

# **Final PHA Agency Plan**

**Final Annual Agency Plan for Fiscal Year 2025**

**Five Year Agency Plan for Fiscal Years 2025-2029**



**Lisa Bova-Hiatt**  
Chief Executive Officer

**Date: October 15, 2024**

**5-Year PHA Plan  
(for All PHAs)**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

OMB No. 2577-0226  
Expires: 03/31/2024

**Purpose.** The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA’s operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA’s mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families

**Applicability.** The Form HUD-50075-5Y is to be completed once every 5 PHA fiscal years by all PHAs.

<b>A.</b>	<b>PHA Information.</b>																		
A.1	<p><b>PHA Name:</b> __New York City Housing Authority_____ <b>PHA Code:</b> __NY005_____</p> <p><b>PHA Plan for Fiscal Year Beginning:</b> (MM/YYYY): 01/2025  <b>The Five-Year Period of the Plan (i.e. 2019-2023): 2025-2029</b>  <b>PHA Plan Submission Type:</b> <input checked="" type="checkbox"/> 5-Year Plan Submission      <input type="checkbox"/> Revised 5-Year Plan Submission</p> <p><b>Availability of Information.</b> In addition to the items listed in this form, PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. Additionally, the PHA must provide information on how the public may reasonably obtain additional information on the PHA policies contained in the standard Annual Plan, but excluded from their streamlined submissions. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official websites. PHAs are also encouraged to provide each resident council a copy of their PHA Plans.</p> <p><input type="checkbox"/> PHA Consortia: (Check box if submitting a Joint PHA Plan and complete table below.)</p> <table border="1" style="width:100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th rowspan="2" style="width: 20%;">Participating PHAs</th> <th rowspan="2" style="width: 10%;">PHA Code</th> <th rowspan="2" style="width: 20%;">Program(s) in the Consortia</th> <th rowspan="2" style="width: 20%;">Program(s) not in the Consortia</th> <th colspan="2" style="width: 30%;">No. of Units in Each Program</th> </tr> <tr> <th style="width: 15%;">PH</th> <th style="width: 15%;">HCV</th> </tr> </thead> <tbody> <tr> <td>Lead PHA:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>					Participating PHAs	PHA Code	Program(s) in the Consortia	Program(s) not in the Consortia	No. of Units in Each Program		PH	HCV	Lead PHA:					
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<b>B.</b>	<b>Plan Elements.</b> Required for <u>all</u> PHAs completing this form.																		
B.1	<p><b>Mission.</b> State the PHA’s mission for serving the needs of low-income, very low-income, and extremely low-income families in the PHA’s jurisdiction for the next five years.</p> <p>The PHA’s mission is: The New York City Housing Authority (NYCHA)'s mission is to increase opportunities for low- and moderate-income New Yorkers by providing quality housing that is sustainable, inclusive, and safe, while fostering opportunities for economic mobility. 306,000 New Yorkers reside in NYCHA’s 244 public housing developments around the five boroughs, and 20,793 Section 8 families (43,915 authorized residents) live in the PACT/RAD developments formerly managed by NYCHA. In addition, 176,053 residents receive subsidized rental assistance in private homes through the NYCHA-administered Section 8 Leased Housing Program.</p> <p>To fulfill its vital mission and even better serve residents while facing dramatic reductions in traditional government funding, NYCHA is developing new financing options and building innovative partnerships across the public, private, and non-profit sectors. These strategies are helping NYCHA to address many key challenges, from preserving aging housing stock through timely maintenance and modernization of developments, to increasing resident access to a multitude of community, educational and recreational programs, as well as job readiness and training initiatives.</p>																		

<b>B.2</b>	<p><b>Goals and Objectives.</b> Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low-income, very low-income, and extremely low-income families for the next five years.</p> <p><b>Please see Attachment F (Additional Information)</b></p>
<b>B.3</b>	<p><b>Progress Report.</b> Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p><b>Please see Attachment F (Additional Information)</b></p>
<b>B.4</b>	<p><b>Violence Against Women Act (VAWA) Goals.</b> Provide a statement of the PHA’s goals, activities, objectives, policies, or programs that will enable the PHA to serve the needs of child and adult victims of domestic violence, dating violence, sexual assault, or stalking.</p> <p><b>Please see Attachment F (Additional Information)</b></p>
<b>C. Other Document and/or Certification Requirements.</b>	
<b>C.1</b>	<p><b>Significant Amendment or Modification.</b> Provide a statement on the criteria used for determining a significant amendment or modification to the 5-Year Plan.</p> <p><b>Please see page 235 of the Final Agency Plan</b></p>
<b>C.2</b>	<p><b>Resident Advisory Board (RAB) Comments.</b></p> <p>(a) Did the RAB(s) have comments to the 5-Year PHA Plan?</p> <p>Y   N  <input checked="" type="checkbox"/>   <input type="checkbox"/></p> <p>(b) If yes, comments must be submitted by the PHA as an attachment to the 5-Year PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.</p> <p><b>Please see Attachment P</b></p>
<b>C.3</b>	<p><b>Certification by State or Local Officials.</b></p> <p><a href="#">Form HUD-50077-SL</a>, <i>Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan</i>, must be submitted by the PHA as an electronic attachment to the PHA Plan.</p>
<b>C.4</b>	<p><b>Required Submission for HUD FO Review.</b></p> <p>(a) Did the public challenge any elements of the Plan?</p> <p>Y   N  <input checked="" type="checkbox"/>   <input type="checkbox"/></p> <p>(b) If yes, include Challenged Elements.</p> <p><b>Please see Attachment Q</b></p>
<b>D. Affirmatively Furthering Fair Housing (AFFH).</b>	

**D.1**

**Affirmatively Furthering Fair Housing.** (Non-qualified PHAs are only required to complete this section on the Annual PHA Plan. All qualified PHAs must complete this section.)

Provide a statement of the PHA’s strategies and actions to achieve fair housing goals outlined in an accepted Assessment of Fair Housing (AFH) consistent with 24 CFR § 5.154(d)(5). Use the chart provided below. (PHAs should add as many goals as necessary to overcome fair housing issues and contributing factors.) Until such time as the PHA is required to submit an AFH, the PHA is not obligated to complete this chart. The PHA will fulfill, nevertheless, the requirements at 24 CFR § 903.7(o) enacted prior to August 17, 2015. See Instructions for further detail on completing this item.

**Fair Housing Goal:**

*Describe fair housing strategies and actions to achieve the goal*

Currently, PHAs are not required to submit an AFH. NYCHA reaffirms its commitment to affirmatively further fair housing through its sustained relationships with residents, applicants, advocates, and organizations that assist people with disabilities and promote fair housing. Please see pages 231 through 234 for information on NYCHA’s progress in its goal to “Promote Equal Opportunity in Housing”.

<b>Annual PHA Plan (Standard PHAs and Troubled PHAs)</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires: 03/31/2024</b>
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**Purpose.** The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA’s operations, programs, and services, including changes to these policies, and informs HUD, families served by the PHA, and members of the public of the PHA’s mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families.

**Applicability.** The Form HUD-50075-ST is to be completed annually by **STANDARD PHAs or TROUBLED PHAs**. PHAs that meet the definition of a High Performer PHA, Small PHA, HCV-Only PHA or Qualified PHA do not need to submit this form.

**Definitions.**

- (1) **High-Performer PHA** – A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers, and was designated as a high performer on both the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments if administering both programs, or PHAS if only administering public housing.
- (2) **Small PHA** - A PHA that is not designated as PHAS or SEMAP troubled, that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceed 550.
- (3) **Housing Choice Voucher (HCV) Only PHA** - A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment and does not own or manage public housing.
- (4) **Standard PHA** - A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceed 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.
- (5) **Troubled PHA** - A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.
- (6) **Qualified PHA** - A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined, and is not PHAS or SEMAP troubled.

<b>A.</b>	<b>PHA Information</b>								
<b>A.1</b>	<p><b>PHA Name:</b> New York City Housing Authority <b>PHA Code:</b> NY005  <b>PHA Type:</b> <input checked="" type="checkbox"/> Standard PHA <input type="checkbox"/> Troubled PHA  <b>PHA Plan for Fiscal Year Beginning:</b> 01/2025  <b>PHA Inventory</b> (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above)  <b>Number of Public Housing (PH) Units 154,198; Number of Section 8 Vouchers: 106,269 Total Combined Units/Vouchers 260,467</b>  <i>Note: The number of Section 8 vouchers reflects the recent RAD conversions of seven public housing developments.</i>  <b>PHA Plan Submission Type:</b> <input checked="" type="checkbox"/> Annual Submission <input type="checkbox"/> Revised Annual Submission  <b>Availability of Information.</b> PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official website. PHAs are also encouraged to provide each resident council a copy of their PHA Plans.  <input type="checkbox"/> <b>PHA Consortia:</b> (Check box if submitting a Joint PHA Plan and complete table below)</p>								
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<b>B.</b>	<b>Plan Elements</b>								

<p><b>B.1</b></p>	<p><b>Revision of Existing Plan Elements.</b></p> <p>(a) Have the following PHA Plan elements been revised by the PHA?</p> <p>Y N</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Statement of Housing Needs and Strategy for Addressing Housing Needs</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Financial Resources.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Rent Determination.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Operation and Management.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Grievance Procedures.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Homeownership Programs.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Community Service and Self-Sufficiency Programs.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Safety and Crime Prevention.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Pet Policy.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Asset Management.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Substantial Deviation.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Significant Amendment/Modification</p> <p>(b) If the PHA answered yes for any element, describe the revisions for each revised element(s): <b>Please see Attachment B (PHA Plan Update)</b></p> <p>(c) The PHA must submit its Deconcentration Policy for Field Office review. <b>Please see Attachment G (Admissions Policy for Deconcentration)</b></p>
<p><b>B.2</b></p>	<p><b>New Activities.</b></p> <p>(a) Does the PHA intend to undertake any new activities related to the following in the PHA's current Fiscal Year?</p> <p>Y N</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Hope VI or Choice Neighborhoods.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Mixed Finance Modernization or Development.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Demolition and/or Disposition.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Designated Housing for Elderly and/or Disabled Families.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Conversion of Public Housing to Tenant-Based Assistance.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Conversion of Public Housing to Project-Based Rental Assistance or Project-Based Vouchers under RAD.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Occupancy by Over-Income Families.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Occupancy by Police Officers.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Non-Smoking Policies.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Project-Based Vouchers.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Units with Approved Vacancies for Modernization.</p> <p><i>As of October 3, 2024, there are 897 units with approved vacancies for modernization. In FY 2025, NYCHA may require HUD approval for additional units to be vacant for modernization.</i></p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).</p> <p>(b) If any of these activities are planned for the current Fiscal Year, describe the activities. For new demolition activities, describe any public housing development or portion thereof, owned by the PHA for which the PHA has applied or will apply for demolition and/or disposition approval under section 18 of the 1937 Act under the separate demolition/disposition approval process. If using Project-Based Vouchers (PBVs), provide the projected number of project-based units and general locations, and describe how project basing would be consistent with the PHA Plan.</p> <p><b>Please see Attachments C and D (Demolition and/or Disposition, Conversion of Public Housing, Homeownership, and Project-Based Vouchers and RAD, and Capital Improvements – Capital Fund Annual Statement).</b></p> <p><i>As of September 1, 2024, NYCHA has executed 221 HAP contracts for 25,332 project-based units receiving subsidy across the five boroughs in New York City. This includes project-based apartments at NYCHA's LLC mixed finance developments and RAD/PACT conversions.</i></p>
<p><b>B.3</b></p>	<p><b>Progress Report.</b></p> <p>Provide a description of the PHA's progress in meeting its Mission and Goals described in the PHA 5-Year and Annual Plan. <b>Please see Attachment F (Additional Information)</b></p>
<p><b>B.4</b></p>	<p><b>Capital Improvements.</b> Include a reference here to the most recent HUD-approved 5-Year Action Plan in EPIC and the date that it was approved.</p> <p>NYCHA submitted the FY 2024 Plan and Five-Year Action Plan to HUD on May 15, 2024. The Plan was approved by HUD in EPIC on May 21, 2024. <b>Please see Attachment D (Capital Improvement - Capital Fund Annual Statement)</b></p>

<p><b>B.5</b></p>	<p><b>Most Recent Fiscal Year Audit.</b></p> <p>(a) Were there any findings in the most recent FY Audit?</p> <p>Y N  <input checked="" type="checkbox"/> <input type="checkbox"/></p> <p>(b) If yes, please describe:</p> <p>As described in the Schedule of Findings and Questioned Costs relating to Federal Awards of the Single Audit Report, the Authority did not comply with certain requirements regarding Assistance Listing No. 14.850 Public and Indian Housing as follows:</p> <p>Finding number 2023-001 for Special Tests and Provisions – Environmental Contaminants Testing and Remediation has been categorized as a material deficiency in compliance and is a recurring finding. During the Single Audit, Deloitte noted that the Authority did not complete all corrective actions to remediate environmental contaminants in the 2023 audit period (particularly lead paint and mold) and is in the process of addressing these issues.</p> <p>Finding number 2023-002 for Eligibility has been categorized as a low-grade compliance deficiency and is a recurring finding. During the Single Audit, Deloitte noted that the Authority did not ensure that all required eligibility forms were included in case files. (8 of the 540 eligibility forms requested could not be located). These forms are required documentation to be maintained in the case files. Digitization of tenant files from 2011 going forward should eliminate this issue as it relates to more recent files, but original documents lost or damaged, before digitization, cannot be replicated.</p> <p>Finding number 2023-003 for Procurement, Suspension and Debarment has been categorized as a low-grade compliance deficiency and is a first time finding. During the Single Audit, Deloitte noted that the vendor’s suspension and debarment documentation could not be provided for 3 out of 60 contracts selected. The Authority has implemented various enhancements to processes and controls beginning in 2022 and expects to completely remediate this finding beginning September 30, 2024 when the Micro Prequalification List is implemented.</p>
<p><b>C. Other Document and/or Certification Requirements.</b></p>	
<p><b>C.1</b></p>	<p><b>Resident Advisory Board (RAB) Comments.</b></p> <p>(a) Did the RAB(s) have comments to the PHA Plan?</p> <p>Y N  <input checked="" type="checkbox"/> <input type="checkbox"/></p> <p>(b) If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.  <b>Please see Attachment P</b></p>
<p><b>C.2</b></p>	<p><b>Certification by State or Local Officials.</b></p> <p><a href="#">Form HUD 50077-SL</a>, <i>Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan</i>, must be submitted by the PHA as an electronic attachment to the PHA Plan.</p>
<p><b>C.3</b></p>	<p><b>Civil Rights Certification/ Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan.</b></p> <p>Form HUD-50077-ST-HCV-HP, <i>PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations Including PHA Plan Elements that Have Changed</i>, must be submitted by the PHA as an electronic attachment to the PHA Plan.</p>
<p><b>C.4</b></p>	<p><b>Challenged Elements.</b> If any element of the PHA Plan is challenged, a PHA must include such information as an attachment with a description of any challenges to Plan elements, the source of the challenge, and the PHA’s response to the public.</p> <p>(c) Did the public challenge any elements of the Plan?</p> <p>Y N  <input checked="" type="checkbox"/> <input type="checkbox"/></p> <p>If yes, include Challenged Elements.  <b>Please see Attachment Q</b></p>
<p><b>C.5</b></p>	<p><b>Troubled PHA.</b></p> <p>(a) Does the PHA have any current Memorandum of Agreement, Performance Improvement Plan, or Recovery Plan in place?</p> <p>Y N N/A  <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>(b) If yes, please describe:</p>

D.	<b>Affirmatively Furthering Fair Housing (AFFH).</b>		
D.1	<p data-bbox="243 142 1510 325"><b>Affirmatively Furthering Fair Housing (AFFH).</b> Provide a statement of the PHA's strategies and actions to achieve fair housing goals outlined in an accepted Assessment of Fair Housing (AFH) consistent with <a href="#">24 CFR § 5.154(d)(5)</a>. Use the chart provided below. (PHAs should add as many goals as necessary to overcome fair housing issues and contributing factors.) Until such time as the PHA is required to submit an AFH, the PHA is not obligated to complete this chart. The PHA will fulfill, nevertheless, the requirements at <a href="#">24 CFR § 903.7(o)</a> enacted prior to August 17, 2015. See Instructions for further detail on completing this item.</p> <table border="1" data-bbox="243 325 1510 609"> <tr> <td data-bbox="243 325 1510 367"><b>Fair Housing Goal:</b></td> </tr> <tr> <td data-bbox="243 367 1510 609"> <p data-bbox="243 367 1510 399"><b><i>Describe fair housing strategies and actions to achieve the goal</i></b></p> <p data-bbox="243 430 1510 609">Currently, PHAs are not required to submit an AFH. NYCHA reaffirms its commitment to affirmatively further fair housing through its sustained relationships with residents, applicants, advocates, and organizations that assist people with disabilities and promote fair housing. Please see pages 231 through 234 for information on NYCHA's progress in its goal to "Promote Equal Opportunity in Housing".</p> </td> </tr> </table>	<b>Fair Housing Goal:</b>	<p data-bbox="243 367 1510 399"><b><i>Describe fair housing strategies and actions to achieve the goal</i></b></p> <p data-bbox="243 430 1510 609">Currently, PHAs are not required to submit an AFH. NYCHA reaffirms its commitment to affirmatively further fair housing through its sustained relationships with residents, applicants, advocates, and organizations that assist people with disabilities and promote fair housing. Please see pages 231 through 234 for information on NYCHA's progress in its goal to "Promote Equal Opportunity in Housing".</p>
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## Supporting Documents Available for Review

Members of the public wishing to examine the Supporting Documents may do so, during regular business hours, by contacting NYCHA's central office, located at 90 Church Street, New York, New York, at (212) 306-3123 or by emailing [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) to schedule an appointment to review the documents.

<b>List of Supporting Documents Available for Local Review</b> <b>(Applicable to All PHA Plan Types)</b>		
Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Form HUD-50077, <i>Standard PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual PHA Plans.</i>	5-Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5-Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5-Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments (AI) to Fair Housing Choice); and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan (TSAP) and the Site-Based Waiting List Procedure. <i>The following Management and Maintenance Policies are available and on display:</i> <i>Public Housing Maintenance and Management:</i> <ul style="list-style-type: none"> <li>• NYCHA Management Manual</li> <li>• NYCHA Application and Tenancy Administration Manual</li> <li>• NYCHA Tenant Selection and Assignment Plan (TSAP) Manual</li> <li>• NYCHA Human Resources Manual</li> <li>• NYCHA Emergency Procedure Manual</li> <li>• NYCHA Procurement Policy Manual</li> <li>• NYCHA Capital Projects Division Procedures Manual</li> <li>• NYCHA Accounts Payable Procedures Manual</li> <li>• NYCHA Standard Procedures</li> <li>• NYCHA General Memoranda</li> <li>• NYCHA Deputy General Manager Memoranda</li> <li>• NYCHA Assistant Deputy General Manager Memoranda</li> <li>• NYCHA Interim Guidance</li> </ul>	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies

## List of Supporting Documents Available for Local Review

*(Applicable to All PHA Plan Types)*

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

Applicable & On Display	Supporting Document	Applicable Plan Component
X	Deconcentration Income Analysis <i>NYCHA’s Deconcentration Income Analysis begins on page 238 of the Final Annual Plan.</i>	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input checked="" type="checkbox"/> Check here if included in the public housing A&O Policy.  <i>NYCHA’s policy governing the occupancy of Police Officers is available in NYCHA’s Management Manual in Chapter III, NYCHA’s Standard Procedure SP003011 and on page 56 of the Final Annual Plan.</i>	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.  <i>NYCHA’s rent determination policies are available in NYCHA’s Management Manual in Chapter III beginning on page 107 and on page 63 of the Final Annual Plan.</i>	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input type="checkbox"/> Check here if included in the public housing A & O Policy.  <i>NYCHA’s Flat Rent schedule is on page 65 of the Final Annual Plan and in NYCHA’s Management Manual in Chapter III on page 107 and in Exhibit 2 on page 133.</i>	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in the Section 8 Administrative Plan. <i>Section 8 rent determination (payment standard) policies are outlined in Chapter IX of the Section 8 Administrative Plan and on pages 66 and 67 of the Final Annual Plan.</i>	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) assessment	Annual Plan: Operations and Maintenance
X	Results of latest Section 8 Management Assessment System (SEMAP).	Annual Plan: Operations and Maintenance
X	Any policies governing any Section 8 special housing types <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.  <i>Section 8 special housing types are outlined in Chapter XV (B) of the Section 8 Administrative Plan.</i>	Annual Plan: Management and Operations
X	Public housing grievance procedures <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy. <i>NYCHA’s grievance procedures are outlined in Chapter IV Section IV (A) of the NYCHA Management Manual.</i>	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan. <i>NYCHA’s informal review and hearing procedures are outlined in Chapter XXIV (B) of the Section 8 Administrative Plan.</i>	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement/Performance and Evaluation Report (form HUD-52837) for the active grant year	Annual Plan: Capital Needs

**List of Supporting Documents Available for Local Review**  
**(Applicable to All PHA Plan Types)**

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

Applicable & On Display	Supporting Document	Applicable Plan Component
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
X	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the U.S. Housing Act of 1937, or Section 33 of the U.S. Housing Act of 1937.	Annual Plan: Conversion of Public Housing
X	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion. <i>Please see page 124 of the Final Annual Plan for information on the Voluntary Conversion of NYCHA’s public housing.</i>	Annual Plan: Voluntary Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <i>NYCHA does not have a Section 8 Homeownership program at this time.</i>	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy. <i>NYCHA’s Community Service Policy is outlined in NYCHA Form 040.564 and in the Final Annual Plan beginning on page 245.</i>	Annual Plan: Community Service & Self-Sufficiency
X	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.  <i>NYCHA’s Policy on Ownership of Pets in Public Housing Family Developments is outlined in NYCHA Form 040.537 and in the Final Annual Plan beginning on page 104.</i>	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA’s response to any findings	Annual Plan: Annual Audit
X	Other supporting documents (optional) <i>New York Police Department Housing Bureau Strategic Plan</i>	Annual Plan: Safety and Crime Prevention

# Public Hearing Notice (SAVE THE DATE)

NYCHA's Draft Significant Amendment to the Fiscal Year (FY) 2024 Annual Plan and FY 2025 Draft Annual Plan and Five-Year Plan will be available for public inspection starting June 14, 2024, on NYCHA's website: [on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan). The plans will also be available at every development's management office. The Executive Summaries will be available on NYCHA's website in English, Spanish, Chinese, and Russian. Please email [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) if you would like to review the supporting documents.

The public is invited to comment on these plans at a public hearing on **Tuesday, July 30, 2024, from 5:30 p.m. to 8:00 p.m. at Borough of Manhattan Community College (199 Chambers Street in Manhattan).**

The public hearing will be held as a hybrid meeting, so attendees can participate in person or virtually.

**Attending the hearing virtually (Zoom or phone):** Instructions on how to participate, as well as meeting materials, will be posted before the meeting.

**Interpretation services** will be available on Zoom in Spanish, Mandarin, Cantonese, Russian, and American Sign Language.

**Attending the hearing in person:** Anyone wishing to speak on the items related to the plans can fill out a speaker slip upon arrival at the venue. All speakers are asked to limit their remarks to three minutes.

The meeting can also be viewed live on NYCHA's website or after the meeting through a recording on NYCHA's website: [on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan).

You can also provide written comments about the plans. **Submissions must be received by August 2, 2024.** Comments can be faxed to 212-306-8888, mailed to the following address, or emailed to [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov).

**Public Housing Agency Plan Comments  
Church Street Station  
P.O. Box 3422  
New York, New York 10008-3422**



Requests for reasonable accommodations should be made by July 23, 2024, by emailing [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) or calling 212-306-3335.

A translation of this document is available in your Property Management Office.
La traducción de este documento está disponible en su Oficina de Administración de Propiedades.
您所居住住宅區物業管理處辦公室提供本文件的譯本。
您所居住住宅區物業管理處辦公室提供本文件的譯本。
Перевод этого документа находится в Офисе управления вашего жилищного комплекса.

# Convocatoria de audiencia pública (RESERVE LA FECHA)

El Borrador de Enmienda Significativa del Plan Anual del Año Fiscal (AF) 2024 de NYCHA y el Borrador del Plan Anual y el Plan de cinco años del AF 2025 estarán disponibles para inspección pública a partir del 14 de junio de 2024, en el sitio web de NYCHA: [on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan). Los planes también estarán disponibles en la oficina de administración de cada residencial. Los Resúmenes Ejecutivos estarán disponibles en el sitio web de NYCHA en inglés, español, chino y ruso. Por favor, envíe un correo electrónico a [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) si desea revisar los documentos complementarios.

El público está invitado a comentar sobre estos planes en una audiencia pública el **martes 30 de julio de 2024, de 5:30 p.m. a 8:00 p.m. en el Borough of Manhattan Community College (199 Chambers Street en Manhattan)**

La audiencia pública se llevará a cabo como una reunión híbrida, por lo que los asistentes pueden participar en persona o virtualmente.

**Para asistir a la audiencia virtualmente (Zoom o teléfono):** Las instrucciones sobre cómo participar, así como los materiales de la reunión, se publicarán antes de la reunión.

**Los servicios de interpretación** estarán disponibles en Zoom en español, mandarín, cantonés, ruso y lenguaje de señas estadounidense.

**Asistir a la audiencia en persona:** Cualquier persona que desee hablar sobre los temas relacionados con los planes puede completar una hoja de participación a su llegada al lugar. Se pide a todos los oradores que limiten sus observaciones a tres minutos.

La reunión también se puede ver en vivo en el sitio web de NYCHA o después de la reunión a través de una grabación en el sitio web de NYCHA: [on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan).

También puede proporcionar comentarios por escrito sobre los planes. **Las propuestas deberán recibirse antes del 2 de agosto de 2024.** Los comentarios pueden enviarse por fax al 212-306-8888, enviarse por correo a la siguiente dirección o enviarse por correo electrónico a [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov).

Public Housing Agency Plan Comments  
Church Street Station  
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New York, New York 10008-3422



Las solicitudes de adaptaciones razonables deben hacerse antes del 23 de julio de 2024, enviando un correo electrónico a [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) o llamando al 212-306-3335.

## Уведомление о публичном слушании (SAVE THE DATE)

Проект Значительной поправки (Draft Significant Amendment) NYCHA к Годовому плану на 2024 финансовый год (FY) и проект Годового плана на FY 2025, а также Пятилетнего плана будут доступны, начиная с 14 июня 2024 года, для публичного ознакомления на вебсайте NYCHA: [op.nyc.gov/nycha-annual-plan](https://op.nyc.gov/nycha-annual-plan). Эти планы будут также доступны в офисах управления каждого жилкомплекса. Краткие обзоры будут доступны на вебсайте NYCHA на английском, испанском, китайском и русском языках. Пожалуйста, отправьте имейл по адресу [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov), если вы хотите просмотреть сопутствующие документы.

Общественность приглашается предоставить комментарии по поводу планов на публичном слушании, которое состоится во вторник, 30 июля 2024 года, с 5:30 р.м. до 8:00 р.м. в Borough of Manhattan Community College (199 Chambers Street в Манхэттене).

Публичное слушание будет проводиться как гибридное собрание, поэтому участники могут участвовать очно или виртуально.

**Виртуальное посещение слушания (Zoom или телефон):** Инструкции по участию, а также материалы собрания будут опубликованы до его проведения.

Услуги устного перевода будут доступны в Zoom на испанский, русский, китайский (пекинский и кантонский диалекты) языки и американский язык жестов.

**Очное посещение слушания:** Любой, кто желает выступить по вопросам, связанным с планами, может заполнить регистрационный листок выступающего по прибытии на место проведения слушания. Всех выступающих просят ограничить свое выступление тремя минутами.

Собрание можно будет также посмотреть в прямом эфире на вебсайте NYCHA или после завершения слушания в записи на вебсайте: [op.nyc.gov/nycha-annual-plan](https://op.nyc.gov/nycha-annual-plan).

Вы также можете подать письменные комментарии по поводу планов. Комментарии должны быть получены до 2 августа 2024 г. Комментарии принимаются по факсу: 212 306-8888, по почте (адрес указан ниже) или имейлу: [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov).

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Запросы на приемлемую модификацию (reasonable accommodation) должны быть отправлены до 23 июля 2024 г. по имейлу [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) или поданы по тел. 212-306-3335.

# 公開聽證會 (謹記日期)

紐約市房屋局 (NYCHA) 將於2024年6月14日開始在其網站 ([on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan)) 公佈「2024財政年度機構計劃」重大修正案初稿、「2025財政年度機構計劃」及「五年計劃」初稿供公眾查閱。轄下各個住宅區管理處辦公室亦將備有計劃書的印刷版可供索取。NYCHA網站提供計劃行政概述的英文、西班牙文、中文和俄文版本。如需查看計劃書的附錄證明文件，請發送電郵至: [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov)。

現誠邀各界人士參加於**2024年7月30日，星期二，傍晚5時30分至晚上8時**在紐約市曼哈頓區**Chamber街199號**的紐約市立大學曼哈頓社區學院舉行的公開聽證會，對計劃書提出建議和意見。

公聽會將以混合模式進行，與會者可選擇親自到場或遠程參加會議。

**遠程參加會議 (通過Zoom視訊或電話語音):** 參加方法及會議信息材料將於會議前公佈。

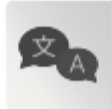
通過Zoom軟件召開的網絡會議將提供西班牙語，普通話，廣東話，俄語和美國手語翻譯服務。

**到場參加會議:** 希望在公共聽證會上對機構計劃的相關事項發言的市民可在抵達會場時填寫發言人登記表。所有發言者的發言時間將被限制在三分鐘內。

公眾還可通過NYCHA網站觀看會議直播或在會議結束後觀看錄影，網址: [on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan)。

您還可為機構計劃提供書面意見。意見書必須於**2024年8月2日或之前**送達。你可將意見書傳真至(212) 306-8888，郵寄至下列地址，或電郵至: [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov)。

**Public Housing Agency Plan Comments  
Church Street Station  
P.O. Box 3422  
New York, New York 10008-3422**



如果需要合理便利措施安排，請於2024年7月23日前通過電郵：

[annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) 或  
電話: 212-306-3335 提出申請。

# 公共听证会( 谨记日期)

纽约市房屋局 (NYCHA) 将于2024年6月14日开始在其网站 ([on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan)) 公布「2024财政年度机构计划」重大修正案初稿、「2025财政年度机构计划」及「五年计划」初稿供公众查阅。辖下各个住宅区管理处办公室亦将备有计划书的印刷版可供索取。NYCHA网站提供计划行政概述的英文、西班牙文、中文和俄文版本。如需查看计划书的附录证明文件, 请发送电邮至: [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov)。

现诚邀各界人士参加于**2024年7月30日, 星期二, 傍晚5时30分至晚上8时**在纽约市曼哈顿区**Chamber街199号**的纽约市立大学曼哈顿社区学院举行的公开听证会, 对计划书提出建议和意见。

公听会将以混合模式进行, 与会者可选择亲自到场或远程参加会议。

**远程参加会议 (通过Zoom视频或电话语音):** 参加方法及会议信息材料将于会议前公布。

通过Zoom软件召开的网络会议将提供西班牙语, 普通话, 广东话, 俄语和美国手语翻译服务。

**到场参加会议:** 希望在公共听证会上对机构计划的相关事项发言的市民可在抵达会场时填写发言人登记表。所有发言者的发言时间将被限制在三分钟内。

公众还可通过NYCHA网站观看会议直播或在会议结束后观看录像, 网址: [on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan)。

您还可为机构计划提供书面意见。意见书必须于**2024年8月2日或之前送达**。你可将意见书传真至(212) 306-8888, 邮寄至下列地址, 或电邮至: [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov)。

**Public Housing Agency Plan Comments  
Church Street Station  
P.O. Box 3422  
New York, New York 10008-3422**



如果需要合理便利措施安排, 请于2024年7月23日前通过电邮: [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) 或电话: 212-306-3335 提出申请。





## NYCHA's Draft Significant Amendment to the Fiscal Year 2024 Annual Plan and the FY 2025 Draft Annual Plan and Five-Year Plan

The Draft Significant Amendment to the FY 2024 Annual Plan and the FY 2025 Draft Annual Plan and Five-Year Plan are available for public inspection starting June 14, 2024, on NYCHA's website and at the management office of every NYCHA public housing development during regular business hours.

The public is invited to comment on these plans at a public hearing on **Tuesday, July 30, 2024**, from 5:30 p.m. to 8 p.m. at **Borough of Manhattan Community College (199 Chambers Street in Manhattan)**.

The public hearing will be held as a hybrid meeting, so attendees can participate in person or virtually.

To read the plans, or for full details about how to attend the public hearing in person or virtually, visit [on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan) or scan the **QR code**. The public hearing can also be viewed live, or after the meeting through a recording, at this link.



