

Homeless Management Information System (HMIS)

Policies and Procedures

Annual Training

SEPTEMBER 2024



Welcome!

Today's Agenda

- 1. Introductions
 - 1. Feel free to drop your name, role, and agency in the chat!
- 2. Background
- 3. HMIS Updates and Housekeeping
- 4. Policies and Procedures Key Policies Review
- 5. Staff Training Requirement
- 6. APR & Reporting Demonstration
- 7. Questions

HMIS Team

Jayme Day – HMIS Director
Charles M. Winkler – Deputy Director
Roxanna Deleon – HMIS Coordinator
Israel DeJesus – HMIS Special Projects Analyst
Allyson Kelley – HMIS Analyst
Caroline Prichard – HMIS Analyst
Tori Morris – HOPE Coordinator and HMIS Analyst

Background

•Who Participates?

- All HUD CoC Funded projects are required to participate in their local HMIS.
- Goal is <u>100% participation across the NYC CoC</u> for all programs that serve individuals who are homeless or homeless-at-entry.
- CoC-funded Victim Service Providers (VSPs) are required to use a HMIS comparable database that conforms to the HMIS Data Standards and can produce all HMIS reports. DV projects not operated by VSPs are required to participate in HMIS if they are HUD funded.

What is Participation?

- 1. Upload data monthly to NYC HMIS Data Warehouse
- 2. Comply with HMIS policies and procedures

The HMIS Lead must provide an annual HMIS training, a per the Policies and Procedures.

2024 - 2025 HMIS Calendar

October 30 th , 2024	 Annual Notice of Funding Opportunity (NOFO) Due
November 2024	Longitudinal System Analysis (LSA) Opens
January 28 th , 2025	 HOPE Count All CoC – funded agencies get to be required to participate! Housing Inventory Chart/ Point in Time (HIC/PIT) Process begins
February 2025	System Performance Measures (SPM) opens
March 2025	System Performance Measures (SPM) due
April/May 2025	 Housing Inventory Chart/ Point in Time HIC/PIT due

Reporting Deadlines

Special Opportunity Funding Projects Only (SNOFO & YHDP)

Quarterly

Quarter	Dates Covered	Due date
Q1	10/1 - 12/31	1/30
Q2	1/1 - 3/31	4/30
Q3	4/1 - 6/30	7/30
Q4	7/1 - 9/30	10/30

Annual

YHDP: Annual Performance Report (APR) for operating year due 90 days after operating year end date

SNOFO: As SNOFO reporting is cumulative, your "APR" will be the report ending on 9/30 of each year and will include additional questions.

Regular Reporting

Ongoing

Client data should be entered <u>within</u> <u>3 business</u> <u>days</u>

Data should consistently be reviewed for completeness and accuracy

Monthly

Import data into HMIS by the <u>10th</u> business day of each month

Quarterly

Update project level information if information has changed

Special Funding
Opportunity Grantees:
QPRs due in SAGE

Non-VSPs: HMIS
Team will pull APRs
for quarterly
performance
evaluation

VSPs: Submit quarterly APR to HMIS team

Annually

Submit APR (generated in HMIS) in SAGE within 90 days of grant end date

Annual Evaluation
will be conducted
by HMIS Team
including, but not
limited to, data
quality, timeliness,
and outcomes

HMIS – Focused CoC Committees

Performance Management Committee	Standing committee that supports the CoC via assessment, monitoring, and evaluating performance.				
Performance and Quality Improvement (PQI) Subcommittee	Focuses on improving individual program performance; Reviews data quality quarterly Aims meet with all programs, regardless of data quality, over				
Data Management Workgroup	Provides recommendations and support regarding HUD regulations				

Interested in joining a committee or workgroup? Membership information can be found on the CoC website!



