made online on the proposed rules by going to the website at <http://rules.cityofnewyork.us/>. A few days after the hearing, copies of all comments submitted online, copies of all written comments and a summary of oral comments concerning the proposed rule will be available to the public at the Office of the General Counsel.

What authorizes DOB to make this rule? Sections 643 and 1043(a) of the City Charter authorize DOB to make this proposed rule. This proposed rule was not included in DOB’s regulatory agenda for this Fiscal Year because it was not contemplated when DOB published the agenda.

Where can I find DOB’s rules? DOB’s rules are in Title 1 of the Rules of the City of New York.

What laws govern the rulemaking process? DOB must meet the requirements of Section 1043 of the City Charter when creating or changing rules. This notice is made according to the requirements of Section 1043(b) of the City Charter.

Statement of Basis and Purpose

The proposed rule updates DOB’s Buildings Penalty Schedule to better reflect the current construction environment and to encourage construction safety. The last major overhaul of the Buildings Penalty Schedule occurred in 2008.

Because the increased costs of construction have reduced the deterrent effects of the 2008 penalties, the Department reviewed individual infractions and assigned new standard penalties for construction and equipment safety-related violations. The decision to increase these penalties was based on the seriousness of the violation and is intended to discourage unsafe practices in the construction industry. In addition, to ensure greater consistency and simplify its penalty schedule, the Department created standard baseline penalties within each class of violation.

The schedule has also been updated to add new penalties, including for violations related to lift directors and cranes and derricks.

DOB’s authority to enforce these rules is found in Sections 643 and 1043(a) of the New York City Charter.

New material is underlined.
[Deleted material is in brackets.]

“Shall” and “must” denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. Subdivisions (d), (f), (g), (i), and (k) of Section 102-01 of Title 1 of the Rules of the City of New York are amended to read as follows:

(d) Mitigated and zero penalties. Mitigated or zero penalties are available in the following circumstances under the following conditions.

- (1) Cure¹ An eligible violation may be cured by correction before the first scheduled hearing date at ECB. All violations that are designated as Class 3 violations are eligible for cure. Some, but not all, types of violations that are designated as Class 2 violations are eligible for cure. Those types of Class 2 violations that are eligible for cure will be indicated within the [ECB] Buildings Penalty Schedule found below [in Chapter 31 of Title 15 of the Rules of the City of New York (“ECB Buildings Penalty Schedule”)]. In order to cure, a certificate of correction acceptable to the Department must be filed at the Department within forty days from the date of the Commissioner’s order to correct set forth in the NOV. A cure constitutes an admission of the violation; dispenses with the need for a hearing at ECB; constitutes a predicate violation for subsequent violations; and, consistent with the provisions of Section 28-204.2, and with the provisions of the [ECB] Buildings Penalty Schedule, results in a zero penalty. A violation that has been charged as an Aggravated II violation is never eligible for a cure, even if there is a “Yes” in the “Cure” column in the [ECB] Buildings Penalty Schedule for that violation description.

¹ Section 28-204.2 of the Administrative Code provides for a zero penalty for Lesser violations that are corrected within the prescribed, or cure, period. For purposes of this rule, certain Major violations will also be treated as eligible for cure to the extent that Section 28-202.1 of the Administrative Code specifies no minimum penalty for such violations.

- (2) Stipulation. An eligible violation may be subject to stipulation where the Commissioner offers to the respondent a stipulation prior to or at a hearing to extend the time for compliance upon such terms and conditions as the Commissioner prescribes. Violations that are eligible for stipulation are indicated as such on the [ECB] Buildings Penalty Schedule. The respondent must admit the violation subject to stipulation and agree to correct it and file an acceptable certification of correction with the Department. The stipulation may be signed and submitted to ECB either before the first scheduled hearing date at ECB or else *on* the first scheduled hearing date but prior to any actual hearing on that date., in which case it is considered a pre-hearing stipulation, or may be entered into at the first ECB hearing in which case it is considered a hearing stipulation. A reduced penalty will be imposed in connection with a pre-hearing stipulation in an amount indicated for the charge in question in the [ECB] Buildings Penalty Schedule. Specifically, the penalty imposed for that violation will be half of the penalty amount (rounded to the nearest dollar) of the penalty amount that would otherwise have been imposed at a hearing for that particular violation. In connection with a stipulation entered into at a hearing, a hearing penalty will be imposed in an amount indicated for the charge in question in the [ECB] Buildings Penalty Schedule. A stipulation, whether a pre-hearing stipulation or a hearing stipulation, gives the respondent seventy-five days from the first scheduled hearing date within which to correct the violation and file a certificate of correction, failing which any reduced penalty that may have been imposed in connection with a pre-hearing stipulation will be adjusted to the standard hearing penalty set forth in the [ECB] Buildings Penalty Schedule. A stipulation is effective only if it is approved by ECB. A pre-hearing stipulation dispenses with the need for a hearing at ECB. No stipulation must take effect unless, in the case of a pre-hearing stipulation, it is offered by the Department prior to the first scheduled hearing date, signed by respondent prior to the first scheduled hearing date and approved by ECB in writing, or unless, in the case of a hearing stipulation, it is offered by the Department at the hearing, accepted by the respondent at that hearing, and is approved in writing by ECB. A violation that has been charged as an Aggravated II violation is never eligible for a stipulation, even if there is a “Yes” in the “Stipulation” column in the [ECB] Buildings Penalty Schedule for that violation description.

- (3) Mitigation. An eligible violation may be subject to mitigation where the respondent proves at the hearing that the condition was corrected prior to the first scheduled hearing date at ECB. Violations that are eligible for mitigation are indicated as such on the [ECB] Buildings Penalty Schedule. A penalty is imposed on mitigations in accordance with the [ECB] Buildings Penalty Schedule. If a mitigated penalty is imposed, that penalty will be half of the penalty amount of the penalty amount that would otherwise have been imposed at a hearing for that particular violation. An acceptable certificate of correction must thereafter be filed at the Department. A violation that has been charged as an Aggravated II violation is never eligible for mitigation, even if there is a “Yes” in the “Mitigation” column in the [ECB] Buildings Penalty Schedule for that violation description.

- (f) Aggravated penalties. Aggravated penalties may [shall] be imposed in accordance [with the ECB Buildings Penalty Schedule and] with the following provisions. Notice of aggravated penalties may [shall] either be set forth in the NOV or otherwise provided to the respondent prior to the date of the first scheduled hearing at ECB.

- (1) Aggravated penalties of the first order. Aggravated penalties of the first order (“Agg. I”) may [shall] be imposed when evidence establishes the same condition or the same charge under the New York City Construction Codes or the predecessor charge under the laws in effect prior to July 1, 2008 in a prior enforcement action against the same owner or responsible party during the previous three years.
- (2) Aggravated penalties of the second order. Aggravated penalties of the second order (“Agg. II”) may [shall] be imposed in the following instances:
- When the respondent or defendant is found in violation of any law or rule enforced by the Department where the violation of law is accompanied by or results in an accident, or poses a substantial risk thereof; is accompanied by, or results in a fatality or serious injury, or poses a substantial risk thereof; or where the violating condition affects a significant number of people; or
 - Where the respondent or defendant refuses to give the Department requested information necessary to determine the condition of a building or site; or
 - Where the respondent or defendant has a history of non-compliance with laws or rules enforced by the Department at one or more locations, including but not limited to a pattern of unreasonable delays in correcting violations, a pattern of failing to obey Stop Work Orders, filing false documents, or multiple defaults.
 - For purposes of this section, “in violation” must mean to be adjudged in violation of any law or rule enforced by the Department following a hearing, to admit the charge, or to sign a stipulation agreement either at or before a hearing before any administrative or judicial tribunal. Failure to appear at a hearing leading to entry of a default order or judgment must also be deemed a finding “in violation.”
- (g) Additional Daily and Monthly Penalties. Additional daily penalties may be imposed in connection with certain continuing and uncorrected Class 1 violations. Additional monthly penalties may be imposed in connection with certain continuing and uncorrected Class 2 violations. If the Department seeks such penalties in connection with a particular Class 1 or Class 2 charge, that will be indicated on the NOV. Such daily or monthly penalties, if applicable, are in addition to the set, flat-amount penalty that also is indicated in the [ECB] Buildings Penalty Schedule as applicable to the type of violation in question taking into account the classification level and Aggravated level of the particular violation. Imposition of such additional daily and monthly penalties is authorized, pursuant to Section 28-202.1 of the New York City Administrative Code.
- Accrual of Daily Penalties. Daily penalties, if applicable, will accrue at the rate of \$1,000 per day for a total of forty-five days running from the date of the Commissioner’s order to correct set forth in the NOV, unless the violating condition is proved by the respondent at the hearing to have been corrected prior to the end of that forty-five day period, in which case the daily penalties will accrue for every day up to the date of that proved correction.
 - Accrual of Monthly Penalties. Monthly penalties, if applicable, accrue at the rate of \$250 per month for a total of one month running from the date of the Commissioner’s order to correct set forth in the NOV, unless the violating condition is proved by the respondent at the hearing to have been corrected prior to the end of a month period.
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- (i) Legal References. The legal references referred to in the table below [], which reflect the classification of violations and the Penalty Schedule, include the following:
- Title 28 of the New York City (NYC) Administrative Code. References to Title 28 of the NYC Administrative Code begin with “28-” (for example, “28-201.1”). The citation “28-Misc.” refers to provisions of Title 28 that are not specifically designated elsewhere in the table.
 - Chapter 1 of Title 27 of the NYC Administrative Code (also known as the “1968 Building Code”) and Chapter 3 of the same (also known as the “Electrical Code”). References to these chapters of Title 27 of the NYC Administrative Code begin with “27-” [] (for example, “27-371”). The citation “27-Misc.” refers to provisions of Title 27 that are not specifically designated elsewhere in the table.
 - The “New York City Construction Codes,” which consist of:
 - The New York City Plumbing Code (PC)
 - The New York City Building Code (BC)
 - The New York City Mechanical Code (MC)
 - The New York City Fuel Gas Code (FGC).
 - The New York City Energy Conservation Code (ECC).
 References to these New York City Construction Codes are designated by the various abbreviations set out above (for example, “BC3010.1”). The citations “BC-Misc.,” “PC-Misc.,” “MC-Misc.,” “FGC-Misc.,” “ECC-R Misc.” and “ECC-C Misc.” refer to provisions of the New York City Building, Plumbing, Mechanical, Fuel Gas, or Energy Conservation codes that are not specifically designated elsewhere in the table.
 - Appendices to the New York City Construction Codes. The New York City Construction Codes include all enacted appendices. Administrative Code §28-102.6. References to Appendices are cited by using the abbreviation for the particular Construction Code followed by the applicable Appendix letter (for example, “H”) followed by the applicable section number (for example, “BC H103.1”).
 - The NYC Zoning Resolution (ZR) and the Rules of the City of New York (RCNY). References to the Zoning Resolution and to the Rules of the City of New York are designated by the abbreviations “ZR” and “RCNY” (for example, “ZR25-41”; “1 RCNY9-01”). The citations “1 RCNY-Misc.” and “ZR-Misc.” refer to provisions of 1 RCNY or the Zoning Resolution that are not specifically designated elsewhere in the table.
 - Reference Standards that pertain to Title 27 of the NYC Administrative Code (RS). References to the Reference Standards are designated by the abbreviation set out above (for example, “RS-16”). The citation “RS-Misc.” refers to Reference Standards that are not specifically designated elsewhere in the table.
 - Citations to the New York City Construction Codes. Whenever a section or subdivision of the New York City Construction Codes is cited or referred to, subordinate consecutively numbered subdivisions or paragraphs of the cited provision are deemed to be included in such reference unless the context or subject matter requires otherwise.
 - Electrical Code Technical Standards. References to sections of the National Fire Protection Association NFPA 70 National Electrical Code as adopted and/or amended by New York City begin with “EC” (for example, “EC 250.14”). The citation “EC-Misc” refers to provisions of the Electrical Code Technical Standards that are not specifically designated elsewhere in the table.
 - 2008 code. References to the 2008 code pertain to the New York City Construction Codes effective on July 1, 2008 and any applicable subsequent amendments prior to December 31, 2014.
 - 2014 code. References to the 2014 code pertain to the amendments and additions to the New York City Construction Codes effective on December 31, 2014 and any applicable subsequent amendments.
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- (k) Classification of particular violations. The table below sets forth the penalties imposed in connection with Summonses, as defined in Section 6-01 of Title 48 of the Rules of the City of New York, and/or Notices of Violation returnable to ECB that are issued on or after July 1, 2008. Particular violations shall be classified as indicated in the following table:

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default - Max Penalty |
|--|----------------|--|------|-------------|-------------------|-------------------|--------------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 1 RCNY-Misc., RS-Misc. | Class 1 | Miscellaneous violations. | No | No | [\$1,600] \$2,500 | No | [\$8,000] \$12,500 | [\$4,000] \$6,250 | [\$16,000] \$25,000 | [\$8,000] \$12,500 | \$25,000 |
| 1 RCNY-Misc., RS-Misc. | Class 2 | Miscellaneous violations. | Yes | Yes | [\$800] \$1,250 | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 |
| 1 RCNY-Misc., RS-Misc. | Class 3 | Miscellaneous violations. | Yes | Yes | [\$300] \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 1 RCNY 5-02 | Class 2 | Failure to meet the requirements of licensing/identification/qualification as required by 1 RCNY 5-02. | Yes | No | [\$800] \$2,500 | Yes | [\$4,000] \$10,000 | [\$2,000] \$6,250 | [\$8,000] \$10,000 | [\$4,000] \$10,000 | \$10,000 |
| 1 RCNY 104-20 | Class 1 | Licensed Rigger designated an unqualified foreman. | No | No | [\$1,600] \$2,500 | No | [\$8,000] \$12,500 | [\$4,000] \$6,250 | [\$16,000] \$25,000 | [\$8,000] \$12,500 | \$25,000 |
| 1 RCNY 104-20 | Class 2 | Licensed Rigger designated an unqualified foreman. | No | No | [\$800] \$1,250 | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 |
| 1 RCNY 49-03 | Class 1 | Outdoor Advertising Company failed to comply with Commissioner's sign-related Order. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY 101-07 | Class 2 | Failure of approved agency to comply with requirements of 1 RCNY 101-07. | Yes | No | [\$800] \$2,500 | Yes | [\$4,000] \$10,000 | [\$2,000] \$6,250 | [\$8,000] \$10,000 | [\$4,000] \$10,000 | \$10,000 |
| 1 RCNY 103-04(b)(5)(iii) | Class 2 | Removal of public protection from unsafe facade without approval from the department. | No | No | [\$800] \$1,250 | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 |
| [1 RCNY 3301-02(c)] BC 3301.13.7 thru BC 3301.13.13 | Class 1 | Construction Superintendent failed to perform duties per [rule]code. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| [1 RCNY 3301-02(c)] BC 3301.13.7 through BC 3301.13.13 | Class 2 | Construction Superintendent failed to perform duties per [rule]code. | No | No | \$5,000 | Yes | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| [1 RCNY 3301-02(c)(7)] BC 3301.13.10 | Class 1 | Construction superintendent failed to immediately notify the department of conditions as required. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 1 RCNY 3319-02(c) | Class 1 | Equipment User failed to designate a lift director. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |

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|---|---------|--|-----|-----|-------------------|-----|--------------------|---------------------|---------------------|----------|----------|
| 1.RCNY 3319-01 (b)(7) | Class 2 | No anemometer/non-working anemometer on site or in machine | No | Yes | \$5,000 | Yes | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 1.RCNY 3319-02 (e) | Class 1 | Lift director failed to perform duties as required | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 1.RCNY 3319-02 (e) | Class 2 | Lift director failed to perform duties as required | No | No | \$5,000 | Yes | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 1.RCNY 3319-02(f) | Class 1 | Lift director failed to notify the department of a violation | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 1.RCNY 3319-02 (g)(3) | Class 1 | Lift director failed to conduct/record meeting (pre-shift or subsequent) | Yes | Yes | \$5,000 | Yes | \$12,500 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 27-228.5 | Class 2 | Failure to file an Architect/Engineer report certifying that exit/directional signs are connected to emergency power source/storage battery equipment. | Yes | No | [\$800] \$1,250 | Yes | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 | \$10,000 |
| 27-369, BC 1020.2 (2008 code), & BC 1023.2 (2014 code) | Class 1 | Failure to provide unobstructed exit passageway. | No | No | [\$1,200] \$1,250 | No | [\$3,000] \$3,125 | [\$12,000] \$12,500 | [\$6,000] \$6,250 | \$25,000 | \$25,000 |
| 27-371, BC 715.3.7 (2008 code) & BC 715.4.8 (2014 code) | Class 2 | Exit door not self-closing. | Yes | No | [\$500] \$625 | Yes | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 | \$10,000 |
| 27-382 & BC 1006.3 | Class 2 | Failure to provide power for emergency exit lighting. | Yes | No | [\$500] \$1,250 | Yes | [\$1,250] \$3,125 | [\$5,000] \$10,000 | [\$2,500] \$6,250 | \$10,000 | \$10,000 |
| 27-383(b), BC 403.16 (2008 code) & BC 403.5.5 (2014 code) | Class 1 | Failure to install luminous egress or photoluminescent exit path marking in a high-rise building. | No | No | [\$4,800] \$2,500 | Yes | [\$12,000] \$6,250 | \$25,000 | [\$24,000] \$25,000 | \$25,000 | \$25,000 |
| 27-391 & BC 3002.3 | Class 2 | Emergency signs at elevator call stations missing, defective or non-compliant with section requirements. | Yes | Yes | [\$500] \$625 | Yes | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 | \$10,000 |
| 27-393, BC 1019.1.7 (2008 code) & BC 1022.8 (2014 code) | Class 2 | Stair and/or floor identification signs missing and/or defective. | Yes | Yes | [\$500] \$625 | Yes | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 | \$10,000 |

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|--|---------|--|-----|-----|-------------------|-----|-----|--------------------|--------------------|---------------------|--------------------|--------------------|----------|
| 27-509, BC 3111.1 (2008 code) & BC 3112.1 (2014 code) | Class 3 | Fence exceeds permitted height. | Yes | Yes | Yes | Yes | Yes | \$500 | \$500 | \$500 | \$500 | \$500 | \$500 |
| 27-528, BC 1024.1.3 (2008 code) & BC 1028.1.3 (2014 code) | Class 2 | Approved Place of Assembly plans not available for inspection. | Yes | No | \$500 | Yes | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$2,500 | \$10,000 |
| 27-901(z)(1) & PC 301.6 | Class 2 | Piping installed in elevator/counterweight hoistway. | Yes | No | [\$500] \$625 | Yes | Yes | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | [\$2,500] \$3,125 | \$10,000 |
| 27-904 & FGC 406.6.2 | Class 1 | Gas being supplied to building without inspection and certification by DOB. | No | No | [\$1,000] \$5,000 | No | No | [\$5,000] \$25,000 | [\$2,500] \$12,500 | [\$10,000] \$25,000 | [\$5,000] \$25,000 | [\$5,000] \$25,000 | \$25,000 |
| 27-904 & FGC 406.6.2 | Class 2 | Gas being supplied to building without inspection and certification by DOB. | No | No | [\$500] \$2,500 | No | Yes | [\$2,500] \$10,000 | [\$1,250] \$6,250 | [\$5,000] \$10,000 | [\$2,500] \$10,000 | [\$2,500] \$10,000 | \$10,000 |
| 27-921(a), PC 107.3 (2008 code) & PC 107.4 (2014 code) | Class 1 | Failure to have new or altered plumbing system tested. | No | No | [\$1,000] \$2,500 | No | No | [\$5,000] \$12,500 | [\$2,500] \$6,250 | [\$10,000] \$25,000 | [\$5,000] \$12,500 | [\$5,000] \$12,500 | \$25,000 |
| 27-921(a), PC 107.3 (2008 code) & PC 107.4 (2014 code) | Class 2 | Failure to have new or altered plumbing system tested. | Yes | No | [\$500] \$1,250 | Yes | Yes | [\$2,500] \$6,250 | [\$1,250] \$3,125 | [\$5,000] \$10,000 | [\$2,500] \$6,250 | [\$2,500] \$6,250 | \$10,000 |
| 27-972(h), BC 907.2.12.3 (2008 code) & BC 907.2.13.3 (2014 code) | Class 2 | Failure to install an acceptable two-way voice communication system with central station connection. | Yes | No | [\$500] \$2,500 | Yes | Yes | [\$2,500] \$10,000 | [\$1,250] \$10,000 | [\$5,000] \$10,000 | [\$2,500] \$10,000 | [\$2,500] \$10,000 | \$10,000 |
| 27-3017 | Class 1 | Performed unlicensed electrical work. | No | No | \$4,800 | No | No | \$24,000 | \$12,000 | \$25,000 | \$24,000 | \$24,000 | \$25,000 |
| 27-3018(b) | Class 1 | Electrical work without a permit. | No | No | \$1,600 | No | Yes | \$8,000 | \$4,000 | \$16,000 | \$8,000 | \$8,000 | \$25,000 |
| 27-3018(b) | Class 2 | Electrical work without a permit. | Yes | Yes | \$800 | Yes | Yes | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$4,000 | \$10,000 |
| 27-3018(b) | Class 3 | Electrical work without a permit. | Yes | Yes | \$400 | Yes | Yes | \$500 | \$500 | \$500 | \$500 | \$500 | \$500 |
| 27-3018(b) | Class 3 | Failure to conspicuously post electrical work permit while work is in progress. | Yes | Yes | \$400 | Yes | Yes | \$500 | \$500 | \$500 | \$500 | \$500 | \$500 |
| 27-3018(b) | Class 1 | Electrical work does not conform to approved submittal documents/ amendments. | No | No | \$1,000 | No | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$5,000 | \$25,000 |

