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THE CITY RECORD

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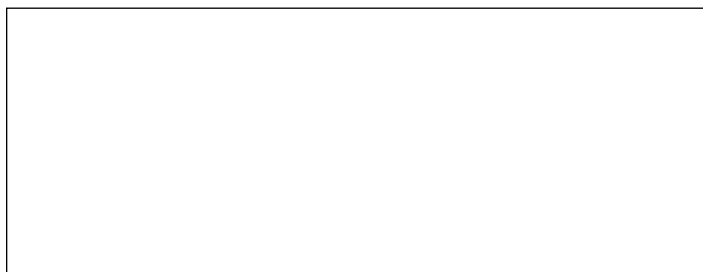
PUBLIC HEARINGS AND MEETINGS

See Also: Procurement; Agency Rules

BOROUGH PRESIDENT - BROOKLYN

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that, pursuant to Section 201 of the New York City Charter, the Brooklyn borough president will hold a public hearing on the following matters in the Borough President's Conference Room of Brooklyn Borough Hall, 209 Joralemon Street, Brooklyn, NY 11201, commencing, at 6:00 P.M., on Wednesday, November 13, 2019.



Calendar Item 1 — 2513-2523 Avenue O Rezoning (190438 ZMK)

An application submitted by Pulmonary and Sleep Medical, PC, pursuant to Sections 197-c and 201 of the New York City Charter, for a zoning map amendment to change properties, at the northwest corner of the intersection of Avenue O and East 26th Street, in Brooklyn Community District 14 (CD 14), from an R2 to an R3-2 district. Such action would achieve zoning compliance and conformance for a property consisting of two combined, semi-detached homes, with a ground-floor ambulatory medical facility and a single-family residence above.

Calendar Item 2 — 8118 13th Avenue Rezoning (190295 ZMK)

An application submitted by Stars and Stripes Holding Company, pursuant to Sections 197-c and 201 of the New York City Charter, for a zoning map amendment to establish a C1-3 commercial overlay within an existing R5B district on the western side of 13th Avenue, at the southwest corner of the intersection, at 82nd Street, extending halfway to 81st Street. Such action would legalize an existing, non-conforming Use Group (UG) 6 law office, at 8118 13th Avenue in Brooklyn Community District 10 (CD 10).

Calendar Item 3 — 271 Sea Breeze Avenue (190172 ZMK)

An application submitted by 271 Sea Breeze Development, LLC, pursuant to Sections 197-c and 201 of the New York City Charter, for a zoning map amendment establishing a C2-4 commercial overlay within an existing R6 district on the entirety of a block, bounded by Sea Breeze and West Brighton avenues and West Second and West Fifth streets, in Brooklyn Community District 13 (CD 13). Such action would facilitate two stories of commercial use, totaling approximately 25,020 square feet in an approximately 20-story, 114-unit as-of-right mixed-use development.

Calendar Item 4 — Grand Avenue and Pacific Street Rezoning (190256 ZMK, 190257 ZRK)

An application submitted by EMP Capital Group, pursuant to Sections 197-c and 201 of the New York City Charter, for the following actions: a zoning map amendment to change corner portions of two blocks fronting Grand Avenue and both sides of Pacific Street from M1-1 to R7D, and establish a C2-4 commercial overlay within the proposed rezoning area, and a zoning text amendment to establish a Mandatory Inclusionary Housing (MIH) area contiguous with the rezoning

boundaries, in Brooklyn Community District 8 (CD 8). Such actions would facilitate the development of 979-985 Pacific Street, a nine-story, approximately 56,000 square-foot mixed commercial and residential building with 64 dwelling units, of which 16 would be permanently affordable to households, at an average of 60 percent Area Median Income (AMI), pursuant to MIH Option 1.

Accessibility questions: Inna Guzenfeld (718) 802-3754 iguzenfeld@brooklynbp.nyc.gov, by: Tuesday, November 12, 2019, 12:00 P.M.



n1-13

CITY COUNCIL

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that the Council, has scheduled the following public hearings, on the matters indicated below:

The Subcommittee on Zoning and Franchises, will hold a public hearing, in the Council Committee Room, City Hall, New York, NY 10007, commencing at 9:30 A.M., on November 4, 2019:

LA HERMOSA

MANHATTAN CB 10

C 190434 ZMM

Application submitted by La Hermosa Christian Church, pursuant to Sections 197-c and 201 of the New York City Charter, for the amendment of the Zoning Map, Section No. 6b:

- 1. eliminating from within an existing R7-2 District a C1-4 District, bounded by West 111th Street, Fifth Avenue, a line midway between Central Park North and West 111th Street, and a line 100 feet westerly of Fifth Avenue;
2. eliminating from within an existing R8 District a C1-4 District, bounded by a line midway between Central Park North and West 111th Street, Fifth Avenue, Central Park North, and a line 100 feet westerly of Fifth Avenue (straight line portion) and its southerly prolongation;
3. changing from an R7-2 District to a C1-9 District, property bounded by West 111th Street,
a. Fifth Avenue, a line midway between Central Park North and West 111th Street, and a line 200 feet westerly of Fifth Avenue; and
4. changing from an R8 District to a C1-9 District, property bounded by a line midway between Central Park North and West 111th Street, Fifth Avenue, Central Park North, and a line 200 feet westerly of Fifth Avenue (straight line portion) and its southerly prolongation;

as shown on a diagram (for illustrative purposes only), dated May 6, 2019, and subject to the CEQR declaration of E-538.

LA HERMOSA

MANHATTAN CB 10

N 190433 ZRM

Application submitted by La Hermosa Christian Church, pursuant to Section 201 of the New York City Charter, for an amendment of the Zoning Resolution of the City of New York, modifying Appendix F, for the purpose of establishing a Mandatory Inclusionary Housing area.

Matter underlined is new, to be added;
Matter struck out is to be deleted;
Matter within # # is defined in Section 12-10;
* * * indicates where unchanged text appears in the Zoning Resolution

* * *

APPENDIX F
Inclusionary Housing Designated Areas and Mandatory Inclusionary Housing Areas

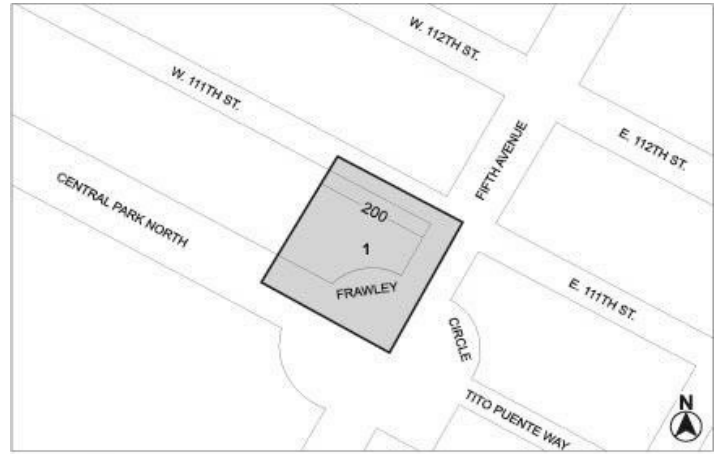
* * *

MANHATTAN

* * *

Manhattan Community District 10

Map 1- [date of adoption]



Mandatory Inclusionary Housing Area (see Section 23-154(d)(3))
Area 1 — [date of adoption] — MIH Program Option 1 and Option 2

Portion of Community District

LA HERMOSA

MANHATTAN CB 10

C 190435 ZSM

Application submitted by La Hermosa Christian Church, pursuant to Sections 197-c and 201 of the New York City Charter, for the grant of a special permit, pursuant to Section 74-851 of the Zoning Resolution, to modify the street wall location requirements of Section 35-64 (Special Tower Regulations for Mixed Buildings), and the tower lot coverage requirements, tower floor area distribution requirements, and height and setback requirements of Section 23- 651 (Tower-on-a-Base), in connection with a proposed mixed use development, on property located, at 5 West 110th Street (Block 1594, Lots 30 and 41), in a C1-9 District.

* Note: the site is proposed to be rezoned, by eliminating C1-4 Districts, from within existing R7-2 and R8 Districts, and by changing existing R7-2 and R8 Districts, to a C1-9 District.

Plans for this proposal are on file, with the City Planning Commission, and may be seen, at 120 Broadway, 31st Floor, New York, NY 10271-0001.

LA HERMOSA

MANHATTAN CB 10

C 190436 ZSM

Application submitted by La Hermosa Christian Church, pursuant to Sections 197-c and 201 of the New York City Charter, for the grant of a special permit, pursuant to Section 74-533 of the Zoning Resolution, to waive the required number of accessory off-street parking spaces, for dwelling units, in a development within a Transit Zone, that includes at least 20 percent of all dwelling units, as income-restricted housing units, in connection with a proposed mixed-use development, on property located, at 5 West 110th Street (Block 1594, Lots 30 and 41), in a C1-9 District*.

* Note: the site is proposed to be rezoned by eliminating C1-4 Districts, from within existing R7-2 and R8 Districts, and by changing existing R7-2 and R8 Districts, to a C1-9 District.

Plans for this proposal are on file, with the City Planning Commission, and may be seen, at 120 Broadway, 31st Floor, New York, NY 10271-0001.

515 BLAKE AVENUE

BROOKLYN CB 5

C 190409 HAK

Application submitted by the NYC Department of Housing Preservation and Development (HPD)

- 1) pursuant to Article 16 of the General Municipal Law of New York State for:
a. The designation of property, located at (Block 3766, Lot 1) as an Urban Development Action Area; and
b. An Urban Development Action Area Project, for such area; and
2) pursuant to Section 197-c of the New York City Charter, for the disposition of such property, to a developer, to be selected by HPD;

to facilitate the construction of four new buildings, containing approximately 195 redeveloped homeless shelter units and approximately 324 affordable housing units and commercial and community facility space.

515 BLAKE AVENUE

BROOKLYN CB 5

C 190410 ZMK

Application submitted by the NYC Department of Housing Preservation and Development, pursuant to Sections 197-c and 201 of the New York City Charter, for an amendment of the Zoning Map, Section No. 17d:

- 1. eliminating from within an existing R6 District a C2-3 District, bounded by a line 150 feet northerly of Blake Avenue, Hinsdale Street, Blake Avenue, and Snediker Avenue;
2. changing from an R6 District to an R6A District, property bounded by a line 150 feet southerly of Sutter Avenue, Hinsdale Street, a line 100 feet northerly of Blake Avenue, and Snediker Avenue;
3. changing from an R6 District to an R7D District, property bounded by a line 100 feet northerly of Blake Avenue, Hinsdale Street, Blake Avenue, and Snediker Avenue;
4. changing from a C4-3 District to an R7D District, property bounded by Sutter Avenue, Hinsdale Street, a line 150 feet southerly of Sutter Avenue, and Snediker Avenue;
5. establishing within a proposed R7D District a C1-4 District, bounded by a line 100 feet northerly of Blake Avenue, Hinsdale Street, Blake Avenue, and Snediker Avenue; and
6. establishing within a proposed R7D District a C2-4 District, bounded by Sutter Avenue, Hinsdale Street, a line 150 feet southerly of Sutter Avenue, and Snediker Avenue;

as shown on a diagram (for illustrative purposes only), dated May 20, 2019.

515 BLAKE AVENUE

BROOKLYN CB 5

N 190411 ZRK

Application submitted by the NYC Department of Housing Preservation and Development, pursuant to Section 201 of the New York City Charter, for an amendment of the Zoning Resolution of the City of New York, modifying APPENDIX F, for the purpose of establishing a Mandatory Housing Inclusionary area.

Matter underlined is new, to be added;
Matter struck out is to be deleted;
Matter within # # is defined in Section 12-10; and
* * * indicates where unchanged text appears in the Zoning Resolution.

* * *

APPENDIX F
Inclusionary Housing Designated Areas and Mandatory Inclusionary Housing Areas

* * *

BROOKLYN

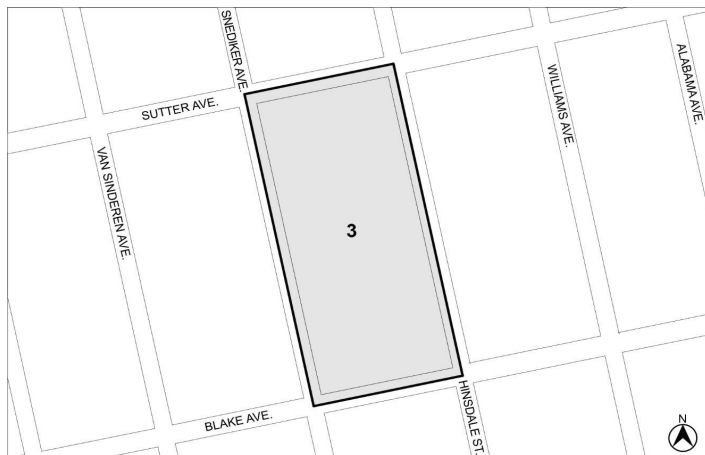
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Brooklyn Community District 5

* * *

Map 3 - [date of adoption]

[PROPOSED MAP]



Mandatory Inclusionary Housing Program Area see Section 23-154(d)(3)

Area 3 — (date of adoption) MIH Program Option 1

Portion of Community District 5, Brooklyn

* * *

515 BLAKE AVENUE

BROOKLYN CB 5

C 190421 ZSK

Application submitted by the NYC Department of Housing Preservation & Development, pursuant to Sections 197-c and 201 of the New York City Charter, for the grant of a special permit, pursuant to the following Section 74-743(a) of the Zoning Resolution, to allow the distribution of total allowable floor area, without regard for zoning district lines, in connection with a proposed mixed-use development, within a large-scale general development, bounded by Sutter Avenue, Hinsdale Street, a line 50 feet northerly of Blake Avenue, a line midway between Snediker Avenue and Hinsdale Street, Blake Avenue, and Snediker Avenue (Block 3766, Lot 1), in R6A*, R7D/C1-4*, and R7D/C2-4* Districts.

* Note: The site is proposed to be rezoned by eliminating a C2-3 District, from within an existing R6 District, and by changing R6 and C4-3 Districts, to R6A, R7D/C1-4, and R7D/C2-4 Districts, under a concurrent related application for a Zoning Map change (C 190410 ZMK).

6003 8th AVENUE REZONING

BROOKLYN CB 12

C 190305 ZMK

Application submitted by 6003 8 Ave LLC, pursuant to Sections 197-c and 201 of the New York City Charter, for an amendment of the Zoning Map, Section No. 22a:

- 1. eliminating from an existing R6 District a C1-3 District, bounded by 60th Street, a line 150 feet southeasterly of Eighth Avenue, a line midway between 60th Street and 61st Street, and Eighth Avenue; and
2. changing from an R6 District to a C4-2 District, property bounded by 60th Street, a line 150 feet southeasterly of Eighth Avenue, a line midway between 60th Street and 61st Street, and Eighth Avenue;

Borough of Brooklyn, Community District 12, as shown on a diagram (for illustrative purposes only), dated May 20, 2019.

Accessibility questions: Land Use Divison (212) 482-5154, by: Wednesday, October 30, 2019, 3:00 P.M.



o29-n4

CITY PLANNING COMMISSION

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a resolution has been adopted by the City Planning Commission, scheduling a public hearing on the following matter, to be held, at NYC City Planning Commission, Hearing Room, Lower Concourse, 120 Broadway, New York, NY, on Wednesday, November 13, 2019, at 10:00 A.M.

BOROUGH OF MANHATTAN
No. 1
105 DUANE STREET POPS

CD 1

C 190510 ZSM

IN THE MATTER OF an application submitted by Tribeca Equity Partners, L.P., pursuant to Sections 197-c and 201 of the New York City Charter, for the grant of a special permit, pursuant to Section 74-91 of the Zoning Resolution, to modify the provisions of Section 37-70 (Public Plaza), in connection with the proposed design changes to an existing residential plaza, on property, located at 105 Duane Street (Block 151, Lots 1, 20 and 22), in C6-4 and C6-4A Districts.

Plans for this proposal are on file with the City Planning Commission and, may be seen, at 120 Broadway, 31st Floor, New York, NY 10271-0001.

YVETTE V. GRUEL, Calendar Officer
City Planning Commission
120 Broadway, 31st Floor, New York, NY 10271
Telephone (212) 720-3370



o29-n13

COMMUNITY BOARDS

NOTICE

NOTICE IS HEREBY GIVEN that the following matters have been scheduled for public hearing by Community Board:

BOROUGH OF QUEENS

COMMUNITY BOARD NO. 04 - Tuesday, November 12, 2019, 7:00 P.M., VFW Post 150, 51-11 108 Street, Corona, NY.

A Public Hearing on the Capital & Expense Budget Priorities, for Fiscal Year 2021.

If you wish to testify, please call the Board office, at (718) 760-3141. If you would like to send written testimony, please fax, to (718) 760-5971, or email, to qn04@cb.nyc.gov.

Accessibility questions: Christian Cassagnol (718) 760-3141, ccassagnol@cb.nyc.gov, by: Tuesday, November 12, 2019, 5:00 P.M.



o31-n7

ENVIRONMENTAL PROTECTION

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that, pursuant to Title 5, Chapter 3, Subchapter 3 of the Administrative Code of the City of New York, a public hearing will be held, at 22 Reade Street, Spector Hall, Borough of Manhattan on Wednesday, November 13, 2019, at 10:00 A.M. on the following:

REAL PROPERTY PUBLIC HEARING IN THE MATTER OF the acquisition by the City of New York of Fee Simple (Fee) interests, including properties in the City Funded Flood Buyout Program (FBO) and Streamside Acquisition Program (SAP), in the following real estate in the Counties of Delaware, Greene, Schoharie and Ulster for the purposes of providing for the continued supply of water, and for preserving and preventing the contamination or pollution of the New York City water supply system:

NYC ID	County	Town	Type	Tax Lot ID	Acres (+/-)
3742	Delaware	Andes	Fee	p/o 236.-1-1	63.40
9554		Delhi	Fee	p/o 236.-3-10	176.00
8688		Hamden	Fee	253.-3-4	29.06
8971		Roxbury	Fee	178.-1-23	22.83
2688		Tompkins	Fee	p/o 206.-1-11.2	40.00
9563		Tompkins	Fee	333.-1-17	61.35
9283	Greene	Jewett	SAP	p/o 111.00-1-61 & p/o 111.00-1-63	8.30
9283		Jewett	SAP	111.00-2-52 & 53	10.00
9318		Windham	SAP	60.00-3-5.2	3.10
9513	Schoharie	Conesville	Fee	202.-2-19	21.20
9552		Conesville	SAP	203.-2-7.1	6.00
4988	Ulster	Shandaken	City FBO	25.1-2-18	4.92
9419		Shandaken	City FBO	5.18-1-6	1.00
9520		Shandaken	Fee	25.11-1-13	1.00
9520		Woodstock	Fee	25-2-4	8.50

A copy of the Mayor's Preliminary Certificates of Adoption and maps of the real estate to be acquired are available for public inspection upon request. Please call (845) 340-7810.

Note: This location is accessible to individuals using wheelchairs or other mobility devices. For further information on accessibility or to make a request for accommodations, such as sign language interpretation services, please contact the Mayor's Office of Contract Services (MOCS) via email at DisabilityAffairs@mocs.nyc.gov or via phone, at (212) 788-0010. Any person requiring reasonable accommodation for the public hearing should contact MOCS at least three (3) business days in advance of the hearing to ensure availability.

n1

FRANCHISE AND CONCESSION REVIEW COMMITTEE

MEETING

PUBLIC NOTICE IS HEREBY GIVEN that the Franchise and Concession Review Committee, will hold a public meeting, on Wednesday, November 13, 2019, at 2:30 P.M., at 22 Reade Street, Spector Hall, New York, NY 10007.

NOTE: This location is accessible to individuals using wheelchairs or other mobility devices. For further information on accessibility, or to make a request for accommodations, such as sign language interpretation services, please contact the Mayor's Office of Contract Services (MOCS), via email, at DisabilityAffairs@mocs.nyc.gov, or via phone, at (212) 788-0010. Any person requiring reasonable accommodation for the public meeting, should contact MOCS, at least three (3) business days in advance of the meeting, to ensure availability.

o31-n13

HOUSING AND COMMUNITY RENEWAL

PUBLIC HEARINGS

New York State Division of Housing and Community Renewal
Office of Rent Administration

NOTICE OF MAXIMUM BASE RENT PUBLIC HEARING

PUBLIC NOTICE IS HEREBY GIVEN, pursuant to §26-405a(9) of the New York City Rent and Rehabilitation Law that the New York State Division of Housing and Community Renewal (DHCR) will conduct a public hearing to be held, at 250 Broadway, 19th Floor, New York State Assembly Hearing Room, New York, NY 10007 on Thursday, November 21, 2019, for the purpose of collecting information relating to all factors which the DHCR may consider in establishing a Maximum Base Rent (MBR) for rent controlled housing accommodations, located in the City of New York, for the 2020-2021 biennial MBR cycle, pursuant to the **Housing Stability and Tenant Protection Act of 2019** (effective as of June 14, 2019). The morning session of the hearing, will be held from 10:00 A.M. to 12:30 P.M.; the afternoon session will run from 2:00 P.M. to 4:30 P.M.

Pre-Registration of speakers is advised. Those who wish to pre-register may call the office of Michael Berrios, Executive Assistant, at (718) 262-4816, or email michael.berrios@nyshcr.org, and state the time they wish to speak, at the hearing and whom they represent. Pre-Registered speakers who have reserved a time to speak will be heard, at approximately that time. Speakers who register the day of the hearing will be heard in the order of registration, at those times not already reserved by Pre-Registered speakers. Speaking time will be limited to five minutes in order to give as many people as possible the opportunity to be heard. Speakers should be prepared to submit copies of their remarks to the DHCR official presiding over the hearing. The hearing will conclude when all registered speakers in attendance, at the hearing have been heard. DHCR will also accept written testimony submitted prior to the end of the hearing. Submissions may also be sent in advance to Michael Berrios, Executive Assistant, 6th Floor, Division of Housing and Community Renewal, Gertz Plaza, 92-31 Union Hall Street, Jamaica, NY 11433. To obtain a report on the DHCR recommendation for the 2020-2021 MBR cycle, interested parties should call (718) 262-4816, or email michael.berrios@nyshcr.org.

o30-n20

LANDMARKS PRESERVATION COMMISSION

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that, pursuant to the provisions of Title 25, Chapter 3 of the Administrative Code of the City of New York (Sections 25-303, 25-307, 25-308, 25-309, 25-313, 25-318, 25-320) on Tuesday, November 12, 2019, a public hearing will be held, at 1 Centre Street, 9th Floor, Borough of Manhattan with respect to the following properties and then followed by a public meeting. The final order and estimated times for each application will be posted on the Landmarks Preservation Commission website, the Friday before the hearing. Any person requiring reasonable accommodation in order to participate in the hearing or attend the meeting, should contact the Landmarks Commission no later than five (5) business days before the hearing or meeting.

448 Waverly Avenue - Clinton Hill Historic District

LPC-20-02108 - Block 1961 - Lot 66 - Zoning: R6B

CERTIFICATE OF APPROPRIATENESS

A Greek Revival style rowhouse, built c. 1840s. Application is to raise the top floor, construct a rooftop bulkhead, modify window openings, and replace a door.

450 Waverly Avenue - Clinton Hill Historic District

LPC-20-02109 - Block 1961 - Lot 67 - Zoning: R6B

CERTIFICATE OF APPROPRIATENESS

A Greek Revival style rowhouse, built c. 1840s. Application is to raise the top floor, construct a rooftop bulkhead, and modify window openings.

16 Grace Court Alley - Brooklyn Heights Historic District

LPC-20-01741 - Block 253 - Lot 34 - Zoning: R6

CERTIFICATE OF APPROPRIATENESS

A carriage house. Application is to construct rooftop and rear yard additions, raise the roof, replace windows and doors, and modify masonry openings.

265 Alexander Avenue - Mott Haven East Historic District

LPC-19-40231 - Block 2314 - Lot 27 - Zoning:

CERTIFICATE OF APPROPRIATENESS

A Queen Anne style row house with Victorian Gothic elements, designed by Richard Lomax and built in 1887-88. Application is to construct a rooftop addition.

297-299 Alexander Avenue - Mott Haven Historic District

LPC-20-00616 - Block 2314 - Lot 67 - Zoning: R6

CERTIFICATE OF APPROPRIATENESS

Two transitional French Neo-Grec and Queen Anne style rowhouses, designed by Charles W. Romeyn and built in 1881-1882. Application is to construct a rooftop addition.

140 Prospect Avenue - Douglaston Historic District

LPC-19-39089 - Block 8095 - Lot 61 - Zoning: R1-2

CERTIFICATE OF APPROPRIATENESS

An Arts and Crafts style house, built c. 1915. Application is to legalize the extension of a paved patio and construction of an outdoor kitchen island, without Landmarks Preservation Commission permit(s).

65 Spring Street - SoHo-Cast Iron Historic District Extension

LPC-19-37371 - Block 496 - Lot 35 - Zoning: M1-5B

CERTIFICATE OF APPROPRIATENESS

An altered Italianate style store and tenement building, designed by William E. Waring and built in 1878. Application is to replace storefront infill.

584 Broadway - SoHo-Cast Iron Historic District

LPC-19-38548 - Block 511 - Lot 8 - Zoning: M1-5B

CERTIFICATE OF APPROPRIATENESS

A commercial building, designed by Buchman & Deisler and built in 1897-98. Application is to install a flagpole and banner.

1 West 29th Street - Individual Landmark

LPC-19-39791 - Block 831 - Lot 33 - Zoning: C5-2 M1-6

CERTIFICATE OF APPROPRIATENESS

A Romanesque Revival style church with Gothic Revival style details, designed by Samuel A. Warner and built in 1854. Application is to install signage.

202 West 83rd Street - Upper West Side/Central Park West Historic District

LPC-20-01959 - Block 1230 - Lot 34 - Zoning: R8B

CERTIFICATE OF APPROPRIATENESS

A Neo-Grec style apartment building, designed by Thom & Wilson and built in 1880-81. Application is to install entrance infill.

204 West 83rd Street - Upper West Side/Central Park West Historic District

LPC-20-03937 - Block 1230 - Lot 35 - Zoning: R8B

CERTIFICATE OF APPROPRIATENESS

A Neo-Grec style apartment building, designed by Thom & Wilson and built in 1880-81. Application is to install entrance infill.

206 West 83rd Street - Upper West Side/Central Park West Historic District

LPC-20-03938 - Block 1230 - Lot 135 - Zoning: R8B

CERTIFICATE OF APPROPRIATENESS

A Neo-Grec style apartment building, designed by Thom & Wilson and built in 1880-81. Application is to install entrance infill.

208 West 83rd Street - Upper West Side/Central Park West Historic District

LPC-20-03939 - Block 1230 - Lot 36 - Zoning: R8B

CERTIFICATE OF APPROPRIATENESS

A Neo-Grec style apartment building, designed by Thom & Wilson and built in 1880-81. Application is to install entrance infill.

210 West 83rd Street - Upper West Side/Central Park West Historic District

LPC-20-03940 - Block 1230 - Lot 37 - Zoning: R8B

CERTIFICATE OF APPROPRIATENESS

A Neo-Grec style apartment building, designed by Thom & Wilson and built in 1880-81. Application is to install entry doors.

771 West End Avenue - Riverside - West End Historic District Extension II

LPC-19-40189 - Block 1887 - Lot 50 - Zoning: R8

CERTIFICATE OF APPROPRIATENESS

A Renaissance Revival style apartment building, designed by Schwartz & Gross and built in 1914-15. Application is to establish a Master Plan governing the future installation of windows.

256 West 75th Street - West End - Collegiate Historic District Extension

LP-1940833 - Block 1166 - Lot 161 - Zoning:

CERTIFICATE OF APPROPRIATENESS

A Queen Anne style rowhouse, designed by William J. Merritt and built in 1885-1886. Application is to construct rooftop and rear yard additions.

132 East 62nd Street - Upper East Side Historic District

LPC-20-01930 - Block 1396 - Lot 60 - Zoning: R8B C1-8X

CERTIFICATE OF APPROPRIATENESS

An Italianate style rowhouse, designed by John Sexton and built in 1871. Application is to legalize the installation of an areaway fence and gate, without Landmarks Preservation Commission permit(s).

467 West 140th Street - Hamilton Heights Historic District

LPC-19-17107 - Block 2057 - Lot 133 - Zoning: R6A

CERTIFICATE OF APPROPRIATENESS

A Beaux Arts style townhouse, designed by George Ebert and built in 1901-02. Application is to legalize and modify windows installed, without Landmarks Preservation Commission permit(s).

o28-n12

BOARD OF STANDARDS AND APPEALS**■ PUBLIC HEARINGS****November 19, 2019, 10:00 A.M.**

NOTICE IS HEREBY GIVEN of a public hearing, Tuesday morning, November 19, 2019, 10:00 A.M., in Spector Hall, 22 Reade Street, New York, NY 10007, on the following matters:

SPECIAL ORDER CALENDAR**727-86-BZ**

APPLICANT – Law Office of Fredrick A. Becker, for Shuqeri Selimaj Family 2018 Trust, owner; Club A Steakhouse, lessee.

SUBJECT – Application February 14, 2019 – Extension of Term of a previously granted Variance (§72-21) to allow an eating and drinking establishment (UG6), at the cellar, basement and first floor of a three-story building, in an R8B zoning district which expired on January 17, 2019. R8B zoning district.

PREMISES AFFECTED – 240 East 58th Street, Block 1331, Lot 30, Borough of Manhattan.

COMMUNITY BOARD #6M**1-09-BZ**

APPLICANT – Law Office of Fredrick A. Becker, for 39-01 QB LLC, owner; TSI Sunnyside LLC dba New York Sports Club, lessee.

SUBJECT – Application July 24, 2019 – Extension of Term of a previously approved Special Permit (§73-36) which permitted the operation of a physical culture establishment (New York Sports Club) which expired December 1, 2018; Amendment to permit a change in hours of operation; Extension of Time to Obtain a Certificate of Occupancy; Waiver of the Board's Rules. M1-4 zoning district.

PREMISES AFFECTED – 39-01 Queens Boulevard, Block 191, Lot 5, Borough of Queens.

COMMUNITY BOARD #2Q**2017-216-BZ**

APPLICANT – Sheldon Lobel, P.C., for 411 Wales Realty, LLC, owner; Civic Builders, Inc., lessee.

SUBJECT – Application May 10, 2019 – Amendment of a previously approved Special Permit (§73-19) to permit a school (UG 3) (Rosalyn Yalow Charter School) within an existing two-story manufacturing building, contrary to ZR §42-12. The amendment seeks to modify a condition, permitting middle school or high school to occupy a second-floor incubation space. It proposed to provide a temporary space for an elementary school, to incubate the second floor for two years. M1-2 zoning district.

PREMISES AFFECTED – 411 Wales Avenue, Block 2574, Lot 82, Borough of Bronx.

COMMUNITY BOARD #1BX**APPEALS CALENDAR****2018-68-A thru 2018-90-A**

APPLICANT – Sanna & Loccisano Architects, P.C., for Rubicon SGA, LLC, owner.

SUBJECT – Application May 14, 2018 – Proposed construction of 23 detached residences, not fronting on a legally mapped street, contrary

to General City Law 36. R3-X South Richmond Special Purpose district.

PREMISES AFFECTED – 90, 84, 78, 72, 66, 60, 54,48, 42, 36, 37, 43,49,55, 61, 67,73, 79, 85, 91, 97, 103, 96 Santina Drive, Block 6517, Tentative Lots, 76, 80, 81, 82, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 101, Borough of Staten Island.

COMMUNITY BOARD #5SI

2019-168-A

APPLICANT – Greenberg Traurig, LLP, for 140 Fulton Associates LLC, owner.

SUBJECT – Application June 7, 2019 – Proposed construction of a mixed-use building with retail and hotel use on requesting a waiver, pursuant to General City Law §35, to allow the building to be constructed in the bed of a mapped street and a waiver of bulk regulation, pursuant to ZR §72-01(g). C5-5 Special Lower Manhattan District.

PREMISES AFFECTED – 140 and 142 Fulton Street, Block 79, Lot(s) 26, 27, Borough of Manhattan.

COMMUNITY BOARD #1M

November 19, 2019, 1:00 P.M.

NOTICE IS HEREBY GIVEN of a public hearing, Tuesday afternoon, November 19, 2019, 1:00 P.M., in Spector Hall, 22 Reade Street, New York, NY 10007, on the following matters:

ZONING CALENDAR

2019-22-BZ

APPLICANT – Sheldon Lobel, P.C., for Savita Ramchandani, owner.

SUBJECT – Application January 28, 2019 – Variance (§72-21) to permit the construction of a semi-detached single-family home contrary to use (ZR §22-12(a)(1); FAR (ZR §23-142); side yards (ZR §23-461) and parking (ZR §25-22). R3X zoning district.

PREMISES AFFECTED – 24-47 95th Street, Block 1106, Lot 44, Borough of Queens.

COMMUNITY BOARD #3Q

2019-26-BZ

APPLICANT – Akerman, LLP, for 233 Nevins Street LLC, owner; The Cliffs at Gowanus, LLC, lessee.

SUBJECT – Application February 4, 2019 – Special Permit (§73-36) to permit the operation of a physical cultural establishment (*Cliffs at Gowanus*) a portion of the first floor, and on the second, third, and fourth floors contrary to ZR 42-10. M1-2 zoning district.

PREMISES AFFECTED – 233 Nevins Street aka 236 Butler Street, Block 412, Lot 6, Borough of Brooklyn.

COMMUNITY BOARD #6BK

2019-30-BZ

APPLICANT – Eric Palatnik, P.C., for Georgy Reyderman, owner.

SUBJECT – Application November 19, 2019 – Special Permit (§73-622) to permit the enlargement of an existing single-family home, contrary to rear yard requirements (ZR §23-47) and side yard (ZR §23-461). R4 zoning district.

PREMISES AFFECTED – 2705 East 28th Street, Block 8791, Lot 120, Borough of Brooklyn.

COMMUNITY BOARD #15BK

2019-80-BZ

APPLICANT – Eric Palatnik, P.C., for First Flatiron LLC, owner; MJM Boxing 2 LLC, lessee.

SUBJECT – Application April 26, 2019 – Special Permit (§73-36) to permit the legalization of a physical culture establishment (*Title Boxing Club*), to be located on the second floor of an existing 10-story mixed use commercial and residential building, contrary to ZR §32-10. C6-4A Flatiron District located within the Ladies Mile Historic District.

PREMISES AFFECTED – 15 West 18th Street, Block 820, Lot 29, Borough of Manhattan.

COMMUNITY BOARD #5M

2019-93-BZ

APPLICANT – Jay Goldstein, Esq., for Khal Zichron Avrohom Yaakov, owner.

SUBJECT – Application May 13, 2019 – Variance (§72-21) to permit the development of a two-story plus cellar house of worship (UG 4) (*Khal Zichron Avrohom Yaakov*) contrary to ZR §24-11 (floor area/FAR), ZR §24-34 (front yard), ZR §24-35 (side yards), ZR §24-36 (rear yard) and ZR §25-31 (Parking). R2 zoning district.

PREMISES AFFECTED – 3203 Bedford Avenue, Block 7607, Lot 13, Borough of Brooklyn.

COMMUNITY BOARD #14BK

Margery Perlmutter, Chair/Commissioner

Accessibility questions: mmilfort@bsa.nyc.gov (212) 386-0078, by: Friday, November 15, 2019, 4:00 P.M.



COURT NOTICES

SUPREME COURT

RICHMOND COUNTY

■ NOTICE

**RICHMOND COUNTY
I.A.S. PART 89
NOTICE OF ACQUISITION
INDEX NUMBER CY4560/2019
CONDEMNATION PROCEEDING**

IN THE MATTER OF the Application of the CITY OF NEW YORK, Relative to Acquiring Title in Fee Simple to Certain Real Property, where not heretofore acquired, for the

MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK

In the area generally bounded by Olympia Boulevard to the North, Hunter Avenue to the West, Liberty Avenue to the East and Farther Capodanno Boulevard to the South in the Borough of Staten Island, City and State of New York.

PLEASE TAKE NOTICE that the City of New York (“City”) intends to make an application to the Supreme Court of the State of New York, Richmond County, IAS Part 89, for certain relief. The application will be made at the following time and place: At the Kings County Courthouse, located at 360 Adams Street, Room 724, in the Borough of Brooklyn, City and State of New York, on November 21, 2019, at 2:30 P.M. or as soon thereafter as counsel can be heard.

The application is for an order:

1. authorizing the City to file an acquisition map in the Office of the Richmond County Clerk;
2. directing that, upon the filing of the order granting the relief sought in this petition, together with the filing of the acquisition map in the Office of Richmond County Clerk, title to the property shown on said map and sought to be acquired and more particularly described in this petition shall vest in the City in fee simple absolute;
3. providing that the compensation that should be made to the owners of the interests in real property sought to be acquired and described in this petition be ascertained and determined by the Court without a jury;
4. directing that within thirty days of the entry of the order granting the relief sought in this petition, the City shall cause a Notice of Acquisition to be published in at least ten successive issues of The City Record, an official newspaper published in the City of New York, and shall serve a copy of such notice by first class mail on each condemnee or his, her, or its attorney of record; and
5. directing that each condemnee shall have a period of two calendar years from the vesting date for this proceeding in which to file a written claim, demand or notice of appearance with the Clerk of this Court and to serve a copy of the same upon the Corporation Counsel of the City of New York, 100 Church Street, New York, NY 10007.

The City, in this proceeding, intends to acquire title in fee simple absolute to real property where not heretofore acquired, namely for the **Mid-Island Bluebelt, Phase 3 — New Creek** in the Borough of Staten Island, City and State of New York. The City’s DEP Bluebelt Program (“Program”) is a multi-purpose program that provides comprehensive stormwater management and reduces chronic street and property flooding while preserving and enhancing wetlands. This comprehensive Program will be implemented with multi-phase capital projects over several decades. This Program will, amongst other things, implement amended drainage plans that provide a stormwater management network that includes storm sewers, best management practices, Bluebelt wetlands, and ocean outfalls; preserve and enhance wetlands to provide pollutant filtration and flood control; and provide for construction and upgrades of the sanitary sewer system, where needed. All sewer installation would involve street reconstruction once the sewers are installed.

In this phase, approximately 28.8 acres in the New Creek watershed area comprised of full tax lots and unlotted street beds will be acquired for this Program. The real property to be acquired in this proceeding in fee simple absolute is set forth in detail in the annexed Verified Petition. In addition, surveys, maps or plans of the property to be acquired are on file in the office of the Corporation Counsel of the City of New York, 100 Church Street, New York, NY 10007.

PLEASE TAKE FURTHER NOTICE that pursuant to Eminent Domain Procedure Law 402(B)(4), any party seeking to oppose the acquisition must interpose a verified answer which must contain specific denial of each material allegation of the petition controverted by the opponent, or any statement of new matter deemed by the opponent to be a defense to the proceeding. Pursuant to CPLR 403, said answer must be served upon the office of the Corporation Counsel at least seven (7) days before the date that the petition is noticed to be heard.

New York, NY
October 22, 2019
GEORGIA M. PESTANA
Acting Corporation Counsel of the City of New York
Attorneys for the Condemnor
100 Church Street
New York, NY 10007
Tel. (212) 356-4064

SEE MAP(S) IN BACK OF PAPER

← n1-18

**RICHMOND COUNTY
I.A.S. PART 89
NOTICE OF PETITION
INDEX NUMBER CY4559/2019
CONDEMNATION PROCEEDING**

IN THE MATTER OF the Application of the CITY OF NEW YORK Relative to Acquiring Title in Fee Simple to Property, located in Staten Island, including All or Parts of the bed of

AMBOY ROAD NORTHEAST AND SOUTHWEST OF PAGE AVENUE

located in an area generally bounded by Murray Street and Low Street.

PLEASE TAKE NOTICE that the City of New York (the "City"), intends to make an application to the Supreme Court of the State of New York, Richmond County, IA Part 89, for certain relief. The application will be made at the following time and place: At the Kings County Courthouse, located at 360 Adams Street, in the Borough of Brooklyn, City and State of New York, on Thursday, November 21, 2019, at 2:15 P.M., or as soon thereafter as counsel can be heard.

The application is for an order:

- a. authorizing the City to file an acquisition map in the Richmond County Clerk's Office;
- b. directing that, upon the filing of the order granting the relief sought in this petition and the filing of the acquisition map in the Richmond County Clerk's Office, title to the property sought to be acquired and described below shall vest in the City in fee simple absolute;
- c. providing that the compensation which should be made to the owners of the real property sought to be acquired and described above be ascertained and determined by the Court without a jury;
- d. directing that within thirty days of the entry of the order granting the petition vesting title, the City shall cause a notice of acquisition to be published in at least ten successive issues of The City Record, an official newspaper published in the City of New York, and shall serve a copy of such notice by first class mail on each condemnee or his, her, or its attorney of record;
- e. directing that each condemnee shall have a period of two calendar years from the vesting date for this proceeding, in which to file a written claim, demand or notice of appearance with the Clerk of this Court and to serve a copy of the same upon the Corporation Counsel of the City of New York, 100 Church Street, New York, New York, 10007.

The City, in this proceeding, intends to acquire in fee simple absolute in certain real property where not heretofore acquired for the same purpose, including to facilitate the reconstruction of Amboy Road from Murray Street to Page Avenue and from Page Avenue to Low street, and the installation of two laybay bus lines.

The real property to be acquired in fee simple absolute in this proceeding is more particularly bounded and described as follows:

BED OF AMBOY ROAD NORTHEAST OF PAGE AVENUE

All that certain plot, piece or parcel of land, with improvements thereof erected, situate, lying and being in the Borough of Staten Island, County of Richmond, City and State of New York, as bounded and described as follows:

BEGINNING at the corner formed by the intersection of the northwesterly line of Amboy Road (80 feet wide) with the northeasterly line of Page Avenue (100 feet wide);
THENCE along said northwesterly line of Amboy Road, North 38 Degrees 39 Minutes 58 Seconds East a distance of 199.94 feet to a point;
THENCE South 50 Degrees 26 Minutes 55 Seconds East a distance of 11.53 feet to a point;
THENCE North 50 Degrees 13 Minutes 10 Seconds East a distance of 16.77 feet to a point;
THENCE North 39 Degrees 42 Minutes 15 Seconds East a distance of 33.52 feet to a point;
THENCE South 50 Degrees 26 Minutes 55 Seconds East a distance of 24.50 feet to the centerline of Amboy Road;
THENCE along the centerline of Amboy Road, North 38 Degrees 39 Minutes 58 Seconds East a distance of 0.10 feet to a point;
THENCE South 51 Degrees 20 Minutes 10 Seconds East a distance of 40.00 feet to the northeasterly line of Amboy Road;
THENCE along the southeasterly line of Amboy Road, South 38 Degrees 39 Minutes 58 Seconds West a distance of 277.92 feet to the corner formed by the intersection of the southeasterly line of Amboy Road with the northeasterly line of Page Avenue;
THENCE North 17 Degrees 54 Minutes 11 Seconds West a distance of 47.93 feet to the centerline of Amboy Road;
THENCE Northeasterly along the centerline of Amboy Road, North 38 Degrees 39 Minutes 58 Seconds East a distance of 2.05 feet to a point;
THENCE North 51 Degrees 17 Minutes 16 Seconds West a distance of 40.00 feet to the point and place of Beginning.
Containing 19,848 Square Feet (0.46 Acres).

BED OF AMBOY ROAD SOUTHWEST OF PAGE AVENUE

All that certain plot, piece or parcel of land, with improvements thereof erected, situate, lying and being in the Borough of Staten Island, County of Richmond, City and State of New York, as bounded and described as follows:

BEGINNING at the corner formed by the intersection of the northwesterly line of Amboy Road (opinion of dedication for a 50' to 60' as in use 1/22/1976), with the southwesterly line of Page Avenue (100 feet wide);
THENCE Southeasterly along the prolongation of the southwesterly line of Page Avenue, South 51 Degrees 17 Minutes 16 Seconds East a distance of 40.19 feet to the centerline of Amboy Road;
THENCE Southwesterly along the centerline of Amboy Road, South 44 Degrees 20 Minutes 07 Seconds West a distance of 18.76 feet to a point;
THENCE South 17 Degrees 54 Minutes 11 Seconds West a distance of 35.94 feet to a point;
THENCE through the bed of Amboy Road, South 48 Degrees 34 Minutes 01 Seconds West a distance of 142.54 feet to a point;
THENCE South 44 Degrees 36 Minutes 23 Seconds West a distance of 97.05 feet to a point;
THENCE North 43 Degrees 03 Minutes 07 Seconds West a distance of 54.26 feet to a point;
THENCE North 46 Degrees 56 Minutes 53 Seconds East a distance of 115.83 feet to a point;
THENCE North 49 Degrees 12 Minutes 59 Seconds West a distance of 11.93 feet to the northwesterly line of Amboy Road;
THENCE along the northwesterly line of Amboy Road, North 44 Degrees, 20 Minutes 07 Seconds East a distance of 153.32 feet to the point and place of Beginning.
Containing 15,842 Square Feet (0.36 Acres).

Surveys, maps or plans of the property to be acquired are on file in the office of the Corporation Counsel of the City of New York, 100 Church Street, New York, NY 10007.

PLEASE TAKE FURTHER NOTICE THAT, pursuant to Eminent Domain Procedure Law § 402(B)(4), any party seeking to oppose the acquisition must interpose a verified answer, which must contain specific denial of each material allegation of the petition controverted by the opponent, or any statement of new matter deemed by the opponent to be a defense to the proceeding.

Pursuant to CPLR § 403, said answer must be served upon the office of the Corporation Counsel at least seven (7) days before the date that the petition is noticed to be heard.

Dated: New York, NY
October 17, 2019
GEORGIA M. PESTANA
Acting Corporation Counsel
of the City of New York
Attorney for the Condemnor
100 Church Street
New York, NY 10007
Tel. (212) 356-2170

SEE MAP(S) IN BACK OF PAPER

PROPERTY DISPOSITION

CITYWIDE ADMINISTRATIVE SERVICES

■ SALE

The City of New York in partnership with PropertyRoom.com posts vehicle and heavy machinery auctions online every week at: <https://www.propertyroom.com/s/nyc+fleet>

All auctions are open to the public and registration is free.

Vehicles can be viewed in person at:
Insurance Auto Auctions, North Yard
156 Peconic Avenue, Medford, NY 11763
Phone: (631) 294-2797

No previous arrangements or phone calls are needed to preview.
Hours are Monday and Tuesday from 10:00 A.M. – 2:00 P.M.

s4-f22

OFFICE OF CITYWIDE PROCUREMENT

■ NOTICE

The Department of Citywide Administrative Services, Office of Citywide Procurement is currently selling surplus assets on the Internet. Visit <http://www.publicsurplus.com/sms/nyedcas.ny/browse/home>

To begin bidding, simply click on 'Register' on the home page.

There are no fees to register. Offerings may include but are not limited to: office supplies/equipment, furniture, building supplies, machine tools, HVAC/plumbing/electrical equipment, lab equipment, marine equipment, and more.

Public access to computer workstations and assistance with placing bids is available, at the following locations:

- DCAS Central Storehouse, 66-26 Metropolitan Avenue, Middle Village, NY 11379
- DCAS, Office of Citywide Procurement, 1 Centre Street, 18th Floor, New York, NY 10007

j2-d31

HOUSING PRESERVATION AND DEVELOPMENT

■ PUBLIC HEARINGS

All Notices Regarding Housing Preservation and Development Dispositions of City-Owned Property appear in the Public Hearing Section.

j9-30

POLICE

■ NOTICE

OWNERS ARE WANTED BY THE PROPERTY CLERK DIVISION OF THE NEW YORK CITY POLICE DEPARTMENT

The following list of properties is in the custody of the Property Clerk Division without claimants:
Motor vehicles, boats, bicycles, business machines, cameras, calculating machines, electrical and optical property, furniture, furs, handbags, hardware, jewelry, photographic equipment, radios, robes, sound systems, surgical and musical instruments, tools, wearing apparel, communications equipment, computers, and other miscellaneous articles.

Items are recovered, lost, abandoned property obtained from prisoners, emotionally disturbed, intoxicated and deceased persons; and property obtained from persons incapable of caring for themselves.

INQUIRIES

Inquiries relating to such property should be made in the Borough concerned, at the following office of the Property Clerk.

FOR MOTOR VEHICLES (All Boroughs):

- Springfield Gardens Auto Pound, 174-20 North Boundary Road, Queens, NY 11430, (718) 553-9555
- Erie Basin Auto Pound, 700 Columbia Street, Brooklyn, NY 11231, (718) 246-2030

FOR ALL OTHER PROPERTY

- Manhattan - 1 Police Plaza, New York, NY 10038, (646) 610-5906
- Brooklyn - 84th Precinct, 301 Gold Street, Brooklyn, NY 11201, (718) 875-6675
- Bronx Property Clerk - 215 East 161 Street, Bronx, NY 10451, (718) 590-2806
- Queens Property Clerk - 47-07 Pearson Place, Long Island City, NY 11101, (718) 433-2678
- Staten Island Property Clerk - 1 Edgewater Plaza, Staten Island, NY 10301, (718) 876-8484

j2-d31

PROCUREMENT

"Compete To Win" More Contracts!

Thanks to a new City initiative - "Compete To Win" - the NYC Department of Small Business Services offers a new set of FREE services to help create more opportunities for minority and Women-Owned Businesses to compete, connect and grow their business with the City. With NYC Construction Loan, Technical Assistance, NYC Construction Mentorship, Bond Readiness, and NYC Teaming services, the City will be able to help even more small businesses than before.

- Win More Contracts, at nyc.gov/competetowin

"The City of New York is committed to achieving excellence in the design and construction of its capital program, and building on the tradition of innovation in architecture and engineering that has contributed to the City's prestige as a global destination. The contracting opportunities for construction/construction services and construction-related services that appear in the individual agency listings below reflect that commitment to excellence."

HHS ACCELERATOR

To respond to human services Requests for Proposals (RFPs), in accordance with Section 3-16 of the Procurement Policy Board Rules of the City of New York ("PPB Rules"), vendors must first complete and submit an electronic prequalification application using the City's Health and Human Services (HHS) Accelerator System. The HHS Accelerator System is a web-based system maintained by the City of New York for use by its human services Agencies to manage procurement. The process removes redundancy by capturing information about boards, filings, policies, and general service experience centrally. As a result, specific proposals for funding are more focused on program design, scope, and budget.

Important information about the new method

- Prequalification applications are required every three years.
- Documents related to annual corporate filings must be submitted on an annual basis to remain eligible to compete.
- Prequalification applications will be reviewed to validate compliance with corporate filings, organizational capacity, and relevant service experience.
- Approved organizations will be eligible to compete and would submit electronic proposals through the system.

The Client and Community Service Catalog, which lists all Prequalification service categories and the NYC Procurement Roadmap, which lists all RFPs to be managed by HHS Accelerator may be viewed, at <http://www.nyc.gov/html/hhsaccelerator/html/roadmap/roadmap.shtml>. All current and prospective vendors should frequently review information listed on roadmap to take full advantage of upcoming opportunities for funding.

Participating NYC Agencies

HHS Accelerator, led by the Office of the Mayor, is governed by an Executive Steering Committee of Agency Heads who represent the following NYC Agencies:

Administration for Children's Services (ACS)
 Department for the Aging (DFTA)
 Department of Consumer Affairs (DCA)
 Department of Corrections (DOC)
 Department of Health and Mental Hygiene (DOHMH)
 Department of Homeless Services (DHS)
 Department of Probation (DOP)
 Department of Small Business Services (SBS)
 Department of Youth and Community Development (DYCD)
 Housing and Preservation Department (HPD)
 Human Resources Administration (HRA)
 Office of the Criminal Justice Coordinator (CJC)

To sign up for training on the new system, and for additional information about HHS Accelerator, including background materials, user guides and video tutorials, please visit www.nyc.gov/hhsaccelerator

OFFICE OF THE ACTUARY**LEGAL****■ INTENT TO AWARD**

Services (other than human services)

ACTUARIAL VALUATION SOFTWARE - Sole Source - Available only from a single source - PIN#00820190001 - Due 11-8-19 at 5:00 P.M.

The New York City Office of the Actuary, intends to enter into a Sole Source procurement in accordance with Section 3-05 of the New York City Procurement Policy Board Rules with Winklevoss Technologies, LLC ("WINTECH"), to procure ProVal, an actuarial valuation software, for the period 01/02/2020 to 01/02/2023 with a three (3) year renewal option. WINTECH is the only provider of "ProVal."

Any qualified vendor that wishes to express interest in providing such a product and believes that, at present or in the future it can also provide this software, is invited to do so by submitting an expression of interest which must be received no later than November 8, 2019, at 5:00 P.M. to New York City Office of the Actuary, 255 Greenwich Street, 9th Floor, NY, NY 10007, Attn: Karen Blackman-Kong; kblackman-kong@actuary.nyc.gov.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids, at date and time specified above.

Office of the Actuary, 255 Greenwich Street, 9th Floor, New York NY 10007. Karen Blackman-Kong (212) 312-0181; Fax: (212) 312-0199; kblackman-kong@actuary.nyc.gov

o28-n1

ADMINISTRATION FOR CHILDREN'S SERVICES**■ SOLICITATION**

Services (other than human services)

LOCKSMITH SERVICES - Competitive Sealed Bids - PIN#06819B0003 - Due 12-6-19 at 3:00 P.M.

A Pre-Bid conference for this solicitation will take place on Friday, November 15, 2019, at 10:00 A.M., at the following address: 150 William Street, New York, NY 10038, on the 8th Floor in Room 8B-1.

The Bid Book for this solicitation may be obtained, free of charge, from the ACS Website, any time before the due date for submission of sealed bids (recommended method). You must register, at the ACS Website to obtain a copy of the Bid Book. Copy the following link into your browser to go to the appropriate page <https://a068-rfponline.nyc.gov/rfponline/jsp/RFPcurrent.jsp?doctype=equal> to Bid. You will then be prompted to register with your company information before being able to download the Bid Book. In the event that you are unable to download the Bid Book, the Bid Book may be requested via email. Send all email requests to William.Quintero@acs.nyc.gov and Doron.Pinchas@acs.nyc.gov and type the PIN above and type of service into the subject line. Also, type the name of the company, complete address, Contact Name, Phone and Fax numbers into the body of the email. If all else fails, you may call (212) 341-3491 or (212) 341-3488 to make arrangements to pick up the Bid Book in person.

Bid Book Pick up procedure:

Vendors will need to provide the following information when picking up

the Bid Book:

1. Company Name
2. Company mailing address
3. Company primary contact person
4. Email address of primary contact person
5. Phone number of primary contact person

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids, at date and time specified above.

Administration for Children's Services, 150 William Street, 9th Floor, New York, NY 10038. William Quintero (212) 341-3491; Fax: (917) 551-7225; william.quintero@acs.nyc.gov

n1

OFFICE OF PROCUREMENT**■ SOLICITATION**

Services (other than human services)

FFT AND FFT ADAPTATIONS FOR CHILD WELFARE

POPULATION - Negotiated Acquisition - Available only from a single source - PIN#06820N0003 - Due 11-12-19 at 10:00 A.M.

Pursuant to Section 3-04(b)(2)(ii) the Procurement Policy Board Rules (PPB), the Administration for Children's Services (ACS), plans to enter into negotiated acquisition contracts with two entities: Functional Family Therapy LLC and Functional Family Therapy Associates, to provide training, consultation, fidelity monitoring, and data tracking to ACS and ACS-contracted providers, of Functional Family Therapy (FFT) and adaptations of Functional Family Therapy for a child welfare population. These are the only vendors, known to ACS, capable of providing these services. However, any vendor who reasonably believes that they can provide these services, should submit an expression of interest via email, prior to the Due Date stated in this advertisement.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids, at date and time specified above.

Administration for Children's Services, 150 William Street, 9th Floor, New York, NY 10038. Michael Walker (212) 341-3617; Fax: (917) 551-7329; michael.walker2@acs.nyc.gov

o28-n1

AGING**CONTRACT PROCUREMENT AND SUPPORT SERVICES****■ AWARD**

Human Services/Client Services

SENIOR CENTER SERVICES - Negotiated Acquisition - Available only from a single source - PIN#12511N0003134N001 - AMT: \$588,118.00 - TO: Bowery Resident's Committee Inc., Brc Human Services Corp., 131 West 25th Street, 12th Floor, New York, NY 10001.

The Department for the Aging has negotiated a 19 month extension, from 12/1/19 to 6/30/21, with Bowery Resident's Committee Inc., Brc Human Services Corp., to continue providing services for elderly persons and conduct a program for eligible elderly residents of Community District MN-3 in the Borough of Manhattan.

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SENIOR CENTER SERVICES - Negotiated Acquisition - Available only from a single source - PIN#12511N0003194N001 - AMT: \$1,667,212.00 - TO: Hamilton Madison House Inc., 253 South Street, 2nd Floor, New York, NY 10002.

The Department for the Aging has negotiated a 19 month extension, from 12/1/19 to 6/30/21, with Hamilton Madison House Inc., to continue providing services for elderly persons and conduct a program for eligible elderly residents of Community District MN-1 in the Borough of Manhattan.

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SENIOR CENTER SERVICES - Negotiated Acquisition - Available only from a single source - PIN#12511N0003130N001 - AMT: \$1,123,911.00 - TO: ARC XVI FT Washington Inc., 4111 Broadway, New York, NY 10033.

The Department for the Aging has negotiated a 19 month extension, from 12/1/19 to 6/30/21, with ARC XVI FT Washington, to continue providing services for elderly persons and conduct a program for

eligible elderly residents of Community District MN-12 in the Borough of Manhattan.

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SENIOR CENTER SERVICES - Negotiated Acquisition - Available only from a single source - PIN# 12511N0003077N001 - AMT: \$301,503.00 - TO: East Side House Inc., 337 Alexander Avenue, Bronx, NY 10454.

The Department for the Aging has negotiated a 19 month extension, from 12/1/19 to 6/30/21, with East Side House Inc., to continue providing services for elderly persons and conduct a program for eligible elderly residents of Community District BX-1 in the Borough of Bronx.

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SENIOR CENTER SERVICES - Negotiated Acquisition - Available only from a single source - PIN# 12511N0003230N001 - AMT: \$816,149.00 - TO: Stanley M Isaacs Neighborhood Center Inc., 415 East 93rd Street, New York, NY 10128.

The Department for the Aging has negotiated a 19 month extension, from 12/1/19 to 6/30/21, with Stanley M Isaacs Neighborhood Center Inc., to continue providing services for elderly persons and conduct a program for eligible elderly residents of Community District MN-8 in the Borough of Manhattan.

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SENIOR CENTER SERVICES - Negotiated Acquisition - Available only from a single source - PIN# 12511N0003246N001 - AMT: \$1,636,095.00 - TO: Sephardic Multi-Services Senior Citizen, 485 Kings Highway, Brooklyn, NY 11223.

The Department for the Aging has negotiated a 18 month extension, from 1/1/20 to 6/30/21, with Sephardic Multi-Service Senior Citizen, to continue providing services for elderly persons and conduct a program for eligible elderly residents of Community District BK-15 in the Borough of Brooklyn.

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PROCUREMENT

■ AWARD

Human Services/Client Services

SENIOR CENTERS SERVICES - Negotiated Acquisition - Available only from a single source - PIN# 12511N0003079N001 - AMT: \$907,018.00 - TO: East Side House Inc., 337 Alexander Avenue, Bronx, NY 10454.

The Department for the Aging has negotiated a 19 month extension, from 12/1/19 to 6/30/21, with East Side House Inc., to continue providing services for elderly persons and conduct a program for eligible elderly residents of Community District BX-1 in the Borough of Bronx.

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SENIOR CENTERS SERVICES - Negotiated Acquisition - Available only from a single source - PIN# 12511N0003048N001 - AMT: \$608,236.00 - TO: Rochdale Village Social Services Inc., 169-65 137th Avenue, Jamaica, NY 11434.