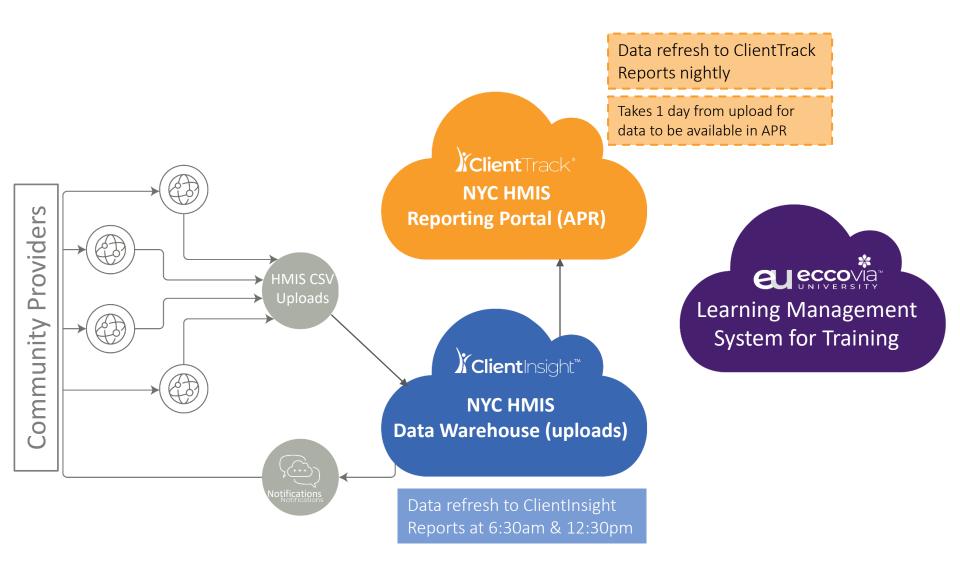
HMIS Data Warehouse Transition – Status & Updates

Happy 1st Birthday New NYC Data Warehouse!

- NYC HMIS has been completely transitioned from AWARDS to ClientInsight/ClientTrack and the new system has experienced a full federal reporting cycle
- HMIS Lead Team and Eccovia have been working together to iron out pain points including:
 - Differences in logic between ClientTrack APRs and agency source system APRs
 - Building out ClientInsight's reporting capabilities to meet the needs of the NYC CoC; including an Evaluation Tool

These efforts will be ongoing!

New NYC HMIS Data Environment

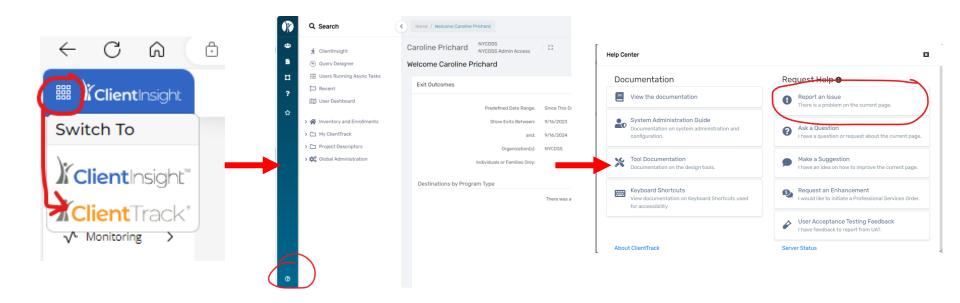


Communicating with the HMIS Team and Eccovia

ClientTrack Ticket	Email
 ClientTrack Ticket Upload or Report Issues Technical questions regarding back-end data access or programming Ex: A report is producing something unexpected that does not match your source data. Issues with the ClientTrack or ClientInsight interface 	 Business Issues Questions regarding policies Annual Evaluation, compliance Questions regarding federal reporting APRs, SAGE Notification of staff changes,
 Ex: A menu option is missing when an End User logs in to run a report. User access Ex: An End User does not have access to a specific program's data in ClientTrack. 	privacy/security breaches, or of an agency's intent to switch data systemsAnything else!