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|---------------------------|---------|---|-----|-----|-----|-------------------|-----|--------------------|-------------------|---------------------|--------------------|----------|
| 27-3018(b) | Class 2 | Electrical work does not conform to approved submittal documents/ amendments. | Yes | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| 27-3018(b) | Class 3 | Electrical work does not conform to approved submittal documents/ amendments. | Yes | Yes | Yes | \$300 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 27-3018(i) | Class 2 | Installed more than the authorized number of electric meters. | No | No | Yes | \$2,400 | Yes | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 |
| 27-Misc.28-Misc, BC -Misc | Class 1 | Miscellaneous violations. | No | No | No | [\$1,600] \$2,500 | No | [\$8,000] \$12,500 | [\$4,000] \$6,250 | [\$16,000] \$25,000 | [\$8,000] \$12,500 | \$25,000 |
| 27-Misc.28-Misc, BC -Misc | Class 2 | Miscellaneous violations. | Yes | Yes | Yes | [\$800] \$1,250 | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$12,500 | [\$4,000] \$6,250 | \$10,000 |
| 27-Misc.28-Misc, BC -Misc | Class 3 | Miscellaneous violations. | Yes | Yes | Yes | [\$300] \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-103.21.1 | Class 1 | Failed to notify the department of an incident that resulted in a fatality or injury | No | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-103.21.1 | Class 2 | Failure to notify the department of an incident that resulted in a fatality or injury in a timely fashion | No | No | No | \$2,500 | No | \$12,500 | \$5,000 | \$10,000 | \$10,000 | \$10,000 |
| 28-103.21.1 | Class 1 | Failure to include the information required by 28-103.21 when notifying the department of an incident that resulted in a fatality or injury | No | No | No | \$2,500 | No | \$12,500 | \$5,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-103.21.1 | Class 2 | Failure to include the information required by 28-103.21 when notifying the department of an incident that resulted in a fatality or injury | Yes | Yes | Yes | \$1,250 | No | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-105.1 | Class 1 | Work After Hours Without a Variance Permit contrary to 28-105.12.5. | No | No | Yes | [\$1,600] \$2,500 | Yes | [\$8,000] \$12,500 | [\$4,000] \$6,250 | [\$16,000] \$25,000 | [\$8,000] \$12,500 | \$25,000 |
| 28-105.1 | Class 2 | Work After Hours Without a Variance Permit contrary to 28-105.12.5. | No | No | Yes | [\$800] \$1,250 | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 |
| 28-105.1 | Class 2 | Work without a permit. | Yes | Yes | Yes | [\$800] \$1,250 | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$12,500 | [\$4,000] \$6,250 | \$10,000 |
| 28-105.1 | Class 3 | Work without a permit. | Yes | Yes | Yes | [\$200] \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |

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|-------------|---------|---|-----|-----|--------------------|-----|----------------------|----------------------|----------------------|----------------------|----------|
| 28-105.1 | Class 1 | Construction or alteration work w/o a permit in manufacturing district for residential use. | No | No | \$2,400 \$5,000 | No | \$12,000 \$25,000 | \$6,000 \$12,500 | \$24,000 \$25,000 | \$12,000 \$25,000 | \$25,000 |
| 28-105.1 | Class 2 | Construction or alteration work w/o a permit in manufacturing district for residential use. | No | No | \$1,500 \$2,500 | Yes | \$7,500 \$10,000 | \$3,750 \$6,250 | \$10,000 | \$7,500 \$10,000 | \$10,000 |
| 28-105.1 | Class 1 | Demolition work without required demolition permit. | No | No | \$4,800 \$5,000 | No | \$24,000 \$25,000 | \$12,000 \$12,500 | \$25,000 | \$24,000 \$25,000 | \$25,000 |
| 28-105.1 | Class 1 | Plumbing work without a permit in manufacturing district for residential use. | No | No | \$2,400 \$5,000 | No | \$12,000 \$25,000 | \$6,000 \$12,500 | \$24,000 \$25,000 | \$12,000 \$25,000 | \$25,000 |
| 28-105.1 | Class 2 | Plumbing work without a permit in manufacturing district for residential use. | No | Yes | \$1,500 \$2,500 | Yes | \$7,500 \$10,000 | \$3,750 \$6,250 | \$10,000 | \$7,500 \$10,000 | \$10,000 |
| 28-105.1 | Class 2 | Outdoor sign on display structure without a permit. | No | Yes | \$1,200 \$1,250 | Yes | \$6,000 \$6,250 | \$3,000 \$3,125 | \$10,000 | \$6,000 \$6,250 | \$10,000 |
| 28-105.1 | Class 1 | Outdoor Advertising Company sign on display structure without a permit. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-105.11 | Class 2 | Failure to post or properly post permit for work at premises. | Yes | Yes | \$800 \$625 | Yes | \$4,000 \$3,125 | \$2,000 \$1,563 | \$8,000 \$6,250 | \$4,000 \$3,125 | \$10,000 |
| 28-105.12.1 | Class 2 | Outdoor sign permit application contrary to Code and ZR requirements. | No | No | \$2,400 \$2,500 | No | \$10,000 | \$6,000 \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 28-105.12.2 | Class 1 | Work does not conform to approved construction documents and/or approved amendments. | No | No | \$1,000 \$2,500 | No | \$5,000 \$12,500 | \$2,500 \$6,250 | \$10,000 \$25,000 | \$5,000 \$12,500 | \$25,000 |
| 28-105.12.2 | Class 2 | Work does not conform to approved construction documents and/or approved amendments. | Yes | Yes | \$500 \$1,250 | Yes | \$2,500 \$6,250 | \$1,250 \$3,125 | \$5,000 \$10,000 | \$2,500 \$6,250 | \$10,000 |
| 28-105.12.2 | Class 3 | Work does not conform to approved construction documents and/or approved amendments. | Yes | Yes | \$200 \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |

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|--------------|---------|--|-----|-----|----------------------|-----|------------------------|---------------------|---------------------|----------|------------------------|----------|
| 28-105.12.2 | Class 1 | Work does not conform to approved construction documents and/or approved amendments in a manufacturing district for residential use. | No | No | [\$4,800] \$5,000 | No | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 | \$25,000 | [\$24,000] \$25,000 | \$25,000 |
| 28-105.12.2 | Class 2 | Work does not conform to approved construction documents and/or approved amendments in a manufacturing district for residential use. | No | No | [\$2,400] \$2,500 | Yes | \$10,000 | [\$6,000] \$6,250 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 28-105.12.2 | Class 1 | Place of Assembly contrary to approved construction documents. | No | No | [\$1,000] \$2,500 | No | [\$5,000] \$12,500 | [\$2,500] \$6,250 | [\$10,000] \$25,000 | \$25,000 | [\$5,000] \$12,500 | \$25,000 |
| 28-105.12.2 | Class 2 | Place of Assembly contrary to approved construction documents. | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$5,000 | \$2,500 | \$10,000 |
| 28-105.12.2 | Class 1 | Outdoor Advertising Company sign work does not conform to approved construction documents or amendments. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-110.1(20) | Class 1 | Failure to provide evidence of workers attending construction & safety course. | No | No | [\$1,200] \$5,000 | No | [\$6,000] \$25,000 | [\$3,000] \$12,500 | [\$12,000] \$25,000 | \$25,000 | [\$6,000] \$25,000 | \$25,000 |
| 28-116.1 | Class 2 | Failure of permit holder to provide inspection access to and/or expose ongoing construction or work on an active and permitted worksite. | No | No | [\$2,000] \$2,500 | Yes | \$10,000 | [\$5,000] \$6,250 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 28-116.2.4.2 | Class 2 | Failure to conduct or file a final inspection of permitted work with the Department. | Yes | Yes | [\$800] \$1,250 | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | \$10,000 | [\$4,000] \$6,250 | \$10,000 |
| 28-116.4.1 | Class 2 | Operation of service equipment without Certificate of Compliance. | Yes | Yes | [\$500] \$625 | Yes | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | \$10,000 | [\$2,500] \$3,125 | \$10,000 |
| 28-117.1 | Class 1 | Operation of a Place of Assembly without a current Certificate of Operation. | No | No | [\$1,600] \$2,500 | No | [\$8,000] \$12,500 | [\$4,000] \$6,250 | [\$16,000] \$25,000 | \$25,000 | [\$8,000] \$12,500 | \$25,000 |
| 28-117.1 | Class 2 | Operation of a Place of Assembly without a current Certificate of Operation. | Yes | No | \$800 | Yes | \$4,000 | \$2,000 | \$8,000 | \$8,000 | \$4,000 | \$10,000 |

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|--------------|---------|---|-----|-----|----------------------|-----|------------------------|--------------------|---------------------|------------------------|----------|
| 28-118.1 | Class 1 | Building or open lot occupied without a valid certificate of occupancy. | No | No | [\$1,000] \$2,500 | No | [\$5,000] \$12,500 | [\$2,500] \$6,250 | [\$10,000] \$25,000 | [\$5,000] \$12,500 | \$25,000 |
| 28-118.3 | Class 1 | Altered/changed building occupied without a valid Certificate of Occupancy as per §28-118.3.1 - §28-118.3.2. | No | No | [\$1,000] \$2,500 | No | [\$5,000] \$12,500 | [\$2,500] \$6,250 | [\$10,000] \$25,000 | [\$5,000] \$12,500 | \$25,000 |
| 28-118.3 | Class 2 | Altered/changed building occupied without a valid Certificate of Occupancy as per §28-118.3.1 - §28-118.3.2. | Yes | Yes | [\$500] \$1,250 | Yes | [\$2,500] \$6,250 | [\$1,250] \$3,125 | [\$5,000] \$10,000 | [\$2,500] \$6,250 | \$10,000 |
| 28-118.3 | Class 1 | Change in occupancy/use of C of O as per §28-118.3.1 - §28-118.3.2 by operating a Place of Assembly as per when current C of O does not allow such occupancy. | No | No | [\$1,000] \$5,000 | No | [\$5,000] \$25,000 | [\$2,500] \$12,500 | [\$10,000] \$25,000 | [\$5,000] \$25,000 | \$25,000 |
| 28-118.3 | Class 2 | Change in occupancy/use of C of O as per §28-118.3.1 - §28-118.3.2 by operating a Place of Assembly as per when current C of O does not allow such occupancy. | Yes | No | \$500 | No | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| 28-118.3.2 | Class 1 | Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records. | No | No | [\$2,400] \$2,500 | No | [\$12,000] \$12,500 | [\$6,000] \$6,250 | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 |
| 28-118.3.2 | Class 2 | Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records. | Yes | Yes | [\$1,200] \$1,250 | Yes | [\$6,000] \$6,250 | [\$3,000] \$3,125 | \$10,000 | [\$6,000] \$6,250 | \$10,000 |
| 28-118.3.2 | Class 3 | Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records. | Yes | Yes | [\$400] \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-118.3.2.1 | Class 3 | Address, block and/or lot, or metes and bounds of zoning lot contrary to Certificate of Occupancy. | Yes | Yes | [\$400] \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-201.1 | Class 1 | Unlawful acts. Failure to comply with Commissioner's order. | No | No | [\$2,400] \$2,500 | No | [\$12,000] \$12,500 | [\$6,000] \$6,250 | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 |

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|--------------------|---------|---|----|----|-------------------|-----|-----|---------------------|---------------------|---------------------|---------------------|---------------------|----------|
| 28-201.1; 28-207.4 | Class 1 | Failure to obey a Vacate Order from the Commissioner per 28-207.4. | No | No | \$4,800 | No | No | \$24,000 | \$12,000 | \$25,000 | \$24,000 | \$25,000 | \$25,000 |
| 28-201.1 | Class 1 | Unlawful acts. Failure to comply with a law, rule, or Commissioner's order involving construction and/or equipment safety operations. | No | No | \$10,000 | No | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-201.1 | Class 2 | Unlawful acts. Failure to comply with a law, rule, or Commissioner's order involving construction and/or equipment safety operations. | No | No | \$5,000 | No | No | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 28-202.1 | Class 1 | Additional daily penalty for Class 1 violation of 28-210.1 or 28-210.2. | No | No | \$1,000/per day | No | No | \$45,000 | NA | NA | NA | NA | NA |
| 28-202.1 | Class 2 | Additional monthly penalty for continued violation of 28-210.1. | No | No | \$250/month | No | No | \$10,000 | NA | NA | NA | NA | NA |
| 28-202.1 | Class 1 | Additional daily civil penalties for continued violations. | No | No | \$1,000/per day | No | No | \$25,000 | NA | NA | NA | NA | NA |
| 28-202.1 | Class 2 | Additional monthly civil penalties for continued violations. | No | No | \$250/month | No | No | \$10,000 | NA | NA | NA | NA | NA |
| 28-202.1 | Class 2 | Additional monthly penalty for continued violation of 28-210.2. | No | No | \$250/month | No | No | \$10,000 | NA | NA | NA | NA | NA |
| 28-202.1 | Class 1 | Additional daily penalty for Class 1 violation of 28-210.3 - permanent dwelling offered/used/converted for other than permanent-residential purposes. | No | No | \$1,000/per day | No | No | \$45,000 | N/A | N/A | N/A | N/A | N/A |
| 28-204.4 | Class 2 | Failure to comply with the (C) Commissioner's order to file a certificate of correction with the Department of Buildings. | No | No | [\$800] \$1,250 | Yes | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | [\$10,000] \$10,000 | \$10,000 |
| 28-207.2.2 | Class 1 | Unlawfully continued work while on notice of a Stop Work Order. | No | No | [\$4,800] 5,000 | No | No | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 | [\$24,000] \$25,000 | \$25,000 | \$25,000 |
| 28-207.2.5 | Class 1 | Tampered with, removed or defaced a written posted Stop Work Order. | No | No | [\$1,000] \$2,500 | No | No | [\$5,000] \$12,500 | [\$2,500] \$6,250 | [\$10,000] \$25,000 | [\$5,000] \$12,500 | [\$10,000] \$25,000 | \$25,000 |

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| 28-207.4.4 | Class 1 | Removed or defaced a written posted Vacate Order. | No | No | No | [\$1,000] \$2,500 | No | [\$5,000] \$12,500 | [\$2,500] \$6,250 | [\$10,000] \$25,000 | [\$5,000] \$12,500 | \$25,000 |
| 28-210.1 | Class 2 | 1- or 2-family residence converted to or maintained as a dwelling [for 4 or more families.] for more than the number of families legally authorized by the C of O or official records - Less than three additional dwelling units | No | No | No | [\$2,400] \$2,500 | No | [\$12,000] \$12,500 | [\$6,000] \$6,250 | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 |
| 28-210.1 | Class 1 | Multiple dwelling converted, maintained, or occupied with 3 or more additional dwelling units than legally authorized by the C of O or official records. | No | No | No | [\$2,400] \$15,000 | No | [\$12,000] \$25,000 | [\$6,000] \$25,000 | [\$24,000] \$25,000 | [\$12,000] \$25,000 | \$25,000 |
| [28-210.1] | [Class 2] | [Residence altered as a dwelling for more than the number of families legally authorized by the C of O or official records.] | [No] | [No] | [No] | [\$1,200] | [No] | [\$6,000] | [\$3,000] | [\$10,000] | [\$6,000] | [\$10,000] |
| 28-210.2 | Class 1 | Industrial/manufacturing building converted, maintained, or occupied for residential use for 3 or more additional dwelling units than legally authorized by the C of O or official records. | No | No | No | [\$3,200] \$15,000 | No | [\$16,000] \$25,000 | [\$8,000] \$15,000 | \$25,000 | [\$16,000] \$25,000 | \$25,000 |
| 28-210.2 | Class 2 | Industrial/manufacturing building converted, maintained, or occupied for residential use contrary to the C of O or official records for less than 3 additional dwelling units | No | No | No | [\$2,400] \$15,000 | No | [\$10,000] \$15,000 | [\$6,000] \$15,050 | [\$10,000] \$15,000 | [\$10,000]] \$15,000 | [\$10,000] \$15,000 |
| 28-210.3 | Class 1 | Permanent dwelling offered/used/converted for other than permanent-residential purposes | No | No | No | [\$3,200] \$5,000 | No | [\$16,000] \$25,000 | [\$8,000] \$15,000 | \$25,000 | [\$16,000] \$25,000 | \$25,000 |
| 28-210.3 | Class 2 | Permanent dwelling offered/used/converted for other than permanent-residential purposes | No | No | Yes | [\$1,600] \$2,500 | Yes | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 | [\$8,000] \$10,000 | \$10,000 |

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|------------|---------|---|-----|-----|-----|-----|----------------------|------------------------|---------------------|---------------------|------------------------|----------|
| 28-211.1 | Class 1 | Filed a certificate, form, application etc., containing a material false statement(s). | No | No | Yes | Yes | [\$4,800] \$5,000 | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 | [\$24,000] \$25,000 | \$25,000 |
| 28-211.1 | Class 1 | Filed a certificate of correction or other related materials containing material false statement (s). | No | No | No | No | [\$4,800] \$5,000 | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 | [\$24,000] \$25,000 | \$25,000 |
| 28-217.1.1 | Class 2 | Failure to submit required report of inspection of potentially compromised buildings. | Yes | Yes | Yes | Yes | \$800 | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$10,000 |
| 28-217.1.6 | Class 1 | Failure to immediately notify Department that building or structure has become potentially compromised. | No | No | No | No | [\$1,200] \$2,500 | [\$6,000] \$12,500 | [\$3,000] \$6,250 | [\$12,000] \$25,000 | [\$6,000] \$12,500 | \$25,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code compliant manner: Lack of required number of means of egress for every floor per BC 1018.1 (2008 code), 27-366; BC 1021.1 (2014 code). | No | No | No | No | [\$1,200] \$2,500 | [\$6,000] \$12,500 | [\$3,000] \$6,250 | [\$12,000] \$25,000 | [\$6,000] \$12,500 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code compliant manner. Exhaust discharge must be no closer than 10 feet from building openings as per MC 501.2 and RS 13-1 Sec. 2-2.1.4. | Yes | No | Yes | No | [\$800] \$1,250 | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner. | No | No | No | No | [\$1,000] \$1,250 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | [\$10,000] \$12,500 | [\$5,000] \$6,250 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner. | Yes | Yes | Yes | Yes | [\$500] \$625 | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 |
| 28-301.1 | Class 3 | Failure to maintain building in code-compliant manner. | Yes | Yes | Yes | Yes | [\$200] \$500 | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: service equipment – boiler. | No | No | No | No | [\$1,000] \$1,250 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | [\$10,000] \$12,500 | [\$5,000] \$6,250 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: service equipment – boiler. | Yes | Yes | Yes | Yes | [\$500] \$625 | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 |

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|----------|---------|---|-----|-----|-----|-----|-----|-----|---------------------|-------------------|---------------------|---------------------|----------|
| 28-301.1 | Class 3 | Failure to maintain building in code-compliant manner: service equipment – boiler. | Yes | Yes | Yes | Yes | Yes | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: Use of prohibited door and/or hardware per BC 1008.1.8; 27-371(j). | No | No | No | No | No | No | [\$5,000] \$6,250 | [\$2,500] \$3,125 | [\$10,000] \$12,500 | [\$5,000] \$6,250 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: Use of prohibited door and/or hardware per BC 1008.1.8; 27-371(j). | Yes | No | Yes | Yes | Yes | Yes | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: illumination for exits, exit discharges and public corridors per BC 1006.1; 27-381. | No | No | No | No | No | No | [\$5,000] \$6,250 | [\$2,500] \$3,125 | [\$10,000] \$12,500 | [\$5,000] \$6,250 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: illumination for exits, exit discharges and public corridors per BC 1006.1; 27-381. | Yes | No | Yes | Yes | Yes | Yes | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: floor numbering and/ signs missing and/ or defective per BC 1019.1.7 (2008 code); 27-392; BC 1022.8 (2014 code). | No | No | No | No | No | No | [\$5,000] \$6,250 | [\$2,500] \$3,125 | [\$10,000] \$12,500 | [\$5,000] \$6,250 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: floor numbering and/ signs missing and/ or defective per BC 1019.1.7 (2008 code); 27-392; BC 1022.8 (2014 code). | Yes | Yes | Yes | Yes | Yes | Yes | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: high-rise to provide exit sign requirement(s) within exits per BC 1011.1.1; 27-383.1. | No | No | No | No | No | No | [\$12,000] \$12,500 | [\$6,000] \$6,250 | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 |