The Department for the Aging has negotiated a 19 month extension, from 12/1/19 to 6/30/21, with Rochdale Village Social Services Inc., to continue providing services for elderly persons and conduct a program for eligible elderly residents of Community District QN-12 in the Borough of Queens.

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SENIOR CENTERS SERVICES - Negotiated Acquisition - Available only from a single source - PIN# 12511N0003198N001 - AMT: \$1,479,488.00 - TO: The Hudson Guild, 441 West 26th Street, New York, NY 10001.

The Department for the Aging has negotiated a 19 month extension, from 12/1/19 to 6/30/21, with The Hudson Guild, to continue providing services for elderly persons and conduct a program for eligible elderly residents of Community District MN-4 in the Borough of Manhattan.

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SENIOR CENTERS SERVICES - Negotiated Acquisition - Available only from a single source - PIN# 12511N0003241N001 - AMT: \$1,392,404.00 - TO: United Jewish Council of The East Side Inc., 465 Grand Street, 4th Floor, New York, NY.

The Department for the Aging has negotiated a 19 month extension, from 12/1/19 to 6/30/21, with United Jewish Council of The East Side Inc., to continue providing services for elderly persons and conduct a program for eligible elderly residents of Community District MN-3 in the Borough of Manhattan.

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SENIOR CENTERS SERVICES - Negotiated Acquisition - Available only from a single source - PIN# 12511N0003123N001 - AMT: \$738,150.00 - TO: Bergen Beach Youth Organiz-Ation Inc., 2335 Bergen Avenue, Brooklyn, NY 11234.

The Department for the Aging has negotiated a 19 month extension, from 11/01/19 to 6/30/21, with Bergen Beach Youth Organiz-Ation Inc., to continue providing services for elderly persons and conduct a program for eligible elderly residents of Community District BK-16 in the Borough of Brooklyn.

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CITYWIDE ADMINISTRATIVE SERVICES

OFFICE OF CITYWIDE PROCUREMENT

■ AWARD

Goods

LIQUID SALT BRINE - DSNY - Competitive Sealed Bids - PIN# 8572000025 - AMT: \$4,374,750.00 - TO: Trius Inc., 458 Johnson Avenue, Bohemia, NY 11716.

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■ SOLICITATION

Goods and Services

WATER PURIFICATION SYSTEM: EQUIPMENT AND MAINTENANCE - Competitive Sealed Bids - PIN# 8571900049 - Due 11-27-19 at 10:30 A.M.

A copy of the bid can be downloaded from the City Record Online, at www.nyc.gov/cityrecord. Enrollment is free. Vendor may also request the bid by contacting Vendor Relations via email, at dcasdmssbids@dcas.nyc.gov.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids, at date and time specified above.

Citywide Administrative Services, 1 Centre Street, 18th Floor South, New York, NY 10007-1602. Natalia Daysudov (212) 386-0429; ndaysudov@dcas.nyc.gov

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COMPTROLLER

ACCOUNTANCY

■ SOLICITATION

Human Services/Client Services

RFP FOR FINANCIAL STATEMENTS, AUDITS AND RELATED SERVICES - Competitive Sealed Bids/Pre-Qualified List - PIN# 015-2020-ACC-36957 - Due 12-13-19 at 3:00 P.M.

The City of New York, is seeking an appropriately qualified independent certified public accounting firm, to conduct audits of the City's financial statements, prepare management letters, conduct legally required Single Audits, and other related services. The Firm shall provide the Services, as appropriate, to the City and its various related entities including the New York City Department of Education, the five major New York City Retirement Systems, the nine Variable Supplements Funds, two Tax Deferred Annuity Funds, WNYE Channel 25, Section 8 Housing Choice Voucher Program, NYC Other Post Employment Benefits Plan, NYC Health and Hospital Corporation, and the NYC Economic Development Corporation for the City's fiscal years ending June 30, 2020 - 2023.

Proposals submitted in response to this RFP, will also be used as the basis for the award of audit contracts by the New York City Municipal Water Finance Authority and the New York City Water Board.

The Contract that is awarded through this RFP, will be subject to M/WBE participation requirements, under Section 6-129 of the New York City Administrative Code, as indicated by the inclusion of Schedule B - M/WBE Utilization Plan (Attachment E) and the Participation Goals indicated in Part I thereof. Proposers must complete the Schedule B - M/WBE Utilization Plan and submit it with their proposal. Please refer to the Schedule B - M/WBE Utilization Plan and the Notice to All Prospective Contractors (Attachment D) for information on the M/WBE requirements established for this solicitation and instructions on how to complete the required forms. Proposers may direct Schedule B inquiries to the Contact Person that is listed on Page 1 of the Schedule B Form (Attachment E).

M/WBE participation goals for services is 30 percent.

To apply for a full or partial waiver of the Participation Goals described in Section 10 of the Notice to All Prospective Contractors (Attachment D), a proposer must complete Part III (Page 5) of Schedule B and submit such signed request, no later than seven (7) days prior to the date and time proposals are due in writing to the Agency's Authorized Contact Person.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids, at date and time specified above.

Comptroller, 1 Centre Street, Room 200 South, New York, NY 10007.
Ninoshka Garrick (212) 669-4440; accountrfps@comptroller.nyc.gov

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DESIGN AND CONSTRUCTION

AGENCY CHIEF CONTRACTING OFFICE

■ AWARD

Services (other than human services)

CONSULTING SERVICES TO DESIGN, PLANNING - Innovative Procurement - Judgment required in evaluating proposals - PIN# 8502020PR3413 - AMT: \$149,950.00 - TO: MPACT Strategic Consulting, 25 Broadway, 9th Floor, New York, NY 10004.

Consulting Services, to provide NYC DDC and the Business Development Unit, technical and subject matter assistance with the implementation plan of BDU and resource tools for planning and workflow. M/WBE Purchase Method.

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ENVIRONMENTAL PROTECTION

AGENCY CHIEF CONTRACTING OFFICE

■ SOLICITATION

Services (other than human services)

DEL-436: FAD - DELAWARE STREAM MANAGEMENT PROGRAM - Government to Government - PIN#82620WS00006 - Due 11-22-19 at 4:00 P.M.

DEP, intends to enter into a Government to Government agreement with the Delaware County Soil and Water Conservation District, for DEL-436, for the FAD related Delaware Stream Management Program. The Delaware County Soil and Water Conservation District (DCSWCD), has been DEP's partner in meeting the Stream Management Program's FAD objectives since the 2004 Filtration Avoidance Determination (FAD). The 2017 FAD has required the City of New York to continue this relationship with DCSWCD. DCSWCD can provide access to nationally recognized expertise in various fields of applied research that informs stream management. Any firm which believes it can also provide the required service IN THE FUTURE, is invited to do so, indicated by letter which must be received no later than November 22, 2019, 4:00 P.M., at: Department of Environmental Protection, Agency Chief Contracting Officer, 59-17 Junction Boulevard, 17th Floor, Flushing, NY 11373, Attn: Ms. Glorivee Roman, glroman@dep.nyc.gov, (718) 595-3226.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids, at date and time specified above.

Environmental Protection, 59-17 Junction Boulevard, 17th Floor, Flushing, NY 11373. Glorivee Roman (718) 595-3226;
Fax: (718) 595-3208; glroman@dep.nyc.gov



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HOUSING AUTHORITY

■ SOLICITATION

Goods

LAUNDRY TRAY SINKS - Competitive Sealed Bids - PIN#77842 - Due 11-15-19 at 12:00 P.M.

This is an RFQ, for a 3 year blanket order agreement. The awarded bidder/vendor agrees to have the LAUNDRY TRAY SINKS readily available for delivery within 10 days after receipt of order on an "as needed basis" during the duration of the contract period. The quantities provided are estimates based on current usage and the New York City Housing Authority may order less or more depending on our needs. All price adjustable RFQ'S are fixed for one year after award date. One price adjustment per year will be allowed with mfg. Supporting documentation only. Please note: NYCHA reserves the right to make award by line or by class as indicated. Samples may be required to be provided within 10 days of request. Failure to do so will result in bid being considered nonresponsive.

Please note, that in the event NYCHA receives one or no responses in connection with this RFQ on or before the original bid submission deadline, the bid submission deadline shall automatically be extended for seven (7) calendar days. Suppliers selecting to obtain a non-electronic paper document will be subject to a \$25 non-refundable fee; payable to NYCHA by USPS-Money Order/Certified Check only for each set of RFQ documents requested. Remit payment to NYCHA Finance Department, at 90 Church Street, 6th Floor; obtain receipt and present it to the Supply Management Procurement Group; RFQ package will be generated, at the time of request.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids, at date and time specified above.

Housing Authority, 90 Church Street, 6th Floor, New York, NY 10007.
Brenda Hernandez (212) 306-8891; brenda.hernandez@nycha.nyc.gov



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PROCUREMENT

■ SOLICITATION

Construction / Construction Services

CCTV AND LAYERED ACCESS CONTROL AT VARIOUS CITYWIDE DEVELOPMENTS - Competitive Sealed Bids - Due 11-25-19 at 11:00 A.M.

PIN# CT1917785, PIN# CT1917786 -

ISUPPLIER RFQ #77838 and #77839

There will be a Pre-Bid Conference on November 8, 2019 at 10:00 A.M., at NYCHA LIC Office, at 24-02 49th Avenue, Long Island City, NY 11101, on the 3rd Floor. Although attendance is not mandatory, it is strongly recommended that you attend. NYCHA staff will be available to address all inquiries relevant to this contract.

Bid documents are available Monday through Friday, 9:00 A.M., to 4:00 P.M., for a \$25.00 fee in the form of a money order or certified check made payable to NYCHA. Documents can also be obtained by registering with I-Supplier and downloading documents. Please note that original bid bonds are Due at time of bid opening.

Please note that in the event only one bidder has submitted a bid in connection with the contract on or before the original bid submission deadline, the bid submission deadline shall automatically be extended fourteen (14) calendar days. The foregoing extension does not in any way limit NYCHA's right to extend the bid submission deadline for any other reason.

This Contract shall be subject to the New York City Housing Authority's Project Labor Agreement, if the Bidder's bid price exceeds \$250,000.00.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids, at date and time specified above.

Housing Authority, 90 Church Street, 6th Floor, New York, NY 10007.
Shawntae Davis (212) 306-3127; Fax: (212) 306-5109;
shawntae.davis@nycha.nyc.gov

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Services (other than human services)

MATTRESS RECYCLING PROGRAM - Request for Proposals - PIN#71734 - Due 12-10-19 at 2:00 P.M.

NYCHA, by issuing this RFP, seeks proposals ("Proposals") from mattress recycling firms (the "Proposers"), to provide NYCHA with mattress collection infrastructure and services, as detailed more fully within Section II of this RFP (collectively, the "Services").

NYCHA, additionally recommends that Proposers submit, via email, written questions to NYCHA, at rfp.procurement@nychanyc.gov, by no later than 2:00 P.M., on November 26, 2019. Questions submitted in writing must include the firm name and the name, title, address, telephone number, fax number and email address of the individual to whom responses to the Proposer's questions should be given. All questions and answers will be posted on NYCHA's online system iSupplier.

Interested firms are invited to obtain a copy on NYCHA's website. To conduct a search for the RFP number; vendors are instructed to open the link: http://www1.nyc.gov/site/nychabusiness/isupplier-vendor-registration.page. Once on that page, please make a selection from the first three links highlighted in red: New suppliers for those who have never registered with iSupplier, current NYCHA suppliers and vendors for those who have supplied goods or services to NYCHA in the past but never requested a login ID for iSupplier, and Login for registered suppliers if you already have an iSupplier ID and password. Once you are logged into iSupplier, select "Sourcing Supplier," then "Sourcing" followed by "Sourcing Homepage" and then reference the applicable RFP/Solicitation number.

Proposer shall electronically upload a single .pdf containing its Proposal, which may not exceed 4G, into iSupplier. Instructions for registering for iSupplier can be found, at http://www1.nyc.gov/site/nychabusiness/isupplier-vendor-registration.page. After Proposer registers for iSupplier, it typically takes 24 to 72 hours for Proposer's iSupplier profile to be approved. It is Proposer's sole responsibility to leave ample time to complete iSupplier registration and submit its proposal through iSupplier before the Proposal Submission Deadline. NYCHA is not responsible for delays caused by technical difficulty or caused by any other occurrence. NYCHA will not accept Proposals via email or facsimile. The submission of attachments containing embedded documents or proprietary file extensions is prohibited.

In addition to submitting the Proposal through iSupplier as described above, Proposer shall submit:

- (i) one (1) signed original hardcopy of its Proposal package, labeled as "Original" and signed by a principal or officer of the Proposer who is duly authorized to commit the Proposer to fulfilling the Proposal, and
- (ii) six(6) hardcopies of its Proposal package and one (1) complete and exact copy of the Proposal on a flash drive in Microsoft Office (2010 version or later) or Adobe pdf format. If there are any differences between the signed original hardcopy and any of the other hardcopies (or the electronic copy of the Proposal), the material in the signed original hardcopy will prevail.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids, at date and time specified above.
Housing Authority, 90 Church Street, 6th Floor, New York, NY 10007.
Yesenia Rosario (212) 306-4536; Fax: (212) 306-5109;
rfp.procurement@nychanyc.gov

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HUMAN RESOURCES ADMINISTRATION

■ INTENT TO AWARD

Human Services/Client Services

SUBSTANCE ABUSE CENTRALIZED ASSESSMENT PROGRAM (SACAP) - Negotiated Acquisition - Other - PIN#09611P0008001N001 - Due 11-4-19 at 3:00 P.M.

The Human Resources Administration/ Division of Customized (CAS), is requesting a Negotiated Acquisition Extension for eight (8) days with the National Association on Drug Abuse Problems (NADAP-substance abuse). NADAP's original contract was for three years with a three-year renewal option. This contract was then amended for an additional year which ended on 6/22/19. However, services were provided through 6/30/19, eight days beyond their amendment term. In accordance with PPB Rules, this contract cannot be amended again. Therefore, CAS is requesting an NAE for \$160,000.00 to pay for the additional eight days that services were provided until their new contract was put in place on 7/1/19.

The contract term: 6/23/2019 - 6/30/2019.
The contract amount: \$160,000.00

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids, at date and time specified above.
Human Resources Administration, 150 Greenwich Street, 37th Floor, New York, NY 10007. Jacques Frasier (929) 221-5554;
Fax: (929) 221-0758.

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■ AWARD

Human Services/Client Services

PROVISION OF NON-EMERGENCY PERMANENT SUPPORTIVE CONGREGATE HOUSING UNDER NY/NY III - Competitive Sealed Proposals - Judgment required in evaluating proposals - PIN#09612P0014014 - AMT: \$4,831,140.00 - TO: Citileaf Housing Development Fund Corp., 130 East 25th Street, New York, NY 10010. Term: 10/1/2019 - 9/30/2024

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PARKS AND RECREATION

■ VENDOR LIST

Construction Related Services

PREQUALIFIED VENDOR LIST: GENERAL CONSTRUCTION, NON-COMPLEX GENERAL CONSTRUCTION SITE WORK ASSOCIATED WITH NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION ("DPR" AND/OR "PARKS") PARKS AND PLAYGROUNDS CONSTRUCTION AND RECONSTRUCTION PROJECTS.

NYC DPR is seeking to evaluate and pre-qualify a list of general contractors (a "PQL") exclusively to conduct non-complex general construction site work involving the construction and reconstruction of NYC DPR parks and playgrounds projects not exceeding \$3 million per contract ("General Construction").

By establishing contractor's qualification and experience in advance, NYC DPR will have a pool of competent contractors from which it can draw to promptly and effectively reconstruct and construct its parks, playgrounds, beaches, gardens and green-streets. NYC DPR will select contractors from the General Construction PQL for non-complex general construction site work of up to \$3,000,000.00 per contract, through the use of a Competitive Sealed Bid solicited from the PQL generated from this RFQ.

The vendors selected for inclusion in the General Construction PQL, will be invited to participate in the NYC Construction Mentorship. NYC Construction Mentorship focuses on increasing the use of small NYC contracts, and winning larger contracts with larger values. Firms participating in NYC Construction Mentorship will have the opportunity to take management classes and receive on-the-job training provided by a construction management firm.

NYC DPR will only consider applications for this General Construction PQL from contractors who meet any one of the following criteria:

- 1) The submitting entity must be a Certified Minority/Woman Business enterprise (M/WBE)*;
- 2) The submitting entity must be a registered joint venture or have a valid legal agreement as a joint venture, with, at least one of the entities in the joint venture being a certified M/WBE*;
- 3) The submitting entity must indicate a commitment to sub-contract no less than 50 percent of any awarded job to a certified M/WBE for every work order awarded.

* Firms that are in the process of becoming a New York City-Certified M/WBE, may submit a PQL application and submit a M/WBE Acknowledgement Letter, which states the Department of Small Business Services has begun the Certification process.

Application documents may also be obtained online at: http://a856-internet.nyc.gov/nycvendoronline/home.asap.; or http://www.nycgovparks.org/opportunities/business.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids, at date and time specified above.

Parks and Recreation, Olmsted Center Annex, Flushing Meadows - Corona Park, Flushing, NY 11368. Alicia H. Williams (718) 760-6925; Fax: (718) 760-6885; dmwbe.capital@parks.nyc.gov

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SANITATION

AGENCY CHIEF CONTRACTING OFFICE

■ AWARD

Goods and Services

CONTRACT FOR CONFLUENCE (SERVER) AND JIRA LICENSES - Innovative Procurement - Other - PIN#20201600033 - AMT: \$24,323.14 - TO: AVI Consulting Inc., 130 West 15th Street, PHG New York, NY 10011. MWBE Award.

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CONTRACT AWARD HEARINGS

NOTE: LOCATION(S) ARE ACCESSIBLE TO INDIVIDUALS USING WHEELCHAIRS OR OTHER MOBILITY DEVICES. FOR FURTHER INFORMATION ON ACCESSIBILITY OR TO MAKE A REQUEST FOR ACCOMMODATIONS, SUCH AS SIGN LANGUAGE INTERPRETATION SERVICES, PLEASE CONTACT THE MAYOR'S OFFICE OF CONTRACT SERVICES (MOCS) VIA EMAIL AT DISABILITYAFFAIRS@MOCS.NYC.GOV OR VIA PHONE AT (212) 788-0010. ANY PERSON REQUIRING REASONABLE ACCOMMODATION FOR THE PUBLIC HEARING SHOULD CONTACT MOCS AT LEAST THREE (3) BUSINESS DAYS IN ADVANCE OF THE HEARING, TO ENSURE AVAILABILITY.



AGING

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 AM on the following:

IN THE MATTER of a proposed contract between the City of New York Department for the Aging and Council of Belmont Organizations, Inc., located at 630 East 187th Street, Bronx, NY 10458, for the provision of senior services (e.g., case assistance and information). The program will be serving Community Districts 6 and 15 in The Bronx. The contract term shall be from July 1, 2019 to June 30, 2020. The contract amount is \$133,914.00. E-PIN #: 12520L0048001, PIN #: 12520DISC1XQ.

The proposed contract is being funded through City Council Discretionary Funds/Line Item Appropriation, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the Office of the Department for the Aging, Contract Procurement and Support Services, 2 Lafayette Street, Room 400, New York, NY 10007, on business days, from November 1, 2019 to November 14, 2019, excluding Holidays, from 10:00 AM to 4:00 PM.

IN THE MATTER of a proposed contract between the City of New York Department for the Aging and Jewish Community Center of Staten Island, Inc., located at 1466 Manor Road, Staten Island, NY 10314, for the provision of senior services (e.g., social adult day care programs). The program will be serving Community Districts 1, 2 and 3 in the Borough of Staten Island. The contract term shall be from July 1, 2019 to June 30, 2020. The contract amount is \$167,284.00. E-PIN #: 12520L0035001, PIN #: 12520DISC535.

The proposed contract is being funded through City Council Discretionary Funds/Line Item Appropriation, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the Office of the Department for the Aging, Contract Procurement and Support Services, 2 Lafayette Street, Room 400, New York, NY 10007, on business days, from November 1, 2019 to November 14, 2019, excluding Holidays, from 10:00 AM to 4:00 PM.

IN THE MATTER of four (4) proposed contracts between the Department for the Aging of the City of New York and the contractors listed below, for the provision of services for seniors such as Arts, Health Management, Physical Health/Exercise, Education, Nutrition Education, Technology, Transportation, etc., for the elderly. The contract terms shall be from July 1, 2019 to June 30, 2020 with no renewal options. The contract amounts and the Community Districts in which the programs are located are identified below:

Contractor/Address	E-PIN #/PIN #	Amount	Boro/CDs
1 United Chinese Association of Brooklyn, Inc. 1787 Stillwell Avenue Brooklyn, NY 11223	12520L0038001/ 12520DISC2Y6	\$127,500	Brooklyn, Borowide

2 Bay Ridge Center, Inc. 411 Ovington Avenue Brooklyn, NY 11209	12520L0039001/ 12520DISC2N5	\$125,000	Brooklyn, CD 10
3 Brooklyn Chinese-American Association, Inc. 5002 8th Avenue Brooklyn, NY 11220	12520L0040001/ 12520DISC2XX	\$186,500	Brooklyn, CDs 7, 15
4 SBH Community Service Network, Inc. 425 Kings Highway Brooklyn, NY 11223	12520L0041001/ 12520DISC2N7	\$115,000	Brooklyn, CDs 11, 12, 14, 15, 18

The proposed contractors are being funded through City Council Discretionary Funds/Line Item Appropriation, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

Draft copies of the proposed contracts are available for public inspection at the Office of the Department for the Aging, Contract Procurement and Support Services, 2 Lafayette Street, Room 400, New York, NY 10007, on business days, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Holidays, from 10:00 AM to 4:00 PM.



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CHIEF MEDICAL EXAMINER

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 AM on the following:

IN THE MATTER of a proposed Purchase Order/Contract between the Office of Chief Medical Examiner (OCME) and U ARIAS CORPORATION, located at 1855 Imperial Avenue, New Hyde Park, NY 11040, to provide Snow Removal and Ice Melt Service for OCME Facilities Department. The Purchase Order/Contract amount shall not exceed \$150,000.00. The term shall be from the date of award until April 30, 2021. PIN #: 20R0496-8MJ .

The Vendor has been selected pursuant to Section 3-08 (c)(1)(iv) of the Procurement Policy Board Rules.

A draft copy of the Purchase Order/Contract shall be available for public inspection upon request, at the Office of Chief Medical Examiner, 421 East 26th Street, 10th Floor, New York, NY 10016, on business days, from November 1, 2019 until November 14, 2019, excluding weekends and Holidays, from 9:00 AM to 3:00 PM



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CITY PLANNING

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 AM on the following:

IN THE MATTER of a proposed Purchase Order/Contract between the Department of City Planning and Philip Habib and Associates, located at 102 Madison Avenue, New York, NY 10016, for Collection, Standardization and Consolidation of CEQR Related Data and Documentation. The amount of this Purchase Order/Contract will be \$149,882.63. The term will be six months from the date of notice to proceed. PIN #: 03020DATASTAND.

The Vendor has been selected pursuant to Section 3-12 (e) of the Procurement Policy Board Rules.

A draft copy of the Purchase Order/Contract will be available for inspection at the Department of City Planning, 120 Broadway, 31st Floor, New York, NY 10271, on business days, excluding Holidays, from November 1, 2019 through November 14, 2019, between the hours of 10:00 AM and 3:00 PM.



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CITYWIDE ADMINISTRATIVE SERVICES

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 AM on the following:

IN THE MATTER of a proposed contract between the Department of Citywide Administrative Services of the City of New York, on behalf of the New York City Emergency Management Department, and AquaFence USA, Inc., located at 700 Route 46 West, Unit 1, Clifton, NJ 07013, for procuring Rapidly Deployable Flood Barriers. The contract is in the amount of \$15,000,000.00. The term of the contract will be five years from the date of Award with two two-year renewal options. PIN #: 8572000091, E-PIN #: 01720S0001001.

The proposed contractor has been selected by Sole Source Procurement Method, pursuant to Section 3-05 of the Procurement Policy Board Rules.

A draft copy of the proposed contract may be inspected at the Office of Citywide Procurement, Vendor Relation Unit, 1 Centre Street, 18th Floor, New York, NY 10007, on business days, excluding Legal Holidays, from November 1, 2019 to November 14, 2019, between the hours of 9:00 AM and 4:00 PM.

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DESIGN AND CONSTRUCTION

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 A.M. on the following:

IN THE MATTER of a proposed Purchase Order/Contract between the Department of Design and Construction of the City of New York and Stellar Services, Inc., 70 West 36th Street, 7th Floor, New York, NY 10018, for Cost Estimating Support-Brooklyn Public Libraries. The Purchase Order/Contract amount shall be \$150,000.00. The contract term is approximately one year from the date of registration. PIN #: 8502019PC0060s.

The Vendor has been selected pursuant to Section 3-12 (e) of the Procurement Policy Board Rules.

A draft copy of the Purchase Order/Contract is available for public inspection at the Department of Design and Construction, Legal Division, 30-30 Thomson Avenue, Fourth Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Holidays, from 9:00 A.M. to 4:00 P.M. Contact Nicholas Mendoza at (718) 391-1452.

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NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 AM on the following:

IN THE MATTER of a proposed contract between the Department of Design and Construction of the City of New York and Hudson Meridian Construction Group, LLC, 61 Broadway, 7th Floor, New York, NY 10006, for HL82 BRONX, Construction Management Services for Bronx Animal Care Center and Veterinary Clinic at 2050 Bartow Avenue, Borough of The Bronx. The contract amount shall be \$5,386,952.50. The contract term shall be 1,824 Consecutive Calendar Days from the date set forth in the Notice to Proceed. PIN #: 8502019HL0002P, E-PIN #: 85019P0023001.

The proposed consultant has been selected by Competitive Sealed Proposal Method, pursuant to Section 3-03 of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the Department of Design and Construction, Professional Contracts Section, 30-30 Thomson Avenue, Fourth Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays, and Holidays from 9:00 AM to 4:00 PM. Contact Maritza Ortega at (718) 391-1542.

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ENVIRONMENTAL PROTECTION

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Public Hearing will be held at the Department of Environmental Protection Offices at 59-17 Junction Boulevard, 17th Floor Conference Room, Flushing, New York, on November 18, 2019 commencing at 11:00 A.M. On the Following:

IN THE MATTER OF a Purchase between the Department of Environmental Protection and E-Paul Dynamics., for the purchase various pipe fittings, rodding bands, gaskets, bolts & retaining glands. The Contract term is through June 30, 2020. The Contract amount shall be not to exceed \$148,625.40. Location: Citywide PIN 2X004020.

Contract was selected by Innovative Procurement pursuant to Section 3-12 (e) of the PPB Rules.

A copy of the Purchase may be inspected at the Department of Environmental Protection, 59-17 Junction Boulevard, Flushing, New York, 11373, on the 17th Floor Bid Room, on business days from November 1st through November 17th between the hours of 9:30 A.M. - 12:00 P.M. and from 1:00 P.M. -4:00 P.M.

Pursuant to Section 2-11(c)(3) of the Procurement Policy Board Rules, if DEP does not receive November 8th, from any individual a written request to speak at this hearing, then DEP need not conduct this hearing. Written notice should be sent to Mrs. Jessica Reyes, NYC DEP, 59-17 Junction Blvd., 17th Floor, Flushing, NY 11373 or via email to jreyes@dep.nyc.gov.

Note: Individuals requesting Wheel Chair Accessibility should contact Mrs. Jessica Reyes, Office of the ACCO, 59-17 Junction Boulevard, 17th Floor, Flushing, New York 11373, (718) 595-3292, no later than FIVE(5) BUSINESS DAYS PRIOR TO THE PUBLIC HEARING.

Accessibility questions: Jessica Reyes, by: Friday, November 15, 2019 11:00 AM

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NOTICE IS HEREBY GIVEN that a Public Hearing will be held at the Department of Environmental Protection Offices at 59-17 Junction Boulevard, 17th Floor Conference Room, Flushing, New York, on November 21, 2019 commencing at 11:00 A.M. On the Following:

IN THE MATTER OF a Purchase between the Department of Environmental Protection and Pina Solutions., for the purchase Guide Roller Assembly. The Contract term is through June 30, 2020. The Contract amount shall be not to exceed \$137,700.00. Location: Citywide PIN 2X030411.

Contract was selected by Innovative Procurement pursuant to Section 3-12 (e) of the PPB Rules.

A copy of the Purchase may be inspected at the Department of Environmental Protection, 59-17 Junction Boulevard, Flushing, New York, 11373, on the 17th Floor Bid Room, on business days from November 6th through November 20th between the hours of 9:30 A.M. - 12:00 P.M. and from 1:00 P.M. -4:00 P.M.

Pursuant to Section 2-11(c)(3) of the Procurement Policy Board Rules, if DEP does not receive November 14th, from any individual a written request to speak at this hearing, then DEP need not conduct this hearing. Written notice should be sent to Mrs. Jessica Reyes, NYC DEP, 59-17 Junction Blvd., 17th Floor, Flushing, NY 11373 or via email to jreyes@dep.nyc.gov.

Note: Individuals requesting Wheel Chair Accessibility should contact Mrs. Jessica Reyes, Office of the ACCO, 59-17 Junction Boulevard, 17th Floor, Flushing, New York 11373, (718) 595-3292, no later than FIVE(5) BUSINESS DAYS PRIOR TO THE PUBLIC HEARING.

Accessibility questions: Jessica Reyes by: Friday, November 15, 2019 11:00 A.M.

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HEALTH AND MENTAL HYGIENE

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 AM on the following:

IN THE MATTER of a proposed contract between the Department of Health and Mental Hygiene and Survey Monkey, Inc., located at One Curiosity Way, San Mateo, California 94403, for the purchase of Survey Monkey Licenses. The contract amount shall be \$315,000.00. The contract term will be for three years from March 1, 2020 to February

28, 2023 with one two-year renewal option from March 1, 2023 to February 28, 2025. PIN #: 20MI024801R0X00, E-PIN #: 81620U0014001.

The proposed contract is a Subscription, pursuant to Section 1-02 (f)(5) of the Procurement Policy Board Rules.

A draft copy of the draft contract is available for public inspection at the New York City Department of Health and Mental Hygiene, Office of Contracts, 42-09 28th Street, 17th Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding weekends and Holidays, between the hours of 10:00 AM and 4:00 PM.



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NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 AM on the following:

IN THE MATTER of a proposed contract between the Department of Health and Mental Hygiene and Cicatelli Associates, Inc., located at 505 Eighth Avenue, 19th Floor, New York, NY 10018, to provide a series of combine trainings 7 CATCH workshops and 12 Mental Health Roadmap workshops on behalf of OSH's Adolescent Health Unit for school-based nurses and mental health. The contract amount shall be \$123,500.00. The contract term shall be from February 1, 2020 to January 31, 2021 with two one-year renewal options from February 1, 2021 to January 31, 2022 and from February 1, 2022 to January 31, 2023. E-PIN #: 81620U0016001.

The proposed contract is a Subscription, pursuant to Section 1-02 (f)(5) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the New York City Department of Health and Mental Hygiene, Office of Contracts, 42-09 28th Street, 17th Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding weekends and Holidays, between the hours of 10:00 AM and 4:00 PM.



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NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 AM on the following:

IN THE MATTER of a proposed contract between the Department of Health and Mental Hygiene and Bailey House, Inc., located at 1751 Park Avenue, 4th Floor, New York, NY 10035, for providing support to community-based organizations and advocacy networks that provide mental health services for vulnerable and marginalized populations, as well as supportive programs for substance treatment services, developmental disabilities, and/or serious mental illnesses. The term of this contract shall be from July 1, 2019 to June 30, 2020. The contract amount will be \$531,103.00. PIN #: 20AZ026701R0X00, E-PIN #: 81620L0077001.

The proposed contractor was selected by Line Item Appropriation/Discretionary Funds, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the New York City Department of Health and Mental Hygiene, Office of the Agency Chief Contracting Officer, 42-09 28th Street, 17th Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Holidays, between the hours of 10:00 AM and 4:00 PM.

IN THE MATTER of a proposed contract between the Department of Health and Mental Hygiene and Comunilife, Inc., located at 462 Seventh Avenue, 3rd Floor, New York, NY 10018, for the provision of Mental Health Services for Vulnerable Populations. The term of this contract shall be from July 1, 2019 to June 30, 2020. The contract amount will be \$400,000.00. PIN #: 20AO027001R0X00, E-PIN #: 81620L0078001.

The proposed contractor was selected by Line Item Appropriation/Discretionary Funds, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the New York City Department of Health and Mental Hygiene, Office of the Agency Chief Contracting Officer, 42-09 28th Street, 17th Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Holidays, between the hours of 10:00 AM and 4:00 PM.

IN THE MATTER of a proposed contract between the Department of Health and Mental Hygiene and Good Shepherd Services, located at 305 Seventh Avenue, 9th Floor, New York, NY 10001, for the provision of mental health and support services for court involved youth. The term of the contract shall be from July 1, 2019 to June 30, 2020. The contract amount will be \$150,000.00. PIN #: 20AO022501R0X00, E-PIN #: 81620L0058001.

The proposed contractor was selected by means of Line Item/Discretionary Funds Appropriation, pursuant to Section 1-02(e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the New York City Department of Health and Mental Hygiene, Office of the Agency Chief Contracting Officer, 42-09 28th Street, 17th Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Holidays, between the hours of 10:00 AM and 4:00 PM.

IN THE MATTER OF a proposed contract between the Department of Health and Mental Hygiene and Heartshare Human Services of New York, located at 12 Metrotech Center, 29th floor, Brooklyn, NY 11201, for the provision of wraparound services for children with Autism in after-school programs and during school closings. The term of the contract shall be from July 1, 2019 to June 30, 2020. The contract amount will be \$145,540.00. PIN #: 20MR021901R0X00, E-PIN #: 81620L0053001.

The proposed contractor was selected by Line Item/Discretionary Funds Appropriation, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the New York City Department of Health and Mental Hygiene, Office of the Agency Chief Contracting Officer, 42-09 28th Street, 17th Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Holidays, between the hours of 10:00 AM and 4:00 PM.

IN THE MATTER of a proposed contract between the Department of Health and Mental Hygiene and Jewish Child Care Association of New York, located at 120 Wall Street, 20th Floor, New York, NY 10005, for the provision of mental health and support services for court involved youth, opioid prevention and treatment services, and Medicaid redesign transition. The term of this contract shall be from July 1, 2019 to June 30, 2020. The contract amount will be \$270,000.00. PIN #: 20AO025601R0X00, E-PIN #: 81620L0070001.

The proposed contractor was selected by Line Item/Discretionary Funds Appropriation, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the New York City Department of Health and Mental Hygiene, Office of the Agency Chief Contracting Officer, 42-09 28th Street, 17th Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Holidays, between the hours of 10:00 AM and 4:00 PM.

IN THE MATTER of a proposed contract between the Department of Health and Mental Hygiene and Montefiore Medical Center, located at 111 East 210th Street, Bronx, NY 10467, to provide mental health treatment to children aged five years and younger. The contract amount will be \$495,506.00. The term of this contract shall be from July 1, 2019 to June 30, 2020. PIN #: 20AO025201R0X00, E-PIN #: 81620L0062001.

The proposed contractor was selected by Line Item/Discretionary Funds Appropriation, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the New York City Department of Health and Mental Hygiene, Office of the Agency Chief Contracting Officer, 42-09 28th Street, 17th Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Holidays, between the hours of 10:00 AM and 4:00 PM.

IN THE MATTER of a proposed contract between the Department of Health and Mental Hygiene and The Mount Sinai Hospital, located at 1 Gustave L. Levy Place, Box 1228, New York, NY 10029, for the provision of opioid prevention and treatment. The contract amount will be \$160,000.00. The term of this contract shall be from July 1, 2019 to June 30, 2020. PIN #: 20AO025301R0X00, E-PIN #: 81620L0071001.

The proposed contractor was selected by Line Item Appropriation/Discretionary Funds, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the New York City Department of Health and Mental Hygiene, Office of the Agency Chief Contracting Officer, 42-09 28th Street, 17th Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Holidays, between the hours of 10:00 AM and 4:00 PM.

IN THE MATTER of a proposed contract between the Department of Health and Mental Hygiene and New York University, located at 550 First Avenue, New York, NY 10016, for the provision of autism awareness and mental health services for Veterans. The contract amount will be \$230,000.00. The term of this contract shall be from July 1, 2019 to June 30, 2020. PIN #: 20AZ018701R0X00, E-PIN #: 81620L0047001.

The proposed contractor was selected by Line Item/Discretionary Funds Appropriation, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the New York City Department of Health and Mental Hygiene, Office of the Agency Chief Contracting Officer, 42-09 28th Street, 17th Floor, Long Island City, NY 11101, from November 1, 2019 to November

14, 2019, excluding Saturdays, Sundays and Holidays, between the hours of 10:00 AM and 4:00 PM.

IN THE MATTER of a proposed contract between the Department of Health and Mental Hygiene and Northside Center For Child Development Inc., located at 1301 Fifth Avenue, New York, NY 10029, for the provision of mental health services for court involved youth. The term of this contract shall be from July 1, 2019 to June 30, 2020. The contract amount will be \$150,000.00. PIN #: 20AO025701R0X00, E-PIN #: 81620L0069001.

The proposed contractor was selected by Line Item Appropriation/Discretionary Funds, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the New York City Department of Health and Mental Hygiene, Office of the Agency Chief Contracting Officer, 42-09 28th Street, 17th Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Holidays, between the hours of 10:00 AM and 4:00 PM.

IN THE MATTER of a proposed contract between the Department of Health and Mental Hygiene and YMCA of Greater New York, located at 5 West 63rd Street, New York, NY 10023, for the provision of opioid prevention and treatment. The contract amount will be \$350,000.00. The term of this contract shall be from July 1, 2019 to June 30, 2020. PIN #: 20SA026501R0X00, E-PIN #: 81620L0076001.

The proposed contractor was selected by Line Item/Discretionary Funds Appropriation, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the New York City Department of Health and Mental Hygiene, Office of the Agency Chief Contracting Officer, 42-09 28th Street, 17th Floor, Long Island City, NY 11101, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Holidays, between the hours of 10:00 AM and 4:00 PM.

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HOMELESS SERVICES

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 A.M. on the following:

IN THE MATTER of a proposed Purchase Order/Contract between the Department of Homeless Services and NYC Snow Services Inc., to provide Snow Removal Services for DHS Facilities in Brooklyn. The amount of this Purchase Order/Contract will be \$150,000.00. The contract term will be from October 20, 2019 to June 30, 2020.

Table with 4 columns: Contractor/Address, PIN #, Amount, Service Area. Row 1: NYC Snow Services, Inc. 20SPEDD00401 \$150,000.00 Citywide

The Vendor has been selected pursuant to Section 3-12 (e) of the Procurement Policy Board Rules.

A draft copy of the Purchase Order/Contract is available for public inspection at the Human Resources Administration of the City of New York, 150 Greenwich Street, 37th floor, New York, NY 10007, on business days, from November 1, 2019 to November 14, 2019, Monday through Friday, excluding Holidays, from 10:00 AM to 5:00 PM.

IN THE MATTER of a proposed Purchase Order/Contract between the Department of Homeless Services and NYC Snow Services Inc., to provide Snow Removal Services for DHS Facilities in Manhattan, Queens and The Bronx. The amount of this Purchase Order/Contract will be \$150,000.00. The contract term will be from October 20, 2019 to June 30, 2020.

Table with 4 columns: Contractor/Address, PIN #, Amount, Service Area. Row 1: NYC Snow Services, Inc. 20SPEDD02001 \$150,000.00 Citywide

The Vendor has been selected pursuant to Section 3-12 (e) of the Procurement Policy Board Rules.

A draft copy of the Purchase Order/Contract is available for public inspection at the Human Resources Administration of the City of New York, 150 Greenwich Street, 37th floor, New York, NY 10007, on

business days, from November 1, 2019 to November 14, 2019, Monday through Friday, excluding Holidays, from 10:00 AM to 5:00 PM.

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HOUSING PRESERVATION AND DEVELOPMENT

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 AM on the following:

IN THE MATTER of a proposed contract between New York City Department of Housing Preservation and Development ("HPD") and Council of Large Public Housing Authorities, 455 Massachusetts Avenue, NW, Suite 425, Washington, DC 20001-2621, for the acquisition of membership to a low-income housing publication. The contract amount shall be \$252,839.00. The contract term shall be from October 1, 2019 to September 30, 2024. E-PIN #: 80620U0001001.

The proposed contract is a Subscription, pursuant to Section 1-02 (f)(5) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the Department of Housing Preservation and Development, 100 Gold Street, 8th Floor, Room 8B-06, New York, NY 10038, on business days, from November 1, 2019 to November 14, 2019, excluding Holidays, from 10:00 AM to 4:00 PM. Contact Mr. Gaurav Channan, Deputy ACCO, Room 8B-06 at (212) 863-6140.

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HUMAN RESOURCES ADMINISTRATION

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 AM on the following:

IN THE MATTER of a proposed contract between the Human Resources Administration of the City of New York and the contractor listed below, for the provision to support programming and services for LGBT Youth. The term of this contract will be for one year from July 1, 2019 to June 30, 2020.

Table with 4 columns: Contractor/Address, E-PIN #, Amount, Service area. Row 1: Lesbian and Gay Community Service Center, Inc. 09620L0112001 \$205,000.00 Citywide

The proposed contractor has been selected through City Council Discretionary Funds Appropriation, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract is available for public inspection at the Human Resources Administration of the City of New York, 150 Greenwich Street, 37th Floor, New York, NY 10007, on business days, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Holidays, from 10:00 AM to 5:00 PM. If you need to schedule an inspection appointment and/or need additional information, please contact Paul Romain at (929) 221-5555.

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MAYOR'S OFFICE OF CRIMINAL JUSTICE

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 AM on the following:

IN THE MATTER of a proposed contract between the Mayor's Office of Criminal Justice and Community Healthcare Network, Inc., located at 60 Madison Avenue, 5th Floor, New York, NY 10010, to provide

counseling and assistance with mental health, education, immigration, housing and employment, as an alternative to detention or incarceration, for defendants in the City's five human trafficking intervention courts, and for other victims of human trafficking. The contract term shall be from July 1, 2018 to June 30, 2019. There shall be no option to renew. The contract shall be in an amount not to exceed \$175,000.00. E-PIN #: 00220L0021001.

The proposed contractor is being funded through City Tax Levy Discretionary Funds Appropriation, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract shall be available for inspection by members of the public between November 1, 2019 and November 14, 2019, excluding Saturdays, Sundays and Holidays, during the hours of 9:00 AM and 12:00 PM and 2:00 PM and 4:00 PM at 1 Centre Street, Room 1012N, New York, NY 10007.

IN THE MATTER of a proposed contract between the Mayor's Office of Criminal Justice and The Fortune Society, Inc., located at 2976 Northern Boulevard, Long Island City, NY 11101, for the provision of intensive crisis advocacy, substance abuse treatment, emergency housing, referral and other supportive services for individuals returning to New York City communities from State or City correctional facilities including technical parole violators as well as enhanced vocational development, primary medical and behavioral health services to felony-level defendants who otherwise would be incarcerated pretrial or sentenced to jail or prison. The contract term shall be from July 1, 2019 to June 30, 2020 with no option to renew. The contract shall be in an amount not to exceed \$2,493,850.00. E-PIN #: 00220L0054001.

The proposed contract is being funded through City Tax Levy Discretionary Funds Appropriation, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contract shall be available for inspection by members of the public between November 1, 2019 and November 14, 2019, excluding Saturdays, Sundays and Holidays, during the hours of 9:00 AM and 12:00 PM and 2:00 PM and 4:00 PM, at 1 Centre Street, Room 1012N, New York, NY 10007.

IN THE MATTER of a proposed contract between the Mayor's Office of Criminal Justice and The Osborne Association, Inc., located at 809 Westchester Avenue, Bronx, NY 10455, to provide: (1) services helping incarcerated youth maintain family ties to help reduce recidivism; (2) services supporting the operations of the Fulton Community Reentry Program, including planning, community relations, and program design; (3) Elderly Reentry Services and (4) Alternatives to Incarceration, including Alternatives to Parole Revocation. The contract term shall be from July 1, 2019 to June 30, 2020 with no option to renew. The contract shall be in an amount not to exceed \$1,868,963.00. E-PIN #: 00220L0052001.

The proposed contractor is being funded through City Tax Levy Discretionary Funds Appropriation, pursuant to Section 1-02 (e) of the

Procurement Policy Board Rules.

A draft copy of the proposed contract shall be available for inspection by members of the public between November 1, 2019 and November 14, 2019, excluding Saturdays, Sundays and Holidays, during the hours of 9:00 AM and 12:00 PM and 2:00 PM and 4:00 PM, at 1 Centre Street, Room 1012N, New York, NY 10007.



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TRANSPORTATION

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Thursday, November 14, 2019, in Spector Hall, 22 Reade Street, Main Floor, Borough of Manhattan, commencing at 10:00 AM on the following:

IN THE MATTER of a proposed Purchase Order/Contract between the Department of Transportation of the City of New York and A&T Iron Works, Inc., located at 25 Cliff Street, New Rochelle, NY 10801, for SBS Bus Bulb Ramp Fabrication. The amount of this Purchase Order/Contract will be \$115,700.00. The term will be one year from date of registration. PIN #: 84120PO041TPM.

The Vendor has been selected pursuant to Section 3-12 (e) of the Procurement Policy Board Rules.

A draft copy of the Purchase Order/Contract will be available for public inspection at the Department of Transportation, Office of the Agency Chief Contracting Officer, 55 Water Street, New York, NY 10041, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Legal Holidays, from 9:00 AM to 5:00 PM.

IN THE MATTER of a proposed Purchase Order/Contract between the Department of Transportation of the City of New York and Shadow Transport, Inc., located at 3 Stepar Place, Huntington Station, NY 11746, for Transportation and Inventory of Granite Blocks and Planters. The amount of this Purchase Order/Contract will be \$130,000.00. The term will be one year from date of registration. PIN #: 84120PO040TPM.

The Vendor has been selected pursuant to Section 3-12 (e) of the Procurement Policy Board Rules.

A draft copy of the Purchase Order/Contract will be available for public inspection at the Department of Transportation, Office of the Agency Chief Contracting Officer, 55 Water Street, New York, NY 10041, from November 1, 2019 to November 14, 2019, excluding Saturdays, Sundays and Legal Holidays, from 9:00 AM to 5:00 PM.



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SPECIAL MATERIALS

CITYWIDE ADMINISTRATIVE SERVICES

NOTICE

**OFFICIAL FUEL PRICE (\$) SCHEDULE NO. 8432
FUEL OIL AND KEROSENE**

CONTR. NO.	ITEM NO.	FUEL/OIL TYPE	DELIVERY	VENDOR	CHANGE (\$)	PRICE (\$) EFF. 10/28/2019
3987206	1.2	#2DULS	CITYWIDE BY TW	SPRAGUE	.0321 GAL.	2.1813 GAL.
3987206	2.2	#2DULS	PICK-UP	SPRAGUE	.0321 GAL.	2.0766 GAL.
3987206	3.2	#2DULS WINTERIZED	CITYWIDE BY TW	SPRAGUE	.0321 GAL.	2.3796 GAL.
3987206	4.2	#2DULS WINTERIZED	PICK-UP	SPRAGUE	.0321 GAL.	2.2748 GAL.
3987206	5.2	#1DULS	CITYWIDE BY TW	SPRAGUE	.0303 GAL.	2.4957 GAL.
3987206	6.2	#1DULS	PICK-UP	SPRAGUE	.0303 GAL.	2.3909 GAL.
3987206	7.2	#2DULS >=80%	CITYWIDE BY TW	SPRAGUE	.0321 GAL.	2.2091 GAL.

3987206	8.2	#2DULS	WINTERIZED	CITYWIDE BY TW	SPRAGUE	.0321 GAL.	2.5001 GAL.
3987206	9.2	B100	B100<=20%	CITYWIDE BY TW	SPRAGUE	.0180 GAL.	2.5755 GAL.
3987206	10.2	#2DULS	>=80%	PICK-UP	SPRAGUE	.0321 GAL.	2.1043 GAL.
3987206	11.2	#2DULS	WINTERIZED	PICK-UP	SPRAGUE	.0321 GAL.	2.3953 GAL.
3987206	12.2	B100	B100 <=20%	PICK-UP	SPRAGUE	.0180 GAL.	2.4707 GAL.
3987206	13.2	#1DULS	>=80%	CITYWIDE BY TW	SPRAGUE	.0303 GAL.	2.5053 GAL.
3987206	14.2	B100	B100 <=20%	CITYWIDE BY TW	SPRAGUE	.0180 GAL.	2.5844 GAL.
3987206	15.2	#1DULS	>=80%	PICK-UP	SPRAGUE	.0303 GAL.	2.4005 GAL.
3987206	16.2	B100	B100 <=20%	PICK-UP	SPRAGUE	.0180 GAL.	2.4796 GAL.
3987206	17.2	#2DULS		BARGE MTF III & ST. WI	SPRAGUE	.0321 GAL.	2.1419 GAL.
3687331	17.3	#2DULS	WINTERIZED	BARGE MTF III & ST. WI	SPRAGUE	.0321 GAL.	2.4785 GAL.
3687192	1.0	JET		FLOYD BENNETT	SPRAGUE	.0199 GAL.	2.8242 GAL.
3587289	2.0	#4B5		MANHATTAN	UNITED METRO	.0309 GAL.	2.2413 GAL.
3587289	5.0	#4B5		BRONX	UNITED METRO	.0309 GAL.	2.2401 GAL.
3587289	8.0	#4B5		BROOKLYN	UNITED METRO	.0309 GAL.	2.2343 GAL.
3587289	11.0	#4B5		QUEENS	UNITED METRO	.0309 GAL.	2.2396 GAL.
3587289	14.0	#4B5		RICHMOND	UNITED METRO	.0309 GAL.	2.3250 GAL.
3687007	1.0	#2B5		MANHATTAN	SPRAGUE	.0314 GAL.	2.1168 GAL.
3687007	4.0	#2B5		BRONX	SPRAGUE	.0314 GAL.	2.1058 GAL.
3687007	7.0	#2B5		BROOKLYN	SPRAGUE	.0314 GAL.	2.1225 GAL.
3687007	10.0	#2B5		QUEENS	SPRAGUE	.0314 GAL.	2.1187 GAL.
3687007	13.0	#2B5		RICHMOND	SPRAGUE	.0314 GAL.	2.2831 GAL.
3687007		#2B5		RACK PICK-UP	SPRAGUE	.0314 GAL.	2.0446 GAL.
3687007	16.0	#2B10		CITYWIDE BY TW	SPRAGUE	.0307 GAL.	2.2792 GAL.
3687007	17.0	#2B20		CITYWIDE BY TW	SPRAGUE	.0293 GAL.	2.3087 GAL.

NOTE:

3987206	#2DULSB5	95% ITEM 8.2 & 5% ITEM 9.2		CITYWIDE BY TW	SPRAGUE	.0314 GAL.	2.2274 GAL.
3987206	#2DULSB10	90% ITEM 8.2 & 10% ITEM 9.2		CITYWIDE BY TW	SPRAGUE	.0307 GAL.	2.2457 GAL.
3987206	#2DULSB20	80% ITEM 8.2 & 20% ITEM 9.2		CITYWIDE BY TW	SPRAGUE	.0293 GAL.	2.2824 GAL.
3987206	#2DULSB5	95% ITEM 11.2 & 5% ITEM 12.2		PICK-UP	SPRAGUE	.0314 GAL.	2.1226 GAL.
3987206	#2DULSB10	90% ITEM 11.2 & 10% ITEM 12.2		PICK-UP	SPRAGUE	.0307 GAL.	2.1409 GAL.
3987206	#2DULSB20	80% ITEM 11.2 & 20% ITEM 12.2		PICK-UP	SPRAGUE	.0293 GAL.	2.1776 GAL.
3987206	#1DULSB20	80% ITEM 13.2 & 20% ITEM 14.2		CITYWIDE BY TW	SPRAGUE	.0279 GAL.	2.5211 GAL.
3987206	#1DULSB20	80% ITEM 15.2 & 20% ITEM 16.2		PICK-UP	SPRAGUE	.0279 GAL.	2.4163 GAL.

OFFICIAL FUEL PRICE (\$) SCHEDULE NO. 8433
FUEL OIL, PRIME AND START

CONTR. NO.	ITEM NO.	FUEL/OIL TYPE	DELIVERY	VENDOR	CHANGE (\$)	PRICE (\$) EFF. 10/28/2019
3787250	1.0	#2B5	ERP - CITYWIDE	PACIFIC ENERGY	.0314 GAL	2.1746 GAL.

OFFICIAL FUEL PRICE (\$) SCHEDULE NO. 8434
FUEL OIL AND REPAIRS

CONTR. NO.	ITEM NO.	FUEL/OIL TYPE	DELIVERY	VENDOR	CHANGE (\$)	PRICE (\$) EFF. 10/28/2019
3787250	1.0	#2B5	CITYWIDE BY TW	PACIFIC ENERGY	.0314 GAL	2.1746 GAL.
3787250	2.0	#4B5	CITYWIDE BY TW	PACIFIC ENERGY	.0309 GAL	2.1579 GAL.

OFFICIAL FUEL PRICE (\$) SCHEDULE NO. 8435
GASOLINE

CONTR. NO.	ITEM NO.	FUEL/OIL TYPE	DELIVERY	VENDOR	CHANGE (\$)	PRICE (\$) EFF. 10/28/2019
3787120	1.0	REG UL	CITYWIDE BY TW	GLOBAL MONTELLO	.0078 GAL	1.7949 GAL.
3787120	2.0	PREM UL	CITYWIDE BY TW	GLOBAL MONTELLO	-.0079 GAL	2.0036 GAL.
3787120	3.0	REG UL	PICK-UP	GLOBAL MONTELLO	.0078 GAL	1.7299 GAL.
3787120	4.0	PREM UL	PICK-UP	GLOBAL MONTELLO	-.0079 GAL	1.9386 GAL.
3787121	5.0	E85 (SUMMER)	CITYWIDE BY DELIVERY	UNITED METRO	-.0883 GAL	2.0257 GAL.

NOTE:

- As of February 9, 2018, the Bio-Diesel Blender Tax Credit was retroactively reinstated for calendar year 2017. Should the tax credit be further extended, contractors will resume deducting the tax credit as a separate line item on invoices.
- Federal excise taxes are imposed on taxable fuels, (i.e., gasoline, kerosene, and diesel), when removed from a taxable fuel terminal. This fuel excise tax does not include Leaking Underground Storage Tank (LUST) tax. LUST tax applies to motor fuels for both diesel and gasoline invoices. Going forward, LUST Tax will appear as an additional fee at the rate of \$0.001 per gallon and will be shown as a separate line item on your invoice.
- The National Oilheat Research Alliance (NORA) resumed operations in 2014. A related assessment of \$.002 per gallon has been added to the posted weekly fuel prices and will appear as a separate line item on invoices. This fee applies to heating oil only and since 2015 has included #4 heating oil. NORA has been authorized through February 2019. All other terms and conditions remain unchanged.
- Contract #3987206, effective June 1, 2019, replaces former items (1-17) on Contract #3687331 and is inclusive of Item #17.3 for the price structure for the Winterized Fuel Barge Delivery for ULTRA LOW SULFUR D-2 – BARGE DELIVERY.

REMINDER FOR ALL AGENCIES:

All entities utilizing DCAS fuel contracts are reminded to pay their invoices **on time** to avoid interruption of service.

Please send inspection copy of receiving report for all gasoline (E70, UL & PREM) delivered by tank wagon to OCP/Bureau of Quality Assurance (BQA), 1 Centre Street, 18th Floor, New York, NY 10007.

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CONSUMER AFFAIRS

■ NOTICE

Consumer Bill of Rights Regarding Tax Preparers

By law, tax preparers must give you a copy of this document before beginning any discussions about tax preparation services.

You have the right to know:

- Identification and qualifications of tax preparer.** Tax preparers must have a sign stating their relevant qualifications. (Note: Attorneys, Certified Public Accountants (CPAs), and Internal Revenue Service (IRS) Enrolled Agents do not have to post this sign.)
- Fees and additional charges.** Tax preparers must have a sign listing their tax preparation services and fees.
- Your options for paying for service and receiving your refund.** Tax preparers cannot require you to use Refund Anticipation Checks (RAC), Refund Anticipation Loans (RAL), Refund Transfers, or similar products. These options may have additional fees, increase the tax preparation fee, and/or delay the receipt of your refund.
- Whether or not the tax preparer will represent you at a government audit.** Tax preparers that fail to post a sign stating they will not represent you at an audit must represent you or provide you with representation.
- Whether the tax preparer is an attorney (member of the Bar of the State of New York) or a CPA, certified by the New York State Department of Education, Office of the Professions.** Tax preparers must tell you if they are NOT an attorney or CPA (though they can still prepare your taxes).

You have the right to receive:

- A copy of your tax return** prepared at the time the original is filed or given to you to file. (Note: Tax preparers must sign every tax return prepared.)
- An itemized receipt** listing the individual cost of each service and form prepared for you. The receipt must list the address and phone number where you can contact the tax preparer throughout the year.

- Your personal papers returned to you upon request**, when the original tax return is given to you for filing (unless the tax preparer is specifically permitted to keep such papers under state law).

Common terms:

- Form 1040 is also known as “**the long form**,” and Form 1040A is also known as “**the short form**.” Form 1040 is the standard federal income tax form used to report an individual’s gross income. It is also known as “the long form” because it is more extensive than the shorter 1040A and 1040EZ Tax Forms. “Schedule C” is a form sometimes attached to Form 1040 that is used to report income or loss from a business you operated or a profession you practiced as a sole proprietor.
- You may be entitled to a **refund** from state or federal tax agencies if you paid more taxes than you owed. The fastest way to get your refund is to choose to have it **directly deposited** into your bank account from the IRS or New York State Department of Taxation and Finance.

BEFORE YOU PAY for tax preparation services, you have a right to receive:

- A written list of the refund options and tax services** offered by the tax preparer.
- A written estimate of the total cost for all charges related to each service** offered by the tax preparer, including basic filing fees, interest rates, RAL, RAC, and Refund Transfer processing fees, and any other related fees or charges. You can also request an estimate of how long you can expect to wait for your refund based on the selected methods of payment and/or refund delivery.
- A written estimated interest rate** for a RAL or any other loan service offered by the tax preparer.

It’s illegal for a tax preparer to:

- Ask you to sign a blank or incomplete tax return, or alter a tax return after it has been signed by you, without your written consent.
- Charge a fee based upon the amount of tax owed or refund due.
- Guarantee a specific refund amount, or guarantee that you will not be audited by any government tax agency.
- Request a taxpayer to assign to the preparer any portion of the refund.

- Reveal any personal information to any person or business other than to you or your authorized designee or anyone authorized to receive such information by court order or by law.
- Have your tax refund mailed to the tax preparer, unless you have signed a power of attorney containing such authorization.
- Ask you to violate any governmental law, rule, or regulation.

Beware of Refund Anticipation Loans (RALs)

- A RAL is a loan made through a bank that you must pay back to the bank, which will reduce your income tax refund. Some RALs have a high interest rate. A RAL is not an "instant refund," and tax preparers cannot use this or similar terms ("rapid refund," "express refund," or "fast cash") that hide the fact that a RAL is a loan.
- Taking out a RAL is optional. Tax preparers cannot require you to take out a RAL or charge you fees beyond the bank's fees for a RAL.
- **BEFORE YOU TAKE OUT A RAL**, a tax preparer first must give you a single sheet of paper that tells you in English and Spanish:
 - o that you are not required to enter into the RAL;
 - o that the RAL is a loan you must repay regardless of the amount of your tax refund;
 - o the amount of your expected tax refund;
 - o the bank fees for the RAL and approximate amount you will receive as your loan;
 - o the interest rate expressed as the estimated annual percentage rate (APR) based on the amount of time the loan will be outstanding, if applicable;
 - o the approximate date you would get your loan money if you take out a RAL; and
 - o the approximate date you would get your refund without the RAL.
- If you cannot read English or Spanish, the tax preparer must explain this information to you in a language that you understand.