Submitting a ClientTrack Help Desk Ticket

- 1. Log in to ClientInsight.
- 2. Toggle to the ClientTrack side using the window icon on the upper lefthand corner.
- 3. Select the question mark icon on the lower lefthand corner, and "Report an Issue."



What to Include in a Help Desk Ticket

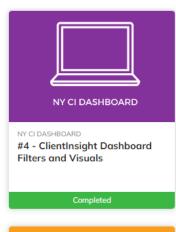
- Descriptive subject
 - "Missing Data from [Project] APR"
 - "Something is Wrong" X
- Detailed description of problem, including error message(s) and when you first encountered the issue.
- Any solutions you have already tried.
- Screenshots of error messages or visual issues, and any relevant files or documents related to the problem. <u>The CT Help Desk is secure and PII can be</u> <u>uploaded on this platform, unlike email.</u>

Eccovia University

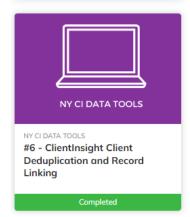




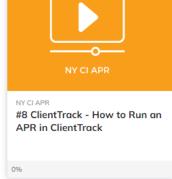


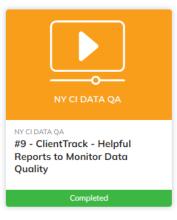








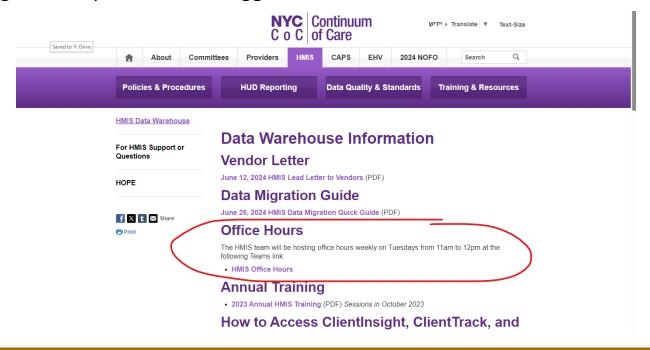






Weekly Office Hours

- Tuesdays, 11am 12pm
- Link can be found on the HMIS Website (Under "HMIS Data Warehouse) or HERE.
- Bring issues, questions, or suggestions!





Need More Training?

We are here to help! Please let us know if you have requests for additional training resources, including:

- Eccovia University trainings
- One on one support from the HMIS Team or our Eccovia administrators
- Additional live training sessions
- Additional office hour availability

Policies & Procedures – Key Policies Review



Policies and Procedures

HUD requires HMIS implementations to have policies and procedures (P&Ps)
that adhere to HUD's technical standards (privacy and security) and most
recent HUD HMIS Data Standards.

The P&Ps are posted on the CoC/HMIS website and went into effect October

1, 2023. nyc.gov/site/nycccoc/hmis/policies-and-procedures.page 311 Search all NYC.gov websites NYC Continuum Русский ▶ Translate ▼ C o C of Care About Committees **Providers** 2023 NOFO EHV Search Q Policies & Procedures **HUD Reporting** Data Quality & Standards **Training & Resources Data Warehouse** Transition **Policies & Procedures** For HMIS Support or The NYC CoC is responsible for HMIS project oversight and implementation, which encompasses planning, administration, software selection, managing the HMIS Data Warehouse in compliance Questions with HMIS Standards, and reviewing and approving all policies, procedures, and data management plans governing contributing homeless organizations. HOPE All projects, regardless of source(s) of funding, that upload data to the NYC HMIS Data Warehouse or are required to use an HMIS comparable database are subject to the NYC HMIS Policies and Procedures, which were approved by the NYC CoC Steering Committee. The NYC HMIS Policies and Procedures (P&Ps) have been updated and will be effective October 2023.