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|----------|---------|--|-----|-----|-----------------|-----|-------------------|-------------------|--------------------|-------------------|----------|
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: lack of a system of automatic sprinklers where required per BC 903.2; 27-954. | No | No | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: lack of a system of automatic sprinklers where required per BC 903.2; 27-954. | No | No | [\$500] \$1,250 | Yes | [\$2,500] \$6,250 | [\$1,250] \$3,125 | [\$5,000] \$10,000 | [\$2,500] \$6,250 | \$10,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner re: installation/maintenance of plumbing materials/equipment per PC102.3; 27-902. | Yes | Yes | [\$500] \$1,250 | Yes | [\$2,500] \$6,250 | [\$1,250] \$3,125 | [\$5,000] \$10,000 | [\$2,500] \$6,250 | \$10,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: Gas vent reduced or undersized as per FGC 504.2; 27-887. | No | No | [\$500] \$625 | Yes | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: failure to comply with law for water supply system per PC 602.3; 27-908(c). | No | No | [\$500] \$625 | Yes | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: failure to comply with law for drainage system per PC 702.1; 27-911. | No | No | [\$500] \$625 | Yes | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: Plumbing fixture(s) not trapped and/or vented per PC 916.1 & PC 1002.1; 27-901(o). | No | No | [\$500] \$625 | Yes | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: Misc. sign violation by Outdoor Advertising Company as per 27-498 through 27-508 & BC H103.1. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |

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|------------|---------|---|-----|----|----|----------------------|-----|------------------------|---------------------|---------------------|------------------------|----------|
| 28-401.19 | Class 1 | Improper conduct of a licensee or Certificate of Competence Holder. | No | No | No | [\$4,000] \$5,000 | No | [\$20,000] \$25,000 | [\$10,000] \$12,500 | \$25,000 | [\$20,000] \$25,000 | \$25,000 |
| 28-401.19 | Class 2 | Improper conduct of a licensee or Certificate of Competence Holder. | No | No | No | [\$2,000] \$2,500 | No | [\$4,500] \$6,250 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 28-404.1 | Class 1 | Supervision or use of rigging equipment without a Rigger's license. | No | No | No | [\$4,800] \$5,000 | No | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 | [\$24,000] \$25,000 | \$25,000 |
| 28-404.4.1 | Class 2 | Licensed Master/Special Rigger failed to place appropriate "Danger" sign while using rigging equipment. | Yes | No | No | [\$800] \$1,250 | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 |
| 28-405.1 | Class 1 | Supervision or use of power-operated hoisting machine without a Hoisting Machine Operator's license. | No | No | No | [\$1,600] \$5,000 | No | [\$8,000] \$25,000 | [\$4,000] \$12,500 | [\$16,000] \$25,000 | [\$8,000] \$25,000 | \$25,000 |
| 28-406.1 | Class 1 | Unlicensed concrete testing activity. | No | No | No | [\$1,000] \$5,000 | Yes | [\$5,000] \$25,000 | [\$2,500] \$12,500 | \$25,000 | [\$10,000] \$25,000 | \$25,000 |
| 28-408.1 | Class 1 | Performing unlicensed plumbing work without a master plumber license. | No | No | No | [\$2,500] \$5,000 | No | [\$12,500] \$25,000 | [\$6,250] \$12,500 | \$25,000 | [\$12,500] \$25,000 | \$25,000 |
| 28-410.1 | Class 1 | Performed fire suppression piping work w/o a license | No | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-415.1 | Class 1 | Hoisting, lowering, hanging, or attaching of outdoor sign not performed or supervised by a properly licensed sign hanger. | No | No | No | [\$4,800] \$5,000 | No | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 | [\$24,000] \$25,000 | \$25,000 |
| 28-502.2 | Class 1 | Outdoor Advertising Company engaged in outdoor advertising business without a valid registration. | No | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-502.2.1 | Class 1 | Outdoor Advertising Company failed to submit complete/accurate information as prescribed in 1 RCNY Chapter 49. | No | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-502.2.2 | Class 1 | Outdoor Advertising Company failed to post, renew or replenish bond or other form of security. | No | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |

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|----------------|---------|---|-----|-----|-----|--------------------|-------------------|--------------------|--------------------|--------------------|--------------------|----------|
| 28-502.5 | Class 1 | Outdoor Advertising Company failed to post required information at sign location. | No | No | Yes | | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-502.6 | Class 1 | Misc. sign violation by Outdoor Advertising Company of Title 27; Title 28; ZR; or BC. | No | No | Yes | | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-504.1.2[3] | Class 2 | Failure to complete/ implement/ amend bicycle access plan or provide request for exception. | No | No | Yes | [\$4,000] \$3,125 | [\$2,000] \$1,563 | [\$8,000] \$6,250 | [\$4,000] \$3,125 | [\$4,000] \$3,125 | [\$4,000] \$3,125 | \$10,000 |
| 28-504.3(2)1.2 | Class 2 | Failure to implement the terms and conditions of bicycle access plan/letter of exception as prescribed in 34 RCNY 2-19. | No | No | Yes | [\$4,000] \$3,125 | [\$2,000] \$1,563 | [\$8,000] \$6,250 | [\$4,000] \$3,125 | [\$4,000] \$3,125 | [\$4,000] \$3,125 | \$10,000 |
| 28-504.1.4[6] | Class 2 | Failure to post a bicycle access plan/letter of exception/notice of availability of plan/letter. | No | No | Yes | [\$4,000] \$3,125 | [\$2,000] \$1,563 | [\$8,000] \$6,250 | [\$4,000] \$3,125 | [\$4,000] \$3,125 | [\$4,000] \$3,125 | \$10,000 |
| 28-504.1.4[7] | Class 2 | Failure to timely file bicycle access plan or amendment with DOT as prescribed in 34 RCNY 2-19. | No | No | Yes | [\$4,000] \$3,125 | [\$2,000] \$1,563 | [\$8,000] \$6,250 | [\$4,000] \$3,125 | [\$4,000] \$3,125 | [\$4,000] \$3,125 | \$10,000 |
| BC 105.8.2 | Class 2 | Temporary Construction Equipment on Site -- Expired Permit. | Yes | Yes | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | [\$4,000] \$6,250 | [\$4,000] \$6,250 | \$10,000 |
| BC 903.6 | Class 2 | Failure to paint dedicated sprinkler piping/valves in accordance with section. | No | No | Yes | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 | [\$8,000] \$10,000 | [\$8,000] \$10,000 | [\$8,000] \$10,000 | \$10,000 |
| BC 903.6 | Class 2 | Failure to provide/maintain painting certification of sprinkler and combination sprinkler/standpipe systems in accordance with section. | Yes | No | Yes | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | [\$2,500] \$3,125 | [\$2,500] \$3,125 | \$10,000 |
| BC 905.11 | Class 2 | Failure to paint dedicated standpipe/valves in accordance with section. | No | No | Yes | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 | [\$8,000] \$10,000 | [\$8,000] \$10,000 | [\$8,000] \$10,000 | \$10,000 |
| BC 905.11 | Class 2 | Failure to provide/maintain painting certification of standpipe and combination sprinkler/standpipe systems in accordance with section. | Yes | No | Yes | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | [\$2,500] \$3,125 | [\$2,500] \$3,125 | \$10,000 |

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|---|---------|--|-----|----|-----|-------------------|-----|---------------------|--------------------|---------------------|---------------------|----------|
| BC 1016.2 | Class 2 | Failure to maintain building in code-compliant manner: provide required corridor width per BC 1016.2, 27-369. | Yes | No | Yes | [\$500] \$625 | Yes | [\$2,500] \$3,125 | [\$1,250] \$1,563 | [\$5,000] \$6,250 | [\$2,500] \$3,125 | \$10,000 |
| BC 1704.4 | Class 2 | Failure to perform special inspections and verifications for concrete construction as required by section and Table 1704.4. | No | No | No | [\$1,600] \$2,500 | No | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 | [\$8,000] \$10,000 | \$10,000 |
| BC 1704.21.1 (2008 code) & BC 1704.23.1 (2014 code) | Class 1 | Failure to perform successful hydrostatic pressure test of sprinkler system. | No | No | No | [\$2,400] \$5,000 | No | [\$12,000] \$25,000 | [\$6,000] \$12,500 | [\$24,000] \$25,000 | [\$12,000] \$25,000 | \$25,000 |
| BC 1704.22.1 (2008 code) & BC 1704.24.1 (2014 code) | Class 1 | Failure to perform successful hydrostatic pressure test of standpipe system. | No | No | No | [\$2,400] \$5,000 | No | [\$12,000] \$25,000 | [\$6,000] \$12,500 | [\$24,000] \$25,000 | [\$12,000] \$25,000 | \$25,000 |
| BC 1905.6.3.2 (2008 code) & BC 1905.6.3.3 (2014 code) | Class 2 | Failure to comply with ASTM C31 standards for concrete cylinder test samples. | No | No | No | [\$1,600] \$2,500 | No | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 | [\$8,000] \$10,000 | \$10,000 |
| BC 3010.1 & 27-1006 | Class 1 | Failure to promptly report an elevator accident involving personal injury requiring the services of a physician or damage to property. | No | No | No | [\$1,000] \$2,500 | No | [\$5,000] \$12,500 | [\$2,500] \$6,250 | [\$10,000] \$25,000 | [\$5,000] \$12,500 | \$25,000 |
| BC 3301.1.3 (2014 code) | Class 1 | Failure to comply with manufacturer specifications. | No | No | No | [\$1,600] \$2,500 | No | [\$8,000] \$12,500 | [\$4,000] \$6,250 | [\$16,000] \$25,000 | [\$8,000] \$12,500 | \$25,000 |
| BC 3301.1.3 (2014 code) | Class 2 | Failure to comply with manufacturer specifications. | Yes | No | Yes | [\$800] \$1,250 | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 |
| BC 3301.2 & 27-1009(a) | Class 1 | Failure to safeguard all persons and property affected by construction operations. | No | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.2 & 27-1009(a) | Class 2 | Failure to safeguard all persons and property affected by construction operations. | No | No | No | \$5,000 | No | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| BC 3301.2 & 27-1009(a) | Class 1 | Failure to institute/maintain safety equipment measures or temporary construction - No guard rails. | No | No | No | [\$2,400] \$5,000 | Yes | [\$12,000] \$25,000 | [\$6,000] \$12,500 | [\$24,000] \$25,000 | [\$12,000] \$25,000 | \$25,000 |
| BC 3301.2 & 27-1009(a) | Class 1 | Failure to institute/maintain safety equipment measures or temporary construction - No toe boards. | No | No | No | [\$1,000] \$5,000 | No | [\$5,000] \$25,000 | [\$2,500] \$12,500 | [\$10,000] \$25,000 | [\$5,000] \$25,000 | \$25,000 |

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|---|---------|---|-----|----|----|-------------------|-----|---------------------|--------------------|---------------------|---------------------|----------|
| BC 3301.2 & 27-1009(a) | Class 1 | Failure to institute/maintain safety equipment measures or temporary construction – No handrails. | No | No | No | [\$1,000] \$2,500 | No | [\$5,000] \$12,500 | [\$2,500] \$6,250 | [\$10,000] \$25,000 | [\$5,000] \$12,500 | \$25,000 |
| [BC 3301.3 & 1 RCNY 3301-02(b), (c)] BC 3301.13.3 | Class 1 | Failure to designate and/or have a Construction Superintendent present at site as required. | No | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.7 (2014 code) | Class 1 | Failure to maintain/display on site documents required by BC Chapter 33. | No | No | No | [\$1,000] \$2,500 | Yes | [\$5,000] \$12,500 | [\$2,500] \$6,250 | [\$10,000] \$25,000 | [\$5,000] \$12,500 | \$25,000 |
| BC 3301.7 (2014 code) | Class 2 | Failure to maintain/display on site documents required by BC Chapter 33. | Yes | No | No | [\$500] \$1,250 | Yes | [\$2,500] \$6,250 | [\$1,250] \$3,125 | [\$5,000] \$10,000 | [\$2,500] \$6,250 | \$10,000 |
| BC 3301.8 | Class 1 | Failure to promptly notify the Department of an accident or damage to adjoining property at construction/demolition site. | No | No | No | [\$2,500] \$5,000 | No | [\$12,500] \$25,000 | [\$6,250] \$12,500 | \$25,000 | [\$12,500] \$25,000 | \$25,000 |
| BC 3301.9 | Class 2 | Project Information Panel/Sidewalk Shed Parapet Panel/Construction Sign not provided or not in compliance with section | Yes | No | No | [\$800] \$1,250 | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 |
| BC 3303.4 & 27-1018 | Class 1 | Failure to maintain adequate housekeeping per section requirements. | No | No | No | [\$2,400] \$2,500 | No | [\$12,000] \$12,500 | [\$6,000] \$6,250 | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 |
| BC 3303.4 & 27-1018 | Class 2 | Failure to maintain adequate housekeeping per section requirements. | Yes | No | No | [\$800] \$1,250 | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 |
| BC 3303.4.5 & 27-1018 | Class 1 | Unsafe storage of materials during construction or demolition. | No | No | No | [\$2,400] \$2,500 | No | [\$12,000] \$12,500 | [\$6,000] \$6,250 | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 |
| BC 3303.4.6 & 27-1018 | Class 1 | Unsafe storage of combustible material and equipment. | No | No | No | [\$2,400] \$2,500 | No | [\$12,000] \$12,500 | [\$6,000] \$6,250 | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 |
| BC 3303.7.3 | Class 1 | Smoking at construction/demolition site. | No | No | No | [\$2,400] \$2,500 | No | [\$12,000] \$12,500 | [\$6,000] \$6,250 | [\$24,000] \$25,000 | [\$12,000] \$12,500 | \$25,000 |
| BC 3303.7.3 | Class 2 | Smoking at construction/demolition site. | No | No | No | [\$1,200] \$1,250 | No | [\$6,000] \$6,250 | [\$3,000] \$3,125 | \$10,000 | [\$6,000] \$6,250 | \$10,000 |

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|---|---------|--|-----|----|-----------------------|----|------------------------|---------------------|---------------------|------------------------|----------|
| BC 3306.2.1 | Class 1 | Failure to provide safety zone for demolition operations. | No | No | [\$1,000] \$2,500 | No | [\$5,000] \$12,500 | [\$2,500] \$6,250 | [\$10,000] \$25,000 | [\$5,000] \$12,500 | \$25,000 |
| BC 3306.3& 27-195 | Class 1 | Failure to provide required notification prior to the commencement of demolition. | No | No | [\$1,200] \$2,500 | No | [\$6,000] \$12,500 | [\$3,000] \$6,250 | [\$12,000] \$25,000 | [\$6,000] \$12,500 | \$25,000 |
| BC 3306.5 | Class 1 | Mechanical demolition without plans on site. | No | No | [\$1,000] \$5,000 | No | [\$5,000] \$25,000 | [\$2,500] \$12,500 | [\$10,000] \$25,000 | [\$5,000] \$25,000 | \$25,000 |
| BC 3307.1 | Class 2 | Pedestrian protection does not meet code specifications. | No | No | \$2,400 | No | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 |
| BC 3307.1.1 (2008 code) & BC 3307.4.6 (2014 code) | Class 1 | Prohibited Outdoor Advertising Company sign on sidewalk shed or construction fence | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC 3307.1.1 (2008 code) & BC 3307.4.6 (2014 code) | Class 2 | Posting of unlawful signs, information, pictorial representation, business or advertising messages on protective structures. | Yes | No | [\$2,400] \$2,500 | No | \$10,000 | [\$6,000] \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| BC 3307.3 (2008 Code) and BC 3307.1 (2014 Code) | Class 1 | Failure to provide pedestrian protection for sidewalks and walkways. | No | No | [\$4,800] \$10,000 | No | [\$24,000] \$25,000 | [\$12,000] \$25,000 | \$25,000 | [\$24,000] \$25,000 | \$25,000 |
| BC 3307.3.1 (2008 code), 27-1021(a) & BC 3307.6.2 (2014 code) | Class 1 | Failure to provide sidewalk shed where required. | No | No | [\$4,800] \$10,000 | No | [\$24,000] \$25,000 | [\$12,000] \$25,000 | \$25,000 | [\$24,000] \$25,000 | \$25,000 |
| BC 3307.6.4 (2008 code) & BC 3307.6.4.11 (2014 code) | Class 2 | Sidewalk shed does not meet color specification. | Yes | No | [\$800] \$1,250 | No | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 |
| BC 3307.7 | Class 2 | Job site fence not constructed or maintained, pursuant to subsection. | Yes | No | \$800 | No | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$10,000 |
| BC 3309.4 & 27-1031 | Class 1 | Failure to protect adjoining structures during excavation operations. | No | No | [\$2,400] \$5,000 | No | [\$12,000] \$25,000 | [\$6,000] \$12,500 | [\$24,000] \$25,000 | [\$12,000] \$25,000 | \$25,000 |
| BC 3301.3 & BC 3310.5 & BC 3310.5.2 | Class 1 | Failure to designate and/or have Site Safety Manager or Site Safety Coordinator present at site as required. | No | No | \$10,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |

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|---|---------|--|-----|----|-------------------|-----|--------------------|---------------------|---------------------|----------|---------------------|---------------------|
| BC 3310.8.2 (2008 code) & BC 3310.8.2.1 (2014 code) | Class 1 | Site Safety Manager/Coordinator failed to immediately notify the Department of conditions as required. | No | No | \$2,500 | No | \$6,250 | \$25,000 | \$12,500 | \$25,000 | \$12,500 | \$25,000 |
| BC 3310.9.1 | Class 1 | No Concrete Safety Manager present at site as required. | No | No | [\$2,400] \$5,000 | Yes | [\$6,000] \$12,500 | [\$24,000] \$25,000 | [\$12,000] \$25,000 | \$25,000 | [\$12,000] \$25,000 | \$25,000 |
| BC 3310.10 | Class 1 | Failure to conduct a site-specific safety orientation program for all workers. | No | No | [\$1,200] \$5,000 | No | [\$3,000] \$12,500 | [\$12,000] \$25,000 | [\$6,000] \$25,000 | \$25,000 | [\$6,000] \$25,000 | \$25,000 |
| BC 3314.1.1 (2008 code) & 27-1050.1 & BC 3314.4.1.5 (2014 code) | Class 2 | Failed to notify Department prior to installation or removal of Suspended Scaffold. | No | No | [\$800] \$2,500 | Yes | [\$2,000] \$6,250 | [\$8,000] \$10,000 | [\$4,000] \$10,000 | \$10,000 | [\$4,000] \$10,000 | \$10,000 |
| BC 3314.2 & 27-1042 | Class 1 | Erected or installed supported scaffold 40 feet or higher without a permit. | No | No | [\$1,200] \$5,000 | No | [\$3,000] \$12,500 | [\$12,000] \$25,000 | [\$6,000] \$25,000 | \$25,000 | [\$6,000] \$25,000 | \$25,000 |
| BC 3314.4.3.1 (2008 code), 27-1045 & BC 3314.4.3 (2014 code) | Class 1 | Failure to perform safe/proper inspection of Suspended Scaffold. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC 3314.4.3.1 (2008 code), 27-1045(b) & BC 3314.4.3.4 (2014 code) | Class 1 | No record of daily inspection of Suspended Scaffold performed by authorized person at site. | No | No | [\$2,400] \$5,000 | No | [\$6,000] \$12,500 | [\$24,000] \$25,000 | [\$12,000] \$25,000 | \$25,000 | [\$12,000] \$25,000 | \$25,000 |
| BC 3314.4.5 (2008 code) & BC 3314.4.5.1 (2014 code) | Class 1 | Unqualified supervisor or worker performing work on scaffold. | No | No | [\$2,400] \$5,000 | No | [\$6,000] \$12,500 | [\$24,000] \$25,000 | [\$12,000] \$25,000 | \$25,000 | [\$12,000] \$25,000 | \$25,000 |
| BC 3314.4.6 (2008 code) & BC 3314.4.5.8 (2014 code) | Class 2 | Scaffold training certificate card not readily available for inspection. | Yes | No | [\$800] \$1,250 | Yes | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | \$10,000 | [\$4,000] \$6,250 | \$10,000 |
| BC 3314.6.3 & 27-1009 | Class 1 | Failure to provide/use lifeline while working on scaffold. | No | No | [\$1,600] \$5,000 | No | [\$4,000] \$12,500 | [\$16,000] \$25,000 | [\$8,000] \$25,000 | \$25,000 | [\$8,000] \$25,000 | \$25,000 |
| BC 3314.6.3 & 27-1009 | Class 2 | Failure to provide/use lifeline while working on scaffold. | No | No | [\$800] \$2,500 | Yes | [\$2,000] \$6,250 | [\$8,000] \$10,000 | [\$4,000] \$10,000 | \$10,000 | [\$4,000] \$10,000 | [\$10,000] \$10,000 |
| BC 3316.2 & BC 3319.1 | Class 1 | Inadequate safety measures: Operation of crane/ derrick/hoisting equipment in unsafe manner. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC 3319.3 | Class 1 | Operation of a crane/ derrick without a Certificate of Operation/Certificate of Approval. | No | No | [\$2,400] \$5,000 | No | [\$6,000] \$12,500 | \$25,000 | [\$12,000] \$25,000 | \$25,000 | [\$12,000] \$25,000 | \$25,000 |