To attend the meeting by phone, dial 888-788-0099 at the time of the hearing and enter 867 0032 8388 as the meeting code. You can also register for the Zoom meeting at [on.nyc.gov/annual-plan-zoom-webinar](https://on.nyc.gov/annual-plan-zoom-webinar).

**Interpretation services** will be available on Zoom in Spanish, Mandarin, Cantonese, Russian, and American Sign Language. Those attending by phone who require foreign language interpretation may dial 646-558-8656 and the following ID numbers at the time of the hearing for live interpretation: Spanish: 331 425 8640#, Mandarin: 461 857 9342#, Cantonese: 831 000 3543#, Russian: 804 869 1448#

**Attending the hearing in person:** Anyone wishing to speak on the items related to the plans can fill out a speaker slip upon arrival at the venue. All speakers are asked to limit their remarks to three minutes.



Requests for reasonable accommodations should be made by **July 23, 2024**, by emailing [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) or calling 212-306-3335



## Borrador de Enmienda Significativa del Plan Anual del Año Fiscal 2024 de NYCHA y Borrador del Plan Anual y Plan a Cinco Años del Año Fiscal 2025

El Borrador de Enmienda Significativa al Plan Anual del Año Fiscal 2024 y el Borrador del Plan Anual y el Plan a Cinco Años del Año Fiscal 2025 están disponibles para inspección pública a partir del 14 de junio de 2024, en el sitio web de NYCHA y en la oficina de administración de cada residencial público de NYCHA durante el horario de atención habitual.

El público está invitado a comentar sobre estos planes en una audiencia pública **el martes 30 de julio de 2024**, de 5:30 p.m. a 8 p.m. en el **Borough of Manhattan Community College (199 Chambers Street en Manhattan)**

La audiencia pública se llevará a cabo como una reunión híbrida, por lo que los asistentes pueden participar en persona o virtualmente.

Para leer los planes o conocer todos los detalles sobre cómo asistir a la audiencia pública en persona o virtualmente, visite [on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan) o escanee el código QR. La audiencia pública también puede verse en directo, o después de la reunión a través de una grabación, en este enlace.



Para asistir a la reunión por teléfono, marque 888-788-0099 en el momento de la audiencia e ingrese 867 0032 8388 como el código de la reunión. También puede inscribirse en la reunión de Zoom en [on.nyc.gov/annual-plan-zoom-webinar](https://on.nyc.gov/annual-plan-zoom-webinar).

Los **servicios de interpretación** estarán disponibles en Zoom en español, mandarín, cantonés, ruso y lenguaje de señas estadounidense. Las personas que asistan por teléfono que requieran interpretación en un idioma extranjero pueden marcar el 646-558-8656 y los siguientes números de identificación en el momento de la audiencia para interpretación en vivo: español: 331 425 8640#, mandarín: 461 857 9342#, cantonés: 831 000 3543#, ruso: 804 869 1448#

**Asistir a la audiencia en persona:** Cualquier persona que desee hablar sobre los temas relacionados con los planes puede completar una hoja de participación a su llegada al lugar. Se pide a todos los oradores que limiten sus observaciones a tres minutos.



Las solicitudes de adaptaciones razonables deben hacerse antes del **23 de julio de 2024**, enviando un correo electrónico a [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) o llamando al 212-306-3335.



## Проект Значительной поправки жилищного управления г. Нью-Йорка (NYCHA) к Годовому плану агентства на 2024 финансовый год и проекту Годового плана агентства на FY 2025, а также Пятилетнего плана

Проект Значительной поправки (Draft Significant Amendment) NYCHA к Годовому плану на 2024 финансовый год (FY) и проект Годового плана на FY 2025, а также Пятилетнего плана будут доступны, начиная с 14 июня 2024 года, для публичного ознакомления на вебсайте NYCHA и в офисе управления каждого жилищного комплекса NYCHA в обычные приемные часы.

Общественность приглашается предоставить комментарии по поводу планов на публичном слушании, которое состоится во вторник, 30 июля 2024 года, с 17:30 до 20:00, в Borough of Manhattan Community College (199 Chambers Street в Манхэттене).

Публичное слушание будет проводиться как гибридное собрание, поэтому участвовать можно очно или виртуально.

Чтобы ознакомиться с планами или получить полную информацию о том, как посетить публичное слушание лично или виртуально, посетите сайт [on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan) или отсканируйте QR-код. Публичное слушание также можно посмотреть в прямом эфире или после собрания в записи по этой ссылке.



Для участия по телефону наберите во время слушания 888-788-0099 и введите 867 0032 8388 в качестве кода собрания. Вы также можете зарегистрироваться для участия в Zoom на сайте [on.nyc.gov/annual-plan-zoom-webinar](https://on.nyc.gov/annual-plan-zoom-webinar).

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Запросы на приемлемую модификацию (reasonable accommodation) должны быть отправлены до 23 июля 2024 г. по имейлу [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) или поданы по тел. 212-306-3335.



## 紐約市房屋局 (NYCHA) 「2024財政年度機構計劃」重大修正案初稿和「2025財政年度機構計劃」初稿和「五年計劃」

民眾可從2024年6月14日開始,登錄NYCHA的網站以及在其辦公時間內前往各NYCHA 公共房屋住宅區的物業管理處查閱「2024財政年度機構計劃」重大修正案初稿和「2025財政年度機構計劃」初稿 和「五年計劃」的文件。

謹此誠邀各界人士參加於2024年7月30日,星期二,下午5時30分至晚上 8時在位於紐約市曼哈頓區Chamber 街199號的紐約市立大學曼哈頓社區學院(Borough of Manhattan Community College) 內舉行的公共聽證會,對計劃書提出建議和意見。

公共聽證會將以混合模式進行,與會者可選擇參加現場或遠程會議。

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如要通過電話參加會議,請在聽證會召開時撥打電話: 888-788-0099,並在接通後輸入會議編碼: 867 0032 8388。您還可通過網站: [on.nyc.gov/annual-plan-zoom-webinar](https://on.nyc.gov/annual-plan-zoom-webinar) 登記參加Zoom 網絡會議。

通過Zoom軟件召開的網絡會議將提供西班牙語,普通話,廣東話,俄語和美國手語傳譯服務。通過電話參加會議且需要外語傳譯服務的人士可在會議開始時撥打: 646-558-8656並於接通後輸入相應語言的會議編碼收聽同聲傳譯: 西班牙語: 331 425 8640#, 普通話: 461 857 9342#, 廣州話: 831 000 3543#, 俄語: 804 869 1448#

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## 纽约市房屋局（NYCHA）「2024财政年度机构计划」重大修正案初稿和「2025财政年度机构计划」初稿和「五年计划」

民众可从2024年6月14日开始，登录NYCHA的网站以及在其办公时间内前往各NYCHA公共房屋住宅区的物业管理处查阅「2024财政年度机构计划」重大修正案初稿和「2025财政年度机构计划」初稿和「五年计划」的文件。

谨此诚邀各界人士参加于2024年7月30日，星期二，下午5时30分至晚上8时在位于纽约市曼哈顿区Chamber街199号的纽约市立大学曼哈顿社区学院（Borough of Manhattan Community College）内举行的公共听证会，对计划书提出建议和意见。

公共听证会将以混合模式进行，与会者可选择参加现场或远程会议。

如要阅读计划内容，或了解参加现场或远程公共听证会的详情，请浏览网站：[on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan) 或扫描二维码，并通过此链接观看公共听证会的直播，或在会后观看录像。



如要通过电话参加会议，请在听证会召开时拨打电话：888-788-0099，并在接通后输入会议编码：867 0032 8388。您还可通过网络：[on.nyc.gov/annual-plan-zoom-webinar](https://on.nyc.gov/annual-plan-zoom-webinar) 登记参加Zoom网络会议。

通过Zoom软件召开的网络会议将提供西班牙语，普通话，广东话，俄语和美国手语传译服务。通过电话参加会议且需要外语传译服务的人士可在会议开始时拨打：646-558-8656并于接通后输入相应语言的会议编码收听同声传译：西班牙语：331 425 8640#，普通话：461 857 9342#，广州话：831 000 3543#，俄语：804 869 1448#

参加现场听证会：希望对机构计划的相关内容发表意见的人士可于到达会场时填写发言人登记表。所有发言者的发言时间将被限制在三分钟内。



如果需要合理便利措施安排，请于2024年7月23日之前通过电邮：[annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) 或电话：212-306-3335 提出申请。

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## **Executive Summary**

### **NYCHA’s Final Agency Plan for FY 2025**

Federal law requires the New York City Housing Authority (NYCHA) to develop – with input from public housing residents, Section 8 participants, elected officials, and the public – a plan outlining its major initiatives for the coming year.

The Final Agency and Five-Year Plan for Fiscal Year 2025 will be available for public review on NYCHA’s website: [on.nyc.gov/nycha-annual-plan](https://on.nyc.gov/nycha-annual-plan). NYCHA will also provide a copy of the Final Agency Plan to each development’s Resident Association President. The Final Plan will also be made available at the management office of every NYCHA public housing development during regular business hours.

NYCHA held a hybrid in-person and virtual public hearing on July 30, 2024, and accepted written comments on the Draft Agency Plan through August 2, 2024. Please see the Notice on page 12. NYCHA met with the Resident Advisory Board members for their comments in 14 meetings from April to September 2024.

NYCHA’s Final Agency and Five-Year Plan will be submitted to the U.S. Department of Housing and Urban Development (HUD) by October 18, 2024. Following NYCHA’s submission, HUD has 75 days to review and approve the plan.

NYCHA’s priorities for the coming year are outlined on pages 23 through 39. NYCHA aims to strengthen its business model through its Transformation Plan and raise much-needed capital funding for its developments through the recently authorized NYC Public Housing Preservation Trust, Permanent Affordability Commitment Together (PACT) initiative, and other preservation programs. In January 2019, NYCHA and the City of New York signed an Agreement with HUD, which outlined specific deadlines and objective compliance standards for making significant improvements in several high-priority areas, including lead-based paint, mold, heat, elevators, annual inspections, pests, and waste management. NYCHA is committed to achieving these deadlines, and updates for each of these high-priority areas are highlighted below. With NYCHA’s capital projects work, the Authority is investing in projects outlined in its City Capital Action Plan, initiatives that are already underway, and projects focused on environmental resiliency and sustainability. Finally, NYCHA continues to pursue other initiatives that fund vital building and apartment upgrades.

#### **NYCHA’s Transformation Plan and the NYC Public Housing Preservation Trust**

NYCHA’s **Transformation Plan** is a top-to-bottom reorganization of NYCHA, with proposed strategies for restructuring NYCHA’s business model and operations to improve the delivery of services to residents.

#### ***Transformation Plan and NYCHA’s Monitoring Agreement***

On January 31, 2019, NYCHA and the City of New York signed an Agreement with HUD. The Agreement’s overarching goal is to remedy the deficient physical conditions in NYCHA properties to benefit residents across the city. It establishes a foundation to continue strengthening the Authority and improving residents’ quality of life. The Agreement sets performance targets in seven high-priority areas: lead-based paint, mold, heat, elevators, inspections, pests, and waste management.

The work undertaken as part of the Agreement is overseen by a third-party federal Monitor. The term of NYCHA’s first federal Monitor, Guidepost Solutions, ended in February 2024, and NYCHA’s new federal Monitor for a second five-year term is the law firm Jenner & Block. The Agreement’s requirements will continue as oversight transitions to the new Monitor.

Efforts under the Agreement include, for instance, conducting visual assessments and completing interim controls for lead-based paint each calendar year, improving the response times for heat and elevator outages, completing capital improvements to replace aging boilers and elevators, remediating mold conditions and preventing mold recurrence, and utilizing Integrated Pest Management practices. The Agreement also required an “Organizational Plan” to “achieve sustained compliance with [the] obligations under [the] Agreement.”

NYCHA issued three documents in response to this Agreement obligation — the Transformation Plan, issued in March 2021, followed by two Implementation Plans, issued in February 2022 and February 2023. Together, the Transformation Plan and the two Implementation Plans comprise the Organizational Plan required under the HUD Agreement<sup>1i</sup>. The plans include a set of strategies designed to improve the resident experience and set the agency on a path to a stronger future.

The plans include changes to NYCHA’s governance and leadership structure, property management systems, and central support functions. The release of the Transformation Plan marked the beginning of a multi-year implementation process, which now enters its fourth year.

As of spring 2024, NYCHA has implemented several key changes to NYCHA’s operating model, as well as numerous business process improvements that focus on service delivery pain points identified by residents and employees. The agency has moved to the Neighborhood Model, pushing resources and decision-making authority away from its central office and into the 29 Neighborhood offices spread across the city. The agency continues to improve the model as more resident services are provided locally.

Additional resident-facing business process changes include: a full overhaul of our repair and work order management process, changes to our approach to janitorial work and cleanliness, and a new approach to lease enforcement issues at developments. The agency is also making new investments in training to ensure its workforce can deliver on the agency’s mission. In addition, NYCHA is also pursuing a set of central office reforms, including a comprehensive asset management strategy and a focus on human resources to ensure staffing at all sites and prevent vacancies that disrupt service delivery to residents.

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<sup>1</sup> The Chair Memorandum effective February 12, 2023, and the QA Memorandum effective May 1, 2023, modified provisions of the Organizational Plan.



In the balance of 2024, NYCHA is evaluating the remaining programs and projects identified in the Transformation Plan to decide whether each requires adjustment, acceleration, or other changes. This evaluation will also include the possibility of new programs not originally identified in the Transformation Plan.

### ***NYC Public Housing Preservation Trust***

In June 2022, the State legislature passed a bill establishing the New York City Public Housing Preservation Trust (the Trust), and it was signed into law by Governor Kathy Hochul.

To dramatically improve residents' quality of life through comprehensive building renovations while preserving all their rights and protections (including permanently affordable rent) and providing economic opportunities, NYCHA plans to transfer an initial 25,000 apartments to the Trust. NYCHA will continue to own and manage the properties, entering into a long-term ground lease with the Trust to secure project-based vouchers, which have a subsidy worth double NYCHA's current federal subsidy. Similar to how other government entities raise funding for capital improvements, the Trust will pursue conventional financing or bonds that fund comprehensive building renovations (with input and partnership from residents at the development), including the latest sustainable technologies. And the Trust can hire better vendors who can complete high-quality work, faster.

The Trust bill went into effect 60 days after the date that Governor Hochul signed it into law—after which, NYCHA was required to meet certain obligations, such as publishing the draft resident opt-in voting procedures for public comment and incorporating appropriate feedback from residents into the final version of the voting procedures. In accordance with these requirements, draft voting procedures were issued in October 2022 and finalized in December 2022. In May 2023, the first members of the Trust board, including NYCHA residents, were appointed; they will be critical to the Trust's governance and operations.

Transfers to the Trust will not happen without extensive resident engagement, including a vote by residents at properties proposed for transfer on whether they want the transfer to occur.

In late 2023 and 2024, the first such resident votes began taking place at Nostrand Houses, Coney Island Houses and Coney Island (Site 1B) (also known as Unity Towers) in Brooklyn and Bronx River Addition in the Bronx. One hundred days of public engagement at the developments were followed by 30 days of voting, during which time residents could choose from three ballot options: joining the Trust, entering the PACT program, or remaining Section 9. Votes could be placed by mail, online, or, during the last 10 days of the voting period, in person. Results were certified by an independent, third-party voting administrator, with both developments selecting to convert to the Trust. It will take up to two years to transfer the properties into the Trust — after which time the first stages of the repair process may begin. Nostrand Houses and Coney Island (Site 1B)/Unity Towers and Bronx River Addition voted to enter the Public Housing Trust while residents from Coney Island Houses have elected to keep their development under the traditional Section 9 model. Please note the disposition of Coney Island (Site 1B)/Unity Towers will be in a future Annual Plan since the vote was finalized after the release of the Draft FY 2025 Annual PHA Plan.

Subsequent votes will continue to be rolled out at additional developments in the months ahead.

Future Annual Plans and Significant Amendments will include more detailed information on the Trust and on proposed leasehold transfers to the Trust.

### **A Culture of Compliance**

As required under the Agreement with HUD, NYCHA established new departments and units – a Compliance Department, an Environmental Health and Safety Department, and a Quality Assurance Unit – which have established programs for monitoring NYCHA’s work at its developments, making recommendations for improvements and then providing technical assistance to development staff to improve performance. In the coming year, NYCHA will continue to scale up these departments and units while using data-centered risk assessments, sampling, and field monitoring to ensure Operations complies with all local, state, and federal regulations and internal policies and procedures.

### ***Compliance Pillar Areas***

The Agreement requires NYCHA to remediate living conditions at its properties by specific deadlines and to meet strict, objective compliance standards regarding the aforementioned high-priority areas of lead-based paint, mold, heat, elevators, inspections, pests, and waste management. NYCHA is setting up systems and has established a dedicated unit, Strategy & Innovation, to track its progress on all the deadlines outlined in the Agreement. NYCHA has built dashboards and other analytical reporting tools for each pillar area to show progress towards meeting these metrics. If any managing jurisdiction is not meeting the clearly defined, data-driven benchmarks, they will need to design a plan – in partnership with their colleagues in other departments – to solve the problem.

The Agreement goals, as well as other priority areas, are outlined in the Goals Section of the Draft Agency Plan (pages 194 to 234). The following text includes examples of progress in Compliance pillar areas to date, as well as anticipated future progress.

### ***Lead-Based Paint:***

In April 2019, NYCHA kicked off an unprecedented effort to test approximately 134,000 apartments for the presence of lead-based paint using an x-ray fluorescence (XRF) analyzer. The XRF testing initiative was based on the standard of 1.0 milligram per square centimeter ( $\text{mg}/\text{cm}^2$ ). On December 1, 2021, the New York City Department of Housing Preservation and Development (HPD) issued a regulation changing the definition of lead-based paint from paint with lead content measured at  $1.0 \text{ mg}/\text{cm}^2$  to paint with lead content measured at  $0.5 \text{ mg}/\text{cm}^2$  for purposes of New York City’s Local Law 1. The XRF testing initiative to test approximately 134,000 units was based on the  $1.0 \text{ mg}/\text{cm}^2$  testing initiative. NYCHA attempted to test 119,161 units (89% of the target universe) and completed tests in 108,236 units (81% of the target universe). When the City changed its standard, NYCHA suspended its program to test units at  $1.0 \text{ mg}/\text{cm}^2$  and pivoted to retest the child under 6 (CU6) units at the new and lowered threshold of  $0.5 \text{ mg}/\text{cm}^2$  (0.5 Standard). As of March 31, 2024, testing has expanded to include all NYCHA apartments, both CU6 and non-CU6 units, at the 0.5 Standard.

As of September 1, 2024, NYCHA successfully completed XRF testing in 82,783 units. Additionally, NYCHA annually notifies residents to identify units with children under 6 years old (CU6) to assess the presence of lead-based paint. In cases where residents fail to respond to the notice, NYCHA conducts door-to-door visits to identify units with CU6. This initiative will persist as NYCHA continues its efforts to identify units where CU6 either reside or spend more than 10 hours per week.

The biennial risk assessment project began in July 2024, and NYCHA is on track to complete it by the end of the year. A follow-up risk assessment will take place afterward.

NYCHA has intensified its efforts to address deteriorated paint conditions in units with confirmed or presumed positive lead-based paint where a child under six (CU6) resides or spends ten or more hours per week. NYCHA has established the Team for Enhanced Management, Planning, and Outreach (TEMPO) Abatement Program. Under the TEMPO Abatement program, NYCHA is prioritizing all lead-based paint-positive CU6 units for abatement.

Moreover, as of December 2021 NYCHA expanded its abatement efforts to comply with the City's revised standards. To ensure the absence of lead-based paint hazards, NYCHA is retesting all pre-1978 units (over 140,000) at the 0.5 Standard. After retesting, NYCHA issues abatement work orders for positive units and offers expedited abatement services if residents agree to temporary relocation from both CU6 and non-CU6 apartments.

NYCHA is currently working with a project management firm, inclusive of case managers, who oversee the lead-based paint abatements at NYCHA developments as well as temporary resident relocation during the abatement process. As of September 1, 2024, NYCHA completed lead abatements in 9,459 units. NYCHA is continuing its efforts with lead abatements in all units citywide.

Under the PACT program, NYCHA requires the PACT partner for each converted development to test the units and common areas in buildings built prior to 1978 for lead-based paint. Based on this testing, the PACT partner must develop a plan to abate all lead-based paint. The PACT partner then completes the required abatement as part of their scope of work and reports on progress to NYCHA.

From January 2019 to August 31, 2024, the PACT program has converted 16,522 units, of which 4,747 units are expected to need abatement. As of August 31, 2024, PACT partners have reported that 3,084 units have been abated of lead-based paint, approximately 65% of the units. This includes 3,035 units abated at the City's new standard.

***Mold:***

NYCHA has launched many initiatives in recent years to address mold, leak, and ventilation issues authority wide. These include the implementation of updated standard procedures, comprehensive plumbing and renovation projects, and various operations and strategies to reduce mold and leak work orders, prevent mold recurrence, and improve resident satisfaction. For more details about NYCHA's significant progress in addressing the challenges related to mold and leaks,

please see the 2023 Report on NYCHA's Mold and Leak Response Efforts: Progress, Challenges and Next Steps.<sup>2</sup>

In the summer of 2018, NYCHA established the Office of Mold Assessment and Remediation (OMAR) to manage the Authority's response to mold and leaks. From January through September 2019, NYCHA rolled out the Mold/Mildew Control in NYCHA Residential Buildings Standard Procedure. By April 2020, NYCHA began working on its new Leak Standard Procedure (Leak SP), completed one pilot of the new procedure in January 2021, and completed its second pilot in December 2023. NYCHA also began its roof fan replacement and in-unit vent cleaning projects in 2020. In July 2021, NYCHA published the Roof Fan Inspections at NYCHA Residential Buildings Standard Procedure (Roof Fan SP), which provided staff guidance on how to conduct monthly roof fan inspections to ensure that fans are operable. The Roof Fan SP introduced a standard process for performing monthly preventative maintenance roof fan inspections (and thereby addressing ventilation issues) authority wide.

In May 2022, NYCHA introduced the Mold and Leak Performance Scorecard and Dashboard and the Enhanced Oversight Program (EOP) to identify and assist NYCHA consolidations in need of additional support to improve compliance with court-ordered mold and leak performance parameters. The Scorecard measures the performance of consolidations regarding mold and leaks based on 11 key metrics. The EOP assists the bottom-performing consolidations on the Scorecard by having weekly check-ins and creating action plans with Operations and Property Management as well as by deploying specialized teams to the consolidations. The EOP, along with Operation Mold Clean Up (OMC), Mold Inspection Initiative (MII), Mold Cleaning Initiative (MCI), and Maintenance Workers Performing Mold Inspections, is executed by the Office of Mold Assessment and Remediation (OMAR). NYCHA also expanded its mold contract capacity, adding two mold remediation contracts (to its existing two mold assessment and two remediation contracts) in August 2022.

In May 2022, NYCHA reached its noteworthy milestone to replace or retain 8,436 roof fans and began labeling the fans with their Maximo assets to tie the monthly inspections on the handheld devices to Maximo assets in the system. Additionally, NYCHA completed the Clean Vent Initiative (CVI) by finishing the third round of attempts to perform vent cleanings by July 31, 2023. NYCHA cleaned lateral ducts in approximately 74,000 residential units. NYCHA launched its multi-year initiative to replace approximately 95,000 vents, including in-unit dampers and hallway dampers, in June 2023. As of July 31, 2024, approximately 16% (15,129 dampers) have been installed. In May 2022, NYCHA launched OMC to reduce the backlog of open mold removal and mold-resistant paint work orders. As of July 31, 2024, NYCHA completed 92% (or 28,566 out of 31,113) work orders identified for closure as part of this initiative.

Finally, NYCHA launched Operation Dry Out in April 2023. The purpose of Operation Dry Out is to reduce the backlog of open tub enclosure and plumbing work orders 250 days or older related to mold and leak complaints. As of July 31, 2024, 72% of identified tub enclosure work orders and 82% of identified plumbing work orders have been completed. In February 2024, to improve mold recurrence metrics, NYCHA launched its Alternative Paint Pilot project to evaluate the mold-

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<sup>2</sup> Link: <https://www.nyc.gov/assets/nycha/downloads/pdf/NYCHA-Report-on-Mold-and-Leak-Response-Efforts-2023.pdf>

resistant properties, possible cost savings and durability of a Sherwin-Williams paint compared to NYCHA's current mold-resistant paint, Foster 40/50. In June 2024, to improve its time to complete metrics, NYCHA launched its Work Order Verification Project, to identify and close aging mold and leak work orders that do not require work through emails and phone calls to residents. Additionally, in 2024, to evaluate NYCHA's incremental progress to improve its performance associated with mold and leak repairs, NYCHA launched a new Segmentation Analysis project. More details will be provided on these projects as their impact is quantified.

Over the next year, NYCHA will continue to execute the initiatives listed above to achieve the following goals:

- Decrease mold incidence and recurrence.
- Improve compliance related to key performance parameters, including time to complete initial inspections, mold removal, remediation, and related repairs.
- Ensure that key staff are trained in mold inspections and remediation.
- Launch the Leak Standard Procedure (SP) and begin to train staff on the enhanced leak inspection process.

Despite these efforts, NYCHA understands that substantial, comprehensive capital investments will be necessary to effectively address the root causes of mold. Sustained investment and completed capital upgrades will be crucial in addition to the more immediate operational and maintenance work already underway. The ongoing mold and moisture problems in NYCHA buildings are indicative of the capital problems that have been postponed for so long, as well as the lack of reinvestment that all buildings need. Many of NYCHA's buildings are more than a half century old and have not received the required capital improvements vital to their infrastructure. To address the factors that have led to mold growth, leaks, and moisture complaints, NYCHA must invest in the comprehensive modernization of all its properties with chronic mold and leak problems across its entire portfolio.

***Heat:***

In the 2023-2024 heat season, NYCHA resolved its heat outages on average in 6.6 hours. 99.6% of outages (511 out of 513) were resolved within 24 hours. NYCHA continues to utilize a heating team dedicated to resolving service interruptions 24/7, the activation of a "Situation Room" during periods of extreme cold to coordinate the response to interruptions and mobilization of resources in real time, and the constant monitoring of outage data through the use of dashboards and reports to identify issues proactively. NYCHA also reviews the causes of outages to identify system components that may require extensive repair and or replacement. We then use this data to develop an operational investment strategy where we use operating dollars to make the identified repairs.

NYCHA completed its installation of heat sensors at 44 developments. NYCHA will work to make additional year-over-year improvements to its heat outage response times in the coming heat season.

Since the HUD Agreement was signed in 2019, NYCHA has replaced 128 boilers at 35 developments. At another 32 developments, NYCHA has ongoing, active projects to replace another 174 boilers by the end of 2026, totaling 302 boilers. These projects are funded through a range of sources, including City capital dollars (including funds allocated pursuant to the City Capital Action Plan), State capital dollars, Sandy Recovery and Resilience funding, and federal capital funds, among others.

In addition, NYCHA has addressed 263 boilers through the Permanent Affordability Commitment Together (PACT) housing preservation initiative. These investments will make heat more reliable for hundreds of thousands of residents.

***Elevators:***

NYCHA continues to strive to make improvements to its elevator operations. NYCHA is continuing to hire and train additional elevator mechanic teams while also investing in air conditioners in motor rooms, door lock monitors, and other equipment that will help improve elevator service.

Since the HUD Agreement was signed in 2019, construction has been completed at 139 elevators across 18 developments. An additional 170 elevators are being addressed through projects which are in the construction phase, with a total of 175 elevators forecasted to complete construction by end of 2024 and the remainder in the first half of 2025. More than 150 elevators have already been transferred to third-party management through the PACT Section 8 conversion program.

Additional updates include:

- Installing air conditioners in the motor room to reduce over heating equipment. As of September 2024, 2,229 air conditioners have been installed and are operational.
- Upgrading to Master Operator Variable Frequency (MOVFR) waterproof door operators to help prevent weather-related outages. As of September 2024, 265 Water-Resistant Operators have been installed.
- NYCHA’s Elevator Services Repair Department (ESRD) is in the process of installing Electric Voltage Regulators (EVR) to increase voltage at select developments during summer months. This equipment will help prevent “no service conditions” in senior buildings when the local utility company lowers voltage.
- As of September 2024, 12 EVR units have been installed, 10 are complete and 2 are pending NYC Department of Buildings (DOB) inspection. In addition, the Elevator Department has asked NYCHA’s Capital Projects to include EVRs on all future modernizations.

***Pests and Waste Management:***

In 2023, NYCHA reduced the backlog of extermination work orders that had grown due to COVID restrictions, exterminator vacancies and repeat infestations. In January 2023, there were 16,762 open work orders. As of September 6, 2024, there were 6,504 open extermination work orders; however, only 51 are older than 30 days in occupied apartments that have a complaint not tied to a follow up, mold inspection or court case. NYCHA had 50 pest management vacancies in January 2023 and currently has 4, which are being filled in collaboration with the Office of Resident Economic Empowerment & Sustainability (REES) program, which has offered three exterminator training courses for residents. The final factor leading to reduced tickets is an increase in the quality of work. Exterminators now use vacuums, seal holes, use more targeted pesticides, have better training, and make follow-up visits. These efforts have led toward progress in meeting response times laid out in the HUD Agreement: (a) respond to 75% of all rat complaints within 2 business days and to all rat complaints within 5 days and (b) respond to 75% of mice, bedbug and roach complaints within 7 days and to all complaints within 10 days. The current average response

time to resident complaints for rats is 2.26 days and 6.61 days for the other pests (mice, roaches, and bedbugs).

NYCHA is using City Capital Action Plan (CCAP) funds to replace most interior and exterior compactors that are past their useful lifespans. These funds provide for the replacement and room restoration of 1,600 interior compactors and the redesign of approximately 130 to 150 waste yards. These changes will provide significant improvements in equipment and working conditions for NYCHA staff to handle waste and recyclables.

- As of October 2024, 376 interior compactor rooms have been fully renovated with new interior compactor units and other improvements. 227 additional compactor rooms are about to move into construction and an additional 286 compactor rooms are in procurement, design or planning.
- As of October 2024, 2 waste yards with exterior auger compactors and other equipment are completed, 5 are completing construction, an additional 15 are in procurement, 4 are in design, and 50 are moving to design.

### ***Public Housing Assessment System (PHAS) and Annual Inspections:***

As of September 2024, NYCHA completed the following key activities:

- As of January 1, 2024, NYCHA started inspecting 100% of apartments per year.
- Updated the PHAS Standard Procedure (SP) to include new NSPIRE requirements and other updates. The SP was issued on February 15, 2024.
- Updated Apartment Inspections SP to include inspecting 100% of apartments per year, new NSPIRE requirements and other updates. The SP was issued on September 4, 2024.
- Continued the process of new training to include the new National Standards for the Physical Inspection of Real Estate (NSPIRE) that are replacing Uniform Physical Condition Standards (UPCS). The training is required for all NYCHA staff who had been previously trained under the UPCS and consists of two days of classroom sessions as well as a half-day session in the field. The training launched in October 2023. As of September 4, 2024, 1,602 staff completed classroom training and 752 staff completed site-based training.
- Updated the list of questions for annual apartment inspections to reflect new NSPIRE requirements.

### **Capital Projects**

Over the course of 2023, \$1.1 billion of capital funds were expended across NYCHA's capital programs, with 106 projects completing construction and 120 new projects initiated. These programs include:

- Heating system replacements or upgrades, including space and domestic hot water decoupling, in-kind boiler replacements, decentralized gas and geothermal systems, and heat pumps;
- Elevator system replacements;
- Roof replacements;
- Waste management infrastructure upgrades, including interior and exterior compactors and new waste yards;

- Comprehensive modernization;
- Building exterior improvements, including façade restoration and window upgrades;
- Safety and security, including closed-circuit television (CCTV), layered access controls doors, and lighting;
- Flood damage remediation and mitigation, including Superstorm Sandy recovery & resilience work;
- Energy-efficiency and weatherization upgrades;
- Grounds upgrades, including playgrounds and sports courts, and green infrastructure; and,
- Common area renovations, including lobbies, and renovation of community, senior, and daycare centers.

Progress on several of these programs – as well as NYCHA’s work on innovation pilots, community partnerships, and Connected Communities initiatives – are further explored below.

During 2023, NYCHA also secured \$490 million in State grants focused primarily on façade repairs and heating systems, \$85 million in sustainability and resiliency-related grants, \$5.5 million from a HUD emergency grant, and \$5.9 million in grants for third-party implemented capital projects on NYCHA sites mainly focused on grounds renovations.

At the beginning of 2024, NYCHA had 645 active projects with a total budget of \$6.2 billion funded over the next few years. This includes approximately \$300 million allocated for capital projects from the 2024 Federal capital grant, received in Q2 in 2024. In 2024, NYCHA also secured approximately \$150 million in new State sustainability and resiliency-related grants, and grants for third-party implemented capital projects on NYCHA sites, and is continuing to engage with Federal partners to finalize Federal disaster recovery funding related to Ida storm damage remediation and mitigation. Over the course of 2024, NYCHA is targeting to expend \$1.2 billion and complete construction on 125 projects.