Even "free" RALs and other refund advance products can have fees. For example, RACs and Refund Transfers may include a fee to waive the up-front cost of tax preparation but then deduct that cost from your refund. Remember, you have a right to receive a written estimate of the total cost for all charges for each service offered by the tax preparer, including refund advance products, and the time it will take for you to receive your refund with or without a RAL, RAC, or Refund Transfer, so be sure to ask.

For more information or to file a complaint against an individual offering tax preparation services, call 311 or visit nyc.gov/dca

If your annual income is \$64,000 or less, you may qualify for NYC Free Tax Prep services, which could help you claim important tax credits like the Earned Income Tax Credit (EITC) and the New York City Child Care Tax Credit (CCTC). For more information, call 311 or visit nyc.gov/taxprep

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HEALTH AND MENTAL HYGIENE

■ NOTICE

Notice of Concept Paper

Home visiting programs support families during pregnancy and early childhood with an aim to improve maternal and child health, prevent child abuse and neglect, encourage positive parenting and promote child development and school readiness. The Department of Health and Mental Hygiene (DOHMH) in the City of New York seeks to improve infant/child health and well-being outcomes by matching families' assessed risks to evidence-based (EB) or evidence-generating (EG) home visiting (HV) models that are most appropriate for them based on their needs through the use of a Coordinated Intake & Referral (CI&R) HV system. DOHMH proposes to issue a Request for Proposals (RFP) to procure services from qualified organizations to serve as the borough-based operators for a CI&R system for maternal and child home visiting services in New York City.

The Concept Paper will be posted on the DOHMH website, www.nyc.gov/health, November 12, 2019 through December 26, 2019. Written comments in response to the Concept Paper should be submitted to RFP@health.nyc.gov. Please include "Coordinated Intake and Referral Concept Paper" in the subject line.

Provider Conferences will be held as follows:

WHEN:	WHERE:
1. Nov 18, 2019 (Monday) Time: 2:00 P.M. – 4:00 P.M. (2 hours)	Brooklyn Health Center 258 Bristol Street, Brooklyn, NY 11212
2. Nov 20, 2019 (Wednesday) Time: 10:00 A.M. - 12:00 P.M. (2 hours).	Bronx Health Action Center 1826 Arthur Avenue, Bronx, NY 10457

All attendees are required to RSVP to RFP@health.nyc.gov. Please include "RSVP-CI&R" in the subject line.

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MAYOR'S OFFICE OF CONTRACT SERVICES

■ NOTICE

Notice of Intent to Extend Contract(s) Not Included in FY 2020 Annual Contracting Plan and Schedule

NOTICE IS HEREBY GIVEN that the Mayor will be entering into the following extension(s) of (a) contract(s) not included in the FY 2020 Annual Contracting Plan and Schedule that is published, pursuant to New York City Charter § 312(a):

Agency: Department of Information Technology and Communications
 FMS Contract #: MMA1-858-2018600090
 Vendor: Aithent Inc
 Description of services: Citywide System Integration Class 1
 Award method of original contract: Competitive Sealed Proposal
 FMS Contract type: MMA1
 End date of original contract: 6/30/2020
 Method of renewal/extension the agency intends to utilize: Amendment Extension
 New start date of the proposed renewed/extended contract: 7/1/2020
 New end date of the proposed renewed/extended contract: 6/30/2021
 Modifications sought to the nature of services performed under the contract: N/A
 Reason(s) the agency intends to renew/extend the contract: Continuation of Services
 Personnel in substantially similar titles within agency: None
 Headcount of personnel in substantially similar titles within agency: 0

Agency: Department of Information Technology and Communications
 FMS Contract #: MMA1-858-2018600094
 Vendor: Nagarro Inc
 Description of services: Citywide System Integration Class 1
 Award method of original contract: Competitive Sealed Proposal
 FMS Contract type: MMA1
 End date of original contract: 6/30/2020
 Method of renewal/extension the agency intends to utilize: Amendment Extension
 New start date of the proposed renewed/extended contract: 7/1/2020
 New end date of the proposed renewed/extended contract: 6/30/2021
 Modifications sought to the nature of services performed under the contract: N/A
 Reason(s) the agency intends to renew/extend the contract: Continuation of Services
 Personnel in substantially similar titles within agency: None
 Headcount of personnel in substantially similar titles within agency: 0

Agency: Department of Information Technology and Communications
 FMS Contract #: MMA1-858-2018600030
 Vendor: Unique Comp Inc
 Description of services: Citywide System Integration Class 1
 Award method of original contract: Competitive Sealed Proposal
 FMS Contract type: MMA1
 End date of original contract: 6/30/2020
 Method of renewal/extension the agency intends to utilize: Amendment Extension
 New start date of the proposed renewed/extended contract: 7/1/2020
 New end date of the proposed renewed/extended contract: 6/30/2021
 Modifications sought to the nature of services performed under the contract: N/A
 Reason(s) the agency intends to renew/extend the contract: Continuation of Services
 Personnel in substantially similar titles within agency: None
 Headcount of personnel in substantially similar titles within agency: 0

Agency: Department of Information Technology and Communications
 FMS Contract #: MMA1-858-2018600092
 Vendor: Dyntek Services Inc
 Description of services: Citywide System Integration Class 1
 Award method of original contract: Competitive Sealed Proposal
 FMS Contract type: MMA1
 End date of original contract: 6/30/2020

Method of renewal/extension the agency intends to utilize: Amendment
Extension
New start date of the proposed renewed/extended contract: 7/1/2020
New end date of the proposed renewed/extended contract: 6/30/2021
Modifications sought to the nature of services performed under the contract: N/A
Reason(s) the agency intends to renew/extend the contract:
Continuation of Services
Personnel in substantially similar titles within agency: None
Headcount of personnel in substantially similar titles within agency: 0
Agency: Department of Information Technology and Communications
FMS Contract #: MMA1-858-20186000031
Vendor: Gcom Software LLC
Description of services: Citywide System Integration Class 1
Award method of original contract: Competitive Sealed Proposal
FMS Contract type: MMA1
End date of original contract: 6/30/2020
Method of renewal/extension the agency intends to utilize: Amendment
Extension
New start date of the proposed renewed/extended contract: 7/1/2020
New end date of the proposed renewed/extended contract: 6/30/2021
Modifications sought to the nature of services performed under the contract: N/A
Reason(s) the agency intends to renew/extend the contract:
Continuation of Services
Personnel in substantially similar titles within agency: None
Headcount of personnel in substantially similar titles within agency: 0
Agency: Department of Information Technology and Communications
FMS Contract #: MMA1-858-20186000045
Vendor: Prutech Solutions, Inc
Description of services: Citywide System Integration Class 1
Award method of original contract: Competitive Sealed Proposal
FMS Contract type: MMA1
End date of original contract: 6/30/2020
Method of renewal/extension the agency intends to utilize: Amendment
Extension
New start date of the proposed renewed/extended contract: 7/1/2020
New end date of the proposed renewed/extended contract: 6/30/2021
Modifications sought to the nature of services performed under the contract: N/A
Reason(s) the agency intends to renew/extend the contract:
Continuation of Services
Personnel in substantially similar titles within agency: None
Headcount of personnel in substantially similar titles within agency: 0
Agency: Department of Information Technology and Communications
FMS Contract #: MMA1-858-20186000003
Vendor: Deloitte Consulting LLP
Description of services: Citywide System Integration Class 2
Award method of original contract: Competitive Sealed Proposal
FMS Contract type: MMA1
End date of original contract: 6/30/2020
Method of renewal/extension the agency intends to utilize: Amendment
Extension
New start date of the proposed renewed/extended contract: 7/1/2020
New end date of the proposed renewed/extended contract: 6/30/2021
Modifications sought to the nature of services performed under the contract: N/A
Reason(s) the agency intends to renew/extend the contract:
Continuation of Services
Personnel in substantially similar titles within agency: None
Headcount of personnel in substantially similar titles within agency: 0
Agency: Department of Information Technology and Communications
FMS Contract #: MMA1-8 58-20186000000
Vendor: Accenture LLP
Description of services: Citywide System Integration Class 2
Award method of original contract: Competitive Sealed Proposal
FMS Contract type: MMA1
End date of original contract: 6/30/2020
Method of renewal/extension the agency intends to utilize: Amendment
Extension
New start date of the proposed renewed/extended contract: 7/1/2020
New end date of the proposed renewed/extended contract: 6/30/2021
Modifications sought to the nature of services performed under the contract: N/A
Reason(s) the agency intends to renew/extend the contract:
Continuation of Services
Personnel in substantially similar titles within agency: None
Headcount of personnel in substantially similar titles within agency: 0
Agency: Department of Information Technology and Communications
FMS Contract #: MMA1-858-20186000001
Vendor: CGI Technologies and Solutions Inc
Description of services: Citywide System Integration Class 2
Award method of original contract: Competitive Sealed Proposal
FMS Contract type: MMA1
End date of original contract: 6/30/2020
Method of renewal/extension the agency intends to utilize: Amendment

Extension
New start date of the proposed renewed/extended contract: 7/1/2020
New end date of the proposed renewed/extended contract: 6/30/2021
Modifications sought to the nature of services performed under the contract: N/A
Reason(s) the agency intends to renew/extend the contract:
Continuation of Services
Personnel in substantially similar titles within agency: None
Headcount of personnel in substantially similar titles within agency: 0
Agency: Department of Information Technology and Communications
FMS Contract #: MMA1-858-20186000005
Vendor: International Business Machines Corp
Description of services: Citywide System Integration Class 2
Award method of original contract: Competitive Sealed Proposal
FMS Contract type: MMA1
End date of original contract: 6/30/2020
Method of renewal/extension the agency intends to utilize: Amendment
Extension
New start date of the proposed renewed/extended contract: 7/1/2020
New end date of the proposed renewed/extended contract: 6/30/2021
Modifications sought to the nature of services performed under the contract: N/A
Reason(s) the agency intends to renew/extend the contract:
Continuation of Services
Personnel in substantially similar titles within agency: None
Headcount of personnel in substantially similar titles within agency: 0
Agency: Department of Information Technology and Communications
FMS Contract #: MMA1-858-20186000006
Vendor: Northrop Grumman Systems Corporation
Description of services: Citywide System Integration Class 2
Award method of original contract: Competitive Sealed Proposal
FMS Contract type: MMA1
End date of original contract: 6/30/2020
Method of renewal/extension the agency intends to utilize: Amendment
Extension
New start date of the proposed renewed/extended contract: 7/1/2020
New end date of the proposed renewed/extended contract: 6/30/2021
Modifications sought to the nature of services performed under the contract: N/A
Reason(s) the agency intends to renew/extend the contract:
Continuation of Services
Personnel in substantially similar titles within agency: None
Headcount of personnel in substantially similar titles within agency: 0
Agency: Department of Information Technology and Communications
FMS Contract #: MMA1-858-20186000002
Vendor: Currier McCabe & Associates Inc
Description of services: Citywide System Integration Class 2
Award method of original contract: Competitive Sealed Proposal
FMS Contract type: MMA1
End date of original contract: 6/30/2020
Method of renewal/extension the agency intends to utilize: Amendment
Extension
New start date of the proposed renewed/extended contract: 7/1/2020
New end date of the proposed renewed/extended contract: 6/30/2021
Modifications sought to the nature of services performed under the contract: N/A
Reason(s) the agency intends to renew/extend the contract:
Continuation of Services
Personnel in substantially similar titles within agency: None
Headcount of personnel in substantially similar titles within agency: 0
Agency: Department of Information Technology and Communications
FMS Contract #: MMA1-858-20186000004
Vendor: Experis US INC
Description of services: Citywide System Integration Class 2
Award method of original contract: Competitive Sealed Proposal
FMS Contract type: MMA1
End date of original contract: 6/30/2020
Method of renewal/extension the agency intends to utilize: Amendment
Extension
New start date of the proposed renewed/extended contract: 7/1/2020
New end date of the proposed renewed/extended contract: 6/30/2021
Modifications sought to the nature of services performed under the contract: N/A
Reason(s) the agency intends to renew/extend the contract:
Continuation of Services
Personnel in substantially similar titles within agency: None
Headcount of personnel in substantially similar titles within agency: 0

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Notice of Intent to Issue New Solicitation(s) Not Included in FY 2020 Annual Contracting Plan and Schedule

NOTICE IS HEREBY GIVEN that the Mayor will be issuing the following solicitation(s) not included in the FY 2020 Annual Contracting Plan and Schedule that is published, pursuant to New York City Charter § 312(a):

Table with columns: NAME, TITLE, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists employees from OWENS DANIELLE to ZACK MARTIN.

DEPT. OF HOMELESS SERVICES FOR PERIOD ENDING 09/20/19

Table with columns: NAME, TITLE, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists employees from BENDEK GABRIELA to FRANCIS DAVID.

DEPT. OF HOMELESS SERVICES FOR PERIOD ENDING 09/20/19

Table with columns: NAME, TITLE, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists employees from GIBBS JA'QUAY to WATERTON SOLOMON.

DEPARTMENT OF CORRECTION FOR PERIOD ENDING 09/20/19

Table with columns: NAME, TITLE, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists employees from AHMETI VETON to DORAN PAUL.

DEPARTMENT OF CORRECTION FOR PERIOD ENDING 09/20/19

Table with columns: NAME, TITLE, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists employee EDWARDS RICCARDO.

Table with columns: NAME, TITLE, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists employees from ELLIOTT NEVILLE to NIEVES ERIC.

DEPARTMENT OF CORRECTION FOR PERIOD ENDING 09/20/19

Table with columns: NAME, TITLE, NUM, SALARY, ACTION, PROV, EFF DATE, AGENCY. Lists employees from ORTIZ GENA to ZHONG GUO.

LATE NOTICE

BOARD OF CORRECTION

■ NOTICE

NOTICE OF RULEMAKING CONCERNING RESTRICTIVE HOUSING IN CORRECTIONAL FACILITIES

Notice of Public Hearing and Opportunity to Comment on Proposed Rules

What are we proposing? The Board of Correction (the “Board”) is proposing a new rule and rule amendments designed to ensure that people in the Department of Correction’s custody: (1) are placed in restrictive housing in accordance with due process and procedural justice principles; and (2) are confined in the least restrictive setting and for the least amount of time necessary to address the specific reasons for their placement and to ensure their own safety as well as the safety of staff and other people in custody.

When and where is the hearing?

The Board of Correction will hold a public hearing on the proposed rules. The public hearing will take place at 9:00 AM on December 2, 2019. The hearing will be held at 125 Worth Street, Second Floor Auditorium, New York, New York, 10013.

How do I comment on the proposed rules? Anyone can comment on the proposed rules by:

- **Website.** You can submit comments to the Board through the NYC rules website at <http://rules.cityofnewyork.us>.
- **Email.** You can email comments to the Board at BOC@boc.nyc.gov.
- **Mail.** You can mail comments to the Board, Attn: Michele M. Ovesey, 1 Centre Street, Room 2213, New York, NY 10007.
- **Fax.** You can fax comments to the Board at 212-669-7980.
- **By speaking at the hearing.** Anyone who wants to comment on the proposed rules at the public hearing must sign up to speak. You can sign up before the hearing by calling 212-669-7900. You can also sign up in the hearing room before the hearing begins at 9:00 AM on December 2, 2019. You can speak for up to four (4) minutes.

Is there a deadline to submit comments? Yes, you must submit comments by the close of business on December 2, 2019.

Do you need assistance to participate in the hearing? You must inform the Board if you need a reasonable accommodation of a disability at the Hearing. Please also inform us if you need a language interpreter. You can inform us by mail at the address given above, by telephone at 212-669-7900, or by email at boc@boc.nyc.gov. Please inform us by the close of business on November 30, 2019 so that we have sufficient time to arrange the accommodation.

Can I review the comments made on the proposed rules? You can review the comments made online on the proposed rules by going to the website at <http://rules.cityofnewyork.us/>. One week after the hearing, a transcript of the hearing and copies of the written comments will be available to the public on the Board’s website.

What authorizes the Board of Correction to make these rules? Sections 626 and 1043 of the New York City Charter authorize the Board to propose these rules.

Where can I find the Board of Correction’s rules? The Board’s rules are in Title 40 of the Rules of the City of New York, and are also available on the Board’s website under the “Jail Regulations” tab.

What requirements govern the rulemaking process? The Board must meet the requirements of Section 1043 of the City Charter when creating or amending rules. This notice is made according to the requirements of Section 1043 of the City Charter.

STATEMENT OF BASIS AND PURPOSE

Under § 626 of the New York City Charter, the Board of Correction (“Board” or “BOC”) is authorized to establish minimum standards “for the care, custody, correction, treatment, supervision, and discipline of all persons held or confined under the jurisdiction” of the New York City Department of Correction (“Department” or “DOC”). Pursuant to this authority, the Board proposes to create a new chapter of its rules, and amend certain existing rules, designed to ensure that people in the Department of Correction’s custody: (1) are placed in restrictive housing in accordance with due process and procedural

justice principles; and (2) are confined in the least restrictive setting and for the least amount of time necessary to address the specific reasons for their placement and to ensure their own safety as well as the safety of staff, other people in custody, and the public.

From Reforms to Rules

In just five years — 2014 through 2018 — the New York City jail system underwent groundbreaking reforms. These critical changes spurred a period of innovation and experimentation as the Department, under the oversight of the Board, developed alternatives to punitive segregation, alternative ways to reduce violence in the jails, and alternative strategies to manage its adolescent and young adult populations. Implementation of reforms required DOC to seek variances from the Minimum Standards and led to the Board’s imposition of conditions on granting the variances.

In January 2015, the Board enacted historic amendments to its Minimum Standards: namely, limitations on the use of punitive segregation (“PSEG”)¹ and the creation of enhanced supervision housing (“ESH”)² for adults as part of systemic reforms in the City jails. The reforms included the elimination of PSEG for 16-21-year-olds and individuals with serious mental or serious physical disabilities or conditions.³ Approximately one year later, in December 2015, BOC enacted further amendments, including some proposed by DOC such as the 60-day sentence for assaults on staff. The Department achieved elimination of PSEG for adolescents (i.e., people ages 16 and 17) in December 2014 and for young adults (i.e., people ages 18 through 21) in October 2016. Just two years later, in October 2018, DOC achieved another milestone — the transfer of adolescents off Rikers Island to the Horizon Juvenile Center in the Bronx, under the joint care of DOC and ACS.⁴

The elimination of punitive segregation for young people and limitations on its use for adults led the Department to establish alternative restrictive housing for the jail population: Second Chance Housing Unit (“Second Chance”), Transitional Restorative Unit (“TRU”) (adolescents and young adults ages 18-21), Secure Unit (“Secure”), and Young Adult ESH (“YA-ESH”) (young adults ages 18-21).⁵

During this period of reform, the Department also commingled young adults with adults in certain ESH units, implemented the non-individualized use of restraint desks in ESH Level 1, and operated a highly restrictive unit in West Facility without affording due process to the adults and young adults placed there. The Board viewed these actions as running counter to basic tenets underlying the Department’s Young Adult Plan, the PSEG amendments, and the intended purposes of ESH. This retrenchment of the 2014-2015 reforms led to variances and variance conditions, which continue to the present day. It also led to the Board’s unanimous vote in 2016 to conduct rulemaking on restrictive housing.⁶

The proposed rules are the result of extensive fact-finding in 2017-2018, including discussions with 30 organizations and individuals — the local defense bar, criminal justice advocates, national criminal justice organizations and oversight entities, Correction Officers’ Benevolent Association (COBA), correctional experts, and academics — and our City partners, DOC and CHS.⁷ This comprehensive effort also entailed a literature review and examination of DOC directives, policies, and reports; Board staff research, analyses, and reports; consultation of model restrictive housing standards at the national and international level; and study of restrictive housing in jails and prisons nationwide.

Following is a descriptive summary of (i) the proposed rules in Chapter 6 (Section I); and (ii) proposed amendments to Chapter 1 Standards to make them consistent with the Chapter 6 rules (Section II).

I. THE PROPOSED CHAPTER 6 RULES

Subchapter A: Core Principles § 6-01

Proposed rule § 6-01 enumerates the core principles upon which the Chapter 6 Standards are based. These principles are reflected in other Board Standards, model criminal justice standards, and DOC’s policies on restrictive housing.

- 1 Minimum Standard (“Min. Std.”) § 1-17 (“Limitations on the Use of Punitive Segregation”).
- 2 Min. Std. § 1-16 (“Enhanced Supervision Housing”).
- 3 Min. Std. § 1-17(b)(iii) (“Exclusions”).
- 4 “ACS” is the NYC Administration for Children’s Services.
- 5 The proposed rules do not address restrictive housing for adolescents.
- 6 Minutes of January 12, 2016 Public Meeting (at 7-8), [https://www1.nyc.gov/assets/boc/downloads/pdf/BOCMinutes-\(1.12.16\).pdf](https://www1.nyc.gov/assets/boc/downloads/pdf/BOCMinutes-(1.12.16).pdf).
- 7 “CHS” is the NYC Health + Hospitals’ Correctional Health Services Division.

The first principle⁸ seeks to protect the safety of people in DOC custody and the staff who work in DOC facilities⁹ by: (i) ensuring that all people in custody and all staff are treated with dignity and respect; (ii) prohibiting restrictions that dehumanize or demean people in custody¹⁰; (iii) placing restrictions on people in custody that are limited to those required to achieve the appropriate objectives for which the restrictions are imposed¹¹; and (iv) confining people to the least restrictive setting and for the least amount of time necessary to address the specific reasons for their placement and to ensure their own safety as well as the safety of staff, other people in custody, and the public.¹²

The second core principle¹³ aims to place people in custody into restrictive housing or restrictive statuses in accordance with due process and procedural and restorative justice principles by (i) explaining disciplinary rules and the sanctions for violating them when people are first admitted to DOC custody; (ii) imposing sanctions that are proportionate to the offenses committed; and (iii) applying disciplinary rules and imposing sanctions fairly and consistently.¹⁴

The third core principle¹⁵ strives to promote the rehabilitation of people in custody and reintegrate them into the community by: (i) incentivizing good behavior; (ii) allowing people placed in restrictive housing as much out-of-cell time and programming participation as practicable, consistent with safety and security; and (iii) providing necessary programs and resources.¹⁶

The fourth and final core principle¹⁷ seeks to monitor and track compliance with the proposed rules and the core principles on which they are based by developing performance measures

8 Proposed rule § 6-01(a)(1)(i) through (iv).

9 *See, e.g.*, Minimum Standard (“Min. Std.”); § 1-16 (a) (ESH/“Purpose”); § 1-16(b) (ESH/“Policy”); ABA Criminal Justice Standards on Treatment of Prisoners, Part I (“ABA Std.”) (2011), Stds. 23-2.6(a) and 23-2.7, https://www.americanbar.org/content/dam/aba/publications/criminal_justice_standards/Treatment_of_Prisoners_authcheckdam.pdf; American Correctional Association Restrictive Housing Expected Practices, (“ACA Std.”) (January 2018), Std. 4-RH-0001, http://www.aca.org/ACA_Prod_IMIS/ACA_Member/Standards_Accreditation/Standards/Restrictive_Housing_Committee/ACA_Member/Standards and Accreditation/Restrictive_Housing_Committee/Restrictive_Housing_Committee.aspx?hkey=458418a3-8c6c-48bb-93e2-b1fcbca482a2; and U.S. Department of Justice Report and Recommendations Concerning the Use of Restrictive Housing, Final Report (“DOJ Final Report”) (January 2016) (at 1), <https://www.justice.gov/archives/dag/file/815551/download>.

10 *See, e.g.*, ABA Std. 23-1.1(d); United Nations Standard Minimum Rules for the Treatment of Prisoners (“Mandela Rules”), Rule 1, <https://cdn.penalreform.org/wp-content/uploads/1957/06/ENG.pdf>.

11 *See, e.g.*, Min. Std. § 1-16(d)(1) (ESH/“Conditions, Programming and Services”); § 1-17(b)(4) (PSEG/“Exclusions”); ABA Std. 23-1.1(c); and DOJ Final Report, Guiding Principle No. 19, <https://www.justice.gov/archives/dag/file/815556/download>.

12 *See, e.g.*, Min. Std. § 1-02(f)(1) (“Classification of Prisoners/“Security classification”); § 1-17(e) (PSEG/“Required out-of-cell time”); Variance from Min. Std. § 1-16(c)(1)(ii) (YA-ESH Variance), Condition Nos. 2, 5, and 7, <https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/2019/February/2019.02.12%20DRAFT%20Record%20of%20Variance%20Action%20-%20YA%20ESH.pdf>; ABA Std. 23-2.6(a); DOJ Final Report, Guiding Principle Nos. 1 and 2; and European Committee for the Prevention of Torture and Inhuman or Degrading Treatment or Punishment Standards (“CPT Stds.”), Standard 61, <https://rm.coe.int/16806cccc6>.

13 Proposed rule § 6-01(a)(2)(i) through (iv).

14 *See, e.g.*, Min. Std. § 1-16(g) (ESH/“Placement Review Hearing”); Min. Std. § 1-17(c) (PSEG/“Due process”); ABA Std. 23-4.2; and DOJ Final Report, Guiding Principle No. 20.

15 Proposed rule § 6-01(a)(3)(i) through (iii).

16 *See, e.g.*, § 1-16(a) (ESH/“Purpose”); ABA Std. 23-3.8(d); DOJ Final Report, Guiding Principle No. 30; and Association of State Correctional Administrators Restrictive Status Housing Policy Guidelines, August 9, 2013 (“ASCA Stds.”), Std. No. 4, <https://asca.memberclicks.net/assets/2013%20ASCA%20Resolution%20Restrictive%20Housing%20Status%20Policy%20Guidelines.pdf>.

17 Proposed rule § 6-01(a)(4)(i) and (ii).

and regularly reporting outcomes to the Board and the public.¹⁸ In furtherance of this principle, proposed rules regarding data collection and review are designed to ensure that the Department and CHS track and the information necessary to monitor compliance with the rules and promote transparency on compliance through regular reporting.

Chapter 6’s data reporting provisions take a comprehensive and holistic approach toward data collection and review with respect to all types of restrictive housing. They require DOC and CHS to report information on compliance and conditions of confinement in restrictive housing and regular data sharing with the Board.¹⁹ Many of the reporting provisions, such as those related to disciplinary housing, transitional/administrative housing, and young adults,²⁰ are intended to replace existing rules or codify variance reporting conditions.²¹ Regular reporting required in the proposed rule will ensure the Board, DOC, CHS, and the public have the same information from which to measure compliance and progress. The rule related to each report also require that the Board and the Department jointly develop reporting templates to ensure the necessary compliance metrics are clearly communicated to the public.

The Department has begun soliciting recommendations from vendors to modernize the manner in which operations are tracked, recorded, and communicated. Currently, many processes related to restrictive housing exist only on paper forms and in paper logbooks. This inhibits efficient and safe operations and effective monitoring of compliance with the Minimum Standards. The Board understands that DOC will invest in enhancing and developing electronic systems necessary to track the data and produce the reports required by the rule. Investments in comprehensive electronic data tracking systems will position the Department to determine the effectiveness of agency programs, initiatives, policies, and practices; make data-driven policy decisions; and implement targeted corrective action when necessary.²² With such systems, DOC would be able to determine whether any of its restrictive housing models or restrictions have been effective in preventing or reducing violence in the jails.

Subchapter B: Definitions §§ 6-02 and 6-03

General Definitions (§ 6-02)

Proposed rule § 6-02 sets forth definitions of terms used throughout Chapter 6. Of note is the definition of a person confined in a DOC facility as a “person in custody.” Because individuals in the Department’s custody are people first and the circumstances of their incarceration are not their defining feature, the Board has made a commitment to employ person-first language in its Standards and general communications going forward. To this end, the proposed Chapter refers to people in DOC custody as “people in custody.” Additionally, the proposed rule amendments discussed in Section II of this Statement delete all references to “inmates” and “prisoners” in favor of “people in custody.”

18 *See, e.g.*, § 1-16(i) (ESH/“Board Review of ESH Implementation”); § 1-17(h) (PSEG/“Reports on punitive segregation”); conditions imposed on variances regarding commingling of young adults with adults, Young Adult-ESH, the Secure Unit, and PSEG (waiver of 7-day requirement), <https://www1.nyc.gov/site/boc/jail-regulations/variances.page>; ABA Stds. 23-11.1 and 23-11.3.

19 *See, e.g.*, proposed rules § 6-04(e)-(h) (Pre-Hearing Detention); § 6-05(k)-(n) (De-escalation Confinement); § 6-08 (Data Collection and Review/Disciplinary Housing); § 6-17 (Data Collection and Review – Transitional/Administrative Housing); § 6-24 (Data Collection and Review/Structurally Restrictive Housing); § 6-28 (Data Collection and Review/Access to Health Services); § 6-33 (Data Collection and Review/Procedural Justice and Due Process); and § 6-36(m)-(p) (restrictive statuses).

20 *Id.*

21 *See, e.g.*, Min. Std. § 1-16(i) (“Board Review of ESH Implementation”); Min. Std. § 1-17(h) (“Reports on punitive segregation”); Variance from Min. Std. § 1-16(c)(1)(ii) (YA-ESH Variance, Condition Nos. 15 and 16; Variance from Min. Std. § 1-02(c)(1) (“Young Adult (YA) Commingling Variance”), Condition Nos. 1 and 2, <https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/2019/July/2019.07%20Record%20of%20Variance%20Action%20-%20YA%20Co-mingling%20FINAL.pdf>.

22 The Vera Institute of Justice (“Vera”) recommends that prisons and jails “[d]evelop robust systems for collecting and reporting data on the use of restrictive housing and other relevant measures, such as outcomes of the disciplinary process. Such data should be used to measure the impact of policy changes, identify areas in which the desired outcomes are not being achieved, and ensure that all people benefit from the improvements (including populations such as youth, women, and people of color).” Vera, “Rethinking Restrictive Housing: Lessons from Five U.S. Jail and Prison Systems” (May 2018) (at 37), <https://www.vera.org/downloads/publications/rethinking-restrictive-housing-report.pdf>.

Definition of Restrictive Housing and Related Terms (§ 6-03)

Subdivision (a) of proposed rule § 6-03 defines “restrictive housing” to include existing types of housing in DOC facilities and similar housing the Department may establish in the future, which meet the proposed rule’s defining criteria and, therefore, are subject to the requirements of Chapter 6.

Specifically, § 6-03(a)(1) and (2) define restrictive housing as the placement of people in custody into housing units separate and apart from the general population where all those in the unit are subject to restrictions not applicable to the general population. Restrictions vary by housing type and may include one or more of the following: (i) out-of-cell time is less than 14 hours a day (as is offered to the general population);²³ (ii) services mandated under Chapter 1 of the Standards are provided in the housing unit as opposed to a facility’s common areas, such as the chapel or law library;²⁴ (iii) people are permitted to congregate with only one or two other people in the unit;²⁵ and (iv) fewer privileges are offered to the people in the unit (e.g., limits on commissary spending).²⁶

Subdivision (b) of § 6-03 defines the housing types subject to Chapter 6 regulation. They are: (i) immediate placement responses to violence; (ii) disciplinary housing; (iii) transitional/administrative housing; and (iv) structurally restrictive housing.

► **Immediate Placement Responses to Violence**

Immediate placement responses to violence, addressed in Subchapter C (§§ 6-04–6-06), include pre-hearing detention — the placement of a person into PSEG I (defined below) pending the investigation or adjudication of the person’s disciplinary infraction for a Grade I violent offense (§§ 6-03(b)(14); 6-04). Also subject to Subchapter C rules are de-escalation confinement in an intake area (§ 6-03(b)(11); § 6-05), and the emergency lock-in of people in their cells (§ 6-06).

► **Disciplinary Housing**

Disciplinary housing is of two types — PSEG I and PSEG II — and is addressed in Subchapter D of the proposed rules (§§ 6-07–6-08). PSEG I is defined as the placement of a person separate and apart from the general population, with four (4)-hour lock-out per day, pursuant to a disciplinary sanction for a Grade I violent offense imposed after a disciplinary hearing (§§ 6-03(b)(15) and 6-07(a)). PSEG I includes the Restrictive Housing Unit (“RHU”), defined as punitive segregation, with four (4)-hour daily lock-out, for certain people with mental health needs, but not serious mental illness (§ 6-03(b)(19)). According to DOC policy, people confined in the RHU may earn time off their sentence if they successfully complete a voluntary incentive program.

Proposed rule § 6-03(b)(16) defines PSEG II as the placement of a person separate and apart from the general population pursuant to a disciplinary sanction for a Grade I non-violent offense or Grade II offense imposed after a disciplinary hearing. People confined in PSEG II are offered seven (7) hours of out-of-cell time per day (§ 6-07(b)).

► **Transitional/Administrative Housing**

Proposed rule § 6-03(b)(23) defines transitional/administrative housing (“T/A Housing”) as the placement of a person separate and apart from the general population for non-disciplinary reasons after a placement review hearing pursuant to § 6-31. T/A Housing is addressed in Subchapter E (§§ 6-10–6-17). This includes ESH for adults and young adults (ages 18 through 21) as well as Secure, TRU, and Second Chance for young adults only.

► **Structurally Restrictive Housing**

The Department’s operation of West Facility (“West”) as a restrictive administrative segregation unit, which BOC staff documented through its monitoring of the Facility in 2016, exemplifies the need for the proposed rules’ definition of restrictive housing to include not only housing publicly identified as “restrictive,” but also housing which is not publicly identified as restrictive but operates as such. Thus, proposed rule § 6-03(b)(21) defines structurally restrictive housing as a housing unit the physical design of which permits people confined in the unit to congregate with only one or two other people in custody. This housing includes West Facility and similar housing units in other DOC facilities. Structurally restrictive housing is addressed in Subchapter F (§§ 6-18–6-24).

Subchapter C: Immediate Placement Responses to Violence § 6-04, § 6-05, and § 6-06

- 23 Proposed rule § 6-03(a)(2)(i).
 24 Proposed rule § 6-03(a)(2)(ii).
 25 Proposed rule § 6-03(a)(2)(iii).
 26 Proposed rule § 6-03(a)(2)(iv).

Proposed Subchapter C covers: (1) pre-hearing detention; (2) confinement for de-escalation purposes; and (3) emergency lock-ins. These forms of restrictive confinement, which the Department utilizes as immediate responses to violence, are discussed below.

Pre-Hearing Detention (§ 6-04)

People who must be immediately separated from others after committing a violent or other serious infraction are placed in pre-hearing detention (“PHD”) to ensure the safety and security of staff and other people in custody. Proposed rule § 6-04 incorporates provisions of Minimum Standard § 1-17(c)(2) (PSEG/“Due Process”) stating that people in custody who qualify for and are placed in PHD shall be afforded an infraction hearing no later than seven (7) business days after PHD placement, and time spent in PHD prior to the infraction hearing shall count toward the person’s PSEG sentence.²⁷ The proposed rule expands upon these requirements by codifying certain provisions in DOC policies regarding placement criteria and time limitations governing the Department’s use of PHD.

To monitor compliance with § 6-04, subdivisions (e) and (f) require: (i) the Department to produce semi-annual reports on DOC’s use of pre-hearing detention and (ii) the Board and the Department jointly develop the reporting template.

Confinement for De-Escalation Purposes (§ 6-05)

Proposed rule § 6-05 sets forth parameters for the Department’s confinement of people in custody for de-escalation purposes and builds upon DOC’s existing policies on this subject. The need for parameters arose out of Board staff’s discovery in 2016 of people being held in housing units classified as “closed” by DOC, yet serving as temporary space for people who required immediate removal from their housing unit after a violent incident.²⁸ When a person in custody was moved to one of these units, few staff members were alerted to where the individual was and official records did not reflect these locations. The person was effectively hidden, including from BOC staff and other oversight. Health staff was also not aware of the location of their patients in these units, creating dangerous barriers to medication and healthcare. Board staff further determined that these units operated in violation of Minimum Standards and without any written procedures. In response to the Board’s concerns, the Department reported it would cease the practice of placing people in closed housing areas.

The parameters set forth in proposed rule § 6-05 are designed to: (1) prevent unregulated use of closed housing units as occurred in 2016; and (2) limit the time spent by people in custody in facility intake pens, some of which are single-person while others house multiple individuals. Intake pens, particularly of the multiple-person variety, are marked by lack of privacy (shared toilet and sink behind a partition) and lack of comfort (no beds/bedding; only steel benches where multiple people in a shared pen may sit or lie down or, if all the bench space is occupied, lie on the cement floor). People confined to intake pens as an immediate response to violence, pending re-placement, are denied access to services mandated under the Board’s Minimum Standards. People in custody have been known to linger in intake pens for up to 24 hours or more.²⁹

For these reasons, proposed rule § 6-05 permits the Department to confine people in custody for de-escalation purposes only when (1) a person’s behavior poses an immediate threat to the safety of the persons or others or significantly disrupts DOC activities in progress;³⁰ (2) temporarily house a person in custody for the person’s own safety after the person has been assaulted or otherwise victimized

- 27 Proposed rule § 6-04 and other Chapter 6 rules are intended to replace Min. Std. § 1-17 (“Limitations on the Use of Punitive Segregation”) in its entirety as discussed later in Section I and in Section II, below.
 28 BOC Report on Satellite Intake: <https://www1.nyc.gov/assets/boc/downloads/pdf/Reports/BOC-Reports/2018.10.19 - Satellite Intake Report.pdf>
 29 As the *Nunez* Monitor has repeatedly noted in his Reports, the high number of uses of force occurring in intake areas has been of concern since the effective date of the *Nunez* Agreement. The practice of escorting people in custody to an intake area immediately following a use of force interferes with the delivery of prompt medical access to injured individuals and “diverts DOC intake staff from their primary duty of processing people in and out of the Facility. Additionally, “placing an agitated person in the intake pens brings unnecessary chaos and tension into the area, which sometimes erupts into additional violence, and the inherently chaotic environment of Intake does not serve the de-escalation purpose for an agitated person in custody.” Fourth Report of the Nunez Independent Monitor, <https://www1.nyc.gov/assets/doc/downloads/pdf/Fourth Report Nunez Independent Monitor 10.10.17.pdf> (p. 31).
 30 Proposed rule § 6-05(a)(1).

by another person in custody;³¹ or (3) facilitate the decontamination of people in custody following exposure to chemical spray.³²

Proposed rule § 6-05 requires, among other things, that the Department ensure the immediate written notification to CHA³³ of a person's placement in de-escalation confinement, including the initial and any subsequent locations of such confinement, so that the person's access to healthcare services and medication is not interrupted.³⁴ De-escalation confinement in an intake area must have an adequate number of working flush toilets; sinks with drinking water, including hot and cold water; and appropriate furnishings for seating and reclining to accommodate the number of people confined there, and such areas must be maintained in a clean and sanitized manner.³⁵ Meals and snacks must be served to people in such confinement at or about the same time and of the same quality and quantity as the meals served to people in general population.³⁶

A person in custody's initial placement in de-escalation confinement shall be no more than four (4) hours, and re-authorization must be based upon written approval up DOC's security chain of command every four (4) hours for a maximum of 12 hours.³⁷ Additionally, a person's placement in such confinement shall not exceed 12 hours from the time the person is placed in such confinement, and DOC shall notify the Board, in writing, of all instances where a person in custody exceeds the 12-hour maximum and the reasons why the person has not been placed elsewhere.³⁸ For the purposes of compliance with the time limitations in this section, the length of a person's de-escalation confinement includes the time spent in the de-escalation area in which the person is initially placed and in other de-escalation areas or cells to which DOC moves the person during the same confinement period.³⁹ DOC must also conduct visual and aural observation of people in de-escalation confinement every 30 minutes.⁴⁰ Finally, when designing or acquiring any new facility and in planning any substantial expansion or modification of existing facilities, the Department shall consider installing individual cells for de-escalation purposes in intake areas or elsewhere in the facility.⁴¹

To monitor compliance with § 6-05, subdivisions (k) and (l) require: (i) the Department to produce quarterly reports on DOC's use of de-escalation confinement and (ii) the Board and the Department jointly develop the reporting templates.

Emergency Lock-Ins (§ 6-06)

Department policy permits staff to lock down housing areas and facilities to investigate or avoid serious violent incidents, conduct searches for contraband, and restore order. As a security response that impacts many people and services, Board analyses find that lock-ins contribute to perceptions of unfair and excessive punishment, frustrations, and tensions in the jails, and that they hinder DOC's and CHS's ability to meet the Minimum Standards.

Minimum Standard § 1-05(a) ("Lock-in"/"Policy") states that except for people confined in PSEG or for medical reasons in contagious disease units, the time spent by people confined to their cells "should be kept to a minimum and required only when necessary for their safety and security of the facility." Proposed rule § 6-06 on emergency lock-ins (or "lockdowns") builds on § 1-05(a). The proposed rule is intended to minimize the impact of emergency lock-ins on access to mandated services, ensure adequate coordination between DOC and CHS when they occur, and improve transparency and accountability around the Department's use of this practice.

In 2018, the Board issued several reports on the number of emergency lock-ins and the total lock-in time experienced by people in custody from January 2017 through November 2017. This analysis found, among other things, that: (i) there was an 88% increase in the Department's use of emergency lock-ins since 2008; (ii) from 2016

31 Proposed rule § 6-05(a)(2).

32 Proposed rule § 6-05(a)(3).

33 Proposed rule § 6-02(b) defines "CHA" as "the Correctional Health Authority designated by the City of New York as the agency responsible for health and mental health services for people in the care and custody of the Department." Hereinafter, this Statement will refer to CHS as the current health care provider in the New York City jails.

34 Proposed rule § 6-05(b).

35 Proposed rule § 6-05(c).

36 Proposed rule § 6-05(e).

37 Proposed rule § 6-05(f)(1) through (3).

38 Proposed rule § 6-05(f)(4).

39 Proposed rule § 6-05(f)(5)(i)-(ii).

40 Proposed rule § 6-06(g).

41 Proposed rule § 6-06(h).

to 2017, there was a 32% increase in the total number of emergency lock-ins; and (iii) DOC's current method of reporting and tracking these lock-ins does not readily allow for an accurate or comprehensive understanding of the number of lockdowns, total duration of lock-in time by people in custody, and the services impacted.⁴²

The Board's May 2019 report⁴³ analyzed emergency lock-ins occurring in 2018 and found that while the Department had reduced the use of emergency lock-ins by 18% (from 1,595 in 2017 to 1,313 in 2018) and decreased their average duration by 8% (from 12 to 11 hours), more than half (58%, n=768) of all emergency lock-ins still resulted in nine (9) or more hours of continuous lock-in time for people in custody. The Board's report also found significant and concerning discrepancies between DOC and CHS documentation of the impact on health-related services. Board interviews with people working or held in areas where extended lock-ins occurred also confirmed that lockdowns can contribute to tensions and perceptions of unfairness.

In response to the Board's findings, the Department publicly agreed to the Board's recommendations to continue reducing the number and duration of lockdowns and work toward ending the use of facility-wide lockdowns; notifying the public of lockdowns impacting visits and/or phone calls; and update the Incident Reporting System to electronically track the impact of lockdowns on services. Section 6-06 incorporates these recommendations.⁴⁴ The proposed rule further requires that: (i) as soon as an emergency lock-in occurs, or is extended beyond a regularly scheduled lock-in period, the Department notify the Board and CHS, in writing, as to the facilities and specific housing area locations and number of people impacted;⁴⁵ (ii) for lock-ins continuing more than 24 hours or more, DOC notify the Board, in writing, of the steps taken to address the emergency and lift the lock-in;⁴⁶ and (iii) DOC and CHS issue a written directive to staff regarding the requirements of § 6-06 and provide the directive to the Board for its review and feedback prior to finalization.⁴⁷ The directive must include protocols for communication and coordination between DOC and CHS during and after emergency lock-ins.⁴⁸

Section § 6-06 requires quarterly DOC data reporting to the Board on emergency lock-ins to monitor compliance.⁴⁹ The proposed rule also requires CHS to produce quarterly data reports on the impact of emergency lock-ins on required health services (rounding, scheduled and unscheduled services, and sick call) and require CHS to share with the Board the data it used to produce the reports.⁵⁰

Subchapter D: Disciplinary Housing, § 6-07 and § 6-09

Between 2012 — when the average daily population ("ADP") in PSEG reached its peak (n=868) — and the first three quarters of 2019 -- when the ADP in PSE was 123 people⁵¹ — the average daily PSEG population declined by 86%. The Department has only infrequently extended a person's PSEG sentence beyond the 30- and 60-day limitations in the 2015 rule amendments. During the period from September 1, 2015 through August 31, 2019, DOC considered only

42 Lockdown Report (January 2018), <https://www1.nyc.gov/assets/boc/downloads/pdf/Reports/BOC-Reports/Lockdown-Report-Jan-8-2018.pdf>; Additional Lockdown Findings (January 2018), <https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/2018/April-20-2018/2018.01%20-%20Additional%20Lockdown%20Findings.pdf>; Audit of DOC Facility Report of Area Lock-In Forms (April 2018) <https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/2018/April-20-2018/2018.01%20-%20Additional%20Lockdown%20Findings.pdf>; and Consecutive Lockdowns and Duration of Lockdowns (April 2018), https://www1.nyc.gov/assets/boc/downloads/pdf/Reports/BOC-Reports/continuous_lockdowns_report_final.pdf.

43 Annual Lockdown Report (May 2019), https://www1.nyc.gov/assets/boc/downloads/pdf/Reports/BOC-Reports/Lockdown%20Report%202019_5.13.19_FINAL.pdf.

44 Proposed rules §§ 6-06(a), (c), (d), (e), and (i).

45 Proposed rule § 6-06(b).

46 Proposed rule § 6-06(g).

47 Proposed rule § 6-06(m).

48 *Id.*

49 Proposed rule § 6-06(q).

50 Proposed rule § 6-06(n).

51 This number — 123 — represents the combined population in PSEG I, RHU, and PSEG II.

39 “7-day waiver” requests, of which it approved 29 and denied 10.⁵² The Department’s reliance on the use of “60-day overrides” also has decreased over time.⁵³ In the third quarter of 2015, DOC requested fifty (50) such overrides, as compared to the third quarter of 2019, when it requested only five (5).⁵⁴

The Board applauds the Department for its considerable achievements in PSEG reform and proposes the following rules to further advance reforms in this area consistent with research, model standards, and trends.

PSEG Exclusions (§ 6-07(a)(1) and § 6-07(b)(1))

► PSEG I

Proposed rule § 6-07(a)(1) expands exclusions from PSEG I to include: (1) pregnant persons, persons within eight (8) weeks of pregnancy outcome, or persons caring for a child in the Department nursery program;⁵⁵ and (2) people age 55 and older.⁵⁶

► PSEG I and II

(i) Mental Health Exclusion

Proposed rules § 6-07(a)(1) and § 6-07(b)(1) expand the serious mental illness exclusion from PSEG I and II — currently in Minimum Standard § 1-17(b)(iii) — to conform to current CHS practice, which also excludes people with intellectual impairments.⁵⁷

(ii) Medical Exclusion

Proposed rule § 6-07 also emphasizes a separate exclusion for people with serious medical conditions from PSEG I and II.⁵⁸ Such an exclusion already exists in Minimum Standard § 1-17(b)(iii);⁵⁹ however, the term “serious medical conditions” has never been clinically defined. As a result, the exclusion has proven challenging to effectuate and monitor. To implement this exclusion going forward, CHS, in consultation with the Board, has agreed to identify certain medical conditions and corresponding markers of acuity and advancement of disease for which separation could present a higher level of risk. Such conditions include, but are not limited to, asthma, seizure, diabetes, heart disease, lung disease, liver disease, kidney disease, organ transplants, treatment with anticoagulants, and involuntary hospitalizations. The Board will approve this list of conditions and markers, and all future modifications to it.

52 Min. Std. § 1-17(d)(2) requires that a person who has served 30 consecutive days in PSEG be released for at least seven (7) days before the person can be returned to PSEG. In September 8, 2015, DOC first requested, and the Board approved, a variance permitting the Department, “in highly exceptional circumstances presenting safety and security concerns” to waive this requirement. Since then, the Board has repeatedly approved renewal of this variance subject to certain conditions. The most recent variance is available at: https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/2019/July/2019_07%20Record%20of%20Variance%20Action%20-%20Seven%20Day%20Waivers%20FINAL.pdf

53 Min. Std. § 1-17(d)(3) states that a person may not be held in PSEG for more than a total of 60 days within a six-month period unless, upon completion of or throughout the 60-day period, the person has continued to engage in persistent, serious acts of violence, other than self-harm, such that any placement other than PSEG would danger other incarcerated persons or staff.

54 BOC reports on punitive segregation: <https://www1.nyc.gov/site/boc/reports/BOC-Reports/punitive-segregation-reports.page>

55 Proposed rule § 6-07(a)(1)(i)(E); See Humane Alternatives to Long-Term (HALT) Solitary Confinement bill (A. 2500/S. 1623) proposes elimination of segregated confinement (more than 17-hour daily lock-in) for pregnant and new mothers, https://nyassembly.gov/leg/?default_fld=&leg_video=&bn=A02500&term=2019&Summary=Y&Actions=Y&Committee%26nbspVotes=Y&Floor%26nbspVotes=Y&Memo=Y&Text=Y; DOJ Report, Guiding Principle No. 49 (“Women who are pregnant, who are post-partum, who recently had a miscarriage, or who recently had a terminated pregnancy should not be placed in restrictive housing”).

56 Proposed rule § 6-07(a)(1)(i)(F); see HALT bill excluding people age 55 and older from PSEG.

57 Compare Min. Std. § 1-17(b)(iii) (people with “serious mental disabilities or conditions” shall be excluded from PSEG) with proposed rules § 6-07(a)(1)(i)(B)-(D) (PSEG I) and § 6-07 (b)(1)(i)(B)-(D) (PSEG II) (excluding from PSEG and PSEG II people “with a mental disorder that qualifies as serious mental illness,” “diagnosed with an intellectual disability”).

58 Proposed rule §§ 6-07(a)(1)(i)(D) and 6-07(b)(1)(i)(D).

59 Min. Std. § 1-17(b)(3) states that people with “serious physical disabilities or conditions” shall be excluded from PSEG I and PSEG II.

CHS has expressed concern that despite Board Standards meant to exclude individuals at elevated risk in segregation, there is no body of medical literature that reliably guides the assignment of risk to any individual patient. Therefore, proposed rules §§ 6-07(a)(1)(iv) (PSEG I) and 6-07(b)(1)(iv) (PSEG II) require that DOC provide one-on-one constant supervision for anyone placed in disciplinary housing units (and other restrictive housing units, discussed below), for the first 24 hours of their placement into such units. The proposed rules also make clear that after an individual is placed into PSEG I or II, CHS has the authority to determine if that person should be transferred to a therapeutic unit.⁶⁰

(iii) Dual Loyalty

Since at least 2014, the Department has maintained a form titled “Mental Health Review for Punitive Segregation.” The first section of the form is filled out by a hearing officer after a person has been found guilty of an infraction and sentenced to punitive segregation. If the hearing officer discovers that the person has an “M”-designation in the Inmate Information System (IIS) indicating that the person is known to Mental Health Services, or if the person was admitted into DOC custody less than five (5) days before the date of the infraction, the hearing officer must forward the form to a CHS mental health clinician. The CHS mental health clinician is required to check a box indicating whether the person may be placed into PSEG I, RHU, or PSEG II (collectively, “PSEG”), or else must be excluded from PSEG.

CHS has long raised concerns that any process which requires them to identify people for exclusion from PSEG after an infraction hearing and immediately prior to placement raises significant “dual loyalty” concerns.⁶¹ Specifically, CHS argues that such a process may subject health staff to undue pressure from DOC; fosters a perception among patients that health staff is involved in decisions about punishment, thus undermining the patient-doctor relationship; and creates an ethical conflict for health staff to the extent they are asked to impliedly approve a practice (i.e., PSEG) with no known health benefits.

Proposed rule § 6-07 requires CHS to identify people for exclusion from PSEG who have serious mental illness, serious medical conditions, and intellectual disabilities.⁶² To effectuate these exclusions, while also recognizing CHS’s dual loyalty concerns, the Board and CHS have agreed on a new process for identifying people who are excluded from certain types of restrictive housing based on medical or mental health factors. Going forward, CHS will create a list at intake of individuals identified as meeting one or more medical or mental health exclusionary criteria. This list can also be updated after clinical encounters if and when someone develops an exclusionary condition while in custody. Every time the Department determines to place someone into a restrictive housing unit that carries medical or mental health exclusions, DOC will need to check against the list before placing that individual into the restrictive unit. This new process will obviate the need for the “Mental Health Review for Punitive Segregation” form and all post-infraction involvement by CHS which could be perceived as “clearance” and, hence, minimize CHS’ actual or perceived involvement with punishment.

PSEG I Time Limitations (§ 6-07(a)(3))

Proposed rule § 6-07(a)(3): (i) reduces the maximum PSEG I sentence from 30 days to 15 days;⁶³ (ii) retains 60 days as the maximum sentence for assault on staff that causes serious injury, but permits the person sentenced for this infraction to earn time off the sentence if the person refrains from violent conduct while in PSEG I;⁶⁴ and (iii) incorporates, as is, the provision from Minimum Standard § 1-17(d)(3), which prohibits a person from being held in PSEG I for more than 60 days within any six-month period except under certain circumstances.⁶⁵

► 15-Day Sentence

The 30-to-15-day sentence reduction is supported by a widespread consensus among correctional officials, researchers, policymakers, and a range of national and international organizations that punitive segregation should be limited to very short periods of

60 Proposed rule §§ 6-07(a)(1)(iii) (PSEG I) and 6-07(b)(1)(iii) (PSEG II).

61 “Dual loyalty is an ethical dilemma commonly encountered by health care professionals caring for people in custody. Dual loyalty may be defined as clinical role conflict between professional duties to a patient and obligations, express or implied, to the interests of a third party such as an employer, an insurer, or the state.” Pont, et al., *Dual Loyalty in Prison Health Care*, 102 Am J Public Health. 475 (2012), <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3487660/>.

62 Proposed rule §§ 6-07(a)(1)(ii) (PSEG I) and 6-07(b)(1)(ii) (PSEG II).

63 Proposed rule §§ 6-07(a)(3)(i)-(ii).

64 Proposed rule §§ 6-07(a)(3)(viii).

65 Proposed rule §§ 6-07(a)(3)(vii).

time — “durations that are measured in hours, days, or weeks, rather than months or years.”⁶⁶ Nationally, New Jersey has restricted solitary confinement to a maximum of 20 consecutive days or 30 days total over the course of 60 days;⁶⁷ Colorado has reduced the maximum sentence to 15 days; while Cook County has eliminated it altogether.⁶⁸ Various legal, health, and human rights organizations have passed resolutions or adopted position statements that punitive segregation should be no longer than 15 days.⁶⁹ Additionally, experts consulted during fact-finding advocated reduction of the sentence from 30 to 15 days.

► 60-Day Sentence

Proposed rule § 6-07 retains the 60-day sentence for serious assault of a jail staff member.⁷⁰ However, people serving a PSEG I sentence for a serious assault on staff that exceeds fifteen (15) days may earn one day off their sentence for every two (2) days without a violent incident.⁷¹

► Seven-Day Waiver

Pursuant to the Department’s request,⁷² proposed rule 6-07(a)(3)(iv) codifies a variance from Minimum Standard § 1-17(d) (2), permitting DOC, “in highly exceptional circumstances presenting safety and security concerns,” to waive the requirement that people be immediately released from PSEG I for seven (7) days after they have been held there for fifteen (15) consecutive days.

Fines (§ 6-07(a)(4))

This rule adopts a Vera Report recommendation to eliminate this automatic surcharge because “fines disproportionately impact indigent individuals, and there is little evidence that they lead to behavioral changes.”⁷³ The fine also penalizes infracted people’s families — most of whom are poor — by deducting the \$25 from moneys families have placed in their loved ones’ commissary

66 Craig Haney (2018), “Restricting the Use of Solitary Confinement,” *Annual Review of Criminology* (2018) (“Haney”) (at 301), <https://www.annualreviews.org/doi/full/10.1146/annurev-criminol-032317-092326>; The scientific consensus on the significant risks of psychological harm imposed by PSEG on people in custody of all ages is well established and has led to PSEG reforms at the local, state, and federal level. Haney at 286-299; Fatos, et al., “Solitary Confinement and Risk of Self-Harm Among Jail Inmates,” *Am J Public Health* (March 2014), 104(3):442-447, <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3953781/>; Vera, “The Safe Alternatives to Segregation Initiative: Findings and Recommendations for the New York City Department of Correction (June 2017) (“Vera Report”), (noting that “[r]esearchers have found no evidence that longer stays in disciplinary segregation decrease infractions or violence by people upon return to general population” (at 46-47), <https://storage.googleapis.com/vera-web-assets/downloads/Publications/safe-alternatives-segregation-initiative-findings-recommendations/legacy-downloads/safe-alternatives-segregation-initiative-findings-recommendations-nycsas.pdf>.

67 Catherine Kim, “Solitary Confinement isn’t effective. That’s why New Jersey passed a law to restrict it,” *Vox*, July 11, 2019; <https://www.vox.com/policy-and-politics/2019/7/10/20681343/solitary-confinement-new-jersey>.

68 Cook County replaced PSEG with a 60-day maximum stay, with at least three (3) hours of daily lockout (exclusive of law library) and access to mental health services by appointment three (3) days a week.

69 National Commission on Correctional Health Care (NCCHC), Position Statement on Solitary Confinement (Isolation) (April 2016) (at 4) (no longer than 15 consecutive days), <https://www.ncchc.org/filebin/Positions/Solitary-Confinement-Isolation.pdf>; Mandela Rules 43.1(b) and 44 (15 days); New York State Bar Association (NYSBA) Committee on Civil Rights Report to the House of Delegates on Solitary Confinement in New York State (January 25, 2013) (NYSBA House of Delegates passed a resolution calling on state officials to significantly limit the use of solitary confinement and recommended that such confinement for longer than 15 days be proscribed), <http://www.nysba.org/WorkArea/DownloadAsset.aspx?id=32124>.

70 Proposed rule § 6-07(a)(3)(viii).

71 Proposed rule § 6-07(a)(3)(viii)(C).

72 January 2, 2019 letter from Commissioner Brann to Chair Cephas re Variance Renewal Request (at 2), [https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/2019/Jan-2019/1.2.19Request%20for%20a%20Limited%20Variance%20Renewal%20to%20Board%20of%20Correction%20Minimum%20Standards%20-%201-17\(d\)\(2\)%20Punitive%20Segregation.pdf](https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/2019/Jan-2019/1.2.19Request%20for%20a%20Limited%20Variance%20Renewal%20to%20Board%20of%20Correction%20Minimum%20Standards%20-%201-17(d)(2)%20Punitive%20Segregation.pdf).

73 Vera Report, Rec. B14 at 54; the Report further stated that “in meetings and focus groups with the Vera team, [DOC] staff reported fines were an ineffective sanction” (at 54).

accounts.⁷⁴ DOC shall only include a financial penalty as an option for restitution for destruction of property, and any imposition of a fine shall take into account the person’s ability to pay.

