

**Certificate of Fitness Alternative Issuance Procedure**  
**S-97 Fire Alarm Systems Inspection, Testing and Service Principal**  
**Applicant Affirmation Form**

(Used by Individual Applicants only, PRINT all information requested)  
This form must be completed by the applicant for the application to be valid.

**SECTION 1: EMPLOYER INFORMATION**

Employer company name: \_\_\_\_\_

Employer company code: \_\_\_\_\_;  N/A

Is this company an FDNY approved Fire Alarm company?  Yes, company ID: \_\_\_\_\_;

No, but the Fire Alarm company application document will be mailed.

Company Address : \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zipcode \_\_\_\_\_

Name of Designated Coordinator: \_\_\_\_\_;  N/A

Email of Designated Coordinator: \_\_\_\_\_@\_\_\_\_\_ ;  N/A

Contact phone # of Designated Coordinator: \_\_\_\_\_;  N/A

**SECTION 2: APPLICANT INFORMATION**

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_ MI \_\_\_\_\_ Last 4 digits of SSN: XXX-XX-\_\_\_\_-\_\_\_\_

Contact Phone: \_\_\_\_\_ Email: \_\_\_\_\_@\_\_\_\_\_

Mailing Address : \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zipcode \_\_\_\_\_

Experience in the related field: \_\_\_\_\_ years.

Are you the principal of the Fire Alarm company?  Yes;  No, if you check “No”, you are not qualified to apply S-97, you may consider apply S-98.

**SECTION 3: DECLARATION**

I, \_\_\_\_\_, am a principal of the above company hereby certify that I have a reasonable understanding of and have received training in the manufacturer manual for different types of fire alarm systems and I am trained and knowledgeable in the following applicable code/rule and the FDNY study material:

- Fire Code Chapter: Chapter 1 and Chapter 9
- Fire Department Rule Chapter/Section: § 901-01
- Building Code: Section 907
- National Fire Protection Association Codes and Standards: NFPA 72, 2010 Edition
- FDNY Study Material: S-97/S-98

I understand that I will be subject to all applicable penalties provided by law for a false or fraudulent submission, including suspension, revocation and/or non-renewal of this and other Certificates of Fitness pursuant to FC113 and Fire Department rule 3 RCNY 113-01; and/or other penalties provided by law, rule or regulation with respect to my professional license.

I acknowledge that it is unlawful under New York State and New York City law to make a false statement to the Fire Department; or to give to a City employee, or for a City employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration, including but not limited to gifts, cash, favors, meals and trips.

I also understand that the Fire Department reserves the right to call up to test the applicants who are issued by AIP. Applicant who has failed the written exam will not be allowed to take advantage of this policy.

On this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_, I have hereunto affixed my signature and I certify that, subject to penalty of fine or imprisonment pursuant to the New York State Penal Law and NYC Administrative Code §15-220.1, that the information provided is true and accurate.

I hereby authorize my employer to represent me before the NYC in connection with my C of F application(s). (Check if your employer is submitting the application for you)

Signature of Applicant: \_\_\_\_\_ Date: \_\_\_\_\_

**SECTION 4: EDUCATION, LICENSE, AND EXPERIENCE REQUIREMENT**

*(You must attach the copy of the license documentation along with your application)*

- Hold **ONE** of the following certificate or licenses:
  - National Institute for Certification in Engineering Technologies fire alarm certified Level II (NICET) or above;
  - New York City Department of Buildings (DOB) Master Electrician License.

AND

- Meet **ONE** of the following qualifications:
  - Employee of an **approved** Fire Alarm company.  
The approved Fire Alarm company list can be found:  
<https://www1.nyc.gov/assets/fdny/downloads/pdf/business/approved-companies-fire-alarm-system-inspection-testing-service.pdf>
  - Employee of a fire alarm company applying for the FDNY’s approval. The company certification application will be mailed to the FDNY after this S-97 application submitted online.  
Find the information regarding the FDNY Fire Alarm company application:  
<https://www1.nyc.gov/site/fdny/business/all-certifications/certificates-fire-alarm-system.page>

**SECTION 5: RECOMMENDATION LETTER**

All applicants must present a letter of recommendation from the employer. The letter must be on official letterhead, and must state the applicant’s full name, experience and the address where the applicant will work. If the applicants are self-employed or the principal of the company, they must submit a notarized letter attesting to their qualifications. For more info:

- Sample of recommendation letter:  
<http://www1.nyc.gov/assets/fdny/downloads/pdf/business/cof-sampler-rec-letter.pdf>
- Sample of self-employed letter:  
<http://www1.nyc.gov/assets/fdny/downloads/pdf/business/cof-sample-self-rec-letter.pdf>

**SECTION 6: PHOTO REQUIREMENT**

A recent photo (2x2 head shot) in JPG or JPEG format. File name should be named with applicant’s first and last name.

**SECTION 7: APPLICATION FEE AND PROCEDURES**

The application and fee for this certificate is \$ 25. The application and fee must be submitted online.

Instructions for online application and payment can be found here:  
<https://www1.nyc.gov/assets/fdny/downloads/pdf/business/fdny-business-cof-individuals.pdf>

**SECTION 8: CHECK LIST OF ALL SUPPORTING DOCUMENTS NEEDED**

This check list should be used to review if you have prepared and scanned the following materials that are required to be uploaded to submit your application online:

- This completed and notarized affirmation form.
- All required documents listed in Section 4.
- A recommendation letter with company letterhead.
- A recent photo in JPG or JPEG format.



Use the following link (or use the QR code) to learn how to APPLY ONLINE:  
<http://www1.nyc.gov/assets/fdny/downloads/pdf/business/fdny-business-cof-individuals-short.pdf>

**SECTION 9: STATEMENTS & SIGNATURES**

I understand that I am legally bound by what is stated in this application and will be responsible for any false statements or inaccurate information. I hereby solemnly swear under oath and subject to penalty of perjury that the information provided by me in this application is true and accurate to the best of my knowledge.

_____ <i>Applicant’s print name</i>	Notarization <i>(required for individual applicant)</i> State of New York, county of: _____	Notary Seal
_____ <i>Applicant’s signature</i>	Sworn to or affirmed under penalty of perjury _____ day of _____ 20____ Notary Signature	
_____ <i>Date</i>	_____	