Looking ahead to the years beyond 2024, the Five-Year Capital Plan allocates an additional \$1.1 billion of Federal funds and \$1.6 billion of City funds in 2025 through 2028 for capital projects managed by NYCHA, or approximately \$700 million per year on average. Most of this funding will continue to be invested in HUD Agreement pillar areas, including heating systems, elevators, waste management infrastructure, and comprehensive modernization, as well as roofs, façade restoration, and green infrastructure. These investments underscore NYCHA’s commitment to bringing our properties up to a state of good repair, contributing to residents’ quality of life and strengthening sustainability and resiliency to climate change.

### ***Recovery & Resilience Programs***

As of April 2024, NYCHA has invested over \$3.1 billion in funding from FEMA, Community Development Block Grant Disaster Recovery (CDBG-DR), and insurance proceeds at the 35 developments most severely impacted by Superstorm Sandy. Completed work includes 52 new boilers providing heat and hot water to almost 3,483 units in 43 buildings, 187 roofs replaced, 111 full-power backup generators operational with 68 turned over to serve over 11,000 apartments in 124 buildings, and storm surge protection installation at 22 developments protecting over 128 buildings, which are now ready for the 2024 hurricane season.



NYCHA has secured approximately \$170 million in CDBG-DR funding related to Hurricane Ida, which took place in September 2021, and continues to work with FEMA to finalize a public assistance award to comprehensively restore properties damaged by the storm and mitigate damages in future floods. NYCHA has identified major damage at 13 developments under management and one PACT property, which are the focus of this recovery program. NYCHA has provided FEMA with detailed scope and cost outlines for repair, replacement and mitigation work to restore and protect NYCHA developments against future storms. To supplement the anticipated, substantial FEMA funds, NYCHA has secured \$30 million of CDBG-DR funds to cover the estimated local match, an additional \$30 million for unfunded mitigation measures, and \$26 million for plumbing line replacements at 1471 Watson and Leavitt St-34<sup>th</sup> Avenue, two single-building developments with significant Ida impacts. NYCHA has also worked with the PACT program to provide \$51 million of CDBG-DR funding to Hope Gardens and Bushwick Houses, two former NYCHA developments now in the PACT program which suffered major and unprecedented rain-driven flooding during Hurricane Ida and in subsequent rain events.

More broadly, NYCHA is implementing its 2021 Climate Adaptation Plan, through projects that increase resilience to the three greatest climate-induced threats to New York City residents: extreme heat, coastal flooding, and extreme rain. NYCHA is identifying resiliency needs, actively seeking grant funding to support them, and implementing resiliency-centered capital projects to protect the portfolio and NYCHA residents from damages and losses due to climate events. Additionally, NYCHA is working to integrate resiliency needs into its pipeline of capital work, including participation in the pilot phase of Local Law 41, New York City's law requiring that all City-funded projects follow the City's Climate Resiliency Design Guidelines beginning in 2026. Further efforts to coordinate the resiliency program with other NYCHA priorities includes ongoing cooperation with PACT partners planning conversions at properties with existing resiliency work scopes, as well as seeking opportunities to join resiliency and electrification goals into holistically planned property improvements.

For example, CDBG-DR funding is also utilized to scale the NYC Department of Environmental Protection-NYCHA green infrastructure program, with an additional \$30 million funding green infrastructure work at 17 developments. The projects in this program are mobilizing to start construction in late summer 2024. CDBG-DR is also funding \$2 million of elevator resiliency work, which is coordinated with a broader NYCHA automatic voltage regulators (AVR) installation program as well as a geothermal feasibility study. \$57 million of City funds provided by the 2021 Extreme Weather Task Force commitments are also being mobilized for cloudburst resiliency work at four properties: Woodside, Butler, Sheepshead, and Breukelen. These projects are starting the design phase in Quarter 2 2024 and will be developed anticipating that additional funds will be made available from complementary federal funding programs [FEMA Building Resilient Infrastructure and Communities (BRIC) and the Flood Mitigation Assistance (FMA)], which will leverage the local funding opportunity into expanded stormwater management projects with significant, new outdoor amenities for NYCHA residents.

Two planning Fiscal Year (FY) 2021 BRIC awards are already underway or completed — one scoped flood damage mitigation measures at four developments and resulted in a subsequent 2023 BRIC application for a \$15 million phased capital project for coastal flood protections; the other is underway, studying program requirements for resilience hubs at several developments which will result in a future BRIC application for capital funds. Another application to fund a heat mitigating

retrofits at St. Nicholas Houses (\$43 million) was not initially selected; however, it was resubmitted and is under review with FEMA.

### ***Energy Efficiency Programs***

In 2021, NYCHA released a new Sustainability Agenda which outlines its commitment to healthy and comfortable homes that showcase environmental stewardship and sustainable design. There are currently \$341 million in active and complete Energy Performance Contracts, and \$25.7 million in weatherization upgrades are completed or in construction. In August 2022, NYCHA, in collaboration with New York Power Authority and New York State Energy Research and Development Authority, selected two vendors, Midea America and Gradient, to develop affordable cold-climate window packaged heat pumps. An initial 30,000 will be produced to help NYCHA reach its 80% reduction of greenhouse gas emissions by 2050. Woodside Houses has 24 apartments outfitted with these new window heat pumps. NYCHA also has its first building operating on heat pumps for both space heating and domestic hot water at 1700 Hoe Avenue.

NYCHA also committed to host 30 megawatts (MW) of renewable energy on public housing and PACT roofs by 2026. As of April 2024, 5 MW has been completed in five NYCHA developments (3 MW) and seven PACT developments (2 MW). In addition, 3 MW have leases signed, with construction currently in progress at two NYCHA developments. Lastly, 13 MW have been awarded and their leases are being negotiated. With these efforts combined, NYCHA has completed or is in progress to achieve 21 MW of the 30 MW goal. The remaining 9 MW is to be planned.

### ***Comprehensive Modernization Program***

The Comprehensive Modernization program integrates comprehensive renovations spanning multiple building systems, interiors and exteriors, and grounds improvements at several developments. This will allow these developments to be comprehensively renovated more quickly, at a higher quality, and with better value for money. This approach also holistically addresses the HUD Agreement pillar areas and the broader needs of residents and reduces NYCHA's comparatively high operations and maintenance costs.

Four projects, with a total budget of approximately one billion dollars, are underway in procurement and design: Saint Nicholas Houses, Todt Hill Houses, Gowanus Houses, and Wyckoff Gardens. These projects will be delivered using a design-build project delivery method and involve extensive resident engagement from early on in each project, including hundreds of meetings, vision sessions at each property, thousands of flyers, on-site engagement offices, and individual apartment canvassing. This ensures residents' voices are central to the renovation process and the design-builder evaluation and selection process.

The Notice to Proceed (NTP) has been issued to design-builders for the Saint Nicholas and Todt Hill projects. Vendor responses to the design-build RFP for Gowanus Houses and Wyckoff Gardens were received and the Notice to Proceed (NTP) is expected by January 2025. Procurements for Construction Management services and Special Inspections services for these projects have been

completed, so the required managerial and technical support resources are in place for NYCHA to effectively oversee these projects.

## Innovation Pilots

In 2023, NYCHA designed, developed and/or managed the following innovation pilots, which are continuing in 2024:

- **Entryways:** Analyzed and evaluated NYCHA’s 30-year-old storefront standard and proposed a new standard, and is now implementing thermally broken aluminum, steel-reinforced entrances across 5 pilot sites.
- **Window Heat Pumps:** In partnership with the New York State Energy Research & Development Authority (NYSERDA) and the New York Power Authority (NYPA), engaged heating, ventilation, and air conditioning (HVAC) manufacturers to develop and test a unitary, packaged cold climate air-source heat pump (ASHP) intended to be easily installed through an existing window and not require electric upgrades at Woodside Houses, with the long-term goal of purchasing 30,000 heat pumps to install in 10,000 apartments.
- **Clean Energy Academy:** Connects resident trainees to NYCHA’s energy-efficiency and renewable energy projects at NYCHA developments, customizing training curriculum to contractor needs. NYCHA has graduated 39 public housing residents through the Clean Energy Academy and aims to train 100 residents over 2 years.
- **Cloudburst:** Together with the NYC Department of Environmental Protection (DEP), piloting deeper green infrastructure retrofits to mitigate the impact of intense rain events, also called cloudburst events, at 4 pilot sites to make these properties more resilient in the face of climate change and improving the open space for residents.
- **Recycling Stations:** Piloting larger capacity and more conveniently located recycling and trash stations at one site with the goal of improving the resident recycling experience and increasing the capture of recyclable material.
- **Pneumatic Waste Management System:** Will fully modernize trash collection and disposal methods at Polo Grounds Towers by retrofitting the existing garbage chute in each building to enable vacuum-based depositing of refuse and recyclables through an underground pipe system, improving working conditions for building staff and reducing operating costs.

The following innovation pilots also started in 2024:

- **Micromobility – ConEd Demo:** Collaborating with ConEd to design, install, and test e-micromobility charging and storage stations, accommodating e-bikes and e-scooters, across 4 NYCHA developments.
- **Geothermal Feasibility:** Working with the Electric Power Research Institute (EPRI) to evaluate the feasibility of geothermal implementation at 8 sites for space heating and/or domestic hot water.
- **Solar + Storage:** In partnership with NYPA, the pilot will provide solar plus storage at two NYCHA residential buildings and the adjacent community center to power critical systems in the event of an outage, and the community center would be positioned to be a Resilience Hub providing residents and the community with a cooling center during extreme heat events.

## **Capital Improvements – FY 2025 Capital Fund Annual Statement/Performance and Evaluation Report and Five-Year 2025-2029 Action Plan**

On May 21 and May 23, 2024, NYCHA presented an overview of the Authority's Capital Planning Program and the FY 2025 Capital Plan and Five-Year 2025-2029 Action Plan to the Resident Advisory Board (RAB).

NYCHA's FY 2025 Capital Fund Annual Statement/Performance and Evaluation Report and Five-Year Capital Action Plan are included in the Amendment in Attachment D, on pages 173 through 186.

NYCHA's FY 2025 Capital Plan and Five-Year Action Plan continue to focus investment to address the key issues outlined in the HUD Agreement: (1) investments in roofs, facades, and plumbing components to help address mold, (2) investments in heating and elevator systems to address boiler and elevator deficiencies, (3) investments in the waste management plan to control pest issues, and (4) safety and security investments in fire alarms, new entrances and CCTV systems. In addition, to address sites with a (i) high incidence of mold complaints and/or (ii) potential lead paint risks, NYCHA will be undertaking comprehensive modernization efforts with a variety of funding sources, including federal funds. The Five-Year Capital Action Plan reflects the estimated capital budget for the RAD pre-closing costs by development.

The Capital Fund Program Action Plan is complemented by similar investments, including roofs, heating plants, elevators, waste management, and comprehensive modernization projects, that will be funded with City and State resources.

### ***Community Partnerships & Connected Communities***

NYC Parks is leading design and construction across play areas at six NYCHA developments as part of its "Walk to a Park" initiative and will maintain and operate the renovated open spaces in perpetuity. Of the six sites, the renovations at Pomonok, Redfern, 1471 Watson Ave., and Woodside Houses are complete, with construction on-going at the remaining two sites – Kingsborough and Sotomayor – which is anticipated to be complete by mid-2025.

In addition, through the Connected Communities program, NYCHA has partnered with the Public Housing Community Fund to accept multiple philanthropic grants to improve open spaces at NYCHA. After a preliminary grant in 2019, the Helmsley Charitable Trust awarded an additional three-year \$3.2 million grant in 2022 for participatory design-led open space transformation across four developments — Patterson, Castle Hill, Roosevelt, and Marlboro Houses. The site selection was informed by climate vulnerability, health and crime indicators, and resident leadership capacity. The construction kickoff for all four sites' open space renovations is anticipated to begin in fall 2024.

In August 2023, the Mellon Foundation approved a \$2 million grant for the restoration of a historic artwork at Kingsborough Houses – a Works Progress Administration (WPA) 80-foot-long frieze on a freestanding wall, *Exodus and Dance*. The groundbreaking of the frieze restoration was celebrated with the community stakeholders and elected representatives in January 2024. In November 2023,

the Mellon Foundation approved and granted \$3 million to pilot a three-year artist-in-residency program across five NYCHA community centers with the NYC Department of Youth and Community Development (DYCD). NYCHA and the Public Housing Community Fund are collaborating with NYC's Public Design Commission (PDC) for this effort to lean on their expertise of citywide arts-based initiatives.

NYCHA continues to support other nonprofit investments of over \$1.5 million into smaller open space interventions. *Opening the Edge* at Wald Houses is an upcoming plaza that will be constructed by the Economic Development Corporation (EDC) through a partnership with Design Trust for Public Space. That project is anticipated to break ground in Fall 2024.

### **Leveraging New Sources of Revenue to Preserve Homes and Renovate Buildings**

Considering the multibillion-dollar decline in federal Section 9 funding and massive repair needs across its portfolio, NYCHA must pursue innovative ways to fund the building and apartment upgrades that residents deserve.

NYCHA's 2023 Physical Needs Assessment (PNA) estimates 20-year physical needs of \$78.3 billion, which is a 73 percent increase from the 2017 PNA's total estimated needs of \$45.3 billion. As part of its Permanent Affordability Commitment Together (PACT) initiative, NYCHA will address overdue repairs in 62,000 apartments – a third of its units and home to approximately 140,000 New Yorkers. PACT relies on partnerships with private and non-profit development partners and converts developments to a more stable, federally funded program called Project-Based Section 8.

NYCHA will enter into public-private partnerships to undertake the major improvements to public housing developments while preserving long-term affordability and maintaining strong resident rights in line with public housing protections. To date, NYCHA has closed on financing \$6 billion for capital repairs across the city. Over 23,000 apartments have converted to Project-Based Section 8 and over 15,000 apartments are in the planning and engagement phase of the program. NYCHA has made significant progress towards our goal of 62,000 apartments in the past several years.

All 62,000 apartments converted to Section 8 funding will remain permanently affordable. The Project-Based Section 8 program provides a more stable flow of federal subsidy and allows NYCHA and its development partners to raise external financing to address a development's capital repair needs. Once developments are converted, private managers will be responsible for the day-to-day maintenance and operation of the buildings. The PACT program provides residents with important rights and protections. Residents will only pay 30 percent of their household income towards rent, will not have their household applications re-screened, and will have the right to remain in their homes during the renovations.

The PACT program allows NYCHA to reinvest in, restore and rebuild publicly controlled affordable housing in a way that reflects the priorities of the communities it serves. The program has provided residents with new kitchens, bathrooms, windows, and common areas while addressing critical repairs to elevators, boilers, roofs, and facades. The PACT program also enhances on-site social services by funding valuable community programming catered to meet the needs of each development.

Approximately \$1.6 billion in renovations have been completed at more than 8,800 apartments. An additional 13,000 apartments are under construction, totaling \$4.3 billion in major upgrades. An additional 15,000 apartments are part of active development projects in the process of resident engagement or pre-development. In sum, NYCHA has over 37,800 apartments completed, in construction, or in a stage of resident engagement or pre-development.

#### Infill, Redevelopment, and Other Real Estate Activities:

By leveraging its real estate assets, NYCHA can redevelop underused land to raise funding for building rehabilitation or complete redevelopment of a NYCHA campus. Building on underutilized land can be done as a standalone transaction or in connection with other tools like PACT and the transfer of air rights. Proceeds generated by these transactions will be used to reinvest in, restore, or rebuild existing NYCHA campuses.

New residential buildings will be subject to Mandatory Inclusionary Housing (MIH) levels of affordability and increase the permanently affordable housing stock. NYCHA will ground lease — not sell — the land and will create plans with community input for comprehensive campus improvements that will help NYCHA achieve our mission.

NYCHA continues to tap into its extensive unused development rights, known as “air rights,” in order to raise revenue for the Authority. By transferring a portion of the Authority’s approximately 80 million square feet of air rights, NYCHA expects to generate funding for capital repairs for adjacent apartments. The sale of unused transferable development rights to owners of privately owned sites has already generated \$55 million in revenue to pay for capital repairs at NYCHA developments. In 2020, NYCHA completed two air rights transfers, one at Ingersoll Houses in Brooklyn for nearly \$25 million and another at Hobbs Court in Manhattan for \$2.6 million. In 2022, NYCHA completed a sale at Manhattanville Houses for \$28 million. In 2024, NYCHA completed another sale at Campos Plaza for \$19 million, for a total of \$74 million in proceeds for capital repairs for the adjacent developments.

#### **Commitments to Build New 100% Affordable Housing**

New York City is confronting an affordable housing crisis, and New Yorkers have called for more affordable housing. NYCHA has pledged to provide underused land (such as parking lots and storage spaces) for the creation of new, affordable apartments for both families and seniors – more than 3,000 of which are already in the pipeline.

Since 2015, NYCHA has closed on 18 transactions located in the Bronx, Brooklyn, Manhattan, and Queens. To date, 2,623 affordable apartments have been built or are under construction. An additional 72 co-op homeownership units began construction at the end of 2020 and will welcome home first-time home buyers in 2024.

Many of these buildings will include community facilities and neighborhood retail that will serve new and current residents. For instance, The Atrium at Sumner in Bedford-Stuyvesant features 130 studio apartments and 59 one-bedroom apartments, as well as one two-bedroom superintendent

apartment. The 11-story building is organized around a central atrium with corridors overlooking a year-round indoor garden on the ground floor. The Atrium at Sumner is designed to Passive House Standards, resulting in 60 to 70 percent less energy consumption compared to the average New York City apartment building. Its common areas include an exercise room as well a library, conference rooms, and a large multi-purpose room that will be home to educational and arts classes and programming to meet the needs of seniors. The building has started to welcome residents in 2024, with a subset of units set aside for existing NYCHA seniors.

### **Housing Opportunity Through Modernization Act (HOTMA) Sections 102 and 104**

The U.S. Department of Housing and Urban Development (HUD) published new regulations in February 2023 implementing changes under the Housing Opportunity Through Modernization Act (HOTMA). Sections 102 and 104 of HOTMA make changes to the United States Housing Act of 1937, particularly those affecting income calculations and reviews. Section 102 changes requirements related to income reviews for public housing and Section 8 programs. Section 104 sets maximum asset limits for public housing and Section 8 applicants and participants. Please see Attachment M on pages 257 to 264 for more information on the changes that will apply to NYCHA's Housing Choice Voucher (HCV) and public housing programs.

**ATTACHMENT A**  
**5-YEAR PLAN**  
**PHA FISCAL YEARS –2025-2029**  
[24 CFR Part 903.5]

[24 CFR Part 903.5]

**A. Mission**

State the PHA’s mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA’s jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: HUD's mission is to increase homeownership, support community development and increase access to affordable housing free from discrimination. To fulfill this mission, HUD will embrace high standards of ethics, management and accountability and forge new partnerships--particularly with faith-based and community organizations--that leverage resources and improve HUD's ability to be effective on the community level.
  
- The PHA’s mission is: The New York City Housing Authority (NYCHA)'s mission is to increase opportunities for low- and moderate-income New Yorkers by quality housing that is sustainable, inclusive, and safe, while fostering opportunities for economic mobility. 306,000 New Yorkers reside in NYCHA’s 244 public housing developments around the five boroughs, 20,793 Section 8 families (43,915 authorized residents) live in the PACT/RAD developments formerly managed by NYCHA. In addition, 176,053 residents receive subsidized rental assistance in private homes through the NYCHA-administered Section 8 Leased Housing Program.

To fulfill its vital mission and even better serve residents while facing dramatic reductions in traditional government funding, NYCHA is developing new financing options and building innovative partnerships across the public, private, and non-profit sectors. These strategies are helping NYCHA to address many key challenges, from preserving aging housing stock through timely maintenance and modernization of developments, to increasing resident access to a multitude of community, educational and recreational programs, as well as job readiness and training initiatives.

**B. Goals and Objectives**

**NYCHA is providing final updates on its current goals and is introducing new goals for the FY2025-2029 five-year plan.**

***Please see Attachment F for the Statement of Progress in Meeting Mission and Goals***



## **Lead-Based Paint**

### **Goal: Management of Lead-Based Paint Risks**

**Objective:** By 2020, NYCHA will use XRF technology to test over 134,000 apartments built before 1978. By the middle of 2019, as lead paint tests are completed, NYCHA will publish statistics on the progress of the testing initiative and its results.

**Objective:** By January 31, 2024, NYCHA will abate all lead-based paint at the Harlem River Houses and the Williamsburg Houses.

**Objective:** By January 31, 2039, NYCHA will abate all lead-based paint in apartment units that contain lead-based paint, and interior common areas that contain lead-based paint in the same building as those units. NYCHA must also develop an action plan to abate exterior common areas that contain lead-based paint.

## **Heat**

### **Goal: Improving the Provision of Heat**

**Objective:** NYCHA will comply with N.Y.C. Admin. Code § 27-2029(a), which requires NYCHA to maintain the following temperatures in apartments during the heating season (October 1 through May 31):

- a) between the hours of 6:00 a.m. and 10:00 p.m., a temperature of at least 68 degrees Fahrenheit whenever the outside temperature falls below 55 degrees; and
- b) between the hours of 10:00 p.m. and 6:00 a.m., a temperature of at least 62 degrees Fahrenheit.

**Objective:** By and after October 1, 2024, NYCHA will ensure that, during any given heating season:

- a) no more than 15% of occupied apartments, as measured according to procedures established in an Action Plan which may include a reliable sampling method, will have an occasion in which the temperatures fall below the legal limits, and
- b) the temperature will not fall below the legal limits on more than three separate occasions in a given unit.

**Objective:** By December 31, 2020, NYCHA will install electronic temperature monitoring to provide the Authority with a comprehensive understanding of heating conditions in 44 developments, which will include electronic temperature monitoring in no fewer than 30% of NYCHA apartments in these developments. A schedule will be established in an Action Plan with deadlines for NYCHA to install such monitoring systems in the remainder of its developments.

## **Goal: Improved Response to Heating Failure**

**Objective:** Starting with the heating season beginning October 1, 2019, NYCHA will:

- a) Restore heat to units affected by a heating shortage within an average of 12 hours.
- b) During the heating season, for 85% of heating shortfalls, NYCHA will restore heat to affected units within 24 hours, and in no event more than 48 hours. NYCHA may restore heat through the use of temporary replacement heating systems that comply with the N.Y.C. Admin. Code.
- c) In any event in which heat is unable to be restored to a particular unit within 12 hours, appropriate NYCHA personnel distinct from the NYCHA personnel responsible for the heat restoration, as identified in an Action Plan, will undertake an investigation to determine the root cause(s) of such initial failure of the heating system and the failure to achieve the restoration of service within the timeframe, identify corrections to prevent or lessen the recurrence of such failures, and track the implementation of such corrective actions. Such information will be retained in a central repository to which all applicable maintenance staff and management have access.

## **Goal: Other Heating Obligations**

**Objective:** In addition to meeting the goals above, NYCHA will perform the following tasks:

- NYCHA will replace or address approximately 500 boilers by 2026
  - 297 boilers will be replaced by December 31, 2026, through NYCHA's Capital Plan.

## **Mold**

### **Goal: Decreasing Mold Incidence and Recurrence**

**Objective:** By January 31, 2024:

- a) For 85% of verified mold complaints, there will not be a second verified mold complaint in the same unit or the same common area room or hallway within a 12-month period.
- b) No more than 15% of verified mold complaints will be for mold covering 10 or more square feet in a unit or common area that is visible from within such unit or common area, unless NYCHA can document to the satisfaction of the Monitor that after having been advised by NYCHA to report incidences of mold, the resident did not report the incidence of mold until after the mold had reached this extent.
- c) Mold will not appear more than three times in a year in any single unit.

### **Goal: Remediation of Mold and Moisture**

**Objective:** By January 31, 2021:

- a) For 95% of instances in which a resident reports a mold complaint that is subsequently verified or NYCHA identifies mold in a unit, consistent with the NYCHA Standard Procedure SP 040:14:1, *Mold/Mildew Control in NYCHA Residential Buildings*, December 19, 2018 (revised February 26, 2020), within five business days of the resident reporting or NYCHA identifying mold in the unit, NYCHA will prepare and provide a written plan for addressing

the root cause to the resident. NYCHA may meet this standard by mailing a copy of the written plan to the resident via U.S. or electronic mail within the five-day period.

- b) For 95% of instances in which a resident reports a mold complaint that is subsequently verified or NYCHA identifies mold in a unit, consistent with the NYCHA Standard Procedure SP 040:14:1, *Mold/Mildew Control in NYCHA Residential Buildings*, December 19, 2018 (revised February 26, 2020), within four calendar days of the resident reporting or NYCHA identifying mold in the unit, NYCHA will schedule the initial mold inspection. In addition, NYCHA must remediate mold and its underlying root cause (i) within 7 days, for repairs that can be performed by a Maintenance Worker or Caretaker, or (ii) within 15 days, for repairs that must be performed by skilled trades workers or other specialized staff in one or more visits.
- c) For 95% of reports to NYCHA of floods, leaks from above, and other conditions that cause sustained or recurrent moisture to flow into a resident's unit or the walls of the unit, NYCHA will abate the condition within 24 hours of a report of the condition to NYCHA, and NYCHA will remove any standing water that resulted from such condition within 48 hours of the report.

**Objective:** Improve communication and notification to tenants regarding mold starting in 2019.

### **Elevators**

#### **Goal: Improving Elevator Service**

**Objective:** By January 31, 2022:

- a) 70% of buildings containing more than one elevator will have no more than one instance per year where all elevators are out of service (whether planned or unplanned) at the same time, and no such building will have more than three instances in a year.
- b) 70% of elevators in all buildings will have an unplanned outage no more than eight times per year, and no elevator will have unplanned outages more than 15 times a year.

#### **Goal: Improved Response to Disruptions in Service**

**Objective:** For the first year after January 31, 2019, NYCHA will reduce the duration of service outages by 10%, and 75% of no-service conditions will be resolved within 18 hours of the time NYCHA learns of them. The response rate will improve each year thereafter as determined by the Monitor and HUD based on the data NYCHA provides to the Monitor and HUD and the steps NYCHA has taken in executing its Action Plans.

#### **Goal: Other Obligations to Improve Elevator Service**

**Objective:** NYCHA will replace or address at least 425 elevators by 2024:

1. 275 elevators will be replaced by December 31, 2024, through NYCHA's capital plan.

2. NYCHA will transfer 150 additional elevators to third-party management through the PACT Section 8 conversion program by December 31, 2024. The developer selected through PACT will replace elevators as needed in buildings under its purview.

## **Pests**

### **Goal: Pest Population Reduction**

**Objective:** By January 31, 2022, NYCHA will achieve a 50% reduction of its rat population across its portfolio, a 40% reduction in its mice population across its portfolio, and a 40% reduction in its roach population across its portfolio. By that time, NYCHA will also achieve a percentage reduction in its bedbug population across its portfolio, to be determined by the Monitor.

### **Goal: Improved Response to Resident Complaints**

**Objective:** By January 31, 2021, NYCHA will:

- a) Respond to 75% of all rat complaints within two business days, and to all rat complaints within five days;
- b) Respond to 75% of all other pest complaints within seven days, and to all other pest complaints within 10 days;
- c) Apply effective pest control methods (in compliance with applicable law, including the Federal Insecticide, Fungicide, and Rodenticide Act, 7 U.S.C. § 136 *et seq.*), to address any verified complaints within seven days;

### **Goal: Implementation of IPM Practices**

**Objective:** By January 31, 2020, NYCHA will incorporate industry-standard IPM practices, including Northeastern IPM Center (NortheastIPM.org and StopPests.org), Integrated Pest Management – A Guide for Affordable Housing, using the current edition at the time of the pest complaint, as developed under an interagency agreement between HUD and the U.S. Department of Agriculture for their Delivery of IPM Training to PHAs project; and current editions of other professional IPM resources as the Monitor may approve, into building operations in all NYCHA properties.

### **Goal: Other Pest Obligations**

- a) NYCHA will install 50 rat slabs by December 31, 2020.

## **Annual Inspections**

### **Goal: Conduct Annual Inspections**

**Objective:** NYCHA will conduct annual inspections of developments. To complete its annual inspections, NYCHA will either: (a) inspect each occupied unit each year; or (b) inspect its units pursuant to such other program of annual inspection that is consistent with I-HUD Public Housing Management E-Newsletter, Vol. 3, Issue 1 (January 2012).

**Objective:** By May 1, 2019, and thereafter, annual inspections will include having the person conducting the inspection perform any minor repairs during the inspection.

**Objective:** By June 28, 2019, NYCHA will submit an Action Plan to the Monitor for complying with the requirement to conduct annual inspections and perform minor repairs during such inspections. The Action Plan will include procedures for (i) on-site completion of minor repairs during inspections, and (ii) the scheduling of other inspection-identified maintenance deficiencies for subsequent repair.

### **Organizational and Operational Initiatives**

**Objective:** The Compliance Department will develop a framework to ensure that all workers will have the necessary certifications to perform their duties.

### **Section B: Real Estate Development Activities**

#### **Goal: Permanent Affordability Commitment Together (PACT)**

**Objective:** NYCHA's 2023 Physical Needs Assessment (PNA) estimates 20-year physical needs of \$78.3 billion, which is a 73 percent increase from the 2017 PNA's total estimated needs of \$45.3 billion. As part of its Permanent Affordability Commitment Together (PACT) initiative, NYCHA will address overdue repairs in 62,000 apartments – a third of its units and home to approximately 140,000 New Yorkers.

### **Section C: NYC Public Housing Preservation Trust**

In June 2022, the State legislature passed a bill establishing the New York City Public Housing Preservation Trust (the Trust), and it was signed into law by Governor Kathy Hochul.

To dramatically improve residents' quality of life through comprehensive building renovations while preserving all their rights and protections (including permanently affordable rent) and providing economic opportunities, NYCHA plans to transfer an initial 25,000 apartments to the Trust. NYCHA will continue to own and manage the properties, entering a long-term ground lease with the Trust to secure project-based vouchers, which have a subsidy worth double NYCHA's current federal subsidy. Similar to how other government entities raise funding for capital improvements, the Trust will pursue conventional financing or bonds that fund comprehensive building renovations (with input and partnership from residents at the development), including the latest sustainable technologies. And the Trust can hire better vendors who can complete high-quality work, faster.

#### **Goal: Comprehensively renovate buildings and increases funding for operations and capital repairs**

**Objective:** Transfer an initial 25,000 apartments to the Trust to improve residents' quality of life through comprehensive building renovations using the federal Section 8 program.

**Section D: Section 3 Compliance**

**Objective:** Improve Section 3 compliance tracking for the new HUD Section 3 rule.

**Section E: Additional Goals**

**Goal: Promote Equal Opportunity in Housing**

**Goal: Violence Against Women Act (VAWA)**

**Objective:** Assist residents who are victims of domestic violence, dating violence, sexual assault, and stalking to obtain an expedited confidential transfer and to enhance their safety.

**Substantial Deviation**

*24 CFR 903.7 (r) (2) (effective November 22, 1999) requires each housing authority to identify the basic criteria that will be used to determine a substantial deviation from the five-year plan, which would necessitate an explanation to HUD in the Annual Plan. NYCHA has determined that it will use the following criteria defining "substantial deviation":*

**Criteria for Significant Amendment or Modification of the Agency Plan and/or Capital Fund Program Five-Year Action Plan:**

NYCHA will amend or modify its agency plan and/or Capital Fund Program Five-Year Action Plan upon the occurrence of any of the following events during the term of an approved plan(s):

1. A change in federal law takes effect and, in the opinion of NYCHA, it creates substantial obligations or administrative burdens beyond the programs then under administration, excluding changes made necessary due to insufficient revenue, funding or appropriations, funding reallocations resulting from modifications made to the annual or five-year capital plan or due to the terms of a judicial decree.
2. Any proposed demolition, disposition, homeownership, Capital Fund financing, development or mixed-finance proposals.
3. Any Capital Fund project not already in the Five-Year Action Plan for an amount greater than \$500 million excluding projects arising out of federally declared major disasters.
4. Any other event that the Authority determines to be a significant amendment or modification of an approved annual plan and/or Capital Fund Program Five-Year Action Plan.

For purposes of any Rental Assistance Demonstration ("RAD") project, a proposed conversion of public housing units to Project Based Rental Assistance or Project Based Voucher Assistance that has not been included in an Annual Plan shall be considered a substantial deviation.