Four-Hour Daily Lock-Out in PSEG I (§ 6-07(a)(5))

In June 2019, the New York State Commission of Correction (SCOC), enacted a regulation requiring, among other things, that disciplinary or administratively segregated individuals in local correctional facilities, such as the New York City jails, be allowed out of their cells for a minimum of four (4) hours a day. The regulation carves out an exception where the jail’s chief administrative officer determines that such individual would pose a threat to the safety, security, or good order of the facility, or the safety, security or health of the individual, staff, or other people in custody, and that less restrictive measures would not adequately alleviate the threat (“four-hour exception”).⁷⁵ Any such determination must be made in writing, state the specific facts and reasons underlying the determination, and be reviewed every seven (7) days.⁷⁶ The “purpose” of this regulation is to ensure that people subject to segregated confinement are deprived of essential services “only when necessary and for the least amount of time necessary, to maintain the safety, security and good order of the facility.”⁷⁷ Moreover, “absent exigent circumstances, this period of confinement or deprivation may not risk significantly compromising the health of the person.”⁷⁸

Proposed rule § 6-07(a)(5) incorporates the SCOC’s regulation for people in PSEG I and includes the following additional requirements: (1) approvals and denials of the four-hour exception must be submitted to the affected person in custody, BOC, and CHS;⁷⁹ and (2) for people who have been held in PSEG I longer than 15 consecutive days or more than 60 days within a six (6)-month period, DOC shall offer, during out-of-cell time in PSEG I, evidence-based programming aimed at addressing the root causes of the behavior that led to the person’s extended stay in PSEG I.⁸⁰

Data Collection and Review (§ 6-08)

To monitor compliance with the proposed rules on disciplinary housing, § 6-08 requires (i) quarterly data reports on the Department’s use of disciplinary housing (PSEG I, RHU, PSEG II) and the use of Clinical Alternative to Punitive Segregation (“CAPS”);⁸¹ (ii) quarterly reports on conditions of confinement in disciplinary housing and CAPS;⁸² (iii) the Board and the Department to jointly develop reporting templates for the required reports.⁸³ The quarterly reports required by § 6-08(b) will replace the 60-day PSEG reports currently mandated under § 1-17(h) of the existing Minimum Standards.

Disciplinary System Plans for Young Adults (§ 6-09)

The NYC jail system lacks a holistic and transparent approach to implementing discipline and behavior management for young adults. The absence of a written plan detailing the key elements of the Department’s approach to discipline for this population makes it impossible for DOC to measure the effectiveness of its processes, strategies, and goals regarding the discipline of young adults when they commit violent acts or engage in other misconduct, and hinders the Board’s ability to conduct effective monitoring in this area.

Proposed rule § 6-09 requires that DOC submit to the Board a written plan for a disciplinary process for young adults in custody that is consistent with the proposed Chapter 6 Standards and the requirements of the *Nunez* Agreement.

The disciplinary plan must include the following elements (among others):

74 See, NYC Comptroller Report, “Fees, Fines and Fairness: How Monetary Charges Drive Inequity in New York City’s Criminal Justice System” (September 2019), <https://comptroller.nyc.gov/reports/fees-fines-and-fairness/>.

75 9 NYCRR § 7075.4(c).

76 9 NYCRR § 7075.4(d).

77 9 NYCRR § 7075.1.

78 *Id.*

79 Proposed rule § 6-07(a)(5)(iii).

80 Proposed rule § 6-07(a)(5)(iv). This rule is derived from Minimum Standard § 1-17(d)(6), which provides, in pertinent part, that people housed in PSEG I for longer than 30 consecutive days or have served more than 60 days within a six (6) month period shall be offered “cognitive behavioral therapy or a similar evidence-based intervention aimed at addressing the root causes of the behavior that led to [people’s] extended stays in punitive segregation.”

81 Proposed rule § 6-08(b).

82 Proposed rule § 6-08(c).

83 Proposed rule § 6-08(d).

- Prohibited conduct;⁸⁴
- The penalties that may be imposed for engaging in the prohibited conduct;⁸⁵
- The due process procedures that must be followed to: (i) appropriately charge the person for the infraction; (ii) determine whether the person committed an infraction; (iii) impose appropriate sanctions; and (iv) consider appeals of sanctions by people in custody;⁸⁶
- A plan for communicating the rules of conduct, penalties, and disciplinary procedures in a clear and understandable manner to people in custody and to all DOC staff, including non-uniformed staff, who have routine contact with young adults in custody;⁸⁷
- The assistance the Department shall provide young adults to understand the disciplinary process and procedures, including their rights thereunder. This shall include the procedures DOC will follow if the young adult in custody is non-English or limited-English proficient, illiterate, or has a disability including, for example, if the person is deaf or hard of hearing, is blind or has low vision, or has an intellectual, psychiatric, or speech disability;⁸⁸
- A disciplinary process that includes: (i) engaging DOC staff in its development; (ii) progressive sanctions; (iii) consideration of each violator's previous institutional conduct history, mental health, and the direct circumstances surrounding the infraction charge; (iv) penalties that are proportionate to the infraction charge; (v) behavioral incentives; and (vi) principles of procedural and restorative justice.⁸⁹

Subchapter E: Transitional/Administrative Housing §§ 6-10 – 6-17

Proposed rules on T/A Housing are set forth in Subchapter E. As noted above, existing types of such housing include ESH for adults and young adults, and the Secure Unit, TRU, and Second Chance for young adults. The proposed rules aim to standardize key aspects of this housing type, such as exclusions, minimum out-of-cell time, placement criteria, placement approval, periodic review and progression, individual behavior and programming plans, access to mandated services, and data reporting and auditing requirements. The proposed Subchapter accomplishes this primarily by codifying existing variances and variance conditions and incorporating DOC policies governing T/A Housing.

Exclusions (§ 6-10)

Proposed rule § 6-10 excludes from placement into T/A Housing people with serious mental illness, intellectual disabilities, and serious medical conditions.⁹⁰ These exclusions are intended to operate with the same expanded definitions and pursuant to the same exclusionary list process as described above in the discussion of proposed rules in Subchapter D (Disciplinary Housing). Section 6-10 also provides that CHS has the authority to determine if any person, after being placed in T/A Housing, should be removed to a therapeutic housing unit.⁹¹ The proposed rule further provides that all people placed into T/A Housing with more than 10 hours of daily lock-in shall be observed without interruption for their first 24 hours in the unit by individual security staff dedicated for that purpose. Such one-on-one observations shall be documented in writing.⁹²

Out-of-Cell Time (§ 6-11)

Proposed rule § 6-11(a) states that adults in custody who are confined in T/A Housing must be permitted at least seven (7) out-of-cell hours per day.⁹³ Section 6-11(b) increases the minimum number of daily lockout hours for young adults from seven (7) to 10 hours per day. The impetus for this proposed change is the Vera Center on Youth Justice's work with jails and prisons in Connecticut, Massachusetts, and South Carolina to establish a new practice model —“Restoring Promise” —

84 Proposed rule § 6-09(a)(1).
 85 Proposed rule § 6-09(a)(2).
 86 Proposed rule § 6-09(a)(3)(i) through (iv).
 87 Proposed rule § 6-09(a)(4).
 88 Proposed rule § 6-09(a)(6).
 89 Proposed rule § 6-09(a)(7)(i) through (vi).
 90 Proposed rule § 6-10(a)(1) through (3).
 91 Proposed rule § 6-10(c).
 92 Proposed rule § 6-10(d).
 93 This is consistent with Min. Std. § 1-05(b)(2), which states that people in ESH may be locked in during the day “for up to nine hours in any 24-hour period.”

for young adults ages 18-24.⁹⁴ This model is premised on restorative justice principles and prioritizes family engagement, self-expression, peer support, personal growth and development, education, and career readiness.⁹⁵ The model was first established at a super-max prison in Cheshire, Connecticut where for 13 hours each day, young adults in the housing unit are out-of-cell and unrestrained, “free to be in common space, a dedicated outdoor area, or one of many converted cells within the unit that serve as a library study room, meeting room, and quiet space.”⁹⁶ Experts consulted during fact-finding were also of the view that a non-punitive approach, as exemplified by the Restoring Promise model, would be more effective in preventing and reducing young adult violence than a punitive approach centered on isolation and the absence of programming.

Placement Criteria (§ 6-12)

Under proposed rule § 6-12, placement criteria for T/A Housing are tied to the number of hours out-of-cell a person is afforded. This is because across the restrictive housing continuum in the jails, increasingly violent acts or a history of serious, persistent violence are associated with decreasing hours of lock-out per day:

- If an adult is being considered for placement in restrictive housing with seven (7) hours daily lock-out, the criteria for placement is virtually the same as the criteria for ESH set forth in Minimum Standard § 1-16(b).⁹⁷
- If a young adult is being considered for placement in a restrictive unit that provides at least 10 hours out-of-cell time per day, the criteria for placement is the criteria the Department currently uses for placement in Secure.⁹⁸
- If a young adult is being considered for placement in a restrictive housing unit with 14 hours daily lock-out, the criteria for placement is the criteria DOC currently uses for placement in TRU.⁹⁹

Consistent with conditions to the variance from Minimum Standard § 1-16,¹⁰⁰ an adult's or young adult's prior misconduct that may be considered in determining placement is shortened from five (5) years to one (1) year for misconduct that occurred while incarcerated.¹⁰¹ The two-year look-back for activity that occurred while not incarcerated is eliminated.

Placement Approval (§ 6-13)

Proposed rule § 6-13 essentially codifies the placement approval requirements in condition no. 4 of the YA-ESH variance and expands these requirements to all T/A Housing. Section 6-13 requires that each request for approval of a person in custody's placement into T/A Housing, and each decision approving or disapproving such request must: (1) be in writing; (2) specify the reasons for requesting and approving or disapproving, a person's placement and any individual restrictions imposed on that person; and (3) specify why a less restrictive housing setting and placement without individual restrictions is not a safe option.

Individual Behavior and Programming Plan (§ 6-14)

Proposed rule § 6-14 calls for the development of written individual behavior and programming plans for all adults and young adults upon their placements in T/A Housing¹⁰² that: (1) outline program expectations and services to facilitate the person's reintegration into housing in the general population,¹⁰³ and (2) tailor plan goals to the individual's literacy, education level, and capacity to complete programming.¹⁰⁴ The Department shall review and update the person's progress toward meeting these goals with the person's participation at each periodic review.¹⁰⁵

94 As part of fact-finding, the Committee spoke with the Senior Program Director and Research Director of Vera's Center on Youth Justice.
 95 See Vera, Restoring Promise Initiative, <https://www.vera.org/projects/restoring-promise-young-adult-reform-initiative>.
 96 See Vera, “Reimagine Prisons” (October 2018), https://storage.googleapis.com/vera-web-assets/downloads/Publications/reimagining-prison-print-report/legacy_downloads/Reimagining-Prison_FINAL3_digital.pdf at 88; see gen. pp. 83-90.
 97 Proposed rule § 6-12(a).
 98 Proposed rule § 6-12(b).
 99 Proposed rule § 6-12(c).
 100 See Min. Std. § 1-16(b)(6); YA-ESH Variance Condition No. 13.
 101 *Id.*
 102 Proposed rule § 6-14(a).
 103 Proposed rule § 6-14(a)(1).
 104 Proposed rule § 6-14(a)(2).
 105 Proposed rule § 6-14(c).

Proposed rule § 6-14 expands the Department's use of individual behavior and programming plans in Secure, TRU, and Second Chance as per DOC policy to adults and young adults in ESH. The use of individual behavior plans is also considered a best practice in other jurisdictions.¹⁰⁶

Periodic Review of Placement (§ 6-15)

Proposed rule § 6-15 furthers one of the core principles underlying the Chapter 6 Standards; namely that people in custody should be confined to the least restrictive setting and for the least amount of time necessary to ensure their own safety as well as the safety of staff, other people in custody, and the public. Section 6-15, which incorporates certain YA-ESH and Secure Variance conditions,¹⁰⁷ shortens the interval between periodic reviews for young adults and adults in T/A Housing to 15 days, and requires that at each periodic review, a person advance to a less restrictive level or unit unless the person: (1) has engaged in disruptive, violent, or aggressive behavior while in the person's current level; and/or (2) there is credible intelligence that the person may engage in additional violence in a less restrictive unit.¹⁰⁸

Conditions, Programming, and Services (§ 6-16)

Proposed rule § 6-16(b) codifies the variance from Minimum Standard § 1-08(f), which permits access to law library services by means of a law library kiosk and typewriters in Secure. Section 6-16(b) states that law library services may be provided in T/A Housing other than in a law library, so long as such alternative means ensures the same level of services as are provided to people in general population. At a minimum there must be one law library coordinator assigned to every two (2) units of T/A Housing at least five (5) times a week (§ 6-16(c)(1)), and the law library coordinator must provide instruction on available legal research tools and respond to people in custody's requests for law library services (§ 6-16(c)(2)).

Data Collection and Review (§ 6-17)

To ensure compliance with the proposed rules on T/A Housing, § 6-17 requires (i) quarterly reports on the Department's use of T/A Housing;¹⁰⁹ (ii) quarterly reports on conditions of confinement in T/A Housing;¹¹⁰ and (iii) the Board and the Department to jointly

106 At the Middlesex County Adult Correction Center in New Jersey, weekly interdisciplinary restrictive housing meetings comprised of senior facility staff, classification and intelligence staff, and mental health staff discuss the status of people in restrictive housing and their individualized case plans, to ensure they can successfully transition to less restrictive housing as soon as possible. Vera, "Rethinking Restrictive Housing" (May 2018) (at 24), <https://www.vera.org/downloads/publications/rethinking-restrictive-housing-report.pdf>; the Nebraska Department of Correctional Services (NDCS) utilizes a high-level Central Office Multi-Disciplinary Review Team that must approve the placement of prisoners in its "longer-term restrictive housing" unit and periodically reviews each prisoner, including his behavioral programming plan, to determine whether transfer to a less restrictive setting is safely possible. NDCS Administrative Regulation No. 210.01 re Restrictive Housing (rev'd. 7.14.16), Appendix III to "The Safe Alternatives to Segregation Initiative: Findings and Recommendations for the Nebraska Department of Correctional Services," Vera Institute of Justice (2016), https://storage.googleapis.com/vera-web-assets/downloads/Publications/safe-alternatives-segregation-initiative-findings-recommendations/legacy_downloads/safe-alternatives-segregation-initiative-findings-recommendations-ndcs.pdf; the North Dakota Department of Corrections and Rehabilitation (NDDOCR) operates the Behavioral Intervention Unit or BIU for individuals who commit the most serious in-custody offenses and utilizes individualized behavior plans to monitor progress toward plan goals and make progression decisions (Bertsch, "Reflections on North Dakota's Sustained Solitary Confinement Reform" (October 2018) (at 72-74), https://law.yale.edu/sites/default/files/area/center/liman/document/asca_liman_2018_restrictive_housing_released_oct_2018.pdf; DOJ Report, Guiding Principle No. 5 ("For every [person in custody] in restrictive housing, correctional staff should develop a clear plan for returning the [person] to less restrictive housing as promptly as possible. This plan should be shared with the [person] unless doing so would jeopardize the safety of the inmate, staff, other inmates, or the public").

107 YA-ESH Variance condition nos. 5 and 6; Secure Variance condition no. 7, https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/2019/May/2019-05-14%20FINAL%20Record%20of%20Variance%20Action_Secure-corrected.pdf.

108 YA-ESH Variance condition no. 6; Secure Variance condition no. 7.

109 Proposed rule § 6-17(b) and (c).

110 Proposed rule § 6-17(d).

develop the reporting templates for the required reports.¹¹¹ The quarterly reporting required by § 6-17(b) and (c) is intended to codify reporting required under the existing Young Adult ESH variance conditions for all T/A and Structurally Restrictive Housing and replace existing ESH 60-day reporting requirements under the Minimum Standards.¹¹²

Subchapter F: Structurally Restrictive Housing §§ 6-18 – 6-24

Proposed Chapter F includes rules on structurally restrictive housing, the purpose of which are to ensure against the operation or opening of new housing units, such as West Facility, that operate in a highly restrictive environment without procedural due process protections, Department policies, or Board oversight in place.

In 2016, the Board served a Notice of Violation on the Department for its placement of people in West Facility without due process or access to services mandated under Chapter 1 of the Minimum Standards.¹¹³ The physical space was also restrictive, resulting in social isolation. Without a dayroom as in general population, time out of cell was limited to one person in custody at a time in the "anteroom" or narrow space between two solid cell doors. Subsequently, DOC fashioned empty cells into dayrooms and law library space, and committed to moving young adults and people with serious mental illness out of the Facility. As noted above, the conditions at West Facility led to the Board's unanimous resolution in 2016 to commence restrictive housing rule making.¹¹⁴

Exclusions (§ 6-18)

Proposed rule § 6-18 excludes the placement of a person into structurally restrictive housing on the same grounds as enumerated in proposed rule § 6-10(a) (exclusions from T/A Housing). Similarly, subdivision (c) of § 6-18 requires the removal of a person from structurally restrictive housing who CHA determines should be in a therapeutic unit while subdivision (d) requires that the Department place everyone into such housing under one-on-one supervision for their first 24 hours in the unit.

Placement Criteria and Placement Approval (§§ 6-19 and 6-20)

Subdivision (a) of § 6-19 states that a person may be placed in structurally restrictive housing if: (i) the person, despite multiple housing transfers, continually engages in assaultive behavior toward other people in custody and, as a result, cannot be safely housed with more than one or two people in custody; and (ii) the person is placed in enhanced restraint status. Subdivision (a) further provides that placement in such housing shall be subject to the due process procedures applicable to T/A Housing, which are set forth in Subchapter H (§§ 6-31 and 6-32).

Similar to the placement approval process for placement of people in custody into T/A Housing,¹¹⁵ proposed rule § 6-20 requires that: (1) a Deputy Warden or above authorize placement of individuals into structurally restrictive housing;¹¹⁶ (2) such authorizations be in writing, specify the reasons for authorizing the person's placement and any individual restrictions imposed on that person, and specify why a less restrictive housing setting and placement without individual restrictions is not a safe option;¹¹⁷ and (3) a copy of each determination be sent to the affected person in custody, the Board, and CHS within 24 hours of determination.¹¹⁸

Individual Behavior and Programming Plan (§ 6-21)

- 111 Proposed rule § 6-17(e).
- 112 YA-ESH variance conditions (at 3) <https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/2017/July-11-2017/july-11-2017-esh-secure-unit-variance-report.pdf>; Min. Std. § 1-16(i).
- 113 9/26/16 letter from then Board Chair Stanley Brezenoff to then DOC Commissioner Joseph Ponte re Notice of Violation of Minimum Standards at West Facility (enclosing letter of the same date from BOC General Counsel Michele Ovesey to DOC General Counsel Heidi Grossman (at 4)), <https://www1.nyc.gov/assets/boc/downloads/pdf/News/2016.09.29%20-%20Letters%20from%20BOC%20to%20DOC%20re%20West%20Facility%20Violations.pdf>.
- 114 Since the Board was engaged in developing its Chapter 5 Standards on elimination of sexual abuse and sexual harassment in correctional facilities, it did not commence restrictive housing rulemaking until January 2017 after the Chapter 5 Standards were enacted.
- 115 Proposed rule § 6-13.
- 116 Proposed rule § 6-20(a).
- 117 Proposed rule § 6-20(b)(2) and (3).
- 118 Proposed rule § 6-20(c).

Proposed rule § 6-21 requires that DOC develop, in writing, an individual behavior and programming plan for each person in structurally restrictive housing (with the same attributes as the individual plans required for people in T/A Housing).¹¹⁹ The Department must review and update the plan of each person with the person's participation, at each periodic review, as is required for people in T/A Housing.¹²⁰

Periodic Review of Placement (§ 6-22)

Proposed rule § 6-22 requires that a Deputy Warden or above review the placement of people in custody confined in structurally restrictive housing every 30 days.¹²¹ Like periodic placement reviews for people in T/A Housing, people confined in structurally restrictive housing have the right to: (1) receive written notice of the impending review; (2) submit a statement; and (3) participate in the review.¹²² Similarly, all placement review decisions must be made by a Deputy Warden or above and be in writing, specify the reasons underlying the determination, and if the decision is to continue the person's placement in structurally restrictive housing, state what other housing was considered and the reasons why such housing is not a safe option.¹²³ The progression criteria is the same as that for T/A Housing; namely, at each periodic review, a person shall advance to a less restrictive level of structurally restrictive housing or to a less restrictive housing unit unless the person has engaged in disruptive, violent, or aggressive behavior while in the person's current level or housing unit or there is credible intelligence that the person may engage in violence in a less restrictive level or housing unit.¹²⁴

Programming and Services (§ 6-23)

Proposed rule § 6-23 states that services may be provided to people confined in structurally restrictive housing in a manner other than required under the Minimum Standards so long as such alternative provision of services is sufficient to meet the intent of the Standards. This may include provision of mandated services in a non-congregate setting. Such services must be specified in writing, provided to the affected person and the Board, and included in the person's behavior and programming plan.

Data Collection and Review (§ 6-24)

Proposed rule § 6-24 states that the data collection review requirements regarding T/A Housing¹²⁵ shall also apply to Structurally Restrictive Housing.

Subchapter G: Access to Medical and Mental Health Services §§ 6-25 – 6-28

Daily Rounds (§ 6-25)

Proposed rule § 6-25 incorporates and amends the requirement for daily CHS medical rounds in ESH per § 1-16(d)(4),¹²⁶ and daily mental health rounds in PSEG per § 1-17(d)(6) by requiring daily CHS mental health and medical rounds in all types of restrictive housing and that such rounds be documented in writing.

Notification to CHA (§ 6-26)

To ensure continuity of medical and mental health treatment, it is vital that the Department immediately notify CHA, in writing, of each placement of a person in custody into restrictive housing. Proposed rule § 6-26 incorporates this requirement.

Clinical Encounters (§ 6-27)

Proposed rule § 6-27 recognizes the legal and ethical requirements to treat patients in private and confidential settings. Cell-side discussions of medical conditions are overheard by others, subject to significant background noise, and ineffective. The rule prohibits, with the exception of daily rounds, cell-side mental health and medical encounters. Instead the rule requires DOC to ensure that all individuals in restrictive housing are brought to the facility clinic for their scheduled appointments.

Data Collection and Review (§ 6-28)

Proposed rule § 6-28 requires monthly reports on compliance

119 Proposed rule § 6-21(a)(1) and (2).

120 Proposed rule § 6-21(c).

121 Proposed rule § 6-22(a).

122 Proposed rule § 6-22(b).

123 Proposed rule § 6-22(c) and (d).

124 Proposed rule § 6-22(f)(1) and (2).

125 Proposed rule § 6-17.

126 Minimum Standard § 1-16(d)(4) states that “[a]ll [people in custody] in ESH shall be seen at least once each day by medical staff who shall make referrals to medical and mental health services where appropriate.”

with the requirements of this Subchapter,¹²⁷ and data sharing with the Board.¹²⁸

Subchapter H: Procedural Justice and Due Process §§ 6-29 – 6-33

The rules in proposed Subchapter H afford all people in restrictive housing procedural due process protections including written notice, a hearing, written determination, and right to appeal. The purpose of these rules is to standardize the varying procedural due process protections currently set forth in Minimum Standards § 1-16(g) (ESH/“Placement Review Hearing”) and § 1-17(c) (PSEG/“Due Process”) as well as Department policies.

Purpose (§ 6-29)

As stated in proposed rule § 6-29, the Standards in Subchapter H are intended to ensure that people in custody are placed into restrictive housing in accordance with due process and procedural justice principles. These Standards are consistent with a central tenet of procedural justice — that “people believe justice as fair, based on their perception of fairness in the *process*, not just the perception of a fair *outcome*.”¹²⁹ Research suggests that when people are treated with procedural justice and respect, “they view law and legal authorities as more legitimate and entitled to be obeyed. As a result, people become self-regulating, taking on the personal responsibility for following social rules.”¹³⁰ Incorporating procedural justice principles in the New York City jails means ensuring through effective communication that people in custody understand the rules and the sanctions for violating them; sanctions proportionate to the offense are imposed consistently and fairly; and sentences are served swiftly following adjudication of guilt.¹³¹ The proposed rules in Subchapter H, which are based on these key principles, are discussed below.

Disciplinary Due Process (§ 6-30)

Proposed rule § 6-30 enumerates the procedural due process protections afforded to people in custody in connection with the Department's adjudication of disciplinary charges against them. Section § 6-30 incorporates the disciplinary due process provisions of Minimum Standard § 1-17 and codifies additional due process protections enumerated in DOC policy on disciplinary due process. As discussed below, the proposed rule adds new provisions regarding the videotaping of refusals to sign infraction notices and attend disciplinary hearings; a process for ensuring a person's placement in PSEG follows quickly upon adjudication; and development of a disciplinary system plan for young adults.

► Investigations

Subdivision (a) of § 6-30 states that: (i) disciplinary investigations must be conducted “promptly, thoroughly, and objectively;”¹³² (ii) DOC personnel conducting the investigation must be the rank of Captain or above and must not have reported, participated in, or witnessed the conduct;¹³³ (iii) if the rule violation in question could lead to a subsequent criminal prosecution, DOC must inform the person in custody who is interviewed that any statements made by the person may be used against the person in a subsequent criminal trial, that the person has the right to remain silent, and that silence will not be used against the person;¹³⁴ (iv) all investigations must be documented in written reports that include “a description of the physical, testimonial, and documentary evidence as well as investigative facts and findings;”¹³⁵ (v) all investigations must commence within 24 hours after the incident¹³⁶; and (vi) the Department shall proceed with adjudication of charges against a person in custody upon a determination that there is reasonable cause to believe the person committed the infraction charged.¹³⁷

127 Proposed rule § 6-28(b).

128 Proposed rule § 6-28(c).

129 Vera Report at 79 (emphasis in original).

130 Tom R. Tyler, “Restorative Justice and Procedural Justice: Dealing with Rule Breaking,” *Journal of Social Issues*, Vol. 62, No. 2, 2006 (at 308), <https://courses.washington.edu/pbafhall/514/514%20Readings/tyler%20justice.pdf>.

131 Vera Report at 45.

132 Proposed rule § 6-30(a)(1) is consistent with Standard § 5-30(a) which also states that all investigations into allegations of sexual abuse and sexual harassment must be conducted “promptly, thoroughly, and objectively.”

133 Proposed rule § 6-30(a)(2).

134 Proposed rule § 6-30(a)(3).

135 Proposed rule § 6-30(a)(4) incorporates Standard § 5-30(f)(2)'s requirement of written reports in PREA investigations.

136 Proposed rule § 6-30(a)(5).

137 Proposed rule § 6-30(a)(6).

► Notice of Infraction

Proposed rule § 6-30(b) requires that prior to the disciplinary hearing: (i) people in custody must receive written notice detailing the charges against them;¹³⁸ (ii) people who are unable to read or understand the notice shall be provided with assistance;¹³⁹ (iii) the notice must be served upon any person placed in pre-hearing detention within 24 hours of such placement¹⁴⁰ and upon people not in pre-hearing detention no later than three (3) business days after the incident, absent extenuating circumstances;¹⁴¹ (iv) any member of DOC staff, except those who participated in the incident, may serve the person charged with the notice of infraction;¹⁴² (v) all refusals to sign the notice shall be videotaped;¹⁴³ and (vi) if the person is charged with a Grade I violent offense, the notice must be transmitted, via e-mail or fax, to the person's criminal defense counsel at the same time it is served upon the person in custody.¹⁴⁴ This last requirement will ensure that defense counsel can advise clients of self-incrimination and other issues that may arise at the disciplinary hearing and/or affect the outcome of a person's court case.

► Disciplinary Hearing

Proposed rule § 6-30(c) incorporates the due process provisions in Minimum Standard § 1-17(c), including the right to: (i) appear in person, make statements, present material evidence, and call witnesses at the infraction hearing; (ii) the assistance of a hearing facilitator under certain circumstances; and (iii) a written determination.¹⁴⁵ Additionally, the Department has the burden of proof in all disciplinary proceedings, and a person's guilt must be shown by a preponderance of the evidence.¹⁴⁶ Section 6-30(c) also incorporates DOC policy on who can serve as a hearing adjudicator, how due process violations must be addressed, time limits on the length of hearings and hearing adjournments, and the right to appeal an adverse decision.¹⁴⁷ Finally, people's refusal to attend their hearing must be videotaped and made a part of the hearing record.¹⁴⁸

► Disciplinary Sanctions – Addressing the PSEG Backlog

The Department reported that, as of July 31, 2019, 815 people in custody were waiting to be held in PSEG I, PSEG II, and RHU.¹⁴⁹ Historically, people in DOC custody have experienced significant delays between adjudication and placement into segregation, which result in a disciplinary system that “appears arbitrary” and negatively “impact[s] transparency and perceptions of fairness and legitimacy.”¹⁵⁰

Vera analyzed 9,793 infractions committed in 2015 that resulted in a segregation sanction and discovered that by the end of

138 Proposed rule § 6-30(b)(1) incorporates the same language in Minimum Standard § 1-17(c)(1).

139 Proposed rule § 6-30(b)(2) incorporates the same language in Minimum Standard § 1-17(c)(1).

140 Proposed rule § 6-30(b)(3).

141 Proposed rule § 6-30(b)(4).

142 Proposed rule § 6-30(b)(5).

143 Proposed rule § 6-30(b)(6).

144 Proposed rule § 6-30(b)(7).

145 Proposed rule § 6-30(c)(6)(i) through (vi) and § 6-30(d).

146 Proposed rule § 6-30(c)(7).

147 Proposed rule § 6-30(c)(1), (3), and (8); § 6-32 (right to appeal).

148 Proposed rule § 6-30(c)(5).

149 DOC 60-Day Report on Punitive Segregation for the period 6/1/19-7/31/19, https://www1.nyc.gov/assets/boc/downloads/pdf/boc_rules_60_day_report_pseg_report_june-july_2019.pdf.

150 Vera Report, Finding B11 at 41-42; Report of Dr. James Gilligan and Dr. Bandy Lee to the NYC Board of Correction (September 5, 2013) (“Gilligan and Lee Report”) at 7, <https://solitarywatch.org/wp-content/uploads/2013/11/Gilligan-Report.-Final.pdf> (“Any behavioral control that punishment purports to effect also becomes counterproductive when there is a long delay between the punishable behavior and the time when the person is actually locked up. We have seen examples at Rikers Island where [people in custody] have waited a month or two before they are placed in [PSEG] – even if during that intervening time they had obeyed every rule in the book. By that point, the only lesson they will learn, at an emotional level, from being locked up is that they are being punished for having behaved themselves in the meantime. Thus, the use of [PSEG] in these circumstances is completely self-defeating, in that it stimulates instead of inhibit[s] antisocial behavior, by embittering the [people in custody], who can only feel that they are being punished arbitrarily and unfairly for pro-social, law-abiding behavior”).

2015 nearly half of those cases had not resulted in an admission into PSEG.¹⁵¹ For those who were eventually admitted to PSEG, the average time between the issuance of a sanction and admission into PSEG was 13 days. One third of admissions into PSEG came after two or more infractions had been adjudicated guilty. The Vera Report attributes several causes for the backlog, including (i) a delay in mental health reviews of people with “M” designations, which is required before their placement in PSEG¹⁵²; and (ii) waiting for a person to clear the 30-day or 60-day sentence limitations. Vera-run focus groups revealed that people in custody and Correction Officers did not understand why some people were placed into segregation while others were not, resulting in a system that appeared arbitrary.¹⁵³

To address this issue, proposed rule § 6-30(e)(2) requires that placement in disciplinary segregation occur within 30 days of adjudication of guilt. If the Department does not place a person into punitive segregation within this 30-day period, DOC may not place the person in PSEG at a later time. The purpose of this rule is to ensure that, in keeping with procedural justice and due process principles underlying Chapter 6, punishment is “swift, certain, and fair.”¹⁵⁴

► Videotaping of Hearing Refusal

Proposed rule § 6-30(c)(5) requires that a person's refusal to attend a disciplinary hearing be videotaped and made part of the hearing record. This will allow BOC to monitor the Department's compliance with the requirement that it provide timely and effective notice of infraction hearings to people in custody. This, in turn, will improve perceptions that the disciplinary process is fair.

Placement Due Process (§ 6-31)

Proposed rule § 6-31 enumerates procedural due process protections applicable to people in custody who are placed in restrictive housing for non-disciplinary reasons. Section 6-31 incorporates the due process provisions of Minimum Standard § 1-16(g) (ESH/“Placement Review Hearing”) and expands them to all types of T/A Housing. These same procedures are also applicable to Structurally Restrictive Housing, as per proposed rule § 6-19(a). With few exceptions,¹⁵⁵ § 6-31 enumerates the same procedural due process protections as § 6-30 (re disciplinary hearings).

Appeals (§ 6-32)

Proposed rule § 6-32 provides a right to appeal a disciplinary determination or placement review decision.¹⁵⁶ The appeal must be in writing, based on facts already in the record, and clearly set forth the basis for the appeal, except the person may raise any newly discovered evidence in the appeal.¹⁵⁷ The decision on appeal must be in writing and state the reasons for granting or denying the appeal.¹⁵⁸ People who are unable to read or understand the decision must be provided with necessary assistance.¹⁵⁹ Finally, appeals must be decided by DOC staff of the rank of Captain or above, who were not involved in the underlying incident or placement recommendation, or presided at the underlying hearing.¹⁶⁰

Data Collection and Review (§ 6-33)

To ensure compliance with the requirements of this Subchapter H, proposed rule § 6-33 requires the Department to: (i) provide semiannual reports on the procedural due process protections provided to people placed in disciplinary housing,¹⁶¹ T/A Housing, and structurally restrictive housing¹⁶² and (ii)

151 For the purposes of the Vera Report, “PSEG” included PSEG I, PSEG II, and RHU.

152 Pursuant to a settlement in *Brad H. v. City of New York*, a person is assigned an “M” designation (or Brad H. flag) if the person, during one incarceration event, has engaged with the mental health system at least three times or has been prescribed certain classes of medication.

153 Vera Report at 41-42.

154 *Id.* at 45; n. 72.

155 Proposed rule § 6-31 does not require videotaping of refusals to sign a notice of placement or attend one's placement review hearing. Additionally, the proposed rule provides different time frames for hearings (§ 6-31(b)(2)) and for appeal of a placement decision (§ 6-32(a) and (c)).

156 Proposed rule § 32(a).

157 *Id.*

158 Proposed rule § 32(f).

159 *Id.*

160 Proposed rule § 32(g)(1) through (4).

161 Proposed rule § 6-33(a).

162 Proposed rule § 6-33(b).

the Board and the Department to jointly develop the reporting templates for these reports.¹⁶³

Subchapter I: Staffing and Training §§ 6-34 and 6-35

Staffing (§ 6-34)

Chapter 1 Minimum Standards require that at least 25% of correction staff assigned to ESH and PSEG be assigned to steady posts.¹⁶⁴ Proposed rule § 6-35(a)(1) expands this requirement by: (i) increasing the 25% minimum to at least 50%; and (ii) defining “steady post” as a post “within a specific unit of a housing area to the extent feasible given leave schedules and personnel changes.”¹⁶⁵ These requirements reflect the importance of DOC security staff assigned to the same restrictive housing units, if feasible, so that staff is familiar with the unit’s operations and people confined in the unit. This familiarity leads to better rapport and engagement between Correction Officers and people in custody and reduces housing unit tension occasioned by frequent changes in staff assigned to the unit.

Proposed rule § 6-34(a)(2) states that the Department shall retain records sufficient to show accurate, uniform data on the security staff transferring in and out of restrictive housing units and the years of experience and training of security staff assigned to and working in these units. DOC shall semi-annually report this information, in writing, to the Board.

Proposed rule § 6-34(b) requires that DOC provide the Board with DOC’s staffing plans developed for each type of restrictive housing and regularly update BOC on any material changes to such plans.

Training (§ 6-35)

Proposed rule § 6-35(a) incorporates Chapter 1 Minimum Standards § 1-16(e)(1) (ESH) and § 1-17(f)(1) (PSEG), and provides that (i) DOC staff assigned to PSEG or ESH shall receive 40 hours of special training designed to address the unique characteristics of these units and the people in custody who are housed in these units; and (ii) such training shall include, but not be limited to, recognition and understanding of mental illness and distress, effective communication skills, and conflict de-escalation techniques.

Proposed rule § 6-35(b) requires the Department to provide hearing adjudicators and other staff involved in sentencing and placement decisions training on procedural and restorative justice principles and written policies to guide sentencing and placement decisions. This requirement is informed by the findings and recommendations of the Vera Report and the Board’s ESH Reports.

Vera determined that people in custody, as well as DOC and CHS staff, find the disciplinary process difficult to understand and attributed this to: (i) inconsistent DOC directives and other official documents;¹⁶⁶ (ii) a lack of clear communication between the Department’s Adjudication Unit and the various parties involved in an incident regarding outcomes of the disciplinary process;¹⁶⁷ and (iii) as discussed above, delays and backlogs in the process, resulting in distrust in disciplinary proceedings and outcomes.¹⁶⁸ To address these issues, Vera recommended, among other things, that all correction officers be trained on due process and procedural justice principles.¹⁶⁹

Board staff found similar due process issues with respect to ESH — adults in custody expressed confusion about why they were being placed in ESH; placement hearings did not include discussion or explanation of ESH levels or the restraint desks; and adjudication Captains were not provided written guidance or training on ESH due

163 Proposed rule § 6-33(d).

164 § 1-16(e)(2) (ESH/“Staffing”); § 1-17(f)(2) (PSEG/“Staffing”).

165 This is consistent with the *Nunez* Agreement’s requirement that DOC adopt and implement a staff assignment system under which a team of officers and supervisor “are consistently assigned to the same Young Inmate Housing Area unit and the same tour, to the extent feasible given leave schedules and personnel changes.” *Nunez* Consent Decree, XV(17) at 43.

166 Vera Report, Finding B12 at 43.

167 *Id.*

168 *Id.*, Finding B11 at 41.

169 *Id.*, Rec. G8 at 78-79 (“Vera encourages [DOC] to train all staff on procedural justice; while the Adjudication Unit plays a key role in [DOC’s] due process procedures, staff at all levels initiate and engage with the adjudication process. By adding concepts of procedural justice into [DOC]’s training curriculum, [DOC] has the opportunity to further legitimize the disciplinary process, equip its officers with the tools to effectively respond to unwanted behavior, and ultimately increase compliance with departmental rules.”).

process policies to guide decision-making.¹⁷⁰ Based on these findings, BOC’s Adult ESH Report recommended, among other things, that procedural justice principles be incorporated into all aspects of ESH placement, and ESH adjudication Captains, Correction Officers, and staff involved in placement decisions receive training on this issue.¹⁷¹

Finally, proposed rule § 6-35(c) states that on at least an annual basis, the Department shall provide the Board with information related to the training to be provided in accordance with 6-35.

Subchapter J: Restraints and Canines, §§ 6-36 and 6-37

Restraints (§ 6-36)

Proposed rule § 6-36(a) states that nothing in this section shall prohibit: (i) the use of restraints that are reasonable and necessary based on the totality of the circumstances to perform a lawful task, effect an arrest, overcome resistance, prevent escape, control a person in custody, or protect staff, other people in custody, and others from injury;¹⁷² (ii) the immediate use of restraints to prevent a person in custody from self-harm, harming others, or causing serious property damage;¹⁷³ or (iii) the routine use of restraints for movement, escort, and transportation purposes.¹⁷⁴

► Limitations

Section 6-36(b) through (d) sets limitations on the use of restraints that are enumerated in Department policy, such as: (i) restraints shall be imposed only when no lesser form of control would be effective in addressing the risks posed by unrestricted movement;¹⁷⁵ (ii) the method of restraint shall be the least intrusive necessary to control a person in custody’s movement;¹⁷⁶ and (iii) restraints shall be imposed only for the time required and shall be removed as soon as possible after the risks posed by unrestricted movement are no longer present.¹⁷⁷ Limitations are also imposed on the use of restraints with respect to people who are paraplegic, quadriplegic, or near death;¹⁷⁸ in a wheelchair;¹⁷⁹ visually impaired;¹⁸⁰ deaf, hearing impaired, or have impaired speech and communicate with hand gestures;¹⁸¹ or in labor, admitted to a hospital for delivery, or recovering after giving birth.¹⁸²

► Prohibitions

Proposed rule 6-36(f) states that restraints must never be used to cause unnecessary physical pain or discomfort,¹⁸³ e.g., applied as punishment or retaliation,¹⁸⁴ or used inside a cell unless the cell is being used to hold more than person in custody and restraints are the only way to ensure the safety of those held in the cell.¹⁸⁵ These prohibitions are enumerated in DOC policy.

► Restraint Desks

In November 2016, the Department introduced restraint desks in ESH Level 1 for adults and young adults. People have their ankle shackled to a desk. The use of restraint desks in ESH magnifies what is already a highly restrictive environment,¹⁸⁶ and was not disclosed to the Board during ESH rulemaking. Moreover, conditioning one’s right to lock-out on being shackled to a desk is inherently punitive and inhumane and undermines the principles of procedural justice that form the bedrock of our criminal justice system and the 2015 amendments to the Board’s Minimum Standards.

170 Adult ESH Report, Findings (“Placement in ESH”) at vi, https://www1.nyc.gov/assets/boc/downloads/pdf/Reports/BOC-Reports/FINAL-BOC-ESH_Assessment-Adults-2017.04.26.pdf.

171 *Id.*, 1st Rec. bullet (“ESH Management”) at x.

172 Proposed rule § 6-36(a)(1).

173 Proposed rule § 6-36(a)(2).

174 Proposed rule § 6-36(a)(3).

175 Proposed rule § 6-36(b).

176 Proposed rule § 6-36(c).

177 Proposed rule § 6-36(d).

178 Proposed rule § 6-36(i).

179 Proposed rule § 6-36(j).

180 *Id.*

181 Proposed rule § 6-36(k).

182 Proposed rule § 6-36(h).

183 Proposed rule § 6-36(f)(4).

184 Proposed rule § 6-36(f)(1).

185 Proposed rule § 6-36(f)(5).

186 In ESH (for young adults and adults), outdoor recreation takes place in recreation cages; showering takes place in shower cells; meals are provided in-cell; daily medical rounds take place through solid cell doors; and most people in ESH are subject to enhanced restraints and restricted to booth visits.

Cognizant of the Department's safety concerns in moving too quickly in eliminating the use of restraint desks for young adults who have engaged in serious acts of violence, the Board — while repeatedly citing its concerns publicly — held off imposing an effective elimination of restraint desks in ESH Level 1 as a condition to the variance it has continually approved since October 2016.¹⁸⁷ Over the next two years, the Department implemented important reforms of ESH, particularly for young adults. These reforms — some of which are embodied in variance conditions¹⁸⁸ — include moving people faster through the program, making the young adult placement criteria more specific, conducting more frequent periodic reviews and involving young adults in them, and establishing a separate school session for young adults in Levels 2 and 3, thereby obviating the need for restraint desks during school.

Over the past two years, the number of people in custody in ESH units with restraint desks has declined significantly. As of August 31, 2019, there were two ESH Level 1 housing units in operation housing 24 people in custody — four (4) young adults and 20 adults. This is down from August 31, 2017, when there were three (3) ESH units with restraint desks, housing 14 young adults and 28 adults.

Similarly, the time spent by people in custody in ESH units with restraint desks has declined significantly between 2017 and 2019. Young adults in ESH Level 1 on August 31, 2019 had spent an average of 27 total days (18 consecutive days) in ESH Level 1, compared to an average of 190 total days (83 consecutive days) for young adults on August 31, 2017. Adults in ESH Level 1 on August 31, 2019 had spent an average of 43 total days (32 consecutive days) in ESH Level 1, compared to an average of 176 total days (62 consecutive days) on August 31, 2017. This decline coupled with the significant reduction in the overall jail population have paved the way for alternative measures such as smaller units and increased staffing ratios, which better reflect the intent of the Minimum Standards.

For the foregoing reasons, proposed rule § 6-36(e) states that "As of March 1, 2022, the Department shall eliminate non-individualized use of restraint desk or other restraints during lockout in all facility housing units. Non-individualized use means placing any person or group of people in a restraint desk or other restraint as a condition of lockout, or solely based on their transfer to a restrictive unit." Recognizing that DOC requires time to implement this change, and assuming proposed Chapter 6 goes into effect in 2020, proposed rule § 6-36(e) affords the Department two years to accomplish this.¹⁸⁹ Until then, subdivisions (f) through (k) of § 6-36 set forth conditions for the routine use of restraint desks, which are derived from Minimum Standard § 1-16¹⁹⁰ and conditions in the YA-ESH Variance.¹⁹¹ This includes that: (i) the Department shall only place a person in a restraint desk or other form of non-individualized restraint during lockout if the person has recently participated in an actual or attempted slashing or stabbing, or engaged in activity that caused serious injury to a staff member or other person, and provided the use of a restraint desk is the least restrictive option necessary for the safety of others;¹⁹² (ii) DOC shall review the placement of people in custody in routine restraint during lockout every seven (7) days;¹⁹³ and (iii) at each periodic review, a person in custody shall advance out of a restraint desk unless (a) the person has engaged in disruptive, violent, or aggressive behavior in the previous seven (7) days; or (b) there is credible intelligence that the person may engage in violence in a less restrictive level or housing unit.¹⁹⁴

► Restraint Statuses

Proposed rule § 6-36 requires the Department to collect data regarding restrictive statuses involving the use of restraints ("restraint statuses"). For the purposes of Chapter 6, restraint statuses are: Enhanced restraints, Red ID, and Centrally Monitored Cases that include the use of handcuff covers. Specifically, the proposed rule requires DOC to: (i) prepare a semiannual report on the use, reviews, and appeals of restraint statuses¹⁹⁵ and (ii) the Board and the Department to jointly develop the reporting templates.

Canines (§ 6-37)

Proposed rule § 6-37 is based on a variance condition prohibiting the stationing of canines in ESH units that house young

adults.¹⁹⁶ Consistent with DOC policy, § 6-37 permits the use of canines inside the secure perimeter of a facility only for searches,¹⁹⁷ and canines must never be used to extract people in custody from their cells, otherwise as a use of force, or for purposes of intimidation.¹⁹⁸

Subchapter K: Implementation of Restrictive Housing §§ 6-38 and 6-39

Existing Restrictive Housing (§ 6-38)

Proposed rule § 6-38 states that all DOC and CHA policies developed or updated to facilitate compliance with these Chapter 6 Standards shall be shared with the Board for its review and feedback prior to finalization.

New Restrictive Housing (§ 6-39)

To enforce and monitor compliance with the proposed rules, the Board must be advised of the Department's plan to establish new restrictive housing before DOC opens it. Therefore, proposed rule § 6-39 provides that at least two (2) months prior to DOC's implementation of new restrictive housing, it shall provide the Board with: (i) a written, comprehensive implementation plan, including a detailed description of the new housing (e.g., its purpose and goals, placement criteria and restrictions, physical structure, programming components);¹⁹⁹ (ii) written policies to implement the new housing;²⁰⁰ and (iii) a prescribed date for submission of the plan to BOC.²⁰¹ Additionally, DOC shall not open new restrictive housing until the Board has had an opportunity to review the plan and discuss it with the Department.²⁰²

Subchapter L: Variances § 6-40

Proposed rule § 6-40 permits the Department and CHA to apply for a variance from a specific subdivision or section of these rules in accordance with § 1-15 of the Board's Minimum Standards.

Effective Date and Implementation Dates (Uncodified Rule §§ 2 and 3)

Uncodified Rule § 2 states that the rules in Chapter 6 shall take effect on February 24, 2020 ("Effective Date").

Certain of the proposed rules, such as those requiring implementation of new policies and procedures, and data collection and reporting, will not be implemented on the Effective Date. Uncodified Rule § 3 specifies time periods within which each of these rules must be implemented.

Authority

The Board of Correction's authority for these rules is found in Sections 1043 and 626 of the New York City Charter.

II. Proposed Amendments to Chapter 1 Standards

The Board proposes amendments to certain of its Minimum Standards in Chapter 1 of Title 40 of the Rules of the City of New York. The proposed amendments:

- Define Board expectations and data reporting requirements regarding the commingling of young adults (ages 18-21) and adults (ages 22 and over);
- Ensure that all provisions in Chapter 1 are consistent with the proposed restrictive housing rules in Chapter 6; and
- Further the Board's commitment to employing person-first and gender-inclusive language in its Standards and general communications by modernizing all such language in each amended section of Chapter 1.

Following is a descriptive summary of the proposed amendments.

Amendments to § 1-02(c): Commingling of Young Adults with Adults

In 2013, members of the public petitioned the Board to initiate rulemaking in many areas related to conditions in the jails. Throughout the rulemaking process, the Board recognized the unique needs of young adults in jail and ultimately passed rules that reflected the need for a distinct approach to managing the young adult population. BOC approved rules in January 2015 which require DOC to house young adults separately and apart from young adults (§

187 YA-ESH Variance.

188 *Id.*, Condition Nos. 2, 5-8; Secure Variance, Condition Nos. 2 and 3.

189 Uncodified Rule § 3, Implementation Dates Table.

190 Min. Std. 1-16(h).

191 YA-ESH Variance Condition Nos. 2, 6, and 7.

192 Proposed rule § 6-36(f).

193 Proposed rule § 6-36(g).

194 Proposed rule § 6-36(j).

195 Proposed rule § 6-36(s).

196 YA-ESH Variance, Condition No. 9.

197 Proposed rule § 6-37(a).

198 Proposed rule § 6-37(b)-(c).

199 Proposed rule § 6-38(a)(1).

200 Proposed rule § 6-38(a)(2).

201 Proposed rule § 6-38(a)(4).

202 Proposed rule § 6-38(b).

1-02(c)(1))²⁰³ and provide age-appropriate programming to young adults (§ 1-02(c)(2)).²⁰⁴

These requirements, however, were never fully implemented. In September 2015, the Department sought and the Board granted a variance from these Standards on the ground that DOC needed additional time to establish specialized housing with appropriate programming and staff training before implementing these requirements. In its variance request, DOC stated that the “success of this plan is dependent on the Department’s ability to lay a solid foundation to build upon moving forward.”²⁰⁵

Almost one year later, in summer 2016, the Department saw a marked spike in violence after it attempted to co-locate over 700 young adults in GMDC.²⁰⁶ Since that time, DOC has continuously sought and received six (6)-month variances from § 1-02(c) permitting the commingling of young adults with adults.

The Department’s July 2019 variance request noted that while DOC “remains committed to a young adult housing strategy that prioritizes housing young adults in pure young adult housing, so long as they can reasonably and safely be housed in that manner, it also requires the flexibility to commingle with individuals over 21 those young adults who engage in violent or assaultive behavior or who pose security risks to other young adults with whom they cohabitate.”²⁰⁷ The Department further noted that as of June 2019, 68% of young adults were housed in young adult-only housing. In approving this variance request, the Board imposed conditions on commingling young adults with adults.²⁰⁸

The proposed amendments to § 1-02(c) codify: (i) certain conditions of the limited variance from §§ 1-02(c)(1) and 1-02(c)(2); (ii) a continuing variance — granted in November 2015 — from § 1-02(c)(1)²⁰⁹ permitting the commingling of young adults with adults in specialized mental health units, including but not limited to PACE and CAPS, and NIC infirmary and West Facility communicable disease unit (CDU); and (iii) a continuing variance — granted in July 2016 — permitting the commingling of young adults with adults in the RMSC infirmary, RMSC nursery, and RMSC housing for pregnant people in custody.²¹⁰

No-Commingling Presumption (§§ 1-02(c)(1) and 1-02(c)(2))

The proposed amendments strike a balance between housing young adults together to facilitate youth-specific management strategies and programming, and housing certain young adults with adults to address legitimate safety and security concerns. Thus, proposed § 1-02(c)(1), as amended, creates a presumption that the Department shall “house young adults (ages 18-21) in young adult-only housing” unless the young adult (i) has engaged in violent or assaultive behavior towards staff or other people in custody;²¹¹ or (ii) has been

203 Min. Std. § 1-02(c)(1) states: “No later than October 15, 2015, the Department shall implement the requirement. . . that [people in custody] ages 18 through 21 be housed separately and apart from [people] over the age of 22.”

204 Min. Std. § 1-02(c)(2) states: “Housing for [people in custody] ages 18 through 21 shall provide such [people] with age-appropriate programming. No later than August 1, 2015, the Department shall provide the Board with a plan to develop such age-appropriate programming.”

205 Letter from DOC Commissioner Joseph Ponte to Board Chair Stanley Brezenoff re variance request from Min. Std. § 1-02(c) (September 8, 2015), <https://www1.nyc.gov/assets/boc/downloads/pdf/DOC%20Variance%20Request%20-%20Commingling%20of%20Young%20Adults%20and%20Adults%20-%20Implement.pdf>

206 <https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/July-2016/DOC%20Six%20Month%20Variance%20Requests%20Letter%20-%20Elimination%20of%20Punitive%20Segregation%20for%202019-21%20year%20olds%20and%20Housing%20Separately%20and%20Apart%206.30.16.pdf>

207 <https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/2019/July7.2.19%20-%20July%202019%20Commingling%20Variance%20Request%20Letter.pdf>

208 *Id.*

209 This continuing variance incorrectly cites “§ 1-02(2)(c)” instead of § 1-02(c)(1). [https://www1.nyc.gov/assets/boc/downloads/pdf/HHC%20Record%20of%20Variance%20Action%20\(Amended\)%20POST.pdf](https://www1.nyc.gov/assets/boc/downloads/pdf/HHC%20Record%20of%20Variance%20Action%20(Amended)%20POST.pdf)

210 [https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/July-26-2016/2016.07.26%20-%20Post%20Record%20of%20Variance%20Action%20-%201-02\(c\)\(1\).pdf](https://www1.nyc.gov/assets/boc/downloads/pdf/Meetings/July-26-2016/2016.07.26%20-%20Post%20Record%20of%20Variance%20Action%20-%201-02(c)(1).pdf)

211 Proposed amended § 1-02(c)(1)(i).

a victim of violent or assaultive behavior in multiple young-adult housing areas.²¹²

Proposed amended § 1-02(c)(2) states that the presumption that DOC shall house young adults with adults shall not apply to medical housing areas, specialized mental health units, the nursery, or housing areas for pregnant people.

Commingling Placement Determination and Approval (§ 1-02(c)(3)-(5))

Section 1-02(c)(3) through (5) state that DOC may house a young adult with adults (in housing areas other than those referenced in § 1-02(c)(2)) only after an individualized determination is made.²¹³ Such determinations must be in writing and include the reasons for such determination and all Central Operation Desk (COD) and incident reports supporting the determination.²¹⁴ Additionally, the Department shall send notification to the person in custody within three (3) days of placement as to why the young adult is being housed with adults.²¹⁵

Data Collection and Review (§ 1-02(c)(6))

Finally, proposed rule § 1-02(c)(6) states that the Department shall comply with the following data reporting requirements on commingling of young adults with adults: (i) provide the Board with a bi-weekly report of all individualized commingling determinations and related information;²¹⁶ (ii) provide BOC with a monthly public census showing which housing units and facilities house young adults; the census shall indicate how many young adults are in each unit and whether the unit is a young-adult only unit or a commingled housing unit;²¹⁷ (iii) report to the Board the locations of all units operating as young adult-only housing units at each facility, the date each unit started operating as a young adult-only unit, and the date each unit stopped operating as a young adult-only unit;²¹⁸ and (iv) provide BOC with monthly public progress reports on its plans for housing and providing age-appropriate programming and services to young adults in custody (i.e., Young Adult Plan).²¹⁹

Amendments to Ensure Consistency between Chapter 1 and Chapter 6 Standards

The other proposed amendments to Chapter 1 Standards replace all specific references to enhanced supervision housing (ESH) with the broader term “transitional/administrative housing” as defined in Chapter 6. This substitution ensures that certain Minimum Standard protections and limitations are triggered by the restrictive characteristics of a housing unit rather than by the name given to a housing unit.

Section 1-05 (Lock-in)

The proposed amendments to § 1-05(a) clarify that the Chapter 1 Minimum Standards related to lock-in apply not only to punitive segregation and CDU units, but also to transitional/administrative housing units where lock-in is governed by proposed rule § 6-11.

Section 1-06 (Recreation)

Whereas existing § 1-06(g) emphasizes that all persons in punitive segregation shall be permitted outdoor recreation for one (1) hour per day, the amendments expand that right to all people in custody who are confined in restrictive housing units, as defined in Chapter 6. Section 1-06(g) has been further amended to eliminate the reference to “close custody,” a practice the New York Supreme Court declared unlawful in 2010.²²⁰

Existing § 1-06(h) states that a person in custody’s “access to recreation may be denied for up to five days only upon conviction of an infraction for misconduct on the way to, from or during recreation.” Subdivision (h) has been amended based upon SCOC guidance that the Department may not restrict recreation as part of a disciplinary sanction.

- 212 Proposed amended § 1-02(c)(1)(2).
- 213 Proposed rule § 1-02(c)(3).
- 214 Proposed rule § 1-02(c)(4).
- 215 Proposed rule § 1-02(c)(5).
- 216 Proposed rule § 1-02(c)(6)(i).
- 217 Proposed rule § 1-02(c)(6)(ii).
- 218 Proposed rule § 1-02(c)(6)(iii).
- 219 Proposed rule § 1-02(c)(6)(iv).
- 220 *Matter of Jackson v Horn*, 27 Misc. 3d 463, 474 (Sup. Ct. N.Y. Cty. 2010) (holding that DOC’s practice of confining people in close custody housing units violated §1-05 of the Board’s Minimum Standards).

Section 1-07 (Religion)

Whereas existing § 1-07(h) ensures the free exercise of religion for all persons in punitive segregation, including congregative religious activities with appropriate security, the amendments expand this protection to all persons in restrictive housing units, as defined in Chapter 6.

Section 1-08 (Access to Courts and Legal Services)

Section 1-08(f)(6) is amended to permit the Department to reduce or eliminate law library hours not only in punitive segregation, but also in in transitional/administrative housing units, provided that an alternative method of access to legal materials is instituted to permit effective legal research.

Section 1-09 (Visiting)

The proposed amendment to § 1-09(f) permits the Department to impose limitations on contact visits with persons in transitional/administrative housing in accordance with the due process provisions governing placement review hearings, as set forth in proposed rule § 6-31(b)(5)(iv).

Section 1-11 (Correspondence)

Sections 1-11(c)(6) and 1-11(e)(1) currently permit the Warden of a facility to read non-privileged correspondence pursuant to a lawful search warrant or a Warden's written order articulating a reasonable basis to believe that the correspondence constitutes a security threat. In such cases, §§ 1-11(c)(6)(ii)-(iii) and 1-11(e)(1)(ii)-(iii) allow the Warden to read such correspondence without any notification to the sender or recipient when that person is in ESH; the existing sections also exempt the Warden from maintaining a written record of correspondence that has been read so long as the sender or recipient is in ESH. The amendments eliminate these exceptions related to people in ESH, mandating that Wardens will be required to notify everyone in custody when a determination has been made to read their correspondence, and will be required to keep a written record of all correspondence read pursuant to § 1-11.

Section 1-16 (Enhanced Supervision Housing) and Section 1-17 (Limitations on the Use of Punitive Segregation)

As described above, these sections are repealed.

Non-Substantive Language Amendments (§§ 1-05—1-09 and § 1-11)

People in DOC custody are people first and the circumstance of their incarceration is not their defining feature. Therefore, the Board has made a commitment to employ person-first language in its Standards and general communications going forward. To this end, the Board is deleting all references to "Inmates" in favor of person-first terms such as "people/persons/individuals in custody" in Minimum Standards §§ 1-05 through 1-09 and § 1-11. The Board is also making a concerted effort towards gender inclusivity in its use of language, and will avoid the use of terminology that suggests a gender binary.

PROPOSED RULES

"Shall" and "must" denote mandatory requirements and may be used interchangeably in the rules of the Board of Correction, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. Chapter 1 of Title 40 of the Rules of the City of New York is amended by repealing sections 1-16 and 1-17 in their entirety, except that sections 1-16(i) and (h) and 1-17(h) will be repealed 365 days after this rule is promulgated.

§ 2. Section 1-02 of Title 40 of the Rules of the City New York is amended to read as follows:

§ 1-02 Classification of [Prisoners] People in Custody.

(a) *Policy.* Consistent with the requirements of this section the Department shall employ a classification system for [prisoners] people in custody.

(b) *Categories.*

(1) Sentenced [inmates] individuals shall be housed separate and apart from [inmates] people awaiting trial or examination, except when housed in:

- (i) punitive segregation;
- (ii) medical housing areas;
- (iii) mental health centers and mental observation cell housing areas;
- (iv) [enhanced supervision housing] transitional/administrative housing, as defined in Chapter 6 of these Rules;
- (v) nursery;
- (vi) adolescent housing areas;
- (vii) [vi] housing areas designated for [inmates] people ages 18 to 21 inclusive; and

[(viii)] (vii) housing areas for pregnant [inmates] people.