Share

Policy Highlights

- Policies & Procedures apply to both the NYC HMIS Data Warehouse (ClientInsight/ClientTrack) and each Contributing HMIS Organization (CHO)'s HMIS Software where data are collected.
- CHOs must update their NYC HMIS Warehouse End User Agreements as needed for staffing changes (within 15 days).
 - Notify the HMIS Team at <u>DSSHMIS@dss.nyc.gov</u> to update!
- CHOs receiving CoC funding are required to run/pull HUD Annual Performance Reports (APRs) from the warehouse (not the CHO's HMIS software) for SAGE submission.
 - HMIS Team will work with agencies who have support issues with APRs due to warehouse discrepancies. Please run your APRs as early as possible to ensure adequate time to address any issues.

Requirements for Participating Organizations

- Self-certify use of HMIS compliant software, as well as review and compliance with Policies and Procedures
 - Issues of compliance should be remediated within 30 days
- Data should be collected & uploaded timely (3 business days to enter collected data, uploaded within the first 10 business days of each month).
- HMIS Lead should be notified:
 - Changes to staff contacts or End Users
 - Software/vendor changes*
 - Changes to project participation
 - Security or privacy breaches

NOTE: Please notify the HMIS team as early as possible in this process. Switching to a new software without advance notice could lead to delays in your ability upload and produce reports.

Privacy & Security

- All participating organizations should maintain a
 Privacy Notice (detailing the organization's security procedures for collecting and storing PII) and a
 Privacy Posting where data is collected.
 - Samples of these can be fund on pages 33-38 of the Policies and Procedures and can be used or edited as needed.
 - Privacy Notice should be publicly available on organization's website.
- Data should be retained for a minimum of 7 years,
 but organization can define longer retention timeline.
- Organization should have a procedure for disposing of data securely, including physical records and documentation.

Appendix G: Sample Privacy Posting





HMIS Privacy Posting

We collect personal information about homeless individuals in a computer system called a Homeless Management Information System (HMIS) for reasons that are discussed in our privacy notice.

We may be required to collect some personal information by law or by organizations that give us money to operate this program. Other personal information that we collect is important to run our programs, to improve services for homeless individuals, and to better understand the needs of homeless individuals. We only collect information that we consider to be appropriate.

If you have any questions or would like to see our *privacy* notice, our staff will provide you with a copy.

NYC HMIS Warehouse Policies and Procedures (Version 6 Oct 2023)

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Data Quality

Completeness

There should be no missing data for program-specific data elements (program-level data, such as operating start date and bed inventory) OR universal data elements (data required for all individuals, such as DOB)

- Duplicate client records
- Data accuracy
 - Veterans must be 18+
 - Those on SSI must have a disability
 - Unaccompanied children (under 18) should be verified and documented

Timeliness

 Client should be entered within 3 business days at entry, annual assessment, updates, and exit

Overall error rate

Can be identified using your APR

End User Agreements

Passwords

- My NYC HMIS Data
 Warehouse User ID and
 Password are for my use only
 and must not be shared with
 anyone.
- □ I will take all reasonable means to keep my User ID and Password physically and electronically secure.

Hardware

- ☐ If I am logged into the NYC HMIS Data Warehouse and must leave the work area where the computer is located, I must log-off the NYC HMIS Data Warehouse before leaving the work area.
- ☐ I will ensure that any computer I am using to access the NYC HMIS Data Warehouse:
 - ☐ Is equipped with locking timeout function
 - ☐ Has virus protection software installed with auto-update functions
 - ☐ Has software or hardware firewall protection

Security Breaches

☐ If I notice or suspect a security breach or other issues with HMIS data or systems, I must notify the HMIS Lead System Administrator — NYC Department of Social Services — within 3 business days.

Staff Training



Did You Know?

Contributing HMIS Organizations (CHOs) are required to train users of your **home data system** before users access the system & annually.