**ATTACHMENT B**  
**PHA PLAN UPDATE**

**A) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:**

<ul style="list-style-type: none"> <li>• Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures</li> <li>• Financial Resources</li> <li>• Rent Determination</li> <li>• Operation and Management</li> <li>• Demolition and Disposition, Conversion of Public Housing, Homeownership, Project Based Vouchers and Rental Assistance Demonstration (RAD)</li> <li>• Housing Needs</li> <li>• 5-Year 2025-2029 Capital Fund Action Plan</li> <li>• Statement of Progress in Meeting Mission and Goals – FY 2020 to FY 2024</li> </ul>	<ul style="list-style-type: none"> <li>• Statement of Progress in Meeting Mission and Goals – FY 2025 to FY 2029</li> <li>• Admissions Policy for Deconcentration</li> <li>• Resident Advisory Board Members</li> <li>• PHA Management Organizational Chart</li> <li>• Agendas of Meetings Held with NYCHA’s Resident Advisory Board</li> <li>• Homeownership Program</li> <li>• Community Service and Self-Sufficiency Programs</li> <li>• Safety and Crime Prevention</li> </ul>
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**B) Identify the specific locations where the public may obtain copies of the Annual PHA Plan.**

The *Final Annual Plan and Five-Year Plan for FY 2025* is available for public inspection at NYCHA’s principal office, located at 90 Church Street, New York, NY between the hours of 9:30 a.m. to 4:30 p.m. Please email [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) to schedule a time to review the Plan.

The *Final Agency Annual Plan and Five-Year Plan for FY 2025* is also available at the following locations:

- On NYCHA’s webpage, which is located at:  
<https://www1.nyc.gov/site/nycha/about/annual-plan-financial-information.page>
- At the Management Office of each NYCHA public housing development during regular business hours.



## C) Plan Elements (24 CFR 903.7)

### 1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures

#### A. Public Housing

##### (1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)  
 When families are within a certain time of being offered a unit: (state time)

*Preliminarily, within six to nine months before being offered a unit, and finally, when the family reaches the top of the waiting list.*

Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity  
 Rental history  
 Housekeeping  
 Other (describe)

*NYCHA takes appropriate action before admission to verify each family's actual composition and to verify the citizenship/immigration status of each household member as required under Federal law.*

c. Does the PHA request criminal records from local law enforcement agencies for screening purposes?

Yes  No

d. Does the PHA request criminal records from State law enforcement agencies for screening purposes?

Yes  No

e. Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

Yes  No

*NYCHA performs criminal background checks by examining the public conviction records of The New York State Office of Court Administration and the Dru Sjodin National Sex Offender Website.*

**(2) Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists (*By NYC Borough*)
- Site-based waiting lists **See Attachment J**
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

*NYCHA maintains two Walk-in Customer Contact Centers serving the five boroughs of New York City.*

*Applicants may also apply online at NYCHA's website. The centers are taking appointments. The elderly and the disabled can come in without one. However, appointments are strongly recommended to decrease wait time. <http://www1.nyc.gov/site/nycha/about/contact.page>*

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment

1. How many site-based waiting lists will the PHA operate in the coming year? **1**

2. Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site-based waiting list plan)? If yes, how many lists?

- Yes  No

3. May families be on more than one list simultaneously? If yes, how many lists?

- Yes  No

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

*NYCHA maintains two walk-in Customer Contact Centers serving the five boroughs of New York City. The centers are taking appointments. The elderly and the disabled can come in without one. However, appointments are strongly recommended to decrease wait time.*

d. Additional Information

*NYCHA assists the management companies of the following developments with their waiting lists for the public housing units located in their developments. This assistance may include sending*

*the management companies lists of public housing applicants that may qualify for their developments or by sending canvas letters to applicants on NYCHA's public housing waiting list to advise them of the opportunity to submit an application for these properties.*

*Please note that NYCHA does not own or manage the developments below and does not maintain the waiting lists for these developments itself.*

- *1070 Washington Avenue (La Preciosa) (NY005024000)*
- *Prospect Plaza Phase I (NY005025000)*
- *Prospect Plaza Phase II (NY005025001)*
- *PSS Grandparent Family Apartments (NY005005600)*

*Please note that NYCHA is exploring options to reposition this residential building from Section 9 to Section 8 subsidy through HUD's Section 18 Demolition/Disposition process*

- *Randolph South (NY005026000)*

### **(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (Select one)

- One  
 Two  
 Three or More

b. Is this policy consistent across all waiting list types?

- Yes  No:

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

*Applicants and transferees with borough choice and applicants and transfers for accessible apartments are given two apartment offers before they are removed from the waiting list.*

### **(4) Admissions Preferences**

a. Income targeting:

Does the PHA plan to exceed the federal targeting requirements by targeting more than 40 percent of all new admissions to public housing to families at or below 30 percent of median area income?

- Yes  No:

*While NYCHA does not plan to exceed the federal targeting requirements, about 84% of the families admitted to public housing during calendar year 2023 were households with incomes at or below 30% of area median income.*

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

*Tenant transfers for reasonable accommodation.*

*Tenants whose apartments have become uninhabitable or whose expeditious relocation is required to address health, safety, or environmental concerns.*

*Tenants relocated for development, building, or unit renovation, repair, or other use, or due to the requirements of a special purpose program.*

*Tenants of the affected public housing development or building who were required by NYCHA to relocate due to renovation; health, safety, or environmental concerns; or uninhabitable conditions, and who wish to return to the development, building, or unit from which they were relocated, once the renovation or repairs are complete.*

*Accessible Apartments.*

*Under occupied families required to move to smaller apartment as a result of Termination of Tenancy proceeding.*

*Currently, three out of five vacant apartments are offered to transfers and the other two apartments to new admissions. However, within equal priority transfers, residents residing within the same development as the apartment vacancy shall have preference over residents who reside at a different development.*

#### Preferences

1. Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection (5) Occupancy)

Yes  No:

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness

High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

Working families and those unable to work because of age or disability

Veterans and veterans' families

Residents who live and/or work in the jurisdiction

Those enrolled currently in educational, training, or upward mobility programs

Households that contribute to meeting income goals (broad range of incomes)

Households that contribute to meeting income requirements (targeting)

Those previously enrolled in educational, training, or upward mobility programs

Victims of reprisals or hate crimes

Other preference(s) (list below)

*Intimidated Witnesses.*

*Legally doubled up and overcrowded in apartment subsidized by NYCHA (either public housing or Section 8)*

*Doubled up or overcrowded in apartment not subsidized by NYCHA.*

*Families headed by persons with acute immunological disorders of a degenerative nature or other disabilities or medical conditions requiring a high level of physical and supportive service accommodations, including NYCHA residents and homeless persons (Stanton Street).*

*Referrals from the New York City Department of Homeless Services.*

*Referrals from the New York City HIV/AIDS Services Administration, the Administration for Children's Services, the New York City Department of Housing Preservation and Development, or the New York City Health and Hospitals Corporation.*

*For single-person families: Elderly persons and persons with disabilities will be given preference over other applicants, except for emergency applicants, who will be taken in order of priority regardless of age or disability.*

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Former Federal preferences:

**1, 3** Homelessness

**1, 3** Involuntary Displacement (Disaster, Government Action, Inaccessibility, Property Disposition)

**2** Victims of Domestic Violence

**3** Action of Housing Owner

**3** Substandard Housing

### 3 High Rent Burden

Other preferences (select all that apply)

*Working family priorities are assigned by income tier with the first number representing Tier III (households with incomes between 51% and 80% AMI), followed by Tier II (households with incomes between 31% and 50% AMI), and then Tier I (households with incomes less than 30% AMI).*

- 1,2,3** Working families and those unable to work because of age or disability referred by the NYC Department of Homeless Services
- 1,2,3** Working families and those unable to work because of age or disability
- Veterans and veterans' families
- 1,2,3** Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- 1,2,3** Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- 3** Victims of reprisals or hate crimes
- Other preference(s) (list below)

*1 – Referrals from the New York City Department of Homeless Services.*

*1 – Referrals from the New York City HIV/AIDS Services Administration, the Administration for Children's Services, the New York City Department of Housing Preservation and Development or the New York City Health and Hospitals Corporation.*

*2 - Intimidated witnesses.*

*3 – Legally doubled up and overcrowded in apartment subsidized by NYCHA (either public housing or Section 8).*

*3 – Doubled up in apartment not subsidized by NYCHA.*

*3 – Overcrowded in apartment not subsidized by NYCHA.*

*3 – For single-person families: Elderly persons and persons with disabilities will be given preference over other applicants, except for emergency applicants, who will be taken in order of priority regardless of age or disability*

*3 – Families headed by persons with acute immunological disorders of a degenerative nature or other disabilities or medical conditions requiring a high level of physical and supportive service accommodations, including NYCHA residents and homeless persons, who are referred by designated public and private social service agencies (Stanton Street).*

*4 – Families headed by persons with acute immunological disorders of a degenerative nature or other disabilities or medical conditions requiring a high level of physical and*

*supportive service accommodations, including NYCHA residents and homeless persons (Stanton Street).*

*Admission income limits apply at certain developments, as follows: At tax credit developments family income must not exceed 60% of area median income. At all other developments, family income must not exceed 80% of area median income.*

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

a. Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

- Yes  No

b. Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

- Yes  No

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site-based waiting lists. If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments. If selected, list targeted developments below:

*All lower income developments.*

- Employing new admission preferences at targeted developments. If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

Yes  No:

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

*All lower income developments.*

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

## **7) Resident Police Officers (RPO)**

*Pursuant to a Memorandum of Understanding between NYCHA and the New York City Police Department (NYPD), the Authority provides apartments to Police Officers and their families at rents comparable to NYCHA resident employee rents. The presence of a Police Officer who resides in a NYCHA development enhances security, fosters a greater understanding between NYCHA residents and the Police, and provides role models for the youth residing in that development. A Resident Police Officer (RPO) is required to perform a minimum of eight hours of Community Service per month. This Community Service may not include police work, law enforcement, Tenant Patrol or other security-related work.*

*NYCHA currently has 5 RPOs living in the following NYCHA developments: Amsterdam, Latimer Gardens, Mott Haven, Throggs Neck, and Vladeck.*

## **B. Section 8**

### **(1) Eligibility**

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)



b. Does the PHA request criminal records from local law enforcement agencies for screening purposes?

Yes  No

c. Does the PHA request criminal records from State law enforcement agencies for screening purposes? Only when search is flagged

Yes  No

d. Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

Yes  No

*NYCHA performs criminal background checks by examining the public conviction records of the New York State Office of Court Administration and the Dru Sjodin National Sex Offender Public Website.*

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

*If requested, NYCHA will provide the property owner with the voucher holder's last address.*

## **(2) Waiting List Organization**

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

None

Federal public housing

Federal moderate rehabilitation

Federal project-based certificate program

Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

PHA main administrative office

Other (list below)

*The New York City Housing Authority (NYCHA) reopened the waitlist to the general public for its Housing Choice Voucher (Section 8) Program from Monday, June 3, 2024 through Sunday, June 9, 2024. The waitlist is now closed to the general public.*

*NYCHA will continue accepting referrals from the following sources: (1) homeless referrals from New York City (NYC) agencies; (2) referrals from the New York City Administration for Children's Services (ACS) for youth in the Family Unification Program (FUP) whose assistance is expiring as a result of the term limit on their voucher without subsequent adequate housing; (3) referrals from ACS for FYI assistance for youth leaving foster care who are homeless or at risk of*

homelessness; (4) referrals by a prosecutorial or law enforcement agency for victims of domestic violence and intimidated witnesses; and (5) referrals from NYCHA's Public Housing Operations Department for public housing residents because their unit is not habitable, they are at risk of displacement, they are extremely under occupied or extremely over crowded, or they have been on the public housing transfer waitlist for three years or longer as a domestic violence (VDV), dating violence, sexual assault or stalking victim.

### **(3) Search Time**

- a. Does the PHA give extensions on standard 60-day period to search for a unit?

Yes  No

If yes, state circumstances below:

*NYCHA's initial voucher term is 120 days, which exceeds HUD's standard 60-day period. Voucher holders may request an extension to this term.*

### **(4) Admissions Preferences**

- a. Income targeting

Does the PHA plan to exceed the federal targeting requirements by targeting more than 75 percent of all new admissions to the section 8 program to families at or below 30 percent of median area income?

Yes  No

- b. Preferences

1. Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent (5) Special purpose Section 8 assistance programs)

Yes  No

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

Victims of domestic violence

Substandard Housing

Homelessness

High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

Working families and those unable to work because of age or disability

Veterans and veterans' families

- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Preferences	Description
1	Homeless referrals from New York City (NYC) agencies, including referrals from ACS for FUP Youth voucher holders at risk for homelessness due to the expiration of FUP Youth assistance.
2	Victim of Domestic Violence Intimidated Witness - referred by prosecutorial or law enforcement agency
3	NYCHA public housing residents required to move because: (a) their unit is not habitable (b) they are at risk of displacement; or (c) they are extremely under occupied or extremely overcrowded in their current apartment.
4	Mobility impaired and residing in inaccessible housing
5	Elderly persons and persons with disabilities
6	All other applicants

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Former Federal preferences

- 1 Homelessness
- 2 Victims of Domestic Violence  
Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
Substandard Housing  
High Rent Burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Preferences	Description
-------------	-------------

1	Homeless referrals from New York City (NYC) agencies, including referrals from ACS for FUP Youth voucher holders at risk for homelessness due to the expiration of FUP Youth assistance.
2	Victim of Domestic Violence Intimidated Witness - referred by prosecutorial or law enforcement agency
3	NYCHA public housing residents required to move because: (a) their unit is not habitable (b) they are at risk of displacement; or (c) they are extremely under occupied or extremely over crowded in their current apartment.
4	Mobility impaired and residing in inaccessible housing
5	Elderly persons and persons with disabilities
6	All other applicants

4. Among applicants on the waiting list with equal preference status, how are applicants selected?  
(select one)

- Date and time of application  
 Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction”  
(select one)

- This preference has previously been reviewed and approved by HUD  
 The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers  
 Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained?  
(select all that apply)

- The Section 8 Administrative Plan  
 Briefing sessions and written materials  
 Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices  
 Other (list below)

*NYCHA receives direct referrals for special programs. NYCHA partners with agencies such as the Department of Veterans Affairs (“VA”), New York City Department of Veterans’ Services (“DVS”), and Administration for Children Services (“ACS”) as required for the special program type.*

*Veterans Affairs Supportive Housing (“VASH”)*

*The Authority has a total allocation of 3,385 vouchers. NYCHA is administering VASH vouchers in partnership with the Department of Veterans Affairs (“VA”) and the New York City Department of Veterans’ Services (“DVS”). Applicants are identified, screened, and referred by the VA and DVS to NYCHA for eligibility certification and voucher issuance.*

## 2. Financial Resources

[24 CFR Part 903.7 (c)]

Financial Resources: 2025 Planned Sources and Uses		
Sources	Planned \$	Planned Uses
<b>1. Federal Grants (FY 2025 grants)</b>		
a) Public Housing Operating Fund	\$ 1,349,812,199	<i>Public Housing Operations</i>
b) Public Housing Capital Fund (2024 Grant)	\$ 730,523,035	<i>Public Housing Rehabilitation</i>
c) Annual Contributions for Section 8 Tenant-Based Assistance	\$ 2,246,824,962	<i>Section 8 Program Operations</i>
Other Federal Grants (list below)		
<b>2. Prior Year Federal Grants (unobligated funds only) (as of August 30, 2024)</b>		
FY 2021 Capital Fund	\$13,108,306	<i>Modernization</i>
FY 2022 Capital Fund	\$63,934,380	<i>Modernization</i>
FY 2023 Capital Fund	\$192,331,822	<i>Modernization</i>
Replacement Housing Factor	\$153,073	<i>New affordable housing construction</i>
Emergency Safety & Security Grants	\$10,817,318	<i>Funding for safety &amp; security</i>
Lead Based Paint Grant	\$4,752,505	<i>Funding for lead abatement</i>
<b>3. Public Housing Dwelling Rental Income (includes City and State Developments)</b>	\$896,080,128	<i>Public housing operations, safety and security, and supportive services</i>
<b>1. 4. Other income</b> (list below)		
Other Revenue from Operations (includes City and State Developments)	\$35,572,680	<i>Public Housing Operations</i>
Interest Income	\$9,270,011	<i>Public Housing Operations</i>
Other Categorical Grants	\$1,081,774	<i>Improve residents' self-sufficiency, summer intern program, and support for Resident Watch program</i>
Revenue earned from PACT transactions	\$39,986,893	<i>Public Housing proceeds earned from Real Estate transactions</i>

Financial Resources: 2025 Planned Sources and Uses		
Sources	Planned \$	Planned Uses
Miscellaneous Income (includes Debt Service)	\$387,179,160	Public housing operations, safety and security, reserves, and supportive services
<b>Total Resources</b>	<b>\$5,981,428,246</b>	

### **3. Rent Determination**

[24 CFR Part 903.7 (d)]

#### **Public Housing**

##### **(1) Income Based Rent Policies**

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30 percent of adjusted monthly income, 10 percent of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
 \$26-\$50

2. Has the PHA adopted any discretionary minimum rent hardship exemption policies?

- Yes  No

c. Rents set at less than 30 percent than adjusted income

1. Does the PHA plan to charge rents at a fixed amount or percentage less than 30 percent of adjusted income?

- Yes  No

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ? (select all that apply)

For the earned income of a previously unemployed household member *NYCHA has implemented the earned income disallowance set forth in 42 U.S.C. §1437a(d).*

**Note: Earned Income Disallowance (EID) expired on December 31, 2023. There will be no new enrollments into EID after this date.**

For increases in earned income

Fixed amount (other than general rent-setting policy) If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy) If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30 percent of adjusted income) (select one)

Yes for all developments

Yes, but only for some developments

No

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

Never

At family option

Any time the family experiences an income increase

*Due to addition of a family member or when there is an interim rent reduction with income subsequently restored.*

Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) \_\_\_\_\_

Other (list below)

*Decreases in family income must be reported as well as any change in family composition.*



- g. Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12-month disallowance of earned income and phasing in of rent increases in the next year?  
 Yes  No

**(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)  
 The section 8 rent reasonableness study of comparable housing  
 Survey of rents listed in local newspaper  
 Survey of similar unassisted units in the neighborhood  
 Other (list/describe below)

*NYCHA sets flat rents based on the allowable rent structure under 42 U.S.C. § 1437a(a)(2)(B)(i)(I)(aa) which requires flat rents to be set no lower than 80% of the applicable fair market rent established under 42 U.S.C. § 1437f(c).*

*All current public housing residents, newly-admitted public housing residents or transferring public housing residents have the option of paying either the flat rent amount shown in the table on the next page or an income-based rent (calculated at the higher of: 30% of adjusted household income, 10% of gross household income, or the welfare rent), whichever is lower. NYCHA automatically charges residents the lower rent.*

***Effective January 1, 2025:*** *Newly-admitted public housing residents or transferring public housing residents who will pay flat rent rather than income-based rent will pay the new flat rent amounts listed in the table below.*

***Effective 1st Quarter 2025 Annual Reviews (with a new rent effective date of May 1, 2025):*** *Current public housing residents whose family’s annual income is reviewed in this quarter who will pay flat rent rather than income-based rent will pay the new flat rent amounts listed in the table below.*

<b>Apartment Size</b>	<b>Current FY 2025 Fair Market Rent</b>	<b>NYCHA’s FY 2025 Flat Rent</b>
Studio	\$2,233	\$1,909
1 Bedroom	\$2,330	\$1,961
2 Bedroom	\$2,580	\$2,202
3 Bedroom	\$3,215	\$2,748
4 Bedroom	\$3,469	\$2,960
5 Bedroom	\$3,989	\$3,404
6 Bedroom	\$4,510	\$3,848

*\*Please note that NYCHA has submitted a request to HUD for a reevaluation of the proposed Fair Market Rents (“FMR”) published for the New York, NY HUD Metro FMR Area for the Fiscal Year 2025.*

## **Section 8 Tenant-Based Assistance**

### **(1) Payment Standards**

Describe the voucher payment standards and policies.

- a. What is the PHA’s payment standard? (select the category that best describes your standard)
- At or above 90 percent but below 100 percent of FMR
  - 100 percent of FMR
  - Above 100 percent but at or below 110 percent of FMR
  - Above 110 percent of FMR (if HUD approved; describe circumstances below)
- b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)
- FMRs are adequate to ensure success among assisted families in the PHA’s segment of the FMR area
  - The PHA has chosen to serve additional families by lowering the payment standard
  - Reflects market or submarket
  - Other (list below)
- c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)
- FMRs are not adequate to ensure success among assisted families in the PHA’s segment of the FMR area
  - Reflects market or submarket
  - To increase housing options for families
  - Other (list below)
- d. How often are payment standards reevaluated for adequacy? (select one)
- Annually
  - Other (list below)
- e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)
- Success rates of assisted families
  - Rent burdens of assisted families
  - Other (list below)

*Federal budget appropriation and standards adopted by local Section 8 administrators.*

### **(2) Minimum Rent**

- a. What amount best reflects the PHA’s minimum rent? (select one)
- \$0

- \$1-\$25
- \$26-\$50

NYCHA's minimum rent for the Housing Choice Voucher Program (\$50.00)

b. Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

- Yes  No

*Exemption policies are described in the Section 8 Administrative Plan, which is a Supporting Document available for review. Members of the public wishing to examine the Supporting Documents may email [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov). The document is also available on NYCHA's webpage at [hcpvadministrative.pdf \(nyc.gov\)](#)*

#### **4. Operation and Management**

[24 CFR Part 903.7 (e)]

##### **A. PHA Management Structure**

- An organization chart showing the PHA’s management structure and organization is attached. **(Attachment I)**

##### **B. HUD Programs Under PHA Management**

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

<b>Program Name</b>	<b>Units or Families Served at Year Beginning 2024</b>	<b>Expected Turnover</b>
Public Housing	156,865	3,638
Section 8 Vouchers	102,022	3.45%
<u>ROSS Service Coordinator Grant 2019:</u> To enhance self-sufficiency by accessing employment and other economic-related resources and opportunities to NYCHA residents through geographically-based service coordination in 11 NYCHA communities. This grant began on 08/23/20.	484 services provided to ROSS residents from reporting period of 01/01/2023 to 11/30/23	N/A
<u>ROSS Service Coordinator Grant 2022:</u> To enhance self-sufficiency by accessing employment and other economic-related resources and opportunities to NYCHA residents through geographically based service coordination in 6 NYCHA communities. This grant start date was extended and began on 12/01/23.	18 services provided to ROSS residents from reporting period of 12/01/2023 to 12/31/23	
<b>Other Federal Programs (list individually)</b>		
<u>City Harvest Mobile Market Program:</u> New York first and largest food rescue organization, that helps to feed millions of New Yorkers who struggle to put meals on their tables.	3,034,322 lbs. of produce to 97,213 families in NYC during calendar year 2023, of which 2,557,541 lbs. were given to 80,863 NYCHA families* <i>*Please note that pounds also include some canned goods. Families served is duplicated households.</i>	N/A

<p><u><i>Elderly Safe at Home:</i></u>  The Elderly Safe at Home program provides services geared towards enhancing the general quality of life of elderly and nonelderly disabled residents who reside in 20 NYCHA developments. This program provides on-site social services to help improve their safety &amp; security and enhance their health &amp; well-being and allows residents to continue to live independently in their homes and prevent premature institutionalization.</p> <p>Program Services include: support and crime prevention, crisis intervention, and crime victim assistance to address and prevent crimes perpetrated against this vulnerable population. The program also assists residents with maintaining activities of daily living, accessing public entitlements, and coordinating services with outside providers.</p> <p>Residents can meet with the assigned worker in the social service office or in their homes. Workers are also expected to conduct regular home visits and telephone reassurance.</p> <p>This program also recruits and trains resident volunteers who are organized into a floor captain/buddy system and maintain daily contact with residents in their respective developments. The floor captains are the eyes and ears of the program. They are often the first to detect if something is wrong or identify an incident requiring immediate attention and are obligated to report back to program staff. This program also offers workshops on crime prevention, safety and security, and crime victims' rights and the criminal justice process. Information on these and other topics is disseminated through pamphlets and regularly scheduled meetings at program sites. Residents who need more comprehensive crime victim services are referred to community-based organizations and/or City agencies that specialize in this field.</p>	An average of 1,172 residents served monthly during calendar year 2023	N/A
<p><u><i>Family Self Sufficiency Program:</i></u>  A HUD initiative that promotes economic self-sufficiency among participating families by referring them to educational, career counseling, money management, job training as well as job placement services. Participants receive a savings account which grows as the family's earned income increases. Upon completion of the five-year FSS Contract of Participation, the family receives the money accumulated in the account, provided that the participant is employed, and no family member is receiving cash public assistance benefits.</p>	942 enrollments as of 12/31/23	N/A

**C. Management and Maintenance Policies**

*(1) Public Housing Maintenance and Management: (list below)*

- *NYCHA Management Manual*
- *NYCHA Application and Tenancy Administration Manual*
- *NYCHA Tenant Selection and Assignment Plan (TSAP) Manual*
- *NYCHA Human Resources Manual*
- *NYCHA Emergency Procedure Manual*
- *NYCHA Procurement Policy Manual*
- *NYCHA Capital Projects Division Procedures Manual*
- *NYCHA Accounts Payable Procedures Manual*
- *NYCHA Standard Procedures*
- *NYCHA General Memoranda*

- NYCHA Deputy General Manager Memoranda
  - NYCHA Assistant Deputy General Manager Memoranda
  - NYCHA Interim Guidance
- (2) Section 8 Management: (list below)
- NYCHA Leased Housing Department Memoranda
  - NYCHA Section 8 Administrative Plan

Members of the public wishing to examine the Management and Maintenance Policies may email [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) for further information.

## **5. PHA Grievance Procedures**

[24 CFR Part 903.7 (f)]

### **A. Public Housing**

1. Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?
- Yes  No:

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
- PHA development management offices
- Other (list below)

### **B. Section 8 Tenant-Based Assistance**

1. Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

Yes  No

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
- Other (list below)

*Written request to the Leased Housing Department  
Calling the Customer Contact Center*

## **6. Designated Housing for Elderly and Disabled Families**

[24 CFR Part 903.7 (i)]

1. Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year?

Yes  No

*On April 30, 2024, HUD approved NYCHA's request to continue to extend the designation of the elderly-only developments and buildings through June 12, 2025. Please note that Reid Apartments converted to Section 8 through the PACT program in November 2023, West Brighton II converted in June 2024, and Boston Road Plaza and Middletown Plaza converted in September 2024. These developments are no longer in the Designated Housing Plan.*

### **BRONX**

<b>Designation of Public Housing Activity Description</b>
<p>1a. Development name: <b>Bronx River Addition</b>  <i>*Please note this development is scheduled to convert to the Housing Preservation Trust in the future.</i></p> <p>1b. Development (project) number: <b>NY005010320</b></p>
<p>2. Designation type:</p> <p><input checked="" type="checkbox"/> Occupancy by only the elderly  <input type="checkbox"/> Occupancy by families with disabilities  <input type="checkbox"/> Occupancy by only elderly families and families with disabilities</p>
<p>2. Application status (select one)</p> <p><input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan  <input type="checkbox"/> Submitted, pending approval  <input type="checkbox"/> Planned application</p>
<p>4. Date this designation <b>approved</b>, submitted, or planned for submission: <b>April 30, 2024</b></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan  <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected: 226</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development  <input checked="" type="checkbox"/> Total development</p> <p><i>*Please note that 96 of the 226 elderly-only units at Bronx River Addition (NY005010320) which include 66 studio (0-bedroom) and 30 one-bedroom units are currently being held vacant for major repairs in the building.</i></p>

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>College Avenue-East 165<sup>th</sup> Street</b> 1b. Development (project) number: <b>NY005013080</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 95 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>East 152<sup>nd</sup> Street-Courtlandt Avenue</b> <i>*Please note this development is scheduled to convert to PACT/RAD in the future.</i> 1b. Development (project) number: <b>NY005010280</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 130 7. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development



<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Glebe Avenue-Westchester Avenue</b> <i>*Please note this development is scheduled to convert to PACT/RAD in the future</i> 1b. Development (project) number: <b>NY005010670</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 132 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Mayor John Purroy Mitchel</b> 1b. Development (project) number: <b>NY005011450</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 165 7. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Morrisania Air Rights</b> 1b. Development (project) number: <b>NY005012670</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4 Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 300 7. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Randall Avenue – Balcom Avenue</b> 1b. Development (project) number: <b>NY005010630</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 252 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
<p>1a. Development name: <b>Twin Parks East (Site 9)</b>  <i>*Please note this development is scheduled to convert to PACT/RAD in the future.</i></p> <p>1b. Development (project) number: <b>NY005012270</b></p>
<p>2. Designation type:</p> <p><input checked="" type="checkbox"/> Occupancy by only the elderly  <input type="checkbox"/> Occupancy by families with disabilities  <input type="checkbox"/> Occupancy by only elderly families and families with disabilities</p>
<p>3. Application status (select one)</p> <p><input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan  <input type="checkbox"/> Submitted, pending approval  <input type="checkbox"/> Planned application</p>
<p>4. Date this designation <b>approved</b>, submitted, or planned for submission: <b>April 30, 2024</b></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan  <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected: 219</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development  <input checked="" type="checkbox"/> Total development</p>

<b>Designation of Public Housing Activity Description</b>
<p>1a. Development name: <b>West Tremont Avenue-Sedgwick Avenue</b>  <i>*Please note this development is scheduled to convert to PACT/RAD in the future.</i></p> <p>1b. Development (project) number: <b>NY005010450</b></p>
<p>2. Designation type:</p> <p><input checked="" type="checkbox"/> Occupancy by only the elderly  <input type="checkbox"/> Occupancy by families with disabilities  <input type="checkbox"/> Occupancy by only elderly families and families with disabilities</p>
<p>3. Application status (select one)</p> <p><input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan  <input type="checkbox"/> Submitted, pending approval  <input type="checkbox"/> Planned application</p>
<p>4. Date this designation <b>approved</b>, submitted, or planned for submission: <b>April 30, 2024</b></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan  <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected: 148</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development  <input checked="" type="checkbox"/> Total development</p>

**BROOKLYN**

<b>Designation of Public Housing Activity Description</b>	
1a. Development name: <b>Bernard Haber</b>	
1b. Development (project) number: <b>NY005011660</b>	
2. Designation type:	
<input checked="" type="checkbox"/> Occupancy by only the elderly	
<input type="checkbox"/> Occupancy by families with disabilities	
<input type="checkbox"/> Occupancy by only elderly families and families with disabilities	
3. Application status (select one)	
<input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan	
<input type="checkbox"/> Submitted, pending approval	
<input type="checkbox"/> Planned application	
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>	
5. If approved, will this designation constitute a (select one)	
<input type="checkbox"/> New Designation Plan	
<input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?	
6. Number of units affected: 380	
7. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input checked="" type="checkbox"/> Total development	

<b>Designation of Public Housing Activity Description</b>	
1a. Development name: <b>Borinquen Plaza I</b>	
1b. Development (project) number: <b>NY005012430</b>	
2. Designation type:	
<input checked="" type="checkbox"/> Occupancy by only the elderly	
<input type="checkbox"/> Occupancy by families with disabilities	
<input type="checkbox"/> Occupancy by only elderly families and families with disabilities	
3. Application status (select one)	
<input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan	
<input type="checkbox"/> Submitted, pending approval	
<input type="checkbox"/> Planned application	
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>	
5. If approved, will this designation constitute a (select one)	
<input type="checkbox"/> New Designation Plan	
<input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?	
6. Number of units affected: 144	
7. Coverage of action (select one)	
<input checked="" type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Eleanor Roosevelt I</b> 1b. Development (project) number: <b>NY005011350</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 159 7. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Kingsborough Extension</b> 1b. Development (project) number: <b>NY005010100</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 184 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Marcus Garvey (Group A)</b> 1b. Development (project) number: <b>NY005012520</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 86 7. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Peter Stuyvesant Gardens II</b> <i>*Please note this development is scheduled to convert to PACT/RAD in the future.</i> 1b. Development (project) number: <b>NY005012210</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 150 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Reverend Randolph Brown</b> 1b. Development (project) number: <b>NY005012520</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 200 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Surfside Gardens</b> 1b. Development (project) number: <b>NY005011700</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 270 7. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Vandalia Avenue</b> 1b. Development (project) number: <b>NY005011940</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 293 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Van Dyke II</b> 1b. Development (project) number: <b>NY005011680</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 112 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development



<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Carter G. Woodson</b> 1b. Development (project) number: <b>NY005011680</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 407 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

**MANHATTAN**

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Baruch Houses Addition</b> 1b. Development (project) number: <b>NY005010600</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 197 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
<p>1a. Development name: <b>Chelsea Addition</b>  <i>*Please see pages 32 and 106 for information about the redevelopment of the site.</i></p> <p>1b. Development (project) number: <b>NY005011340</b></p>
<p>2. Designation type:</p> <p><input checked="" type="checkbox"/> Occupancy by only the elderly  <input type="checkbox"/> Occupancy by families with disabilities  <input type="checkbox"/> Occupancy by only elderly families and families with disabilities</p>
<p>3. Application status (select one)</p> <p><input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan  <input type="checkbox"/> Submitted, pending approval  <input type="checkbox"/> Planned application</p>
<p>4. Date this designation <b>approved</b>, submitted, or planned for submission: <b>April 30, 2024</b></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan  <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected: 96</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development  <input checked="" type="checkbox"/> Total development</p>