(2) Where sentenced [inmates] individuals are housed with [inmates] people awaiting trial or examination in the housing areas listed in subparagraphs (i) through (vii) of paragraph (1) of this subdivision, the sentenced [inmates] individuals shall be treated as [inmates] people awaiting trial or examination for all purposes other than housing.

(3) Within the categories set forth in paragraph (1), the following groupings shall be housed separate and apart:

- (i) male adults, ages 22 and over;
- (ii) male young adults, ages 18 to 21 inclusive;
- (iii) male minors, ages 16 and 17;
- (iv) female adults, ages 22 and over;
- (v) female young adults, ages 18 to 21 inclusive;
- (vi) female minors, ages 16 and 17.]

(c) [Inmates ages 18 to 21 inclusive.] Commingling of Young Adults with Adults

[(1) No later than October 15, 2015, the Department shall implement the requirement of paragraph (2) of subdivision (b) of this section that inmates ages 18 through 21 be housed separately and apart from inmates over the age of 21.]

[(2) Housing for inmates ages 18 through 21 shall provide such inmates with age-appropriate programming. No later than August 1, 2015, the Department shall provide the Board with a plan to develop such age-appropriate programming.]

(1) The Department shall house young adults (ages 18-21) in young adult-only housing unless:

- (i) The young adult has engaged in violent or assaultive behavior towards staff or other people in custody; or
- (ii) The young adult has been a victim of violent or assaultive behavior in multiple young adult-only housing areas.

(2) The presumption that the Department shall house young adults separately from adults shall not apply to medical housing areas, specialized mental health units, the nursery, or housing areas for pregnant people.

(3) The Department may house a young adult with adults only after an individualized determination is made.

(4) Each determination shall be in writing and include the reason(s) for such determination and all Central Operations Desk (COD) and Incident reports supporting the determination.

(5) The Department shall send notification to the person in custody within three (3) days of placement as to why the young adult is being housed with adults.

(6) Data Collection and Review.

(i) The Department shall send the Board a bi-weekly report of all such determinations, including the reason for the housing determination and the full record of COD and Incident reports supporting such determinations.

(ii) The Department shall provide the Board with a monthly public census showing which housing units and jails house 18-21 year-olds. The census shall indicate how many young adults are in each unit and whether the unit is a young adult-only unit or a commingled housing unit.

(iii) The Department shall report to the Board the locations of all units operating as young adult-only housing units at each facility, including the dates each unit started operating as a young adult-only unit and the date each unit stopped operating as a young adult-only unit (if applicable).

(iv) The Department shall provide the Board with monthly public progress reports on its plans for housing and providing age appropriate programming and services to young adults in custody (i.e., Young Adult Plan). The monthly report shall include but not be limited to the following information as of the first day of the reporting month:

- (A) Number of young adults, in total and disaggregated by gender and custody status (i.e., detainee, sentenced), and percent of young adults in each category out of the overall young adult population and the DOC population as a whole;
- (B) Number of young adults, in total and disaggregated

by facility and by young adult- only versus commingled housing units, and percent of the young adult population in each category out of the total young adult population in custody;

- (C) Number of young adults in young adult-only housing units, in total and disaggregated by classification level and custody status;
- (D) Number of young adults in commingled housing units, in total and disaggregated by classification level and custody status;
- (E) Number of young adults in CAPS, PACE, Detox, and Mental Observation housing units;
- (F) Number of young adults disaggregated by location of transitional/administrative housing and structurally restrictive housing units (as defined in Chapter 6 of these Rules);
- (G) Number of young adults in transitional/ administrative housing and structurally restrictive housing units disaggregated by type of housing and by classification level;
- (H) Number of active young adult-only housing areas by facility during the reporting month;
- (I) A list and description of the staff trainings focusing on working with the young adult population offered by the Department (e.g., Safe Crisis Management, Direct Supervision, Supervision of Adolescents);
- (J) The number and percent of active staff in total (Department-wide) and disaggregated by facility and by type and status of young adult training (qualified, trained but expired, never trained);
- (K) Young adult program offerings by facility, housing type (young adult-only, commingled), and provider type (Department-led programming, external program staff);
- (L) For each program offered by facility and housing type: the number of sessions, total average number of young adult participants per session, and total number of unique young adult participants; and
- (M) Any other information the Department or the Board deems relevant to assessment of the young adult plan.

(d) *[Civil prisoners.] People in Custody for Civil Offenses.*

[(1) Prisoners] People who are not directly involved in the criminal process [as detainees or serving sentence] and are confined for other reasons including civil process, civil contempt or material witness, shall be housed separate and apart from [other prisoners] the rest of the jail population and, if possible, located in a different structure or wing. They must be afforded at least as many of the rights, privileges and opportunities available to other [prisoners] people in custody.

[(2) Within this category, the following groupings shall be housed separate and apart:

- (i) male adults, ages 22 and over;
- (ii) male young adults, ages 18 to 21 inclusive;
- (iii) male minors, ages 16 and 17;
- (iv) female adults, ages 22 and over;
- (v) female young adults, ages 18 to 21 inclusive;
- (vi) female minors, ages 16 and 17.]

(e) *Limited commingling.* Nothing contained in this section shall prevent [prisoners] people in custody in different categories or groupings from being in the same area for a specific purpose, including, but not limited to, entertainment, classes, contact visits or medical necessity.

(f) *Security classification.*

(1) The Department shall use a system of classification to group [prisoners] people in custody according to the minimum degree of surveillance and security required.

(2) The system of classification shall meet the following requirements:

(i) It shall be in writing and shall specify the basic objectives, the classification categories, the variables and criteria used, the procedures used and the specific consequences to the [prisoner] person in custody of placement in each category.

(ii) It shall include at least two classification categories.

(iii) It shall provide for an initial classification upon entrance

into the corrections system. Such classification shall take into account only relevant factual information about the [prisoner] person in custody, capable of verification.

(iv) It shall provide for involvement of the [prisoner] person in custody at every stage with adequate due process.

(v) [Prisoners] People placed in the most restrictive security status shall only be denied those rights, privileges and opportunities that are directly related to their status and which cannot be provided to them at a different time or place than provided to other [prisoners] individuals in custody.

(vi) It shall provide mechanisms for review of [prisoners] people placed in the most restrictive security status at intervals not to exceed four weeks for [detainees] individuals awaiting trial and eight weeks for sentenced [prisoners] people.

§ 3. Section 1-05 of Title 40 of the Rules of the City New York is amended to read as follows:

§ 1-05 Lock-in.

(a) *Policy.* The time spent by [prisoners] people confined to their cells should be kept to a minimum and required only when necessary for the safety and security of the facility. The provisions of this section are inapplicable to [prisoners] people confined in punitive segregation and transitional/administrative housing, where lock-in is governed by 40 RCNY § 6-07(a)(4) (PSEG I) and § 6-07(b)(4) (PSEG II) and § 6-11(a) (Adults) and § 6-11(b) (Young Adults), or [prisoners] people confined for medical reasons in the contagious disease units.

(b) *Involuntary lock-in.* [No prisoner] People shall not be required to remain confined to [his or her] their [cell] cells except for the following purposes:

(1) At night for count or sleep, not to exceed eight hours in any 24-hour period;

(2) During the day for count or required facility business that can only be carried out while [prisoners] people are locked in, not to exceed two hours in any 24-hour period. This time may be extended if necessary to complete an off count. [This paragraph shall not apply to prisoners confined in enhanced supervision housing, who may be locked in during the day for up to nine hours in any 24-hour period.]

(c) *Optional lock-in.*

(1) [Prisoners] People shall have the option of being locked in their cells during lock-out periods. [Prisoners] Individuals choosing to lock in at the beginning of a lock-out period of two hours or more shall be locked out upon request after one-half of the period. At this time, [prisoners] people who have been locked out shall be locked in upon request.

(2) The Department may deny optional lock-in to a [prisoner] person in mental observation status if a psychiatrist or psychologist determines in writing that optional lock-in poses a serious threat to the safety of that [prisoner] person. A decision to deny optional lock-in must be reviewed every ten days, including a written statement of findings, by a psychiatrist or psychologist. Decisions made by a psychiatrist or psychologist pursuant to this subdivision must be based on personal consultation with the [prisoner] person in custody.

(d) *Schedule.* Each facility shall maintain and distribute to all [prisoners] people in custody or post in each housing area its lock-out schedule, including the time during each lock-out period when [prisoners] people may exercise the options provided by paragraph (c) (1) of this subdivision.

§ 4. Section 1-06 of Title 40 of the Rules of the City New York is amended to read as follows:

§ 1-06 Recreation.

(a) *Policy.* Recreation is essential to good health and contributes to reducing tensions within a facility. [Prisoners] People in custody shall be provided with adequate indoor and outdoor recreational opportunities.

(b) *Recreation areas.* Indoor and outdoor recreation areas of sufficient size to meet the requirements of this section shall be established and maintained by each facility. An outdoor recreation area must allow for direct access to sunlight and air.

(c) *Recreation schedule.* Recreation periods shall be at least one hour; only time spent at the recreation area shall count toward the hour. Recreation shall be available seven days per week in the outdoor recreation area, except in inclement weather when the indoor recreation area shall be used.

(d) *Recreation equipment.*

(1) The Department shall make available to [prisoners] people in custody an adequate amount of equipment during the recreation period.

(2) Upon request each facility shall provide [prisoners] people in

custody with appropriate outer garments in satisfactory condition, including coat, hat, and gloves, when they participate in outdoor recreation during cold or wet weather conditions.

(e) *Recreation within housing area.*

(1) [Prisoners] People shall be permitted to engage in recreation activities within cell corridors and tiers, dayrooms and individual housing units. Such recreation may include but is not limited to:

- (i) table games;
- (ii) exercise programs; and
- (iii) arts and crafts activities.

(2) Recreation taking place within cell corridors and tiers, dayrooms and individual housing units shall supplement, but not fulfill, the requirements of subdivision (c) of this section.

(f) *Recreation for [inmates] persons housed in the contagious disease units.* In place of out-of-cell recreation, the Department, in consultation with medical providers, may provide inmates confined for medical reasons in the contagious disease units with appropriate recreation equipment and materials for in-cell recreation. The Department must provide such [inmates] individuals with daily access to publications, such as newspapers, books, and magazines, which shall be made available in the six (6) most common languages spoken by the [inmate] jail population.

(g) *Recreation for [prisoners] people in segregation.* [Prisoners] Persons confined in [close custody or] punitive segregation or other restrictive housing areas as defined in Chapter 6 of these Rules shall be permitted recreation in accordance with the provisions of subdivision (c) of this section.

(h) *Limitation on access to recreation.* A [prisoner's] person's access to recreation may be denied for up to five days only [upon conviction of an infraction for misconduct on the way to, from or during recreation] due to imminent safety and security risks, which must be recorded and transmitted to the Board within 24 hours of the restriction.

§ 5. Section 1-07 of Title 40 of the Rules of the City New York is amended to read as follows:

§ 1-07 Religion.

(a) *Policy.* [Prisoners] People in custody have an unrestricted right to hold any religious belief, and to be a member of any religious group or organization, as well as to refrain from the exercise of any religious beliefs. A [prisoner] person in custody may change his or her religious affiliation.

(b) *Exercise of religious beliefs.*

(1) [Prisoners] People in custody are entitled to exercise their religious beliefs in any manner that does not constitute a clear and present danger to the safety or security of a facility.

(2) No employee or agent of the Department or of any voluntary program shall be permitted to proselytize or seek to convert any [prisoner] person in custody, nor shall any [prisoner] person in custody be compelled to exercise or be dissuaded from exercising any religious belief.

(3) Equal status and protection shall be afforded to all [prisoners] people in the exercise of their religious beliefs except when such exercise is unduly disruptive of facility routine.

(c) *Congregate religious activities.*

(1) Consistent with the requirements of subdivision (a) of this section, [all prisoners] all persons in custody shall be permitted to congregate for the purpose of religious worship and other religious activities, except for [prisoners] people confined for medical reasons in the contagious disease units.

(2) Each facility shall provide [all prisoners] all persons in custody with access to an appropriate area for congregating religious worship and other religious activities. Consistent with the requirements of paragraph (b)(1) of this section, this area shall be made available to [prisoners] people in custody in accordance with the practice of their religion.

(d) *Religious advisors.*

(1) As used in this section, the term "religious advisor" means a person who has received endorsement from the relevant religious authority.

(2) Religious advisors shall be permitted to conduct congregating religious activities permitted pursuant to subdivision (c) of this section. When no religious advisor is available, a person in custody belonging to the [member of a prisoner] religious group may be permitted to conduct congregating religious activities.

(3) Consistent with the requirements of paragraph (b)(1) of this section, [prisoners] people shall be permitted confidential consultation with their religious advisors during lock-out periods.

(e) *Celebration of religious holidays or festivals.* Consistent with the requirements of paragraph (b)(1) of this section, [prisoners] people shall be permitted to celebrate religious holidays or festivals on an individual or congregate basis.

(f) *Religious dietary laws.* [Prisoners] People in custody are entitled to the reasonable observance of dietary laws or fasts established by their religion. Each facility shall provide [prisoners] people with food items sufficient to meet such religious dietary laws.

(g) *Religious articles.* Consistent with the requirements of paragraph (b)(1) of this section, [prisoners] people in custody shall be entitled to wear and to possess religious medals or other religious articles, including clothing and hats.

(h) *Exercise of religious beliefs by [prisoners] people in segregation.*

(1) [Prisoners] People confined in [administrative or] punitive segregation or other restrictive housing areas as defined in Chapter 6 of these Rules shall not be prohibited from exercising their religious beliefs, including the opportunities provided by subdivisions (d) through (g) of this section.

(2) Congregating religious activities by [prisoners] people in [close custody or] punitive segregation or other restrictive housing areas as defined in Chapter 6 of these Rules shall be provided for by permitting such [prisoners] individuals to attend congregating religious activities with appropriate security either with each other or with other [prisoners] people in custody.

(i) *Recognition of a religious group or organization.*

(1) A list shall be maintained of all religious groups and organizations recognized by the Department. This list shall be in Spanish and English, and shall be distributed to all [incoming prisoners] persons entering into custody or posted in each housing area.

(2) Each facility shall maintain a list of the religious advisor, if any, for each religious group and organization, and the time and place for the congregating service of each religion. This list shall be in Spanish and English, and shall be distributed to all [incoming prisoners] persons entering custody or posted in each housing area.

(3) [Prisoner requests] People in custody may make requests to the Department to exercise the beliefs of a religious group or organization not previously recognized [shall be made to] by the Department.

(4) In determining requests made pursuant to paragraph (3) of this subdivision, the following factors among others shall be considered as indicating a religious foundation for the belief:

- (i) whether there is substantial literature supporting the belief as related to religious principle;
- (ii) whether there is formal, organized worship by a recognizable and cohesive group sharing the belief;
- (iii) whether there is an informal association of persons who share common ethical, moral, or intellectual views supporting the belief; or
- (iv) whether the belief is deeply and sincerely held by the [prisoner] individual making the request.

(5) In determining requests made pursuant to paragraph (3) of this subdivision, the following factors shall not be considered as indicating a lack of religious foundation for the belief:

- (i) the belief is held by a small number of individuals;
- (ii) the belief is of recent origin;
- (iii) the belief is not based on the concept of a Supreme Being or its equivalent; or
- (iv) the belief is unpopular or controversial.

(6) [In determining] Before the Department determines a request[s] made pursuant to paragraph (3) of this subdivision, [prisoners] the requestor shall be permitted to present evidence indicating a religious foundation for the belief.

(7) The procedure outlined in paragraphs (1) and (3) of this subdivision shall apply when a [prisoner] request made pursuant to paragraph (i)(3) of this subdivision is denied.

(j) *Limitations on the exercise of religious beliefs.*

(1) Any determination to limit the exercise of the religious beliefs of any [prisoner] person in custody shall be made in writing, and shall state the specific facts and reasons underlying such determination. A copy of this determination, including the appeal procedure, shall be sent to the Board and to any person affected by the determination within 24 hours of the determination.

(2) This determination must be based on specific acts committed by the [prisoner] individual in custody during the exercise of his or her religion that demonstrate a serious and immediate threat to the safety and security of the facility. Prior to any determination, the [prisoner]

individual must be provided with written notification of the specific charges and the names and statements of the charging parties, and be afforded an opportunity to respond.

(3) Any person affected by a determination made pursuant to this subdivision may appeal such determination to the Board.

(i) The person affected by the determination shall give notice in writing to the Board and the Department of his or her intent to appeal the determination.

(ii) The Department and any person affected by the determination may submit to the Board for its consideration any relevant material in addition to the written determination.

(iii) The Board or its designee shall issue a written decision upon the appeal within 14 business days after receiving notice of the requested review.

§ 6. Section 1-08 of Title 40 of the Rules of the City New York is amended to read as follows:

§ 1-08 Access to Courts and Legal Services.

(a) *Policy.* [Prisoners] People in custody are entitled to access to courts, attorneys, legal assistants and legal materials.

(b) *Judicial and administrative proceedings.*

(1) [Prisoners] People in custody shall not be restricted in their communications with courts or administrative agencies pertaining to either criminal or civil proceedings except pursuant to a court order.

(2) Timely transportation shall be provided to [prisoners] people scheduled to appear before courts or administrative agencies. Vehicles used to transport [prisoners] people in custody must meet all applicable safety and inspection requirements and provide adequate ventilation, lighting and comfort.

(c) *Access to counsel.*

(1) [Prisoners] People in custody shall not be restricted in their communication with attorneys. The fact that [a prisoner] someone is represented by one attorney shall not be grounds for preventing [him or her] that person from communicating with other attorneys. Any properly identified attorney may visit any [prisoner] person in custody with [the prisoner's] that person's consent.

(i) An attorney may be required to present identification to a designated official at the central office of the Department in order to obtain a facility pass. This pass shall permit the attorney to visit any [prisoner] person in the custody of the Department.

(ii) The Department only may require such identification as is normally possessed by an attorney.

(2) The Department may limit visits to any attorney of record, or an attorney with a court notice for [prisoners] individuals undergoing examination for competency pursuant to court order.

(3) Visits between [prisoners] people in custody and attorneys shall be kept confidential and protected, in accordance with provisions of 40 RCNY § 1-09. Legal visits shall be permitted at least eight hours per day between 8 a.m. and 8 p.m. During business days, four of those hours shall be 8 a.m. to 10 a.m., and 6 p.m. to 8 p.m. The Department shall maintain and post the schedule of legal visiting hours at each facility.

(4) Mail between [prisoners] people in custody and attorneys shall not be delayed, read, or interfered with in any manner, except as provided in 40 RCNY § 1-11.

(5) Telephone communications between [prisoners] people in custody and attorneys shall be kept confidential and protected, in accordance with the provisions of 40 RCNY § 1-10.

(d) *Access to co-defendants.* Upon reasonable request, regular visits shall be permitted between [a detainee] people awaiting trial and all of [his or her] their co-defendants who consent to such visits. If any of the co-defendants are incarcerated, the Department may require that an attorney of record be present and teleconferencing shall be used, if available.

(e) *Attorney assistants.*

(1) Law students, legal paraprofessionals, and other attorney assistants working under the supervision of an attorney representing a [prisoner] person in custody shall be permitted to communicate with [prisoners] that person by mail, telephone and personal visits, to the same extent and under the same conditions that the attorney may do so for the purpose of representing the [prisoner] individual. Law students, legal paraprofessionals and other attorney assistants working under the supervision of an attorney contacted by a [prisoner] person in custody shall be permitted to communicate with that [prisoner] individual by mail, telephone, or personal visits to the same extent and under the same conditions that the attorney may do so.

(2) An attorney assistant may be required to present a letter of identification from the attorney to a designated official at the central

office of the Department in order to obtain a facility pass. A pass shall not be denied based upon any of the reasons listed in 40 RCNY § 1-09(h)(1).

(3) The pass shall permit the assistant to perform the functions listed in subdivision (e) of this section. It may be revoked if specific acts committed by the legal assistant demonstrate his or her threat to the safety and security of a facility. This determination must be made pursuant to the procedural requirements of paragraphs (2), (4) and (5) of subdivision (h) of 40 RCNY § 1-09.

(f) *Law libraries.* Each facility shall maintain a properly equipped and staffed law library.

(1) The law library shall be located in a separate area sufficiently free of noise and activity and with sufficient space and lighting to permit sustained research.

(2) Each law library shall be open for a minimum of five days per week including at least one weekend day. On each day a law library is open:

(i) in facilities [with] housing more than 600 [prisoners] people, each law library shall be operated for a minimum of ten hours, of which at least eight shall be during lock-out hours;

(ii) in facilities [with] housing 600 or fewer [prisoners] people, each law library shall be operated for a minimum of eight and a half hours, of which at least six and a half shall be during lock-out hours;

(iii) in all facilities, the law library shall be operated for at least three hours between 6 p.m. and 10 p.m.; and

(iv) the law library will be kept open for [prisoners'] people's use on all holidays which fall on regular law library days except New Year's Day, July 4th, Thanksgiving, and Christmas. The law library may be closed on holidays other than those specified provided that law library services are provided on either of the two days of the same week the law library is usually closed. On holidays on which the law library is kept open, it shall operate for a minimum of eight hours. No changes to law library schedules shall be made without written notice to the Board of Correction, and shall be received at least five business days before the planned change(s) is to be implemented.

(3) The law library schedule shall be arranged to provide access to [prisoners] people in custody during times of the day when other activities such as recreation, commissary, meals, school, sick call, etc., are not scheduled. Where such considerations cannot be made, [prisoners] people shall be afforded another opportunity to attend the law library at a later time during the day.

(4) Each [prisoner] person in custody shall be granted access to the law library for a period of at least two hours per day on each day the law library is open. Upon request, extra time may be provided as needed, space and time permitting. In providing extra time, [prisoners] people who have an immediate need for additional time, such as [prisoners] people on trial and those with an impending court deadline shall be granted preference.

(5) Notwithstanding the provisions of paragraph (f)(4), [prisoners] people housed for medical reasons in the contagious disease units may be denied access to the law library. An alternative method of access to legal materials shall be instituted to permit effective legal research.

(6) The law library hours for [prisoners] people in punitive segregation or [enhanced supervision] transitional/administrative housing as defined in Chapter 6 of these Rules may be reduced or eliminated, provided that an alternative method of access to legal materials is instituted to permit effective legal research.

(7) Legal research classes for people housed in general population [prisoners] shall be conducted at each facility on at least a quarterly basis. Legal research training materials shall be made available upon request to [prisoners] people in special housing.

(8) The Department shall report annually to the Board detailing the resources available at the law library at each facility, including a list of titles and dates of all law books and periodicals and the number, qualifications and hours of English and Spanish-speaking legal assistants.

(g) *Legal documents and supplies.*

(1) Each law library shall contain necessary research and reference materials which shall be kept properly updated and supplemented, and shall be replaced without undue delay when materials are missing or damaged.

(2) [Prisoners] People in custody shall have reasonable access to typewriters, dedicated word processors, and photocopiers for the purpose of preparing legal documents. A sufficient number of operable typewriters, dedicated word processors, and photocopy machines will be provided for [prisoner] people's use.

(3) Legal clerical supplies, including pens, legal paper and pads shall be made available for purchase by [prisoners] people in custody. Such legal clerical supplies shall be provided to indigent [prisoners] individuals at Department expense.

(4) Unmarked legal forms which are commonly used by [prisoners] people in custody shall be made available. Each [prisoner] person shall be permitted to use or make copies of such forms for his or her own use.

(h) *Law library staffing.*

(1) During all hours of operation, each law library shall be staffed with trained civilian legal coordinator(s) to assist [prisoners] people with the preparation of legal materials. Legal coordinator coverage shall be provided during extended absences of the regularly assigned legal coordinator(s).

(2) Each law library shall be staffed with an adequate number of permanently assigned correction officers knowledgeable of law library procedures.

(3) Spanish-speaking prisoners shall be provided assistance in use of the law library by employees fluent in the Spanish language on an as needed basis.

(i) *Number of legal documents and research materials.*

(1) [Prisoners] People in custody shall be permitted to purchase and receive law books and other legal research materials from any source.

(2) Reasonable regulations governing the keeping of materials in cells and the searching of cells may be adopted, but under no circumstances may [prisoners'] people's legal documents, books, and papers be read or confiscated by correctional personnel without a lawful warrant. Where the space in a cell is limited, an alternative method of safely storing legal materials elsewhere in the facility is required, provided that a [prisoner] person in custody shall have regular access to these materials.

(j) *Limitation of access to law library.*

(1) [A prisoner] People in custody may be removed from the law library if [he or she] they disrupt[s] the orderly functioning of the law library or do[es] not use the law library for its intended purposes. A [prisoner] person may be excluded from the law library for more than the remainder of one law library period only for a disciplinary infraction occurring within a law library.

(2) Any determination to limit a [prisoner's] person's right of access to the law library shall be made in writing and shall state the specific facts and reasons underlying such determination. A copy of this determination, including the appeal procedure, shall be sent to the Board and to any person affected by the determination within 24 hours of the determination.

(3) An alternative method of access to legal materials shall be instituted to permit effective legal research for any [prisoner] person excluded from the law library. A legal coordinator shall visit any excluded [prisoner] person to determine his or her law library needs upon request.

(4) Any person affected by a determination made pursuant to this subdivision (j) may appeal such determination to the Board.

(i) The person affected by a determination shall give notice in writing to the Board and to the Department of his or her intent to appeal the determination.

(ii) The Department and any person affected by the determination may submit to the Board for its consideration any relevant material in addition to the written determination.

(iii) The Board or its designee shall issue a written decision upon the appeal within five business days after receiving notice of the requested review.

§ 7. Section 1-09 of Title 40 of the Rules of the City New York is amended to read as follows:

§ 1-09 Visiting.

(a) *Policy.* All [inmates] people in custody are entitled to receive personal visits of sufficient length and number. Maintaining personal connections with social and family networks and support systems is critical to improving outcomes both during confinement and upon reentry. Visitation with friends and family plays an instrumental role in a [n inmate's] person's ability to maintain these connections and should therefore be encouraged and facilitated by the Department. Additionally, the Board recognizes that a [n inmate's] person's family may not be limited to those related to the [inmate] individual by blood or by legally-recognized bonds, such as marriage or adoption. Therefore, the term "family" as it is used in this subdivision should be construed

broadly to reflect the diversity of familial structures and the wide variety of relationships that may closely connect a [n inmate] person in custody to others. This should include, for example, but may not be limited to: romantic partners; godparents and godchildren; current and former step-parents, children, and siblings; and those connected to the [inmate] individual through current or former domestic partnerships, foster arrangements, civil unions, or cohabitation.

(b) *Visiting and waiting areas.*

(1) A visiting area of sufficient size to meet the requirements of this section shall be established and maintained in each facility.

(2) The visiting area shall be designed so as to allow physical contact between [prisoners] people in custody and their visitors as required by subdivision (f) of this section.

(3) The Department shall make every effort to minimize the waiting time prior to a visit. Visitors shall not be required to wait outside a facility unless adequate shelter is provided and the requirements of paragraph (b)(4) of this section are met.

(4) All waiting and visiting areas shall provide for at least minimal comforts for visitors, including but not limited to:

(i) sufficient seats for all visitors;

(ii) access to bathroom facilities and drinking water throughout the waiting and visiting periods;

(iii) access to vending machines for beverages and foodstuffs at some point during the waiting or visiting period; and

(iv) access to a Spanish-speaking employee or volunteer at some point during the waiting or visiting period. All visiting rules, regulations and hours shall be clearly posted in English and Spanish in the waiting and visiting areas at each facility.

(5) The Department shall make every effort to utilize outdoor areas for visits during the warm weather months.

(c) *Visiting schedule.*

(1) Visiting hours may be varied to fit the schedules of individual facilities but must meet the following minimum requirements for [detainees] people awaiting trial:

(i) Monday through Friday. Visiting shall be permitted on at least three days for at least three consecutive hours between 9 a.m. and 5 p.m. Visiting shall be permitted on at least two evenings for at least three consecutive hours between 6 p.m. and 10 p.m.

(ii) Saturday and Sunday. Visiting shall be permitted on both days for at least five consecutive hours between 9 a.m. and 8 p.m.

(2) Visiting hours may be varied to fit the schedules of individual facilities but must meet the following minimum requirements for sentenced [prisoners] individuals:

(i) Monday through Friday. Visiting shall be permitted on at least one evening for at least three consecutive hours between 6 p.m. and 10 p.m.

(ii) Saturday and Sunday. Visiting shall be permitted on both days for at least five consecutive hours between 9 a.m. and 8 p.m.

(3) The visiting schedule of each facility shall be available by contacting either the central office of the Department or the facility.

(4) Visits shall last at least one hour. This time period shall not begin until the [prisoner] person in custody and visitor meet in the visiting room.

(5) Sentenced [prisoners] individuals are entitled to at least two visits per week with at least one on an evening or the weekend, as the sentenced [prisoner] individual wishes. [Detainees] People awaiting trial are entitled to at least three visits per week with at least one on an evening or the weekend, as the [detainee] person wishes. Visits by properly identified persons providing services or assistance, including lawyers, doctors, religious advisors, public officials, therapists, counselors and media representatives, shall not count against this number.

(6) There shall be no limit to the number of visits by a particular visitor or category of visitors.

(7) In addition to the minimum number of visits required by paragraphs (1), (2) and (5) of this subdivision, additional visitation shall be provided in cases involving special necessity, including but not limited to, emergency situations and situations involving lengthy travel time.

(8) [Prisoners] People in custody shall be permitted to visit with at least three visitors at the same time, with the maximum number to be determined by the facility.

(9) Visitors shall be permitted to visit with at least two [prisoners] people in custody at the same time, with the maximum number to be determined by the facility.

(10) If necessitated by lack of space, a facility may limit the total number of persons in any group of visitors and [prisoners] people in custody to four. Such a limitation shall be waived in cases involving special necessity, including but not limited to, emergency situations and situations involving lengthy travel time.

(d) *Initial visit.*

(1) [Each detainee] People awaiting trial shall be entitled to receive a non-contact visit within 24 hours of [his or her] their admission to the facility.

(2) If a visiting period scheduled pursuant to paragraph (c)(1) of this section is not available within 24 hours after a [detainee's] person awaiting trial's admission, arrangements shall be made to ensure that the initial visit required by this subdivision is made available.

(e) *Visitor identification and registration.*

(1) Consistent with the requirements of this subdivision, any properly identified person shall, with the [prisoner's] individual in custody's consent, be permitted to visit [the prisoner] that individual.

(i) Prior to a visit, a [prisoner] person in custody shall be informed of the identity of the prospective visitor.

(ii) A refusal by a [prisoner] person in custody to meet with a particular visitor shall not affect [the prisoner's] that person's right to meet with any other visitor during that period, nor [the prisoner's] that person's right to meet with the refused visitor during subsequent periods.

(2) [Each visitor] Visitors shall be required to enter in the facility visitors log:

(i) [his or her] their name;

(ii) [his or her] their address;

(iii) the date;

(iv) the time of entry;

(v) the name of the [prisoner or prisoners] individual or individuals to be visited; and

(vi) the time of exit.

(3) Any prospective visitors who [is] under 16 years of age shall be required to enter, or have entered [for him or her] on their behalf, in the facility visitors log:

(i) the information required by paragraph (2) of this subdivision;

(ii) [his or her] their age; and

(iii) the name, address, and telephone number of [his or her] their parent or legal guardian.

(4) The visitors log shall be confidential, and information contained therein shall not be read by or revealed to non-Department staff except as provided by the City Charter or pursuant to a specific request by an official law enforcement agency. The Department shall maintain a record of all such requests with detailed and complete descriptions.

(5) Prior to visiting a [prisoner] person in custody, a prospective visitor under 16 years of age may be required to be accompanied by a person 18 years of age or older, and to produce oral or written permission from a parent or legal guardian approving such visit.

(6) The Department may adopt alternative procedures for visiting by persons under 16 years of age. Such procedures must be consistent with the policy of paragraph (e) (5) of this subdivision, and shall be submitted to the Board for approval.

(f) *Contact visits.* Physical contact shall be permitted between [every inmate] all people in custody and all of [the inmate's] their visitors. Permitted physical contact shall include a brief embrace and kiss between the [inmate] person in custody and visitor at both the beginning and end of the visitation period. [Inmates] People in custody shall be permitted to hold children in [the inmate's] their family who are ages fourteen (14) and younger throughout the visitation period, provided that the Department may limit a [n inmate's] person in custody to holding [of children to] one child at a time. Additionally, [inmates] people in custody shall be permitted to hold hands with their visitors throughout the visitation period, which the Department may limit to holding hands over a partition that is no greater than six (6) inches. The provisions of this subdivision are inapplicable to [inmates] individuals housed for medical reasons in the contagious disease units. The Department may impose certain limitations on contact visits for [inmates] people confined in [enhanced supervision] transitional/administrative housing in accordance with the procedures and guidelines set forth in 40 RCNY § [1-16]6-31.

(g) *Visiting security and supervision.*

(1) All [prisoners] people in custody, prior and subsequent to each visit, may be searched solely to ensure that they possess no contraband.

(2) All prospective visitors may be searched prior to a visit solely to ensure that they possess no contraband.

(3) Any body search of a prospective visitor made pursuant to paragraph (2) of this subdivision shall be conducted only through the use of electronic detection devices. Nothing contained herein shall affect any authority possessed by correctional personnel pursuant to statute.

(4) Objects possessed by a prospective visitor, including but not limited to, handbags or packages, may be searched or checked. Personal effects, including wedding rings and religious medals and clothing, may be worn by visitors during a visit. The Department may require a prospective visitor to secure in a lockable locker his or her personal property, including but not limited to bags, outerwear and electronic devices. A visit may not be delayed or denied because an operable, lockable locker is not available.

(5) Supervision shall be provided during visits solely to ensure that the safety or security of the facility is maintained.

(6) Visits shall not be listened to or monitored unless a lawful warrant is obtained, although visual supervision should be maintained.

(h) *Restrictions on visitation rights.*

(1) The visitation rights of a [n inmate] person in custody with a particular visitor may be denied, revoked or limited only when it is determined that the exercise of those rights constitutes a serious threat to the safety or security of a facility, provided that visitation rights with a particular visitor may be denied only if revoking the right to contact visits would not suffice to reduce the serious threat.

This determination must be based on specific acts committed by the visitor during a prior visit to a facility that demonstrate the visitor's threat to the safety and security of a facility, or on specific information received and verified that the visitor plans to engage in acts during the next visit that will be a threat to the safety or security of the facility. Prior to any determination, the visitor must be provided with written notification of the specific charges and the names and statements of the charging parties, and be afforded an opportunity to respond. The name of an informant may be withheld if necessary to protect the informant's safety.

(2) A [n inmate's] person in custody's right to contact visits as provided in subdivision (f) of this section may be denied, revoked, or limited only when it is determined that such visits constitute a serious threat to the safety or security of a facility. Should a determination be made to deny, revoke or limit a [n inmate's] person's right to contact visits in the usual manner, alternative arrangements for affording the [inmate] individual the requisite number of visits shall be made, including, but not limited to, non-contact visits.

This determination must be based on specific acts committed by the [inmate] person while in custody under the present charge or sentence that demonstrate the [inmate's] person's threat to the safety and security of a facility, or on specific information received and verified that the [inmate] individual plans to engage in acts during the next visit that will be a threat to the safety or security of the facility. Prior to any determination, the [inmate] person must be provided with written notification of the specific charges and the names and statements of the charging parties, and be afforded an opportunity to respond. The name of an informant may be withheld if necessary to protect the informant's safety.

(3) Restrictions on visitation rights must be tailored to the threat posed by the [inmate] person in custody or prospective visitor and shall go no further than what is necessary to address that threat.

(4) Visitation rights shall not be denied, revoked, limited or interfered with based on a [n inmate's] person in custody's or a prospective visitor's actual or perceived:

(i) sex;

(ii) sexual orientation;

(iii) race;

(iv) age, except as otherwise provided in this section;

(v) nationality;

(vi) political beliefs;

(vii) religion;

(viii) criminal record;

(ix) pending criminal or civil case;

(x) lack of family relationship;

(xi) gender, including gender identity, self-image, appearance, behavior or expression; or

(xii) disability

(5) Any determination to deny, revoke or limit a [n inmate's] person in custody's visitation rights pursuant to paragraphs (1) and (2) of this subdivision shall be in writing and shall state the specific

facts and reasons underlying such determination. A copy of this determination, including a description of the appeal procedure, shall be sent to the Board and to any person affected by the determination within 24 hours of the determination.

(i) *Appeal procedure for visitation restrictions.*

(1) Any person affected by the Department's determination to deny, revoke or limit access to visitation may appeal such determination to the Board, in accordance with the following procedures:

(i) The person affected by the determination shall give notice in writing to the Board and the Department of intent to appeal the determination.

(ii) The Department and any person affected by the determination may submit to the Board for its consideration any relevant material in addition to the written determination.

(iii) The Board or its designee shall issue a written decision upon the appeal within five (5) business days after receiving notice of the requested review, indicating whether the visitation determination has been affirmed, reversed, or modified.

(iv) Where there exists good cause to extend the time period in which the Board or designee may issue a written decision beyond five (5) business days, the Board or designee may issue a single extension not to exceed ten (10) business days. In such instances, the Board shall immediately notify the Department and any persons affected by the extension.

§ 8. Section 1-11 of Title 40 of the Rules of the City New York is amended to read as follows:

§ 1-11 Correspondence.

(a) *Policy.* [Prisoners] People in custody are entitled to correspond with any person, except when there is a reasonable belief that limitation is necessary to protect public safety or maintain facility order and security. The Department shall establish appropriate procedures to implement this policy. Correspondence shall not be deemed to constitute a threat to safety and security of a facility solely because it criticizes a facility, its staff, or the correctional system, or espouses unpopular ideas, including ideas that facility staff deem not conducive to rehabilitation or correctional treatment. The Department shall provide notice of this policy to all [prisoners] people in custody.

(b) *Number and language.*

(1) There shall be no restriction upon incoming or outgoing [prisoner] correspondence based upon either the amount of correspondence sent or received, or the language in which correspondence is written.

(2) If a [prisoner] person in custody is unable to read or write, he or she may receive assistance with correspondence from other persons, including but not limited to, facility employees and [prisoners] people in custody.

(c) *Outgoing correspondence.*

(1) Each facility shall make available to indigent [prisoners] people in custody at Department expense stationery and postage for all letters to attorneys, courts and public officials, as well as two other letters each week.

(2) Each facility shall make available for purchase by [prisoners] people in custody both stationery and postage.

(3) Outgoing [prisoner] correspondence shall bear the sender's name and either the facility post office box or street address or the sender's home address in the upper left hand corner of the envelope.

(4) Outgoing [prisoner] correspondence shall be sealed by the [prisoner] sender and deposited in locked mail receptacles.

(5) All outgoing [prisoner] correspondence shall be forwarded to the United States Postal Service at least once each business day.

(6) Outgoing [prisoner] non-privileged correspondence shall not be opened or read except pursuant to a lawful search warrant or the warden's written order articulating a reasonable basis to believe that the correspondence threatens the safety or security of the facility, another person, or the public.

(i) The warden's written order shall state the specific facts and reasons supporting the determination.

(ii) The affected [prisoner] sender shall be given written notification of the determination and the specific facts and reasons supporting it. The warden may delay notifying the [prisoner] sender only for so long as such notification would endanger the safety and security of the facility, after which the warden immediately shall notify the [prisoner] person. [This requirement shall not apply to individuals confined in enhanced supervision housing.]

(iii) A written record of correspondence read pursuant to this paragraph shall be maintained and shall include: the name of the [prisoner] person in custody, the name of the intended recipient, the name of the reader, the date the correspondence was read, and [, with the exception of prisoners confined in enhanced supervision housing,] the date that the [prisoner] person received notification.

(iv) Any action taken pursuant to this paragraph shall be completed within five business days of receipt of the correspondence by the Department.

(7) Outgoing [prisoner] privileged correspondence shall not be opened or read except pursuant to a lawful search warrant.

(d) *Incoming correspondence.*

(1) Incoming correspondence shall be delivered to the intended [prisoner] recipient within 48 hours of receipt by the Department unless the [prisoner] recipient is no longer in custody of the Department.

(2) A list of items that may be received in correspondence shall be established by the Department. Upon admission to a facility, [prisoners] people shall be provided a copy of this list or it shall be posted in each housing area.

(e) *Inspection of incoming correspondence.*

(1) Incoming [prisoner] non-privileged correspondence

(a) shall not be opened except in the presence of the intended [prisoner] recipient or pursuant to a lawful search warrant or the warden's written order articulating a reasonable basis to believe that the correspondence threatens the safety or security of the facility, another person, or the public.

(i) The warden's written order shall state the specific facts and reasons supporting the determination.

(ii) The affected [prisoner] recipient and sender shall be given written notification of the warden's determination and the specific facts and reasons supporting it. The warden may delay notifying the [prisoner] recipient and the sender only for so long as such notification would endanger the safety or security of the facility, after which the warden immediately shall notify the [prisoner] recipient and sender. [This requirement shall not apply to prisoners confined in enhanced supervision housing.]

(iii) A written record of correspondence read pursuant to this subdivision shall be maintained and shall include: the name of the sender, the name of the intended [prisoner] recipient in custody, the name of the reader, the date that the correspondence was received and was read, and[, with the exception of prisoners confined in enhanced supervision housing,] the date that the [prisoner] recipient and sender received notification.

(iv) Any action taken pursuant to this subdivision shall be completed within five business days of receipt of the correspondence by the Department.

(b) shall not be read except pursuant to a lawful search warrant or the warden's written order articulating a reasonable basis to believe that the correspondence threatens the safety or security of the facility, another person, or the public. Procedures for the warden's written order pursuant to this subdivision are set forth in paragraph (1) of this subdivision.

(2) Incoming correspondence may be manipulated or inspected without opening, and subjected to any non-intrusive devices. A letter may be held for an extra 24 hours pending resolution of a search warrant application.

(3) Incoming privileged correspondence shall not be opened except in the presence of the recipient [prisoner] in custody or pursuant to a lawful search warrant. Incoming privileged correspondence shall not be read except pursuant to a lawful search warrant.

(f) *Prohibited items in incoming correspondence.*

(1) When an item found in incoming correspondence involves a criminal offense, it may be forwarded to the appropriate authority for possible criminal prosecution. In such situations, the notice required by paragraph (3) of this subdivision may be delayed if necessary to prevent interference with an ongoing criminal investigation.

(2) A prohibited item found in incoming [prisoner] correspondence that does not involve a criminal offense shall be returned to the sender, donated or destroyed, as the [prisoner] recipient wishes.

(3) Within 24 hours of the removal of an item, the Board and the intended [prisoner] recipient shall be sent written notification of this action. This written notice shall include:

- (i) the name and address of the sender;
- (ii) the item removed;
- (iii) the reasons for removal;
- (iv) the choice provided by paragraph (2) of this subdivision; and
- (v) the appeal procedure.

(4) After removal of an item, the incoming correspondence shall be forwarded to the intended [prisoner] recipient.

(g) *Appeal.* Any person affected by the determination to remove an item from [prisoner] correspondence may appeal such determination to the Board.

(1) The person affected by the determination shall give notice in writing to the Board and to the Department of his or her intent to appeal the determination.

(2) The Department and any person affected by the determination may submit to the Board for its consideration any relevant material in addition to the written determination.

(3) The Board or its designee shall issue a written decision upon the appeal within 14 business days after receiving notice of the requested review.

§ 9. Title 40 of the Rules of the City of New York is amended by adding a new Chapter 6 to read as follows:

Chapter 6: Restrictive Housing in Correctional Facilities

Subchapter A: Core Principles

§ 6-01 Purpose.

- (a) These Chapter 6 rules are based upon and promote the following core principles:
- (1) Protection of the safety of people in custody and the staff who work in facilities by:
 - (i) Ensuring that all people in custody and all staff who work in facilities are treated with dignity and respect;
 - (ii) Prohibiting restrictions that dehumanize or demean people in custody;
 - (iii) Placing restrictions on people in custody that are limited to those required to achieve the appropriate objectives for which the restrictions are imposed; and
 - (iv) Confining people in custody to the least restrictive setting and for the least amount of time necessary to address the specific reasons for their placement and to ensure their own safety as well as the safety of staff, other people in custody, and the public.
 - (2) Placement of people in custody into restrictive housing or restrictive statuses in accordance with due process and procedural and restorative justice principles by:
 - (i) Explaining disciplinary rules and the sanctions for violating them when people are first admitted to Department custody;
 - (ii) Imposing sanctions that are proportionate to the offenses committed;
 - (iii) Applying disciplinary rules and imposing sanctions fairly and consistently; and
 - (iv) Ensuring that people in custody understand the basis for their placement into restrictive housing or a restrictive status other than for an infraction, and that they understand the basis for any individual restrictions imposed in conjunction with their placement in such housing.
 - (3) Promotion of the rehabilitation of people in custody and their reintegration into the community by:
 - (i) Incentivizing good behavior;
 - (ii) Allowing people placed into restricting housing as much out-of-cell time and programming participation as practicable, consistent with safety and security; and

(iii) Providing necessary programming and resources.

(4) Monitoring and tracking compliance with these Chapter 6 rules and the core principles on which they are based by:

- (i) Developing performance measures; and
- (ii) Regularly reporting performance outcomes to the public.

Subchapter B: Definitions

§ 6-02 General Definitions.

For the purposes of this Chapter, the following terms have the following meanings:

- (a) “Board” means the New York City Board of Correction.
- (b) “CHA” means the Correctional Health Authority designated by the City of New York as the agency responsible for health and mental health services for people in the care and custody of the Department.
- (c) “Department” means the New York City Department of Correction.
- (d) “Facility” means a place, institution, building (or part thereof), set of buildings, structure, or area (whether or not enclosing a building or set of buildings) used by the Department for confinement of individuals.
- (e) “Health staff” means a medical health or mental health professional employed by CHA who, by virtue of education, credentials, and experience, is permitted by law to evaluate and care for patients within the scope of his or her professional practice.
- (f) “Nunez Agreement” means the Consent Judgment in *Nunez v. City of New York*, 11 Civ. 05845 (SDNY).
- (g) “Person in custody” means any person confined in a facility.
- (h) “Security staff” means Department employees primarily responsible for the supervision and control of people in custody in housing units, recreational areas, dining areas, and other program areas of the facility.

§ 6-03 Definition of Restrictive Housing and Related Terms.

- (a) For the purposes of this Chapter, “restrictive housing” includes housing units or housing levels within such units where:
 - (1) People in custody are held separately from people housed in the general population; and
 - (2) All people housed in the restrictive housing unit or a housing level within such unit are subject to one or more of the following restrictions:
 - (i) Out-of-cell time is less than the fourteen (14) hours a day offered to people housed in the general population.
 - (ii) Services mandated under other Chapters of the Minimum Standards are provided in a more restricted manner than they are provided to people housed in the general population. This would include, for example, the provision of law library services other than in a facility law library or religious services other than in a facility chapel.
 - (iii) A person is permitted to congregate with only one or two other people in the unit.
 - (iv) Fewer privileges are afforded to people in the unit or in a housing level within the unit, pursuant to DOC policy, than are afforded to people housed in the general population.
- (b) For the purposes of this Chapter, the following terms related to restrictive housing have the following meanings:
 - (1) “Disciplinary hearing” means a hearing on an infraction with which a person in custody has been charged.
 - (2) “Disciplinary housing” means punitive segregation and any other housing used to segregate people in custody from the general population pursuant to a disciplinary sanction imposed after a hearing.

- (3) “General population” or “general population housing” means all housing units in facilities where people are housed other than segregated units.
- (4) “Grade I violent offense” means an offense for which a person in custody may be sentenced to PSEG I after being found guilty of such offense at a hearing.
- (5) “Grade I non-violent offense” means an offense for which a person in custody may be sentenced to PSEG II after being found guilty of such offense at a hearing.
- (6) “Grade II offense” means an offense for which a person in custody may be sentenced to PSEG II, but not to PSEG I, after being found guilty of such offense at a hearing.
- (7) “Grade III offense” means an offense for which a person may not be sentenced to PSEG I or PSEG II.
- (8) “Hearing Adjudicator” is a Department employee of the rank of Captain or above who presides at disciplinary hearings or placement review hearings of people in custody.
- (9) “Housing area” or “housing unit” means facility housing, including common areas, used to house people in custody.
- (10) “Infraction” means a violation of Department rules.
- (11) “Intake” or “intake area” is an area designated by a facility to temporarily secure a person in custody while awaiting further assessment of the person for appropriate housing placement.
- (12) “Mandated services” means services mandated under the Board’s Minimum Standards.
- (13) “Placement review hearing” means a hearing on the appropriateness of placing a person in custody into restrictive housing other than disciplinary housing or into a restrictive status.
- (14) “Pre-hearing detention” means the placement of a person in custody in PSEG I pending the investigation or adjudication of the person’s disciplinary infraction.
- (15) “PSEG I” means the placement of a person in custody separate and apart from the general population pursuant to a disciplinary sanction for a Grade I violent offense imposed after a hearing. This housing, which is sometimes referred to as the “Central Punitive Segregation Unit” (CPSU), includes the currently existing Restrictive Housing Unit (RHU).
- (16) “PSEG II” means the placement of a person in custody separate and apart from the general population pursuant to a disciplinary sanction for a Grade I non-violent offense or Grade II offense imposed after a disciplinary hearing.
- (17) “Punitive segregation” means the placement of a person in custody separate and apart from the general population pursuant to a disciplinary sanction imposed after a disciplinary hearing.
- (18) “Restraints” mean any of the following devices: handcuffs, flex cuffs, waist restraint system (consists of a belt or chain around the waist to which the person in custody’s hands may be chained or handcuffed); leg restraints (shackles) (applied on the ankle area of a person in custody); handcuff safety cover (protective device that covers the locking mechanism of handcuffs to prevent tampering); protective mittens (protective tube-like mittens which cover the hands and is secured with handcuffs); gurney (wheeled stretcher); four-point restraints (type of restraint where both arms and legs are secured); five-point restraints (four-point restraint plus the application of an additional restraint across the chest); and restraint desks (school-type desk surface and chair with ankle restraints).
- (19) “Restrictive Housing Unit” or “RHU” means PSEG I for certain people with mental health needs, but not serious mental illness.
- (20) “Restrictive status” means a status the Department assigns to people in custody who the Department determines require heightened identification, tracking, and/or monitoring for safety and security purposes.
- (21) “Structurally restrictive housing,” pursuant to 40 RCNY § 6-18 through § 6-24, means a housing unit the physical

design of which permits people in custody to congregate with only one or two other people in custody housed in the unit. This housing includes, for example, structurally restrictive housing units currently or previously in existence at Manhattan Detention Complex (MDC), North Infirmery Command (NIC), and West Facility.

- (22) “Therapeutic housing” are housing units where entry and discharge are determined by CHA according to clinical criteria and includes, but is not limited to, mental health housing such as Mental Observation units, Program for Accelerating Clinical Effectiveness (PACE) units, and Clinical Alternatives to Punitive Segregation (CAPS) units, and medical housing such as North Infirmery Command (NIC) and Communicable Diseases Unit (CDU).
- (23) “Transitional/administrative housing,” pursuant to 40 RCNY § 6-10 through § 6-17, means the placement of a person in custody separate and apart from the general population for non-disciplinary reasons after a placement review hearing. This housing includes the following housing units currently in existence:
- (i) Enhanced Supervision Housing (ESH) for adults and young adults.
 - (ii) Secure Unit for young adults.
 - (iii) Transitional Restorative Unit (TRU) for young adults.
 - (iv) Second Chance Housing Unit for young adults (Second Chance).
- (24) “Young adults” mean people in custody ages eighteen (18) through twenty-one (21).

Subchapter C: Immediate Placement Responses to Violence

§ 6-04 Pre-Hearing Detention.

- (a) The Department may place a person in custody in pre-hearing detention if the person is under investigation for or charged with an infraction and meets the following criteria:
- (1) The person is reasonably believed by the Department to have committed a Grade I violent offense; and
 - (2) The person’s removal from general population is necessary to:
 - (i) Protect the safety of any person, including staff or other people in custody, prior to the person’s infraction hearing; or
 - (ii) Prevent the person from intimidating or coercing other people in custody to give false testimony or to refuse to testify at the person’s infraction hearing.
- (b) A person in custody who qualifies for and is placed in pre-hearing detention shall be afforded a disciplinary hearing no later than seven (7) business days after the person’s placement in pre-hearing detention, and the person’s time spent in such detention prior to the hearing shall count toward the person’s punitive segregation sentence.
- (c) If an infraction hearing is not held within seven (7) business days, the person in custody must be released from pre-hearing detention.
- (d) A person in custody may be released from pre-hearing detention if the Department determines that the person’s retention in pre-hearing detention is not necessary for the safety or security of that person or others, including staff and other people in custody.

- (e) The Department shall provide the Board with a semiannual report with information related to its use of prehearing detention including but not limited to: (1) the number of people placed in prehearing detention, (2) their placement charges, (3) time from placement to hearing, (4) whether people placed in pre-hearing detention were adjudicated for continued placement in PSEG 1 or RHU, and (5) any other information the Department or the Board deems relevant to the Board's assessment of pre-hearing detention.
- (f) The Board and the Department shall jointly develop reporting templates for the report required by 40 RCNY § 6-04(e).

§ 6-05 Confinement for De-Escalation Purposes.

- (a) The Department may confine people in custody for de-escalation purposes to:
- (1) De-escalate a person's behavior that poses an immediate threat to the safety of the person or others or significantly disrupts Department activities in progress. This may be done to aid the person in calming the person's own behavior and only after other less restrictive measures have been exhausted, are inappropriate, or have been or are likely to be ineffective.
 - (2) Temporarily house a person in custody for the person's own safety after the person has been assaulted or otherwise victimized by another person in custody.
 - (3) Facilitate the decontamination of people in custody following exposure to chemical spray.
- (b) The Department shall ensure the immediate written notification to CHA of a person in custody's placement in de-escalation confinement, including the initial and any subsequent locations of such confinement, so that the person's access to medical and mental health services and medication is not interrupted.
- (c) De-escalation confinement in an intake area must have an adequate number of working flush toilets, wash basins with drinking water, including hot and cold water, and appropriate furnishings for seating and reclining to accommodate the number of people in custody confined there. Such areas must be maintained in a clean and sanitized manner.
- (d) De-escalation confinement in an individual cell must have the features specified in, and be maintained in, accordance with 40 RCNY § 1-03 and § 1-04.
- (e) Meals and snacks must be served to people in custody while in de-escalation confinement at or about the same time as, and be of the same quality and quantity of, the meals served to people in the general population.
- (f) Subject to the following time limitations, confinement for de-escalation purposes shall be employed for the minimum amount of time required for assessment of the person in custody and determination of the person's subsequent placement:
- (1) A person in custody's initial placement in de-escalation confinement shall be no more than four (4) hours. Each such placement shall be documented in a form designed for this purpose, which shall specify the reasons for the placement.
 - (2) Reauthorization based upon written approval up the Department's security chain of command is required every four (4) hours for a maximum of twelve (12) hours. The approval for each four-hour authorization shall specify the reasons therefor, including what attempts were made by the Department to transfer the person in custody out of the de-escalation area after each four-hour period.
 - (3) A person in custody's placement in de-escalation confinement shall not exceed twelve (12) hours from the time the person is placed in such confinement.
 - (4) The Department shall notify the Board, in writing, of all instances where a person in custody's placement in de-escalation confinement exceeds the 12-hour maximum and the reasons why the person has not been placed elsewhere. The Department shall include in this notification the information specified in 40 RCNY § 6-05(a)(1) through (3). Such notification shall be made as soon as possible after expiration of the twelve (12) hours.
 - (5) For the purposes of compliance with the time limitations in this section, the length of a person in custody's de-

escalation confinement includes the time the person spends:

- (i) In the de-escalation area in which the person is initially placed; and
 - (ii) In other de-escalation areas or cells to which the Department moves a person during the same confinement period.
- (g) The Department shall conduct visual and aural observation of people in de-escalation confinement every thirty (30) minutes.
- (h) When designing or acquiring any new facility and in planning any substantial expansion or modification of existing facilities, the Department shall consider installing individual cells for de-escalation purposes in intake areas or elsewhere in the facility.
- (i) The Department shall create and regularly update as necessary a list of the specific housing or intake areas designated to be used for de-escalation purposes at each facility. The Department shall share this list with the Board and update the Board as soon as changes to these designations are made.
- (j) For all de-escalation placements exceeding twelve (12) hours, the Department shall provide the Board with documentation supporting initial placement, each four-hour authorization of the continued placement, and the reason placement has continued beyond twelve (12) hours.
- (k) The Department shall provide the Board with a quarterly report with information related to its use of de-escalation confinement, including but not limited to the number of placements in de-escalation confinement; number whose placement lasted more than four (4) hours, and the number whose placement lasted more than twelve (12) hours, and any other information the Department or the Board deems relevant to the Board's assessment of the use of de-escalation confinement in Department facilities. Information shall be tracked at the individual level, and reported in total and by facility.
- (l) The Board and the Department shall jointly develop the reporting templates for the report required by 40 RCNY § 6-05(k).

§ 6-06 Emergency Lock-Ins.

- (a) Emergency lock-ins shall be in effect for no longer than necessary to allow staff to investigate or avoid a serious incident, conduct searches, or restore order or safety.
- (b) As soon as an emergency lock-in occurs, or is extended beyond a regularly scheduled lock-in period, the Department shall notify the Board and CHA, in writing, as to the facilities and specific housing area locations and number of people impacted. Notification may be accomplished via the Department's Incident Reporting System or similar system in place for real-time, operational reporting.
- (c) When emergency lock-ins require the cancellation or delay of visits, the Department shall notify the public on its website or by other means as to the facilities where visits are affected.
- (d) The Department shall electronically document the reason(s) for each emergency lock-in (e.g., fight, slashing, use of force, missing razor) and the objectives to be accomplished during the lock-in related to those reasons (e.g., investigate use of force, conduct searches to recover contraband).
- (e) When authorizing an extension of an emergency lock-in beyond a regularly scheduled lock-in period, the Department shall re-evaluate the stated reasons and objectives for the lock-in and shall document reasons as to why the lock-in must be continued (e.g., search still underway, not enough staff on post to lock out housing area).
- (f) In all housing areas where lock-ins have continued for more than ten (10) consecutive hours, CHA staff shall complete medical and mental health rounds.
- (g) For lock-ins continuing for twenty-four (24) hours or more, the Department shall notify the Board in writing of the steps taken to address the emergency and lift the lock-in.
- (h) The Department shall track and record the specific staff activities necessary to address emergency lock-ins and when each activity was accomplished. The Department shall further document the number and type of staff required to conduct each activity, and the number and type of staff

removed or re-deployed from other units or assignments to conduct those activities.