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|------------------------|---------|--|-----|-----|-----|-----------------------|-----|------------------------|---------------------|---------------------|------------------------|----------|
| BC 3319.3 & 27-1057(d) | Class 2 | Operation of a crane/derrick without a Certificate of Onsite Inspection. | No | No | No | [\$2,400] \$5,000 | No | \$10,000 | [\$6,000] \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| [0B]BC 3319.8 | Class 1 | Failure to provide erection, jumping, climbing, dismantling plan for tower/climber crane. | No | No | No | [\$2,000] \$5,000 | No | [\$10,000] \$25,000 | [\$5,000] \$12,500 | [\$20,000] \$25,000 | [\$10,000] \$25,000 | \$25,000 |
| BC 3319.8.2 | Class 1 | Failure to conduct a safety coordination meeting. | No | No | No | [\$2,000] \$5,000 | No | [\$10,000] \$25,000 | [\$5,000] \$12,500 | [\$20,000] \$25,000 | [\$10,000] \$25,000 | \$25,000 |
| BC 3319.8.3 | Class 1 | Failure to conduct a pre-jump safety meeting. | No | No | No | [\$2,000] \$5,000 | No | [\$10,000] \$25,000 | [\$5,000] \$12,500 | [\$20,000] \$25,000 | [\$10,000] \$25,000 | \$25,000 |
| BC 3319.8.4 | Class 1 | Failure to notify the Department prior to pre-jump or safety coordination meeting. | No | No | No | [\$1,200] \$2,500 | No | [\$6,000] \$12,500 | [\$3,000] \$6,250 | [\$12,000] \$25,000 | [\$6,000] \$12,500 | \$25,000 |
| BC 3319.8.4.2 | Class 1 | Failure to provide time schedule indicating erection, jumping, climbing or dismantling of crane. | No | No | No | [\$1,200] \$1,250 | No | [\$6,000] \$6,500 | [\$3,000] \$3,125 | [\$12,000] \$12,500 | [\$6,000] \$6,250 | \$25,000 |
| BC 3319.8.6 | Class 1 | No meeting log available. | No | No | No | [\$1,200] \$1,250 | No | [\$6,000] \$6,250 | [\$3,000] \$3,125 | [\$12,000] \$12,500 | [\$6,000] \$6,250 | \$25,000 |
| BC 3319.8.7 | Class 1 | Failure to file a complete and acceptable tower/climber Installation Report per BC 3319.8.7. | No | No | No | [\$2,000] \$2,500 | No | [\$10,000] \$12,500 | [\$5,000] \$6,250 | [\$20,000] \$25,000 | [\$10,000] \$12,500 | \$25,000 |
| BC 3319.8.8 | Class 1 | Erection, jumping, climbing, dismantling operations of a tower or climber crane not in accordance with 3319.8.8. | No | No | No | [\$4,000] \$10,000 | No | [\$20,000] \$25,000 | [\$10,000] \$25,000 | \$25,000 | [\$20,000] \$25,000 | \$25,000 |
| EC 110.2(A) | Class 2 | Unapproved/unsafe/unsuitable electrical equipment, apparatus, materials, devices, appliances or wiring in use. | Yes | Yes | Yes | \$800 | Yes | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$10,000 |
| EC 110.2(B) | Class 2 | Constructed electrical installation without required commissioner's approval per section. | No | No | No | \$2,400 | No | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 |
| EC 110.2 | Class 2 | Failure to use approved conductors and/or equipment. | No | No | No | \$1,000 | Yes | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| EC 110.2 | Class 3 | Failure to use approved conductors and/or equipment. | Yes | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |

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|-----------|---------|--|-----|-----|---------|-----|----------|----------|----------|----------|----------|----------|
| EC 240.4 | Class 1 | Failure to protect conductor(s) against overcurrent per EC. | No | No | \$4,800 | No | \$24,000 | \$12,000 | \$25,000 | \$24,000 | \$25,000 | \$25,000 |
| EC 240.4 | Class 2 | Failure to protect conductor(s) against overcurrent per EC. | No | No | \$2,400 | Yes | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| EC 240.21 | Class 2 | Tap conductors not in compliance with section. | No | No | \$1,000 | Yes | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 | \$10,000 |
| EC 250.4 | Class 1 | Failure to ground electrical systems. | No | No | \$4,800 | No | \$24,000 | \$12,000 | \$25,000 | \$24,000 | \$25,000 | \$25,000 |
| EC 250.4 | Class 1 | Failure to properly bond electrical systems. | No | No | \$3,000 | No | \$15,000 | \$7,500 | \$25,000 | \$15,000 | \$25,000 | \$25,000 |
| EC 250.4 | Class 2 | Failure to properly bond electrical systems. | No | No | \$1,500 | Yes | \$7,500 | \$3,750 | \$10,000 | \$7,500 | \$10,000 | \$10,000 |
| EC 250.4 | Class 3 | Failure to properly bond electrical systems. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 | \$500 |
| EC 250.4 | Class 2 | Failure to provide adequate grounding of electrical systems. | No | No | \$2,400 | Yes | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| EC 250.64 | Class 1 | Failure to install grounding electrode conductor in accordance with section. | No | No | \$4,800 | No | \$24,000 | \$12,000 | \$25,000 | \$24,000 | \$25,000 | \$25,000 |
| EC 300.10 | Class 2 | Fail to provide effective electrical continuity for metal raceways/enclosures/cable armor. | No | No | \$1,200 | Yes | \$6,000 | \$3,000 | \$10,000 | \$6,000 | \$10,000 | \$10,000 |
| EC 300.11 | Class 2 | Failure to secure/support raceways/cable assemblies/boxes/cabinets/fittings. | Yes | Yes | \$800 | Yes | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$10,000 | \$10,000 |
| EC 314.23 | Class 3 | Failure to secure electrical device enclosure per section requirement. | Yes | Yes | \$300 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 | \$500 |
| EC 314.25 | Class 2 | Failure to provide cover/faceplate/lampholder/luminaire canopy for electrical outlet. | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$5,000 | \$10,000 |
| EC 358.12 | Class 2 | Prohibited use of electrical metallic tubing (EMT). | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$5,000 | \$10,000 |

| | | | | | | | | | | | |
|--|---------|--|-----|-----|---------|-----|----------|---------|----------|----------|----------|
| EC 358.30 | Class 2 | Failure to properly secure/support electrical metallic tubing (EMT) | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| EC 408.4 | Class 3 | Failure to provide required circuit directory/identification. | Yes | Yes | \$200 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| EC 410.30 | Class 2 | Luminaires and Lampholders not installed in an approved manner. | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| EC 590.4(G) | Class 2 | Improper splicing of temporary wiring. | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| EC 590.4(J) | Class 1 | Failure to provide proper support for temporary wiring. | No | No | \$1,600 | No | \$8,000 | \$4,000 | \$16,000 | \$8,000 | \$25,000 |
| EC 590.4(J) | Class 1 | Failure to protect temporary wiring from improper contact per section. | No | No | \$1,600 | No | \$8,000 | \$4,000 | \$16,000 | \$8,000 | \$25,000 |
| ECC R402.2 | Class 2 | Insulation placement and/or R values do not meet minimum requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| ECC C502.2 2011 code; C402.2 2014 and 2016 code | Class 2 | Insulation placement and/or R values do not meet minimum requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC R402.3 | Class 2 | Fenestration U factor and/or SHGC does not meet requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| ECC 502.3 2011 code; C402.3 2014 code; C402.4 2016 code | Class 2 | Fenestration U factor and/or SHGC does not meet requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC R402.4 | Class 2 | Air leakage controls were not installed per code requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| ECC C502.4 2011 code; C402.4 2014 code; C402.5 2016 code | Class 2 | Air leakage controls were not installed per code requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC R403.1 | Class 2 | Equipment controls not installed per requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| ECC C503.2.4 2011 code; C403.2.4 2014 and 2016 code | Class 2 | Equipment controls not installed per requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 |

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|---|---------|---|-----|-----|---------|----|----------|---------|----------|----------|----------|----------|
| ECC R403.2 2011 and 2014 code; R403.3 2016 code | Class 2 | Duct insulation and sealing does not meet minimum requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$5,000 | \$10,000 |
| ECC C503.2.7 2011 code; C403.2.7 2014 code; C403.2.9 2016 code | Class 2 | Duct insulation and sealing does not meet minimum requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC R403.3 2011 and 2014 code; R403.4 2016 Code | Class 2 | Mechanical system piping insulation does not meet minimum requirements. | Yes | Yes | \$500 | No | \$2,500 | \$1,250 | \$5,000 | \$5,000 | \$2,500 | \$10,000 |
| ECC C503.2.8 2011 code; C403.2.8 2014 code; C403.2.10 2016 code | Class 2 | Mechanical system piping insulation does not meet minimum requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC R403.4 2011 and 2014 code; R403.5 2016 code | Class 2 | Hot water piping insulation does not meet minimum requirements. | Yes | Yes | \$500 | No | \$2,500 | \$1,250 | \$5,000 | \$5,000 | \$2,500 | \$10,000 |
| ECC C504.5 2011 code; C404.5 2014 code; C404.4 2016 code | Class 2 | Hot water piping insulation does not meet minimum requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC R403.5 2011 and 2014 code; R403.6 2016 code | Class 2 | Required dampers are not installed/ Service water heating equipment efficiency does not meet requirements. | Yes | Yes | \$500 | No | \$2,500 | \$1,250 | \$5,000 | \$5,000 | \$2,500 | \$10,000 |
| ECC C503.2.4.4 2011 code; C403.2.4.4 2014 code; ECC C403.2.4.3 2016 code | Class 2 | Required dampers are not installed/ Service water heating equipment efficiency does not meet requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC R403.6 2011 and 2014 code; R403.7 2016 code | Class 2 | HVAC equipment size and/or efficiency does not meet minimum requirements. | Yes | Yes | \$500 | No | \$2,500 | \$1,250 | \$5,000 | \$5,000 | \$2,500 | \$10,000 |
| ECC C503.2 2011 code; C403.2 2014 and 2016 code | Class 2 | HVAC equipment size and/or efficiency does not meet minimum requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC R404.1 | Class 2 | Fixtures installed do not meet minimum efficiency requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$5,000 | \$10,000 |
| ECC R404.2 | Class 2 | Failure to meter each dwelling unit separately. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC C505.7 2011 code; C405.7 2014 code; C405.6 2016 code | Class 2 | Failure to meter each dwelling unit separately. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |

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|---|---------|---|-----|-----|----------------------|-----|-----------------------|-------------------|---------------------|--------------------|--------------------|----------|
| ECC C505.2 2011 code; C405.2 2014 and 2016 code | Class 2 | Lighting controls installed do not meet code. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC C505.4 2011 code; C405.4 2014 code; C405.3 2016 code | Class 2 | Exit signs in excess of five watts per side. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC C 505.5 2011 code; C405.5 2014 code; C405.4 2016 code | Class 2 | Failure to meet interior lighting power requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC C505.6 2011 code; C405.6 2014 code; C405.5 2016 code | Class 2 | Failure to meet exterior lighting power requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC C405.9.2 2016 Code | Class 2 | Escalator or moving walk fails to comply with ASME A17.1/CSA or local code when not conveying passengers. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC C405.9.2.1 2016 Code | Class 2 | Escalator fails to have a variable frequency regenerative drive as per section requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC – R-Misc. | Class 1 | Miscellaneous violation of Energy Conservation Code residential provisions. | Yes | Yes | \$1,600 | No | \$8,000 | \$4,000 | \$16,000 | \$8,000 | \$8,000 | \$25,000 |
| ECC – R-Misc. | Class 2 | Miscellaneous violation of Energy Conservation Code residential provisions. | Yes | Yes | \$800 | Yes | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$4,000 | \$10,000 |
| ECC – R-Misc. | Class 3 | Miscellaneous violation of Energy Conservation Code residential provisions. | Yes | Yes | \$300 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 | \$500 |
| ECC – C-Misc. | Class 1 | Miscellaneous violation of Energy Conservation Code commercial provisions. | No | No | \$3,200 | No | \$16,000 | \$8,000 | \$25,000 | \$16,000 | \$16,000 | \$25,000 |
| ECC – C-Misc. | Class 2 | Miscellaneous violation of Energy Conservation Code commercial provisions. | Yes | Yes | \$1,600 | Yes | \$8,000 | \$4,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC – C-Misc. | Class 3 | Miscellaneous violation of Energy Conservation Code commercial provisions. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 | \$500 |
| PC-Misc., FGC- Misc., MC-Misc. | Class 1 | Miscellaneous violations. | No | No | [\$1,600] \$2,500 | No | [\$8,000] \$12,500 | [\$4,000] \$6,250 | [\$16,000] \$25,000 | [\$8,000] \$12,500 | [\$8,000] \$12,500 | \$25,000 |
| PC-Misc., FGC- Misc., MC-Misc. | Class 2 | Miscellaneous violations. | Yes | Yes | [\$800] \$1,250 | Yes | [\$4,000] \$6,250 | [\$2,000] \$3,125 | [\$8,000] \$10,000 | [\$4,000] \$6,250 | [\$4,000] \$6,250 | \$10,000 |

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|-----------------------------|---------|--|-----|-----|-----|-----------------------------|-----------------------------|--------------------------|---------------------------|--------------------------|----------|
| ZR 32-652 | Class 2 | Sign in specified C District extends beyond street line limitation. | No | Yes | Yes | [\$1,200] <u>\$1,250</u> | [\$6,000] <u>\$6,250</u> | [\$3,000] <u>\$3,125</u> | \$10,000 | [\$6,000] <u>\$6,250</u> | \$10,000 |
| ZR 32-653 | Class 2 | Prohibited sign on awning, canopy, or marquee in C District. | No | Yes | Yes | [\$1,200] <u>\$1,250</u> | [\$6,000] <u>\$6,250</u> | [\$3,000] <u>\$3,125</u> | \$10,000 | [\$6,000] <u>\$6,250</u> | \$10,000 |
| ZR 32-655 | Class 1 | Outdoor Advertising Company sign exceeds permitted height for specified C District. | No | No | Yes | \$10,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR 42-00 | Class 2 | Illegal use in a manufacturing district. | Yes | No | Yes | [\$800] <u>\$1,250</u> | [\$4,000] <u>\$6,250</u> | [\$2,000] <u>\$3,125</u> | [\$8,000] <u>\$10,000</u> | [\$4,000] <u>\$6,250</u> | \$10,000 |
| ZR 42-52 | Class 1 | Outdoor Advertising sign not permitted in M District. | No | No | Yes | \$10,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR 42-53 | Class 1 | Outdoor Advertising sign in M District exceeds surface area limits. | No | No | Yes | \$10,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR 105-20 | Class 2 | Damaged or removed a tree within a Special Natural Area District without certification, authorization or special permit. | No | No | No | [\$800] <u>\$1,250</u> | [\$4,000] <u>\$6,250</u> | [\$2,000] <u>\$3,125</u> | [\$8,000] <u>\$10,000</u> | [\$4,000] <u>\$6,250</u> | \$10,000 |
| ZR-Misc. | Class 2 | Miscellaneous violations of the Zoning Resolution. | Yes | No | Yes | [\$800] <u>\$1,250</u> | [\$4,000] <u>\$6,250</u> | [\$2,000] <u>\$3,125</u> | [\$8,000] <u>\$10,000</u> | [\$4,000] <u>\$6,250</u> | \$10,000 |
| ZR-Misc. | Class 3 | Miscellaneous violations of the Zoning Resolution. | Yes | No | Yes | [\$300] <u>\$500</u> | \$500 | \$500 | \$500 | \$500 | \$500 |
| ZR-Misc. | Class 1 | Misc. sign violation under the Zoning Resolution by an Outdoor Advertising Company. | No | Yes | Yes | \$10,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR-Misc. | Class 2 | Misc. sign violation under the Zoning Resolution. | No | Yes | Yes | [\$800] <u>\$1,250</u> | [\$4,000] <u>\$6,250</u> | [\$2,000] <u>\$3,125</u> | [\$8,000] <u>\$10,000</u> | [\$4,000] <u>\$6,250</u> | \$10,000 |
| Misc. - ZR Misc. - Title 28 | Class 1 | Misc. outdoor sign violation of ZR and/or Building Code. | No | No | No | \$10,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| Misc. - ZR Misc. - Title 28 | Class 2 | Misc. outdoor sign violation of ZR and/or Building Code. | No | No | No | [\$2,400] <u>\$2,500</u> | \$10,000 | [\$6,000] <u>\$6,250</u> | \$10,000 | \$10,000 | \$10,000 |
| Misc. ZR | Class 2 | Misc. violation of condition on as of right privately owned public space. | No | No | No | [\$4,000] <u>\$5,000</u> | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |

**NEW YORK CITY LAW DEPARTMENT
DIVISION OF LEGAL COUNSEL
100 CHURCH STREET
NEW YORK, NY 10007
(212) 356-4028**

**CERTIFICATION PURSUANT TO
CHARTER §1043(d)**

RULE TITLE: Amendment of Buildings Penalty Schedule

REFERENCE NUMBER: 2017 RG 100

RULEMAKING AGENCY: Department of Buildings

I certify that this office has reviewed the above-referenced proposed rule as required by Section 1043(d) of the New York City Charter, and that the above-referenced proposed rule:

- (i) is drafted so as to accomplish the purpose of the authorizing provisions of law;
- (ii) is not in conflict with other applicable rules;
- (iii) to the extent practicable and appropriate, is narrowly drawn to achieve its stated purpose; and
- (iv) to the extent practicable and appropriate, contains a statement of basis and purpose that provides a clear explanation of the rule and the requirements imposed by the rule.

/s/ STEVEN GOULDEN
Acting Corporation Counsel

Date: 12/1/17

**NEW YORK CITY MAYOR'S OFFICE OF OPERATIONS
253 BROADWAY, 10th FLOOR
NEW YORK, NY 10007
(212) 788-1400**

**CERTIFICATION/ANALYSIS
PURSUANT TO CHARTER SECTION 1043(d)**

RULE TITLE: Amendment of Buildings Penalty Schedule

REFERENCE NUMBER: DOB-102

RULEMAKING AGENCY: Department of Buildings

I certify that this office has analyzed the proposed rule referenced above as required by Section 1043(d) of the New York City Charter, and that the proposed rule referenced above:

- (i) Is understandable and written in plain language for the discrete regulated community or communities;
- (ii) Minimizes compliance costs for the discrete regulated community or communities consistent with achieving the stated purpose of the rule; and
- (iii) Provides no cure period for Class 1 and for some Class 2 violations because those violations pose a threat to public safety, and provides a cure period for all Class 3 violations and for some Class 2 violations.

/s/ ALEXANDRA OZOLS
Mayor's Office of Operations

December 1, 2017
Date

Accessibility questions: Andrea Maggio (212) 393-2085, by: Tuesday, January 2, 2018, 5:00 P.M.



◀ d14

CAMPAIGN FINANCE BOARD

■ NOTICE

Notice of Final Rules

IN COMPLIANCE WITH SECTION 1043 OF THE NEW YORK CITY CHARTER, and exercising authority vested in the Campaign Finance Board (the "Board") under Chapters 45 and 46 of the New York City Charter (including Sections 1043, 1052(a)(8) and 1052(a)(12) thereof) and under the New York City Campaign Finance Act (the "Act") (including Section 3-708(8) of the New York City Administrative Code), the Board hereby adopts amendments to the Campaign Finance Board Rules related to timing of public funds payments.

I. Explanation, Basis, and Purpose

The Board Rules are codified in Chapter 52 of the Rules Compilation of the City of New York.

On December 14, 2017, the Board voted to adopt a set of rules in order to conform to Local Law No. 168 for the year 2016, which takes effect on January 1, 2018.

Previously, the first public funds payment for a primary election was issued after the Board of Elections concluded its hearings on petition challenges. Pursuant to Local Law No. 168, a limited early payment may be issued four business days after the final day to file a certification for that election.

The following rules will take effect thirty days after final publication in The City Record:

II. Final Rules

New material is underlined.

[Deleted material is in brackets.]

"Shall" and "must" denote mandatory requirements and may be used interchangeably in the rules of the Board, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. A new definition in Section 1-02 of Chapter 1 of Title 52 of the rules of the City of New York is added, in alphabetical order, as follows:

"Optional early public funds payment" means the disbursement of optional public financing occurring prior to two weeks after the last day to file designating petitions for a primary election.

§ 2. Subdivision a of Section 2-07 of Chapter 2 of Title 52 of the rules of the City of New York is amended to read as follows:

- (a) Public funds eligibility. [A] To be eligible for public funds, a participant must qualify to be on the ballot, and be opposed on the ballot, [to be eligible for public funds] or, for the optional early public funds payment, certify that he or she intends to meet all the requirements of law to have his or her name on the ballot for the primary or general election.

§ 3. Subparagraph ii of paragraph 2 of subdivision a of Section 5-01 of Chapter 5 of Title 52 of the rules of the City of New York is amended to read as follows:

- (ii) Participants seeking additional public funds, pursuant to §3-705(7)(b) of the Code must file a signed statement with the Board, pursuant to §3-705(7)(b) no later than the due date for the disclosure statement immediately preceding the public funds payment for which the participant is seeking to receive the additional public funds; provided, however, that participants seeking to receive the additional public funds on the first date payments are made by the Board [for a primary election] after the optional early public funds payment, must file the signed statement with the Board no later than the day before the first date the Board of Elections conducts hearings on any ballot petition filed by any candidate seeking nomination for election in any primary occurring in the same election cycle for which the candidate is seeking nomination for election, without regard to whether such hearings are related to a petition filed by an opponent of the participant.