<b>Designation of Public Housing Activity Description</b>
<p>1a. Development name: <b>Edward Corsi</b></p> <p>1b. Development (project) number: <b>NY005010640</b></p>
<p>2. Designation type:</p> <p><input checked="" type="checkbox"/> Occupancy by only the elderly  <input type="checkbox"/> Occupancy by families with disabilities  <input type="checkbox"/> Occupancy by only elderly families and families with disabilities</p>
<p>3. Application status (select one)</p> <p><input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan  <input type="checkbox"/> Submitted, pending approval  <input type="checkbox"/> Planned application</p>
<p>4. Date this designation <b>approved</b>, submitted, or planned for submission: <b>April 30, 2024</b></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan  <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected: 171</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development  <input checked="" type="checkbox"/> Total development</p>

<b>Designation of Public Housing Activity Description</b>
<p>1a. Development name: <b>Gaylord White</b>  <i>*Please note this development is scheduled to convert to PACT/RAD in the future.</i></p> <p>1b. Development (project) number: <b>NY005010090</b></p>
<p>2. Designation type:</p> <p><input checked="" type="checkbox"/> Occupancy by only the elderly  <input type="checkbox"/> Occupancy by families with disabilities  <input type="checkbox"/> Occupancy by only elderly families and families with disabilities</p>
<p>3. Application status (select one)</p> <p><input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan  <input type="checkbox"/> Submitted, pending approval  <input type="checkbox"/> Planned application</p>
<p>4. Date this designation <b>approved</b>, submitted, or planned for submission: <b>April 30, 2024</b></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan  <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected: 248</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development  <input checked="" type="checkbox"/> Total development</p>

<b>Designation of Public Housing Activity Description</b>
<p>1a. Development name: <b>Harborview Terrace</b></p> <p>1b. Development (project) number: <b>NY005010220</b></p>
<p>2. Designation type:</p> <p><input checked="" type="checkbox"/> Occupancy by only the elderly  <input type="checkbox"/> Occupancy by families with disabilities  <input type="checkbox"/> Occupancy by only elderly families and families with disabilities</p>
<p>3. Application status (select one)</p> <p><input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan  <input type="checkbox"/> Submitted, pending approval  <input type="checkbox"/> Planned application</p>
<p>4. Date this designation <b>approved</b>, submitted, or planned for submission: <b>April 30, 2024</b></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan  <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected: 195</p> <p>7. Coverage of action (select one)</p> <p><input checked="" type="checkbox"/> Part of the development  <input type="checkbox"/> Total development</p>

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Ira S. Robbins Plaza</b> 1b. Development (project) number: <b>NY005011390</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 150 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Judge Max Meltzer Tower</b> <i>*Please note this development is scheduled to convert to PACT/RAD in the future.</i> 1b. Development (project) number: <b>NY005011000</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 231 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Lower East Side I Infill</b> 1b. Development (project) number: <b>NY005011000</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 72 7. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Mayor Fiorello H. LaGuardia Addition</b> 1b. Development (project) number: <b>NY005010760</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 150 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Morris Park Senior Citizens Home</b> 1b. Development (project) number: <b>NY005012410</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 97 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Sondra Thomas Apartments</b> 1b. Development (project) number: <b>NY005011270</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 87 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
<p>1a. Development name: <b>UPACA (Site 5)</b>  <i>*Please note this development is scheduled to convert to PACT/RAD in the future.</i></p> <p>1b. Development (project) number: <b>NY005012410</b></p>
<p>2. Designation type:</p> <p><input checked="" type="checkbox"/> Occupancy by only the elderly  <input type="checkbox"/> Occupancy by families with disabilities  <input type="checkbox"/> Occupancy by only elderly families and families with disabilities</p>
<p>3. Application status (select one)</p> <p><input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan  <input type="checkbox"/> Submitted, pending approval  <input type="checkbox"/> Planned application</p>
<p>4. Date this designation <b>approved</b>, submitted, or planned for submission: <b>April 30, 2024</b></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan  <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected: 200</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development  <input checked="" type="checkbox"/> Total development</p>

<b>Designation of Public Housing Activity Description</b>
<p>1a. Development name: <b>UPACA (Site 6)</b>  <i>*Please note this development is scheduled to convert to PACT/RAD in the future.</i></p> <p>1b. Development (project) number: <b>NY005012410</b></p>
<p>2. Designation type:</p> <p><input checked="" type="checkbox"/> Occupancy by only the elderly  <input type="checkbox"/> Occupancy by families with disabilities  <input type="checkbox"/> Occupancy by only elderly families and families with disabilities</p>
<p>3. Application status (select one)</p> <p><input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan  <input type="checkbox"/> Submitted, pending approval  <input type="checkbox"/> Planned application</p>
<p>4. Date this designation <b>approved</b>, submitted, or planned for submission: <b>April 30, 2024</b></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan  <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected: 150</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development  <input checked="" type="checkbox"/> Total development</p>

**QUEENS**

Designation of Public Housing Activity Description
1a. Development name: <b>College Point Rehab</b> 1b. Development (project) number: <b>NY005011860</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 13 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

Designation of Public Housing Activity Description
1a. Development name: <b>John Conlon LIHFE Towers</b> 1b. Development (project) number: <b>NY005010910</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 216 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development



<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>International Tower</b> 1b. Development (project) number: <b>NY005010910</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:159 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Leavitt Street-34<sup>th</sup> Avenue</b> 1b. Development (project) number: <b>NY005011860</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:83 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Shelton Houses</b> 1b. Development (project) number: <b>NY005010910</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 155 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

**STATEN ISLAND**

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Cassidy-Lafayette</b> 1b. Development (project) number: <b>NY005011170</b>
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation <b>approved</b> , submitted, or planned for submission: <b>April 30, 2024</b>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 380 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
<p>1a. Development name: <b>New Lane Area</b></p> <p>1b. Development (project) number: <b>NY005010350</b></p>
<p>2. Designation type:</p> <p><input checked="" type="checkbox"/> Occupancy by only the elderly</p> <p><input type="checkbox"/> Occupancy by families with disabilities</p> <p><input type="checkbox"/> Occupancy by only elderly families and families with disabilities</p>
<p>3. Application status (select one)</p> <p><input checked="" type="checkbox"/> Approved; included in the PHA's Designation Plan</p> <p><input type="checkbox"/> Submitted, pending approval</p> <p><input type="checkbox"/> Planned application</p>
<p>4. Date this designation <b>approved</b>, submitted, or planned for submission: <b>April 30, 2024</b></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan</p> <p><input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected: 277</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development</p> <p><input checked="" type="checkbox"/> Total development</p>

## **7. Community Service and Self-Sufficiency**

[24 CFR Part 903.7 (I)]

### **A. PHA Coordination with the Welfare (“TANF”) Agency**

1. Cooperative agreements:

Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

Yes  No:

If yes, what was the date that agreement was signed?

- Electronic Funds Transfer – April 9, 1998
- Data Sharing – March 28, 2001
- Data Sharing – July 14, 2015
- Data Sharing – May 17, 2021
- Emergency Housing Voucher MOU – July 1, 2021
- Emergency Housing Voucher Data Sharing – July 22, 2021
- Emergency Housing Voucher Data Sharing Amendment – September 10, 2021
- Emergency Housing Voucher Data Sharing Amendment – November 1, 2021
- Emergency Housing Voucher Data Sharing Amendment – November 12, 2021
- HRA MOU for Data Verification through Worker Connect – December 15, 2021
- Emergency Housing Voucher Data Sharing Amendment – December 23, 2021
- Emergency Housing Voucher Data Sharing Amendment – February 9, 2022
- Emergency Housing Voucher Data Sharing Amendment – August 15, 2022
- Emergency Housing Voucher Data Sharing Amendment – May 24, 2023
- Emergency Housing Voucher Data Sharing Amendment – December 6, 2023
- HRA Rental Arrears Data Sharing MOU – July 19, 2018
- NYS OTDA Data Sharing Intergovernmental Agreement – July 25, 2023
- HPD, HRA, NYC Continuum of Care and NYC H+H MOU for Stability Vouchers – March 1, 2024

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

**B. Services and programs offered to residents and participants**

**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (Select all that apply)

Public housing rent determination policies:

*For the earned income of a previously unemployed household member, NYCHA has implemented the Earned Income Disallowance set forth in 42 U.S.C. §1437a(d).*

*Note: The Earned Income Disallowance (EID) expired on December 31, 2023. There will be no new enrollments into EID after this date.*

Public housing admissions policies:

*Working family priorities are assigned by income tier with the first number representing Tier III (households with incomes between 51% and 80% AMI), followed by Tier II (households with incomes between 31% to 50% AMI), and then Tier I (households with incomes at 30% AMI or less).*

Section 8 admissions policies

Preference in admission to section 8 for certain public housing families

Preferences for families working or engaging in training or education programs for non- housing programs operated or coordinated by the PHA

Preference/eligibility for public housing homeownership option participation

Preference/eligibility for section 8 homeownership option participation

Other policies (list below)

b. Economic and Social self-sufficiency programs

Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following tables; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Yes  No

Program Name	Units or Families Served at Year Beginning 2023	Expected Turnover		
<p><b>ROSS Service Coordinator Grant 2019:</b> To enhance self-sufficiency by accessing employment and other economic-related resources and opportunities to NYCHA residents through geographically-based service coordination. This grant began on 08/23/20 and after an extension period, ended on November 30, 2023.</p>	484 services provided to residents of developments targeted by ROSS grant from reporting period 01/01/23 to 11/30/23	N/A		
<p><b>ROSS Service Coordinator Grant 2022:</b> To enhance self-sufficiency by accessing employment and other economic-related resources and opportunities to NYCHA residents through geographically based service coordination in 6 NYCHA communities. This grant start date was extended and began on 12/01/23.</p>	18 services provided to ROSS residents from reporting period of 12/01/2023 to 12/31/23	N/A		
<p><b>Family Self Sufficiency Program:</b> A HUD initiative that promotes economic self-sufficiency among participating families by referring them to educational, career counseling, money management, job training as well as job placement services. Participants receive a savings account which grows as the family's earned income increases. Upon completion of the five-year FSS Contract of Participation, the family receives the money accumulated in the account, provided that the participant is employed, and no family member is receiving cash public assistance benefits.</p>	942 program enrollments as of 12/31/23	N/A		
<b>Services and Programs</b>				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/ specific criteria/other)	Access (development office / PHA main office / office / another provider name)	Eligibility (public housing or section 8 participants or both)
<b>NYCHA's Office of Resident Economic Empowerment and Sustainability (REES) Intake &amp; Assessment</b>				
<p><b>REES and Partner Information Sessions:</b> Participants receive an orientation to REES and REES partner services, as well as an individual assessment and referrals to REES partner providers for appropriate services</p>	4,487 attendees at an information session from reporting period 01/01/23 to 12/31/23	Self-referred, unemployed and under-employed public housing & Section 8 residents	REES offices located at central office location in Downtown Brooklyn / Offsite information sessions at NYCHA campuses and/or virtually	Public Housing Residents/ Section 8 Residents

<p><b>REES Hotline Activity:</b> The REES hotline facilitates over the phone resident self-refers to partner programs and serves as a resource for residents to RSVP for upcoming events, testing and information sessions.</p>	<p>13,764 calls handled from reporting period 01/01/23 to 12/31/23</p>	<p>Self-referred, unemployed and under-employed public housing &amp; section 8 residents</p>	<p>Via phone</p>	<p>Public Housing /Section 8 residents</p>
<p><b>REES Microsite Activity:</b> The microsite provides information about economic opportunity services, events and job opportunities available through REES and its partners. Residents can use the site to take action and “self-refer” to programs through downloadable referral slips and RSVP for events.</p>	<p>218,000 visitors from reporting period 01/01/23 to 12/31/23</p>	<p>Self-referred, unemployed and under-employed public housing &amp; section 8 residents</p>	<p>Via REES’ microsite: www.opportunitynyc ha.org</p>	<p>Public Housing / Section 8 residents</p>
<p><b>REES Job Placement</b></p>				
<p>Direct job placement facilitated by REES through the Section 3 mandate, the NYCHA Resident Training Academy, and outside employers</p>	<p>695 direct job placements from reporting period 01/01/23 to 12/31/23</p>	<p>Self-referred unemployed and under-employed public housing residents</p>	<p>REES offices located at central office location in Downtown Brooklyn</p>	<p>Public Housing Residents</p>
<p><b>Section 3:</b> A HUD-mandated regulation whose purpose is to ensure that employment and other economic opportunities generated by Federal assistance to public housing authorities shall, to the greatest extent feasible, be directed to public housing residents and other low and very low-income persons.</p>	<p>1,356* Projected Hires on contracts awarded from reporting period 01/01/23 to 12/31/23*<i>Hiring projections include those made on upcoming NYCHA Real Estate Development projects. Contracts that do not trigger Section 3, but include other hiring contractual obligations, are also included.</i></p>	<p>NYCHA residents and other Section 3 Workers</p>	<p>REES offices at Downtown Brooklyn, Contractor and work locations throughout NYCHA</p>	<p>NYCHA residents and other Section 3 residents</p>

<p><b>Jobs Plus:</b> Through 13 coordinated sites, the Jobs Plus employment program seeks to raise the level of employment for the residents of selected developments by increasing family income through: Employment related services Rent incentives that help make work pay and neighbor to neighbor support for work</p> <p>In 2021, through a \$17 million city-investment, three (3) new Jobs-Plus sites opened, and 7 existing sites expanded, growing Jobs-Plus sites from 10 sites serving 26 NYCHA developments, to 13 sites serving 44 developments citywide.</p> <ul style="list-style-type: none"> <li>• Jobs-Plus is administered by NYCHA, The NYC Human Resources Agency/Department of Social Services (HRA/DSs), NYC Office of Economic Opportunity (NYC Opportunity) and the NYC Office of Financial Empowerment (OFE)</li> <li>• 1 of the 13 Jobs-Plus sites was funded through a FY16 HUD Jobs Plus Initiative Grant which ended on March 31, 2022.</li> </ul>	<p>1,670 verified placements from reporting period 01/01/23 to 12/31/23</p> <p>(Additional placements are pending verification).</p>	<p>Public Housing Residents</p>	<ul style="list-style-type: none"> <li>• East Harlem – Urban Upbound</li> <li>• South Bronx Site I – Bronx Works</li> <li>• South Bronx Site II –East Side Settlement House</li> <li>• Western Queens I – Urban Upbound</li> <li>• Western Queens II- Urban Upbound</li> <li>• Western Queens III- America Works of NY, Inc.</li> <li>• Lower East Side – Henry Street Settlement House</li> <li>• Central Brooklyn – Bedford Stuyvesant Restoration Corporation</li> <li>• Brownsville Brooklyn I- FedCap</li> <li>• Brownsville Brooklyn II- America Works of NY, Inc.</li> <li>• Northwest Bronx – Goodwill Industries</li> <li>• Staten Island –</li> <li>• Equus Workforce Solutions</li> <li>• East New York, Brooklyn– Bedford Stuyvesant Restoration Corporation</li> </ul>	<p>Public Housing Residents</p> <p>Must be a public housing resident of one of 44 targeted developments City-wide.</p>
<p><b>REES Connection to Services</b></p>				



<p><b>Recruitment for Cohort Based Services and program enrollment:</b> Services in the following categories are offered through REES partners in the five boroughs</p> <ul style="list-style-type: none"> <li>• Vocational Training</li> <li>• Adult Education</li> <li>• Financial Education</li> <li>• Business Development</li> </ul>	<p>1131 enrollments in classes and trainings as of reporting period 01/01/23 to 12/31/23</p>	<p>Residents are recruited in time-bound, targeted recruitment campaigns that may include information sessions, pre-screening events, and targeted mailings, phone-banking and web-based outreach. Recruitment is based on minimum criteria of each program</p>	<p>Services provided at partner locations</p>	<p>Public Housing Residents/ Section 8 residents</p>
<p><b>Referrals to Ongoing Services:</b> Services in the following categories are offered through REES partners in the five boroughs</p> <ul style="list-style-type: none"> <li>• Vocational Training</li> <li>• Adult Education</li> <li>• Financial Counseling</li> <li>• SNAP Access</li> <li>• Workforce Development</li> <li>• Business Planning</li> </ul>	<p>949 unique residents were referred to ongoing services from reporting period 01/01/23 to 12/31/23</p>	<p>Residents are referred by NYCHA staff from multiple departments, including REES, through a web-based system that notifies providers to engage with a referred resident. There are no minimum criteria other than the resident's consent to participate in the service provision.</p>	<p>Services provided at partner locations</p>	<p>Public Housing / Section 8</p>
<p><b>NYCHA Resident Training Academy (NRTA):</b> Provides employment-focused training and job placement assistance to NYCHA residents in the constructional and janitorial fields (supported by the Robin Hood Foundation)</p>	<p>328 graduates in the NRTA program year as of reporting period 01/01/23 to 12/31/23</p>	<p>Public housing residents are recruited through multiple outreach channels and complete an initial pre-screen with REES staff. Program referrals are</p>	<p>City Technical College/ Brooklyn Workforce Innovations</p>	<p>Public Housing Residents</p>

		based on testing, pre-screening, interest, and other eligibility requirements and qualifications.		
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**2) Family Self Sufficiency Program**

a. Participation Description

Program	Actual Number of Enrolled Participants (As of: 12/31/23)
Public Housing	0
Section 8	942

b. If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size? If no, list steps the PHA will take below:

Yes  No

Since the fall of 2010, REES has operated the Housing Choice Voucher (“HCV”) (Section 8) Family Self Sufficiency (“FSS”) program. As of December 31, 2023, the program has 942 participants enrolled, of which 385 or 41% percent have escrow accounts totaling \$3,040,551 and averaging over \$7,897 for each participant. In 2023, the program enrolled 112 participants and graduated 67 residents disbursing a total of \$682,252. NYCHA did not receive a 2023 HUD Family Self Sufficiency grant but received a private grant to support case management and enrollment. In 2023, NYCHA’s REES offered in-person and virtual FSS Information Sessions twice monthly with a morning session and an evening session to inform residents about the program, provide case management and accept completed enrollment forms.

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**C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

**8. Safety and Crime Prevention / VAWA**

[24 CFR Part 903.7 (m)]

**A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

*NYCHA recognizes the need to ensure the safety of public housing residents and works closely with the New York City Police Department's Housing Bureau. It is the mission of the New York City Police Department to enhance the quality of life in our City by working in partnership with the community and in accordance with constitutional rights to enforce the laws, preserve the peace, reduce fear, and provide for a safe environment. The Housing Bureau has developed a one-year plan designed to increase the safety and security of residents of public housing. The Strategic Plan for the New York City Police Department's Housing Bureau is included in the Supporting Documents of the Annual Plan.*

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents. (select all that apply)

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti-drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

*The Strategic Plan for the New York City Police Department’s Housing Bureau is included in the Supporting Documents of the Annual Plan.*

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime-and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

*The Strategic Plan for the New York City Police Department’s Housing Bureau is included in the Supporting Documents of the Annual Plan.*

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-

baseline law enforcement services

Other activities (list below)

2. Which developments are most affected? (list below)

*The Strategic Plan for the New York City Police Department's Housing Bureau is included in the Supporting Documents of the Annual Plan.*

**D. Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking;**

NYCHA makes referrals to the following organizations:

- **Sanctuary for Families** is a New York City-based non-profit organization dedicated to aiding victims of domestic violence and their children. Its services include crisis intervention, emergency and transitional shelter, legal assistance and representation, adult and child counseling, and long-term follow-up. Its Legal Center is one of the largest providers of free legal services exclusively for victims of domestic violence, sex trafficking, and other forms of gender-based violence in the United States.
- **Safe Horizon** is a victim assistance organization designed to provide support, prevent violence, and promote justice for victims of crime and abuse. Its programs help survivors of domestic violence rebuild their lives through counseling, short term housing, legal and other support. Resident are encouraged to call their 24-hour hotline, 1-800-621-HOPE (4673) to be connected to a qualified professional
- **Local District Attorney's Offices** assist individuals impacted by Domestic Violence which prosecutes misdemeanor and felony cases involving domestic partners.
- **Project SAFE** offers services for those who have experienced a crime in which the security of their home has been compromised. Project SAFE will send a professional locksmith to change the 2<sup>nd</sup> lock or cylinder for free, provided the victim has the requisite police reports and qualifies for a free 2<sup>nd</sup> lock change.
- **Brooklyn Defender Services:** Staffed with attorneys and support staff, including social workers, investigators, paralegals, re-entry specialists, jail liaisons, education, community organizers and policy specialists as well as dedicated advocates for youth, veterans and parents. They serve their clients in the courtroom and in the community, defending their rights and helping them to amplify their voices to call for the changes that they see are necessary for the health and success of their neighborhoods.
- **Prevention Assistance and Temporary Housing (PATH):** People who leave unsafe environments and need temporary shelter can get help through PATH.
- **CAMBA – Violence Prevention and Intervention Services** provides victim survivors and their families. OVS Compensation claims assistance, advocacy and accompaniment, information, and referral assistance. Prevention education and awareness workshops on intimate partner violence and sexual assault, dating violence and safety to middle and high school students. Bystander prevention sexual violence awareness education on college and university campuses. 24-hour, 7-days a week sexual assault crisis hotline. Victims of all crime including intimate partner violence & abuse, sexual assault, gun violence and homicide. They must be a Brooklyn resident, involved with or at risk of victimization and violence. CAMBA supports family members ages 6 and older.
- **East New York Family Center Brooklyn Community Services:** Families come to the BCS East New York Family Center (ENYFC) for support with marital or other familial conflicts, and to

assist with their children's social-emotional challenges or issues at school. Often, these families are coping with trauma, illness, loss, family changes or transitions, and disconnection. The ENYFC is a safe space for families to set clear goals for change while drawing from their own strengths and abilities. Through this program, social workers provide family therapy, case management support, client advocacy workshops, and group sessions with parents, children and teens.

- **Good Shepherd Services - Safe Homes Project:** For over 40 years, Good Shepherd Services' Safe Homes Project has been working to confront and eliminate domestic and partner violence in New York City. They provide a full array of services, which include a hotline, counseling, and safety-planning. In addition, they offer a wide-range of consultation, training, and educational services to community and professional groups and work to improve laws and policies affecting survivors. They also provide targeted services for special populations, including Spanish-speakers, youth, and LGBTQ survivors of partner violence. All services are free and offered in both English and Spanish.
- **The Mayor's office to End Domestic and Gender Based Violence (ENDGBV):** Develops policies and programs, provides training and prevention education, conducts research and evaluations, performs community outreach, and operates the New York City Family Justice Centers. We collaborate with City agencies and community stakeholders to ensure access to inclusive services for survivors of domestic and gender-based violence (GBV). GBV can include intimate partner and family violence, elder abuse, sexual assault, stalking, and human trafficking

**E. Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing**

NYCHA makes referrals to the following organizations:

- **New York City Family Justice Centers** are the outgrowth of the Mayor's office to End Domestic and Gender Based Violence (ENDGBV) and the District Attorneys' offices. During business hours (M-F, 9am-5pm), residents can call any New York City Family Justice Center (FJC) to get connected to free and confidential assistance for victims and survivors of domestic and gender-based violence, which can include sexual violence, human trafficking, stalking, and intimate partner violence. Through any NYC FJC, survivors of domestic and gender-based violence and their children can get connected to organizations that provide case management, economic empowerment, counseling, civil legal, and criminal legal assistance. Located in all five boroughs, FJCs are safe, caring environments that provide one-stop services and support. Key City agencies, community, social and civil legal services providers, and District Attorney's Offices are located on-site at FJCs, to make it easier for survivors to get help. All are welcome regardless of language, income, gender identity, or immigration status. Interpretation services are available at every FJC, and locations are wheelchair accessible. Requests for other accommodations can be done by calling ahead of a visit.
- The NYC AGING FKA **Department for The Aging (DFTA)** offers services through funded elder abuse organizations.

- **Adult Protective Services (APS)** – Vulnerable adults who are being abused, neglected and/or financially exploited are referred to APS for more intensive ongoing support.

**F. Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families**

**NYCHA Departments:**

- NYCHA’s Family Partnerships department offers assistance, guidance, information and referrals to NYCHA resident survivors of intimate partner violence, sex trafficking and elder abuse.
- NYCHA’s Applications and Tenancy Administration Department prioritizes all emergency transfer request for those NYCHA resident survivors of intimate partner violence, sex trafficking and elder abuse.

**Events:**

- Annual intimate partner abuse and/or elder abuse conferences.
- DV/EA agencies are routinely invited to table at NYCHA resource fairs/ outreach events / family days, etc.
- NYCHA participates in the Mayor’s Office to End Domestic and Gender Based Violence Fatality Review Committee.

**G. Violence Against Women Act (“VAWA”)**

NYCHA adheres to the federal Violence Against Women Act (“VAWA”) by providing protections to victims of domestic violence, dating violence, sexual assault, and stalking (VAWA victims). In accordance with VAWA, NYCHA (i) notifies public housing tenants and Section 8 participants of their rights under VAWA; (ii) protects eligible tenants and authorized household members from eviction or termination of Section 8 assistance based on their status as a VAWA victim; (iii) may terminate public housing tenancy or occupancy rights or Section 8 assistance to an abuser while protecting the rights of the VAWA victim and other authorized household members; (iv) protects eligible applicants from being denied admission based on their status as VAWA victims; (v) affords eligible VAWA victims a transfer under its Public Housing and Section 8 emergency transfer plans; and (vi) links tenants to resources and alternative housing options. Additionally, NYCHA provides a transfer priority for intimidated victims, intimidated witnesses and victims of a traumatic incident as well as an admission preference for eligible victims of domestic violence and intimidated witnesses.

## **9. Pet Policy**

### **1. Dog/Cat**

It is NYCHA policy to allow one pet (a dog or a cat) per household. Such dog or cat must be registered in accordance with NYCHA's pet registration requirements.

### **2. Weight and Breed Restriction**

Any dog registered with NYCHA on or after February 1, 2010, cannot exceed a maximum weight of 25 pounds in adulthood (either full breed or mixed breed). The following breeds are prohibited: Pit Bull, Rottweiler, and Doberman Pincher. Assistance animals are exempt from weight and breed restrictions.

### **3. Registration**

All tenants must register their dog, cat, or assistance animal with NYCHA within 30 days of acquiring (i) during the lease-up process (new tenants); (ii) as soon as the tenant acquires a dog, cat, or assistance animal; or (iii) when a tenant is adding a new pet or assistance animal to replace a pet or assistance animal that is no longer in the household (e.g. the animal has passed away), the tenant must complete and submit NYCHA form 040.299B, Animal Removal Agreement. **Any** dog, cat or assistance animal that is not registered with NYCHA is prohibited from living in a NYCHA apartment or NYCHA premises.

### **4. Dog Tag**

All dogs (even dogs that are assistance animals), regardless of registration date with NYCHA, must be licensed with DOHMH (Health Code §161.04) and must wear two tags around the neck: a NYC license tag and stainless steel NYCHA dog tag.

### **5. Assistance Animals**

There are two types of assistance animals: service animals and support animals.

(1) A service animal is a dog that is individually trained to take a specific action when needed for a person with a disability (e.g., seeing eye dog assisting a blind individual). (2) A support animal is an animal that does work, performs tasks, provides assistance, and/or provides therapeutic emotional support for an individual with disabilities. A support animal does not have to be individually trained or certified.

Tenants seeking a reasonable accommodation for an assistance animal must complete NYCHA *Form 040.505, Dog, and Cat, and Assistance Animal Registration Form*, and, if the disability is not visible, must also complete NYCHA *Form, 040.426, Medical Verification Form*. All assistance animals must be registered.

### **6. Small domestic pets kept in a cage or an aquarium**

Tenants may keep a reasonable number of small domestic pets such as hamsters, small birds, and fish, provided the pet is kept in a cage or an aquarium. These pets cannot create a



nuisance or an unsafe or unsanitary condition. Registration is not required for these types of pets.

#### **7. Dangerous Animals**

NYCHA prohibits (1) unconventional pets or endangered animals such as barnyard animals (farm animals including, but not limited to, cattle, horses, chickens, turkeys, ducks, geese, pigs, goats, and sheep), reptiles, arachnids (spiders), monkeys), and other animals, as specified by New York State and New York City local laws and health code; and (2) animals deemed dangerous, vicious, or threatening also are prohibited. Registered pets or assistance animals that are or become dangerous, vicious, or threatening are not permitted and must be removed from the apartment.

#### **8. Pet and Assistance Animal Conduct**

Tenants must control the noise of pets and assistance animals so that it does not become a nuisance to other tenants by interrupting their peaceful enjoyment of their apartment or the development premises. A pet must not injure, cause harm to, or threaten other people. A pet must not damage NYCHA property or premises, including buildings (inside or outside an apartment), elevators, common grounds, trees, shrubs or ground cover. This rule also applies to assistance animals.

#### **9. Pet Waste**

Tenants must take adequate steps to eliminate any odors coming from their pets and/or assistance animals within their apartment and maintain the cleanliness of their apartment at all times. Cats must use litter boxes located inside the tenant's apartment. The litter boxes must be cleaned regularly. Tenants are not allowed to let waste accumulate. Waste is to be placed in a plastic bag, closed, and disposed of properly (e.g., throwing the bag in the garbage). Tenants must not dispose of animal waste, including kitty litter, in the toilet or household drains. This rule also applies to assistance animals.

#### **10. Dog Leash**

In accordance with New York City's Leash Law (Health Code § 161.05), dogs must be restrained by a leash or chain not more than six feet in length when the animal is in a public place (except in designated off leash areas).

#### **11. Pet-Free Zone**

Tenants must keep dogs, cats, and other animals out of "pet-free zones," such as Management Offices, playgrounds, community facilities, laundry rooms, basement areas, barbecue areas, roofs or roof landings. Note that a registered assistance animal may enter a "pet-free zone," as necessary, provided the animal is on a leash of not more than six feet in length.

#### **12. Spay/Neuter**

Dogs cats, and assistance animals must be spayed or neutered. Proof must be submitted with the NYCHA Pet Registration form. This rule also applies to assistance animals.

#### **13. Vaccination**

Tenants must ensure that their cats, dogs, and assistance animals are vaccinated for rabies. Vaccinations must be current and up to date as per New York City Health Code § 161.06.

#### **14. Fee Exemptions**

No fees required.

### **10. Civil Rights Certification**

[24 CFR Part 903.7 (o)]

*Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.*

### **11. Fiscal Year Audit**

[24 CFR Part 903.7 (p)]

1. Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)

Yes  No

2. Was the most recent fiscal audit submitted to HUD?

Yes  No:

*Yes. The most recent fiscal audit for year 2023 has been completed and the final audit report was electronically submitted to the Federal Audit Clearinghouse on September 27, 2024 as well as to HUD REAC on September 28, 2024.*

3. Were there any findings as the result of that audit?

Yes  No

4. If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain?

Yes  No

*Finding number 2023-001 for Special Tests and Provisions – Environmental Contaminants Testing and Remediation remains unresolved.*

*Finding number 2023-002 for Eligibility remains unresolved.*

*Finding number 2023-003 for Procurement, Suspension and Debarment has been resolved beginning September 30, 2024 on a prospective basis. Procurement prior to this date may be missing some documentation.*

5. Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

Yes  No

## **12. Asset Management**

[24 CFR Part 903.7 (q)]

1. Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have not been addressed elsewhere in this PHA Plan?

Yes  No

*Please see Attachment C on pages 108 to 158 for information on NYCHA's activities related demolition and disposition, conversion of public housing, homeownership, project-based vouchers and RAD/PACT. Please see page 173 for the information on NYCHA's Capital Fund Grant.*

2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

**ATTACHMENT C**  
**DEMOLITION AND/OR DISPOSITION, CONVERSION OF PUBLIC HOUSING,  
HOMEOWNERSHIP, PROJECT-BASED VOUCHERS AND RENTAL ASSISTANCE  
DEMONSTRATION (RAD)**

**A) Demolition and/or Disposition**

**Infill Housing Developments**

**Holmes Towers, Manhattan** – NYCHA intends to lease a parcel of approximately 20,660 square feet on Block 1573, Lot 20 with approximately 330,368 square feet of development rights for market rate and affordable housing development. NYCHA in collaboration with HPD issued an RFP in Spring 2016 and a developer was designated in Spring 2017. A Section 18 Application was submitted in 2019 but was subsequently withdrawn. No engagement or activities are currently taking place.

**Wyckoff Gardens, Brooklyn** – NYCHA intends to lease two (2) parcels of approximately 31,000 and 25,000 square feet respectively, both on Block 394, Lot 1, with approximately 500,000 square feet of total development rights for market rate and affordable housing development. NYCHA in collaboration with HPD issued an RFP in Spring 2016 and a developer was designated in late 2017. No engagement or activities are currently taking place.

**La Guardia, Manhattan** – NYCHA intends to lease a parcel of approximately 18,000 square feet on Block 256, Lot 1 with approximately 350,000 square feet of residential development rights for market rate and affordable housing development. NYCHA in collaboration with HPD issued an RFP in 2018. No engagement or activities are currently taking place.