- (i) For the following services, the Department shall track and record whether services were impacted (i.e., cancelled, delayed, or not affected) due to an emergency lock-in and the number of housing areas and people affected:
- (1) Recreation
 - (2) Law library
 - (3) Visits
 - (4) Religious services
 - (5) Educational services
 - (6) Sick call
 - (7) Other Clinic services
 - (8) Medication/pharmacy
 - (9) Scheduled Medical and Mental Health appointments (including on- and off- Island specialty appointments)
 - (10) Medical or Mental health rounds
 - (11) Programming
- (j) If services were delayed or not affected, the Department shall track and report the time each service was afforded for each housing area impacted by the emergency lock-in.
- (k) The Department shall provide the Board with direct access to all documentation related to emergency lock-ins and lock-in extensions.
- (l) The Department and CHA shall issue a written directive to staff regarding the requirements of this section and provide the directive to the Board for its review and feedback prior to finalization. The directive shall include protocols for communication and coordination between DOC and CHA during and after emergency lock-ins. Such protocols shall be designed to facilitate the triage of necessary care by CHA and minimize disruptions to patient care and the rescheduling medical/mental health appointments.
- (m) CHA shall provide the Board with a quarterly report including, but not limited to, the following data on reported emergency lock-ins and lock-in extensions occurring during the reporting period:
- (1) Number of emergency lock-ins and lock-in extensions reported to CHA by DOC, in total and disaggregated by facility;
 - (2) Number of clinic closures during an emergency lock-in and reason for closure (e.g., clinic attending to staff injuries, no facility movement permitted), in total and disaggregated by facility;
 - (3) Number of previously scheduled appointments missed and number of previously scheduled appointments required to be rescheduled due to an emergency lock-in, in total and disaggregated by facility and service type;
 - (4) Number of non-scheduled CHA services (wound care, etc.) missed or delayed as a result of an emergency lock-in, in total and disaggregated by facility and service type;
 - (5) Number of required medical rounds missed, in total and disaggregated by facility and restrictive housing units affected (e.g., PSEG I, RHU, PSEG II, ESH, Secure, TRU, Second Chance, structurally restrictive housing, de-escalation areas);
 - (6) Number of required mental health rounds missed, in total and disaggregated by facility and restrictive housing units affected (e.g., PSEG I, RHU, PSEG II, ESH, Secure, TRU, Second Chance, structurally restrictive housing, de-escalation areas);
 - (7) Number of patients requesting sick call but not afforded sick call when requested, in total and disaggregated by facility;
 - (8) Number of patients whose medication services were missed or delayed as a result of an emergency lock-in, in total and disaggregated by facility; and
 - (9) Number of rounds conducted in housing areas with more than ten (10) hours of non-scheduled continuous lock-in, in total and disaggregated by facility.
- (n) The Board shall provide CHA with reporting templates for the report required by 40 RCNY § 6-06(m).
- (o) On at least a quarterly basis, the Department shall provide the Board all emergency lock-in and lock-in extension incident-level data tracked by the Department. The Board and the Department shall jointly develop a reporting template for transmission of this data.

Subchapter D: Disciplinary Housing

§ 6-07 Disciplinary Housing for Adults.

(a) PSEG I

(1) Exclusions

- (i) The following categories of people in custody shall be excluded from PSEG I:
- (A) People under the age of 22;
 - (B) People with a mental disorder that qualifies as a serious mental illness;
 - (C) People diagnosed with an intellectual disability;
 - (D) People with serious medical conditions;
 - (E) Pregnant persons, persons within eight (8) weeks of pregnancy outcome, or persons caring for a child in the Department nursery program;
 - (F) People ages fifty-five (55) and older;
 - (G) People found guilty of only Grade I non-violent, Grade II, and/or Grade III infractions.
- (ii) CHA shall determine if a person in custody meets one or more of the above criteria that constitute exclusion from PSEG I in 40 RCNY § 6-07(a)(1)(i) (B) through (E).
- (iii) CHA has the authority to determine if any person, after being placed in PSEG I by the Department should be removed to a therapeutic housing unit because the person meets a criterion in 40 RCNY § 6-07(a)(1)(i)(B) through (E) or the housing is medically contraindicated.
- (iv) All individuals placed in PSEG I shall be observed without interruption for their first twenty-four (24) hours in placement by individual security staff dedicated for this purpose. Such one-on-one observations shall be documented in writing.
- (v) People excluded from PSEG I at the time of an infraction due to age or health status shall not be placed in PSEG I for the same infraction at a later date, regardless of whether their age or health status has changed.

(2) Placement Criteria

Subject to the exclusions in 40 RCNY § 6-07(a)(1)(i)(A) through (G), and in accordance with the procedural due process protections specified in 40 RCNY § 6-30 and § 6-32, the Department may sentence a person in custody to PSEG I only upon a finding that the person is guilty of having committed a Grade I violent offense.

(3) Time Limitations

- (i) Except where a person in custody has committed a serious assault on staff as described in 40 RCNY § 6-07(a)(3)(viii), no person in custody may be sentenced to PSEG I for more than fifteen (15) consecutive days for any single infraction.
- (ii) Except where a person in custody is serving a PSEG I sentence for a serious assault on staff as described in 40 RCNY § 6-07(a)(3)(viii), in no event may a person be held in PSEG I longer than fifteen (15) consecutive days.
- (iii) Except where a person in custody is serving a PSEG I sentence for a serious assault on staff as described in 40 RCNY § 6-07(a)(3)(viii), and subject to the exception in 40 RCNY § 6-07(a)(3)(iv), a person who has served fifteen (15) consecutive days in PSEG I shall be released from PSEG I for at least seven (7) days before that person may returned to PSEG I.
- (iv) The Department, in highly exceptional circumstances presenting safety and security concerns, may waive the requirement that people in custody be immediately released from PSEG I for seven (7) days after they have been held in PSEG I for fifteen (15) consecutive days.
- (v) The Chief of Department shall approve such waivers ("7-day waivers") in writing. Such approval must specify:

- (A) The Chief's reason for granting or denying the request;
- (B) What other housing options were considered; and
- (C) Why each such option was not a safe option.
- (vi) Immediately after the Chief's decision is made on a 7-day waiver request, the Department shall send the Board the request and the Chief's decision.
- (vii) An incarcerated person may not be held in PSEG I for more than a total of sixty (60) days within any six (6)-month period, unless upon completion of or throughout the sixty (60)-day period, the person has continued to engage in persistent, serious acts of violence other than self-harm, such that any placement other than PSEG I would endanger other people in custody or staff.
- (A) In such instances, the Department shall not be required to release the incarcerated person from PSEG I after sixty (60) days have elapsed.
- (B) The Chief of Department must approve such extensions of PSEG I placement in writing and state the reasons why placement in a less restrictive setting has been deemed inappropriate or unavailable, and why retaining the person in custody in PSEG I is necessary to ensure the safety of staff or other people in custody.
- (C) The Department must immediately provide the Board and CHA with a copy of the Chief of Department's written approval of each such extension.
- (viii) People in custody who are sentenced to PSEG I for an assault on staff that causes staff to suffer one or more serious injuries, as listed under the Department's definition of "A" Use of Force Incidents, may receive a PSEG I sentence of up to sixty (60) days for that single infraction.
- (A) The Chief of Department or a designee must approve or disapprove in writing any PSEG I sentence for a serious assault on staff that exceeds fifteen (15) days. The written approval or disapproval shall be sent immediately to the person in custody, the Board, and CHA.
- (B) While a person in custody is serving a PSEG I sentence for a serious assault on staff that exceeds fifteen consecutive (15) days, the Department shall not be required to release the person from PSEG I after fifteen (15) consecutive days.
- (C) People serving a PSEG I sentence for a serious assault on staff that exceeds fifteen (15) consecutive days shall earn one (1) day off their sentence for every two (2) days without a violent incident.
- (ix) No person in custody shall be assigned to or held in PSEG I for any time from a separate and previous incarceration for which such person was sentenced but did not serve in PSEG I.
- (x) The Department shall provide the Board with the Department's penalty grid for PSEG I, and submit to the Board, in writing, any changes to the grid at least one (1) month before implementation of any such changes.
- (4) Out-of-Cell Time
- (i) Each person in custody confined to PSEG I as punishment for a Grade I violent offense must be allowed at least four (4) out-of-cell hours per day ("out-of-cell requirement"), unless the Chief of Department or a designee determines that doing so would cause a threat to the safety or security of the facility, or the safety, security, or health of staff, the person, or other people in custody, and that less restrictive measures would not adequately alleviate such threat.
- (ii) The Chief of Department or a designee must approve, in writing, all exceptions to the out-of-cell requirement in 40 RCNY § 6-07(a)(5)(i), and state:
- (A) The specific facts and reasons underlying the determination; and
- (B) Why less restrictive measures are not adequate to alleviate the threat.
- (iii) Any determination made pursuant to 40 RCNY § 6-07(a)(5)(i) or § 6-07(a)(5)(ii) shall be reviewed by the Chief of Department or a designee every seven (7) days to determine whether the person in custody could safely be permitted four (4) hours of daily out-of-cell time while in PSEG I. The decision and the reason supporting it shall be stated in writing and immediately sent to the person in custody, the Board, and CHA.
- (iv) For people who have been held in PSEG I longer than fifteen (15) consecutive days or more than sixty (60) days within a six (6) month period, the Department shall offer, during out-of-cell time in PSEG I, evidence-based programming aimed at addressing the root causes of the behavior that led to the person's extended stay in PSEG I.
- (b) PSEG II
- (1) Exclusions
- (i) The following categories of people in custody shall be excluded from PSEG II:
- (A) People under the age of 22;
- (B) People with a mental disorder that qualifies as a serious mental illness;
- (C) People diagnosed with an intellectual disability;
- (D) People with serious medical conditions.
- (ii) CHA shall determine if a person in custody meets one or more of the above criteria that constitute an exclusion from PSEG II in 40 RCNY § 6-07(b)(1)(i)(B) through (D).
- (iii) CHA has the authority to determine if any person, after being placed in PSEG II by the Department, should be removed to a therapeutic housing unit.
- (iv) All individuals placed in PSEG II shall be observed without interruption for their first 24 hours in the unit by individual security staff dedicated for this purpose. Such one-on-one observations shall be documented in writing.
- (v) People excluded from PSEG II at the time of infraction due to age or health status shall not be placed in PSEG II for the same infraction at a later date, regardless of whether their age or health status has changed.
- (2) Placement Criteria
- Subject to the exclusions in 40 RCNY § 6-07(b)(1)(i)(A) through (D), and in accordance with the procedural due process protections specified in 40 RCNY § 6-30 and § 6-32, the Department may sentence a person in custody to PSEG II only upon a finding that the person is guilty of having committed a Grade I non-violent offense or a Grade II offense.
- (3) Time Limitations
- (i) The Department shall provide the Board with the Department's penalty grid for PSEG II and submit to the Board, in writing, any changes to the grid one (1) month before implementation of any such changes.
- (ii) The Department shall provide the Board with the Department's penalty grid for Grade III offenses and submit to the Board, in writing, any changes to the grid one (1) month before implementation of any such changes.
- (4) Out-of-Cell Time
- People in custody confined to PSEG II as punishment for Grade I non-violent offenses or Grade II offenses must be permitted at least seven (7) out-of-cell hours per day.

(c) Fines

The Department shall not automatically assign a monetary fine to all guilty infractions. The Department shall only include a financial penalty as an option for restitution for destruction of property. Any imposition of a fine shall take into account the person's ability to pay.

§ 6-08 Data Collection and Review.

- (a) The Department shall maintain and update as necessary a list of the type and specific location of all Disciplinary Housing units. The list shall include the opening and closing dates of all such units. The Department shall provide this list to the Board on at least a monthly basis and notify the Board in writing when any new Disciplinary Housing units open, close, or change type.
- (b) The Department shall provide the Board with a quarterly report with information related to 40 RCNY § 6-07, including but not limited to the following information for each type of disciplinary housing (e.g., PSEG I, RHU, PSEG II) and CAPS:
- (1) The average daily population, the total number of people placed during the reporting period and the number of people currently housed as of the last day of the reporting period, and the number and percent of people placed by age, race, ethnicity, gender, and "M" designation status at time of placement;
 - (2) Number of cases during the reporting period with a sentence to disciplinary housing by offense type (Rule Violation Grade Level, Disciplinary Housing Type, Rule Number, Rule Description) and length of sentences; time from adjudication to placement for all placements in the reporting period.
 - (3) Number and percent of requests for a sentence exceeding fifteen (15) days for a serious assault on staff, by whether the request was approved; number of 7 Day Waivers requested during the reporting period by whether the waivers were approved; number of people with one or more prior 7-day waiver approvals; number of 60-day override requests by whether the request was approved; number of people with one or more prior 60-day override approvals; total days in PSEG I or RHU, and total consecutive days in PSEG I or RHU at time of exception approval, disaggregated by type of exception (i.e., greater than 15-day sentence for assault on staff, 7-day waiver, 60-day override); and number of requests for exemptions of out of cell time in PSEG I by whether the request was approved.
 - (4) Number of exits from disciplinary housing during the reporting period by cumulative and consecutive time in disciplinary housing and CAPS during current incarceration; number of people in disciplinary housing as of the last day of the reporting period and their cumulative and consecutive days in disciplinary housing and CAPS.
 - (5) Total number of unique individuals precluded from placement in disciplinary housing due to contraindications (e.g., serious medical illness, serious medical condition) by alternate housing placement.
 - (6) Average number of out-of-cell hours received per day; average rate of participation in daily recreation; programming and behavioral therapy offered, if any.
- (c) The Department shall provide the Board with quarterly snapshot reports for each type of disciplinary housing (e.g., PSEG I, RHU, PSEG II). The Department shall sample at least four (4) days per month as the snapshot period for the report. The reports shall include information on the following for each individual housed in the units: time spent out of cell; access to law library; access to showers; participation in recreation; and time spent participating in programming. The reports shall further contain the number, length of, and reasons for late lockouts in units reported on; updates on the status of implementing prior recommendations and corrective action(s) related to the subject of the report, if any. Information gathering to prepare this report shall not be conducted by staff regularly assigned to the facilities or units. The dates selected for report preparation shall be selected at random and shall not be previously disclosed to staff with responsibilities related to the units reported on.
- (d) The Board and the Department shall jointly develop the reporting templates for the reports required by 40 RCNY §§ 6-08(b) and (c).

- (e) The disciplinary housing reporting provisions outlined in 40 RCNY § 6-08 shall be reviewed and revised as necessary upon submission and review of the Department's disciplinary system housing plan submitted pursuant to 40 RCNY § 6-09.

§ 6-09 Disciplinary System Plan for Young Adults.

- (a) The Department shall submit to the Board a written plan for a disciplinary process for young adults in custody that is consistent with these Chapter 6 Standards on restrictive housing and the requirements of the Nunez Agreement. The Department shall not be required to submit the plan to the Board until after the Nunez Independent Monitor (or court) has approved the graduated sanctions disciplinary plan for young adults. The effective date for implementation of the disciplinary plan the Department submits to the Board shall be subject to the approval of the Nunez Independent Monitor or court. The plan shall include:
- (1) Prohibited conduct;
 - (2) The penalties that may be imposed for engaging in the prohibited conduct.
 - (3) The due process procedures that must be followed to:
 - (i) Appropriately charge a person for the infraction;
 - (ii) Determine whether the person committed an infraction;
 - (iii) Impose the appropriate sanctions; and
 - (iv) Consider appeals of sanctions by people in custody.
 - (4) A plan for communicating the rules of conduct, penalties for violating the rules, and due process procedures in a clear and understandable manner to young adults in custody and to all Department staff, including non-uniformed staff, who have routine contact with young adults in custody.
 - (5) Training curricula for uniformed and non-uniformed staff on the disciplinary process and procedures.
 - (6) The assistance the Department shall provide people in custody to understand the disciplinary process and procedures, including their rights thereunder. This shall include the procedures the Department will follow if the person in custody is non-English or limited-English proficient, illiterate, or has a disability including, for example, if the person is deaf or hard of hearing, is blind or has low vision, or has an intellectual, psychiatric, or speech disability.
 - (7) A disciplinary process that includes:
 - (i) Engaging Department staff in the plans' development;
 - (ii) Progressive sanctions;
 - (iii) Consideration of each person in custody's previous institutional conduct history, mental health, and the direct circumstances underlying the infraction charge;
 - (iv) Penalties that are proportionate to the infraction charge;
 - (v) Behavioral incentives; and
 - (vi) Principles of procedural and restorative justice.
- (b) The disciplinary housing reporting provisions outlined in 40 RCNY § 6-08 shall be reviewed and revised as necessary upon review of the disciplinary system plan for young adults.

Subchapter E: Transitional/Administrative Housing§ 6-10 Exclusions.

- (a) The following categories of people in custody shall be excluded from transitional/administrative housing units where individuals are locked in their cells for more than ten (10) hours per day:
- (1) People with a mental disorder that qualifies as a serious mental illness;
 - (2) People diagnosed with an intellectual disability;
 - (3) People with serious medical conditions.
- (b) CHA shall determine if a person in custody meets one or more of the above exclusionary criteria in 40 RCNY § 6-10(a) (1) through (3).

- (c) CHA has the authority to determine if any person, after being placed in transitional/administrative housing, should be removed to a therapeutic housing unit because they meet a criteria of 40 RCNY § 6-10(a)(1) through (3) or if the housing is medically contraindicated.
- (d) All people in custody placed in transitional/administrative housing units with more than ten (10) hours of daily lock-in shall be observed without interruption for their first twenty-four (24) hours in the unit by individual security staff dedicated for that purpose. Such one-on-one observations shall be documented in writing.

§ 6-11 Out-of-Cell Time.

- (a) Adults in custody who are confined in transitional/administrative housing must be permitted at least seven (7) out-of-cell hours per day.
- (b) Young adults in custody who are confined in transitional/administrative housing must be permitted at least ten (10) out-of-cell hours per day.

§ 6-12 Placement Criteria.

- (a) Adults in Custody
 - (1) Subject to the exclusions in 40 RCNY § 6-10(a)(1) through (3), and in accordance with the procedural due process protections specified in 40 RCNY § 6-31 and § 6-32, an adult in custody may be confined in transitional/administrative housing only upon a determination that the person presents a significant threat to the safety and security of the facility if housed elsewhere. Such a determination must be supported by a finding that one of the following has occurred:
 - (i) The adult has demonstrated active involvement as an organizer or perpetrator of violent dangerous activity;
 - (ii) The adult has committed a slashing or stabbing, has committed repeated assaults, has seriously injured another person in custody, visitor, or employee, or has rioted or actively participated in disturbances with other people in custody while in Department custody, or otherwise incarcerated;
 - (iii) The adult has been found in possession of a scalpel or a weapon that poses a level of danger similar to or greater than that of a scalpel while in Department custody or otherwise incarcerated;
 - (iv) The adult has engaged in serious or persistent violence; or
 - (v) The adult, while in Department custody or otherwise incarcerated, has engaged in repeated activity or behavior of a gravity and degree of danger similar to the acts described in 40 RCNY § 6-12(a)(1)(i) through (iv), and such activity or behavior has a direct identifiable and adverse impact on the safety and security of the facility, such as repeated acts of arson.

- (b) Young Adults with Ten (10)-Hour Daily Lockout

- (1) Subject to the exclusions in 40 RCNY § 6-10(a)(1) through (3), and in accordance with the procedural due process protections specified in 40 RCNY § 6-31 and § 6-32, a young adult in custody may be confined in transitional/administrative housing with ten (10)-hour daily lockout only upon a determination that the young adult presents a significant threat to the safety and security of the facility if housed elsewhere. Such a determination must be supported only by a finding that one of the following has occurred:
 - (i) The young adult has participated in an assault on a person with injury;
 - (ii) The young adult has assaulted a person while using a weapon;
 - (iii) The young adult has demonstrated active involvement as an organizer or perpetrator of violent dangerous activity;
 - (iv) The young adult has committed a slashing or stabbing;
 - (v) The young adult has rioted or actively participated in disturbances with other incarcerated people while in Department custody or otherwise incarcerated; or

- (vi) The young adult, while in Department custody or otherwise incarcerated, has engaged in repeated activity or behavior of a gravity and degree of danger similar to the acts described in 40 RCNY § 6-12(b)(1)(i) through (v), and such activity or behavior has a direct identifiable and adverse impact on the safety and security of the facility, such as repeated acts of arson.

- (c) Young Adults with 14-Hour Daily Lockout

- (1) Subject to the exclusions in 40 RCNY § 6-10(a)(1) through (3), and in accordance with the procedural due process protections specified in 40 RCNY § 6-31 and § 6-32, a young adult in custody may be confined in transitional/administrative housing with fourteen (14)-hour daily lockout only upon a determination that placement of the young adult in a less restrictive setting is not a safe option. Such a determination must be supported only by a finding that one of the following has occurred:
 - (i) The young adult committed an assault on staff;
 - (ii) The young adult engaged in assault or other behavior that resulted in an injury;
 - (iii) The young adult was found in possession of a weapon that has the potential to cause serious injury; or
 - (iv) The young adult engaged in persistent, negative and/or aggressive behavior while housed in a less restrictive housing setting.

- (d) The Department, when determining placement of an adult or young adult in custody into transitional/administrative housing, may consider the person's activity occurring or actions committed at a time when the person was incarcerated only if such activity or actions occurred within the preceding year.

§ 6-13 Placement Approval.

- (a) Each request for approval of a person in custody's placement into transitional/administrative housing, and each decision approving or disapproving such request must:
 - (1) Be in writing;
 - (2) Specify the reason for requesting, and approving or disapproving, a person's placement and any individual restrictions imposed on that person; and
 - (3) Specify why a less restrictive housing setting and placement without individual restrictions is not a safe option.

§ 6-14 Individual Behavior and Programming Plan.

- (a) The Department shall develop, in writing, an individualized behavior and programming plan for each person in custody who is placed in transitional/administrative housing.
 - (1) The plan shall describe the expectations and services for the person while in transitional/administrative housing to facilitate the person's reintegration into housing in the general population; and
 - (2) The plan's goals shall be tailored to the person's literacy, education level, and capacity to complete programming.
- (b) Within seven (7) days of a person in custody's placement in transitional/administrative housing, the Department must review the plan with the person.
- (c) The Department shall review and update each person's behavioral and programming plan with the person's participation at each periodic review.
- (d) The date of initial and subsequent reviews with the person and changes to the plan shall be documented in writing.

§ 6-15 Periodic Review of Placement.

- (a) The Department shall review the placement of people in custody confined in transitional/administrative housing every fifteen (15) days.
 - (1) At least twenty-four (24) hours prior to such periodic review, people in custody shall be notified of the pending review in writing and of the (i) right to submit a written statement for consideration, and (ii) right to participate in the review. People in custody who are unable to read or understand such notice shall be provided with necessary assistance.

- (2) Periodic review of a person in custody's transitional/administrative housing status shall consider the following, with conclusions recorded in a written report made available to the person within seven (7) days of the review:
- (i) The justifications for continued placement of the person in transitional/administrative housing;
 - (ii) The continued appropriateness of each individual restriction and whether any such individual restrictions should be relaxed or lifted;
 - (iii) Information regarding the person's subsequent behavior and attitude since placement in transitional/administrative housing began, including participation in and availability of programming;
 - (iv) Any written statement the person submitted for consideration or any oral statement the person made at his or her periodic review;
 - (v) Any other factors that may favor retaining the person or releasing the person from transitional/administrative housing or any other factors that may favor the lifting of individual restrictions or continuing to impose individual restrictions on the person; and
 - (vi) If the person's placement in transitional/administrative housing is to continue, any actions or behavioral changes that the person might undertake to further rehabilitative goals and facilitate the lifting of individual restrictions or release from such housing.
- (3) At each periodic review, the Department shall advance a person in custody to a less restrictive level of the person's current housing unit or to a less restrictive housing unit unless the Department determines that:
- (i) The person has engaged in disruptive, violent, or aggressive behavior while in the person's current level or housing unit; or
 - (ii) There is credible intelligence that the person may engage in violence in a less restrictive level or housing unit.
- (4) The Department shall determine whether the person shall advance to a less restrictive level of the person's current housing unit or to a less restrictive housing unit within twenty-four (24) hours of the person's periodic review. If the Department determines that a person in custody should be moved to a less restrictive level or unit, the person shall be moved to such level or unit within forty-eight (48) hours of such determination. If the person is not moved within forty-eight (48) hours of such determination, the Department shall notify the Board, in writing, within forty-eight (48) hours of its decision not to move the person. The notification shall include the reason the Department did not move the person to a less restrictive level or unit.

§ 6-16 Conditions, Programming, and Services.

- (a) To the extent the Department imposes individual restrictions on a person in custody confined in transitional/administrative housing that deviate from those imposed on people housed in the general population, such restrictions must be limited to those required to address the specific safety and security threat posed by that person.
- (b) To the extent the Department seeks to limit access to contact visits of a person in custody who is confined in transitional/administrative housing, a hearing shall be held, as required in 40 RCNY § 6-31(b), which shall address the criteria set forth in 40 RCNY § 1-09(h) with regard to both the incarcerated person and any individual visitors with whom the Department wishes to limit contact.
- (c) Law library services may be provided in transitional/administrative housing units other than in a law library. Such alternative means must ensure services are provided to people in custody who are confined in these housing units. At a minimum:
 - (1) There shall be one library coordinator assigned to every two (2) units of transitional/administrative housing at least five (5) times per week; and
 - (2) The law library coordinator will provide instruction on

available legal research tools and respond to people in custody's requests for law library services.

§ 6-17 Data Collection and Review.

- (a) The Department shall maintain and update as necessary a list of the type, level, and specific location of all Transitional/Administrative Housing units and Structurally Restrictive Housing units Subchapter F. The list shall include the opening and closing dates of all such units (and/or levels within such units). The Department shall provide this list to the Board on at least a monthly basis and notify the Board in writing when any new Transitional/Administrative or Structurally Restrictive Housing units open, close, or change type or level.
- (b) The Department shall provide the Board with monthly, quarterly reports on each type of Transitional/Administrative and Structurally Restrictive Housing. Separate reports shall be provided for the Adult and Young Adult populations. Metrics provided in reports on Transitional/Administrative Housing shall be reported in total and disaggregated by type of housing (e.g., ESH, Secure, TRU, Second Chance) and, where applicable, by placement level.
- (c) Reports shall include but not be limited to:
 - (1) The average daily population, the total number of people placed during the reporting period and the number of people housed as of the last day of the reporting period; number of placements by placement criteria, housing category prior to placement, the number and percent of unique individuals placed over the reporting period, in total and disaggregated by race, ethnicity, gender, and "M" designation, Security Risk Group, Red ID, and Enhanced Restraint status at time of placement.
 - (2) The number of periodic reviews required and conducted by outcome of review and whether the individual attended their review; number of people progressing to a less restrictive level and if progression occurred within 48 hours of recommendation and reason not progressed, number of movements to a more or less restrictive level by reason for movement if not related to a review.
 - (3) Number of exits during the reporting period by reason for exit; length of stay; housing assignment upon exit; and length of placement for people housed as of the last day of the reporting period.
 - (4) Numbers and rates of: person-in-custody on person-in-custody fights, slashings/stabbings, assaults on staff, and uses of force, compared to the comparable age group in the general population;
 - (5) The name, description, and type of staff delivering each program offered; the number of sessions of each program offered and the average number of participants per session during the reporting period;
- (d) The Department shall provide the Board with quarterly snapshot reports for Adults and Young Adults housed in Transitional/Administrative Housing and Structurally Restrictive Housing units. Information collection concerning Transitional/Administrative Housing shall be by type of Transitional/Administrative Housing (e.g., ESH, Secure, TRU, Second Chance). Each information collection concerning Structurally Restrictive Housing shall be conducted and reported by location of the Structurally Restrictive Housing unit.
 - (1) Reports shall include but not be limited to information on the following for each individual housed in units reviewed: time spent out of cell; access to law library; participation in recreation, time spent participating in programming, status of enrollment and time spent in education services; number, length of, and reasons for late lockouts in units; updates on the status of implementing recommendations and corrective action(s) related to the subject of the report, if any. Information collection for the report shall not be conducted by staff regularly assigned to the facilities or units. The dates selected for the snapshot of data shall be selected at random and shall not be previously disclosed to staff with responsibilities related to the units. The Department shall collect information on at least four (4) days per month in the reporting period.
- (e) The Board and the Department shall jointly develop the reporting templates for the public reports required by 40 RCNY § 6-17(c) and the public reports required by 40 RCNY § 6-17(d).

Subchapter F: Structurally Restrictive Housing.**§ 6-18 Exclusions.**

- (a) The following categories of people in custody shall be excluded from structurally restrictive housing units:
- (1) People with a mental disorder that qualifies as a serious mental illness;
 - (2) People diagnosed with an intellectual disability.
- (b) CHA shall determine if a person in custody meets one or more of the above exclusionary criteria in 40 RCNY §6-18(a).
- (c) CHA has the authority to determine if any person, after being placed in a structurally restrictive housing unit by the Department, should be removed to a therapeutic housing unit.
- (d) All individuals placed in structurally restrictive housing shall be observed without interruption for their first 24 hours in the unit by individual security staff dedicated for that purpose. Such one-on-one observations shall be documented in writing.

§ 6-19 Placement Criteria.

- (a) Subject to the due process procedures set forth in 40 RCNY § 6-31 and § 6-32 the Department may place a person in custody into structurally restrictive housing if:
- (1) The person, despite multiple housing transfers, continually engages in assaultive behavior toward other people in custody and, as a result, cannot be safely housed with more than one or two other people in custody; and
 - (2) The person is placed into Enhanced Restraint status.

§ 6-20 Placement Approval.

- (a) A Deputy Warden or above shall authorize the placement of people in custody into structurally restrictive housing.
- (b) Each authorization of a person in custody's placement into structurally restrictive housing must:
- (1) Be in writing;
 - (2) Specify the reason for authorizing the person's placement and any individual restrictions imposed on that person; and
 - (3) Specify why a less restrictive housing setting and placement without individual restrictions is not a safe option.
- (c) A copy of each determination made pursuant to 40 RCNY § 6-19 and § 6-20 shall be sent to the affected person in custody, the Board, and CHA within 24 hours of the determination.

§ 6-21 Individual Behavior and Programming Plan.

- (a) The Department shall develop, in writing, an individualized behavior and programming plan for each person in custody who is placed in structurally restrictive housing.
- (1) The plan shall describe the expectations and services for the person while in structurally restrictive housing to facilitate the person's reintegration into housing in the general population; and
 - (2) The plan's goals shall be tailored to the person's literacy education level, and capacity to complete programming.
- (b) Within seven (7) days of a person in custody's placement in structurally restrictive housing, the Department must review the plan with the person.
- (c) The Department shall review and update each person's behavioral and programming plan with the person's participation at each periodic review.
- (d) The date of initial and subsequent reviews with the person and changes to the person's behavioral and programming plan shall be documented in writing.

§ 6-22 Periodic Review of Placement.

- (a) A Deputy Warden or above shall review the placement of people in custody confined in structurally restrictive housing every thirty (30) days.
- (b) At least twenty-four (24) hours prior to such periodic review, people in custody shall be notified of the pending review in writing and of the (i) right to submit a written statement for consideration, and (ii) right to participate in the review. People in custody who are unable to read or understand such notice shall be provided with necessary assistance.

- (c) A Deputy Warden or above shall determine whether to continue the person's placement in structurally restrictive housing or transfer the person to other housing.
- (d) Any determination to continue the person's placement in structurally restrictive housing shall be in writing and state the specific facts and reasons underlying such determination. If the decision is to continue the person's placement in structurally restrictive housing, the determination shall also state what other housing was considered and the reasons why such housing is not a safe option.
- (e) A copy of such determination shall be sent to the affected person in custody, the Board, and CHA within 24 hours of the determination.
- (f) At each periodic review, the Department shall advance a person in custody to a less restrictive level of structurally restrictive housing or to a less restrictive housing unit unless the Department that:
- (1) The person has engaged in disruptive, violent, or aggressive behavior while in the person's current level or housing unit; or
 - (2) There is credible intelligence that the person may engage in violence in a less restrictive level or housing unit.
- (g) The Department shall determine whether the person shall advance to a less restrictive level of a structurally restrictive housing unit or to a less restrictive housing unit within twenty-four (24) hours of the person's periodic review. If the Department determines that a person in custody should be moved to a less restrictive level or unit, the person shall be moved to such level or unit within forty-eight (48) hours of such determination. If the person is not moved within forty-eight (48) hours of such determination, the Department shall notify the Board, in writing, within forty-eight (48) hours of its decision not to move the person. The notification shall include the reason the Department did not move the person to a less restrictive level or unit.

§ 6-23 Programming and Services.

Services may be provided to a person in custody who is confined in structurally restrictive housing in a manner other than required under the Minimum Standards so long as such alternative provision of services is sufficient to meet the intent of the Standards. This may include provision of mandated services in a non-congregate setting. Such alternative provision of services must be specified in writing, provided to the affected person and the Board and included in the person's behavior and programming plan.

§ 6-24 Data Collection and Review.

The data collection and review requirements in 40 RCNY § 6-17 shall apply to the Department's reporting on Structurally Restrictive Housing units.

Subchapter G: Access to Health Services.**§ 6-25 Daily Rounds.**

CHA shall provide daily medical and mental health rounds to all people in custody in all restrictive housing units. Such rounds must be documented in writing.

§ 6-26 Notification to CHA.

The Department shall immediately notify CHA of each placement of a person in custody into restrictive housing. Such notification shall be in writing.

§ 6-27 Clinical Encounters.

Clinical encounters, with the exception of daily rounds described in 40 RCNY § 6-25, shall never occur cell-side. The Department shall ensure that every person who is placed into restrictive housing is brought to the facility clinic for all scheduled appointments.

§ 6-28 Data Collection and Review.

- (a) Each time CHA determines removal of a person from restrictive housing to a therapeutic unit is appropriate, CHA shall notify the Board in writing of the circumstances related to the determination and the reason(s) for the determination (e.g., medical concern, mental health concern, disability);
- (b) CHA shall provide the Board with a monthly, public report. The report shall include but not be limited to:
- (1) Number of notifications of placement in restrictive housing received by CHA during the reporting period, in total and disaggregated by type of restrictive housing and facility;

- (2) Number of notifications of placement in de-escalation confinement received by CHA during the reporting period, in total and disaggregated by facility;
 - (3) Number and percent of medical rounds in restrictive housing and the number and percent of rounds resulting in referrals to other CHA services during the reporting period, in total and disaggregated by type of restrictive housing and facility;
 - (4) Number and percent of mental health rounds in restrictive housing and the number and percent of rounds resulting in referrals to other CHA services during the reporting period, in total and disaggregated by type of restrictive housing and facility;
 - (5) Number of CHA determinations of removal from restrictive housing to a therapeutic housing unit during the reporting period, in total and disaggregated by type of restrictive housing and facility; and
 - (6) Number and percent of scheduled services by service type and outcome for people housed in restrictive housing during the reporting period, in total and disaggregated by type of restrictive housing and facility.
- (c) CHA shall provide the Board with the data used to prepare the report required in 40 RCNY § 6-28(b).
 - (d) The Board and CHA shall jointly develop the reporting templates for the report required by 40 RCNY § 6-28(b).

Subchapter H: Procedural Justice and Due Process

§ 6-29 Purpose.

The following minimum standards in this Subchapter H are intended to ensure that people in custody are placed into restrictive housing in accordance with due process and procedural justice principles.

§ 6-30 Disciplinary Due Process.

The following minimum standards in this section apply to people in custody who are charged with violating Department disciplinary rules and may be placed in restrictive housing if they are found guilty of violating such rules.

- (a) Investigations
 - (1) When the Department conducts investigations into allegations of a person in custody's violation of Department rules, it shall do so promptly, thoroughly, and objectively.
 - (2) Department personnel conducting the investigation must be of the rank of Captain or above and must not have reported, participated in, or witnessed the conduct.
 - (3) If the rule violation in question could lead to a subsequent criminal prosecution, the Department must inform the person interviewed that while the Department's investigation is not pursuant to a criminal proceeding, statements made by the person may be used against the person in a subsequent criminal trial. The person must also be informed of the right to remain silent, and that silence will not be used against the person.
 - (4) All investigations shall be documented in written reports that include a description of the physical, testimonial, and documentary evidence as well as investigative facts and findings.
 - (5) Investigations shall commence within twenty-four (24) hours after the incident.
 - (6) The Department shall proceed with adjudication of charges against a person in custody upon a determination that there is reasonable cause to believe the person has committed the infraction charged.
- (b) Notice of Infraction
 - (1) Prior to the disciplinary hearing provided in 40 RCNY § 6-30(c), people in custody must receive written notice detailing the charges against them. The notice must be legible, detailed, and specific and must include, at a minimum, details as to the time and place of the rule violations charged, and must include a description of the person's actions and behavior that gave rise to the alleged violations.
 - (2) People in custody who are unable to read or understand the notice shall be provided with necessary assistance.

- (3) Notice of the infraction shall be served upon any person placed in pre-hearing detention within twenty-four (24) hours of such placement, absent extenuating circumstances.
- (4) Notice of the infraction shall be served upon a person not placed in pre-hearing detention as soon as practicable but in no event later than three (3) business days after the incident, absent extenuating circumstances.
- (5) Any member of DOC staff, except those who participated in the incident may serve the person charged with the notice of infraction. The person will be asked to sign the notice as proof of receipt. If the person does not sign the notice, a staff member other than the person serving the notice must note the person's refusal on the notice. Staff members who serve the notice, including staff members who note a person's refusal to sign the notice, shall indicate their name and shield number legibly on the notice.
- (6) All refusals to sign a notice of infraction shall be videotaped.
- (7) If the person is charged with a Grade I violent offense, the notice of infraction shall be transmitted, via email or fax, to the person's criminal defense counsel at the same time it is served on the person.

(c) Disciplinary Hearing

(1) Hearing Adjudicators

Infraction hearings shall be conducted by DOC staff of the rank of Captain or above. Hearing adjudicators shall not be DOC staff who initially recommended the person for adjudication or otherwise provided evidence to support the person in custody's infraction.

(2) Time of Hearing

Within three (3) business days of service of the notice of infraction on the person charged, the Department shall conduct an adjudication hearing.

(3) Due Process Violations

Prior to calling the person charged to the hearing, the Hearing Adjudicator shall review the notice of infraction to determine whether there are any due process violations that may require dismissal of the infraction.

(4) Audiotaping

All disciplinary hearings must be audiotaped.

(5) Videotaping

The refusal of a person in custody to attend his or her hearing must be videotaped and made a part of the hearing record.

(6) Rights of the Person Charged

The Hearing Adjudicator shall advise the person charged of the following rights at the hearing, which must also be set forth in the notice of infraction:

- (i) The right to appear: The person charged has the right to appear personally unless the right is waived in writing or the person refuses to attend the hearing.
- (ii) The right to make statements: The person charged has the right to make statements. In cases where the infraction in question could lead to a subsequent criminal prosecution, the Hearing Adjudicator must inform the person that while the proceeding is not a criminal one, the person's statements may be used against the person in a subsequent criminal proceeding. The Adjudicator must also inform the person of the right to remain silent, and that silence will not be used against the person at the hearing.
- (iii) The right to present evidence and call witnesses: The person charged has the right to present evidence and call witnesses.
- (iv) The right to review the Department's evidence: The person charged shall have the right to review, prior to the infraction hearing, the evidence relied upon by the Department.

(v) The right to the assistance of a hearing facilitator.
The person charged shall be entitled to the assistance of a hearing facilitator if:

- (A) The person is non-English or limited-English proficient;
- (B) The person is illiterate;
- (C) The person is blind or deaf, low vision, or hard of hearing;
- (D) The person has otherwise been unable to obtain witnesses or material evidence.

(vi) The hearing facilitator shall assist a person charged by:

- (A) Clarifying the charges;
- (B) Explaining the hearing process;
- (C) Interviewing witnesses;
- (D) Obtaining evidence and/or written statements;
- (E) Providing assistance at the hearing;
- (F) Providing assistance understanding the waiver of any rights afforded under this section;
- (G) Providing assistance in filing an appeal as provided in 40 RCNY § 6-32 of this Chapter.

(vii) The Hearing Adjudicator may adjourn the hearing for the person charged to receive the assistance of a hearing facilitator. If the person requests the assistance of a hearing facilitator and that request is denied by the Adjudicator, the Adjudicator shall state the reasons for denying the request in the hearing record.

(viii) The right to an interpreter. In addition to a hearing facilitator, a person has the right to an interpreter in the person's native language if the person does not understand or is not able to communicate in English well enough to conduct the hearing in English.

(ix) The right to an appeal. A person who is found guilty at a disciplinary hearing has the right to appeal an adverse decision as provided in 40 RCNY § 6-32 of this Chapter.

(7) Burden of Proof

The Department has the burden of proof in all disciplinary proceedings. A person's guilt must be shown by a preponderance of the evidence to justify restrictive housing placement.

(8) Hearing Time Frame

- (i) Once the hearing has begun, the Hearing Adjudicator shall make reasonable efforts to conclude the hearing in one session.
- (ii) Adjournments may be granted if the person charged requests additional time to locate witnesses, obtain the assistance of a hearing facilitator, or prepare a defense.
- (iii) Hearing Adjudicators may also adjourn a hearing to question additional witnesses not available at the time of the hearing, gather further information, refer the person charged to mental health staff, or if issues are raised that require further investigation or clarification to reach a decision.
- (iv) Notwithstanding any adjournments, hearings must be completed within five (5) days, absent extenuating circumstances or unless the person charged waives this time frame in writing.

(d) Determination

- (1) Absent extenuating circumstances, the person charged shall be served with a copy of the determination within two (2) business days of the conclusion of the disciplinary hearing.
- (2) The determination shall be in writing, legible, and contain the following:
 - (i) A finding of "guilty," "not guilty," or "dismissed" on each charge in the infraction;

(ii) The evidence relied upon by the Hearing Adjudicator in reaching such finding;

(iii) The sanction imposed, if any;

(3) A summary of each witness's testimony, including whether the testimony was credited or rejected, with a statement of the reasons therefor.

(4) Records generated pursuant to a disciplinary hearing in which a person is found not guilty of the charges, after either the disciplinary hearing or appeal, shall be kept confidential and shall not be considered in making decisions pertaining to the person's access to programs, services, or in the granting of or withholding of good time for sentenced people.

(e) Disciplinary Sanctions

- (1) Hearing adjudicators shall impose sanctions that are proportionate to the infraction of which a person was found guilty and fair in light of comparable penalties given other people for the same or similar misconduct.
- (2) People in custody must commence serving their sentence in punitive segregation within thirty (30) days of adjudication of guilt. If the Department does not place a person into punitive segregation within this thirty (30)-day period, the Department may not place the person in punitive segregation to serve that sentence at a later time.

§ 6-31 Placement Due Process.

The following minimum standards in this section shall apply to people who are placed in transitional/administrative housing, structurally restrictive housing, and any other restrictive housing for non-disciplinary reasons (collectively, "restrictive housing").

(a) Notice of Initial Placement

- (1) Notice of a person in custody's initial placement into restrictive housing shall be provided to the person within twenty-four (24) hours of such placement.
- (2) Such notice shall be in writing and legible, and shall, at a minimum:
 - (i) State the grounds relied on and the facts that support the person's placement;
 - (ii) Inform the person of the individual restrictions the Department intends to impose during the placement;
 - (iii) Notify the person of the upcoming placement review hearing; and
 - (iv) Inform the person of the person's right to:
 - (A) Review prior to the placement review hearing, the evidence relied upon by the Department;
 - (B) To appear at the hearing in person;
 - (C) To submit a written statement for consideration;
 - (D) To call witnesses; and
 - (E) To present evidence.
- (v) People who are unable to read or understand such notice shall be provided with assistance.
- (vi) Any member of DOC staff, except those who participated in the recommendation or decision to place the person in restrictive housing, may serve the person with the notice of initial placement. The person will be asked to sign the notice as proof of receipt. If the person does not sign the notice, a staff member other than the person serving the notice must note the person's refusal on the notice. Staff members who serve the notice, including staff members who note the person's refusal to sign the notice, shall indicate their name and shield number legibly on the notice.

(b) Placement Review Hearing

(1) Hearing Adjudicators

Hearing Adjudicators shall be of the rank of Captain or above and shall not be Department staff who participated in the recommendation or decision to initially place the person in restrictive housing or to impose individual restrictions on the person.

(2) Time of Hearing

Within three (3) business days of service of the notice of initial placement and related restrictions on a person in custody, the Department shall conduct a placement review hearing to adjudicate the person's placement and the individual restrictions proposed.

(3) Audiotaping

All placement review hearings must be audiotaped.

(4) Rights of the Person in Custody

The Hearing Adjudicator shall advise the person in custody of the following rights at the hearing:

(i) The right to appear: The person has the right to appear personally unless the right is waived in writing or the person refuses to attend the hearing.

(ii) The right to submit a written statement: The person has the right to submit a written statement. In cases where the grounds relied upon for, or the facts in support of, the placement in question could lead to a subsequent criminal prosecution, the Hearing Adjudicator must inform the person, prior to the person's submission of a written statement, that while the proceeding is not a criminal one, the person's written statement may be used against the person in a subsequent criminal proceeding. The Adjudicator must also inform the person of the right to remain silent, and that silence will not be used against the person at the hearing.

(iii) The right to present evidence and call witnesses: The person charged has the right to present evidence and call witnesses.

(iv) The right to review the Department's evidence: The person in custody shall have the right to review, prior to the placement review hearing, the evidence relied upon by the Department.

(v) The right to the assistance of a hearing facilitator: The person in custody shall be entitled to the assistance of a hearing facilitator if:

- (A) The person is non-English or limited-English proficient;
- (B) The person is illiterate;
- (C) The person is blind or deaf, low vision, or hard of hearing;
- (D) The person has otherwise been unable to obtain witnesses or material evidence.

(vi) The hearing facilitator shall assist a person in custody by:

- (A) Clarifying the basis for the placement determination;
- (B) Explaining the hearing process;
- (C) Interviewing witnesses;
- (D) Obtaining evidence and/or written statements;
- (E) Providing assistance at the hearing;
- (F) Providing assistance understanding the waiver of any rights afforded under this section;
- (G) Providing assistance in filing an appeal as provided in 40 RCNY § 6-32 of this Chapter.

(vii) The right to an interpreter: In addition to a hearing facilitator, a person in custody has the right to an interpreter in the person's native language if the person does not understand or is not able to communicate in English well enough to conduct the hearing in English.

(viii) The right to an appeal: A person in custody whose placement in restrictive housing is upheld at a hearing has the right to appeal that decision, as set forth in 40 RCNY § 6-32.

(5) The placement review hearing shall consist of the following:

(i) A review of the facts upon which the Department relies to support the placement and any individual restrictions;

(ii) A determination of whether such facts exist and whether they support, by a preponderance of the evidence, the conclusion that:

(A) The person presents a current significant threat to the safety and security of the facility such that the restrictive housing placement is appropriate; and

(B) The placement is the least restrictive option necessary for the safety of others.

(iii) Consideration of the time that has elapsed since the occurrence of the activity or behavior relied on by the Department to support the placement;

(iv) A review of the individual restrictions proposed by the Department and a determination whether each such restriction is supported by evidence of the legitimate safety and security concerns related to the individual;

(v) Consideration of any relevant evidence submitted or statements made by the person at the hearing;

(vi) Consideration of any other evidence deemed relevant to the placement determination or imposition of individual restrictions

(6) The person in custody shall be permitted to appear at the hearing in person, submit a written statement, call witnesses, and present evidence.

(7) Hearing Time Frame

(i) Once the hearing has begun, the Hearing Adjudicator shall make reasonable efforts to conclude the hearing in one session.

(ii) Notwithstanding any adjournments, hearings must be completed within five (5) days, absent extenuating circumstances or unless the person in custody waives this time frame, in writing. The Hearing Adjudicator shall document the reasons for all adjournments in the notice of hearing determination.

(c) Determination

(1) Absent extenuating circumstances, a determination shall be served on the person in custody within two (2) business days of conclusion of the placement review hearing.

(2) The decision whether to continue the person's placement in restrictive housing and the individual restrictions must be based upon a preponderance of the evidence submitted, including any evidence submitted by the person in custody.

(3) A copy of the Hearing Adjudicator's determination outlining the bases for such determination, including the basis for each restriction, must be served upon the person within two (2) business days of the conclusion of the hearing.

(4) If it is determined that the placement and each related restriction is supported by a preponderance of the evidence, the placement and each supported restriction may be continued. If it is determined that the placement or imposition of any individual restrictions is unsupported by a preponderance of the evidence, the placement status or unsupported individual restrictions shall be terminated immediately.

§ 6-32 Appeals.

(a) A person who is found guilty at a disciplinary hearing or whose initial placement in restrictive housing is upheld at a placement review hearing has the right to appeal such determinations. The appeal shall be in writing, shall be based on facts already in the record, and shall clearly set forth the basis for the appeal, except the person may raise any newly discovered evidence in the appeal.

(b) People in custody shall have three (3) business days from receipt of a guilty determination to file an appeal, and the Department shall render a decision within two (2) business days of receipt of the appeal.

(c) Individuals in restrictive housing shall have fifteen (15) business days to file an appeal of an adverse determination, and the Department shall render a decision within five (5) business days of receipt of the appeal.

- (d) The Department shall provide prompt and adequate access to people in custody to file an appeal.
- (e) A person may appeal based on the belief that there was a due process violation, insufficient evidence to support a guilty finding or initial placement finding, or because the Hearing Adjudicator was biased.
- (f) The decision on appeal shall be in writing, legible, and state the reasons for granting or denying the appeal. People who are unable to read or understand the decision shall be provided with necessary assistance.
- (g) Appeals shall be determined by DOC staff of the rank of Captain or above. Department staff who decide appeals shall not be:
 - (1) Staff who reported, witnessed, or investigated the incident underlying a guilty determination;
 - (2) Staff who recommended the person's initial placement in restrictive housing other than disciplinary housing;
 - (3) Staff who recommended that individual restrictions be imposed on the person;
 - (4) Staff who presided as the Hearing Adjudicator at the person's disciplinary hearing or placement review hearing.

§ 6-33 Data Collection and Review.

- (a) The Department shall provide the Board with a semi-annual report on Disciplinary Due Process for the Adult and Young Adult population, including but not limited to information on:
 - (1) Notices of Infraction, including the number and percent of Infraction Notices, by Grade of Top Infraction Charge (e.g., Grade I violent, Grade I non-violent, Grade II, Grade III), by whether the person charged signed or refused to sign the Infraction Notice and whether refusal was documented on video; by whether the notice was sent to Defense Counsel of the person charged with a Grade I violent charge; and by whether the person charged requested assistance in reading or understanding their Infraction Notice and whether they were provided such assistance.
 - (2) Hearings and Hearing Determinations, including the number and percent of infractions served, by Top Infraction Charge (i.e., Grade I violent, Grade I non-violent, Grade II, Grade III) by whether a hearing occurred, and by Hearing outcome (Guilty, Not Guilty, Dismissed, e.g. due process violation).
 - (3) Rights of People Charged, including the number and percent of hearings by Top Infraction Charge Grade (i.e., Grade I violent, Grade I non-violent, Grade II, Grade III), by whether the person charged refused to attend their hearing and whether the refusal is documented on video; and by whether the person charged requested a hearing facilitator or interpreter and whether such request was granted.
 - (4) Disciplinary Sanctions, including the number and percent of guilty determinations by Top Infraction Charge Grade (i.e., Grade I violent, Grade I non-violent, Grade II), by whether the individual was placed in Disciplinary Housing, and by the reasons not placed (e.g., discharged from custody, excluded due to health contraindication, or placement did not occur within 30 days of adjudication).
 - (5) Appeals, including the number and percent of guilty determinations appealed by Top Infraction Charge Grade (i.e., Grade I violent, Grade I non-violent, Grade II, Grade III) and by outcome of appeal (e.g., determination upheld, determination reversed, remanded to redraw charges to address due process violation, dismissed due to discharge from custody);
- (b) The Department shall provide the Board with semiannual reports on the due process afforded to people placed in Transitional/Administrative Housing (e.g., ESH, Secure, TRU, Second Chance) and Structurally Restrictive Housing ("SR") for the Adult and Young Adult population, including but not be limited to information regarding:
 - (1) Notice of Placement, including time from reason justifying placement to placement date, by type of restrictive housing (e.g., ESH, Secure, TRU, Second Chance, SR);

- (2) Rights of the Person in Custody, including the number and percent of hearings by type of restrictive housing (e.g., ESH, Secure, TRU, Second Chance, SR), and by whether placed person placed attended or refused to attend the hearing; and by whether the person requested a hearing facilitator or interpreter and by whether such request was granted.
 - (3) Placement Determinations, including the number and percent of placement hearing by type of restrictive housing (e.g., ESH, Secure, TRU, Second Chance, SR) and by outcome of hearing.
 - (4) Appeals, including the number and percent of placement determinations appealed, in total and disaggregated by type of restrictive housing (e.g., ESH, Secure, TRU, Second Chance, SR) and by outcome of appeal.
- (c) The Board and the Department shall jointly develop the reporting templates for the public reports required by 40 RCNY § 6-33(a) and (b).

Subchapter I: Staffing and Training

§ 6-34 Staffing.

- (a) Steady Posts
 - (1) At least fifty (50) percent of security staff assigned to a restrictive housing unit shall be assigned to steady posts within a specific unit of housing to the extent feasible given leave schedules and personnel changes.
 - (2) The Department shall retain records sufficient to show accurate, uniform data on the security staff transferring in and out of restrictive housing units and the years of experience and training of security staff assigned to and working in these units. The Department shall semi-annually report this information, in writing, to the Board.
- (b) Staffing Plans
 - (1) The Department shall provide the Board with the Department's staffing plans developed for each type of restrictive housing and regularly update the Board on any material changes to such plans.

§ 6-35 Training.

- (a) Security staff assigned to restrictive housing units shall receive forty (40) hours of special training designed to address the unique characteristics of these units and the people in custody who are housed in these units. Such training shall include, but not be limited to recognition and understanding of mental illness and distress, effective communication skills, and conflict de-escalation techniques.
- (b) The Department shall provide hearing adjudicators and other staff involved in sentencing and placement decisions training on procedural and restorative justice principles and written policies to guide sentencing and placement decisions.
- (c) On at least an annual basis, the Department shall provide the Board with information related to the training to be provided in accordance with 40 RCNY § 6-35 including, but not limited to the length of each type of training required by the Department, training schedules, and curricula.

Subchapter J: Restraints and Canines

§ 6-36 Restraints.

- (a) Nothing in this section shall prohibit:
 - (1) The use of restraints that are reasonable and necessary based on the totality of the circumstances to perform a lawful task, effect an arrest, overcome resistance, prevent escape, control a person in custody, or protect staff, other people in custody, and others from injury;
 - (2) The immediate use of restraints to prevent a person in custody from self-harm or harming others or causing serious property damage;
 - (3) The routine use of restraints for movement, escort, and transportation purposes.
- (b) Restraints shall be imposed only when no lesser form of control would be effective in addressing the risks posed by unrestricted movement.
- (c) The method of restraint shall be the least intrusive method necessary and reasonably available to control a person in

custody's movement based on the level and nature of the risks imposed.

- (d) Restraints shall be imposed only for the time required, and shall be removed as soon as possible after the risks posed by unrestricted movement are no longer present.
- (e) As of March 1, 2022, the Department shall eliminate non-individualized use of restraint desks or other restraints during lockout in all facility housing units. Non-individualized use means placing any person or group of people in a restraint desk or other restraint as a condition of lockout, or solely based on their transfer to a restrictive housing unit.
- (f) From the effective date of this rule until February 28, 2022, the Department shall not subject any person or group of people to the use of restraint desks or other forms of restraint during lockout periods, unless the person has recently participated in an actual or attempted slashing or stabbing, or engaged in activity that caused serious injury to a staff member or another person (e.g., those housed in the enhanced supervision housing unit). The use of a restraint desk or other restraint must be the least restrictive option necessary for the safety of others.
- (g) From the effective date of this rule to February 28, 2022, at which point the non-individualized use of restraint desks or other restraints shall cease, the Department shall review the placement of people in custody in non-individualized restraint during lockout every seven (7) days.
- (h) At least twenty-four (24) hours prior to such periodic review, people in custody shall be notified of the pending review in writing and of the (i) right to submit a written statement for consideration, and (ii) right to participate in the review. People in custody who are unable to read or understand such notice shall be provided with necessary assistance.
- (i) Periodic review of a person's placement in non-individualized restraint during lockout shall consider the following, with conclusions recorded in a written report made available to the person within two (2) days of the review:
- (1) The justifications for continued placement of the person non-individualized restraint during lockout;
 - (2) The continued appropriateness of the person in a restraint desk or other form of non-individualized restraint during lockout;
 - (3) Information regarding the person's subsequent behavior and attitude since placement of the person in non-individualized restraint during lockout;
 - (4) Any written statement the person submitted for consideration or any oral statement the person made at his or her periodic review;
 - (5) Any other factors that may favor retaining the person or removing the person from non-individualized restraint during lockout; and
 - (6) If the person's placement in non-individualized restraint during lockout is to continue, any actions or behavioral changes that the person might undertake to further rehabilitative goals and facilitate the lifting of the placement in non-individualized restraint during lockout.
- (j) At each periodic review, a person in custody shall advance out of the non-individualized use of restraints during lockout unless:
- a. The person has engaged in disruptive, violent, or aggressive behavior in the previous seven (7) days; or
 - b. There is credible intelligence that the person may engage in violence in a less restrictive level or housing unit.
- (k) The Department shall determine whether the person shall advance out of restraint desks or other form of non-individualized restraint within twenty-four (24) hours of the person's periodic review. If the Department determines that a person in custody should be moved out of restraint desks or other form of non-individualized restraint during lockout, the person shall be moved out of restraint desks or other form of non-individualized restraint during lockout within forty-eight (48) hours of such determination. If the person is not moved out of restraint desks or other form of non-individualized

restraint during lockout within forty-eight (48) hours, the Department shall notify the Board, in writing, within forty-eight (48) hours of its decision. The notification shall include the reason the Department did not move the person out of restraint desk or other form of non-individualized restraint.

- (l) Restraints shall never be:
- (1) Applied as punishment or retaliation;
 - (2) Applied to the head or neck or in a manner that may restrict blood circulation or breathing;
 - (3) Used to pull or lead a person in custody;
 - (4) Used to cause unnecessary physical pain or discomfort;
 - (5) Used inside of a cell unless the cell is being used to hold more than one person in custody and restraints are the only way to ensure the safety of those held in the cell.
- (m) CHA shall notify the Department in writing of people in custody who have functional needs or impairments that contraindicate the imposition of one or more permitted restraints. The Department shall consider this information before such individuals are escorted in restraints, transported in restraints, or otherwise subject to restraints.
- (n) Limitations on the use of restraints with respect to people in custody who are in labor, admitted to a hospital, institution, or clinic for delivery, or recovering after giving birth shall be governed by NY Correction Law § 611.
- (o) Unless there are articulable and reasonable safety or security risks that dictate otherwise, security staff shall not use handcuffs or leg restraints on people who are paraplegic, quadriplegic, or near death.
- (p) A person in a wheelchair or a visually impaired person may be handcuffed only in front.
- (q) People who are deaf, hearing impaired, or have impaired speech and communicate with hand gestures may be restrained under controlled conditions, and when it is determined safe to do so, in a manner that allows for communication without jeopardizing safety.
- (r) Four- and five-point restraints shall not be used other than pursuant to 40 RCNY § 2-06.
- (s) The Department shall provide the Board with a semiannual report on the Department's use of restrictive statuses. The report shall include but not be limited to the following information for each restrictive status (i.e., Enhanced Restraint, Red ID, CMC):
- (1) Number and percent of recommendations for placement in the restrictive status by age, race, ethnicity, gender, and "M" designation status of the person for which the restrictive status was recommended;
 - (2) Number and percent of people excluded from placement in such status due to a medical or mental health contraindication;
 - (3) Number of unique individuals placed in the restrictive status during the reporting period and the number people currently classified in the restrictive status as of the last date of the reporting period;
 - (4) Number and percent of periodic reviews conducted by outcome of review (i.e., continued or removed);
 - (5) Number and percent of appeals of placement into restrictive statuses by outcome of appeal;
- (t) The Board and the Department shall jointly develop reporting templates for the report required by 40 RCNY § 6-36(s).

§ 6-37 Canines.

- (a) The Department may use canines inside the secure perimeter of a facility only for searches.
- (b) Canines may never be used to extract people in custody from their cells or otherwise as a use of force.
- (c) Canines may never be used to harass, threaten or otherwise control people in custody.

Subchapter K: Implementation of Restrictive Housing

§ 6-38 Existing Restrictive Housing.

All DOC and CHA policies developed or updated to facilitate compliance with these Chapter 6 Standards shall be shared with the

Board for its review and feedback prior to finalization.

§ 6-39 New Restrictive Housing.