Data Quality

What makes go	ood data quality?	How can I achieve this?
Completeness	No missing universal data elements – Including name, DOB, SSN, Race, Gender, Veteran status	 Confirm information at every interaction Make data quality selection when an element is not provided Explicitly ask for each piece of information and do not assume
Duplication	Clients' records should be associated with a single client ID	 Exit clients timely Check system for existing records
Accuracy	Related information should reconcile Ex: Veterans should be 18+, Individuals receiving SSI should have a recorded disability	 Double check data Verify with documentation when possible
Timeliness	Client should be entered within 3 business days at entry, annual assessment, updates, and exit	Don't wait to input records! Data quality is better when information is recorded immediately.

Privacy Notice

Participants in your programs have a right to be informed regarding the collection, usage, and maintenance of their PII.

Clients should be informed of:

What is being collected	
Why it is being collected	

Personally identifiable information

Demographic information

Information relating to outcomes for services received

Provide individual case management, track individual and project-level outcomes, identify unfilled service needs, conduct research, and producing aggregate-level reporting

Who it is being shared with

The Department of Social Services Federal Homeless Policy and Reporting (FHPR) unit, acting as the HMIS Lead for NYC

Client-level data is NOT shared directly with HUD

When & how it will be disposed of

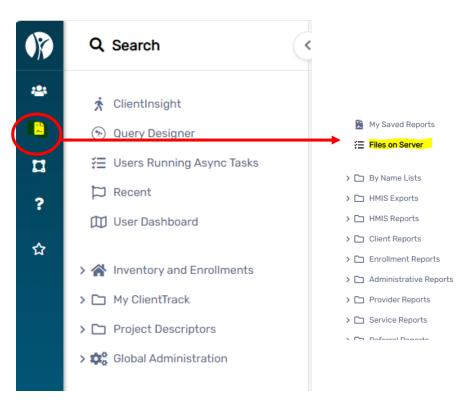
Dependent on organization: 7 years minimum, disposed of securely

Privacy & Security Checklist

- ✓ Clients are informed of their right to privacy.
- ✓ I have read and understand my organization's privacy and security protocols.
- ✓ My physical space is secure.
 - ✓ My User ID and password are not written down & I will not share my login info.
 - ✓ If I am away from my workspace, my desktop is locked.
 - ✓ I will ensure printed or hardcopies of clients' records or documents are physically secured, such as in a locked file cabinet.
 - ✓ I follow my organization's procedures for securely disposed of or destroyed.
 - ✓ Non-authorized individuals do not have access to PII.
- ✓ My technology is secure.
 - ✓ I do not access PII on public networks.
 - ✓ I only electronically transmit PII via secure, encrypted means.
 - X Flash drives
 - X Unencrypted emails

APR Demonstration





Files on Server

Displayed below is a list of the files available for you to download. Files with an expiration date will be automatically the file will no longer be available for download by any user and will not be available for processing if used in an important processing in the control of the control o

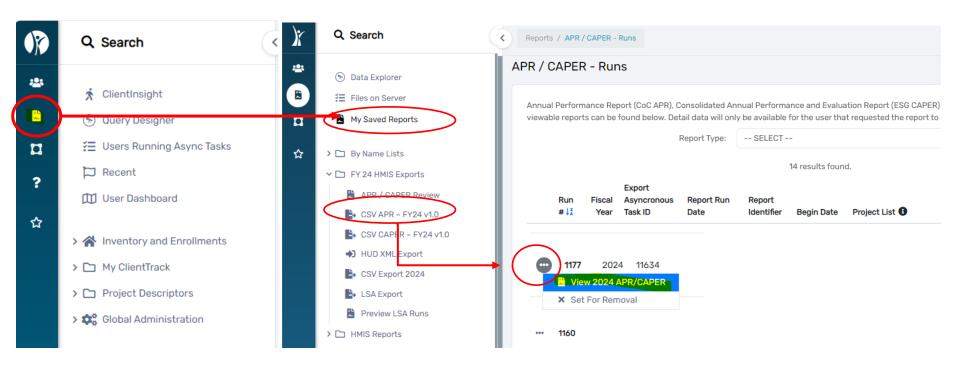
View the status of export or import tasks.