**Fulton, Elliott, Chelsea, and Chelsea Addition- Manhattan** - In late 2021, NYCHA, together with resident leaders, selected a development team comprised of Essence Development (co-developer), The Related Companies (co-developer and property manager), and Related Construction (general contractor). In 2023, residents were presented several options for the future of their campus, two of which contemplated plans to build new replacement housing for all residents as part of the larger redevelopment of the campus. After an engagement and survey process, residents have expressed a preference to build brand new NYCHA apartment buildings and to replace existing buildings at their development. The development team will also build new mixed-income housing on the campus after all replacement buildings are built. Planning is ongoing and final timelines for the project are not yet determined. Residents will continue to lead the direction and plan for the future of their community.

## ***New 100% Affordable Housing Developments***

**Soundview, Bronx** – In 2008, NYCHA and HPD conditionally designated a developer to build affordable housing on an under-utilized parking area at Rosedale Avenue and Lacombe Avenue along Soundview Park. The plan included two eight-story buildings with 206 low-income rental units for families and seniors and 16 two-family townhouses for homeownership in a multi-phased affordable housing development project. On June 27, 2013, NYCHA disposed of a 68,500 square foot lot for construction of 120 rental units for low-income households (“Phase I”). Phase I was completed in November 2015. On December 19, 2013, NYCHA disposed of a 48,452 square foot lot for construction of 86 units for low-income seniors: eighty-five Section 8 Housing Choice Vouchers were reserved for the new senior residents (“Phase II”). Phase II was completed in June 2016. Phase III, which closed in October 2020, consists of construction of 72 for sale cooperative units, which were marketed on Housing Connect.

**Betances VI, Bronx** – NYCHA intends to lease a parcel of approximately 10,000 square feet on Block 2291, Lot 1, for construction of a 101-unit family housing development. NYCHA in collaboration with HPD issued an RFP in 2016 and a developer was designated in 2017. A Section 18 Application was submitted and approved in 2019. Demolition of the existing one-story commercial structure and construction of the new affordable housing development began in 2021 and was completed in 2024.

**Sumner, Brooklyn** – NYCHA leased a parcel of approximately 24,200 square feet on Block 1580, Lot 1, for construction of a senior housing development with approximately 190 units. NYCHA in collaboration with HPD issued an RFP in 2017. A developer was designated in 2018 and a Section 18 Application was approved in 2021. Construction began in 2021 and was completed in 2024.

**Harborview Terrace, Manhattan** – NYCHA intends to lease a parcel of approximately 29,000 square feet on Block 1084, Lot 9, for construction of a new housing development. NYCHA in collaboration with HPD issued an RFP in 2017. No engagement or activities are currently taking place.

**Bushwick II CDA (Group E), Brooklyn** – NYCHA intends to lease a parcel of approximately 12,300 square feet on Block 3325, Lot 1 for construction of a senior housing development. A developer was designated in 2019. Construction began in 2022, after the project received Section 18 approval.

**Justice Sonya Sotomayor Houses, Bronx** – NYCHA leased a parcel of approximately 13,000 square feet on Block 3730, Lot 1 for construction of a senior housing development with approximately 201 units. A developer was designated in 2019. Construction began in 2021 and was completed in 2024.

**Dyckman Houses, Manhattan** – NYCHA intends to lease a parcel of approximately 15,000 square feet on Block 2216, Lot 1 for construction of an affordable housing development with approximately 180-250 units.

**Morris II Houses, Bronx** – NYCHA intends to lease a parcel of approximately 13,000 square feet on Block 2902, Lot 36 for construction of a senior affordable housing development with approximately 150-200 units. NYCHA issued an RFP in collaboration with HPD in 2019 and selected a development team in collaboration with HPD in 2022.

**Kingsborough Houses and Kingsborough Houses Extension, Brooklyn** – NYCHA intends to lease a parcel of approximately 18,000 square feet on Block 1344, Lots 1 and 175 for construction of an affordable senior housing development with approximately 150-200 units. NYCHA issued an RFP in collaboration with HPD in 2019 and selected a development partner in 2024. Engagement with residents is ongoing.

**East 173<sup>rd</sup> Street-Vyse Avenue, Bronx** – NYCHA obtained HUD’s approval to dispose of land along Hoe Avenue that includes a parking lot, basketball court, and grounds to accommodate a proposal from a sponsor for a three-phase, low-income housing project. The proposal will build a total of 224 dwelling units, including 56 senior resident apartments. The project also requires the transfer of up to 60,000 sq. ft. of development rights. Conveyance of each of the three phases’ building sites is pending funding approval by the sponsor. The first parcel of land was conveyed December 21, 2009 to construct an 84-unit apartment building. Conveyance of a second parcel for another 84-unit apartment building took place in 2013. Construction and tenancing are completed for the first and second phases. The conveyance of the parcel for the final phase of the project is delayed pending demolition of an existing church and finalization of a plan to provide ground floor space within the Phase III development for the church. Section 18 application submission dates for the third phase, as well as construction start dates, have not yet been finalized.

**Ocean Bay Apartments (Oceanside), Queens** – NYCHA intends to dispose of parcels of land and an existing, vacant, one-story commercial building to facilitate construction of a mixed-use building. The parcels are located on Beach Channel Drive between Beach 53rd and Beach 54th Streets south of Oceanside Apartments and east of Bayside Apartments. NYCHA acquired the property, a blighted and underused shopping strip, opposite Ocean Bay Apartments consisting of seven contiguous parcels of approximately 37,111 square feet total, as part of the Ocean Bay HOPE VI Plan through eminent domain. Section 18 application submission dates, as well as construction start dates, have not yet been finalized.

### **Other Development Activities**

**Easements and Transfers of Land for Improved Resiliency** – To enhance coastal resiliency capabilities along vulnerable, low-lying shoreline communities, the New York City Mayor’s Office of Climate Resiliency (MOCR) has proposed the installation and implementation of various countermeasures. Many of these communities are abutted by NYCHA housing development sites, thereby necessitating easement agreements between NYCHA and ORR. These transactions will be facilitated by the Section 18 Demolition/Disposition process and will ultimately allow for ORR to build preventative measures on NYCHA land to guard against potentially widespread flood damage. Section 18 application submission dates, as well as construction start dates, have not yet been finalized.

**MTA Easement at Wagner Houses-** NYCHA plans for a disposition to the Metropolitan Transportation Authority (“MTA”) of a permanent subsurface easement located within the NYCHA public housing development Wagner Houses. The proposed disposition of the approximately 19,314 square feet permanent subsurface easement appurtenant to the Development will facilitate the expansion of the MTA’s Second Avenue Subway. The added capacity of the Second Avenue Subway will provide much needed transit access to residents of the Development as well to all residents of

the community and improve service. Section 18 application materials will be submitted in 2024 with an anticipated construction start date in 2025.

**PSS Grandparent Family Apartments** – NYCHA intends to reposition one 50-unit residential building owned by West Side Federation for Supportive & Senior Housing (WSFSSH) from Section 9 to Section 8 subsidy through HUD’s Section 18 Demolition/Disposition process. This repositioning will allow for WSFSSH to continue to own and manage the building and receive Project-Based Section 8 subsidy. Section 18 application materials will be submitted in 2024.

### **Transfer of Development Rights**

**College Avenue – East 165 Street, Bronx** – NYCHA intends to dispose of approximately 12,000 square feet of surplus development rights through a zoning lot merger. The proposed TDR will facilitate a new residential housing development and generate funding to make repairs at the College Avenue-East 165<sup>th</sup> Street development.

**Howard Houses, Brooklyn** – NYCHA intends to dispose of a parcel of land of approximately 4,560 square feet, and approximately 23,360 square feet of additional surplus development rights through a zoning lot merger. The proposed land sale and TDR will facilitate an affordable housing development and generate revenue for NYCHA.

**Campos Plaza II, Manhattan** – NYCHA disposed of up to approximately 100,000 square feet of surplus development rights through a zoning lot merger. The transaction took place in June 2024 and will generate funding to make repairs at the Campos Plaza II development through the PACT program.

### **Demolition and/or Disposition**

[24 CFR Part 903.7 (h)]

1. Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

Yes  No

**BRONX**

Demolition/Disposition Activity Description	
1a. Development name: <b>Betances VI</b>	
1b. Development (project) number: <b>NY005012110</b>	
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Lease of a parcel of approximately 10,000 square feet on Block 2291, Lot 1 for redevelopment as affordable housing with ground floor commercial. NYCHA intends to lease the site to a developer who will demolish the existing 1-story commercial structure.</i>	
3. Application status (select one) <input checked="" type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application	
4. Date original application <b>approved</b> , submitted, or planned for submission: <b>2019</b>	
5. Number of units affected: 0 units (commercial use, non-residential)	
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development	
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>2016</b> b. <b>Actual</b> end date of activity: <b>2024</b>	

Demolition/Disposition Activity Description	
1a. Development name: <b>Bronx River Addition</b>	
1b. Development (project) number: <b>NY005010320</b>	
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Through the Housing Preservation Trust, NYCHA plans to comprehensively renovate Bronx River Addition to address the 20-year needs of the development, with rehabilitation to start in 2026. The Trust will identify a Design-Build partner to complete the capital work and NYCHA will provide property management services to the development.</i>	
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date original application approved, submitted, or <b>planned for submission</b> : <b>TBD</b>	
5. Number of units affected: 226 units	
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>2024</b> b. <b>Projected</b> end date of activity: <b>TBD</b>	



<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>College Avenue–East 165 Street</b> 1b. Development (project) number: <b>NY005013080</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Transfer of surplus development rights to an adjacent housing development site for a new residential building.</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or <b>planned for submission</b> : <b>TBD</b>
5. Number of units affected: <b>0</b>
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>2020</b> b. <b>Projected</b> end date of activity: <b>TBD</b>

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>East 173<sup>rd</sup> Street-Vyse Avenue Houses</b> 1b. Development (project) number: <b>NY005015300</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Development of a total 224 dwelling units, including 56 senior citizen apartments in three phases: Phase I an 84-unit apartment building, Phase II an 84-unit apartment building, and phase III a 56-unit apartment building, following relocation of an existing community facility.</i>
3. Application status (select one) <input checked="" type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date application <b>approved</b> , submitted, or planned for submission: <b>November 14, 2009</b>
5. Number of units affected: <b>0</b>
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>November 21, 2008</b> b. <b>Projected</b> end date of activity: <b>TBD</b>

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Morris II Houses</b> 1b. Development (project) number: <b>NY005011020</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Lease of an approximate 13,000 square foot parcel on Block 2902 Lot 36 with development rights for affordable housing development.</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date original application approved, submitted, or <b>planned for submission: 2024</b>
5. Number of units affected: 0
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: 2019 b. <b>Projected</b> end date of activity: <b>TBD</b>

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>PSS Grandparent Family Apartments</b> 1b. Development (project) number: <b>NY005005600</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Disposition of one 50-unit building on Block 2678, Lot 68</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or <b>planned for submission: 2024</b>
5. Number of units affected: 50
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: <b>2023</b> b. Actual end date of activity: TBD

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Sotomayor Houses</b> 1b. Development (project) number: <b>NY005010670</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Lease of a parcel of approximately 13,000 square feet on a portion of Block 3730, Lot 1 for development of affordable senior housing.</i>
3. Application status (select one) <input checked="" type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date original application <b>approved</b> , submitted, or planned for submission: <b>2021</b>
5. Number of units affected: 0
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>2017</b> b. <b>Actual</b> end date of activity: <b>2024</b>

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Soundview Houses</b> 1b. Development (project) number: <b>NY005000710</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Development of two eight story buildings with approximately 206 low-income rental units for families and seniors in Phases I and II, and development of 72 cooperative units for affordable homeownership in Phase III.</i>
3. Application status (select one) <input checked="" type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date application <b>approved</b> , submitted, or planned for submission: <b>January 30, 2013</b>
5. Number of units affected: 0
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>2012</b> b. <b>Projected</b> end date of activity: <b>2024</b>

**BROOKLYN**

Demolition/Disposition Activity Description	
1a. Development name: <b>Bushwick II CDA (Group E)</b>	
1b. Development (project) number: <b>NY005012470</b>	
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Lease of a parcel of approximately 12,300 square feet on a portion of Block 3325, Lot 1 for development of affordable senior housing.</i>	
3. Application status (select one) <input checked="" type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application	
4. Date original application <b>approved</b> , submitted, or planned for submission: <b>2022</b>	
5. Number of units affected: 0	
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development	
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>2017</b> b. <b>Projected</b> end date of activity: <b>2025</b>	

Demolition/Disposition Activity Description	
1a. Development name: <b>Howard Houses</b>	
1b. Development (project) number: <b>NY005000720</b>	
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Transfer of surplus development rights and potentially a small piece of land to an adjacent site for affordable housing development.</i>	
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or <b>planned for submission</b> : <b>2024</b>	
5. Number of units affected: 0	
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development	
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>2024</b> b. <b>Projected</b> end date of activity: <b>TBD</b>	

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Kingsborough Houses and Kingsborough Houses Extension</b> 1b. Development (project) number: <b>NY005010100</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Lease of an approximate 18,000 square foot parcel on Block 1344 Lots 1 and 175 with development rights for affordable housing development.</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date original application approved, submitted, or <b>planned for submission: 2024</b>
5. Number of units affected: 0
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: 2019 b. <b>Projected</b> end date of activity: <b>TBD</b>

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Nostrand</b> 1b. Development (project) number: <b>NY005010360</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Through the Housing Preservation Trust, NYCHA plans to comprehensively renovate Nostrand Houses to address the 20-year needs of the development, with rehabilitation work to start in 2026. The Trust will identify a Design-Build partner to complete the capital work and NYCHA will provide property management services to the development.</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date original application approved, submitted, or planned for submission: <b>TBD</b>
5. Number of units affected: <b>1,148</b>
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>2023</b> b. <b>Projected</b> end date of activity: <b>TBD</b>

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: <b>Sumner Houses</b>	
1b. Development (project) number: <b>NY005010730</b>	
2. Activity type:	
<input type="checkbox"/> Demolition	
<input checked="" type="checkbox"/> Disposition	
<i>Lease of a parcel of approximately 24,200 square feet on a portion of Block 1580, Lot 1 for development of approximately 190 units of affordable senior housing with Project-Based Section 8 vouchers.</i>	
3. Application status (select one)	
<input checked="" type="checkbox"/> Approved	
<input type="checkbox"/> Submitted, pending approval	
<input type="checkbox"/> Planned application	
4. Date original application <b>approved</b> , submitted, or planned for submission: <b>2020</b>	
5. Number of units affected: 0 units	
6. Coverage of action (select one)	
<input checked="" type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	
7. Timeline for activity:	
a. <b>Actual</b> or projected start date of activity: <b>2017</b>	
b. <b>Actual</b> end date of activity: <b>2024</b>	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: <b>Wyckoff Gardens</b>	
1b. Development (project) number: <b>NY005011630</b>	
2. Activity type:	
<input type="checkbox"/> Demolition	
<input checked="" type="checkbox"/> Disposition	
<i>Lease of two (2) parcels of approximately 31,000 and 25,000 square feet respectively, both on Block 394, Lot 1, with approximately 500,000 square feet of residential development rights in total for market rate and affordable housing development.</i>	
3. Application status (select one)	
<input type="checkbox"/> Approved	
<input type="checkbox"/> Submitted, pending approval	
<input checked="" type="checkbox"/> Planned application	
4. Date original application approved, submitted, or <b>planned for submission</b> : <b>TBD</b>	
5. Number of units affected: 0	
6. Coverage of action (select one)	
<input checked="" type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	
7. Timeline for activity:	
a. Actual or projected start date of activity: <b>2016</b>	
b. Projected end date of activity: <b>TBD</b>	

**MANHATTAN**

Demolition/Disposition Activity Description
1a. Development name: <b>Campos Plaza II</b> 1b. Development (project) number: <b>NY005012570</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Transfer of surplus development rights to an adjacent development site for mixed use development.</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application <b>approved</b> , submitted, or planned for submission: <b>2024</b>
5. Number of units affected: 0
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>2024</b> b. <b>Actual</b> end date of activity: <b>2024</b>

Demolition/Disposition Activity Description
1a. Development name: <b>Chelsea &amp; Chelsea Addition</b> 1b. Development (project) number: NY005021340 & NY005011340
2. Activity type: <input checked="" type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Potential demolition and/or disposition of 521 units across 3 buildings to facilitate redevelopment at the site. A decision on the plans for this site will be based on ongoing conversations and meetings with residents.</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or <b>planned for submission</b> : <b>TBD</b>
5. Number of units affected: 521
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: <b>TBD</b> b. Actual end date of activity: <b>TBD</b>

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Dyckman Houses</b> 1b. Development (project) number: <b>NY005000410</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Lease of an approximate 15,000 square foot parcel on Block 2216 Lot 1 with development rights for affordable housing development.</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date original application approved, submitted, or <b>planned for submission: TBD</b>
5. Number of units affected: 0
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or <b>projected</b> start date of activity: <b>TBD</b> b. <b>Projected</b> end date of activity: <b>TBD</b>

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Elliott</b> 1b. Development (project) number: <b>NY005011340</b>
2. Activity type: <input checked="" type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Potential demolition and/or disposition of 590 units across 4 buildings to facilitate redevelopment at the site. A decision on the plans for this site will be based on ongoing conversations and meetings with residents.</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or <b>planned for submission: TBD</b>
5. Number of units affected: 590
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development <ul style="list-style-type: none"> <li>• This is to be determined</li> </ul>
7. Timeline for activity: a. Actual or projected start date of activity: <b>2020</b> b. Actual end date of activity: <b>TBD</b>



<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Fulton</b> 1b. Development (project) number: <b>NY005001360</b>
2. Activity type: <input checked="" type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Potential demolition and/or disposition of 944 units across 12 buildings to facilitate redevelopment at the site. A decision on the plans for this site will be based on ongoing conversations and meetings with residents.</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or <b>planned for submission: TBD</b>
5. Number of units affected: <b>944</b>
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: <b>TBD</b> b. Actual end date of activity: <b>TBD</b>

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Harborview Terrace Houses</b> 1b. Development (project) number: <b>NY005010220</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Lease of a parcel of approximately 29,000 square feet on Block 1084, Lot 9 for development of affordable housing.</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or <b>planned for submission: TBD</b>
5. Number of units affected: <b>0</b>
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>2017</b> b. <b>Projected</b> end date of activity: <b>TBD</b>

Demolition/Disposition Activity Description
1a. Development name: <b>Holmes Towers</b> 1b. Development (project) number: <b>NY005011390</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Lease of an approximate 20,660 square foot parcel on Block 1573, Lot 20 with approximately 330,368 square feet of development rights for market rate and affordable housing development.</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date original application approved, submitted, or <b>planned for submission: TBD</b>
5. Number of units affected: 0 6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>2016</b> b. Projected end date of activity: <b>TBD</b>

Demolition/Disposition Activity Description
1a. Development name: <b>La Guardia Houses</b> 1b. Development (project) number: <b>NY005010760</b>
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Lease of approximately 18,000 square feet on a portion of Block 256, Lot 1, with approximately 340,000 square feet of residential development rights for market rate and affordable housing development.</i>
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date original application approved, submitted, or <b>planned for submission: TBD</b>
5. Number of units affected: 0 6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. <b>Actual</b> or projected start date of activity: <b>2017</b> b. Projected end date of activity: <b>TBD</b>

Demolition/Disposition Activity Description	
1a. Development name: <b>Wagner Houses</b>	1b. Development (project) number: <b>NY005010740</b>
2. Activity type:	<input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>Disposition of a permanent subsurface easement located within Wagner Houses. The proposed disposition of the approximately 19,314 square feet permanent subsurface easement appurtenant to the development will facilitate the expansion of New York City's subway system.</i>
3. Application status (select one)	<input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or <b>planned for submission:</b>	<b>2024</b>
5. Number of units affected:	0
6. Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity:	a. <b>Actual</b> or projected start date of activity: <b>2024</b> b. <b>Projected</b> end date of activity: <b>TDB</b>

**QUEENS**

Demolition/Disposition Activity Description	
1a. Development name: <b>FHA Repossessed Houses – Group V [Formerly Groups I, II, III, V, VI, VII, IX, &amp; X]</b>	1b. Development (project) number: <b>NY005012090</b>
2. Activity type:	<input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition <i>140 East 91<sup>st</sup> Street, Brooklyn, NY 11212, Total Units = 2 (1 One-Bedroom Unit and 1 Two-Bedroom Unit)</i>
3. Application status (select one)	<input checked="" type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date application <b>approved</b> , submitted, or planned for submission:	<b>Fall 2020</b>
5. Number of units affected:	6
6. Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity:	a. <b>Actual</b> or projected start date of activity: <b>May 2006</b> b. <b>Actual</b> end date of activity: <b>TBD</b>

**Demolition/Disposition Activity Description**

1a. Development name: **Ocean Bay Apartments (Oceanside)**

1b. Development (project) number: **NY005010980**

2. Activity type:

Demolition

Disposition

*In 2003, as part of the Ocean Bay HOPE VI Plan, NYCHA acquired through eminent domain a blighted and underused shopping strip opposite Ocean Bay Apartments, consisting of seven contiguous parcels representing an area of approximately 37,111 SF.*

*NYCHA needs to demolish the vacant one-story buildings as a result of unsafe conditions. NYCHA also intends to sell the parcels to a developer for the purpose of a mixed-use building. The property is located on Beach Channel Drive between Beach 53rd and Beach 54th Streets across the street south of Oceanside Apartments and across the street east of Bayside Apartments.*

Borough    Block    Lot    Address

*Queens    15890    54    360 Beach 54th Street (parcel contains a one-story retail space)*

*Queens    15890    55    366 Beach 54th Street (parcel contains a one-story retail space)*

*Queens    15890    58    53-15 Beach Channel Drive (vacant lot)*

*Queens    15890    62    53-13 Beach Channel Drive (vacant lot)*

*Queens    15890    64    53-05 Beach Channel Drive (parcel contains a one-story retail space)*

*Queens    15890    66    53-01 Beach Channel Drive (parcel contains a one-story retail space)*

*Queens    15890    69    N/A Beach 53rd Street (vacant lot)*

3. Application status (select one)

Approved

Submitted, pending approval

Planned application

4. Date application approved, submitted, or **planned for submission: TBD**

5. Number of units affected: 0

6. Coverage of action (select one)

Part of the development

Total development

7. Timeline for activity:

a. **Actual** or projected start date of activity: **2003**

b. **Projected** end date of activity: **TBD**

**B) Conversion of Public Housing under the Voluntary or Mandatory Conversion programs**

[24 CFR Part 903.7 (j)]

**1. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1. Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act?

Yes  No

**2. Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

On September 11, 2008, HUD approved NYCHA’s voluntary conversion plan (“VCP”) to transition up to 8,400 public housing units to Section 8 assistance at the 21 developments that were previously developed with funding from the City or the State of New York but did not receive the benefit of federal public housing subsidies from HUD (the “City and State Developments”) to close a significant gap between the cost of operating the buildings and rent collected. NYCHA has also received permission from HUD to project-base converted Section 8 units after the initial Section 8 tenant vacates.

As of October 4, 2024, there are 2,600 Section 8 conversions in the remaining City and State-built developments (see table below) in NYCHA’s portfolio. Subject to voucher and funding availability, NYCHA will continue to designate units and implement the VCP at the City and State Developments in the LLC I portfolio.

<b>AMP Number</b>	<b>Development Name</b>	<b>Total Units</b>	<b>Number of Units to be Converted to Section 8</b>	<b>Number of Units Converted by 10/4/24</b>
NY005021870	AMSTERDAM ADDITION	175	26	26
NY005020920	BAY VIEW	1,610	340	340
NY005020860	BUSHWICK	1,220	209	209
NY005020800	CASTLE HILL	2,025	412	409
NY005021340	CHELSEA	425	68	68
NY005021110	DREW HAMILTON	1,217	276	278
NY005020810	MANHATTANVILLE	1,272	204	204
NY005020490	MARBLE HILL	1,682	258	257
NY005020830	MARLBORO	1,765	372	365
NY005020990	RUTGERS	721	102	102
NY005020930	SAINT MARY'S PARK	1,007	211	209
NY005021140	STAPLETON	693	140	133
	<b>Total</b>	<b>13,812</b>	<b>2,618</b>	<b>2,600</b>

## C) Homeownership

[24 CFR Part 903.7 (k)]

### 1. Public Housing

1. Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

Yes  No

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>
1a. Development name: <b>FHA Repossessed Houses Group V [formerly Groups I through X]</b> 1b. Development (project) number: <b>NY005012090</b>
2. Federal Program authority: <input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input checked="" type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program - <i>Section 5(h)</i> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program <b>approved</b> , submitted, or planned for submission: <b>9/11/2014</b>
5. Number of units affected: 51 6. Coverage of action: (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development <i>The original Section 5(h) Plan Amendment approved in 2007 included 184 occupied single-family units intended for sale to public housing residents. On September 11, 2014, HUD issued a final Section 5(h) Plan Amendment granting approval for the sale of 51 units to residents under the Section 5(h) Plan and mandating Plan termination after the sale of the 51 units.</i>

## D) Project-Based Vouchers (“PBV’s”)

Consistent with Section 232 of the *FY 2001 VA-HUD Appropriations Act* (PL 106-377), the *Notice* published in 66 Fed. Reg. 3605 (January 16, 2001), *HUD Notice PIH 2001-4 (HA)* dated January 19, 2001, and 24 C.F.R. §903 et seq., the New York City Housing Authority (hereafter “NYCHA” or “the Authority”) makes the following statement:

1. As of September 1, 2024, NYCHA has executed 221 HAP contracts for 25,332 project-based units receiving subsidy across the five boroughs in New York City. This includes project-based apartments at NYCHA’s LLC mixed finance developments and RAD / PACT conversions.
2. In 2024, NYCHA expects to utilize no more than 5% of the Consolidated Annual Contributions Contract (ACC) for Project Based contracts.<sup>3</sup>
3. NYCHA understands that all new project-based assistance agreements must be for units within census tracts with poverty rates of less than 20%, unless HUD specifically approves an exception. 66 Fed. Reg. 3608.
4. NYCHA intends to select one or more projects for project-based assistance without competition in accordance with § 983.51(c).

Non-competitive PBV commitments in 2024 - 2025:

<b>Development</b>	<b>Total PBV Units</b>	<b>Address</b>
Grandparents Family Apartments	50	951 Prospect Ave, Bronx, NY 10459
Casa Celina (Sotomayor)	204	1001 Thieriot Avenue, Bronx, NY 10472
Betances Family Residence (Betances VI)	8	405 East 146th Street, Bronx, NY 10455
Weeksvillage (Kingsborough)	200	Address TBD, Brooklyn, NY 11233, in pre-development
Sol on Park (Morris II)	228	Address TBD, Bronx, NY 10456, in pre-development
Linden Grove (Bushwick II CDE Group E)	152	233 Linden Street, Brooklyn, NY 11221
<b>Total</b>	<b>842</b>	

5. NYCHA will carry out this program in accordance with 42 USC §1437f(o)(13), as amended by §232 of the *FY 2001 VA-HUD Appropriations Act and HUD Notice PIH 2017-21 (HA)*, and in conformity with the non-discrimination requirements specified in 24 CFR §903.7(o). In carrying out this program, the Authority will act affirmatively to further fair housing.
6. The Section 8 Project-Based Program will be consistent with NYCHA’s FY 2025 Annual Plan because:
  - a. Project-basing in certain locations is needed to assure the availability of affordable housing units for an initial period of 20 years with a renewal option of up to 20 years (maximum 40 years combined).

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<sup>3</sup> This figure excludes units that are exempt from the PBV program cap as a result of being converted to PBV assistance under Rental Assistance Demonstration, Section 18 Disposition, or other public housing preservation programs.

- b. Project-basing broadens the scope of NYCHA’s program for applicants and landlords. It allows NYCHA to assist families who would not otherwise be eligible for assistance.
  - c. Consistent with the goals of NYCHA’s Five-Year plan, the Section 8 Project-Based Initiative:
    - i. expands the supply of assisted housing;
    - ii. increases assisted housing choice;
    - iii. ensures equal opportunity, and
    - iv. deconcentrates poverty and expands economic opportunities.
7. NYCHA will create site-based waiting lists for developments selected to receive project-based assistance. Applicants on NYCHA’s housing choice voucher waiting list will have the opportunity to apply for placement on site-based waiting lists. Placement on a site-based waiting list will not affect the applicant’s standing on the tenant-based waiting list.

## **E) Conversion of Public Housing under the Rental Assistance Demonstration (RAD) Program**

### **1. Developments Converted under RAD**

The Rental Assistance Demonstration (“RAD”) is a voluntary program administered by the United States Department of Housing and Urban Development (“HUD”). The goals of RAD are to safeguard long-term housing assistance, improve and modernize properties, and stabilize developments by placing them on more solid financial footing by converting the federal subsidy stream for such developments from Section 9 to Section 8. On June 19, 2019, HUD approved NYCHA’s Significant Amendment to the FY 2019 Annual Plan which included a request to use the Section 18 disposition process for some or all units within the developments currently approved or being considered for RAD conversion, in order to improve the financial stability of the conversions.

Through NYCHA’s Permanent Affordability Commitment Together (“PACT”) initiative, NYCHA uses RAD, Section 18 and Part 200 programs to unlock funding to complete comprehensive repairs, while also ensuring homes remain permanently affordable and residents have the same basic rights as they possess in the public housing program. Residents continue to pay no more than 30% of adjusted gross income towards rent and developments remain under public control.

Extensive capital improvements are complete or under construction at all the sites that have converted under PACT, including upgrades to roofs, elevators, boilers, security systems, and grounds, as well as apartment interiors.

Information about all of NYCHA’s PACT/RAD sites can be found on our PACT webpage: [PACT News \(nyc.gov\)](https://www.nyc.gov/pact-news)

### **Stabilized PACT/RAD sites (no longer under construction)**

- **Ocean Bay (Bayside)** - construction completed in 2019
- **Betances-** construction completed in 2021
- **Brooklyn Bundle I &II** - construction completed in 2022
- **Hope Gardens-** construction completed in 2023



- **Twin Parks West**- construction completed in 2024
- **Highbridge- Franklin** – construction completed in 2024
- **Manhattan Bundle sites**- construction completed in 2024

## **PACT/RAD Sites with Ongoing Construction and Repair Work**

### **PACT/RAD at Boulevard-Belmont Sutter Area-Fiorentino Plaza**

This conversion will provide \$483 million for comprehensive repairs to 1,673 units across 29 buildings in Brooklyn. The selected development team comprises The Hudson Companies, Inc., Property Resources Corporation and Duvernay + Brooks LLC (developers), Broadway Builders LLC and Melcara Corp (general contractors), Property Resources Corporation and Lisa Management, Inc. (property managers), and CAMBA (social services providers). Repairs are expected to be completed in 2025.

### **PACT/RAD at Linden-Penn Wortman**

This conversion will provide \$430 million for comprehensive repairs to 1,922 units across 25 buildings in Brooklyn. NYCHA entered into a public-private partnership with Douglaston Development, L+M Development Partners, Dantes Partners, and SMJ Development Corp (developers), L+M Builders Group and Levine Builders (general contractors), Clinton Management and C&C Apartment Management LLC (property managers), and University Settlement (social service providers). Repairs began in 2022 are expected to be completed in 2025.

### **PACT/RAD at Williamsburg**

This conversion will provide \$493 million for comprehensive repairs to 1,621 units across 21 buildings in Brooklyn. The development team consists of RDC Development (developer), MDG Design + Construction LLC (general contractor), Wavecrest Management Group LLC (property manager), and St Nicks Alliance Corp and Grand Street Settlement (social services provider). Repairs began in 2022 and are expected to be completed in 2025.

### **PACT/RAD at Harlem River**

This conversion will provide \$236 million for comprehensive repairs to 693 units across 8 buildings in Manhattan. The development team comprises Settlement Housing Fund Inc and West Harlem Group Assistance (developers), L+M Builders Group (general contractor), C&C Apartment Management LLC (property manager), and Presbyterian Senior Services (social services provider). Repairs are expected to be completed in 2024. Repairs began in 2022 and are expected to be completed in 2025.

### **PACT/RAD at Audubon, Bethune Gardens, and Marshall Plaza**

This conversion will provide \$137.6 million for comprehensive repairs to 557 units across buildings in Manhattan. The development team consists of Dantes Partners (developer), Apex Building Group and Pyramid ETC Companies (general contractors), Faria Management (property manager), and Mosholu Montefiore Community Center (social services provider). Repairs began in 2023 and are expected to be completed in 2025.

### **PACT/RAD at Edenwald**

This conversion will provide \$783 million for comprehensive repairs to 2,055 units across 40

buildings in the Bronx. The development team consists of Camber Property Group, Henge Development, SAA | EVI (co-developers), L&M Builders Group (general contractor), C+C Management (property manager), and Catholic Charities Community Service (social services provider). Repairs began in 2023 and are expected to be completed in 2026.