- (a) At least two (2) months prior to the Department's implementation of new restrictive housing, the Department shall provide the Board with a written, comprehensive implementation plan that shall include:
 - (1) A detailed description of such housing, including the housing's purpose and goals, placement criteria, restrictions, physical structure, staffing plans, and anticipated programmatic components;
 - (2) Written policies to be developed to implement the new housing;
 - (3) Reporting templates and steps taken to ensure compliance with the Board's existing restrictive housing reporting requirements;
 - (4) Prescribed date for submission of documents, information, and reporting templates referenced in the implementation plan to the Board;
 - (5) Prescribed time for the Board to review the written policies, and reporting templates related to the plan;
 - (6) Post-implementation impact and evaluation report;
- (b) The Department shall not implement any new restrictive housing until the Board has had an opportunity to review the plan for such housing and discuss it with the Department.
- (c) At least one (1) month prior to implementation, the Department shall provide the Board with any new or updated written policies and reporting templates associated with implementation of new restrictive housing for the Board's review and feedback.

Subchapter L: Variances

§ 6-40 Variances.

The Department or CHA may apply for a variance from a specific subdivision or section of these Chapter 6 rules in accordance with the procedures and criteria set forth in 40 RCNY § 1-15.

Effective Date.

The standards in section 1 of this Rule shall take effect February 24, 2020.

Implementation Dates.

The policies, procedures, criteria, programs, plans, reports and forms required by the various sections of these rules shall be developed, approved and implemented by the dates specified or within the time periods stated below. Unless otherwise stated below, all time periods are computed from the effective date of these rules.

SECTION	IMPLEMENTATION
§ 6-04: Pre-Hearing Detention (e) (Semiannual report on Prehearing Detention)	Within 6 months of Effective Date
§ 6-05: De-escalation Confinement (f) (time in de-escalation (4 hours), re-authorization, notice to the Board if confinement exceeds 12 hours) (g) (visual and aural observation of people in de-escalation confinement every 30 minutes) (n) (Quarterly report on De-escalation)	Within 9 months of Effective Date Within 3 months of Effective Date Within 1 year of Effective Date
§ 6-06: Emergency Lock-Ins (d) (documentation of reasons for and objectives to be accomplished during emergency lock-ins) (f) (CHS medical and mental health rounding in housing areas where emergency lock-ins have been in effect for more than 10 hours)	Within 1 year of Effective Date Within 9 months of Effective Date

SECTION	IMPLEMENTATION
(h) (tracking re staff necessary to effectuate lock-ins and staff activities accomplished during lock-ins) (i) and (j) (tracking of services impacted by emergency lock-ins) (m) (DOC an CHS Directives regarding compliance with the requirements of this Subchapter) (e) (CHS Quarterly report re: emergency lock-ins) (q) (DOC data reporting on Emergency lock-ins)	Within 1 year of Effective Date
§6-07: Disciplinary Housing for Adults (a)(1)(iv) (one-on-one observation during first 24 hours of placement in PSEG I) (a)(3)(i) and (a)(3)(ii) (limit of 15 consecutive day sentence for single infraction and time in PSEG I) (a)(3)(viii) (limit of 60 days for assault of staff infractions, with ability to earn reduction of sentence—one day off sentence for every two without a violent incident) (a)(4) (elimination of automatic fine for guilty infractions). (a)(5)(iv) (programming aimed at addressing the root causes of behavior for people who have been held in PSEG 1 longer than 15 consecutive days or more than 60 days within a six-month period) (b)(1)(iv) (one-on-one observation during first 24 hours of placement in PSEG II)	Within 9 months of Effective Date Within 6 months of Effective Date Within 9 months of Effective Date
§ 6-08: Data Collection and Review re Disciplinary Housing for Adults (b) and (c) (Quarterly data reports)	Within 1 year of Effective Date, with § 1-17 (h) (Reports on Punitive Segregation) remaining in effect until 1 year from the Effective Date
§ 6-09: Disciplinary System Plan for Young Adults	Pending Nunez Monitor approval
§ 6-10: Exclusions from Transitional/ Administrative ("T/A") Housing (d) (one-on-one observation during first 24 hours of placement in "T/A" Housing)	Within 9 months of Effective Date
§ 6-11: Out-of-Cell Time in T/A Housing (b) (at least 10 hours out of cell time for Young Adults in T/A Housing)	Within 9 months of Effective Date
§ 6-14: Individual Behavior and Programming Plans re T/A Housing	Within 1 year of Effective Date
§ 6-15: Periodic Review of Placement re T/A Housing (a) (placement reviews every 15 days, advancement to a less restrictive housing unit unless the factors enumerated in 40 RCNY § 6-15(a)(3)(i) an (ii) militate otherwise, movement to less restrictive unit within 48 hours, and Board notification if not moved)	As of Effective Date for Young Adults in ESH and Secure, and within 1 year of Effective Date for all other T/A Housing Units. § 1-16(h) (45-Day Periodic Reviews of Placement) shall remain in effect for adults in ESH until 1 year from Effective Date
§ 6-17: Data Collection and Review re T/A Housing and Structurally Restrictive Housing (c) (Quarterly reports) (d) (Quarterly reports)	As of Effective Date for YAs in ESH and Secure and Within 1 year of effective date for adults in ESH and for Young Adults in all other forms of T/A Housing.

SECTION	IMPLEMENTATION
	<u>§ 1-16(i)(1) (Board Review of ESH Implementation. 60-Day reports) shall remain in effect for the adult population until 1 year from the effective date of the new rule.</u>
§ 6-19: Placement criteria for Structurally Restrictive Housing	<u>Within 9 months of Effective Date</u>
§ 6-20: Placement approval re Structurally Restrictive Housing (a), (b), (c) (authorization, determinations, copy to person in custody)	<u>Within 9 months of Effective Date</u>
§ 6-21: Individual Behavior and Programming Plans for People in Structurally Restrictive Housing	<u>Within one year of Effective Date</u>
§ 6-22: Periodic Review of Placement in Structurally Restrictive Housing	<u>Within 9 months of Effective Date</u>
§ 6-24: Data Collection and Review re Structurally Restrictive Housing (requirements in 40 RCNY § 6-17 shall apply to the Department's reporting on Structurally Restrictive Housing units)	<u>Within 1 year of Effective Date</u>
§ 6-26 Notification to CHA of Placement into Restrictive Housing	<u>Within 3 months of Effective Date</u>
§ 6-28 Data Collection and Review re Access to Health Services (b) (CHA monthly report and data)	<u>Within 6 months of Effective Date</u>
§ 6-30 Disciplinary Due Process (b)(6) (videotaping of refusals to sign notice of infraction) (c)(5) (videotaping of refusal to attend hearing) (e)(2) (serving of sentence to punitive segregation within 15 days of adjudication)	<u>Within 1 year of Effective Date</u> <u>Within 6 months of the Effective Date</u>
§ 6-31: Placement Due Process	<u>As of Effective date for ESH and Secure Housing</u> <u>Within 1 year of Effective date for extended to TRU, Second Chance, and Structurally Restrictive Housing</u>
§ 6-32: Appeals re Disciplinary and T/A Housing	<u>As of Effective Date for ESH and Secure Housing and</u> <u>Within 1 year of implementation for TRU, Second Chance, and Structurally Restrictive Housing.</u>
§ 6-33: Data Collection and Review for Disciplinary and Placement Due Process (a) and (b) (Semiannual reports)	<u>Within 1 year of Effective Date</u>
§ 6-34: Staffing (a)(1) (at least 50 percent of security staff in restrictive housing assigned to steady posts to the extent feasible given leave schedules and personnel changes) (a)(2) (Semiannual report on staffing in restrictive housing) (b)(1) (15:2 staffing ratios in restrictive housing)	<u>Within 1 year of Effective Date</u> <u>Within 6 months of Effective Date</u> <u>Within 1 year of Effective Date</u>

SECTION	IMPLEMENTATION
§ 6-35: Training (b) (training for hearing adjudicators and staff involved in sentencing and placement decisions)	<u>Within 1 year of Effective Date</u> -
§ 6-36: Restraints (e) (elimination of non-individualized use of restraints during lockout in all housing areas) (s) (Semiannual public report)	<u>By February 28, 2022</u> <u>Within 1 year of Effective Date</u>

NEW YORK CITY MAYOR'S OFFICE OF OPERATIONS
253 BROADWAY, 10th FLOOR
NEW YORK, NY 10007
212-788-1400

CERTIFICATION/ANALYSIS
PURSUANT TO CHARTER SECTION 1043(d)

RULE TITLE: Rulemaking Concerning Restrictive Housing in Correctional Facilities

REFERENCE NUMBER: BOC-5

RULEMAKING AGENCY: Board of Correction

I certify that this office has analyzed the proposed rule referenced above as required by Section 1043(d) of the New York City Charter, and that the proposed rule referenced above:

- (i) Is understandable and written in plain language for the discrete regulated community or communities;
- (ii) Minimizes compliance costs for the discrete regulated community or communities consistent with achieving the stated purpose of the rule; and
- (iii) Does not provide a cure period because it does not establish a violation, modification of a violation, or modification of the penalties associated with a violation.

/s/ Aaron Friedman
Mayor's Office of Operations

October 28, 2019
Date

NEW YORK CITY LAW DEPARTMENT
DIVISION OF LEGAL COUNSEL
100 CHURCH STREET
NEW YORK, NY 10007
212-356-4028

CERTIFICATION PURSUANT TO
CHARTER §1043(d)

RULE TITLE: Amendment of Minimum Standards Concerning Restrictive Housing

REFERENCE NUMBER: 2019 RG 087

RULEMAKING AGENCY: Board of Correction

I certify that this office has reviewed the above-referenced proposed rule as required by section 1043(d) of the New York City Charter, and that the above-referenced proposed rule:

- (i) is drafted so as to accomplish the purpose of the authorizing provisions of law;
- (ii) is not in conflict with other applicable rules;
- (iii) to the extent practicable and appropriate, is narrowly drawn to achieve its stated purpose; and
- (iv) to the extent practicable and appropriate, contains a statement of basis and purpose that provides a clear explanation of the rule and the requirements imposed by the rule.

/s/ STEVEN GOULDEN
Acting Corporation Counsel

Date: October 28, 2019

PARKS AND RECREATION

REVENUE

SOLICITATION

Services (other than human services)

REQUEST FOR PROPOSALS FOR THE RENOVATION, OPERATION, AND MAINTENANCE OF THREE (3) OUTDOOR CAFES, ONE (1) BEACH SHOP AND THE OPERATION OF UP TO TWENTY (20) SATELLITE UNITS ALONG ROCKAWAY BEACH AND BOARDWALK - Competitive Sealed Proposals - Judgment required in evaluating proposals - PIN# Q163-SB-2019 - Due 12-13-19 at 2:00 P.M.

In accordance with Section 1-13 of the Rules of the Franchise and Concession Review Committee ("FCRC"), the New York City Department of Parks and Recreation ("Parks") is issuing, as of the date of this notice, a Request for Proposals (RFP) for the renovation, operation and maintenance of three (3) outdoor cafes, one (1) beach shop and the operation of up to twenty (20) satellite units along Rockaway Beach and Boardwalk, Queens.

All proposals submitted in response to this RFP, must be submitted no later than Friday, December 13, 2019, at 2:00 P.M. There will be a recommended proposer meeting and site tour on Wednesday, November 20, 2019, at 12:00 P.M. We will be meeting in front of the Beach 86th concession building and proceed to tour the outdoor cafes/beach shop, located at 97th and 106th Streets. If you are considering responding to

this RFP, please make every effort to attend this recommended meeting and site tour.

Hard copies of the RFP can be obtained, at no cost, commencing on Friday, November 1, 2019, through Friday, December 13, 2019, between the hours of 9:00 A.M. and 5:00 P.M., excluding weekends and holidays, at the Revenue Division of the New York City Department of Parks and Recreation, which is located at 830 Fifth Avenue, Room 407, New York, NY 10065.

The RFP is also available for download, commencing on Friday, November 1, 2019 through Friday, December 13, 2019 on Parks' website. To download the RFP, visit http://www.nyc.gov/parks/ businessopportunities, and click on the "Concessions Opportunities at Parks" link. Once you have logged in, click on the "download" link that appears adjacent to the RFP's description.

For more information or to request to receive a copy of the RFP by mail, prospective proposers may contact the Revenue Division's Senior Project Manager, Sophia Filippone, at (212) 360-3490 or at Sophia.Filippone@parks.nyc.gov.

TELECOMMUNICATION DEVICE FOR THE DEAF (TDD) (212) 504-4115.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids, at date and time specified above.

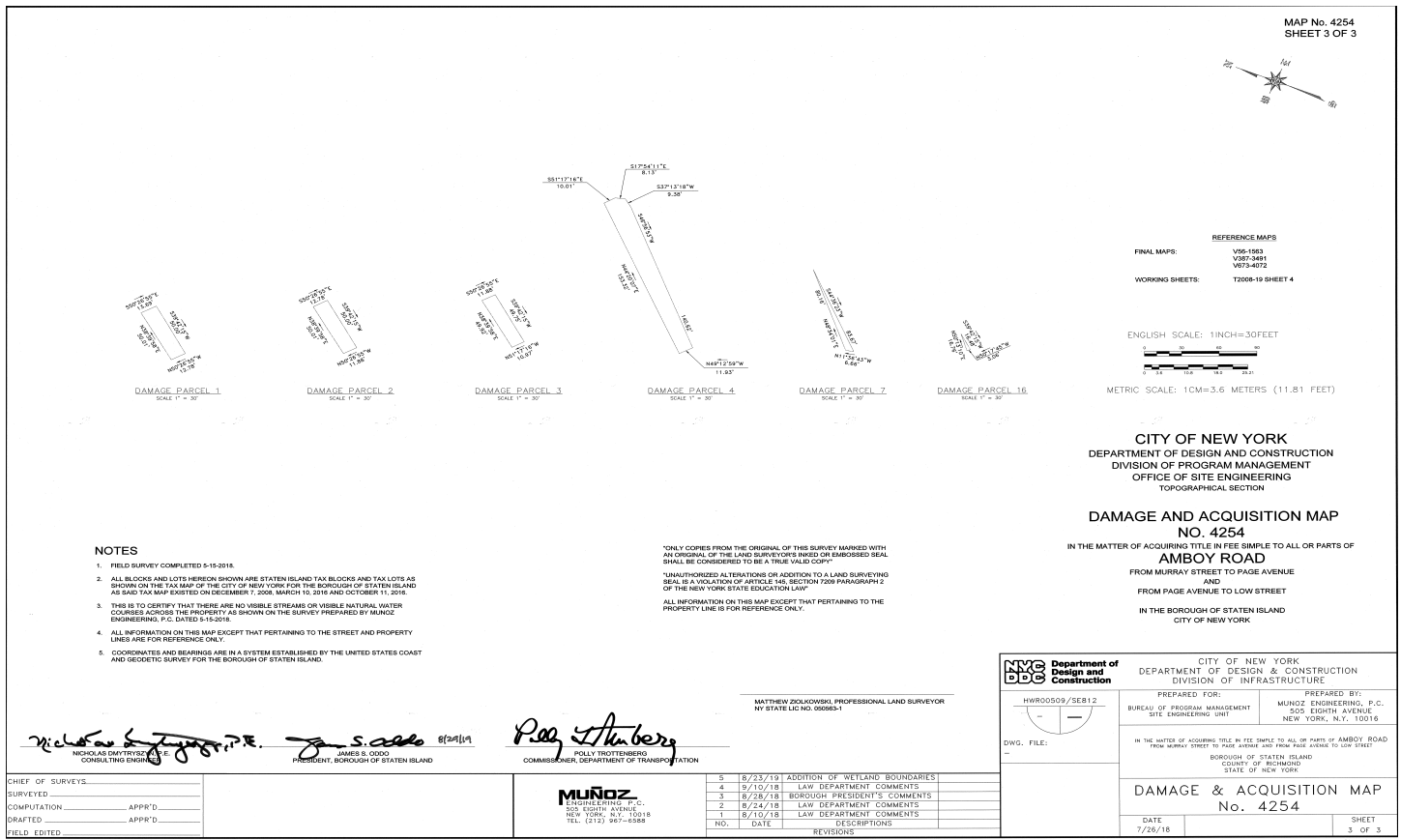
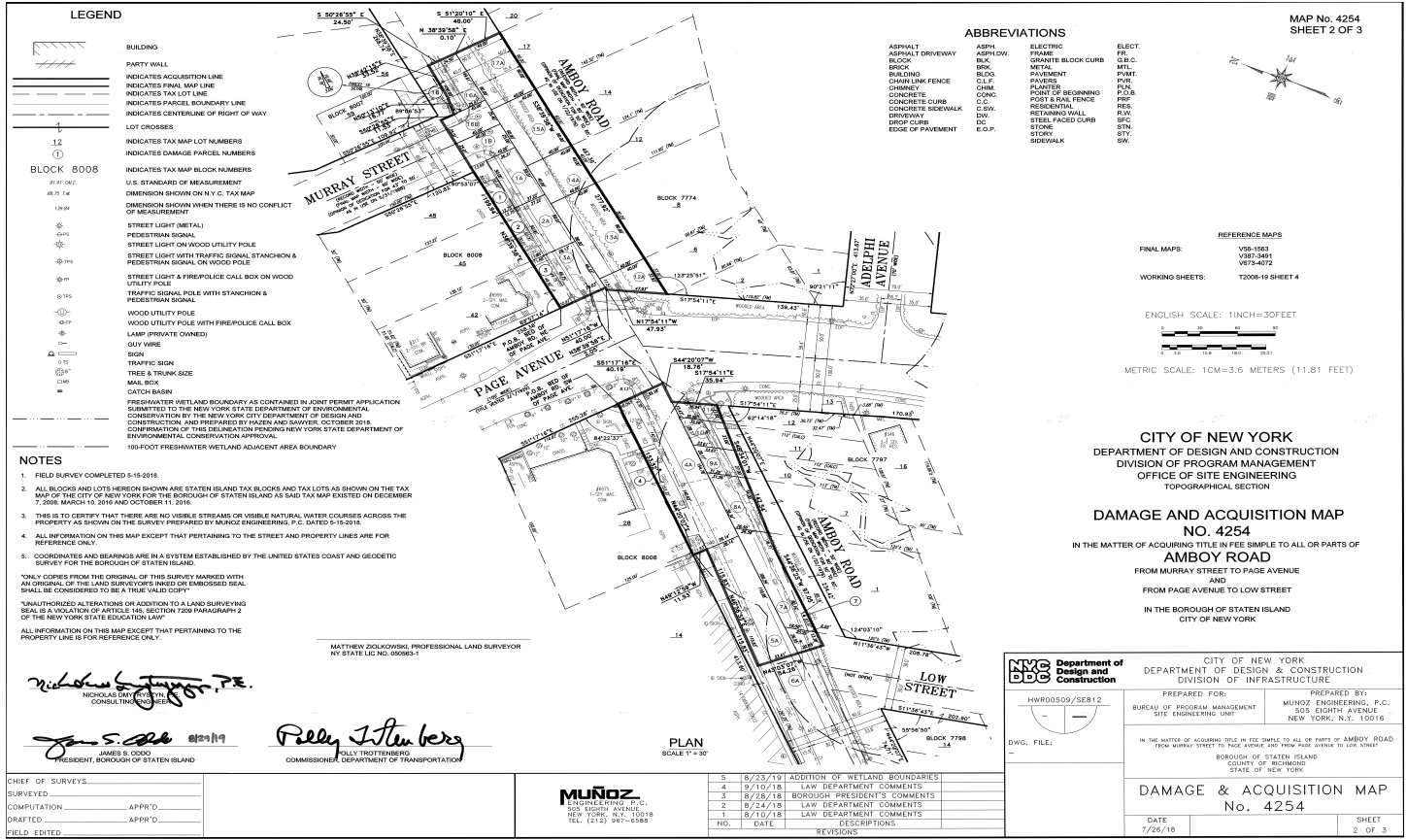
Parks and Recreation, The Arsenal, 830 5th Avenue, New York, NY 10065. Sophia Filippone (212) 360-1397; sophia.filippone@parks.nyc.gov

n-1-18

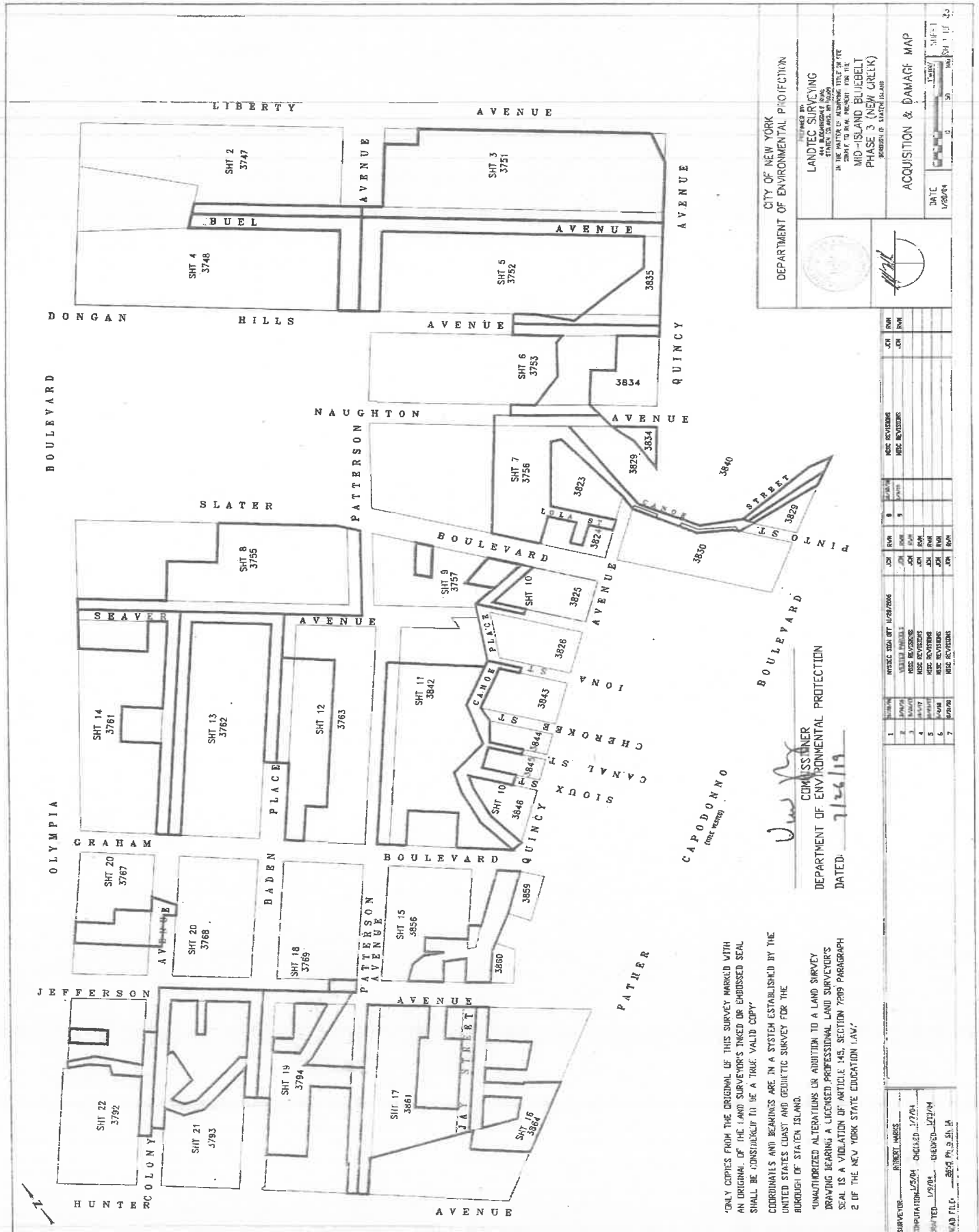
MAPS FOR AMBOY ROAD NORTHEAST AND SOUTHWEST OF PAGE AVENUE

MAP NO. 4254 SHEET 1 OF 3. Includes tables for assessed valuations and lot data, a key map showing blocks 7774, 7797, 8007, and 8008, and various notes and signatures.

MAPS FOR AMBOY ROAD NORTHEAST AND SOUTHWEST OF PAGE AVENUE



COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK



CITY OF NEW YORK
DEPARTMENT OF ENVIRONMENTAL PROTECTION

PREPARED BY
LANDTEC SURVEYING
1400 ROUTE 9W
ROCKY HILL, CT 06866

IN THE MATTER OF ASSESSING TITLE BY EJECTMENT TO NEW PARCELS FOR THE
MID-ISLAND BLUEBELT
PHASE 3 (NEW CREEK)
ROBERTO D. SANCHEZ

ACQUISITION & DAMAGE MAP

DATE: 1/26/19

NO.	REVISIONS	DATE	BY	FOR
1	ISSUED	07/14/2008	JCH	FOR
2	REVISED		JCH	FOR
3	REVISED		JCH	FOR
4	REVISED		JCH	FOR
5	REVISED		JCH	FOR
6	REVISED		JCH	FOR
7	REVISED		JCH	FOR

COMMISSIONER
DEPARTMENT OF ENVIRONMENTAL PROTECTION

DATED: 7/26/19

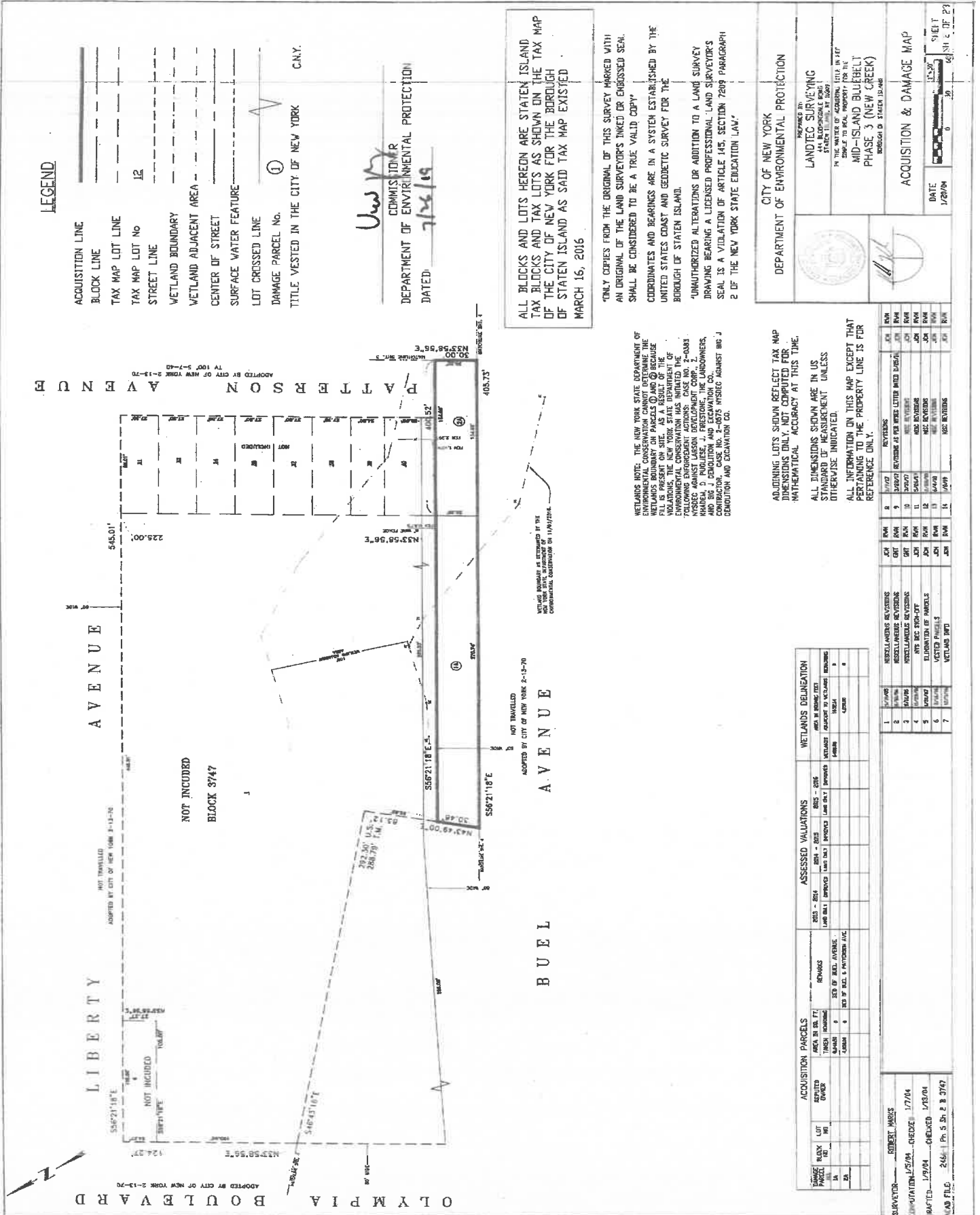
ONLY COPIES FROM THE ORIGINAL OF THIS SURVEY MARKED WITH AN ORIGINAL OF THE LAND SURVEYOR'S INKED OR EMBOSSED SEAL SHALL BE CONSIDERED TO BE A TRUE, VALID COPY

COORDINATES AND BEARINGS ARE IN A SYSTEM ESTABLISHED BY THE UNITED STATES COAST AND GEODETIC SURVEY FOR THE BOROUGHS OF STATEN ISLAND.

UNAUTHORIZED ALTERATIONS OR ADDITION TO A LAND SURVEY DRAWING BEARING A LICENSED PROFESSIONAL LAND SURVEYOR'S SEAL IS A VIOLATION OF ARTICLE 145, SECTION 7209 PARAGRAPH 2 OF THE NEW YORK STATE EDUCATION LAW.

SURVEYOR: ARTURO J. SANCHEZ
 PREPARED: 1/26/19
 CHECKED: 1/26/19
 DATE: 1/26/19
 FILE: 2019 PH.3.51.1A

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK



LEGEND

- ACQUISITION LINE
- BLOCK LINE
- TAX MAP LOT LINE
- TAX MAP LOT No. 12
- STREET LINE
- WETLAND BOUNDARY
- WETLAND ADJACENT AREA
- CENTER OF STREET
- SURFACE WATER FEATURE
- LOT CROSSED LINE
- DAMAGE PARCEL No. ①
- TITLE VESTED IN THE CITY OF NEW YORK C.N.Y.

Uwe M.
 COMMISSIONER
 DEPARTMENT OF ENVIRONMENTAL PROTECTION
 DATED: 7/26/19

ALL BLOCKS AND LOTS HERON ARE STATEN ISLAND TAX BLOCKS AND TAX LOTS AS SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGH OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 16, 2016

ONLY COPIES FROM THE ORIGINAL OF THIS SURVEY MARKED WITH AN ORIGINAL OF THE LAND SURVEYOR'S SIGNED OR ENGRAVED SEAL SHALL BE CONSIDERED TO BE A TRUE VALID COPY.
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WETLANDS NOTE: THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION CANNOT DETERMINE THE WETLANDS BOUNDARY ON PARCELS ① AND ② BECAUSE OF THE LIMITED DATA AVAILABLE FOR THESE PARCELS. VIOLATIONS OF THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION HAS INITIATED THE FOLLOWING ENFORCEMENT ACTIONS: CASE NO. 2-0863 (PARCELS ① AND ②) AND CASE NO. 2-0864 (PARCELS ③ AND ④) PRESTON, THE LANDOWNERS, AND BIG J DEMOLITION AND EXCAVATION CO., CONTRACTOR. CASE NO. 2-0875 NYSDC AGAINST BIG J DEMOLITION AND EXCAVATION CO.

ADJOINING LOTS SHOWN REFLECT TAX MAP DIMENSIONS ONLY, NOT COMPUTED FOR MATHEMATICAL ACCURACY AT THIS TIME.
 ALL DIMENSIONS SHOWN ARE IN US FEET UNLESS OTHERWISE INDICATED.
 ALL INFORMATION ON THIS MAP EXCEPT THAT PERTAINING TO THE PROPERTY LINE IS FOR REFERENCE ONLY.

CITY OF NEW YORK
 DEPARTMENT OF ENVIRONMENTAL PROTECTION

INVESTED BY
 LANDTEC SURVEYING
 44 BLOOMINGDALE BLVD.
 STATE ST. 10TH FL. 10469

MID-ISLAND BLUEBELT
 PHASE 3 (NEW CREEK)
 REGION 3 STREET BLOCK

ACQUISITION & DAMAGE MAP

DATE: 7/26/19

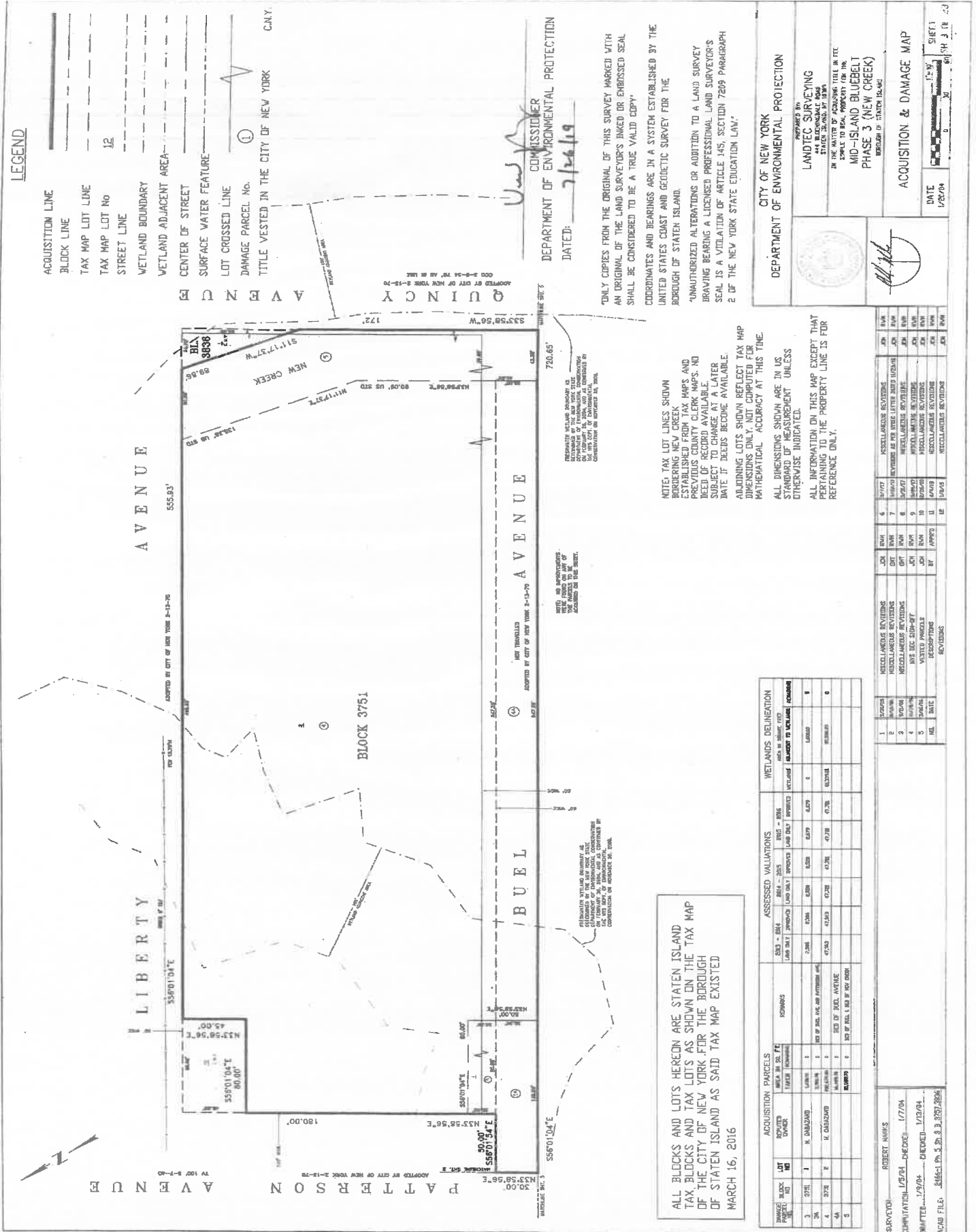
SCALE: 1" = 30'

SHEET 2 OF 23

ACQUISITION PARCELS	ASSESSED VALUATIONS				WETLANDS DELINEATION			
	2018 - 2019	2018 - 2018	2018 - 2018	2018 - 2018	WETLANDS	WETLANDS	WETLANDS	WETLANDS
1	202,501	258,791	1.14	1.14	WETLANDS	WETLANDS	WETLANDS	WETLANDS
2	202,501	258,791	1.14	1.14	WETLANDS	WETLANDS	WETLANDS	WETLANDS
3	202,501	258,791	1.14	1.14	WETLANDS	WETLANDS	WETLANDS	WETLANDS
4	202,501	258,791	1.14	1.14	WETLANDS	WETLANDS	WETLANDS	WETLANDS
5	202,501	258,791	1.14	1.14	WETLANDS	WETLANDS	WETLANDS	WETLANDS
6	202,501	258,791	1.14	1.14	WETLANDS	WETLANDS	WETLANDS	WETLANDS
7	202,501	258,791	1.14	1.14	WETLANDS	WETLANDS	WETLANDS	WETLANDS

SURVEYOR: ROBERT JAMES
 COMPLETION: 1/5/04 - CHECKED: 1/7/04
 DRAFTED: 1/9/04 - CHECKED: 1/13/04
 MAP FILE: 246-1 Pt. 5, Sh. 2 B 3767

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK



LEGEND

- ACQUISITION LINE
- BLOCK LINE
- TAX MAP LOT LINE
- TAX MAP LOT NO
- STREET LINE
- WETLAND BOUNDARY
- WETLAND ADJACENT AREA
- CENTER OF STREET
- SURFACE WATER FEATURE
- LOT CROSSED LINE
- DAMAGE PARCEL NO.
- TITLE VESTED IN THE CITY OF NEW YORK

COMMISSIONER
DEPARTMENT OF ENVIRONMENTAL PROTECTION
DATED: 7/26/19

ONLY COPIES FROM THE ORIGINAL OF THIS SURVEY MARKED WITH AN ORIGINAL OF THE LAND SURVEYOR'S INKED OR EMBOSSED SEAL SHALL BE CONSIDERED TO BE A TRUE VALID COPY.

COORDINATES AND BEARINGS ARE IN A SYSTEM ESTABLISHED BY THE UNITED STATES COAST AND GEODETIC SURVEY FOR THE BOROUGHS OF STATEN ISLAND.

UNAUTHORIZED ALTERATIONS OR ADDITION TO A LAND SURVEY DRAWING BEARING A LICENSED PROFESSIONAL LAND SURVEYOR'S SEAL IS A VIOLATION OF ARTICLE 145, SECTION 7809 PARAGRAPH 2 OF THE NEW YORK STATE EDUCATION LAW.

CITY OF NEW YORK
DEPARTMENT OF ENVIRONMENTAL PROTECTION

PREPARED BY:
LANDTEC SURVEYING
211 BROADWAY
NEW YORK, NY 10004
ON THE WATER OF WILSONS TIDE IN THE
SIMPLE TO REAL PROPERTY FOR THE
MID-ISLAND BLUEBELT
PHASE 3 (NEW CREEK)

ACQUISITION & DAMAGE MAP

DATE: 1/28/04

SHEET 1 OF 1

NOTE: TAX LOT LINES SHOWN BORDERING NEW CREEK ESTABLISHED FROM TAX MAPS AND BEARINGS AND DISTANCES. NO BEEN OF RECORD AVAILABLE. SUBJECT TO CHANGE AT A LATER DATE IF RECORDS BECOME AVAILABLE.

ADJOINING LOTS SHOWN REFLECT TAX MAP PARCELS ONLY. NOT NECESSARILY MATHEMATICAL ACCURACY AT THIS TIME.

ALL DIMENSIONS SHOWN ARE IN US STANDARD OF MEASUREMENT UNLESS OTHERWISE INDICATED.

ALL INFORMATION ON THIS MAP EXCEPT THAT PERTAINING TO THE PROPERTY LINE IS FOR REFERENCE ONLY.

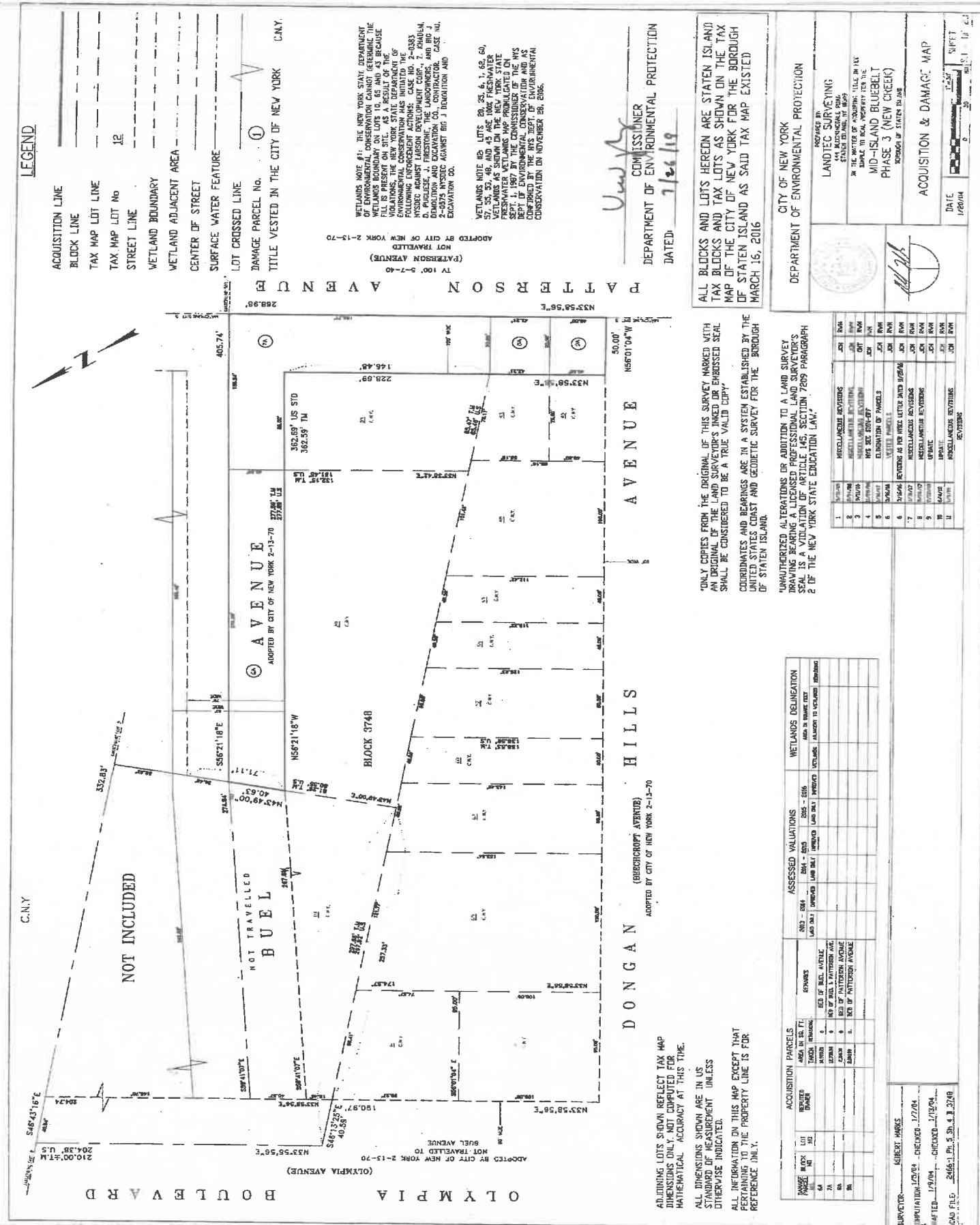
RECORDS REVIEWED	DATE	BY	REVISIONS
RECORDS REVIEWED	10/17/03	AP/PP	1
RECORDS REVIEWED	10/17/03	AP/PP	2
RECORDS REVIEWED	10/17/03	AP/PP	3
RECORDS REVIEWED	10/17/03	AP/PP	4
RECORDS REVIEWED	10/17/03	AP/PP	5
RECORDS REVIEWED	10/17/03	AP/PP	6
RECORDS REVIEWED	10/17/03	AP/PP	7
RECORDS REVIEWED	10/17/03	AP/PP	8
RECORDS REVIEWED	10/17/03	AP/PP	9
RECORDS REVIEWED	10/17/03	AP/PP	10
RECORDS REVIEWED	10/17/03	AP/PP	11
RECORDS REVIEWED	10/17/03	AP/PP	12

ALL BLOCKS AND LOTS HEREIN ARE STATEN ISLAND TAX BLOCKS AND TAX LOTS AS SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGHS OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 16, 2016

BLOCK	LOT	OWNER	ACQUISITION PARCELS		ASSESSED VALUATIONS		WETLANDS DELINEATION		
			AREA IN SQ. FT.	PERCENT	2013 - 2014	2015 - 2016	WETLAND	WATER TO WETLAND	
3751	1	H. ANZALDO	5590104E	80.00	5590104E	80.00	0.00	0.00	0.00
3752	2	H. ANZALDO	5590104E	80.00	5590104E	80.00	0.00	0.00	0.00
3753	3	H. ANZALDO	5590104E	80.00	5590104E	80.00	0.00	0.00	0.00
3753	4	H. ANZALDO	5590104E	80.00	5590104E	80.00	0.00	0.00	0.00
3753	5	H. ANZALDO	5590104E	80.00	5590104E	80.00	0.00	0.00	0.00

SURVEYOR: ROBERT WIKERS
COMPUTATION: 1/28/04 CHECKED: 1/27/04
DRAWN: 1/28/04 CHECKED: 1/28/04
ACQU FILE: 2446-1 Ph. 3 Ph. 3 3751 2836

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK



LEGEND

- ACQUISITION LINE
- BLOCK LINE
- TAX MAP LOT LINE
- TAX MAP LOT No 12
- STREET LINE
- WETLAND BOUNDARY
- WETLAND ADJACENT AREA
- CENTER OF STREET
- SURFACE WATER FEATURE
- LOT CROSSED LINE
- DAMAGE PARCEL No. ①
- TITLE VESTED IN THE CITY OF NEW YORK C.N.Y.

WETLANDS NOTE #1: THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION CANNOT GUARANTEE THE WETLANDS BOUNDARY ON LOTS 10, 45 AND 43 BECAUSE THE FILL IS PRESENT ON SITE. AS A RESULT OF THE WETLANDS SURVEY, THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION HAS DETERMINED THE FOLLOWING ENFORCEMENT ACTIONS: CASE NO. 2-0383 ISSUED AGAINST LARSON DEVELOPMENT CORP., Z. KHADIM, AND THE LANDOWNERS, AND BIG J DEVELOPMENT AND EXCAVATION, INC. CASE NO. 2-0374 ISSUED AGAINST BIG J DEMOLITION AND EXCAVATION CO.

WETLANDS NOTE #2: LOTS 28, 29, 41, 62, 63, 57, 53, 52, 49, AND 43 ARE DRY CREEKS. WETLANDS AS SHOWN ON THE NEW YORK STATE FRESHWATER WETLANDS MAP PUBLISHED ON FEBRUARY 1, 2017, BY THE COMMISSIONER OF THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION, AS CONFIRMED BY THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 29, 2006.

TV 100' 5'-7'-40" (PATTERSON AVENUE)
NOT TRAVELLED
ADOPTED BY CITY OF NEW YORK 2-15-70

COMMISSIONER
DEPARTMENT OF ENVIRONMENTAL PROTECTION
DATED: 7/24/19

ALL BLOCKS AND LOTS HEREDIN ARE STATED ISLAND TAX BLOCKS AND TAX LOTS AS SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGH OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 16, 2016

CITY OF NEW YORK
DEPARTMENT OF ENVIRONMENTAL PROTECTION

PREPARED BY
LANDTEC SURVEYING
144 MIDCOUNTRY ROAD
STATEN ISLAND, NY 10310

IN THE MATTER OF ACQUIRING TITLE IN TAX MAPS TO BEAL, PREPARED FOR THE
MID-ISLAND BLUEBELT
PHASE 3 (NEW CREEK)
BOROUGH OF STATEN ISLAND

ACQUISITION & DAMAGE MAP

DATE: 7/24/19

ONLY COPIES FROM THE ORIGINAL OF THIS SURVEY MARKED WITH AN ORIGINAL OF THE LAND SURVEYOR'S INKED OR EMBOSSED SEAL SHALL BE CONSIDERED TO BE A TRUE VALID COPY

UNAUTHORIZED ALTERATIONS OR ADDITION TO A LAND SURVEY DRAWING BEARING A LICENSED PROFESSIONAL LAND SURVEYOR'S SEAL IS A VIOLATION OF ARTICLE 145, SECTION 7609 PARAGRAPH 2 OF THE NEW YORK STATE EDUCATION LAW.

COORDINATES AND BEARINGS ARE IN A SYSTEM ESTABLISHED BY THE UNITED STATES COAST AND GEODETIC SURVEY FOR THE BOROUGH OF STATEN ISLAND.

NO.	REVISION	DATE	BY	REASON
1	WETLANDS	JUN 19/19	JON RWK	WETLANDS REVISIONS
2	WETLANDS	JUN 19/19	JON RWK	WETLANDS REVISIONS
3	WETLANDS	JUN 19/19	JON RWK	WETLANDS REVISIONS
4	WETLANDS	JUN 19/19	JON RWK	WETLANDS REVISIONS
5	WETLANDS	JUN 19/19	JON RWK	WETLANDS REVISIONS
6	WETLANDS	JUN 19/19	JON RWK	WETLANDS REVISIONS
7	WETLANDS	JUN 19/19	JON RWK	WETLANDS REVISIONS
8	WETLANDS	JUN 19/19	JON RWK	WETLANDS REVISIONS
9	WETLANDS	JUN 19/19	JON RWK	WETLANDS REVISIONS
10	WETLANDS	JUN 19/19	JON RWK	WETLANDS REVISIONS
11	WETLANDS	JUN 19/19	JON RWK	WETLANDS REVISIONS
12	WETLANDS	JUN 19/19	JON RWK	WETLANDS REVISIONS

C.N.Y.

NOT INCLUDED

NOT TRAVELLED

BUELL

BLOCK 9748

DONGAN HILLS

(MICROCOPY AVENUE)
ADOPTED BY CITY OF NEW YORK 2-15-70

ADJOINING LOTS SHOWN REFLECT TAX MAP DIMENSIONS ONLY. NOT COMPUTED FOR MATHEMATICAL ACCURACY AT THIS TIME.

ALL DIMENSIONS SHOWN ARE IN US STANDARD FEET UNLESS OTHERWISE INDICATED.

ALL INFORMATION ON THIS MAP EXCEPT THAT PERTAINING TO THE PROPERTY LINE IS FOR REFERENCE ONLY.

PARCEL NUMBER	LOT NO.	AREA IN SQ. FT.	THICK REMAINS	FORM	NO. OF BELL AVENUE	NO. OF BELL PATTERSON AVE	NO. OF PATTERSON AVENUE	NO. OF PATTERSON AVENUE	ASSESSED VALUATIONS				WETLANDS DELINEATION				
									2018	2014	2014 - 2018	2018 - 2019	2018	2019	WETLANDS	WETLANDS	

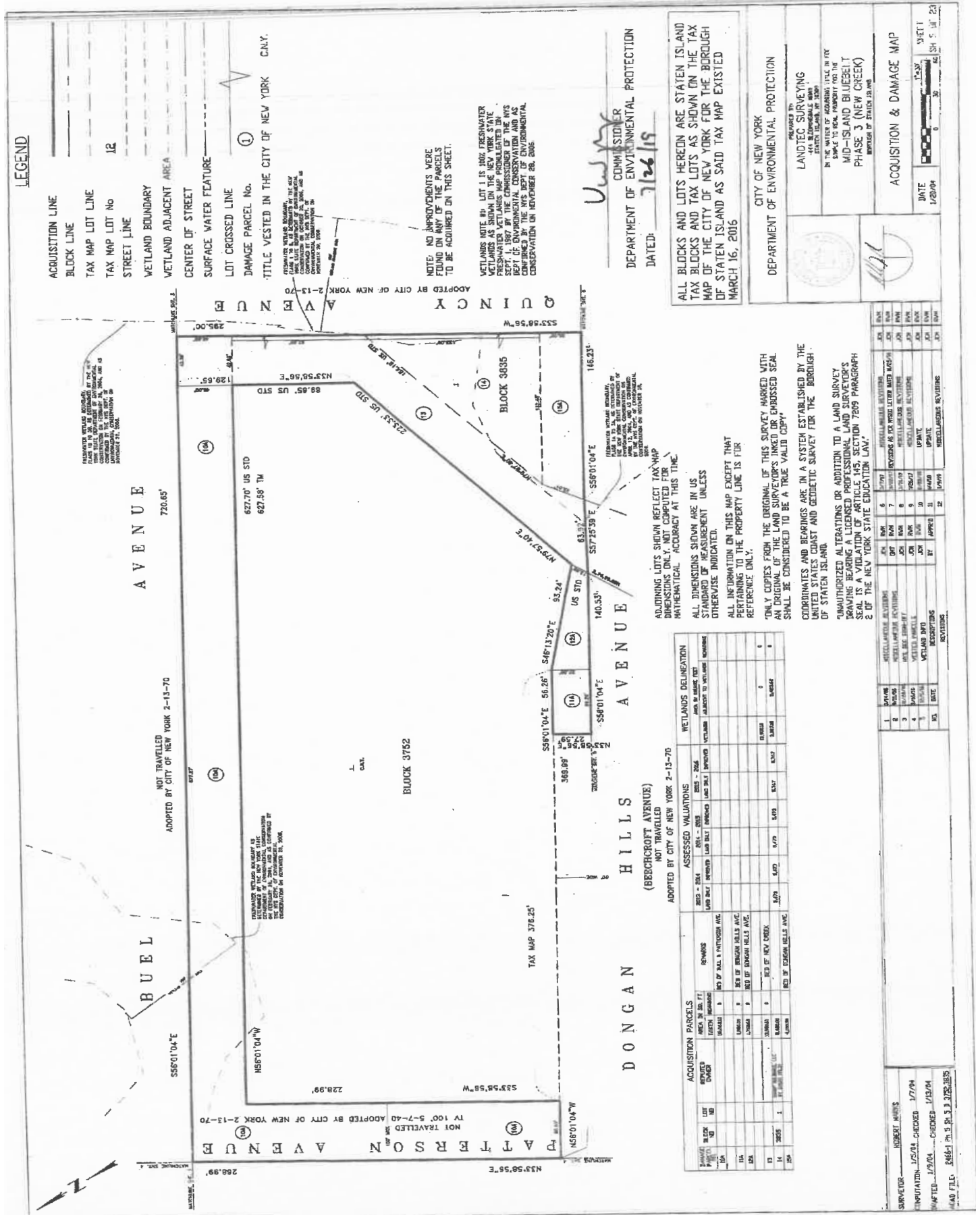
SURVEYOR: KENNETH MARKS

COMPUTATION: 12/20/09 CHECKED: 1/27/04

DRAWN: 1/19/04 CHECKED: 1/19/04

ACAD FILE: 2006-1-20-5-20-8-3-2018

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK



ALL BLOCKS AND LOTS HEREON ARE STATEN ISLAND TAX BLOCKS AND TAX LOTS AS SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGH OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 16, 2015

DEPARTMENT OF ENVIRONMENTAL PROTECTION
 DATED: 7/26/19

CITY OF NEW YORK
 DEPARTMENT OF ENVIRONMENTAL PROTECTION

LANDTEC SURVEYING
 IN THE OFFICE OF GEORGINA TULLI, P.E.
 441 S. DUNDONDALE BLVD.
 STATEN ISLAND, NY 10310

ACQUISITION & DAMAGE MAP

DATE: 1/20/14
 SHEET: 5 OF 23

PARCEL NO.	AREA IN SQ. FT.	REMARKS	2013 - 2014	2014 - 2015	2015 - 2016	2016 - 2017	2017 - 2018	2018 - 2019	WETLANDS DELINEATION
1	10,000	LOT 1 OF NEW CREEK	1,000	1,000	1,000	1,000	1,000	1,000	WETLANDS
2	10,000	LOT 2 OF NEW CREEK	1,000	1,000	1,000	1,000	1,000	1,000	WETLANDS
3	10,000	LOT 3 OF NEW CREEK	1,000	1,000	1,000	1,000	1,000	1,000	WETLANDS
4	10,000	LOT 4 OF NEW CREEK	1,000	1,000	1,000	1,000	1,000	1,000	WETLANDS
5	10,000	LOT 5 OF NEW CREEK	1,000	1,000	1,000	1,000	1,000	1,000	WETLANDS
6	10,000	LOT 6 OF NEW CREEK	1,000	1,000	1,000	1,000	1,000	1,000	WETLANDS
7	10,000	LOT 7 OF NEW CREEK	1,000	1,000	1,000	1,000	1,000	1,000	WETLANDS
8	10,000	LOT 8 OF NEW CREEK	1,000	1,000	1,000	1,000	1,000	1,000	WETLANDS
9	10,000	LOT 9 OF NEW CREEK	1,000	1,000	1,000	1,000	1,000	1,000	WETLANDS
10	10,000	LOT 10 OF NEW CREEK	1,000	1,000	1,000	1,000	1,000	1,000	WETLANDS

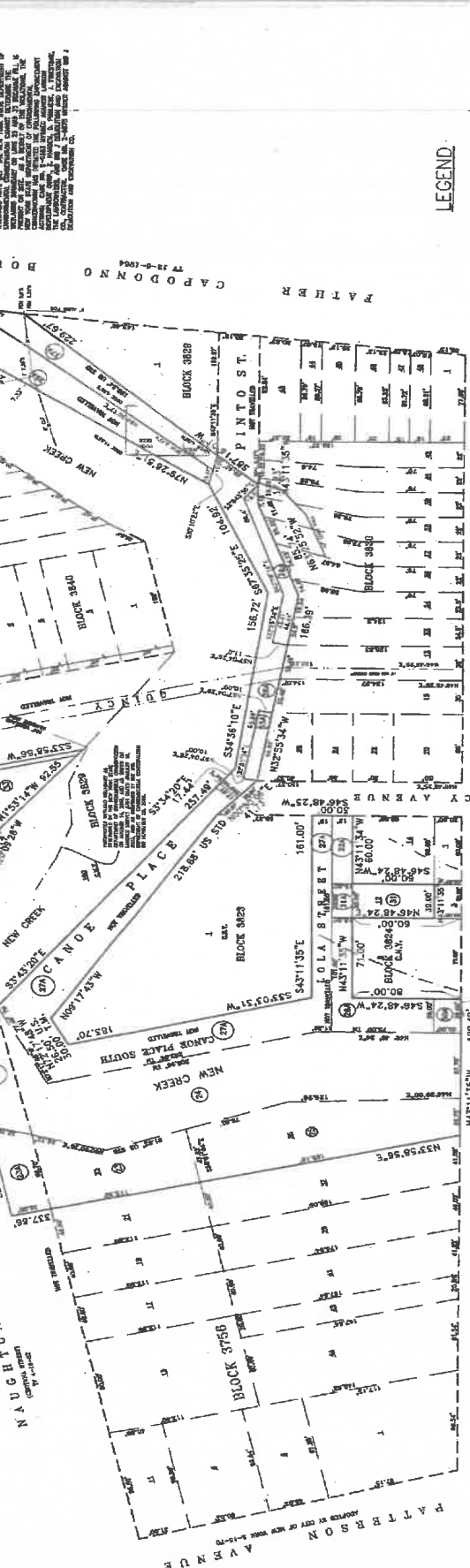
NO.	DATE	DESCRIPTION	BY	APPROVED	DATE
1	1/20/14	WETLANDS DELINEATION	LANDTEC SURVEYING	[Signature]	1/20/14
2	1/20/14	WETLANDS DELINEATION	LANDTEC SURVEYING	[Signature]	1/20/14
3	1/20/14	WETLANDS DELINEATION	LANDTEC SURVEYING	[Signature]	1/20/14
4	1/20/14	WETLANDS DELINEATION	LANDTEC SURVEYING	[Signature]	1/20/14
5	1/20/14	WETLANDS DELINEATION	LANDTEC SURVEYING	[Signature]	1/20/14
6	1/20/14	WETLANDS DELINEATION	LANDTEC SURVEYING	[Signature]	1/20/14
7	1/20/14	WETLANDS DELINEATION	LANDTEC SURVEYING	[Signature]	1/20/14
8	1/20/14	WETLANDS DELINEATION	LANDTEC SURVEYING	[Signature]	1/20/14
9	1/20/14	WETLANDS DELINEATION	LANDTEC SURVEYING	[Signature]	1/20/14
10	1/20/14	WETLANDS DELINEATION	LANDTEC SURVEYING	[Signature]	1/20/14

SURVEYOR: ROBERT MANN
 INSPIRATION: 1/2/04 CHECKED: 1/7/04
 DRAFTED: 1/9/04 CHECKED: 1/13/04
 CAD FILE: \$468-1 (M. S. S. S. 3725-3825)

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK

WETLANDS NOTE: LOTS 23 AND 35 ARE 100% FRESHWATER WETLANDS AS SHOWN ON THE PREVIOUSLY FILED FRESHWATER WETLANDS MAP PREPARED BY THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION AND AS CONFIRMED BY THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 20, 2016.