§ 4. Paragraphs 1 and 2 of subdivision i of Section 5-01 of Chapter 5 of Title 52 of the rules of the City of New York are amended to read as follows:

- (1) Pursuant to §§ 3-709(5) and (6) of the Code: (i) no public funds shall be paid to participants in a primary or general election any earlier than [two weeks after the last day to file designating petitions for such primary election] four business days after the final day to file a written certification for such election, pursuant to paragraph (c) of subdivision 1 of Section 3-703 of the Code; (ii) no public funds shall be paid to participants in a runoff primary election or general election any earlier than the day after the day of the primary election held to nominate candidates for such election; and (iii) no public funds shall be paid to participants in a runoff special election held to fill a vacancy any earlier than the day after the day of the special election for which such runoff special election is held.
- (2) Pursuant to §3-703(1)(a) and (5) of the Code, public funds are not payable to a participant who has not met the legal requirements to have his or her name on the ballot, [or] who is unopposed, or, for the optional early public funds payment, who has not certified that he or she intends to meet all the requirements of law to have his or her name on the ballot. [To enable the Board to ascertain whether a candidate has met the legal requirements to be on the ballot and is opposed, the Board shall first make payments in an election after the Board of Elections conducts hearings on the ballot petitions filed in that election except if the Board determines that delays in Board of Elections proceedings or determinations warrant first making payments earlier.]

◀ d14

CONSUMER AFFAIRS

■ NOTICE

Notice of Public Hearing and Opportunity to Comment on Proposed Rules

What are we proposing? The Department is proposing new rules to implement Local Law 87 for the year 2016, which added subchapter 14.1 to Title 20 of the Administrative Code and repealed subchapter 14, in relation to the Department's regulation of laundries. Until December 31, 2017, when the repeal of Subchapter 14 repeal will take effect, both Subchapter 14.1 and Subchapter 14 will impose requirements on laundries, and the proposed rules seek to clarify the impact of their concurrent regulation and how laundries must comply with the rules.

When and where is the hearing? The Department of Consumer Affairs will hold a public hearing on the proposed rule. The public hearing will take place at 10:00 A.M., on Monday, January 15, 2018. The hearing will be in the Department of Consumer Affairs Hearing Room, at 42 Broadway, 5th Floor, New York, NY 10004.

How do I comment on the proposed rules? Anyone can comment on the proposed rules by:

- **Website.** You can submit comments to the Department of Consumer Affairs through the New York City rules website at <http://rules.cityofnewyork.us>.
- **Email.** You can email comments to Rulecomments@dca.nyc.gov.
- **Mail.** You can mail comments to Casey Adams, Deputy Director of City Legislative Affairs, New York City Department of Consumer Affairs, 42 Broadway, 8th Floor, New York, NY 10004.
- **Fax.** You can fax written comments to Casey Adams, Deputy Director of City Legislative Affairs, at (347) 788-4689.
- **By speaking at the hearing.** Anyone who wants to comment on the proposed rule at the public hearing must sign up to speak. You can sign up before the hearing by calling (212) 436-0095. You can also sign up in the hearing room before the hearing begins on January 15, 2018. You can speak for up to three minutes.

Is there a deadline to submit comments? Yes. You must submit any written comments to the proposed rule on or before 5:00 P.M., on January 15, 2018.

What if I need assistance to participate in the hearing? You must tell the External Affairs Division if you need a reasonable accommodation of a disability at the Hearing. You must tell us if you need a sign language interpreter. You can tell us by mail at the address given above. You may also tell us by telephone at (212) 436-0095 or by email to cadams@dca.nyc.gov. Advance notice is requested to allow sufficient time to arrange the accommodation. Please tell us by January 12, 2018.

This location has the following accessibility option(s) available: Wheelchair accessible.

Can I review the comments made on the proposed rules? You can review the comments on the proposed rules made online by going to the website at <http://rules.cityofnewyork.us/>. A few days after the hearing, copies of all comments submitted online, copies of all written comments, and a summary of oral comments concerning the proposed rule will be available to the public at the Department's External Affairs Division, at 42 Broadway, 8th Floor, New York, NY 10004.

What authorizes DCA to make this rule? Sections 1043 and 2203(f) of the New York City Charter, Sections 20-104(b) and 20-104(e) of the New York City Administrative Code, and Section 16 of Local Law 153 for the year 2013 authorize the Department of Consumer Affairs to make this proposed rule.

Where can I find DCA's rules? The Department of Consumer Affairs rules are in Title 6 of the Rules of the City of New York.

What laws govern the rulemaking process? The Department of Consumer Affairs must meet the requirements of Section 1043 of the City Charter when creating or changing rules. This notice is made according to the requirements of Section 1043 of the City Charter.

Statement of Basis and Purpose of Proposed Rule

The City Council enacted Local Law 87 for the year 2016, adding subchapter 14.1 to Chapter 2 of Title 20 of the Administrative Code ("Code") and repealing subchapter 14, in relation to the Department's regulation of laundries. Subchapter 14.1 took effect on January 1, 2017 and Subchapter 14's repeal will take effect on December 31, 2017. Until December 31, 2017, both Subchapter 14.1 and Subchapter 14 will impose requirements on laundries. These proposed rules would clarify that:

- "Any person" licensed, pursuant to Subchapter 14 (i.e., "Laundry" or "Laundry Jobber") must comply with Section 2-131 of Title 6 of the Rules of the City of New York ("Rule" or "Rules") until December 31, 2017, when Rule 2-131 will also be repealed.
- Any "person" licensed, pursuant to Subchapter 14.1 (i.e., "Retail Laundry," "Industrial Laundry," or "Industrial Laundry Delivery") will have to comply with proposed Rule 2-132, Rule 2-134, Rule 2-135, and Rule 2-136. These proposed rules clarify application requirements, describe insurance and other requirements, and establish penalties and make certain violation cures consistent with existing requirements. The proposed rules incorporate laundries' obligation to post a price list; compliance with this rule will satisfy Section 20-750(a) of the Administrative Code and Rule 5-70(a).
- The proposed rules also prohibit a licensed Industrial Laundry from engaging in laundry delivery services until it has submitted to the Department information required by Section 20-297.3(b)(11) and obtained an amended license authorizing it to engage in "industrial laundry delivery." It will not have to pay an additional Biennial License Fee for the amended license. A licensee authorized to engage in "industrial laundry delivery" must comply with Section 20-297.5 (General provisions) and Section 20-297.6 (Additional provisions for industrial laundries and industrial laundry delivery) of the Code.

Additionally, working with the City's rulemaking agencies, the Law Department, the Mayor's Office of Operations, and the Mayor's Office of Management and Budget conducted a retrospective rules review of the City's existing rules, identifying those rules that will be repealed or modified to reduce regulatory burdens, increase equity, support small business, and simplify and update content to help support public understanding and compliance. The proposed repeal of subdivision (k) of Rule 2-131 is responsive to this review.

New material is underlined.

[Deleted material is in brackets.]

"Shall" and "must" denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Proposed Rule Amendment

Section 1. Section 2-131 of subchapter N of Chapter 2 of Title 6 of the Rules of the City of New York is amended by adding new subdivisions (x) and (y) to read as follows:

(x) A licensee that intends to engage in industrial laundry delivery shall submit to the Department any information required by Section 20-297.3(c) of the Administrative Code before it engages in industrial laundry delivery but is not required to pay an additional license application fee. No licensee shall engage in industrial laundry delivery until it has received an amended license from the Department authorizing such licensee to engage in industrial laundry delivery. A licensee authorized to engage in industrial laundry delivery shall comply with Section 20-297.5 and Section 20-297.6 of the Administrative Code.

(y) The requirements of this section apply to any person who has a valid license pursuant to subchapter 14 of Chapter 2 of Title 20 of the Administrative Code.

§ 2. Subchapter N of Chapter 2 of Title 6 of the Rules of the City of New York is amended by adding new Sections 2-132, 2-133, 2-134, 2-135, and 2-136 to read as follows:

§ 2-132 Definitions.

As used in this subchapter, the following terms have the meanings provided in Section 20-297.1 of the Administrative Code: Industrial laundry; Industrial laundry delivery; Laundry; Laundry service; and Retail laundry.

§ 2-133 Application.

(a) Industrial Laundry Delivery Vehicle Information. Any changes to the vehicle information that an applicant is required to submit pursuant to Section 20-297.3(c)(4) of the Administrative Code that occur after a license has been granted shall be submitted with an application for renewal of such license. Notwithstanding anything else provided in this subdivision, all vehicles used for industrial laundry delivery must comply with all applicable laws, regulations and rules, including Section 20-297.5(f) and Section 20-297.6(b) of the Administrative Code.

(b) Liability Insurance.

(1) Every industrial laundry licensee must secure and maintain throughout the term of the license commercial general liability ("CGL") insurance which shall:

(i) be issued by a company that may lawfully issue the CGL policy and which has an A.M. Best rating of at least A-/VII, a Standard & Poor's rating of at least A, a Moody's Investors Service rating of at least A3, a Fitch Ratings rating of at least A-, a Demotech rating

of at least A, or a similar rating by any other nationally recognized statistical rating organization acceptable to the Commissioner;

(ii) insure both the licensee and the City of New York and protect the City of New York from any claims for injury (including death) or property damage that may arise from, or allegedly arises from, the construction, operation or use of the industrial laundry;

(iii) provide coverage of at least one million dollars (\$1,000,000) per occurrence and two million dollars (\$2,000,000) aggregate; and

(iv) provide coverage at least as broad as that provided in the most recently issued edition of Insurance Services Office ("ISO") Form CG 0001 and be "occurrence" based instead of based on "claims made"; and

(v) name the City of New York as an additional insured with coverage at least as broad as the most recent edition of ISO Forms CG 20 12 or CG 20 26.

(2) Each industrial laundry applicant shall submit proof of CGL insurance by submission of the endorsement(s) listing the City as an additional insured and either:

(i) a certificate of insurance in a form satisfactory to the Department that satisfies the requirements of this rule, identifies the insurance company that issued such insurance policy, the policy number, limit(s) of insurance, and expiration date and is accompanied by a sworn statement in a form prescribed by the Department from a licensed insurance broker or agent certifying that the certificate of insurance is accurate in all material respects; or

(ii) an original or true copy of the CGL policy as certified by an authorized representative of the issuing insurance carrier.

(c) *Workers' compensation insurance.*

(1) Every industrial laundry licensee shall maintain workers' compensation insurance as required by law.

(2) Each industrial laundry applicant shall submit proof of the insurance on one of the following forms:

(i) C-105.2 - Certificate of Workers' Compensation Insurance;

(ii) U-26.3 - State Insurance Fund Certificate of Workers'

Compensation Insurance;

(iii) SI-12 - Certificate of Workers' Compensation Self-Insurance;

(iv) GSI-105.2 - Certificate of Participation in New York State Workers' Compensation Group Self-Insurance;

(v) equivalent or successor forms used by the New York State Workers' Compensation Board; or

(vi) other proof of insurance in a form acceptable to the Department.

(3) This requirement shall not apply to applicants that are not required by law to maintain this coverage. Any industrial laundry applicant that is not required by law to maintain this coverage shall submit to the Department proof from the New York State Workers' Compensation Board on Form CE-200 - Certificate of Attestation of Exemption.

(d) *Disability benefits insurance.*

(1) Every industrial laundry licensee shall secure and maintain disability benefits insurance.

(2) Each industrial laundry applicant shall submit proof of the insurance on one of the following forms:

(i) DB-120.1 - Certificate of Insurance Coverage under the NYS Disability Benefits Law;

(ii) DB-155 - Certificate of Disability Benefits Self-Insurance;

(iii) equivalent or successor forms used by the New York State Workers' Compensation Board; or

(iv) other proof of insurance in a form acceptable to the Department.

(3) This requirement shall not apply to applicants that are not required by law to maintain this coverage. Any industrial laundry applicant that is not required by law to maintain this coverage shall submit to the Department proof from the New York State Workers' Compensation Board on Form CE-200 - Certificate of Attestation of Exemption.

(e) *Applicability.* The requirements of this section apply to any person who has a valid retail laundry, industrial laundry, or industrial laundry delivery license issued pursuant to subchapter 14.1 of Chapter 2 of Title 20 of the Administrative Code.

§ 2-134 General Provisions.

(a) *Disclosures.*

(1) Each licensee shall display prominently and conspicuously on its premises, at the point at which orders are placed or payment is made, in letters no less than two inches in height, a price list sign providing:

(i) a list of services offered by the licensee;

(ii) the minimum fee charged for each service;

(iii) factors which may cause the fee to be higher than the minimum fee and, for each factor listed, the additional fee or the range of possible additional fees charged. That list of factors must include, but is not limited to whether a minimum weight is required for a service and if so the fee for that minimum weight, and the additional fee or the range of possible additional fees charged in excess of the minimum weight.

(2) Compliance by a licensee with subdivision (a)(1) of this section satisfies the requirements of Section 20-750(a) of the Administrative Code and Section 5-70(a) of Title 6 of these Rules.

(3)(i) Each licensee must also post the price list information required by subdivision (a)(1) of this section on its website and within any mobile application that provides access to the licensee's services. As used in this section, "mobile application" means any software program residing on a smartphone or other electronic device that a consumer may download from a licensee's website or any other source.

(ii) The price list information must be posted at the point at which orders are placed or payment is made and in lettering that is clear and legible.

(4) Each retail laundry licensee that offers self-service laundry machinery for direct use by the general public shall display:

(i) prominently and conspicuously on its premises, in letters no less than two inches in height, a sign stating "All complaints and claims for refunds can be made to the attendant or, if an attendant is not present, to _____." The licensee must provide in the blank space the name, address and telephone number of the person or persons to whom the complaints and claims for refunds are to be made.

(ii) at each defective or inoperable machine, in letters no less than two inches in height, a sign stating "OUT OF ORDER."

(b) *Refunds.* Each retail laundry licensee that offers self-service laundry machinery for direct use by the general public must provide a refund for any money lost by reason of defective or inoperable machines.

(c) *Scales.* Each licensee that charges for its services on the basis of weight must use a scale of a type and design that has been approved by the New York State Department of Agriculture & Markets and tested and sealed by the Department pursuant to Chapter 3 of Title 20 of the Administrative Code. The licensee must make the scale available for inspection by the Department during business hours.

(d) *Applicability.* The requirements of this section apply to any person who has a valid license issued pursuant to subchapter 14.1 of Chapter 2 of Title 20 of the Administrative Code.

§ 2-135 Additional Provisions for Industrial Laundries and Industrial Laundry Delivery.

(a) *Signs.*

(1) The sign containing procedures for complying with minimum standards of cleanliness and hygiene required by Section 20-297.6(a)(3) of the Administrative Code shall be captioned at the top "Procedures for Minimum Standards of Cleanliness and Hygiene," in bold lettering no less than two inches in height, and the procedures shall be in lettering no less than one inch in height.

(2) The sign containing procedures for maintaining functional separation of laundered and unlaundered laundry required by Section 20-297.6(b)(2) of the Administrative Code shall be captioned at the top "Procedures for Maintaining Functional Separation of Laundered and Unlaundered Laundry," in bold lettering no less than two inches in height, and the procedures shall be in lettering no less than one inch in height.

(3) The signs required by Section 20-297.6(a)(3) and Section 20-297.6(b)(2) of the Administrative Code may be combined into a single sign. Such combined sign shall be captioned at the top "Procedures for Minimum Standards of Cleanliness and Hygiene and Maintaining Functional Separation of Laundered and Unlaundered Laundry," in bold lettering no less than two inches in height, and the procedures shall be in lettering no less than one inch in height.

(b) *Applicability.* The requirements of this section apply to any person who has a valid industrial laundry or industrial laundry delivery license issued pursuant to subchapter 14.1 of Chapter 2 of Title 20 of the Administrative Code.

§ 2-136 Prohibited Conduct.

(a) No licensee may transact for laundry service or laundry delivery service with an unlicensed retail laundry, industrial laundry or industrial laundry delivery.

(b) If an industrial laundry licensee does not disclose to the Department that it will be engaging in industrial laundry delivery when it applies for the industrial laundry license, such licensee may not engage in industrial laundry delivery until such licensee has complied with Section 20-297.3(b)(11) of the Administrative Code and has an amended license from the Department authorizing licensee to engage in industrial laundry delivery.

(c) *Applicability.* The requirements of this section apply to any person who has a valid retail laundry, industrial laundry, or industrial laundry delivery license issued pursuant to subchapter 14.1 of Chapter 2 of Title 20 of the Administrative Code.

§ 3. Paragraph 5 of subdivision b5 of Section 6-03 of Chapter 6 of Title 6 of the Rules of the City of New York is amended by amending subdivisions (xxv) and (xxvi) and adding new subdivisions (xxvii) and (xxviii) to read as follows:

(xxv) Section 5-66(c), requiring tax preparers to post a sign;

(a) stating his or her name, address, telephone number and qualifications;

(b) stating that the preparer and taxpayer must sign every tax return;

(c) stating how his or her fees are calculated;

(d) stating that he or she or his or her agency will not represent the taxpayer in an audit, if true; and

(e) stating that he or she is not licensed by the state board of public accounting or the New York state bar, or both, if true; [and]

(xxvi) Section 5-195, requiring signage at businesses that sell beverages for off-premises consumption in beverage containers that are covered by Title ten of article twenty-seven of the Environmental Conservation Law of the State of New York to be placed within a certain distance of cash registers or to be visible to consumers from any specific vantage point[.];

(xxvii) Section 2-133(a)(4)(i), requiring retail laundries to post a notice that complaints and claims for refunds may be made to a certain person or persons; and

(xxviii) Section 2-133(a)(4)(ii), requiring retail laundries to post an out-of-order sign on non-functioning machines.

§ 4. Section 6-22 of Subchapter B of Chapter 6 of Title 6 of the Rules is amended to read as follows:

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section

of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Each section of law or rule marked by a double asterisk (**) is deemed repealed effective December 31, 2017.

| Citation | Violation Description | First Violation | First Default | Second Violation | Second Default | Third and Subsequent Violation | Third and Subsequent Default |
|--------------------------|---|-----------------|---------------|------------------|----------------|--------------------------------|------------------------------|
| Admin Code § 20-292** | Operating a laundry without a license | \$100 per day | \$100 per day | \$100 per day | \$100 per day | \$100 per day | \$100 per day |
| Admin Code § 20-295** | Transfer of license | \$375 | \$500 | \$450 | \$500 | \$500 | \$500 |
| Admin Code § 20-296** | Failure to comply with general provisions | \$375 | \$500 | \$450 | \$500 | \$500 | \$500 |
| Admin Code § 20-297.2 | Operating a retail laundry, industrial laundry or industrial laundry delivery without a license | \$100 per day | \$100 per day | \$100 per day | \$100 per day | \$100 per day | \$100 per day |
| Admin Code § 20-297.3 | Failure to comply with application requirements | \$375 | \$500 | \$450 | \$500 | \$500 | \$500 |
| Admin Code § 20-297.4 | Failure to comply with bond requirements | \$375 | \$500 | \$450 | \$500 | \$500 | \$500 |
| Admin Code § 20-297.5 | Failure to comply with general provisions | \$375 | \$500 | \$450 | \$500 | \$500 | \$500 |
| Admin Code § 20-297.6 | Failure to comply with additional provisions for industrial laundries and industrial laundry delivery | \$375 | \$500 | \$450 | \$500 | \$500 | \$500 |
| 6 RCNY § 2-131** | Failure to comply with general requirements | \$375 | \$500 | \$450 | \$500 | \$500 | \$500 |
| 6 RCNY § 2-131(s)(4)** | Failure to distinguish in advertising between services offered at different prices | \$375* | \$500* | \$450 | \$500 | \$500 | \$500 |
| 6 RCNY § 2-131(u)** | Failure to post a sign on non-functioning machines | \$375* | \$500* | \$450 | \$500 | \$500 | \$500 |
| 6 RCNY § 2-131(v)(1)** | Failure to post notice regarding complaints and refunds that complies with sign requirements | \$375* | \$500* | \$450 | \$500 | \$500 | \$500 |
| 6 RCNY § 2-131(v)(5)** | Failure to have sign in both English and Spanish | \$375* | \$500* | \$450 | \$500 | \$500 | \$500 |
| 6 RCNY § 2-133 | Failure to comply with application requirements | \$375 | \$500 | \$450 | \$500 | \$500 | \$500 |
| 6 RCNY § 2-134 | Failure to comply with general provisions | \$375 | \$500 | \$450 | \$500 | \$500 | \$500 |
| 6 RCNY § 2-135(a)(4)(i) | Failure to post notice regarding complaints and refunds that complies with sign requirements | \$375* | \$500 | \$450 | \$500 | \$500 | \$500 |
| 6 RCNY § 2-135(a)(4)(ii) | Failure to post a sign on non-functioning machines | \$375* | \$500 | \$450 | \$500 | \$500 | \$500 |
| 6 RCNY § 2-136 | Engaged in prohibited conduct | \$375 | \$500 | \$450 | \$500 | \$500 | \$500 |

§ 5. Section 2-131 of Subchapter N of Chapter 2 of Title 6 of the Rules is repealed effective December 31, 2017.

NEW YORK CITY LAW DEPARTMENT
DIVISION OF LEGAL COUNSEL
100 CHURCH STREET
NEW YORK, NY 10007
(212) 356-4028

CERTIFICATION PURSUANT TO
CHARTER §1043(d)

RULE TITLE: Amendment of Rules Regulating Commercial Laundries

REFERENCE NUMBER: 2017 RG 012

RULEMAKING AGENCY: Department of Consumer Affairs

I certify that this office has reviewed the above-referenced proposed rule as required by Section 1043(d) of the New York City Charter, and that the above-referenced proposed rule:

- (i) is drafted so as to accomplish the purpose of the authorizing provisions of law;
- (ii) is not in conflict with other applicable rules;
- (iii) to the extent practicable and appropriate, is narrowly drawn to achieve its stated purpose; and
- (iv) to the extent practicable and appropriate, contains a statement of basis and purpose that provides a clear explanation of the rule and the requirements imposed by the rule.