### **PACT/RAD at Union Avenue Consolidated**

This conversion will provide \$247.4 million for comprehensive repairs to 983 units across buildings in the Bronx. The development team consists of The Arker Companies, Dabar Development Partners, Omni Bronx Preservation, Renewal Chateau LLC, and Progressive Management of NY V LLC, will start construction on the comprehensive rehabilitation, assume day-to-day property management, and coordinate social services for all buildings in the Union Avenue Consolidated bundle. Repairs began in 2023 and are expected to be completed by 2026.

### **PACT/RAD at Reid / Park Rock**

The developments include 104-10 Tapscott Street, Crown Heights, Fenimore Lefferts, Howard Avenue, Howard Avenue-Park Place, Lenox Road Parkway, Ocean Hill Brownsville, Park Rock Rehab, Ralph Avenue Rehab, Reid Apartments, Rutland Towers, Sterling Place Rehab (Saint Johns Sterling), Sterling Place Rehab (Saint Johns Buffalo). This conversion will provide \$635.6 million for comprehensive repairs to 1,696 units across 88 buildings in Brooklyn. The development team consists of the BRP, Fairstead, and Urbane. The team started construction on the comprehensive rehabilitation, has assumed day-to-day property management, and coordinate social services for all buildings in the Reid Park Rock bundle. Repairs began in 2023 and are expected to be completed by 2026.

### **PACT/RAD at Sack Wern**

This conversion will provide \$189.9 million for comprehensive repairs to 483 units across buildings in the Bronx. The development team consists of Asland Capital Partners (MWBE), Breaking Ground (non-profit), and Douglaston Development. General contractor Levine Builders will start construction on the comprehensive rehabilitation, and Clinton Management has assumed day-to-day property management, and will coordinate social services for all buildings with support from BronxWorks. Repairs began in 2024 and are expected to be completed by 2027.

### **PACT/RAD at West Brighton**

This conversion will provide \$283.3 million for comprehensive repairs to 586 units across buildings in the Bronx. The development team consists of BFC Partners, CB-Emmanuel Realty (MBE), and Catholic Homes NY (non-profit). General contractors BFC Partners and CB-Emmanuel will start construction on the comprehensive rehabilitation, and Pinnacle City Living has assumed day-to-day property management, and will coordinate social services for all buildings with support from Housing Opportunities Unlimited. Repairs began in 2024 and are expected to be completed by 2027.

### **PACT/RAD at Frederick Samuel Apartments**

This conversion will provide \$383.6 million for comprehensive repairs to 664 units across buildings in the Bronx. The development team consists of Genesis Companies (MBE) and Lemor

Development Group (MBE). General contractor Monadnock Construction will start construction on the comprehensive rehabilitation, and VPH Management has assumed day-to-day property management, and will coordinate social services for all buildings with support from existing on-site providers. Repairs began in 2024 and are expected to be completed by 2027.

**PACT/RAD at Boston Secor, Boston Road Plaza, Middletown Plaza**

This conversion will provide \$419 million for comprehensive repairs to 952 units across buildings in the Bronx. The development team consists of Beacon Communities, Kalel Companies (MBE) and MBD Community Housing Corporation. General contractor Notias Construction will start construction on the comprehensive rehabilitation, and Wavcrest Management has assumed day-to-day property management, and will coordinate social services for all buildings with support from existing on-site providers including R.A.I.N. Repairs began in 2024 and are expected to be completed by 2027.

**2. Developments Under Consideration by HUD for Future Section 8 Conversions**

Below, please find specific information related to the Public Housing Developments that are under consideration by HUD for future RAD conversions, which may involve some or all units or land converting to Section 8 via Section 18 and/or Part 200.

**BRONX**

Name of Public Housing Development	PIC Development ID:	Conversion type (i.e., PBV or PBRA):	Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)
1010 EAST 178 <sup>TH</sup> STREET	NY005011330	PBV	No
Total Units: 220	Pre- RAD Unit Type (i.e., Family, Senior, etc.): Family	Post-RAD Unit Type if different (i.e., Family, Senior, etc.): Family	Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project) $\$754,241,166/156,865 \times 220 = \$1,057,808$
Bedroom Type	Number of Units Pre-Conversion	Number of Units Post-Conversion	Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)
Studio/Efficiency	20	20	0
One Bedroom	76	76	0
Two Bedroom	40	40	0
Three Bedroom	6	6	0
Four Bedroom	2	2	0

Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development: 1471 WATSON AVENUE</b>			
	<b>PIC Development ID:</b> NY005010670	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units: 96</b>	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 X96 = \$461,589
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b> 96	<b>Number of Units Post-Conversion</b> 96	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	12	12	0
One Bedroom	38	38	0
Two Bedroom	30	30	0
Three Bedroom	16	16	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development: BAILEY AVENUE-WEST 193RD STREET</b>			
	<b>PIC Development ID:</b> NY005012020	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units: 233</b>	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 X 233 = \$1,120,315
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 233	<b>Number of Units Post-Conversion:</b> 233	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	19	19	0
One Bedroom	80	80	0
Two Bedroom	95	95	0

Three Bedroom	<b>28</b>	<b>28</b>	0
Four Bedroom	<b>11</b>	<b>11</b>	0
Five Bedroom	<b>0</b>	<b>0</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development: BOYNTON AVENUE REHAB</b>	<b>PIC Development ID: NY005010320</b>	<b>Conversion type (i.e., PBV or PBRA): PBV</b>	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring) No</b>
<b>Total Units: 82</b>	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.): Family</b>	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.): Family</b>	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project) \$754,241,166/156,865 X 82 = \$394,274</b>
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion 82</b>	<b>Number of Units Post-Conversion 82</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	<b>0</b>	<b>0</b>	0
One Bedroom	<b>25</b>	<b>25</b>	0
Two Bedroom	<b>37</b>	<b>37</b>	0
Three Bedroom	<b>17</b>	<b>17</b>	0
Four Bedroom	<b>3</b>	<b>3</b>	0
Five Bedroom	<b>0</b>	<b>0</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development: BRYANT AVENUE-EAST 174<sup>TH</sup> STREET</b>	<b>PIC Development ID: NY005015300</b>	<b>Conversion type (i.e., PBV or PBRA): PBV</b>	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring) No</b>
<b>Total Units: 72</b>	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.): Family</b>	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.): Family</b>	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project) \$754,241,166/156,865 X 72 = \$346,192</b>
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion 72</b>	<b>Number of Units Post-Conversion 72</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	<b>14</b>	<b>14</b>	0
One Bedroom	<b>24</b>	<b>24</b>	0

Two Bedroom	22	22	0
Three Bedroom	12	12	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development: CLASON POINT GARDENS</b>			
<b>PIC Development ID: NY005012800</b>			
<b>Conversion type (i.e., PBV or PBRA): PBV</b>			
<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring) No</b>			
<b>Total Units: 401</b>	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> $\$754,241,166/156,865 \times 401 = \$1,928,096$
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion: 401</b>	<b>Number of Units Post-Conversion: 401</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	64	64	0
Two Bedroom	216	216	0
Three Bedroom	91	91	0
Four Bedroom	29	29	0
Five Bedroom	0	0	0
Six Bedroom	1	1	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development: EAST 165<sup>TH</sup> STREET-BRYANT AVENUE</b>			
<b>PIC Development ID: NY005015300</b>			
<b>Conversion type (i.e., PBV or PBRA): PBV</b>			
<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring) No</b>			
<b>Total Units: 111</b>	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> $\$754,241,166/156,865 \times 111 = \$533,712$
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion 111</b>	<b>Number of Units Post-Conversion 111</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0

Two Bedroom	34	34	0
Three Bedroom	65	65	0
Four Bedroom	12	12	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> EAST 173 <sup>RD</sup> STREET-VYSE AVENUE	<b>PIC Development ID:</b> NY005015300	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 168	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 X 168 = \$807,781
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b> 168	<b>Number of Units Post-Conversion</b> 168	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	42	42	0
Two Bedroom	82	82	0
Three Bedroom	44	44	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> EAST 152ND STREET-COURTLANDT AVENUE	<b>PIC Development ID:</b> NY005010280	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 221	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 221 = \$1,062,616
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 221	<b>Number of Units Post-Conversion:</b> 221	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	26	26	0

One Bedroom	91	91	0
Two Bedroom	59	59	0
Three Bedroom	31	31	0
Four Bedroom	11	11	0
Five Bedroom	3	3	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> EAST 180TH STREET-MONTEREY AVENUE	<b>PIC Development ID:</b> NY005012270	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring) No</b>
<b>Total Units:</b> 239	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 239 = \$1,149,164
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 239	<b>Number of Units Post-Conversion:</b> 239	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	18	18	0
One Bedroom	70	70	0
Two Bedroom	81	81	0
Three Bedroom	59	59	0
Four Bedroom	9	9	0
Five Bedroom	2	2	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> EASTCHESTER GARDENS	<b>PIC Development ID:</b> NY005010340	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring) No</b>
<b>Total Units:</b> 877	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 X 877 = \$4,264,890
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 876	<b>Number of Units Post-Conversion:</b> 876	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0



One Bedroom	87	87	0
Two Bedroom	399	399	0
Three Bedroom	390	390	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> FORT INDEPENDENCE STREET-HEATH AVENUE	<b>PIC Development ID:</b> NY005012020	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 344	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 X 344 = \$1,605,945
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 341	<b>Number of Units Post-Conversion:</b> 341	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	17	17	0
One Bedroom	103	103	0
Two Bedroom	140	140	0
Three Bedroom	55	55	0
Four Bedroom	26	26	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> GLEBE AVENUE-WESTCHESTER AVENUE	<b>PIC Development ID:</b> NY005010670	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 132	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Senior	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Senior	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 132= \$634,685

<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion: 132</b>	<b>Number of Units Post-Conversion: 132</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	<b>25</b>	<b>25</b>	0
One Bedroom	<b>107</b>	<b>107</b>	0
Three Bedroom	<b>0</b>	<b>0</b>	0
Four Bedroom	<b>0</b>	<b>0</b>	0
Five Bedroom	<b>0</b>	<b>0</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development: HARRISON AVENUE REHAB (GROUP A)</b>	<b>PIC Development ID: NY005013410</b>	<b>Conversion type (i.e., PBV or PBRA): PBV</b>	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring) No</b>
<b>Total Units: 34</b>	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.): Family</b>	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.) Family</b>	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 X 34 = \$163,479
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion 34</b>	<b>Number of Units Post-Conversion 34</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	<b>0</b>	<b>0</b>	0
One Bedroom	<b>13</b>	<b>13</b>	0
Two Bedroom	<b>15</b>	<b>15</b>	0
Three Bedroom	<b>6</b>	<b>6</b>	0
Four Bedroom	<b>0</b>	<b>0</b>	0
Five Bedroom	<b>0</b>	<b>0</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development: HARRISON AVENUE REHAB (GROUP B)</b>	<b>PIC Development ID: NY005013410</b>	<b>Conversion type (i.e., PBV or PBRA): PBV</b>	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring) No</b>

<b>Total Units:</b> 150	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.)</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> $\$754,241,166/156,865 \times 150 = \$721,233$
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b> 150	<b>Number of Units Post-Conversion</b> 150	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	48	48	0
Two Bedroom	70	70	0
Three Bedroom	27	27	0
Four Bedroom	5	5	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> HOE AVENUE-EAST 173 <sup>RD</sup> STREET	<b>PIC Development ID:</b> NY005015300	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 65	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> $\$754,241,166/156,865 \times 65 = \$312,534$
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b> 65	<b>Number of Units Post-Conversion</b> 65	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	5	5	0
One Bedroom	24	24	0
Two Bedroom	24	24	0
Three Bedroom	12	12	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> HUNTS POINT AVENUE REHAB	<b>PIC Development ID:</b> NY005015300	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No

<b>Total Units:</b> 131	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 131 = \$629,877
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b> 131	<b>Number of Units Post-Conversion</b> 131	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	20	20	0
Two Bedroom	75	75	0
Three Bedroom	36	36	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> LONGFELLOW AVENUE REHAB	<b>PIC Development ID:</b> NY005015300	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 75	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 X 75 = \$360,616
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b> 75	<b>Number of Units Post-Conversion</b> 75	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	0	0	0
Three Bedroom	75	75	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> MOORE	<b>PIC Development ID:</b> NY005010930	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No

<b>Total Units:</b> 463	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 X 463 = \$2,226,205
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 461	<b>Number of Units Post-Conversion:</b> 461	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	<b>0</b>	<b>0</b>	0
One Bedroom	<b>155</b>	<b>155</b>	0
Two Bedroom	<b>112</b>	<b>112</b>	0
Three Bedroom	<b>154</b>	<b>154</b>	0
Four Bedroom	<b>37</b>	<b>37</b>	0
Five Bedroom	<b>3</b>	<b>3</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> TWIN PARKS EAST (SITE 9)			
	<b>PIC Development ID:</b> NY005012270	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 219	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Senior	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Senior	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 219 = \$1,053,000
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 218	<b>Number of Units Post-Conversion:</b> 218	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	<b>77</b>	<b>77</b>	0
One Bedroom	<b>141</b>	<b>141</b>	0
Two Bedroom	<b>0</b>	<b>0</b>	0
Three Bedroom	<b>0</b>	<b>0</b>	0
Four Bedroom	<b>0</b>	<b>0</b>	0
Five Bedroom	<b>0</b>	<b>0</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> WEST FARMS ROAD REHAB			
	<b>PIC Development ID:</b> NY005015300	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No

<b>Total Units:</b> 208	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 208 = \$1,000,109
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b> 208	<b>Number of Units Post-Conversion</b> 208	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	92	92	0
Two Bedroom	81	81	0
Three Bedroom	31	31	0
Four Bedroom	4	4	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> UNIVERSITY AVENUE REHAB	<b>PIC Development ID:</b> NY005013410	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 230	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 230 = \$1,105,890
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 230	<b>Number of Units Post-Conversion:</b> 230	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	66	66	0
Two Bedroom	108	108	0
Three Bedroom	47	47	0
Four Bedroom	9	9	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

<b>Name of Public Housing Development:</b> WEST FARMS SQUARE CONVENTIONAL	<b>PIC Development ID:</b> NY005015310	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 20	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.)</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 20 = \$96,164
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b> 20	<b>Number of Units Post-Conversion</b> 20	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	6	6	0
Two Bedroom	13	13	0
Three Bedroom	1	1	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> WEST TREMONT AVENUE- SEDGWICK AVENUE AREA	<b>PIC Development ID:</b> NY005010450	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 148	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Senior	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Senior	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 148= \$711,616
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 146	<b>Number of Units Post-Conversion:</b> 146	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	32	32	0
One Bedroom	114	114	0
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

**BROOKLYN**

<b>Name of Public Housing Development:</b> BAY VIEW	<b>PIC Development ID:</b> NY005020920	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance:</b> (if yes, please put the location if known, and # of units transferring) No
<b>Total Units:</b> 1,610	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development:</b> (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project) \$754,241,166/156,865 x 1610 = \$7,741,231
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 1,610	<b>Number of Units Post-Conversion:</b> 1,610	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	<b>0</b>	<b>0</b>	0
One Bedroom	<b>161</b>	<b>161</b>	0
Two Bedroom	<b>1219</b>	<b>1219</b>	0
Three Bedroom	<b>230</b>	<b>230</b>	0
Four Bedroom	<b>0</b>	<b>0</b>	0
Five Bedroom	<b>0</b>	<b>0</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> BEDFORD-STUYVESANT REHAB	<b>PIC Development ID:</b> NY005010730	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance:</b> (if yes, please put the location if known, and # of units transferring) No
<b>Total Units:</b> 85	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development:</b> (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project) \$754,241,166/156,865 x 85 = \$408,699
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 85	<b>Number of Units Post-Conversion:</b> 85	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	<b>0</b>	<b>0</b>	0
One Bedroom	<b>30</b>	<b>30</b>	0
Two Bedroom	<b>32</b>	<b>32</b>	0
Three Bedroom	<b>14</b>	<b>14</b>	0
Four Bedroom	<b>9</b>	<b>9</b>	0
Five Bedroom	<b>0</b>	<b>0</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		



<b>Name of Public Housing Development:</b> OCEAN HILL APARTMENTS	<b>PIC Development ID:</b> NY005011620	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 238	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 238 = \$1,144,356
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 238	<b>Number of Units Post-Conversion:</b> 238	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	88	88	0
Two Bedroom	69	69	0
Three Bedroom	64	64	0
Four Bedroom	13	13	0
Five Bedroom	2	2	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> SARATOGA VILLAGE	<b>PIC Development ID:</b> NY005011620	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 125	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 125 = \$601,027
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 125	<b>Number of Units Post-Conversion:</b> 125	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	1	1	0
One Bedroom	31	31	0
Two Bedroom	62	62	0
Three Bedroom	30	30	0
Four Bedroom	0	0	0
Five Bedroom	1	1	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

<b>Name of Public Housing Development:</b> STUYVESANT GARDENS I	<b>PIC Development ID:</b> NY005012210	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 331	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 331 = \$1,591,520
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 331	<b>Number of Units Post-Conversion:</b> 331	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	2	2	0
Two Bedroom	230	230	0
Three Bedroom	72	72	0
Four Bedroom	19	19	0
Five Bedroom	8	8	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> STUYVESANT GARDENS II	<b>PIC Development ID:</b> NY005012210	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 150	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Senior	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Senior	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 150 = \$721,233
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 150	<b>Number of Units Post-Conversion:</b> 150	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	150	150	0
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

**MANHATTAN**

<b>Name of Public Housing Development:</b> 131 SAINT NICHOLAS AVENUE	<b>PIC Development ID:</b> NY005010970	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 100	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 100 = \$480,822
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 98	<b>Number of Units Post-Conversion:</b> 98	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	20	20	0
One Bedroom	31	31	0
Two Bedroom	31	31	0
Three Bedroom	16	16	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> CAMPOS PLAZA II	<b>PIC Development ID:</b> NY005012570	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 224	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Senior	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Senior	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 224 = \$1,077,041
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 224	<b>Number of Units Post-Conversion:</b> 224	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	32	32	0
Two Bedroom	96	96	0
Three Bedroom	80	80	0
Four Bedroom	16	16	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

<b>Name of Public Housing Development:</b> CHELSEA	<b>PIC Development ID:</b> NY005021340	<b>Conversion type</b> (i.e., PBV or PBRA): PBV	<b>Transfer of Assistance:</b> (if yes, please put the location if known, and # of units transferring) No
<b>Total Units:</b> 425	<b>Pre- RAD Unit Type</b> (i.e., Family, Senior, etc.): Family	<b>Post-RAD Unit Type if different</b> (i.e., Family, Senior, etc.): Family	<b>Capital Fund allocation of Development:</b> (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project) \$754,241,166/156,865 x 425 = \$2,043,493
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 425	<b>Number of Units Post-Conversion:</b> 425	<b>Change in Number of Units per Bedroom Type and Why</b> (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)
Studio/Efficiency	<b>23</b>	<b>23</b>	0
One Bedroom	<b>140</b>	<b>140</b>	0
Two Bedroom	<b>120</b>	<b>120</b>	0
Three Bedroom	<b>99</b>	<b>99</b>	0
Four Bedroom	<b>40</b>	<b>40</b>	0
Five Bedroom	<b>3</b>	<b>3</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> CHELSEA ADDITION	<b>PIC Development ID:</b> NY005011340	<b>Conversion type</b> (i.e., PBV or PBRA): PBV	<b>Transfer of Assistance:</b> (if yes, please put the location if known, and # of units transferring) No
<b>Total Units:</b> 96	<b>Pre- RAD Unit Type</b> (i.e., Family, Senior, etc.): Senior	<b>Post-RAD Unit Type if different</b> (i.e., Family, Senior, etc.): Senior	<b>Capital Fund allocation of Development:</b> (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project) \$754,241,166/156,865 x 96 = \$461,589
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 96	<b>Number of Units Post-Conversion:</b> 96	<b>Change in Number of Units per Bedroom Type and Why</b> (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)
Studio/Efficiency	<b>0</b>	<b>0</b>	0
One Bedroom	<b>96</b>	<b>96</b>	0
Two Bedroom	<b>0</b>	<b>0</b>	0
Three Bedroom	<b>0</b>	<b>0</b>	0
Four Bedroom	<b>0</b>	<b>0</b>	0
Five Bedroom	<b>0</b>	<b>0</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

<b>Name of Public Housing Development:</b> CORSI HOUSES	<b>PIC Development ID:</b> NY005010640	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 171	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Senior	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Senior	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 171 = \$822,205
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 171	<b>Number of Units Post-Conversion:</b> 171	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	86	86	0
One Bedroom	85	85	0
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> ELLIOTT	<b>PIC Development ID:</b> NY005011340	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 608	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 608 = \$2,923,397
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 608	<b>Number of Units Post-Conversion:</b> 608	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	21	21	0
One Bedroom	47	47	0
Two Bedroom	357	357	0
Three Bedroom	163	163	0
Four Bedroom	19	19	0
Five Bedroom	1	1	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

<b>Name of Public Housing Development:</b> FULTON	<b>PIC Development ID:</b> NY005001360	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 944	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 944 = \$4,538,958
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 944	<b>Number of Units Post-Conversion:</b> 944	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	72	72	0
One Bedroom	288	288	0
Two Bedroom	288	288	0
Three Bedroom	192	192	0
Four Bedroom	97	97	0
Five Bedroom	7	7	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> HERNANDEZ	<b>PIC Development ID:</b> NY005011000	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 149 (148 residential units)	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 149 = \$716,425
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 149	<b>Number of Units Post-Conversion:</b> 149	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	25	25	0
One Bedroom	48	48	0
Two Bedroom	53	53	0
Three Bedroom	17	17	0
Four Bedroom	6	6	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

<b>Name of Public Housing Development: MANHATTANVILLE</b>	<b>PIC Development ID:</b> NY005020810	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring) No</b>
<b>Total Units: 1,272</b>	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 1,272 = \$6,116,054
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 1,271	<b>Number of Units Post-Conversion:</b> 1,271	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	<b>38</b>	<b>38</b>	0
One Bedroom	<b>209</b>	<b>209</b>	0
Two Bedroom	<b>558</b>	<b>558</b>	0
Three Bedroom	<b>378</b>	<b>378</b>	0
Four Bedroom	<b>76</b>	<b>76</b>	0
Five Bedroom	<b>12</b>	<b>12</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development: MELTZER TOWER</b>	<b>PIC Development ID:</b> NY005011000	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring) No</b>
<b>Total Units: 231 (228 residential units)</b>	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Senior Exclusive	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 231 = \$1,110,698
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 231	<b>Number of Units Post-Conversion:</b> 231	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	<b>115</b>	<b>115</b>	0
One Bedroom	<b>116</b>	<b>116</b>	0
Two Bedroom	<b>0</b>	<b>0</b>	0
Three Bedroom	<b>0</b>	<b>0</b>	0
Four Bedroom	<b>0</b>	<b>0</b>	0
Five Bedroom	<b>0</b>	<b>0</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

<b>Name of Public Housing Development:</b> METRO NORTH PLAZA	<b>PIC Development ID:</b> NY005010090	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 275	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 275= \$\$1,322,260
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 275	<b>Number of Units Post-Conversion:</b> 275	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	82	82	0
Two Bedroom	80	80	0
Three Bedroom	78	78	0
Four Bedroom	20	20	0
Five Bedroom	15	15	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> MORRIS PARK SENIOR CITIZENS	<b>PIC Development ID:</b> NY005012410	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 97	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Senior	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Senior	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 97 = \$466,397
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 97	<b>Number of Units Post-Conversion:</b> 97	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	52	52	
One Bedroom	36	36	
Two Bedroom	9	9	
Three Bedroom	0	0	
Four Bedroom	0	0	
Five Bedroom	0	0	
Six Bedroom	0	0	
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		



<b>Name of Public Housing Development:</b> RANGEL	<b>PIC Development ID:</b> NY005000370	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 984	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 984 = \$4,731,287
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 982	<b>Number of Units Post-Conversion:</b> 982	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	93	93	0
Two Bedroom	699	699	0
Three Bedroom	190	190	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> REHAB PROGRAM (TAFT REHABS)	<b>PIC Development ID:</b> NY005013170	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 156	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 156 = \$750,082
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 156	<b>Number of Units Post-Conversion:</b> 156	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	13	13	0
One Bedroom	74	74	0
Two Bedroom	69	69	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

<b>Name of Public Housing Development:</b> REHAB PROGRAM (WISE REHAB)	<b>PIC Development ID:</b> NY005011270	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 40	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 40 = \$192,329
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b> 40	<b>Number of Units Post-Conversion</b> 40	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	21	21	0
Two Bedroom	19	19	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> ROBINSON	<b>PIC Development ID:</b> NY005012410	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 189	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 189 = \$908,753
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 188	<b>Number of Units Post-Conversion:</b> 188	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	18	18	0
One Bedroom	56	56	0
Two Bedroom	54	54	0
Three Bedroom	42	42	0
Four Bedroom	16	16	0
Five Bedroom	2	2	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

<b>Name of Public Housing Development:</b> SEWARD PARK EXTENSION	<b>PIC Development ID:</b> NY005011000	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 360 (360 residential units)	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 360 = \$1,730,959
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 360	<b>Number of Units Post-Conversion:</b> 360	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	29	29	0
One Bedroom	112	112	0
Two Bedroom	130	130	0
Three Bedroom	45	45	0
Four Bedroom	36	36	0
Five Bedroom	8	8	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> UPACA (SITE 5)	<b>PIC Development ID:</b> NY005012410	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 200	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Senior	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Senior	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 200 = \$961,644
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 180	<b>Number of Units Post-Conversion:</b> 180	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	180	180	0
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

<b>Name of Public Housing Development:</b> UPACA (SITE 6)	<b>PIC Development ID:</b> NY005012410	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 150	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Senior	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Senior	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 150 = \$721,233
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 150	<b>Number of Units Post-Conversion:</b> 150	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	150	150	0
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		
<b>Name of Public Housing Development:</b> WHITE	<b>PIC Development ID:</b> NY005010090	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 248	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Senior	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Senior	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 248 = \$1,192,438
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 248	<b>Number of Units Post-Conversion:</b> 248	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	128	128	0
One Bedroom	119	119	0
Two Bedroom	0	0	0
Three Bedroom	1	1	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

<b>Name of Public Housing Development:</b> WILSON	<b>PIC Development ID:</b> NY005010090	<b>Conversion type (i.e., PBV or PBRA):</b> PBV	<b>Transfer of Assistance: (if yes, please put the location if known, and # of units transferring)</b> No
<b>Total Units:</b> 398	<b>Pre- RAD Unit Type (i.e., Family, Senior, etc.):</b> Family	<b>Post-RAD Unit Type if different (i.e., Family, Senior, etc.):</b> Family	<b>Capital Fund allocation of Development: (Annual Capital Fund Grant, divided by total number of public housing units in PHA, multiplied by total number of units in project)</b> \$754,241,166/156,865 x 398 = \$1,913,671
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion:</b> 398	<b>Number of Units Post-Conversion:</b> 398	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, etc.)</b>
Studio/Efficiency	<b>0</b>	<b>0</b>	0
One Bedroom	<b>0</b>	<b>0</b>	0
Two Bedroom	<b>38</b>	<b>38</b>	0
Three Bedroom	<b>304</b>	<b>304</b>	0
Four Bedroom	<b>38</b>	<b>38</b>	0
Five Bedroom	<b>18</b>	<b>18</b>	0
Six Bedroom	<b>0</b>	<b>0</b>	0
(If performing a Transfer of Assistance):	(Explain how transferring waiting list) N/A		

## ***RAD Resident Rights, Participation, Waiting List and Grievance Procedures***

*Please note the resident rights listed below are from HUD Notice H-2019-09 PIH-2019-23 (HA), (September 5, 2019) Rental Assistance Demonstration REV-4 – Final Implementation, Section 1.6 C and Section 1.6 D) as amended by HUD Notice H-2023-08 PIH-2023 19 (HA) Rental Assistance Demonstration – Supplemental Notice 4B (July 27, 2023) (collectively, the “Notice”):*

### **1.6.C. PBV Resident Rights and Participation.**

- 1. No Rescreening of Tenants upon Conversion.** Pursuant to the RAD Statute<sup>4</sup>, at conversion, current households cannot be excluded from occupancy at the Covered Project<sup>5</sup> based on any rescreening, income eligibility, or income targeting. With respect to occupancy in the Covered Project, current households in the Converting Project<sup>6</sup> will be grandfathered for application of any eligibility criteria to conditions that occurred prior to conversion but will be subject to any ongoing eligibility requirements for actions that occur after conversion.<sup>7</sup> Post-conversion, the tenure of all residents of the Covered Project is protected pursuant to PBV requirements regarding continued occupancy unless explicitly modified in this Notice (e.g., rent phase-in provisions). For example, a unit with a household that was over-income at time of conversion would continue to be treated as an assisted unit. Thus, Section 8(o)(4) of the Act<sup>8</sup> and 24 CFR § 982.201, concerning eligibility and targeting of tenants for initial occupancy, will not apply for current households. Once the grandfathered household moves out, the unit must be leased to an eligible family. MTW agencies may not alter this requirement. Further, so as to facilitate the right to return to the assisted property, HUD waives Section 8(o)(4) and 24 CFR § 982.201 to the extent necessary for this provision to apply to current public housing residents of the Converting Project that will reside in non-RAD PBV units or non-RAD PBRA units placed in a project that contain RAD PBV units or RAD PBRA units. Such families and such contract units will otherwise be subject to all requirements of the applicable program, specifically 24 CFR Part 983 for non-RAD PBV units and the PBRA requirements governing the applicable contract for non-RAD PBRA units.

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<sup>4</sup> RAD is authorized by the Consolidated and Further Continuing Appropriations Act of 2012 (Pub. L. No. 112-55, approved November 18, 2011), as amended by the Consolidated Appropriations Act, 2014 (Pub. L. No. 113-76, approved January 17, 2014), the Consolidated and Further Continuing Appropriations Act, 2015 (Pub. L. No. 113-235, approved December 16, 2014), the Consolidated Appropriations Act, 2016 (Pub. L. No. 114-113, approved December 18, 2015), the Consolidated Appropriations Act, 2017 (Pub. L. No. 115-31, approved May 5, 2017), section 237 of Title II, Division L, Transportation, Housing and Urban Development, and Related Agencies, of the Consolidated Appropriations Act, 2018 (Pub. L. 115-141, approved March 23, 2018), as amended by the Consolidated Appropriations Act, 2022 (Pub. L. No. 117-103, approved March 15, 2022), and as amended by the Consolidated Appropriations Act, 2024; Division F—Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2024, Title II Department of Housing and Urban Development § 221, Pub. L. No. 118-42 (March 9, 2024), collectively, the “RAD Statute.”

<sup>5</sup> *Covered Project* - The post-conversion property with assistance converted from one form of rental assistance to another under the Demonstration.

<sup>6</sup> *Converting Project* - The pre-conversion property whose assistance is converting from one form of rental assistance to another under the Demonstration.

<sup>7</sup> These protections (as well as all protections in this Notice for current households) also apply when a household is relocated to facilitate new construction or repairs following conversion and subsequently returns to the Covered Project.

<sup>8</sup> The U.S. Housing Act of 1937 as may be amended.

**2. Right to Return.** See Section 1.4.A.5(b) of the Notice as set forth below and the RAD Fair Housing, Civil Rights, and Relocation Notice (as defined below) regarding a resident’s right to return. To facilitate the uniform treatment of residents and units at a Covered Project, any non-RAD PBV units located in the same Covered Project shall be subject to the terms of this provision.

i. **RAD Fair Housing, Civil Rights, and Relocation Notice.** The “Rental Assistance Demonstration (RAD) Notice Regarding Fair Housing and Civil Rights Requirements Applicable to RAD First Component – Public Housing Conversions,” Notice H 2016-17 (HA), PIH 2016-17 (HA), as may be amended. The RAD Fair Housing, Civil Rights, and Relocation Notice contains relocation requirements related to public housing conversions under RAD.<sup>9</sup> The RAD Fair Housing, Civil Rights, and Relocation Notice provides PHAs and their development partners with information and resources on RAD program requirements, Uniform Relocation Act (URA) requirements, and other requirements which may be applicable such as Section 104(d) of the Housing and Community Development Act of 1974, as amended (Section 104(d)) when planning for or implementing resident moves in connection with a RAD conversion under the First Component of RAD. Specifically, the RAD Fair Housing, Civil Rights, and Relocation Notice provides guidance on relocation planning, resident right to return, relocation assistance, resident notification, initiation of relocation, and the fair housing and civil rights requirements applicable to these activities.