WETLANDS NOTE: THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION HAS REVIEWED THE SURVEY AND HAS DETERMINED THAT THE SURVEY IS ACCURATE AND THAT THE WETLANDS ARE AS SHOWN ON THE MAP. THE SURVEYOR HAS PROVIDED A WETLANDS DELINEATION REPORT AND A WETLANDS DELINEATION MAP. THE SURVEYOR HAS ALSO PROVIDED A WETLANDS DELINEATION REPORT AND A WETLANDS DELINEATION MAP. THE SURVEYOR HAS ALSO PROVIDED A WETLANDS DELINEATION REPORT AND A WETLANDS DELINEATION MAP.



LEGEND

- ACQUISITION LINE
- BLOCK LINE
- TAX MAP LOT LINE
- TAX MAP LOT NO
- STREET LINE
- WETLAND BOUNDARY
- WETLAND ADJACENT AREA
- CENTER OF STREET
- SURFACE WATER FEATURE
- LOT CROSSED LINE
- DAMAGE PARCEL NO.
- TITLE VESTED IN THE CITY OF NEW YORK C.N.Y.

DEPARTMENT OF ENVIRONMENTAL PROTECTION

COMMISSIONER

ACQUISITION & DAMAGE MAP

DATE 1/20/19

SHEET 1 OF 20

NOTICE: TAX LOT LINES SHOWN BORDERING NEW CREEK ESTABLISHED FROM TAX MAPS AND PREVIOUS COUNTY CLERK MAPS. NO DEED OF RECORD AVAILABLE SUBJECT TO CHANGE AT A LATER DATE IF DEEDS BECOME AVAILABLE.

ALL DIMENSIONS SHOWN ARE IN US STANDARD OF MEASUREMENT UNLESS OTHERWISE INDICATED.

ADJOINING LOTS SHOWN REFLECT TAX MAP DIMENSIONS ONLY. NOT COMPUTED FOR MATHEMATICAL ACCURACY AT THIS TIME.

ALL INFORMATION ON THIS MAP EXCEPT THAT PERTAINING TO THE PROPERTY LINE IS FOR REFERENCE ONLY.

COORDINATES AND BEARINGS ARE IN A SYSTEM ESTABLISHED BY THE UNITED STATES COAST AND GEODETIC SURVEY FOR THE BOROUGH OF STATEN ISLAND.

ONLY COPIES FROM THE ORIGINAL OF THIS SURVEY MARKED WITH A LICENSED LAND SURVEYOR'S JINKEE OR EMBOSSED SEAL SHALL BE CONSIDERED TO BE A TRUE VALID COPY.

UNAUTHORIZED ALTERATIONS OR ADDITION TO A LAND SURVEY DRAWING BEARING A LICENSED PROFESSIONAL LAND SURVEYOR'S SEAL IS A VIOLATION OF ARTICLE 145, SECTION 7819 PARAGRAPH 2 OF THE NEW YORK STATE EDUCATION LAW.

ALL BLOCKS AND LOTS HEREIN ARE STATEN ISLAND TAX BLOCKS AND TAX LOTS AS SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGH OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 16, 2016.

STATER

NO. 123456789

NO. 123456789

BLOCK	LOT	AREA IN SQ. FT.	ASSESSED VALUATIONS				WETLANDS DELINEATION	
			2019 - 2024	2014 - 2019	2009 - 2014	2004 - 2009	AREA IN SQUARE FEET	AREA IN SQUARE FEET
27	275	10,000	100,000	100,000	100,000	100,000	100,000	100,000
28	276	10,000	100,000	100,000	100,000	100,000	100,000	100,000
29	277	10,000	100,000	100,000	100,000	100,000	100,000	100,000
30	278	10,000	100,000	100,000	100,000	100,000	100,000	100,000

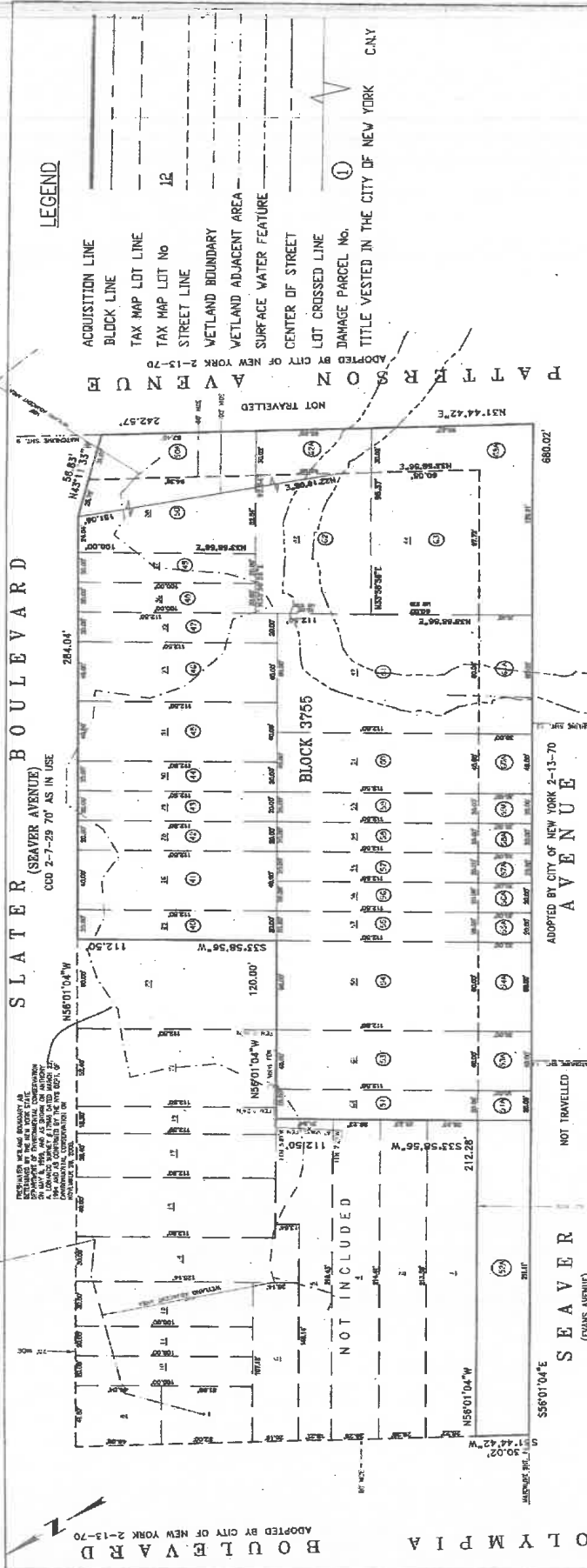
ACQUISITION PARCELS	REVENUE	REVENUE	REVENUE	REVENUE
27	100,000	100,000	100,000	100,000
28	100,000	100,000	100,000	100,000
29	100,000	100,000	100,000	100,000
30	100,000	100,000	100,000	100,000

WETLANDS DELINEATION REPORT

DATE 1/20/19

SHEET 1 OF 20

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK



COMMISSIONER
DEPARTMENT OF ENVIRONMENTAL PROTECTION
DATED: 7/26/19

ALL BLOCKS AND LOTS HEREIN ARE STATEN ISLAND TAX BLOCKS AND TAX LOTS AS SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGH OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 16, 2016

CITY OF NEW YORK
DEPARTMENT OF ENVIRONMENTAL PROTECTION

RECEIVED BY:
LAND USE SURVEYING
STATEN ISLAND, NY 10314

IN THE MATTER OF ACQUISITION TITLE IN FEE
SIMPLE TO REAL PROPERTY FOR THE
MID-ISLAND BLUEBELT
PHASE 3 (NEW CREEK)
BOROUGH OF STATEN ISLAND

ACQUISITION & DAMAGE MAP

DATE: 1/26/19

SHEET 8 OF 11

BLOCK NO.	LOT NO.	ACQUISITION PARCELS	ASSESSED VALUATIONS				WETLANDS DELINEATION	
			2018	2019	2020	2021	2022	2023
48	3725	1	0	0	0	0	0	0
49	3726	1	0	0	0	0	0	0
50	3727	1	0	0	0	0	0	0
51	3728	1	0	0	0	0	0	0
52	3729	1	0	0	0	0	0	0
53	3730	1	0	0	0	0	0	0
54	3731	1	0	0	0	0	0	0
55	3732	1	0	0	0	0	0	0
56	3733	1	0	0	0	0	0	0
57	3734	1	0	0	0	0	0	0
58	3735	1	0	0	0	0	0	0
59	3736	1	0	0	0	0	0	0
60	3737	1	0	0	0	0	0	0
61	3738	1	0	0	0	0	0	0
62	3739	1	0	0	0	0	0	0
63	3740	1	0	0	0	0	0	0
64	3741	1	0	0	0	0	0	0
65	3742	1	0	0	0	0	0	0
66	3743	1	0	0	0	0	0	0
67	3744	1	0	0	0	0	0	0
68	3745	1	0	0	0	0	0	0
69	3746	1	0	0	0	0	0	0
70	3747	1	0	0	0	0	0	0
71	3748	1	0	0	0	0	0	0
72	3749	1	0	0	0	0	0	0
73	3750	1	0	0	0	0	0	0
74	3751	1	0	0	0	0	0	0
75	3752	1	0	0	0	0	0	0
76	3753	1	0	0	0	0	0	0
77	3754	1	0	0	0	0	0	0
78	3755	1	0	0	0	0	0	0
79	3756	1	0	0	0	0	0	0
80	3757	1	0	0	0	0	0	0
81	3758	1	0	0	0	0	0	0
82	3759	1	0	0	0	0	0	0
83	3760	1	0	0	0	0	0	0
84	3761	1	0	0	0	0	0	0
85	3762	1	0	0	0	0	0	0
86	3763	1	0	0	0	0	0	0
87	3764	1	0	0	0	0	0	0
88	3765	1	0	0	0	0	0	0
89	3766	1	0	0	0	0	0	0
90	3767	1	0	0	0	0	0	0
91	3768	1	0	0	0	0	0	0
92	3769	1	0	0	0	0	0	0
93	3770	1	0	0	0	0	0	0
94	3771	1	0	0	0	0	0	0
95	3772	1	0	0	0	0	0	0
96	3773	1	0	0	0	0	0	0
97	3774	1	0	0	0	0	0	0
98	3775	1	0	0	0	0	0	0
99	3776	1	0	0	0	0	0	0
100	3777	1	0	0	0	0	0	0

REVISIONS:

NO.	DATE	DESCRIPTION
1	11/1/19	ISSUANCE OF MAP
2	11/1/19	ISSUANCE OF MAP
3	11/1/19	ISSUANCE OF MAP
4	11/1/19	ISSUANCE OF MAP
5	11/1/19	ISSUANCE OF MAP
6	11/1/19	ISSUANCE OF MAP
7	11/1/19	ISSUANCE OF MAP

COMPUTATIONALS/M - CHECKED: 1/7/19
 DATED: 1/26/19 - CHECKED: 1/26/19
 ACAD FILE: 11/1/19 - Ph. 3 Sh. 8 of 11

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK

LEGEND

- ACQUISITION LINE
- BLOCK LINE
- TAX MAP LOT LINE
- TAX MAP LOT No. 12
- STREET LINE
- WETLAND BOUNDARY
- WETLAND ADJACENT AREA
- CENTER OF STREET
- SURFACE WATER FEATURE
- LOT CROSSED LINE
- DAMAGE PARCEL No. ①
- TITLE VESTED IN THE CITY OF NEW YORK C.N.Y.

U...
 COMMISSIONER
 DEPARTMENT OF ENVIRONMENTAL PROTECTION
 DATED: 7/26/19

ALL BLOCKS AND LOTS HEREDIN ARE STATEN ISLAND TAX BLOCKS AND TAX LOTS AS SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGH OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 16, 2016

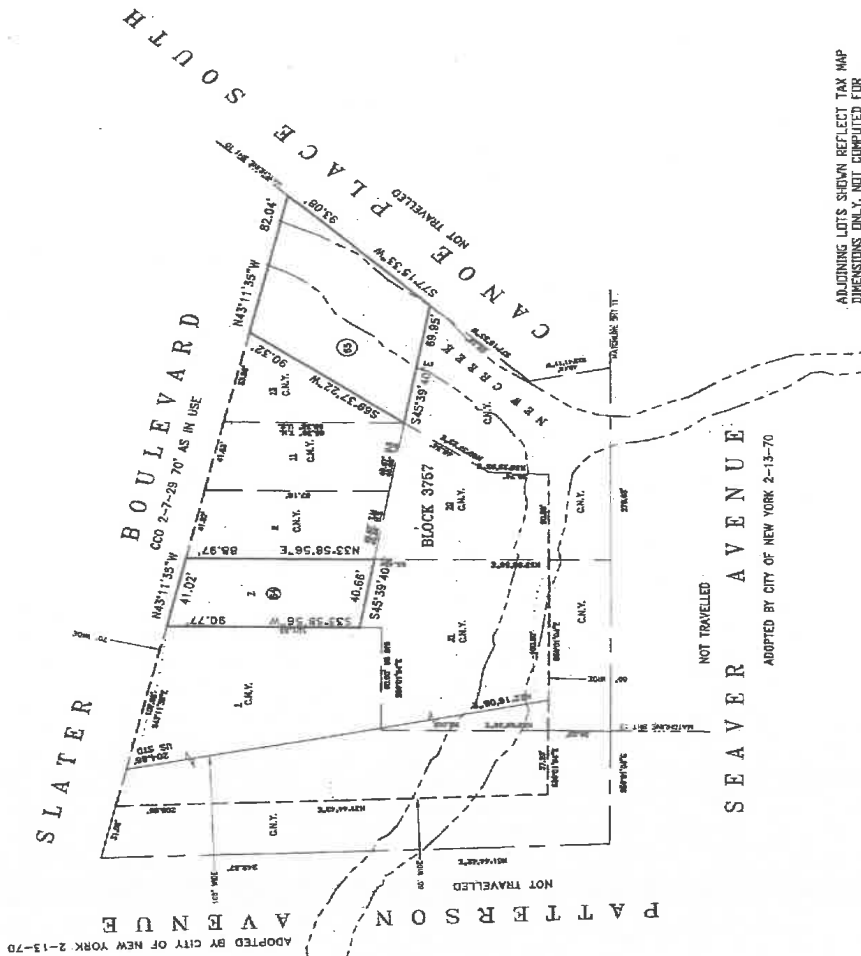
CITY OF NEW YORK
 DEPARTMENT OF ENVIRONMENTAL PROTECTION

PREPARED BY:
 LANDTEC SURVEYING
 STATEN ISLAND, NY 10314

IN THE MATTER OF ACQUIRING TITLE BY E.C. SIMPLE TO BEAL PROPERTY FOR THE MID-ISLAND BLUEBELT PHASE 3 (NEW CREEK) BOROUGH OF STATEN ISLAND

ACQUISITION & DAMAGE MAP

DATE: 1/29/04
 SHEET: 9 OF 83



ADJOINING LOTS SHOWN REFLECT TAX MAP DIMENSIONS ONLY, NOT COMPUTED FOR MATHEMATICAL ACCURACY AT THIS TIME.

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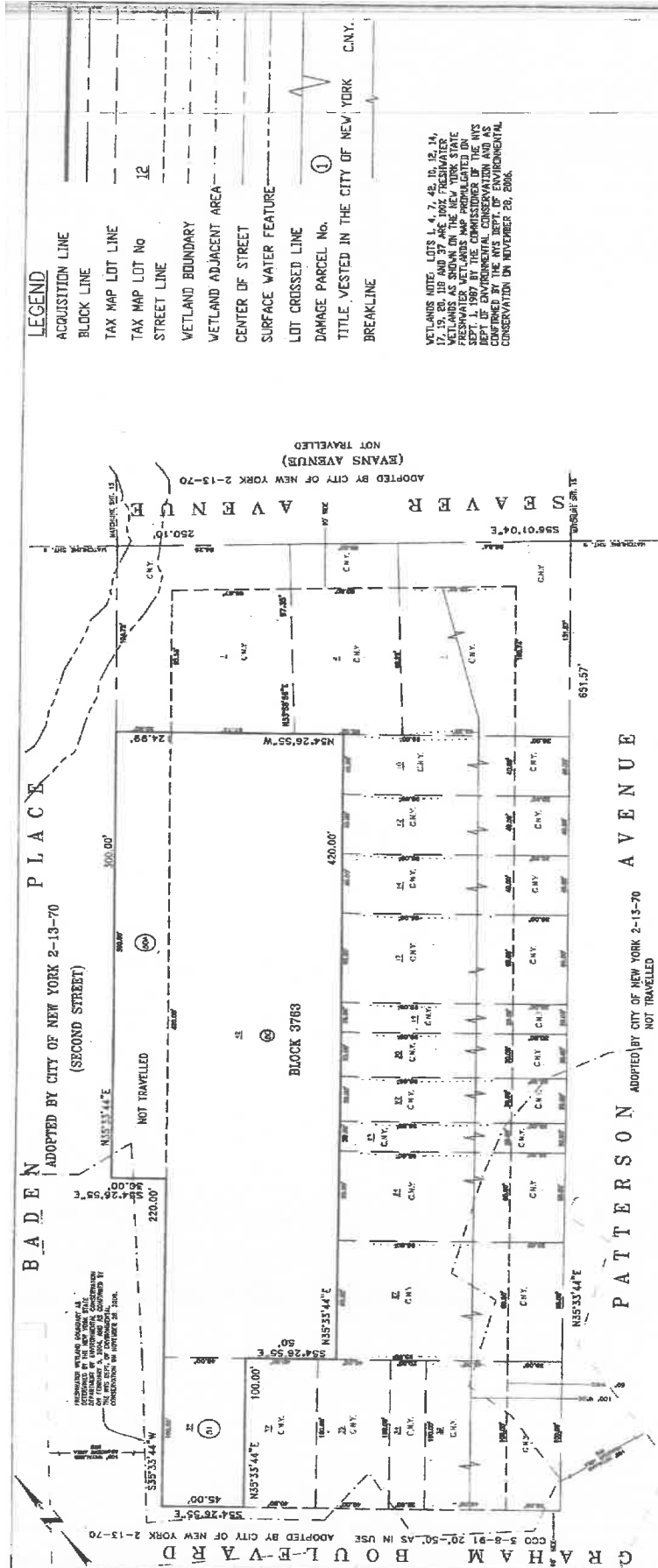
ACQUISITION PARCELS	AREA OF THE LOT		REMARKS		WETLANDS DELINEATION	
	REGISTERED	UNREGISTERED	AREA	PERCENTAGE	WETLANDS	REMARKS
65	1	1	0.00	0.00	0.00	0.00
64	1	1	0.00	0.00	0.00	0.00
63	1	1	0.00	0.00	0.00	0.00

ACQUISITION PARCELS		WETLANDS DELINEATION	
AREA	PERCENTAGE	WETLANDS	REMARKS
1	100%	WETLANDS	WETLANDS DELINEATION
2	100%	WETLANDS	WETLANDS DELINEATION
3	100%	WETLANDS	WETLANDS DELINEATION
4	100%	WETLANDS	WETLANDS DELINEATION
5	100%	WETLANDS	WETLANDS DELINEATION
6	100%	WETLANDS	WETLANDS DELINEATION
7	100%	WETLANDS	WETLANDS DELINEATION

WETLANDS NOTE: LOTS 1, 2, 21, 20, 9, 11, AND 12 ARE SHOWN AS WETLANDS ON THE NEW YORK STATE PRESERVED WETLANDS MAP PUBLISHED ON SEPT. 1, 1987 BY THE COMMISSIONER OF THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION AND AS SHOWN ON THE MAP OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 26, 2006.

SLATER
 COMPUTATION 1/29/04 CHECKED 1/27/04
 DRAWN 1/29/04 CHECKED 1/29/04
 ACAD FILE P464-1 Ph. 3 Sh. 9 E. 217

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK



ALL BLOCKS AND LOTS HEREON ARE STATED ISLAND, TAX BLOCKS AND TAX LOTS AS SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGH OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 16, 2016

"ONLY COPIES FROM THE ORIGINAL OF THIS SURVEY MARKED WITH AN ORIGINAL OF THE LAND SURVEYOR'S INKED OR EMBOSSED SEAL SHALL BE CONSIDERED TO BE A TRUE VALID COPY"

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"UNAUTHORIZED ALTERATIONS OR ADDITION TO A LAND SURVEY DRAWING BEARING A LICENSED PROFESSIONAL LAND SURVEYOR'S SEAL IS A VIOLATION OF ARTICLE 145, SECTION 7609 PARAGRAPH 2 OF THE NEW YORK STATE EDUCATION LAW."

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COMMISSIONER
 DEPARTMENT OF ENVIRONMENTAL PROTECTION
 DATED: 7/26/19

CITY OF NEW YORK
 DEPARTMENT OF ENVIRONMENTAL PROTECTION

REVISED BY
 LANDTEC SURVEYING
 140 STATE STREET
 STATEN ISLAND NY 10314

IN THE MATTER OF ACQUIRING TITLE IN FEE
 TO REAL PROPERTY FOR THE
 MID-ISLAND BLUEBELT
 PHASE 3 (NEW CREEK)
 BOROUGH OF STATEN ISLAND

ACQUISITION & DAMAGE MAP

DATE: 1/28/04
 SHEET: 46 SH 12 OF 25

BLOCK	LOT	NO.	ACQUISITION PARCELS		ASSESSED VALUATIONS		WETLANDS DELINEATION	
			AREA IN SQ. FT.	AREA IN ACRES	2008	2013	2008	2013
01	3763	42	1,000.00	0.023	4,200.00	4,200.00	4,200.00	4,200.00
02	3763	29	1,000.00	0.023	4,200.00	4,200.00	4,200.00	4,200.00

NO.	REVISION	DATE	BY	DESCRIPTION
1	ISSUED	1/28/04	JCH	ISSUED
2	REVISED	1/28/04	JCH	REVISED
3	REVISED	1/28/04	JCH	REVISED
4	REVISED	1/28/04	JCH	REVISED
5	REVISED	1/28/04	JCH	REVISED
6	REVISED	1/28/04	JCH	REVISED
7	REVISED	1/28/04	JCH	REVISED

SURVEYOR: ROBERT MARKS
 COMPILED: 1/28/04 - CHECKED: 1/27/04
 RAFTED: 1/29/04 - CHECKED: 1/29/04
 ACAD FILE: 2465-1 Ph 3 Sh 12 B 3763

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK

LEGEND

- ACQUISITION LINE
BLOCK LINE
TAX MAP LOT LINE
TAX MAP LOT No. 12
STREET LINE
WETLAND BOUNDARY
WETLAND ADJACENT AREA
CENTER OF STREET
LOT CROSSED LINE
DAMAGE PARCEL No. 1
TITLE VESTED IN THE CITY OF NEW YORK CANY.
SURFACE WATER FEATURE

ALL BLOCKS AND LOTS HEREIN ARE STATEN ISLAND TAX BLOCKS AND TAX LOTS AS SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGH OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 15, 2016.

COMMISSIONER
DEPARTMENT OF ENVIRONMENTAL PROTECTION

DATED: 7/24/19

ONLY COPIES FROM THE ORIGINAL OF THIS SURVEY MARKED WITH AN ORIGINAL OF THE LAND SURVEYOR'S INKED OR EMBOSSED SEAL SHALL BE CONSIDERED TO BE A TRUE VALID COPY

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ALL INFORMATION ON THIS MAP EXCEPT THAT PERTAINING TO THE PROPERTY LINE IS FOR REFERENCE ONLY.

CITY OF NEW YORK
DEPARTMENT OF ENVIRONMENTAL PROTECTION

PREPARED BY:
LANDTEC SURVEYING
444 BROADWAY 12TH FLOOR
STATEN ISLAND, NY 10310
SCALE: 1" = 50' PROPERTY FOR THE
MID-ISLAND BLUEBELT
PHASE 3 (NEW CREEK)
BOROUGH OF STATEN ISLAND

ACQUISITION & DAMAGE MAP

DATE: 7/24/19

FRESHWATER WETLANDS BOUNDARY AS ESTABLISHED BY THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION ON SEPTEMBER 1, 1997 BY THE COMMISSIONER OF THE DEPARTMENT OF ENVIRONMENTAL CONSERVATION AND AS CONFIRMED BY THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 26, 2016.

JAY STREET

NOT TRAVELLED
ADOPTED BY CITY OF NEW YORK 4-29-54

355.80'

84.07'

33374856 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

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33533744 W

33533744 W

HUNTER AVENUE

ADOPTED BY CITY OF NEW YORK 4-29-54

355.80'

84.07'

33374856 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

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FRESHWATER WETLANDS BOUNDARY AS ESTABLISHED BY THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION ON SEPTEMBER 1, 1997 BY THE COMMISSIONER OF THE DEPARTMENT OF ENVIRONMENTAL CONSERVATION AND AS CONFIRMED BY THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 26, 2016.

JAY STREET

ADOPTED BY CITY OF NEW YORK 4-29-54

355.80'

84.07'

33374856 W

33533744 W

33533744 W

33533744 W

33533744 W

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FRESHWATER WETLANDS BOUNDARY AS ESTABLISHED BY THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION ON SEPTEMBER 1, 1997 BY THE COMMISSIONER OF THE DEPARTMENT OF ENVIRONMENTAL CONSERVATION AND AS CONFIRMED BY THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 26, 2016.

JAY STREET

ADOPTED BY CITY OF NEW YORK 4-29-54

355.80'

84.07'

33374856 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

33533744 W

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33533744 W

FRESHWATER WETLANDS BOUNDARY AS ESTABLISHED BY THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION ON SEPTEMBER 1, 1997 BY THE COMMISSIONER OF THE DEPARTMENT OF ENVIRONMENTAL CONSERVATION AND AS CONFIRMED BY THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 26, 2016.

JAY STREET

ADOPTED BY CITY OF NEW YORK 4-29-54

355.80'

84.07'

33374856 W

33533744 W

33533744 W

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FRESHWATER WETLANDS BOUNDARY AS ESTABLISHED BY THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION ON SEPTEMBER 1, 1997 BY THE COMMISSIONER OF THE DEPARTMENT OF ENVIRONMENTAL CONSERVATION AND AS CONFIRMED BY THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 26, 2016.

JAY STREET

ADOPTED BY CITY OF NEW YORK 4-29-54

355.80'

84.07'

33374856 W

33533744 W

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FRESHWATER WETLANDS BOUNDARY AS ESTABLISHED BY THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION ON SEPTEMBER 1, 1997 BY THE COMMISSIONER OF THE DEPARTMENT OF ENVIRONMENTAL CONSERVATION AND AS CONFIRMED BY THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 26, 2016.

JAY STREET

ADOPTED BY CITY OF NEW YORK 4-29-54

355.80'

84.07'

33374856 W

33533744 W

33533744 W

33533744 W

33533744 W

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FRESHWATER WETLANDS BOUNDARY AS ESTABLISHED BY THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION ON SEPTEMBER 1, 1997 BY THE COMMISSIONER OF THE DEPARTMENT OF ENVIRONMENTAL CONSERVATION AND AS CONFIRMED BY THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 26, 2016.

JAY STREET

ADOPTED BY CITY OF NEW YORK 4-29-54

355.80'

84.07'

33374856 W

33533744 W

33533744 W

33533744 W

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33533744 W

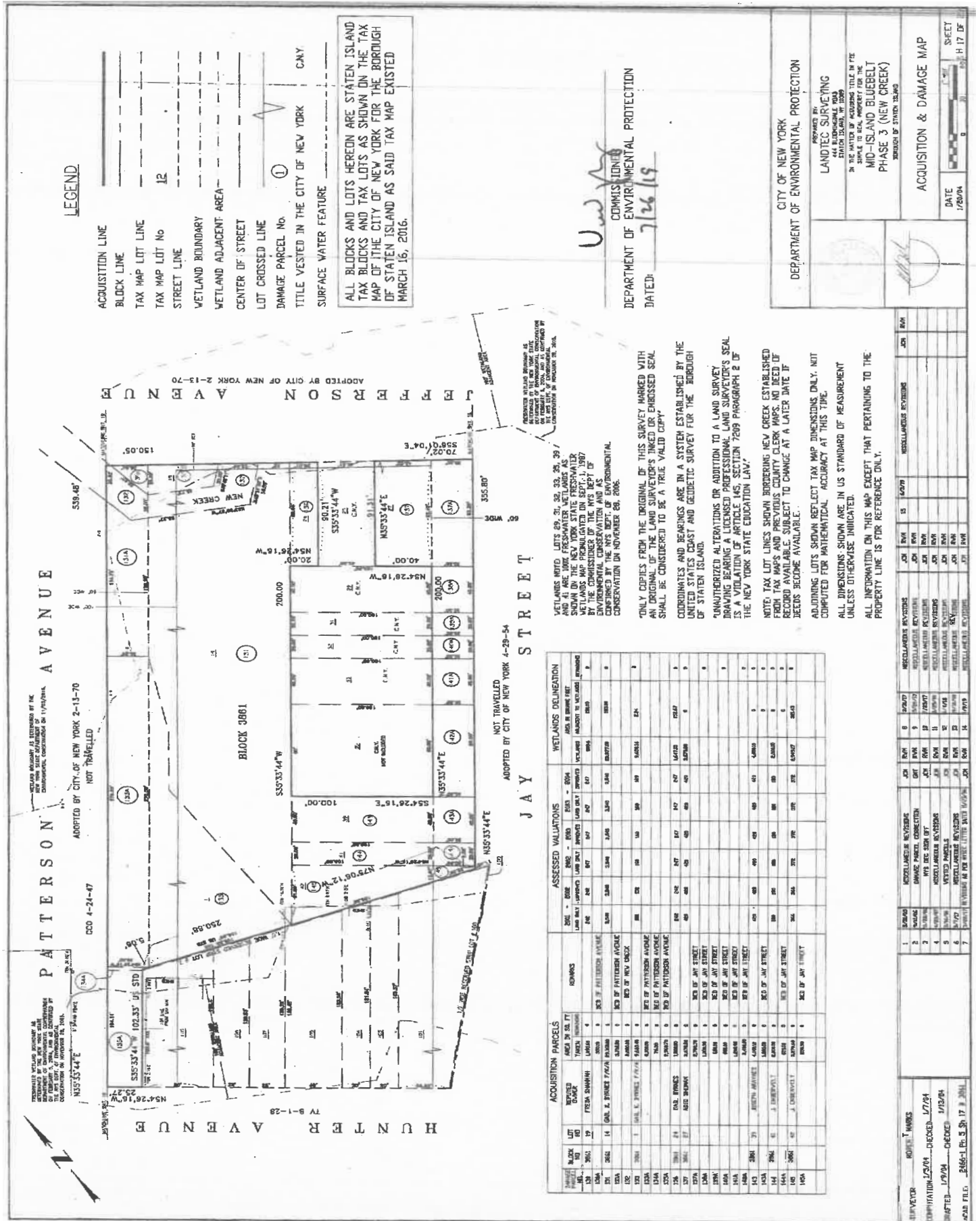
33533744 W

33533744 W

33533744 W

33533744 W

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK



LEGEND

- ACQUISITION LINE
- BLOCK LINE
- TAX MAP LOT LINE
- TAX MAP LOT No 12
- STREET LINE
- WETLAND BOUNDARY
- WETLAND ADJACENT AREA
- CENTER OF STREET
- LOT CROSSED LINE
- DAMAGE PARCEL No. ①
- TITLE VESTED IN THE CITY OF NEW YORK C.N.Y.
- SURFACE WATER FEATURE

ALL BLOCKS AND LOTS HERON ARE SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGH OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 15, 2016.

DEPARTMENT OF ENVIRONMENTAL PROTECTION
 COMMISSIONER
 U...
 DATED: 7/26/19

DEPARTMENT OF ENVIRONMENTAL PROTECTION
 CITY OF NEW YORK
 RECORDS BY
 LANDTEC SURVEYING
 100 WEST STREET, 10TH FLOOR
 STATEN ISLAND, NY 10310
 IN THE MATTER OF ACQUIRING TITLE IN THE
 SHOLE TO SEAL PROPERTY FOR THE
 MID-ISLAND BLUEBELT
 PHASE 3 (NEW CREEK)
 BOROUGH OF STATEN ISLAND

ACQUISITION & DAMAGE MAP

DATE: 1/28/04

SHEET: 17 OF 17

JAY STREET
 NOT TRAVELLED
 ADOPTED BY CITY OF NEW YORK 4-29-54

WETLANDS NOTED LOTS 49, 50, 52, 53, 28, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100.

ONLY COPIES FROM THE ORIGINAL OF THIS SURVEY MARKED WITH AN ORIGINAL OF THE LAND SURVEYOR'S SEAL OR EMBOSSED SEAL SHALL BE CONSIDERED TO BE A TRUE VALID COPY.

COORDINATES AND BEARINGS ARE IN A SYSTEM ESTABLISHED BY THE UNITED STATES COAST AND GEODETIC SURVEY FOR THE BOROUGH OF STATEN ISLAND.

UNAUTHORIZED ALTERATIONS OR ADDITION TO A LAND SURVEY DRAWING BEARING A LICENSED PROFESSIONAL LAND SURVEYOR'S SEAL IS A VIOLATION OF ARTICLE 145, SECTION 7209 PARAGRAPH 2 OF THE NEW YORK STATE EDUCATION LAW.

NOTE: TAX LOT LINES SHOWN BORDERING NEW CREEK ESTABLISHED FROM TAX MAPS AND PREVIOUS COUNTY CLERK MAPS. NO DEED OF RECORD AVAILABLE. SUBJECT TO CHANGE AT A LATER DATE IF DEEDS BECOME AVAILABLE.

ADJOINING LOTS SURVIVOR SELECT TAX MAP DIMENSIONS ONLY. NOT COMPUTED FOR MATHEMATICAL ACCURACY AT THIS TIME.

ALL DIMENSIONS SHOWN ARE IN US STANDARD OF MEASUREMENT UNLESS OTHERWISE INDICATED.

ALL INFORMATION ON THIS MAP EXCEPT THAT PERTAINING TO THE PROPERTY LINE IS FOR REFERENCE ONLY.

BLOCK	LOT	AREA IN SQ. FT.	THICKNESS	REMARKS	ACQUIRED PARCELS		ASSESSED VALUATIONS		WETLANDS DELINEATION	
					DATE	BY	2018	2019	AREA IN SQUARE FEET	AREA IN SQUARE FEET
128	128	128	128	128	128	128	128	128	128	128
129	129	129	129	129	129	129	129	129	129	129
130	130	130	130	130	130	130	130	130	130	130
131	131	131	131	131	131	131	131	131	131	131
132	132	132	132	132	132	132	132	132	132	132
133	133	133	133	133	133	133	133	133	133	133
134	134	134	134	134	134	134	134	134	134	134
135	135	135	135	135	135	135	135	135	135	135
136	136	136	136	136	136	136	136	136	136	136
137	137	137	137	137	137	137	137	137	137	137
138	138	138	138	138	138	138	138	138	138	138
139	139	139	139	139	139	139	139	139	139	139
140	140	140	140	140	140	140	140	140	140	140
141	141	141	141	141	141	141	141	141	141	141
142	142	142	142	142	142	142	142	142	142	142
143	143	143	143	143	143	143	143	143	143	143
144	144	144	144	144	144	144	144	144	144	144
145	145	145	145	145	145	145	145	145	145	145
146	146	146	146	146	146	146	146	146	146	146
147	147	147	147	147	147	147	147	147	147	147
148	148	148	148	148	148	148	148	148	148	148
149	149	149	149	149	149	149	149	149	149	149
150	150	150	150	150	150	150	150	150	150	150

NO.	REVISION	DATE	BY	REVISION	DATE	BY
1	ISSUED	1/28/04	JCH	ISSUED	1/28/04	JCH
2	REVISION	1/28/04	JCH	REVISION	1/28/04	JCH
3	REVISION	1/28/04	JCH	REVISION	1/28/04	JCH
4	REVISION	1/28/04	JCH	REVISION	1/28/04	JCH
5	REVISION	1/28/04	JCH	REVISION	1/28/04	JCH
6	REVISION	1/28/04	JCH	REVISION	1/28/04	JCH
7	REVISION	1/28/04	JCH	REVISION	1/28/04	JCH

REVISIONS:
 1. ISSUED 1/28/04
 2. REVISION 1/28/04
 3. REVISION 1/28/04
 4. REVISION 1/28/04
 5. REVISION 1/28/04
 6. REVISION 1/28/04
 7. REVISION 1/28/04

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK

LEGEND

- ACQUISITION LINE
- BLOCK LINE
- TAX MAP LOT LINE
- TAX MAP LOT No 12
- STREET LINE
- WETLAND BOUNDARY
- WETLAND ADJACENT AREA
- CENTER OF STREET
- SURFACE WATER FEATURE
- LOT CROSSED LINE
- DAMAGE PARCEL No. ①
- TITLE VESTED IN THE CITY OF NEW YORK C.N.Y.

COMMISSIONER
DEPARTMENT OF ENVIRONMENTAL PROTECTION
DATED 7/26/19

ALL BLOCKS AND LOTS HEREON ARE STATEN ISLAND TAX BLOCKS AND TAX LOTS AS SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGH OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 16, 2016

DEPARTMENT OF ENVIRONMENTAL PROTECTION

PREPARED BY
LANDTEC SURVEYING
64 BLOOMINGDALE ROAD
STATEN ISLAND, NY 10314

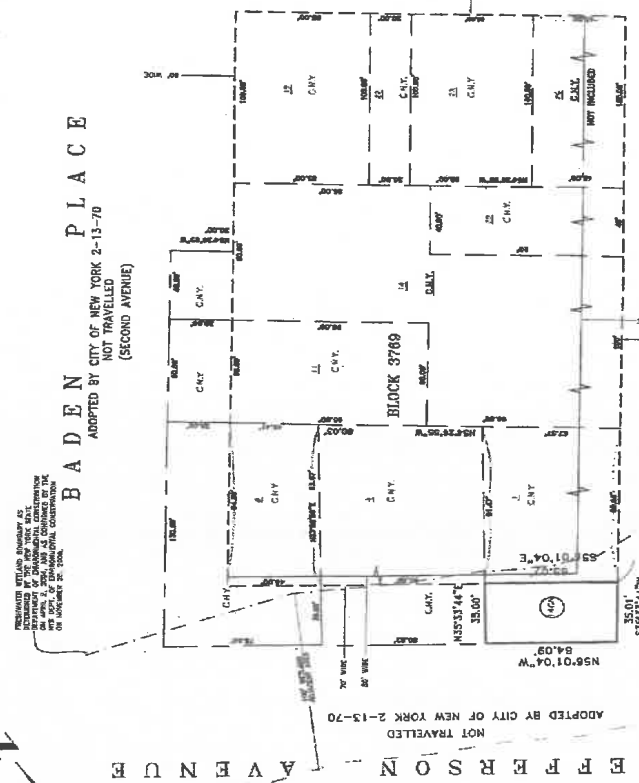
IN THE MATTER OF ACQUIRING TITLE IN FEE SUBJECT TO REAL PROPERTY FOR THE
**MID-ISLAND BLUEBELT
PHASE 3 (NEW CREEK)**
BOROUGH OF STATEN ISLAND

ACQUISITION & DAMAGE MAP

DATE: 12/28/18

SHEET: 11 OF 12

GRAHAM BOULEVARD



WETLANDS NOTE: LOTS 19, 22, 23, 29, 31, AND 9 OF THIS SURVEY ARE LOCATED IN THE NEW YORK STATE PRESERVATION WETLANDS MAP PROMULGATED ON SEPT. 1, 1987 BY THE COMMISSIONER OF THE NYS DEPT OF ENVIRONMENTAL CONSERVATION AND AS CONFIRMED BY THE NYS DEPT OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 28, 2006.

"ONLY COPIES FROM THE ORIGINAL OF THIS SURVEY MARKED WITH AN ORIGINAL OF THE LAND SURVEYOR'S INKED OR EMBOSSED SEAL SHALL BE CONSIDERED TO BE A TRUE VALID COPY"

COORDINATES AND BEARINGS ARE IN A SYSTEM ESTABLISHED BY THE UNITED STATES COAST AND GEODETIC SURVEY FOR THE BOROUGH OF STATEN ISLAND.

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NOTE: TAX LOT LINES SHOWING NEW CREEK ESTABLISHED FROM TAX MAPS AND PREVIOUS CITY CLERK RECORD AVAILABLE. SUBJECT TO CHANGE AT A LATER DATE IF DEEDS BECOME AVAILABLE.

ADJOINING LOTS SHOWN REFLECT TAX MAP DIMENSIONS ONLY, NOT COMPUTED FOR MATHEMATICAL ACCURACY AT THIS TIME.

ALL DIMENSIONS SHOWN ARE IN US STANDARD OF MEASUREMENT UNLESS OTHERWISE INDICATED.

ALL INFORMATION ON THIS MAP EXCEPT THAT PERTAINING TO THE PROPERTY LINE IS FOR REFERENCE ONLY.

ADOPTED BY CITY OF NEW YORK 2-13-70

BADEN PLACE
ADOPTED BY CITY OF NEW YORK 2-13-70
(SECOND AVENUE)

PATTERSON AVENUE
ADOPTED BY CITY OF NEW YORK 2-13-70
NOT TRAVELLED

JEFFERSON AVENUE
ADOPTED BY CITY OF NEW YORK 2-13-70
NOT TRAVELLED

PARCEL NUMBER	LOT NO	AREA IN SQ FT	ACQUIRED PARCELS		WETLANDS DELINEATION		
			AREA IN SQ FT	TAXED	AREA IN SQUARE FEET	AREA IN SQUARE FEET	
1	1	1,000	1,000	1,000	1,000	1,000	1,000
2	2	1,000	1,000	1,000	1,000	1,000	1,000
3	3	1,000	1,000	1,000	1,000	1,000	1,000
4	4	1,000	1,000	1,000	1,000	1,000	1,000
5	5	1,000	1,000	1,000	1,000	1,000	1,000
6	6	1,000	1,000	1,000	1,000	1,000	1,000
7	7	1,000	1,000	1,000	1,000	1,000	1,000

SURVEYOR: ROBERT HARRIS

COMPUTATION: J2004 - CHECKED: 1/7/04

DRAWN: 1/2/04 - CHECKED: 1/15/04

JOB FILE: 2466-1 (Pt. 3) Sh. B. 3769

REVISIONS	DATE	BY	REASON
1	12/28/18	JCH	ISSUED FOR RECORD
2	12/28/18	JCH	ISSUED FOR RECORD
3	12/28/18	JCH	ISSUED FOR RECORD
4	12/28/18	JCH	ISSUED FOR RECORD
5	12/28/18	JCH	ISSUED FOR RECORD
6	12/28/18	JCH	ISSUED FOR RECORD
7	12/28/18	JCH	ISSUED FOR RECORD

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK

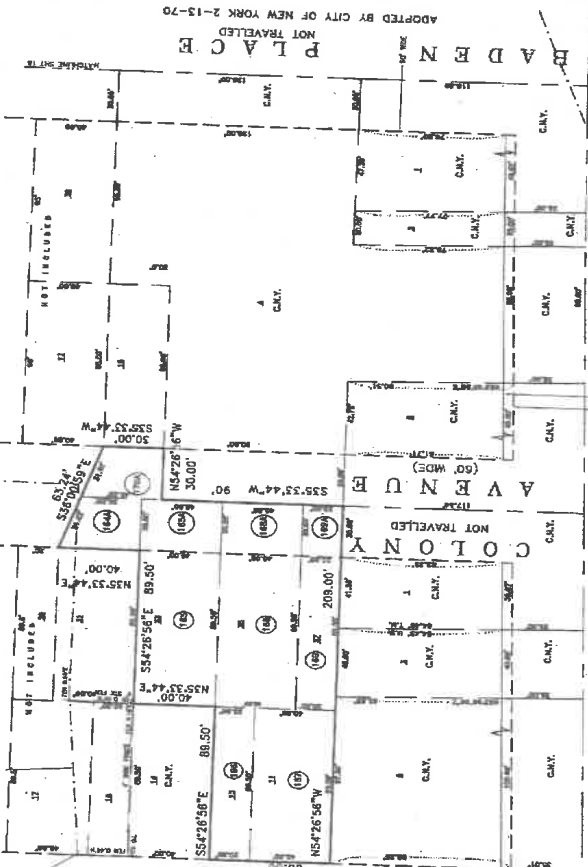
GRAHAM BOULEVARD
BLOCK 3767
CCO 3-8-91 20'-50' AS IN USE
ADOPTED BY CITY OF NEW YORK 2-13-70

OLYMPIA BOULEVARD
BLOCK 3768
CCO 3-8-91 20'-50' AS IN USE
ADOPTED BY CITY OF NEW YORK 2-13-70

NOTES: THIS MAP WAS PREPARED AS PROVIDED BY THE CITY OF NEW YORK. THE CITY OF NEW YORK HAS REVIEWED THE MAP AND HAS DETERMINED THAT IT CONFORMS TO THE REQUIREMENTS OF THE CITY OF NEW YORK. THE CITY OF NEW YORK DOES NOT WARRANT THE ACCURACY OF THE INFORMATION CONTAINED HEREON.

- ACQUISITION LINE
- BLOCK LINE
- TAX MAP LOT LINE
- TAX MAP LOT No 12
- STREET LINE
- WETLAND BOUNDARY
- WETLAND ADJACENT AREA
- CENTER OF STREET
- SURFACE WATER FEATURE
- LOT CROSSED LINE
- DAMAGE PARCEL No ①
- TITLE VESTED IN THE CITY OF NEW YORK C.N.Y.

Uw
COMMISSIONER
DEPARTMENT OF ENVIRONMENTAL PROTECTION
DATED: 7/26/19



WETLANDS NOTE: LOTS 23, 13, 11, 35, 37, 3, 15, AND 17 ARE DRY FRESHWATER WETLANDS AS SHOWN ON THE CITY OF NEW YORK WETLANDS MAP RECALCULATED IN 2017 BY THE COMMISSIONER OF THE NYS DEPT OF ENVIRONMENTAL CONSERVATION AND AS SHOWN ON THE CITY OF NEW YORK WETLANDS MAP RECALCULATED IN 2017 BY THE COMMISSIONER OF THE NYS DEPT OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 28, 2018.

JEFFERSON AVENUE
ADOPTED BY CITY OF NEW YORK 2-13-70

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"UNAUTHORIZED ALTERATIONS OR ADDITION TO A LAND SURVEY DRAWING BEARING A LICENSED PROFESSIONAL LAND SURVEYOR'S SEAL IS A VIOLATION OF ARTICLE 145, SECTION 7209 PARAGRAPH 2 OF THE NEW YORK STATE EDUCATION LAW."

NOTES: TAX LOT LINES SURVIVOR NEW CREEK ESTABLISHED FROM TAX MAPS AND PREVIOUS COUNTY TAX MAPS. ALL LOTS OF RECORD AVAILABLE. SUBJECT TO CHANGE AT A LATER DATE IF DEEDS BECOME AVAILABLE.
ADJOINING LOTS'S SHOWN REFLECT TAX MAP DIMENSIONS ONLY. NOT COMPUTED FOR MATHEMATICAL ACCURACY AT THIS TIME.
ALL DIMENSIONS SHOWN ARE IN US STANDARD OF MEASUREMENT UNLESS OTHERWISE INDICATED.
ALL INFORMATION ON THIS MAP EXCEPT THAT PERTAINING TO THE PROPERTY LINE IS FOR REFERENCE ONLY.

PARCEL NO.	BLOCK	LOT	REMARKS	ACQUIRED PARCELS		ASSESSED VALUATIONS		WETLANDS DELINEATION	
				AREA IN SQ. FT.	TAXES	2018 - 2019	2018 - 2019	AREA IN SQUARE FEET	WETLANDS
149A	3767	23	SECT OF COLONY AVENUE	17000	1	300	300	300	1
150A	3767	13	SECT OF COLONY AVENUE	17000	1	300	300	300	1
151A	3767	11	SECT OF COLONY AVENUE	17000	1	300	300	300	1
152A	3767	9	SECT OF COLONY AVENUE	17000	1	300	300	300	1
153A	3767	7	SECT OF COLONY AVENUE	17000	1	300	300	300	1
154A	3767	5	SECT OF COLONY AVENUE	17000	1	300	300	300	1
155A	3767	3	SECT OF COLONY AVENUE	17000	1	300	300	300	1
156A	3767	1	SECT OF COLONY AVENUE	17000	1	300	300	300	1

NO.	REVISION	DATE	BY	REASON
1	ISSUED	7/26/19	UW	INITIAL RELEASE
2	RECALCULATED	7/26/19	UW	RECALCULATED
3	RECALCULATED	7/26/19	UW	RECALCULATED
4	RECALCULATED	7/26/19	UW	RECALCULATED
5	RECALCULATED	7/26/19	UW	RECALCULATED
6	RECALCULATED	7/26/19	UW	RECALCULATED
7	RECALCULATED	7/26/19	UW	RECALCULATED

ALL BLOCKS AND LOTS HEREON ARE STATEN ISLAND TAX BLOCKS AND TAX LOTS AS SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGH OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 16, 2016.

DEPARTMENT OF ENVIRONMENTAL PROTECTION
CITY OF NEW YORK

PREPARED BY:
LANDTEC SURVEYING
444 MADISON AVE.
STATEN ISLAND, NY 10310

IN THE MATTER OF ACQUISITION TITLE IN THE MID-ISLAND BLUEBELT PHASE 3 (NEW CREEK) BOROUGH OF STATEN ISLAND

ACQUISITION & DAMAGE MAP

DATE: 7/26/19
SHEET: 10 OF 20

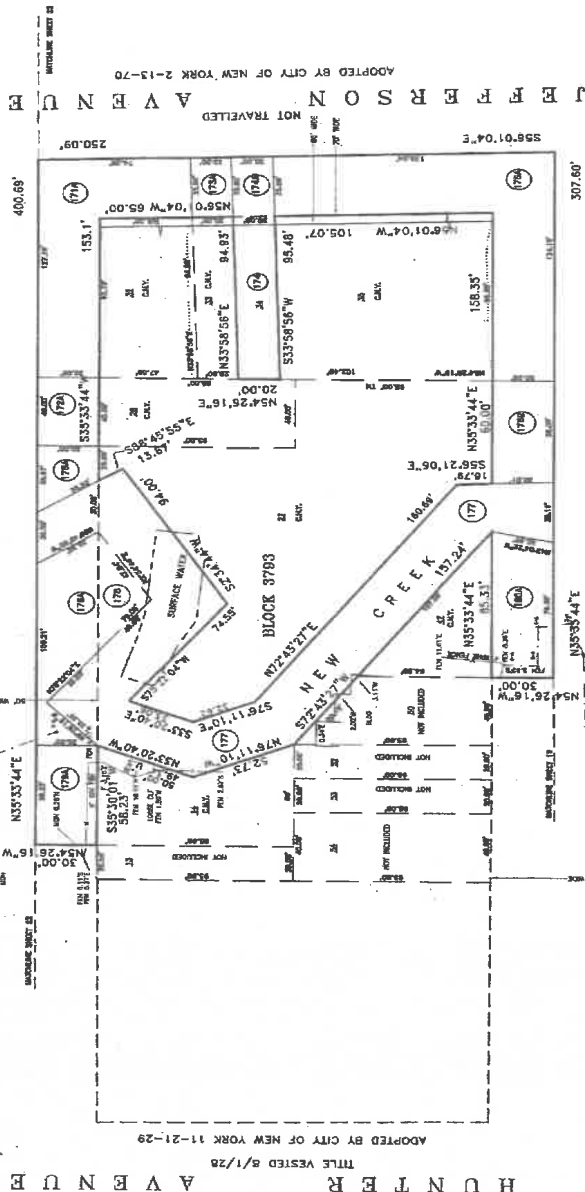
COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK

LEGEND

- ACQUISITION LINE
- BLOCK LINE
- TAX MAP LOT LINE
- TAX MAP LOT No. 12
- STREET LINE
- WETLAND BOUNDARY
- WETLAND ADJACENT AREA
- CENTER OF STREET
- SURFACE WATER FEATURE
- LOT CROSSED LINE
- DAMAGE PARCEL No. ①
- TITLE VESTED IN THE CITY OF NEW YORK CANTY.

COLONY AVENUE (3rd AVENUE) NOT TRAVELLED ADOPTED BY CITY OF NEW YORK 11-21-29

WETLAND BOUNDARY AS ESTABLISHED BY THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION ON 10/17/2016.



WETLANDS NOTE: LOTS 31, 32, 34, 35, 27 AND 47 ARE 100% FRESHWATER WETLANDS AS SHOWN ON THE NEW YORK STATE FRESHWATER WETLANDS MAP PROMULGATED ON SEPT. 1, 1987 BY THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION AND AS CONCERNED BY THE NYS DEPT. OF ENVIRONMENTAL CONSERVATION ON NOVEMBER 28, 2006 & OCT 15, 2016

ADOPTED BY CITY OF NEW YORK 11-21-29

BADEN PLACE (2nd AVENUE) NOT TRAVELLED ADOPTED BY CITY OF NEW YORK 11-21-29

COORDINATES AND BEARINGS ARE IN A SYSTEM ESTABLISHED BY THE UNITED STATES COAST AND GEODETIC SURVEY FOR THE BOROUGH OF STATEN ISLAND.

*ONLY COPIES FROM THE ORIGINAL OF THIS SURVEY MARKED WITH AN ORIGINAL OF THE LAND SURVEYOR'S INKED OR EMBOSSED SEAL SHALL BE CONSIDERED TO BE A TRUE VALID COPY.

*UNAUTHORIZED ALTERATIONS OR ADDITION TO A LAND SURVEY DRAWING BEARING A LICENSED PROFESSIONAL LAND SURVEYOR'S SEAL IS A VIOLATION OF ARTICLE 145, SECTION 7809 PARAGRAPH 2 OF THE NEW YORK STATE EDUCATION LAW.

NOTE: TAX LOT LINES SHOWN BORDERING NEW CREEK ESTABLISHED FROM TAX MAPS AND PREVIOUS COUNTY CLERK MAPS. NO DEED OF RECORD AVAILABLE. SUBJECT TO CHANGE AT A LATER DATE IF DEEDS BECOME AVAILABLE.

ADJOINING LOTS SHOWN REFLECT TAX MAP DIMENSIONS ONLY. NOT COMPUTED FOR MATHEMATICAL ACCURACY AT THIS TIME.

ALL DIMENSIONS SHOWN ARE IN US STANDARD OF MEASUREMENT UNLESS OTHERWISE INDICATED.

ALL INFORMATION ON THIS MAP EXCEPT THAT PERTAINING TO THE PROPERTY LINE IS FOR REFERENCE ONLY.

ALL BLOCKS AND LOTS HEREON ARE STATEN ISLAND TAX BLOCKS AND TAX LOTS AS SHOWN ON THE TAX MAP OF THE CITY OF NEW YORK FOR THE BOROUGH OF STATEN ISLAND AS SAID TAX MAP EXISTED MARCH 16, 2016

COMMISSIONER
DEPARTMENT OF ENVIRONMENTAL PROTECTION
DATED: 7/24/19

DEPARTMENT OF ENVIRONMENTAL PROTECTION

PREPARED BY:
LANDTEC SURVEYING
STATEN ISLAND, NY 10314
IN THE MATTER OF ACQUIRING TITLE IN FEE
SIMPLE TO REAL PROPERTY FOR THE
MID-ISLAND BLUEBELT
PHASE 3 (NEW CREEK)
BOROUGH OF STATEN ISLAND

ACQUISITION & DAMAGE MAP
DATE: 1/20/04
SHEET 11 OF 21

NAME	BLOCK NO.	LOT NO.	ACQUIRED OWNER	AREA IN SQ. FT. (TAXID)	ASSESSED VALUATIONS		WETLANDS DELINEATION	
					2002	2007	2002	2007
177A	3793	34	AMG & LHC	12,000	12,000	0	0	
177B	3793	35	AMG & LHC	12,000	12,000	0	0	
177C	3793	36	AMG & LHC	12,000	12,000	0	0	
177D	3793	37	AMG & LHC	12,000	12,000	0	0	
177E	3793	38	AMG & LHC	12,000	12,000	0	0	
177F	3793	39	AMG & LHC	12,000	12,000	0	0	
177G	3793	40	AMG & LHC	12,000	12,000	0	0	
177H	3793	41	AMG & LHC	12,000	12,000	0	0	
177I	3793	42	AMG & LHC	12,000	12,000	0	0	
177J	3793	43	AMG & LHC	12,000	12,000	0	0	
177K	3793	44	AMG & LHC	12,000	12,000	0	0	
177L	3793	45	AMG & LHC	12,000	12,000	0	0	
177M	3793	46	AMG & LHC	12,000	12,000	0	0	
177N	3793	47	AMG & LHC	12,000	12,000	0	0	
177O	3793	48	AMG & LHC	12,000	12,000	0	0	
177P	3793	49	AMG & LHC	12,000	12,000	0	0	
177Q	3793	50	AMG & LHC	12,000	12,000	0	0	

NO.	REVISION	DATE	BY	DESCRIPTION
1	ISSUED	11/21/29	JCH	ISSUED FOR RECORD
2	REVISION	11/21/29	JCH	REVISION TO CORRECT MISTAKES
3	REVISION	11/21/29	JCH	REVISION TO CORRECT MISTAKES
4	REVISION	11/21/29	JCH	REVISION TO CORRECT MISTAKES
5	REVISION	11/21/29	JCH	REVISION TO CORRECT MISTAKES
6	REVISION	11/21/29	JCH	REVISION TO CORRECT MISTAKES
7	REVISION	11/21/29	JCH	REVISION TO CORRECT MISTAKES

SURVEYOR
DEPUTY... 1/7/19
CHECKED... 1/13/04
DATE... 1/20/04
SHEET 11 OF 21

COURT NOTICE MAPS FOR MID-ISLAND BLUEBELT - PHASE 3 - NEW CREEK

Table with columns: PARCEL BLOCK, LOT, SHEET, REMARKS. Contains parcel data for lots 18 through 27.

Table with columns: PARCEL BLOCK, LOT, SHEET, REMARKS. Contains parcel data for lots 28 through 47.

Table with columns: PARCEL BLOCK, LOT, SHEET, REMARKS. Contains parcel data for lots 48 through 67.

Table with columns: PARCEL BLOCK, LOT, SHEET, REMARKS. Contains parcel data for lots 68 through 87.

City of New York Department of Environmental Protection logo and title block. Includes text: 'CITY OF NEW YORK DEPARTMENT OF ENVIRONMENTAL PROTECTION', 'LANDTEC SURVEYING', 'ACQUISITION & DAMAGE MAP', 'DATE 1/20/04', 'SHEET 23 OF 29'.

REVISIONS: REVISION 6/14/18, REVISION 7/15/18, REVISION 8/14/17, REVISION 6/26/17, REVISION 3/16/16, REVISION 4/29/18, REVISION 5/4/18, REVISION 10/29/19.