/s/ STEVEN GOULDEN
Acting Corporation Counsel

Date: December 4, 2017

NEW YORK CITY MAYOR'S OFFICE OF OPERATIONS
253 BROADWAY, 10th FLOOR
NEW YORK, NY 10007
(212) 788-1400

CERTIFICATION/ANALYSIS
PURSUANT TO CHARTER SECTION 1043(d)

RULE TITLE: Amendment of Rules Regulating Commercial Laundries

REFERENCE NUMBER: DCA-58

RULEMAKING AGENCY: Department of Consumer Affairs

I certify that this office has analyzed the proposed rule referenced above as required by Section 1043(d) of the New York City Charter, and that the proposed rule referenced above:

- (i) Is understandable and written in plain language for the discrete regulated community or communities;
- (ii) Minimizes compliance costs for the discrete regulated community or communities consistent with achieving the stated purpose of the rule; and
- (iii) Does not provide a cure period because it does not establish a violation, modification of a violation, or modification of the penalties associated with a violation.

/s/ [Stephen Narloch]
Mayor's Office of Operations

[12/4/2017]
Date

Accessibility questions: Casey Adams, (212) 436-0095,
cadams@dca.nyc.gov, by: Friday, January 12, 2018, 5:00 P.M.



◀ d14

Notice of Adoption of Rule

Notice of Adoption of amended Rules regarding the content and placement of signage about the tax preparer "consumer bill of rights", including its distribution in other languages, and signage about the provision of written estimates by tax preparers.

NOTICE IS HEREBY GIVEN PURSUANT TO THE AUTHORITY VESTED IN the Commissioner of the Department of Consumer Affairs by Section 2203(f) of the New York City Charter and Sections 20-702, 20-740.1, and 20-743 of the New York City Administrative Code, and in accordance with the requirements of Section 1043 of the New York City Charter, that the Department amends Sections 5-66(c) and 6-53 of the Rules of the City of New York, and adds Section 5-173 to the Rules of the City of New York.

The rule was proposed and published on September 13, 2017. The required public hearing was held on October 13, 2017.

Statement of Basis and Purpose of Rule

Section 5-66(c) of Title 6 of the Rules of the City of New York ("RCNY") requires tax preparers to post information regarding how they

compute their fees. Section 20-740.1(b) of Title 20 of the Administrative Code of the City of New York ("Code") requires tax preparers to provide consumers with a "consumer bill of rights regarding tax preparers" ("consumer bill of rights") – a one page informational flier regarding consumers' rights and laws concerning tax preparers. Code Section 20-750(a) and 6 RCNY Section 5-70(a) require retail service establishments, which includes tax preparers, to post a price list sign.

The Department has found through numerous complaints that consumers who seek tax preparer services often receive vague, confusing and/or inadequate information about the cost of such services, which has frequently resulted in consumers being overcharged. Additionally, although Code Section 20-740.1(b) requires tax preparers to provide each consumer with a consumer bill of rights prior to discussion with the consumer, the Department has found that tax preparers do not always comply and, absent a disclosure, consumers have no way of knowing about the bill of rights and their right to receive a copy. Therefore, the Department improves and expands consumer protections by:

- clarifying the information that tax preparers must include in the price list sign regarding how they compute their fees and the locations where the sign must be posted;
- requiring tax preparers to display signage informing consumers that they are entitled to receive a written estimate of fees before compensating the tax preparer;
- requiring tax preparers to post a sign stating that a tax preparer must provide every consumer with a free, current and legible copy of the consumer bill of rights; and
- requiring tax preparers to provide the consumer bill of rights in English and in the primary language spoken by the consumer, provided that the Department has made available a translation in such language.

Additionally, the Department is amending 6 RCNY Section 5-66(c) to incorporate the requirements of Code Section 20-750(a) and 6 RCNY Section 5-70(a), which mandate that the price list sign must be posted at each point at which orders are placed and/or payment is made. The Department would deem compliance by a tax preparer with this amendment to satisfy Code Section 20-750(a) and 6 RCNY Section 5-70(a).

The Department also amends 6 RCNY Section 6-53 – the Income Tax Preparers Penalty Schedule – to include the fixed penalties for violations of the new Section 5-173.

New material is underlined.

[Deleted material is in brackets.]

"Shall" and "must" denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

RULE

Section 1. Subdivisions (c) and (f) of Section 5-66 of Part 5 of Subchapter A of Chapter 5 of Title 6 of the Rules of the City of New York are amended to read as follows:

(c) *Required practices.* (1) Tax preparers that advertise their tax preparation services in a manner designed to reach the general public must post [the following information clearly and conspicuously in each office]; in English, prominently and conspicuously at the public entrance to the tax preparer's business premises or in the immediate area where consumers arrive and are met for business by the tax preparer:

- (i) a price list sign stating exactly how their fees are computed. [If there is a minimum fee for preparing a return, it must be disclosed. If the fee is variable, the factors upon which the variation depends must be disclosed;]The price list must contain:
 - (a) a list of services offered by the tax preparer;
 - (b) the minimum fee charged for each service, including but not limited to the fee charged for each type of Federal or New York State return;
 - (c) factors which may cause the fee to be higher than the minimum fee and, for each factor listed, the additional fee and/or the range of possible additional fees charged when the factor applies.
- (ii) a disclosure sign or signs stating that:
 - (a) the taxpayer is entitled to receive a written estimate of all fees before receiving services for which the tax preparer is charging a fee;
 - (b) the taxpayer is entitled to receive a copy of every tax return prepared, at the time the original is given to the taxpayer for filing;
 - [(iii)] (c) that both the tax preparer and the taxpayer must sign every tax return;
 - [(iv)] (d) that the tax preparer is not licensed by the State Board for Public Accounting, or is not a member of the Bar of the State of New York, or both, if such is true;
 - [(v)] (e) that the tax preparer or an agent will not represent the taxpayer at any audit, if such is true. ("Represent" means to appear before any government tax administering authority as attorney, Certified Public

Accountant or enrolled agent. Failure to make this disclosure shall mean that the tax preparer, if lawfully authorized, agrees to represent the taxpayer or to provide representation.)

(2) The price list sign required by subparagraph (i) of paragraph (1) of this subdivision shall also be posted prominently and conspicuously at each point at which orders are placed and/or payment is made, including at each counter or desk. Compliance by a tax preparer with this requirement shall be deemed to satisfy the requirements of § 20-750(a) of the Administrative Code and § 5-70(a) of these Rules.

(2)(3) Tax preparers must:

- (i) sign every tax return prepared;
- (ii) give a copy of every tax return prepared to the taxpayer, at the time the original tax return is given to the taxpayer for filing;
- (iii) provide the taxpayer with a statement of the charges for each tax return or schedule prepared;
- (iv) return any of the taxpayer's personal papers upon request, when the original tax return is given to the taxpayer for filing, unless specifically permitted to retain such papers under State law.

(f) Disclosure in foreign languages. [All] (1) In addition to English, all disclosures required by this section must be made [in English and] in any other language which the tax preparer uses to attract customers.

(2) For purposes of this section, failure to post each separately enumerated notice requirement constitutes a separate violation for which a penalty will be assessed.

§ 2. Subchapter H of Chapter 5 of Title 6 of the Rules of the City of New York is amended by adding a new section 5-173 to read as follows:

§ 5-173 Consumer Bill of Rights Regarding Tax Preparers.

(a) Each tax preparer must post a sign provided by the Department stating: "By law, tax preparers must give you a free, current, and legible copy of the Consumer Bill of Rights Regarding Tax Preparers before beginning any discussions about tax preparation services. The tax preparer must let you review that document and answer any

questions you have. To file a complaint about this business, contact 311 or visit DCA's website."

(1) The sign must measure at least 17 inches wide by 11 inches tall.

(2) The sign must be:

(i) posted prominently and conspicuously at the public entrance to the tax preparer's business premises or in the immediate area where consumers arrive and are met for business by the tax preparer; and

(ii) in English and in any other language which the tax preparer uses to attract customers, provided that the Department has made available a translation of such sign into that language.

(b) Prior to any discussion with the consumer, each tax preparer must give to each consumer a free, current, and legible copy of the consumer bill of rights regarding tax preparers in English and in the primary language spoken by the consumer, if the Department has made available a translation of such consumer bill of rights in such language.

§ 3. Section 6-53 of Subchapter B of Chapter 6 of Title 6 of the Rules of the City of New York is amended to read as follows:

§ 6-53 Income Tax Preparers Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

| Citation | Violation Description | First Violation | First Default | Second Violation | Second Default | Third and Subsequent Violation | Third and Subsequent Default |
|------------------------|---|-----------------|---------------|------------------|----------------|--------------------------------|------------------------------|
| Admin Code § 20-740(a) | Failure to post identification and qualification statement | \$375* | \$500* | \$675 | \$750 | \$750 | \$750 |
| Admin Code § 20-740(b) | Failure to provide customer with receipt | \$375 | \$500 | \$675 | \$750 | \$750 | \$750 |
| Admin Code § 20-740.1 | Improper consumer bill of rights | \$375 | \$500 | \$675 | \$750 | \$750 | \$750 |
| Admin Code § 20-741 | Improper records | \$375 | \$500 | \$675 | \$750 | \$750 | \$750 |
| Admin Code § 20-741.1 | Failure to comply with refund anticipation loan requirements | \$375 | \$500 | \$675 | \$750 | \$750 | \$750 |
| 6 RCNY § 5-66 | Improper tax preparation practices | \$260 | \$350 | \$315 | \$350 | \$350 | \$350 |
| 6 RCNY § 5-66(c) | Failure to post the required tax preparation signs | \$260* | \$350* | \$315 | \$350 | \$350 | \$350 |
| 6 RCNY § 5-171 | Failure to comply with sign location requirement | \$375 | \$500 | \$675 | \$750 | \$750 | \$750 |
| 6 RCNY § 5-172 | Improper sign form and content | \$375 | \$500 | \$675 | \$750 | \$750 | \$750 |
| 6 RCNY § 5-173(a) | Failure to comply with sign requirements | \$375 | \$500 | \$675 | \$750 | \$750 | \$750 |
| 6 RCNY § 5-173(b) | Failure to comply with requirements regarding provision of bill of rights | \$375 | \$500 | \$675 | \$750 | \$750 | \$750 |

SMALL BUSINESS SERVICES

■ NOTICE

NOTICE OF ADOPTION

NOTICE IS HEREBY GIVEN, PURSUANT TO THE AUTHORITY VESTED IN the Commissioner of the Department of Small Business Services (the "Commissioner"), pursuant to Section 1301 of the New York City Charter and in accordance with the provisions of Section 1043 of the New York City Charter that the Department of Small Business Services promulgates and adopts Subchapter F of Title 66 of the Rules of the City of New York to govern the administration of a program that provides grants to companies that provide school bus transportation in order to encourage such companies to maintain the wages and benefits of those employees who have had prior experience in the industry.

Written comments regarding the rules were received in accordance with the notice published in the City Record on October 13, 2017 or electronically through NYC RULES at www.nyc.gov/nycrules. A public hearing was held on November 15, 2017.

Statement of Basis and Purpose of Rule

During the 2014-15, 2015-16, and 2016-17 school years, the Department of Small Business Services (DSBS) administered a grant program to support the employment of experienced school bus workers who have been impacted by changes in the Department of Education's (DOE) contracts for school bus transportation. Pursuant to the authority vested in DSBS by New York City Charter § 1301, DSBS is proposing a rule that would continue the grant program for the 2017-18 school year.

Since 1979, following a school bus strike, DOE's school bus contracts included employee protection provisions (EPPs) requiring school transportation contractors, among other things, to give priority in hiring to employees who became unemployed because of their employers' loss of DOE bus contract work and to pay such employees the same wages and benefits they had received prior to becoming unemployed.

Following the 2011 decision by the New York State Court of Appeals in L&M Bus Corp., et al., v. the New York City Department of Education, et al. (L&M), DOE did not include EPPs or similar provisions in solicitations for its school bus contracts. After the issuance of the first such post-L&M solicitation, there was a school bus strike in January and February of 2013.

DSBS's grant program, created by Local Law 44 of 2014, was designed to encourage school bus contractors providing transportation services to DOE to maintain the wages and benefits of those employees who had prior experience in the industry. The 2014-15, 2015-16 and 2016-17 grant programs successfully supported the employment of approximately 1200 school bus workers. This proposed rule continues the school bus employee grant program for the 2017-18 school year.

New Section 11-92 is added to provide additional flexibility to qualified employers considering participation in this program.

New material is underlined.

[Deleted material is in brackets.]

"Shall" and "must" denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. Section 11-87 of Title 66 of the Rules of the City of New York is amended to read as follows:

§ 11-87 **Definitions.** As used in this subchapter, the following terms mean:

Attendant. "Attendant" means a person employed as a school bus attendant by a company that currently has or previously had (i) a contract with the department of education or (ii) a subcontract with any company that currently has or previously had a contract with the department of education to provide transportation services for children in grades kindergarten through twelve.

Department. "Department" means the department of small business services.

Department of education. "Department of education" means the department of education of the City of New York.

Dispatcher. "Dispatcher" means a person employed as a school bus dispatcher by any company that currently has or previously had (i) a contract with the department of education or (ii) a subcontract with any company that currently has or previously had a contract with the department of education to provide transportation services for children in grades kindergarten through twelve.

Master seniority lists. "Master seniority lists" means the industry-wide lists established, pursuant to contractual employee protection provisions with the department of education to provide transportation services for children in grades kindergarten through twelve.

Master seniority lists qualified employee. "Master seniority lists qualified employee" means a person who:

- (1) is a resident of the City of New York;
- (2) is certified by the department of education's office of pupil transportation as a school bus driver or attendant, in the event such person is employed as a school bus driver or attendant;
- (3) was a school bus driver, attendant, dispatcher or mechanic on, or eligible for placement on, the master seniority lists as of June 30, 2014, or any date thereafter through June 30, [2016]2017;
- (4) is a school bus driver, attendant, dispatcher or mechanic for a qualified employer in connection with such employer's contract or subcontract, pursuant to request for bids number B2321 with the department of education during the [2016-17]2017-18 school year; and
- (5) is paid by a qualified employer a [2016-17]2017-18 regular rate that is less than the regular rate dating to the placement on master seniority lists.

Mechanic. "Mechanic" means a person employed as a school bus mechanic by any company that currently has or previously had (i) a contract with the department of education or (ii) a subcontract with any company that currently has or previously had a contract with the department of education to provide transportation services for children in grades kindergarten through twelve.

Provider of transportation services. "Provider of transportation services" means an entity or a subsidiary of such entity that (i) had a contract with the department of education or (ii) had a subcontract with any entity that had a contract with the department of education, to provide transportation services for children in grades kindergarten through twelve which expired on June 30, 2014.

Qualified employer. "Qualified employer" means any entity that has a contract with the department of education or a subcontract with an entity that has a contract with the department of education to provide transportation services for children in grades kindergarten through twelve for the [2016-17] 2017-18 school year, pursuant to request for bids number B2321.

Regular rate. "Regular rate" means "regular rate" as defined, pursuant to 29 U.S.C. § 207, and further specified in 29 C.F.R. § 778.109, or any succeeding provisions.

Regular rate dating to the placement on master seniority lists. "Regular rate dating to the placement on master seniority lists" means the regular rate of pay earned by any master seniority lists qualified employee on the last date of employment prior to being placed on, or becoming eligible for, the master seniority lists.

Recess adjustment payment. "Recess adjustment payment" means any additional compensation received for five days during winter and spring recess.

School bus driver. "School bus driver" means any person employed as a school bus driver by a company that currently has or previously had (i) a contract with the department of education or (ii) a subcontract with any company that currently has or previously had a contract with the department of education to provide transportation services for children in grades kindergarten through twelve.

Summer accrual. "Summer accrual" means any additional compensation received at or about the completion of the school year based on the years of service of the [2016] 2017 qualified employee or of the master seniority lists qualified employee.

[2016]2017 qualified employee. "[2016] 2017 qualified employee" means any person who:

- (1) is a resident of the City of New York;
- (2) is certified by the department of education's office of pupil transportation as a school bus driver or attendant, in the event such person is employed as a school bus driver or attendant;
- (3) was a school bus driver, attendant, dispatcher or mechanic employed by a provider of transportation services on June 30, 2014 in connection with such provider's contracts with the department of education;
- (4) is a school bus driver, attendant, dispatcher or mechanic for a qualified employer in connection with such employer's contract or subcontract, pursuant to request for bids number B2321 with the department of education during the [2016-17]2017-18 school year; and
- (5) is paid by a qualified employer a [2016-17]2017-18 regular rate that is less than the 2013-14 regular rate.

2013-14 regular rate. "2013-14 regular rate" means the regular rate paid by any provider of transportation services to any [2016]2017 qualified employee during the 2013-14 school year.

[2016-17]2017-18 regular rate. "[2016-17]2017-18 regular rate" means the regular rate paid by any qualified employer to any [2016] 2017 qualified employee or any master seniority lists qualified employee during the [2016-17]2017-18 school year. Provided, that, for any [2016] 2017 qualified employee or master seniority lists qualified employee covered by a collective bargaining agreement or to whom a best and final offer has been imposed, the regular rate means either the hourly rate, pursuant to (i) the collective bargaining agreement in effect at the time payment is due or (ii) the best and final offer of the qualified employer for the [2016-17] 2017-18 school year, whichever is higher.

§ 2. Section 11-88 of Title 66 of the Rules of the City of New York is amended to read as follows:

§ 11-88. **Calculation of Grants and Eligibility Criteria.**

- (a) The department will provide monetary grants to any qualified employer for each [2016] 2017 qualified employee hired by such qualified employer in an amount equal to the sum of:
- (i) the product of: (A) the excess, if any, of the 2013-14 regular rate over the [2016-17]2017-18 regular rate; and (B) the number of hours for which such [2016] 2017 qualified employee was paid, less any hours for which such employee was paid overtime, by such qualified employer in connection with such employer's contracts with the department of education during the [2016-17]2017-18 school year; and
 - (ii) the product of: (A) the excess, if any, of the 2013-14 regular rate over the [2016-17]2017-18 regular rate; and (B) one-and-a-half; and (C) the number of overtime hours for which such [2016]2017 qualified employee was paid by such qualified employer in connection with such employer's contracts with the department of education during the [2016-17]2017-18 school year; and
 - (iii) such qualified employer's portion of all legally required city, state and federal payroll taxes associated with the amounts described in paragraphs (i) and (ii) of this subdivision; and
 - (iv) the costs incurred by such qualified employer to maintain the recess adjustment payment for such [2016]2017 qualified employee in place during the 2013-14 school year, to the extent that, but for the award of this grant, the recess adjustment payment for the [2016-17]2017-18 school year for such employee would have been lower than the recess adjustment payment in place during the 2013-14 school year, provided, that, for a [2016]2017 qualified employee covered by a collective bargaining agreement or, as to whom a best and final offer has been imposed, the recess adjustment payment for the [2016-17]2017-18 school year shall be either the recess adjustment payment, pursuant to (A) the collective bargaining agreement in effect at the time payment is due or (B) the best and final offer of the qualified employer for the [2016-17]2017-18 school year, whichever is higher; and
 - (v) the costs incurred by such qualified employer to maintain the payment for holiday and vacation days for such [2016] 2017 qualified employee in place during the 2013-14 school year, to the extent that, but for the award of this grant, the payment for holiday and vacation days for the [2016-17] 2017-18 school year for such employee would have been lower than the payment in place during the 2013-14 school year, provided, that, for a [2016] 2017 qualified employee covered by a collective bargaining agreement or, as to whom a best and final offer has been imposed, the payment for holiday and vacation days in place during the [2016-17] 2017-18 school year shall be either the payment for holiday and vacation days, pursuant to (A) the collective bargaining agreement in effect at the time payment is due or (B) the best and final offer of the qualified employer for the [2016-17] 2017-18 school year, whichever is higher; and
 - (vi) the costs incurred by such qualified employer to maintain the payment for summer accrual for such [2016] 2017 qualified employee in place during the 2013-14 school year, to the extent that, but for the award of this grant, the payment for summer accrual for the [2016-17] 2017-18 school year for such employee would have been lower than the payment in place during the 2013-14 school year, provided, that, for a [2016] 2017 qualified employee covered by a collective bargaining agreement or, as to whom a best and final offer has been

imposed, the payment for summer accrual in place during the [2016-17] 2017-18 school year shall be either the payment for summer accrual, pursuant to (A) the collective bargaining agreement in effect at the time payment is due or (B) the best and final offer of the qualified employer for the [2016-17] 2017-18 school year, whichever is higher; and

