Instructions (CGP Part III.A.6):

* The Sub-Contractor’s Certification is one of several required documents in SWPPP Appendix E. It shall be completed after the SWPPP is approved by DEP and before construction begins.
* This document shall be used to identify the Sub-Contractor(s) that will be responsible for installing, constructing, repairing, replacing, inspecting and maintaining the pollution prevention measures, erosion and sediment control practices, and stormwater management practices included in the SWPPP. The following personnel must be listed in this form and provide certifications at the end of the document:
  + Sub-Contractor Representative: The Sub-Contractor representative with primary responsibility for the portion of the work under the sub-contractor’s scope of work on the project site.
  + Trained Contractor(s): The employee(s) of the Sub-Contractor that is knowledgable in the principles and practice of ESC and will be responsible for conducting Daily Trained Contractor Inspections and implementing the SWPPP. Trained Contractors must have completed the NYS DEC 4-Hour Training for Erosion and Sediment control, every 3 years.
* All pollution prevention measures, ESC practices and SMPs listed in the SWPPP, shall be included in the Contractor’s (E.2) or Sub-Contractor’s (E.3) Certification. If several Contractors or Sub-Contractors will be responsible for implementing distinct elements of the SWPPP, copies of the Contractor and Sub-Contractor’s Certification may be created to assign each Contractor to the specific stormwater measures they will be responsible for.
* All Contractors listed on Contractor’s and Sub-Contractor’s certification shall also be listed on Section 1 of the SWPPP.
* Once complete, signed copies of all Contractor and Sub-Contractor Certifications shall be attached to the SWPPP Appendix E on-site.

*Remove instructions before submitting*

**PROJECT SWPTS Application ID**

Project Name SWPTS Application ID

**CONTRACTING FIRM NAME CONTRACTING FIRM ADDRESS**

Example Sub-Contractor, LLC 456 Example Ave, New York City NY

**SUB-CONTRACTOR REPRESENTATIVE**

**Name and Title:**  Joe Doe, Project Manager

**Contact Information:** 123-567-7899, [joe.doe@examplecontractor.com](mailto:joe.doe@examplecontractor.com)

**TRAINED CONTRACTOR(S) WITH SWPPP RESPONSIBILITIES**

1. **Name and Title:**  Jane Doe, Project Manager

**Contact Information:** 123-456-7899, [jane.doe@examplecontractor.com](mailto:jane.doe@examplecontractor.com)

**NYS DEC ESC Training Certificate Number and Expiration Date**: 123456, Exp: 11/24/2026

1. **Name and Title:**  John Smith, Project Superintendent

**Contact Information:** 321-654-9877, [john.smith@examplecontractor.com](mailto:john.smith@examplecontractor.com)

**NYS DEC ESC Training Certificate Number and Expiration Date**: 654321, Exp: 11/24/2026

**STORMWATER MEASURES**

Contractor is responsible for all stormwater pollution prevention measures described withing the SWPPP, and relisted below:

1. **Pollution Prevention Measures and Spill Reporting:**
   1. Insert Pollution Prevention Measure
   2. Insert Pollution Prevention Measure
   3. Insert Pollution Prevention Measure
2. **Erosion and Sediment Control Practice:**
3. Insert ESC Practice
4. Insert ESC Practice
5. Insert ESC Practice
6. **Stormwater Management Practices:**
7. Insert SMP
8. Insert SMP
   1. Insert SMP

**CERTIFICATION**

*“I hereby certify under penalty of law that I understand and agree to comply with the terms and conditions of the SWPPP and agree to implement any corrective actions identified by the qualified inspector during a site inspection. I also understand that the developer must comply with the terms and conditions of the NYC Stormwater Construction Permit, the most current version of the New York State Pollutant Discharge Elimination System (SPDES") general permit for stormwater discharges from construction activities and that it is unlawful for any person to cause or contribute to a violation of water quality standards. Furthermore, I am aware that there are significant penalties for submitting false information that I do not believe to be true, including the possibility of fine and imprisonment for knowing violations.”*

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| |  |  | | --- | --- | | **X** |  | | *Sub-Contractor Representative*  *Print Name, Title, and Company* | | | |  |  | | --- | --- | | **X** |  | | *Sub-Contractor Representative*  *Sign and Date* | | |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| |  |  | | --- | --- | | **X** |  | | *Trained Contractor*  *Print Name, Title, and Company* | | | |  |  | | --- | --- | | **X** |  | | *Trained Contractor*  *Sign and Date* | | |