HMIS APR 2024 Export _20240920165246 _TaskID _13537.zip

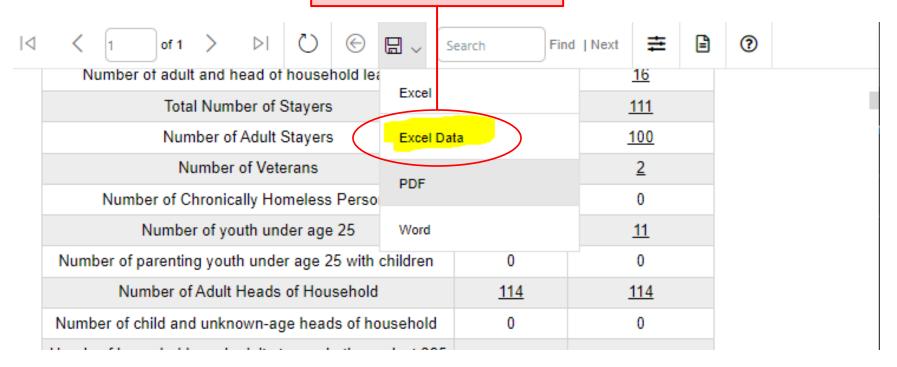
HMIS APR_CAPER 2024 Validation_20240920164807_TaskID_13538.zip

HMIS APR_CAPER 2024 Pre-Load 2_20240920164219_TaskID_13536.zip

HMIS APR_CAPER 2024 Pre-Load_20240920164120_TaskID_13535.zip



Exports Excel version of the APR with each question in a separate tab



<u>Q6a - Data Quality: Personally Identifiable Information</u>

Data Element	Client Doesn't Know Prefers Not to Answer	Information Missing	Data Issues	Total	% of Issue Rate
Name (3.01)	0 2 0		<u>2</u>	1.57%	
Social Security Number (3.02)	1	<u>1</u> <u>13</u> 0		<u>14</u>	11.02%
Date of Birth (3.03)	0	0	<u>11</u>	<u>11</u>	8.66%
Race and Ethnicity (3.04)	2	<u>13</u>		<u>15</u>	11.81%
Gender (3.06)	0	2		2	1.57%
Overall Score				17	13.39%

Q6b - Data Quality: Universal Data Elements

Data Element	Client Doesn't Know Prefers Not to Answer	Information Missing	Data Issues	Total	% of Issue Rate
Veteran Status (3.07)	0	<u>2</u>	0	<u>2</u>	1.72%
Project Start Date (3.10)			1	1	0.79%
Relationship to Head of Household (3.15)		0	2	2	1.57%
Enrollment CoC (3.16)		0	0	0	0.00%
Disabling Condition (3.08)	1	<u>13</u>	0	<u>14</u>	11.02%

Q6c - Data Quality: Income and Housing Data Quality

Data Element	Client Doesn't Know Prefers Not to Answer	Information Missing	Data Issues	Total	% of Issue Rate
Destination (3.12)	0	0		0	0.00%
Income and Sources (4.2) at Start	0	2	0	2	1.72%
Income and Sources (4.2) at Annual Assessment	0	<u>17</u>	0	<u>17</u>	47.22%
Income and Sources (4.2) at Exit	1	0	0	1	6.25%