- (vii) the costs incurred by such qualified employer to maintain the contributions for the retirement and health benefits of such [2016] 2017 qualified employee in place during the 2013-14 school year, to the extent that, but for the award of this grant, the contributions for the [2016-17]2017-18 school year for such employee would have been lower than those in place during the 2013-14 school year, provided, that, for a [2016] 2017 qualified employee covered by a collective bargaining agreement or, as to whom a best and final offer has been imposed, the contributions for the [2016-17] 2017-18 school year shall be either the contributions, pursuant to (A) the collective bargaining agreement in effect at the time payment is due or (B) the best and final offer of the qualified employer for the [2016-17]2017-18 school year, whichever is higher; and
 - (viii) the costs associated with any increase in workers' compensation insurance for such employee associated with the amounts described in paragraphs (i) and (ii) of this subdivision.
- (b) Notwithstanding any provision to the contrary in this subchapter, the department will not award a grant for any [2016] 2017 qualified employee unless:
- (i) any such [2016] 2017 qualified employee receives retirement and health benefits from the same health and retirement funds from which such employee received such benefits during the 2013-14 school year, provided that such employee is represented by the same employee organization for the 2013-14 and [2016-17] 2017-18 school years;
 - (ii) thirty-nine weeks of employment during the school year are provided by such qualified employer to such employee, provided that a pro-rated portion of thirty-nine weeks of employment may be provided by such qualified employer to such employee hired after September 9]7, [2016]2017; and
 - (iii) the customary work day of such employee is eight hours, if the work day of such employee was eight hours during the 2013-14 school year.
- (c) The department will provide monetary grants to any qualified employer for each master seniority lists qualified employee hired by such qualified employer in an amount equal to the sum of:
- (i) the product of: (A) the excess, if any, of the regular rate during the school year dating to the placement on master seniority lists over the [2016-17]2017-18 regular rate; and (B) the number of hours for which such master seniority lists qualified employee was paid, less any hours for which such employee was paid overtime, by such qualified employer in connection with such employer's contracts with the department of education during the [2016-17]2017-18 school year; and
 - (ii) the product of: (A) the excess, if any, of the regular rate during the school year dating to the placement on master seniority lists over the [2016-17]2017-18 regular rate; and (B) one-and-a-half; and (C) the number of overtime hours for which such master seniority lists qualified employee was paid by such qualified employer in connection with such employer's contracts with the department of education during the [2016-17]2017-18 school year; and
 - (iii) such qualified employer's portion of all legally required city, state and federal payroll taxes associated with the amounts described in paragraphs (i) and (ii) of this subdivision; and
 - (iv) the costs incurred by such qualified employer to maintain the recess adjustment payment for such master seniority lists qualified employee in place during the school year dating to the placement on master seniority lists, to the extent that, but for the award of this grant, the recess adjustment payment for the [2016-17]2017-18 school year for such employee would have been lower than the payment in place during the school year dating to the placement on master seniority lists, provided, that, for a master seniority lists qualified employee covered by a collective bargaining agreement

- or, as to whom a best and final offer has been imposed, the recess adjustment payment for the [2016-17]2017-18 school year shall be either the recess adjustment payment, pursuant to (A) the collective bargaining agreement in effect at the time payment is due or (B) the best and final offer of the qualified employer for the [2016-17] 2017-18 school year, whichever is higher; and
- (v) the costs incurred by such qualified employer to maintain the payment for holiday and vacation days for such master seniority lists qualified employee in place during the school year dating to the placement on master seniority lists, to the extent that, but for the award of this grant, the payment for holiday and vacation days for the [2016-17]2017-18 school year for such employee would have been lower than the payment in place during the school year dating to the placement on master seniority lists, provided, that, for a master seniority lists qualified employee covered by a collective bargaining agreement or, as to whom a best and final offer has been imposed, the payment for holiday and vacation days in place for the [2016-17]2017-18 school year shall be either the payment for holiday and vacation days, pursuant to (A) the collective bargaining agreement in effect at the time payment is due or (B) the best and final offer of the qualified employer for the [2016-17]2017-18 school year, whichever is higher; and
- (vi) the costs incurred by such qualified employer to maintain the payment for summer accrual for such master seniority lists qualified employee in place during the school year dating to the placement on master seniority lists, to the extent that, but for the award of this grant, the payment for summer accrual for the [2016-17]2017-18 school year for such employee would have been lower than the payment in place during the school year dating to the placement on master seniority lists, provided, that, for a master seniority lists qualified employee covered by a collective bargaining agreement or, as to whom a best and final offer has been imposed, the payment for summer accrual in place during the [2016-17]2017-18 school year shall be either the payment for summer accrual, pursuant to (A) the collective bargaining agreement in effect at the time payment is due or (B) the best and final offer of the qualified employer for the [2016-17]2017-18 school year, whichever is higher; and
- (vii) the costs incurred by such qualified employer to maintain the contributions for the retirement and health benefits of such master seniority lists qualified employee in place during the school year dating to the placement on master seniority lists, to the extent that, but for the award of this grant, the contributions for the [2016-17]2017-18 school year for such employee would have been lower than those in place during the school year dating to the placement on master seniority lists, provided, that, for a master seniority lists qualified employee covered by a collective bargaining agreement or, as to whom a best and final offer has been imposed, the contributions for the [2016-17]2017-18 school year shall be either the contributions, pursuant to (A) the collective bargaining agreement in effect at the time payment is due or (B) the best and final offer of the qualified employer for the [2016-17]2017-18 school year, whichever is higher; and
- (viii) the costs associated with any increase in workers' compensation insurance for such master seniority lists qualified employee associated with the amounts described in paragraphs (i) and (ii) of this subdivision.
- (d) Notwithstanding any provision to the contrary in this subchapter, the department shall not award a grant for a master seniority lists qualified employee unless:
- (i) any such master seniority lists qualified employee receives retirement and health benefits from the same health and retirement funds from which such employee received such benefits for the school year dating to placement on master seniority lists, provided that such employee is represented by the same employee organization as of the school year dating to placement on master seniority lists and the [2016-17]2017-18 school year;
- (ii) thirty-nine weeks of employment during the school year are provided by such qualified employer to such employee, provided that a pro-rated portion of thirty-nine weeks of employment may be provided by such qualified employer to such employee hired after September 9]7, [2016]2017; and
- (iii) the customary work day of such employee is eight hours, if the work day of such employee was eight hours as of the last date of employment prior to being placed on master seniority lists.
- (e) No qualified employer shall be eligible for an award of a grant, pursuant to this section unless such qualified employer agrees that during the [2016-17]2017-18 school year every school bus driver, attendant, dispatcher and mechanic shall be hired from the master seniority lists in the order of his or her seniority, provided that this requirement shall not apply to hiring by qualified employers for the [2016-17]2017-18 school year that occurred prior to September 9]7, [2016]2017.
- § 3. Section 11-89 of Title 66 of the Rules of the City of New York is amended to read as follows:
- § 11-89. **Notice.** Each qualified employer must provide written notice to the department upon the hiring of any [2016]2017 qualified employee or master seniority lists qualified employee for whom the qualified employer seeks a monetary grant.
- § 4. Section 11-90 of Title 66 of the Rules of the City of New York is amended to read as follows:
- § 11-90. **Payments.**
- (a) The department shall provide the grant authorized by this section to qualified employers in monthly installments over a ten-month period for each [2016]2017 qualified employee or master seniority lists qualified employee who is employed in connection with such qualified employer's contract, pursuant to request for bids number B2321 with the department of education. Any such grant to the qualified employer shall be reduced if the employee is employed by such qualified employer for less than ten months.
- (b) The department will provide the grant described in Section 11-88 of this subchapter in monthly installments after receiving satisfactory proof from the qualified employer that:
- (i) the qualified employer has paid the [2016]2017 qualified employee or the master seniority lists qualified employee the amounts described in paragraphs (i) and (ii) of subdivisions a and c of Section 11-88 of this subchapter respectively; and
- (ii) the qualified employer has made payments of the amounts described in paragraphs (iii) through (viii) of subdivisions a and c of Section 11-88 of this subchapter respectively in a manner consistent with those paragraphs.
- § 5. Section 11-91 of Title 66 of the Rules of the City of New York is amended to read as follows:
- § 11-91. **Conditions of Grants.**
- (a) The award by the department of a grant to a qualified employer, pursuant to this subchapter shall not make the City of New York, the department or the department of education the employer of any [2016]2017 qualified employee or master seniority lists qualified employee.
- (b) The grant authorized by this subchapter shall not: (i) impair the terms of any collective bargaining agreement to which any qualified employer and employee may be subject, and shall not (ii) interfere with any rights a school bus driver, attendant, dispatcher or mechanic has, pursuant to any collective bargaining agreement.
- (c) The qualified employer and [2016]2017 qualified employee or master seniority lists qualified employee, as applicable, shall be solely responsible for withholding and payment of any taxes and other government required payments.
- § 6. Title 66 of the Rules of the City of New York is amended to add a new Section 11-92 to read as follows:
- § 11-92. **Withdrawal by a Qualified Employer from Grant.**
- (a) A qualified employer may withdraw from continued participation in a grant awarded, pursuant to this subchapter by providing written notice of withdrawal to the department.
- (b) Withdrawal from continued participation in a grant awarded, pursuant to this subchapter shall become effective immediately upon receipt of such written notice of withdrawal by the department.
- (c) In the event of withdrawal from continued participation in a grant awarded, pursuant to this subchapter by a qualified employer:
- (i) the department shall not make a grant installment to such qualified employer for any cost incurred by such employer on behalf of a 2017 qualified employee or master seniority lists

qualified employee after the date the department receives such written notice of withdrawal; and

- (ii) such qualified employer shall not be required to satisfy the conditions described in subdivision b or d of Section 11-88 of this subchapter with respect to a 2017 qualified employee or master seniority lists qualified employee respectively, or the condition described in subdivision e of such section with respect to any employee hired after the date the department receives such written notice of withdrawal.

◀ d14

SPECIAL MATERIALS

COMPTROLLER

■ NOTICE

NOTICE OF ADVANCE PAYMENT OF AWARDS PURSUANT TO THE STATUTES IN SUCH cases made and provided, **NOTICE IS HEREBY GIVEN** that the Comptroller of the City of New York, will be ready to pay, at 1 Centre Street, Room 629, New York, NY 10007, 12/20/2017, to the person or persons legally entitled an amount as certified to the Comptroller by the Corporation Counsel on damage parcels, as follows:

| Damage Parcel No. | Block | Lot |
|-------------------|-------|-----|
| 26, 26A and 27A | 651 | 1 |

Acquired in the proceeding entitled: VICTORY AND CLOVE subject to any liens and encumbrances of record on such property. The amount advanced shall cease to bear interest on the specified date above.

Scott M. Stringer
Comptroller

d6-19

NOTICE OF ADVANCE PAYMENT OF AWARDS PURSUANT TO THE STATUTES IN SUCH cases made and provided, **NOTICE IS HEREBY GIVEN** that the Comptroller of the City of New York, will be ready to pay, at 1 Centre Street, Room 629, New York, NY 10007, on 12/27/2017 to the person or persons legally entitled an amount as certified to the Comptroller by the Corporation Counsel on damage parcels, as follows:

| Damage Parcel No. | Block | Lot |
|-------------------|-------|-----|
| 1, 1A, 1B, 1C | 6332 | 1 |
| 3A | 6332 | 38 |
| 6A, 6B, 6C | 6815 | 59 |
| 7A, 7B | 6585 | 32 |

Acquired in the proceeding entitled: AMBOY/HUGUENOT subject to any liens and encumbrances of record on such property. The amount advanced shall cease to bear interest on the specified date above.

Scott M. Stringer
Comptroller

d13-27

DESIGN AND CONSTRUCTION

■ NOTICE

DETERMINATION AND FINDINGS BY THE CITY OF NEW YORK PURSUANT TO SECTION 204 OF THE NEW YORK STATE EMINENT DOMAIN PROCEDURE LAW

Whereas, the New York City Department of Design and Construction (“DDC”), on behalf of the New York City Department of Environmental Protection”) and the City of New York (“City”), has proposed the acquisition of certain properties for infrastructure improvements at - 162nd Avenue between 95th Street and the Shellbank

Basin as shown on Damage and Acquisition Map No. 5870 (Capital Project: SEQ200490) in the borough of Queens; and

Whereas, the New York State Eminent Domain Procedure Law (“EDPL”) sets forth uniform procedures for condemnations by municipalities throughout the State of New York, which also governs over this acquisition; and

Whereas, pursuant to the EDPL, the City is required to hold a public hearing to determine whether the public would be better served by the proposed acquisition of the above-mentioned properties and the impact of such an acquisition on the neighborhood where the project is to be constructed; and

Whereas, the City held a public hearing, pursuant to EDPL Section 204 in relation to this acquisition on October 31, 2017 in the borough of Queens. Having given due consideration to the complete hearing record, which includes, among other things, all documents submitted and all public comments, the City makes the following determination and findings concerning the above and below described acquisitions and project:

1. The public use and benefit of this project is for the Construction of Storm and Sanitary Sewers, for the Replacement of Water Main and Appurtenances in the borough of Queens (the “Project”).
2. The properties to be acquired are shown on the City’s Tax Map for the borough of Queens and include the following properties:
 - Block 14189, part of Lot 57;
 - Block 14195, part of Lot 22; and
 - Bed of 162nd Avenue from 95th Street to the Shellbank Basin.

The proposed acquisition shall consist of the following locations:

- 162nd Avenue from 95th Street to the Shellbank Basin as shown on Damage and Acquisition Maps No. 5870 in the borough of Queens.

The City selected these locations based on the need for the construction of Storm Sewer (60” W x 38” H), new Outfall and limits of Retaining Wall:

(1) The general effect on the neighborhood will be to improve current living conditions. The proposed Project involves the construction of storm sewers, curbs, and appurtenances. The New York City Department of Design and Construction conducted an environmental review of the proposed property acquisition locations were included in the Negative Declaration completed in April, 2017 has been prepared in accordance with the requirements of the New York City Environmental Quality Review, (CEQR No. 16DEP080Q) by New York City Environmental Protection (DEP), DEP as lead agency has determined that the proposed project would not have a significant effect on the environment and is herein publishing a Negative Declaration.

(2) Concerns were raised about the duration and scope of the project. The City will work with all public and private parties involved in the project to minimize the impact of construction activities on the street, residents and environment. The City has also reviewed all potential alternate locations and has determined that no other sites are feasible for the Project. The City continues to review its plans and will make modifications addressing any issues whenever possible. DDC will also work with DEP, other agencies and the community in order to review and address project-related concerns.

DETERMINATION:

Based upon due consideration of the record and the foregoing findings, it is determined that the City of New York should exercise its power of eminent domain to acquire the above-described properties in order to promote and permit the purposes of the Project to be achieved.

NOTICE:

Pursuant to EDPL Section 207, property owners have thirty (30) days from completion of the publication of this “Determination and Findings” to seek judicial review of this determination. Expected dates of publication are December 13 through 15, 2017, in the City Record and the New York Post.

The exclusive venue for the judicial review of this determination, pursuant to EDPL Sections 207 and 208 is the Appellate Division of the Supreme Court in the Judicial Department where any part of the property to be acquired is located.

A copy of this Determination and Findings by the City is available without cost upon written request to:

New York City Department of Design and Construction
Office of General Counsel – 4th Floor
30-30 Thomson Avenue
Long Island City, NY 11101

Attn.: 162nd Avenue between 95th Street and the Shellbank Basin:
Condemnation Proceeding.

d13-15

HOUSING PRESERVATION AND DEVELOPMENT

■ NOTICE

**REQUEST FOR COMMENT
REGARDING AN APPLICATION FOR A
CERTIFICATION OF NO HARASSMENT**

Notice Date: December 12, 2017

To: Occupants, Former Occupants, and Other Interested Parties

| Property: | Address | Application # | Inquiry Period |
|--|---------|---------------|------------------------------|
| 573 West 183 rd Street, Manhattan | | 119/17 | November 1, 2014 to Present |
| 162 11 th Avenue, Manhattan a/k/a 559 West 22 nd Street | | 120/17 | November 3, 2014 to Present |
| 319 West 48 th Street, Manhattan | | 121/17 | November 3, 2014 to Present |
| 137 West 122 nd Street, Manhattan | | 122/17 | November 3, 2014 to Present |
| 158 West 58 th Street, Manhattan | | 126/17 | November 17, 2014 to Present |
| 427 West 52 nd Street, Manhattan | | 127/17 | November 17, 2014 to Present |
| 357 Hancock Street, Brooklyn | | 124/17 | November 3, 2014 to Present |

Authority: SRO, Administrative Code §27-2093

Before the Department of Buildings can issue a permit for the alteration or demolition of a single room occupancy multiple dwelling, the owner must obtain a "Certification of No Harassment" from the Department of Housing Preservation and Development ("HPD") stating that there has not been harassment of the building's lawful occupants during a specified time period. Harassment is conduct by an owner that is intended to cause, or does cause, residents to leave or otherwise surrender any of their legal occupancy rights. It can include, but is not limited to, failure to provide essential services (such as heat, water, gas, or electricity), illegally locking out building residents, starting frivolous lawsuits, and using threats or physical force.

The owner of the building identified above has applied for a Certification of No Harassment. If you have any comments or evidence of harassment at this building, please notify HPD at **CONH Unit, 100 Gold Street, 6th Floor, New York, NY 10038**, by letter postmarked not later than 30 days from the date of this notice or by an in-person statement made within the same period. To schedule an appointment for an in-person statement, please call **(212) 863-5277 or (212) 863-8211**.

d12-20

**REQUEST FOR COMMENT
REGARDING AN APPLICATION FOR A
CERTIFICATION OF NO HARASSMENT**

Notice Date: December 12, 2017

To: Occupants, Former Occupants, and Other Interested Parties

| Property: | Address | Application # | Inquiry Period |
|--|---------|---------------|------------------------------|
| 319 West 48 th Street, Manhattan | | 121/17 | November 3, 2002 to Present |
| 427 West 52 nd Street, Manhattan | | 127/17 | November 17, 2002 to Present |

Authority: Special Clinton District, Zoning Resolution §96-110

Before the Department of Buildings can issue a permit for the alteration or demolition of a multiple dwelling in certain areas designated in the Zoning Resolution, the owner must obtain a "Certification of No Harassment" from the Department of Housing Preservation and Development ("HPD") stating that there has not been harassment of the building's lawful occupants during a specified time period. Harassment is conduct by an owner that is intended to cause, or does cause, residents to leave or otherwise surrender any of their legal occupancy rights. It can include, but is not limited to, failure to provide essential services (such as heat, water, gas, or electricity),

illegally locking out building residents, starting frivolous lawsuits, and using threats or physical force.

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d12-20

**REQUEST FOR COMMENT
REGARDING AN APPLICATION FOR A
CERTIFICATION OF NO HARASSMENT**

Notice Date: December 12, 2017

To: Occupants, Former Occupants, and Other Interested Parties

| Property: | Address | Application # | Inquiry Period |
|-------------------------------|---------|---------------|---------------------------|
| 117 Berry Street, Brooklyn | | 123/17 | October 4 2004 to Present |

Authority: Greenpoint-Williamsburg Anti-Harassment Area, Zoning Resolution §§23-013, 93-90

Before the Department of Buildings can issue a permit for the alteration or demolition of a multiple dwelling in certain areas designated in the Zoning Resolution, the owner must obtain a "Certification of No Harassment" from the Department of Housing Preservation and Development ("HPD") stating that there has not been harassment of the building's lawful occupants during a specified time period. Harassment is conduct by an owner that is intended to cause, or does cause, residents to leave or otherwise surrender any of their legal occupancy rights. It can include, but is not limited to, failure to provide essential services (such as heat, water, gas, or electricity), illegally locking out building residents, starting frivolous lawsuits, and using threats or physical force.

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d12-20

**REQUEST FOR COMMENT
REGARDING AN APPLICATION FOR A
CERTIFICATION OF NO HARASSMENT**

Notice Date: December 12, 2017

To: Occupants, Former Occupants, and Other Interested Parties

| Property: | Address | Application # | Inquiry Period |
|--|---------|---------------|------------------------------|
| 162 11 th Avenue, Manhattan a/k/a 559 West 22 nd Street | | 120/17 | December 20, 2004 to Present |

Authority: Special West Chelsea District, Zoning Resolution §§98-70, 93-90

Before the Department of Buildings can issue a permit for the alteration or demolition of a multiple dwelling in certain areas designated in the Zoning Resolution, the owner must obtain a "Certification of No Harassment" from the Department of Housing Preservation and Development ("HPD") stating that there has not been harassment of the building's lawful occupants during a specified time period. Harassment is conduct by an owner that is intended to cause, or does cause, residents to leave or otherwise surrender any of their legal occupancy rights. It can include, but is not limited to, failure to provide essential services (such as heat, water, gas, or electricity), illegally locking out building residents, starting frivolous lawsuits, and using threats or physical force.

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d12-20

CHANGES IN PERSONNEL

POLICE DEPARTMENT FOR PERIOD ENDING 11/03/17

Table with columns: NAME, TITLE, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists personnel changes for the Police Department for the period ending 11/03/17.

POLICE DEPARTMENT FOR PERIOD ENDING 11/03/17

Table with columns: NAME, TITLE, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Continues listing personnel changes for the Police Department for the period ending 11/03/17.

Table with columns: NAME, TITLE, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists personnel changes for the Police Department for the period ending 11/03/17.

POLICE DEPARTMENT FOR PERIOD ENDING 11/03/17

Table with columns: NAME, TITLE, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Continues listing personnel changes for the Police Department for the period ending 11/03/17.

POLICE DEPARTMENT FOR PERIOD ENDING 11/03/17

Table with columns: NAME, TITLE, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Continues listing personnel changes for the Police Department for the period ending 11/03/17.

| | | | | | | | |
|-----------|------------|-------|--------------|-----------|-----|----------|-----|
| RODRIGUEZ | FIDEL | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| RODRIGUEZ | MICHAEL T | 70210 | \$54394.0000 | RESIGNED | NO | 10/20/17 | 056 |
| ROGERS | MICHAEL T | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| ROMAN | SAMANTHA E | 71651 | \$30706.0000 | RESIGNED | NO | 09/27/17 | 056 |
| ROMANO | ANTHONY J | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| ROMERO | LESLIE | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| ROMERO | NELSON | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| ROSADO | LESLIE L | 71651 | \$30709.0000 | INCREASE | NO | 09/24/17 | 056 |
| ROSARIO | ADONIS J | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| ROSARIO | GENESIS G | 70210 | \$42500.0000 | INCREASE | NO | 10/18/17 | 056 |
| ROSSI | BRIAN N | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| ROSSI | JOHN L | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| ROZO | PETER | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| ROZON | MARVIN | 60817 | \$42136.0000 | RESIGNED | NO | 10/17/17 | 056 |
| RUIZ | ISHTAR | 60817 | \$32426.0000 | RESIGNED | NO | 10/12/17 | 056 |
| RUSS | WILLIAM J | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SADIQ | INTIZAR A | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SAEZ | FRANK A | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SAGAR | TAYAB H | 70208 | \$15.4400 | INCREASE | YES | 09/15/17 | 056 |
| SAINTPRIX | TIFFANY J | 71651 | \$30706.0000 | APPOINTED | NO | 09/24/17 | 056 |
| SALADINO | THOMAS G | 70210 | \$59401.0000 | RESIGNED | NO | 10/20/17 | 056 |
| SALEM | ALI K | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SALGUERO | OSCAR A | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SALVIA | STEPHEN F | 71651 | \$40751.0000 | RETIRED | NO | 10/17/17 | 056 |
| SANCHEZ | INGRID P | 92508 | \$33872.0000 | APPOINTED | YES | 10/22/17 | 056 |

POLICE DEPARTMENT
FOR PERIOD ENDING 11/03/17

| TITLE | | | | | | | |
|---------------|------------|-------|--------------|-----------|------|----------|--------|
| NAME | | NUM | SALARY | ACTION | PROV | EFF DATE | AGENCY |
| SANDRES | LUIS D | 70210 | \$42500.0000 | PROMOTED | NO | 10/18/17 | 056 |
| SANTOS | JONATHAN C | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SANTOS | RAFAEL | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SAYERS | RENELLA K | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SCALICI | DANIEL V | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SCANDIFFIO | DANIELLE R | 31175 | \$51000.0000 | APPOINTED | YES | 10/10/17 | 056 |
| SCHEINE | JONATHAN S | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SCHOPPMANN | WILLIAM J | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SCIALLO | MICHAEL J | 70210 | \$42500.0000 | RESIGNED | NO | 10/21/17 | 056 |
| SCPARTA | GERARD V | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SEJDARAS | BESMIR | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SENAT | PIERRE R | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SERVIVUS | JEAN W | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SHAH | ASIM M | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SHAIKH | LABIBUR R | 70210 | \$42500.0000 | PROMOTED | NO | 10/18/17 | 056 |
| SHIB | KHOKAN K | 71651 | \$30706.0000 | APPOINTED | NO | 09/24/17 | 056 |
| SHKLAROV | SERGEY | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SHOY | DERYCK B | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SHUTT | REBRCCA C | 31175 | \$51000.0000 | APPOINTED | YES | 10/10/17 | 056 |
| SIDDIQI | RAFEEQ A | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SILVERBERG | MEGAN S | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SINCKLER | NEIL A | 70210 | \$42500.0000 | PROMOTED | NO | 10/18/17 | 056 |
| SINGH | KULJIT | 70210 | \$42500.0000 | PROMOTED | NO | 10/18/17 | 056 |
| SINGH | MUKHJIND | 70210 | \$42500.0000 | RESIGNED | NO | 10/25/17 | 056 |
| SINGH | SATNAM | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SINGH | SUKHDEEP | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SINTO | MICHAEL G | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SLOAN BRAXTON | COURTNEY T | 71651 | \$37713.0000 | RESIGNED | NO | 10/26/17 | 056 |
| SMITH | SHANEKA | 70210 | \$42500.0000 | PROMOTED | NO | 10/18/17 | 056 |
| SMITH | TANYA L | 60817 | \$42136.0000 | DISMISSED | NO | 10/11/17 | 056 |
| SODEN | JASON P | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SOLOMITO | ALEC J | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SOOKDAR | RICARDO A | 71651 | \$30706.0000 | RESIGNED | NO | 09/30/17 | 056 |
| SOOKDEO | STEPHAN | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SOOKOO | RAJESH C | 71651 | \$31221.0000 | RESIGNED | NO | 10/18/17 | 056 |
| SORIANO | RENE A | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SOTO | MARK S | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SOTO | MIGUEL A | 70210 | \$85292.0000 | RETIRED | NO | 06/25/17 | 056 |
| SPITERI | DANIEL E | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| STOBE | THOMAS J | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| STOKES | TENEAINE | 10147 | \$47482.0000 | RETIRED | NO | 10/28/17 | 056 |
| SUKHDEO | RAJU | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SULLIVAN | TERRENCE R | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| SUMAN | MD ABDUL A | 71651 | \$30706.0000 | APPOINTED | NO | 09/24/17 | 056 |
| TAJIAN | VICKEN S | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TANG | JOSEPH J | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TANGREDI | NICHOLAS M | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TARAZA | JUSTIN E | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TARPEY | DANIEL B | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TEJADA | FELIX R | 70210 | \$42500.0000 | INCREASE | NO | 10/18/17 | 056 |
| TELESFORD | BRETT G | 70210 | \$48666.0000 | RESIGNED | NO | 10/20/17 | 056 |

POLICE DEPARTMENT
FOR PERIOD ENDING 11/03/17

| TITLE | | | | | | | |
|-----------------|------------|-------|---------------|-----------|------|----------|--------|
| NAME | | NUM | SALARY | ACTION | PROV | EFF DATE | AGENCY |
| TESORIERO | STEVEN V | 70210 | \$48666.0000 | RESIGNED | NO | 10/23/17 | 056 |
| TETONIC | MICHELLE A | 70210 | \$54394.0000 | RESIGNED | NO | 10/23/17 | 056 |
| TROPHILUS | BRIAN | 70235 | \$106175.0000 | RETIRED | NO | 06/25/17 | 056 |
| THERMORA | SARAH M | 10144 | \$33875.0000 | RESIGNED | NO | 10/13/17 | 056 |
| THOMAS-HAREWOOD | TIFFANY | 70205 | \$14.0400 | RESIGNED | YES | 10/21/17 | 056 |
| THOMPSON | AMELIA | 70205 | \$12.1400 | APPOINTED | YES | 10/20/17 | 056 |
| THOMPSON | MAKEBA T | 70210 | \$42500.0000 | PROMOTED | NO | 10/18/17 | 056 |
| TIERNY | ALYSHA M | 31170 | \$70150.0000 | APPOINTED | YES | 10/15/17 | 056 |
| TILLMAN | ALMETTA | 60817 | \$42136.0000 | RETIRED | NO | 10/28/17 | 056 |
| TIMMONS | MESSIAH I | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TIRRO | LUIGI | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TITUS | JOE C | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TODD | BENJAMIN J | 71651 | \$38625.0000 | INCREASE | NO | 09/24/17 | 056 |
| TOMASZEWSKI | STEPHEN R | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TOOMA | MARK N | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TORBY | MATTHEW S | 71012 | \$36611.0000 | RESIGNED | NO | 10/09/17 | 056 |

| | | | | | | | |
|-------------|------------|-------|---------------|-----------|-----|----------|-----|
| TORIBIO | JOSEPH | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TORIBIO | VICTOR A | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TORTORELLA | VINCENT | 70210 | \$48666.0000 | RESIGNED | NO | 10/20/17 | 056 |
| TREFAS | ALEXANDR | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TRIA | MARTIN R | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| TROCKI | TAMAR | 31170 | \$70000.0000 | APPOINTED | YES | 10/15/17 | 056 |
| UDDIN | SYED M | 71651 | \$30706.0000 | APPOINTED | NO | 09/24/17 | 056 |
| ULLAH | IKRAM | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| URCHENKO | STEVEN G | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| VALDEZ | HEBLY N | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| VALENTIN | RANDI S | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| VALENTINE | CASSANDR | 10144 | \$39267.0000 | RETIRED | NO | 10/27/17 | 056 |
| VARGAS | IDANNY | 70210 | \$48666.0000 | RESIGNED | NO | 10/20/17 | 056 |
| VARGAS | JOAN A | 31175 | \$51000.0000 | APPOINTED | YES | 10/10/17 | 056 |
| VARGAS | RAISA E | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| VASCONCELOS | DANIEL M | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| VAVAL | LUC J | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| VEGA | DIAMOND C | 70210 | \$42500.0000 | RESIGNED | NO | 10/24/17 | 056 |
| VEGA | JOSHUA L | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| VELASQUEZ | MICHAEL A | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| VELASQUEZ | PERLAMCA | 31175 | \$51000.0000 | APPOINTED | YES | 10/10/17 | 056 |
| VELAZQUEZ | BRENADA J | 83008 | \$130000.0000 | APPOINTED | YES | 10/15/17 | 056 |
| VELEZ | DAVID | 70235 | \$106175.0000 | RETIRED | NO | 06/28/17 | 056 |
| VERA | WILLIAM J | 70235 | \$106175.0000 | RETIRED | NO | 07/01/17 | 056 |
| VILANOVA | LUIS A | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| VILLAR | RANFIER | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| VILLEDIA | CRISTINA G | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| VITALE | CHRISTIA T | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| VOLOKH | PETRO | 70205 | \$12.1400 | APPOINTED | YES | 10/20/17 | 056 |
| VOZNY | SERGEY | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| WALKER | KIMBERLY J | 71651 | \$38625.0000 | INCREASE | NO | 09/24/17 | 056 |
| WALKER | SHAKIEA A | 60817 | \$32426.0000 | RESIGNED | NO | 07/07/17 | 056 |
| WALTERS | ALTHEA A | 70205 | \$12.1400 | APPOINTED | YES | 10/20/17 | 056 |
| WARD | JOANNA M | 70205 | \$12.1400 | APPOINTED | YES | 10/20/17 | 056 |
| WASHINGTON | JOHNNIE | 60817 | \$42136.0000 | RETIRED | NO | 10/19/17 | 056 |

POLICE DEPARTMENT
FOR PERIOD ENDING 11/03/17

| TITLE | | | | | | | |
|----------------|------------|---------|--------------|-----------|------|----------|--------|
| NAME | | NUM | SALARY | ACTION | PROV | EFF DATE | AGENCY |
| WASHINGTON III | RANDULPH | 71651 | \$38625.0000 | INCREASE | NO | 09/24/17 | 056 |
| WASSERMAN | ALANA R | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| WEEKS | KELLI A | 60817 | \$42136.0000 | RESIGNED | NO | 10/18/17 | 056 |
| WELCH | ANTONIO G | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| WEST | FRANCIS X | 31175 | \$51000.0000 | APPOINTED | YES | 10/10/17 | 056 |
| WESTGATE | MATTHEW D | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| WESTON | ROSA A | 60817 | \$42136.0000 | RETIRED | NO | 10/24/17 | 056 |
| WHIDBEE | SANIYYAH T | 71012 | \$36611.0000 | RESIGNED | NO | 10/21/17 | 056 |
| WHITE | DANIEL V | 70210 | \$48666.0000 | RESIGNED | NO | 10/20/17 | 056 |
| WILDER | BRYAN A | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| WILLIAMS | BRIAN H | 70210 | \$42500.0000 | PROMOTED | NO | 10/18/17 | 056 |
| WILLIAMS | DESMOND A | 71651 | \$38625.0000 | INCREASE | NO | 09/24/17 | 056 |
| WILLIAMS | KEVIN C | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| WILLIS | COLLEEN F | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| WILSON | TIFFANY M | 71012 | \$49571.0000 | DISMISSED | NO | 10/11/17 | 056 |
| WILSON | VICTOR E | 70210 | \$42500.0000 | APPOINTED | NO | 10/18/17 | 056 |
| WISE | TYRONE | 90702 | \$276.0000 | DECREASE | YES | 09/12/17 | 056 |
| WITTER | SCOTT S | 70210</ | | | | | |

| | | | | | | | | |
|-----------------|----------|---|-------|---------------|-----------|-----|----------|-----|
| CASSEMIRO | TATIANA | C | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| CASTIBLANCO | JOHN | D | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| CELESTINE | COLLIN | J | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| CHEN | ANDY | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| CHU | KAI JUNG | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| CHUBA | MYKOLA | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| COLON | JOHANNY | D | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| CONEN | SAM | A | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| CORTES II | EDWARD | L | 70310 | \$43904.0000 | DISMISSED | NO | 10/24/17 | 057 |
| DAVIS | DAVID | S | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| DE LEON-PICHARD | JOSE | L | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| DESMOND | ROBERT | D | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| DOBBIN | ELIZABET | | 53053 | \$35254.0000 | RESIGNED | NO | 10/18/17 | 057 |
| DOUGHERTY | RYAN | M | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| EBANKS | GARRETT | J | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| EDWARDS | SEAN | C | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| ERCOLANO | VINCENT | J | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| ESA | YUSEF | | 20310 | \$53134.0000 | APPOINTED | YES | 10/15/17 | 057 |
| ESPOSITO | JAMES | | 7038B | \$225456.0000 | INCREASE | NO | 01/01/17 | 057 |
| EZE | MACDONAL | C | 53054 | \$65226.0000 | RESIGNED | NO | 10/12/17 | 057 |
| FABRE | FABRICE | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| FAZIO | PETER | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| FEE | JOHN | T | 70360 | \$106175.0000 | RETIRED | NO | 09/01/17 | 057 |
| FEE | RYAN | F | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| FERNANDEZ-SARAV | EDWIN | A | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| FISCHETTI | JOSEPH | N | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| FITZPATRICK | KEVIN | J | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| FORKIN | MAHSA | J | 1002D | \$124000.0000 | APPOINTED | NO | 10/15/17 | 057 |
| FRACASSI | JOHN | J | 70310 | \$85292.0000 | RETIRED | NO | 09/01/17 | 057 |
| FUENTES | ALEX | E | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| GALLAGHER | WILLIAM | S | 70360 | \$106175.0000 | RETIRED | NO | 08/19/17 | 057 |
| GARCIA | JEFFREY | | 70310 | \$43904.0000 | RESIGNED | NO | 10/13/17 | 057 |
| GARRETT | PATRICK | R | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| GILCHRIST | TRAVON | T | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| GOINES | SHAKEEM | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| GOLLERSTEPPER | KURT | J | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| GONZALEZ | KALLIE | T | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| GOTAY | JONATHAN | R | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| GRANVILLE | NEFERTIT | N | 21744 | \$65678.0000 | APPOINTED | YES | 10/16/17 | 057 |
| GRASSO | NICHOLAS | M | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |

FIRE DEPARTMENT
FOR PERIOD ENDING 11/03/17

| NAME | TITLE | NUM | SALARY | ACTION | PROV | EFF DATE | AGENCY | |
|---------------|----------|-----|--------|---------------|-----------|----------|----------|-----|
| | | | | | | | | |
| GRAY | THOMAS | S | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| GUTIERREZ | ANDREW | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| GUZMAN | ISAAC | E | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| HAMMEL | JOHN | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| HARTWELL | CHRISTOP | J | 70310 | \$43904.0000 | RESIGNED | NO | 10/13/17 | 057 |
| HAUGHTON | KENNETH | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| HELPER | BRANDON | M | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| HERNANDEZ | DAVID | A | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| HERNANDEZ | RAYMUNDO | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| HIRTEN | THOMAS | J | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| HOCCHHAUSER | EDWARD | J | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| HOLL | RYAN | D | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| HUANG | LUO DAN | | 56057 | \$41036.0000 | RESIGNED | YES | 10/22/17 | 057 |
| HUANG | RENZHI | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| HYDE | ROBERT | J | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| JAKUBCZAK | DANIEL | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| JEAN-LOUIS | KEYANNA | N | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| JOHNSON | CASEY | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| JOHNSON | ISALAH | V | 53053 | \$35254.0000 | RESIGNED | NO | 10/19/17 | 057 |
| JOHNSON | VICTOR | K | 70310 | \$85292.0000 | RESIGNED | NO | 10/13/17 | 057 |
| JONAS JR | JOHN | A | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| JORDAN | DONNELL | | 70365 | \$121875.0000 | RETIRED | NO | 08/24/17 | 057 |
| KENDALL | ANTHONY | J | 53054 | \$55302.0000 | PROMOTED | NO | 09/05/17 | 057 |
| KIM | LESLEY | S | 53053 | \$32220.0000 | DECREASE | NO | 10/17/17 | 057 |
| KLEIN | TZINA | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| LAST | IAN | J | 70310 | \$43904.0000 | DISMISSED | NO | 10/13/17 | 057 |
| LEE | YINGYU | W | 10050 | \$120000.0000 | INCREASE | YES | 08/20/17 | 057 |
| LOPEZ | NICKEISH | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| LUI | DAVID | L | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MANNINI | VINCENT | F | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MANSFIELD | BRIAN | J | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MARTIN | JOHN | P | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MAZZONE | ERIC | M | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MCCARTHY | AMANDA | L | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MEJIA-MOSCOSO | FREDDY | M | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MELAMED | MATTHEW | J | 53040 | \$73.3700 | INCREASE | YES | 09/17/17 | 057 |
| MILKO | TRAVIS | W | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MITTO | RAHJAE-A | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MOGILOVSKY | CRAIG | B | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MONTOUR | SHERYL | | 30087 | \$75000.0000 | APPOINTED | YES | 10/15/17 | 057 |
| MORA | JOSEPH | H | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MORALES | MICHAEL | I | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MOREL | JONATHAN | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MOREL | JOSHUA | E | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MORENO | CATALINA | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MORRIS | TIMOTHY | L | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MUCCINI | CHRISTOP | C | 70310 | \$85292.0000 | DECEASED | NO | 10/15/17 | 057 |
| MULE | CHRISTOP | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| MUREZA | ABOUBACA | | 31662 | \$67073.0000 | INCREASE | NO | 10/01/17 | 057 |
| NAGI | SAMERAH | | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |
| NARANJO | JOHNATHA | L | 53053 | \$35254.0000 | APPOINTED | NO | 10/15/17 | 057 |

LATE NOTICE

CITYWIDE ADMINISTRATIVE SERVICES

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN THAT A REAL PROPERTY ACQUISITIONS AND DISPOSITIONS PUBLIC HEARING, in accordance with Section 824 of the New York City Charter, will be held on January 31, 2017 at 10:00 A.M., 1 Centre Street, 20th Floor, Conference Room D, Borough of Manhattan.

IN THE MATTER OF a lease for the City of New York, as tenant, on the ground floor of the building, located at 4312 2nd Avenue (Block 726, Lot 1) in the Borough of Brooklyn for use by the New York City Police Department, or by any other agency(s), office(s), board(s) or entity(ies) as the Commissioner of the Department of Citywide Administrative Services may determine, for warehouse, storage and ancillary office purposes.

The proposed use was approved by the City Planning Commission, pursuant to NYC Charter Section 197c on September 17, 2015 (CPC Appl. No. 150188 PCK, Public Hearing Cal. No. 6).

The leased premises consists of temporary premises and permanent premises. The lease term for the temporary premises shall commence date of substantial completion of alterations and improvements of the temporary premises and shall expire upon the date of substantial completion of alterations and improvements of the permanent premises. The lease term for the permanent premises shall commence on the date of substantial completion of alterations and improvements to the permanent premises and shall expire on May 31, 2030. Base rent for the entire leased premises (i.e. temporary and permanent premises) shall commence upon the date of substantial completion of alterations and improvements to the temporary premises, at \$444,647.50 per annum for years 1-2; \$471,726.53 per annum for years 3-4; \$500,454.68 per annum for years 5-6; \$530,932.37 per annum for years 7-8; \$563,266.15 per annum for years 9-10; \$597,569.06 per annum for years 11-12; and thereafter, \$633,961.01 per annum through May 31, 2030. Base rent is payable in equal monthly installments at the end of each month.

The lease may be terminated anytime between January 1, 2025 through December 31, 2025, provided the Tenant gives the Landlord one (1) year's prior written notice. In the event that the lease is terminated by the Tenant, the Tenant shall pay to the Landlord the unamortized portion of the brokerage commissions.

The Landlord shall prepare final architectural plans and engineering plans and make alterations and improvements in accordance with preliminary architectural plans and specifications which are attached to the lease. Landlord shall perform the alterations and improvements for the temporary premises at its sole cost and expense. The alterations and improvements for the permanent premises consist of base building work, which the Landlord shall provide at its sole cost and expense, and tenant work, the cost of which Tenant shall reimburse the Landlord, all in accordance with the terms of the lease. The total cost of the final architectural plans, engineering plans and tenant work for the permanent premises shall not exceed \$943,738.00.

Further information, including public inspection of the proposed lease may be obtained at One Centre Street, Room 2000 North, New York, NY 10007. To schedule an inspection, please contact Chris Fleming at (212) 386-0315.

Individuals requesting Sign Language Interpreters/Translators should contact the Mayor's Office of Contract Services, Public Hearings Unit, 253 Broadway, 9th Floor, New York, NY 10007, (212) 788-7490, no later than TEN (10) BUSINESS DAYS PRIOR TO THE PUBLIC HEARING. TDD users should call VERIZON relay services.