Q6d - Data Quality: Chronic Homelessness

Starting into project type	Count of total records	Missing time in institution (3.917.2)	Missing time in housing (3.917.2)	Approxima te Date started (3.91.3) Missing	Number of times (3.917.4) DK/PNTA/ missing	Number of months (3.917.5) DK/PNTA/ missing	% of records unable to calculate
ES-EE, ES- NbN, SH, Street Outreac h	0				0	0	0.00%
TH	0	0	0		0	0	0.00%
PH (all)	<u>116</u>	0	<u>3</u>		0	0	2.59%
CE	0	0	0		0	0	0.00%
SSO, Day Shelter, HP	0	0	0		0	0	0.00%
Total	<u>116</u>						1.72%

Q8a - Number Of Households Served

Number Of Households Served	Total Children Adults 114 108 6		With Only Children	Unknown Household Type	
Total Households	<u>114</u>	<u>108</u>	<u>6</u>	0	0
For PSH & RRH - the total persons served who moved into housing	<u>57</u>	<u>53</u>	<u>4</u>	0	0

Appendix A: Exit Destinations

Destinations indicated with an cause leavers with those destinations to be completely excluded from the entire measure universe. Clients whose destination is indicated with an will be included in the measure universe. Undefined project types should use the "HP & PH (all)" column when determining positive exit destinations.

Data Standards Response	Exit Destinations	so	ES- EE	ES- NbN	тн	HP & PH (all)	SH	sso
	Homeless Situations (100-1	99)		•	•		•	
101	Emergency shelter, including hotel or motel paid for with emergency shelter voucher, Host Home shelter	☑						
116	Place not meant for habitation							
118	Safe Haven							
	Institutional Situations (200-	299)						
206	Hospital or other residential non-psychiatric medical facility	×	×	×	×	×	×	×
215	Foster care home or foster care group home		×	×	×	X	×	×
207	Jail, prison, or juvenile detention facility							
204	Psychiatric hospital or other psychiatric facility	✓						
205	Substance abuse treatment facility or detox center	☑						
225	Long-term care facility or nursing home	✓	×	×	×	×	×	×
	Temporary Housing Situations (300-399)						
329	Residential project or halfway house with no homeless criteria	×						
314	Hotel or motel paid for without emergency shelter voucher	☑						
312	Staying or living with family, temporary tenure	☑						
313	Staying or living with friends, temporary tenure	☑						
302	Transitional housing for homeless persons (including homeless youth)	✓						
327	Moved from one HOPWA funded project to HOPWA TH	✓						
332	Host Home (non-crisis)	✓	☑	✓	☑			
	Permanent Housing Situations (400-499)						
426	Moved from one HOPWA funded project to HOPWA PH		✓	☑	✓	 ✓	✓	☑
411	Owned by client, no ongoing housing subsidy		☑	☑	☑	☑	☑	☑
421	Owned by client, with ongoing housing subsidy	✓	☑	☑	✓	V	✓	☑

Data Standards			ES-	ES-		HP & PH		
Response	Exit Destinations	so	EE	NbN	TH	(all)	SH	SSO
410	Rental by client, no ongoing housing subsidy	☑		☑	✓	☑	☑	☑
435	Rental by client, with housing subsidy	✓			V			☑
422	Staying or living with family, permanent tenure	✓	Ø	☑	✓	☑	☑	☑
423	Staying or living with friends, permanent tenure	☑	☑	☑	✓	☑	☑	☑
Other (1-99)								
24	Deceased	×	×	×	×	×	×	×
8	Client doesn't know							
9	Client prefers not to answer							
99	Data not collected							
30	No exit interview completed							
17	Other							

ESG/CAPER Specifications

Resources

- NYC HMIS Policies and Procedures
 - Defines how HMIS operates locally
- HUD HMIS Data Standards Manual
 - Provides guidance around all HMIS fields, including collection guidance and details for specific selection options
- HUD HMIS Data Dictionary and CSV Specifications
 - Data and technical standards that guide HMIS data collection and formatting across all CoCs

Thank You!

Questions? Concerns?

DSSHMIS@dss.nyc.gov

Join our NYC HMIS office hours, Tuesdays 11am – 12pm!

NYC HMIS Website