The appendices to the RAD Fair Housing, Civil Rights, and Relocation Notice include recommended relocation plan contents. Sample relocation notices for issuance to residents depending on RAD project characteristics are available on the RAD website at [www.hud.gov/rad](http://www.hud.gov/rad). The primary source for First Component relocation requirements and guidance is the RAD Fair Housing, Civil Rights, and Relocation Notice and not the Notice. In the event of a conflict between the Notice and the RAD Fair Housing, Civil Rights, and Relocation Notice, with regard to relocation requirements, the RAD Fair Housing, Civil Rights, and Relocation Notice controls.

ii. **Section 1.4.A.5(b) of the Notice.** Section 1.4.A.5(b) of the Notice states:

**Right to Return.** Any resident that may need to be temporarily relocated to facilitate rehabilitation or construction has a right to return to an assisted unit at the Covered Project once rehabilitation or construction is completed. Permanent involuntary displacement of residents may not occur as a result of a project’s conversion of assistance, including, but not limited to, as a result of a change in bedroom distribution, a de minimis reduction of units, the reconfiguration of efficiency apartments, or the repurposing of dwelling units in order to facilitate social service delivery. Where the transfer of assistance to a new site is warranted

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<sup>9</sup> Please see Attachment R beginning on page 326 for the full text of the “Rental Assistance Demonstration (RAD) Notice Regarding Fair Housing and Civil Rights Requirements and Relocation Requirements Applicable to RAD First Component – Public Housing Conversions” Notice H 2016-17; PIH 2016-17 (HA), as may be amended from time to time at [https://www.hud.gov/sites/documents/16-17HSGN\\_16-17PIHN.PDF](https://www.hud.gov/sites/documents/16-17HSGN_16-17PIHN.PDF).

and approved (see Section 1.4.A.12 of the Notice), residents of the Converting Project will have the right to reside in an assisted unit at the new site once rehabilitation or construction is complete. For more information on how to implement these provisions see the RAD Fair Housing, Civil Rights, and Relocation Notice.

- 3. Phase-in of Tenant Rent Increases.** If, purely as a result of conversion, the amount a tenant would pay for rent and utilities under the PBV program (the tenant's TTP<sup>10</sup>) would increase the tenant's TTP by more than the greater of 10 percent or \$25, the rent increase will be phased in over 3 or 5 years. To implement this provision, HUD is specifying alternative requirements for section 3(a)(1) of the Act, as well as 24 CFR § 983.3 (definition of "total tenant payment" (TTP)) to the extent necessary to allow for the phase-in of tenant rent increases. A PHA must create a policy setting the length of the phase-in period at three years, five years or a combination depending on circumstances and must communicate such policy in writing to affected residents. For example, a PHA may create a policy that uses a three year phase-in for smaller increases in rent and a five year phase-in for larger increases in rent. This policy must be in place at conversion and may not be modified after conversion.

The method described below explains the set percentage-based phase-in a Project Owner<sup>11</sup> must follow according to the phase-in period established. For purposes of this section "Calculated PBV TTP" refers to the TTP calculated in accordance with regulations at 24 CFR §5.628 and the "most recently paid TTP" refers to the TTP recorded on line 9j of the family's most recent HUD Form 50058. If a family in a project converting from Public Housing to PBV was paying a flat rent immediately prior to conversion, the PHA should use the flat rent amount to calculate the phase-in amount for Year 1 (the first recertification following conversion), as illustrated below.

Three Year Phase-in:

- Year 1: Any recertification (interim or annual) performed prior to the second annual recertification after conversion – 33% of difference between most recently paid TTP or flat rent and the Calculated PBV TTP
- Year 2: Year 2 Annual Recertification (AR) and any Interim Recertification (IR) prior to Year 3 AR – 50% of difference between most recently paid TTP and the Calculated PBV TTP
- Year 3: Year 3 AR and all subsequent recertifications – Full Calculated PBV TTP<sup>12</sup>

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<sup>10</sup> TTP means the total tenant payment as calculated pursuant to 24 CFR Part 5.

<sup>11</sup> The term Project Owner refers to the owner of the Covered Project, including but not limited to any owner pursuant to a HAP Contract. For purposes of HAP Contracts, an Owner is a private person, partnership, or entity (including a cooperative), a non-profit entity, a PHA, or other public entity, having the legal right to lease or sublease the dwelling units subject to the HAP Contract

<sup>12</sup> For example, where a resident's most recently paid TTP is \$100, but the Calculated PBV TTP is \$200 and remains \$200 for the period of the resident's occupancy, (i.e. no changes in income) the resident would continue to pay the same rent and utilities for which it was responsible prior to conversion. At the first recertification following conversion, the resident's contribution would increase by 33% of \$100 to \$133. At the second AR, the resident's contribution would increase by 50% of the \$66 differential to the standard TPP, increasing to \$166. At the third AR, the resident's contribution would increase to \$200 and the resident would continue to pay the Calculated PBV TTP for the duration of their tenancy.



Five Year Phase in:

- Year 1: Any recertification (interim or annual) performed prior to the second annual recertification after conversion – 20% of difference between most recently paid TTP or flat rent and the Calculated PBV TTP
- Year 2: Year 2 AR and any IR prior to Year 3 AR – 25% of difference between most recently paid TTP and the Calculated PBV TTP
- Year 3: Year 3 AR and any IR prior to Year 4 AR – 33% of difference between most recently paid TTP and the Calculated PBV TTP
- Year 4: Year 4 AR and any IR prior to Year 5 AR – 50% of difference between most recently paid TTP and the Calculated PBV TTP
- Year 5 AR and all subsequent recertifications – Full Calculated PBV TTP

*Please Note:* In either the three year phase-in or the five-year phase-in, once the Calculated PBV TTP is equal to or less than the previous TTP, the phase-in ends and tenants will pay full TTP from that point forward. MTW agencies must also implement a three or five-year phase-in for impacted residents, but may alter the terms above as long as it establishes a written policy setting forth the alternative terms. To facilitate the uniform treatment of residents and units at a Covered Project, any non-RAD PBV units located in the same Covered Project shall be subject to the terms of this provision.

- 4. Family Self Sufficiency (FSS) and Resident Opportunities and Self Sufficiency Service Coordinator (ROSS-SC) programs.** Public Housing residents that are currently FSS participants will continue to participate in the PHA’s FSS program. The PHA may continue to use any FSS funds already awarded to serve those FSS participants who live in units converted by RAD. At the completion of the FSS grant, PHAs should follow the normal closeout procedures outlined in the grant agreement. If the PHA continues to run an FSS program that serves PH and/or HCV participants, the PHA will continue to be eligible (subject to NOFA requirements) to apply for FSS funding. Due to the program merger between PH FSS and HCV FSS that took place pursuant to the FY14 Appropriations Act (and was continued in the subsequent Appropriation Acts), no special provisions are required to continue serving FSS participants that live in public housing units converting to PBV under RAD.

However, PHAs should note that until provisions of the Economic Growth, Regulatory Relief, and Consumer Protection Act are implemented, there are certain FSS requirements (e.g., escrow calculation and escrow forfeitures) that apply differently depending on whether the FSS participant is a participant under the HCV program or a public housing resident, and PHAs must follow such requirements accordingly. All PHAs will be required to administer the FSS program in accordance with FSS regulations at 24 CFR part 984 (current, or as amended), the participants’ contracts of participation, and the alternative requirements established in the “Waivers and Alternative Requirements for the FSS Program” Federal Register notice, published on December 29, 2014, at 79 FR 78100.<sup>13</sup> Further, upon conversion to PBV, if the PHA no longer has a public housing program, funds already escrowed for FSS

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<sup>13</sup> The funding streams for the PH FSS Program and the HCV FSS Program were first merged pursuant to the FY 2014 appropriations act. As a result, PHAs can serve both PH residents and HCV participants, including PBV participants, with FSS funding awarded under the FY 2014 FSS Notice of Funding Availability (FSS NOFA) and any other NOFA under which the combination of funds remains in the applicable appropriations act. For PHAs that had managed both programs separately and now have a merged program, a conversion to PBV should not impact their FSS participants.

participants shall be transferred into the HCV escrow account and be considered TBRA funds, thus reverting to the HAP account if forfeited by the FSS participant.<sup>14</sup>

For information on FSS PIC reporting requirements for RAD conversions, see Notice PIH 2016-08 at <http://portal.hud.gov/hudportal/documents/huddoc?id=pih2016-08.pdf>.

Current ROSS-SC grantees will be able to finish out their current ROSS-SC grants once their housing is converted under RAD. However, once the property is converted, it will no longer be eligible to be counted towards the unit count for future ROSS-SC grants, nor will its residents be eligible to be served by future ROSS-SC grants, which, by statute, can only serve public housing residents. At the completion of the ROSS-SC grant, PHAs should follow the normal closeout procedures outlined in the grant agreement. Please note that ROSS-SC grantees may be a non-profit or local Resident Association and this consequence of a RAD conversion may impact those entities. To facilitate the uniform treatment of residents and units at a Covered Project, any non-RAD PBV units located in the same Covered Project shall be subject to the terms of this provision.

- 5. Resident Participation and Funding.** In accordance with Attachment 1B of the Notice, residents of Covered Projects with assistance converted to PBV will have the right to establish and operate a resident organization for the purpose of addressing issues related to their living environment and be eligible for resident participation funding. To facilitate the uniform treatment of residents and units at a Covered Project, any non- RAD PBV units located in the same Covered Project shall be subject to the terms of this provision.
  
- 6. Resident Procedural Rights.** The following items must be incorporated into both the Section 8 Administrative Plan and the Project Owner's lease, which includes the required tenancy addendum (HUD Form 52530-c), as appropriate. Evidence of such incorporation may be requested by HUD for purposes of monitoring the program.
  - i. Termination Notification.** HUD is incorporating additional termination notification requirements to comply with section 6 of the Act for public housing projects that convert assistance under RAD and to non-RAD PBV units at the Covered Project. In addition to the regulations at 24 CFR § 983.257 related to Project Owner termination of tenancy and eviction (which MTW agencies may not alter) the termination procedure for RAD conversions to PBV will require that PHAs provide adequate written notice of termination of the lease which shall be :
    - a. A reasonable period of time, but not to exceed 30 days:
      - i. If the health or safety of other tenants, Project Owner employees, or persons residing in the immediate vicinity of the premises is threatened; or
      - ii. In the event of any drug-related or violent criminal activity or any felony conviction;
    - b. Not less than 14 days in the case of nonpayment of rent; and
    - c. Not less than 30 days in any other case, except that if a State or local law provides for a shorter period of time, such shorter period shall apply.
  
  - ii. Grievance Process.** Pursuant to requirements in the RAD Statute, HUD is establishing additional resident procedural rights to comply with section 6 of the Act.

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<sup>14</sup> Where the PHA maintains a public housing program, any forfeited funds that had been escrowed prior to conversion would revert to the PHA's Operating Reserves.

For the termination of assistance and several other PHA determinations, PBV program rules require the PHA to provide an opportunity for an informal hearing, as outlined in 24 CFR § 982.555. RAD will specify alternative requirements for 24 CFR § 982.555(b) in part, which outlines when informal hearings are not required, to require that:

- a. In addition to reasons that require an opportunity for an informal hearing given in 24 CFR § 982.555(a)(1)(i)-(v), an opportunity for an informal hearing must be given to residents for any dispute that a resident may have with respect to a Project Owner action in accordance with the individual's lease or the contract administrator in accordance with RAD PBV requirements that adversely affect the resident's rights, obligations, welfare, or status.
  - i. For any hearing required under 24 CFR § 982.555(a)(1)(i)-(v), the contract administrator will perform the hearing, as is the current standard in the program. The hearing officer must be selected in accordance with 24 CFR § 982.555(e)(4)(i).
  - ii. For any additional hearings required under RAD, the Project Owner will perform the hearing.
- b. There is no right to an informal hearing for class grievances or to disputes between residents not involving the Project Owner or Contract Administrator.
- c. The Project Owner gives residents notice of their ability to request an informal hearing as outlined in 24 CFR § 982.555(c)(1) for informal hearings that will address circumstances that fall outside of the scope of 24 CFR § 982.555(a)(1)(i)-(v).
- d. The Project Owner provides opportunity for an informal hearing before an eviction.

Current PBV program rules require that hearing procedures must be outlined in the PHA's Section 8 Administrative Plan.

To facilitate the uniform treatment of residents and units at a Covered Project, any non-RAD PBV units located in the same Covered Project shall be subject to the terms of this provision.

- 7. Earned Income Disregard (EID).** Tenants who are employed and are currently receiving the EID exclusion at the time of conversion will continue to receive the EID after conversion, in accordance with regulations at 24 CFR § 5.617. Upon the expiration of the EID for such families, the rent adjustment shall not be subject to rent phase-in, as described in Section 1.6.C.4 of the Notice; instead, the rent will automatically rise to the appropriate rent level based upon tenant income at that time.

Under the Housing Choice Voucher program, the EID exclusion is limited only to persons with disabilities (24 CFR § 5.617(b)). In order to allow all tenants (including non-disabled persons) who are employed and currently receiving the EID at the time of conversion to continue to benefit from this exclusion in the PBV project, the provision in 24 CFR § 5.617(b) limiting EID to disabled persons is waived. The waiver, and resulting alternative requirement, apply only to tenants receiving the EID at the time of conversion. No other tenant (e.g., tenants that move into the property following conversion or tenants who at one time received the EID but are not receiving the EID exclusion at the time of conversion due to loss of employment) is covered by this waiver. To facilitate the uniform treatment of residents and units at a

Covered Project, any non-RAD PBV units located in the same Covered Project shall be subject to the terms of this provision.

**8. Jobs Plus.** Jobs Plus grantees awarded FY14 and future funds that convert the Jobs Plus target projects(s) under RAD will be able to finish out their Jobs Plus period of performance unless significant relocation and/or change in building occupancy is planned. If either is planned at the Jobs Plus target project(s), HUD may allow for a modification of the Jobs Plus work plan or may, at the Secretary's discretion, choose to end the Jobs Plus program at that project. If the program is continued, the Project Owner must agree to continue to implement the program according to HUD's program requirements. Jobs Plus target public housing projects must enroll public housing residents into the Jobs Plus rent incentive, JPEID, prior to conversion. Any resident of the Covered Project that had not enrolled prior to conversion is not eligible to enroll in JPEID but may utilize Jobs Plus services that predominantly benefit the former public housing residents who resided at the target project at the time of RAD conversion. To facilitate the uniform treatment of residents and units at a Covered Project, any non-RAD PBV units located in the Covered Project may voluntarily utilize Jobs Plus services that predominantly benefit the former public housing residents who resided at the target project at the time of RAD conversion.

**9. When Total Tenant Payment Exceeds Gross Rent.** *(Updated as per HUD Notice H-2023-08 PIH-2023 19 (HA) Rental Assistance Demonstration – Supplemental Notice 4B)* Under normal PBV rules, the PHA may select an occupied unit to be included under the PBV HAP Contract only if the unit's occupants are eligible for housing assistance payments (24 CFR § 983.53(c)). Also, a PHA must remove a unit from the HAP Contract when no assistance has been paid for 180 days because the family's TTP has risen to a level that is equal to or greater than the Gross Rent. (24 CFR § 983.258 and § 983.211).

Pre-Conversion Residents. Since the rent limitation under this Section of the Notice may result in current residents having TTPs that exceed the Gross Rent, 24 CFR §983.53(c) does not apply in order to provide RAD PBV assistance to residents who were living in the Converting Project prior to conversion. As necessary to further implement the alternative requirements described below, HUD is waiving 24 CFR §983.258 and §983.211, as well as the provisions of Section 8(o)(13)(H) of the Act and the implementing regulations at 24 CFR § 983.301 as modified by Section 1.6.B.5 of this Notice.

HUD is establishing an alternative requirement that the unit for a family with a TTP that equals or exceeds Gross Rent must be placed on the PBV HAP Contract and the family shall be admitted to the PBV program. In such cases the resident is considered a participant under the PBV program and all the family obligations and protections under RAD and PBV apply to the resident.

During any period when the family's TTP is equal to or above the Gross Rent, the zero-HAP family will pay an alternate rent to owner that is the lower of:

- a. the family's TTP less the Utility Allowance<sup>15</sup>, subject to any required phase-in pursuant to Section 1.6.C.3 of the Notice; or
- b. the Zero-HAP Rent Cap, which is the lower of either:

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<sup>15</sup> *Utility Allowance:* As defined in 24 CFR Part 5, the amount that a Public Housing Authority or Project Owner determines is reasonable for tenant-paid utility costs.

- a. 110% of the applicable FMR less the Utility Allowance; or
- b. In the event the units are subject to more restrictive rent setting requirements under the LIHTC or HOME programs, or other programs approved by HUD on a project-specific basis, the rent to owner set to comply with such requirements.

During any period that the family's TTP falls below the Gross Rent, the normal PBV requirements apply and the family would pay 30% of adjusted income, less utility allowance.

After a family has paid the Zero-HAP Rent Cap as set by this Section for a period of 180 days, the PHA shall remove the unit from the HAP Contract and the family's participation in the PBV program ends.<sup>16</sup> If the Covered Project is fully assisted and the family subsequently leaves the property, the PHA must reinstate the unit back onto the HAP Contract and admit an eligible family. If the Covered Project is partially assisted and the family subsequently leaves the property, the unit must be reinstated back onto the HAP Contract unless the PHA previously substituted a different unit on the HAP Contract in accordance with 24 CFR § 983.207 or, where "floating units" have been permitted, Section 1.6.B.10 of the Notice. Additionally, if the family continues to reside in the project after the family's unit was removed from the HAP Contract, the family may request to return to the PBV program if the family's income subsequently decreases to the extent that the family's TTP is less than the Zero-HAP Rent Cap set by this section and the family is otherwise eligible for PBV assistance. The PHA shall, at the earliest opportunity<sup>17</sup>, reinstate the family's unit back onto the HAP Contract to provide rental assistance to the family. All PBV requirements with respect to the unit, such as compliance with HQS, apply while the unit is under the HAP Contract or added back to the HAP Contract.

New Admission Families. Unless a PHA requests and receives the waiver described below, any new admission to the Covered Project must meet the eligibility requirements at 24 CFR § 982.201 and require a subsidy payment at admission to the PBV program, which means

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<sup>16</sup> For example, a public housing family residing in a property converting under RAD has a TTP of \$600. The property has an initial Contract Rent of \$500, with a \$50 Utility Allowance (Gross Rent = \$550). The FMR for the unit size in the area in which the project is located is \$800. Following conversion, the family is responsible for paying \$550 in tenant rent. If the resident's income rises or is subject to a rent increase phase-in and all other conditions remain the same, the family would pay TTP until the tenant rent reached the Zero-HAP Rent Cap of \$830 (\$880, which is 110% of the \$800 FMR, minus the \$50 utility allowance), at which point the family would continue paying \$830, and unless the family's income and TTP subsequently decreases, the unit would be removed from the contract after 180 days. Families paying less than TTP because of the phased in Tenant Rent Increase alternative requirement are not paying the Zero-HAP Rent Cap and are not subject to this 180-day requirement. However, if the family's Calculated PBV TTP under section 1.6.C.3 is more than the Gross Rent, the family is a zero-HAP family and the applicability of the phased in Tenant Rent increase would end when the amount the family would pay under that alternative requirement meets or exceeds the Zero-HAP Rent Cap. At that point in time the family would pay the Zero-HAP Rent Cap and would be subject to all zero-HAP family requirements of this section, including the 180-day requirement.

<sup>17</sup> If the project was partially assisted and the PHA previously substituted a different unit on the HAP Contract, the PHA shall substitute the family's unit for a vacant unit on the HAP Contract if there is a vacant unit at the time of the request, or by doing so as soon as a unit on the HAP Contract becomes vacant if there are no vacant units on the HAP Contract at the time of the family request.

the family's TTP may not equal or exceed the Gross Rent for the unit at that time. Furthermore, a PHA must remove a new admission family's unit from the PBV HAP Contract when no assistance has been paid for 180 days because a new admission family's TTP subsequently increased to equal or exceed the Gross Rent. However, HUD is imposing an alternative requirement in such cases. If the project is fully assisted and the family subsequently leaves the property, the PHA must reinstate the unit on the HAP Contract and admit an eligible family. If the project is partially assisted, the PHA may substitute a different unit for the unit on the HAP Contract in accordance with 24 CFR § 983.207 or, where "floating units" have been permitted, Section 1.6.B.10 of the Notice. In circumstances where low RAD PBV rents may prohibit a significant number of otherwise eligible families on the waiting list from being admitted to the project because they do not require subsidy, and which could consequently create an undue concentration of poverty at the project compared to non-RAD PBV projects, a PHA may request a waiver of 24 CFR §§983.53(c), 983.259, 983.211, and 983.301 from HUD for the Covered Project. The waiver will apply the alternative requirements applicable to the pre-conversion residents in this Section to new admission families.

The PHA may request the waiver during the RAD conversion process or may subsequently request the waiver any time after the effective date of the HAP Contract. In order for the waiver to be approved, the PHA must demonstrate that based on the RAD rent calculated in accordance with Attachment 1C, the monthly two-bedroom RAD Gross Rent is less than: 30% of the monthly income of a family of four at the midpoint between the Very Low Income (VLI) HUD Income Limit and Extremely Low Income (ELI) HUD Income Limit for the area in which the Covered Project is located.

For waivers submitted during the conversion process, the Office of Recapitalization may grant the waiver after review of the Financing Plan and confirmation that the RAD rents meet the waiver rent threshold described above.<sup>18</sup> The Office of Recapitalization shall document the waiver by adding an additional provision to the RCC before closing. For waivers submitted after the effective date of the HAP Contract, the waiver is submitted through the normal waiver process outlined in Notice PIH 2018-16 (or any successor notice). In both cases, the approved waiver will be for the initial term of the PBV HAP Contract.

If the waiver is approved, the new admission families covered under the waiver are participants under the PBV program, all the family obligations and protections under RAD and PBV apply to the family, the RAD PBV families shall be subject to the same alternative requirements applicable to the pre-conversion residents under this Section, and the unit is subject to all PBV program requirements, as modified by this Notice.

Further, Covered Projects that receive the waiver shall be subject to an alternative income targeting requirement that at least 75% of new admissions to the PBV units (both RAD and

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<sup>18</sup> An example of the waiver rent threshold calculation is as follows. Assume the applicable VLI limit is \$46,850 and the ELI limit is \$28,100. The midpoint income is \$37,475 ( $\$46,850 + \$28,100 = \$74,950$ ;  $\$74,950/2 = \$37,475$ ). To calculate the affordable monthly rent, the midpoint income is divided by 12 and multiplied by 0.30 ( $\$37,475/12 = \$3,123$ ;  $\$3,123*0.30 = \$937$ ). If the RAD Rent is less than \$937, the Covered Project is eligible for the waiver.

non-RAD PBV units) in the Covered Project in any PHA fiscal year are ELI families.<sup>19</sup> If there are less than four new admissions to the Covered Project in a PHA fiscal year, the income targeting is determined by combining the new admissions for that fiscal year with the new admissions for the subsequent fiscal year (or years) until the combined total of new admissions equals or exceeds four for those consecutive fiscal years.<sup>20</sup>

**10. Under-Occupied Unit.** If a family is in an under-occupied unit under 24 CFR § 983.260 at the time of conversion, the family may remain in this unit until an appropriate-sized unit becomes available in the Covered Project. When an appropriate sized unit becomes available in the Covered Project, the family living in the under-occupied unit must move to the appropriate-sized unit within a reasonable period of time, as determined by the administering Voucher Agency. In order to allow the family to remain in the under-occupied unit until an appropriate-sized unit becomes available in the Covered Project, 24 CFR § 983.260 is waived for current residents remaining or returning to the Covered Project. MTW agencies may not modify this requirement. To facilitate the uniform treatment of residents and units at a Covered Project, any non-RAD PBV units located in the same Covered Project shall be subject to the terms of this provision.

#### **1.6.D. PBV: Other Miscellaneous Provisions**

**1. Access to Records, Including Requests for Information Related to Evaluation of Demonstration.** PHAs and the Project Owner must cooperate with any reasonable HUD request for data to support program evaluation, including but not limited to project financial statements, operating data, Choice-Mobility utilization, and rehabilitation work. Please see Appendix IV of the Notice for reporting units in Form HUD-50058.

**2. Ongoing PHA Board Review of Operating Budget.** The Owner must submit to the administering PHA's Board the operating budget for the Covered Project annually. The PHA's Board must confirm that the Project Owner is making deposits into the Reserve for Replacement account in accordance with the RCC as well as assess the financial health of the Covered Project.<sup>21</sup>

**3. Davis-Bacon Act and Section 3 of the Housing and Urban Development Act of 1968 (Section 3).** These sections have been moved to 1.4.A.14 and 1.4.A.15 of the Notice.

**4. Establishment of Waiting List.** 24 CFR § 983.251 sets out PBV program requirements related to establishing and maintaining a voucher-wide, PBV program wide, or site-based waiting list from which residents for the Covered Project will be admitted. These provisions shall apply unless the project is covered by a remedial order or agreement that specifies the type of waiting list and other

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<sup>19</sup> This alternative requirement for the Covered Project is in addition to the PHA's HCV/PBV program income targeting requirements at 24 CFR 982.201(b)(2). Admissions to the Covered Project continue to be taken into account when determining income targeting in accordance with 24 CFR 982.201(b)(2).

<sup>20</sup> For example, assume in fiscal year in which the waiver was granted the Covered Project had one new admission and in following fiscal year had three new admissions. Compliance with the Covered Project income targeting requirement would be determined based on the combined total of the 4 new admissions over the two fiscal years (3 of the 4 new admissions to PBV units the Covered Project must have been ELI families).

<sup>21</sup> For PBV conversions that are not FHA-insured, a future HUD notice will describe project financial data that may be required to be submitted by a PBV owner for purposes of monitoring and evaluation, given that PBV projects do not submit annual financial statements to HUD/REAC.

waiting list policies. The PHA shall consider the best means to transition applicants from the current public housing waiting list, including:

- a. Transferring an existing site-based waiting list to a new site-based waiting list.
- b. Transferring an existing site-based waiting list to a PBV program-wide or HCV program-wide waiting list.
- c. Transferring an existing community-wide public housing waiting list to a PBV program-wide or HCV program-wide waiting list, an option particularly relevant for PHAs converting their entire portfolio under RAD.
- d. Informing applicants on a community-wide public housing waiting list how to transfer their application to one or more newly created site-based waiting lists.

For any applicants on the public housing waiting list that are likely to be ineligible for admission to a Covered Project converting to PBV because the household's TTP is likely to exceed the RAD gross rent, the PHA shall consider transferring such household, consistent with program requirements for administration of waiting lists, to the PHA's remaining public housing waiting list(s) or to another voucher waiting list, in addition to transferring such household to the waiting list for the Covered Project.

To the extent any wait list relies on the date and time of application, the applicants shall have priority on the wait list(s) to which their application was transferred in accordance with the date and time of their application to the original waiting list.

If the PHA is transferring assistance to another neighborhood and, as a result of the transfer of the waiting list, the applicant would only be eligible for a unit in a location which is materially different from the location to which the applicant applied, the PHA must notify applicants on the waiting list of the transfer of assistance, and on how they can apply for residency at other sites.

If using a site-based waiting list, PHAs shall establish a waiting list in accordance with 24 CFR § 903.7(b)(2)(ii)-(iv) to ensure that applicants on the PHA's public housing community-wide waiting list have been offered placement on the Covered Project's initial waiting list. In all cases, PHAs have the discretion to determine the most appropriate means of informing applicants on the public housing communitywide waiting list given the number of applicants, PHA resources, and admissions requirements of the projects being converted under RAD. A PHA may consider contacting every applicant on the public housing waiting list via direct mailing; advertising the availability of housing to the population that is less likely to apply, both minority and non-minority groups, through various forms of media (e.g., radio stations, posters, newspapers) within the marketing area; informing local non-profit entities and advocacy groups (e.g., disability rights groups); and conducting other outreach as appropriate. Any activities to contact applicants on the public housing waiting list must be conducted in accordance with the requirements for effective communication with persons with disabilities at 24 CFR § 8.6 and with the obligation to provide meaningful access for persons with limited English proficiency (LEP).<sup>22</sup>

When using a site-based waiting list, PHAs should consider waiting list and transfer policies that expand opportunities for tenants seeking an emergency transfer under, or consistent with, the PHA's Emergency Transfer Plan. This includes allowing for easier moves between assisted properties.

To implement this provision, HUD is specifying alternative requirements for 24 CFR § 983.251(c)(2). However, after the initial waiting list has been established, the PHA shall administer its waiting list for the Covered Project in accordance with 24 CFR § 983.251(c). To facilitate the uniform treatment

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<sup>22</sup> For more information on serving persons with LEP, please see HUD's Final guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons (72 FR 2732), published on January 22, 2007



of residents and units at a Covered Project, any non-RAD PBV units located in the same Covered Project shall be subject to the terms of this provision.

A PHA must maintain any site-based waiting list in accordance with all applicable civil rights and fair housing laws and regulations.

**5. Mandatory Insurance Coverage.** The Covered Project shall maintain at all times commercially available property and liability insurance to protect the project from financial loss and, to the extent insurance proceeds permit, promptly restore, reconstruct, and/or repair any damaged or destroyed project property.

**6. Future Refinancing.** Project Owners must receive HUD approval for any refinancing or restructuring of secured debt during the HAP Contract term to ensure the financing is consistent with long-term preservation of the Covered Project. With respect to any financing contemplated at the time of conversion (including any permanent financing which is a conversion or take-out of construction financing), such consent may be evidenced through the RCC but HUD review of liens must be performed prior to execution.

**7. Administrative Fees for Public Housing Conversions During the Year of Conversion.** For the remainder of the Calendar Year in which the HAP Contract becomes effective (i.e., the “year of conversion”), RAD PBV projects will be funded with public housing funds. For example, if the project’s assistance converts effective July 1, 2015, the public housing ACC between the PHA and HUD will be amended to reflect the number of units under HAP Contract, but will be for zero dollars, and the RAD PBV HAP Contract will be funded with public housing money for July through December 2015. Since TBRA is not the source of funds, PHAs should not report leasing and expenses into VMS during this period, and PHAs will not receive section 8 administrative fee funding for converted units during this time.

PHAs operating an HCV program typically receive administrative fees for units under a HAP Contract, consistent with recent appropriation act references to “section 8(q) of the [United States Housing Act of 1937] and related appropriations act provisions in effect immediately before the Quality Housing and Work Responsibility Act of 1998” and 24 CFR § 982.152(b). During the year of conversion mentioned in the preceding paragraph, these provisions are waived. PHAs will not receive Section 8 administrative fees for PBV RAD units during the year of conversion.

After the year of conversion, the Section 8 ACC will be amended to include Section 8 funding that corresponds to the units covered by the Section 8 ACC. At that time, the regular Section 8 administrative fee funding provisions will apply.

**8. Choice-Mobility.** One of the key features of the PBV program is the mobility component, which provides that if the family has elected to terminate the assisted lease at any time after the first year of occupancy in accordance with program requirements, the PHA must offer the family the opportunity for continued tenant based rental assistance, in the form of either assistance under the voucher program or other comparable tenant-based rental assistance.

If as a result of participation in RAD a significant percentage of the PHA’s HCV program becomes PBV assistance, it is possible for most or all of a PHA’s turnover vouchers to be used to assist those RAD PBV families who wish to exercise mobility. While HUD is committed to ensuring mobility remains a cornerstone of RAD policy, HUD recognizes that it remains important for the PHA to still be able to use tenant based vouchers to address the specific housing needs and priorities of the community. Therefore, HUD is establishing the following alternative requirement for PHAs where, as a result of RAD, the total number of PBV units (including RAD PBV units) under HAP Contract administered by the PHA exceeds 20 percent of the PHA’s authorized units under its HCV ACC with

HUD: The alternative mobility policy provides that an eligible voucher agency would not be required to provide more than three-quarters of its turnover vouchers in any single year to the residents of Covered Projects. While a voucher agency is not required to establish a voucher inventory turnover cap, if such a cap is implemented, the voucher agency must create and maintain a waiting list in the order in which the requests from eligible households were received. In order to adopt this provision, this alternative mobility policy must be included in an eligible PHA's administrative plan.

To effectuate this provision, HUD is providing an alternative requirement to Section 8(o)(13)(E) of the Act and 24 CFR § 983.261(c). Please note that this alternative requirement does not apply to PBVs entered into outside of the context of RAD. MTW agencies may not alter this requirement.

**9. Reserve for Replacement.** The Project Owner shall establish and maintain a replacement reserve in an interest-bearing account to aid in funding extraordinary maintenance and repair and replacement of capital items in accordance with applicable regulations. The reserve must be built up to and maintained at a level determined by HUD to be sufficient to meet projected requirements. For FHA transactions, Replacement Reserves shall be maintained in accordance with the FHA Regulatory Agreement. For all other transactions, Replacement Reserves shall be maintained in a bank account or similar instrument, as approved by HUD, where funds will be held by the Project Owner or mortgagee and may be drawn from the reserve account and used subject to HUD guidelines.

**10. Initial Certifications and Tenant Rent Calculations.** The Contract Administrator uses the family's public housing tenant rent (reflected on line 10f of the family's most recent HUD Form 50058) at the date of the conversion to calculate the PBV HAP and tenant rent until the effective date of the earlier of the family's first regular or interim recertification following the date of conversion. At the earlier of the family's first regular or interim recertification, the Contract Administrator will use the family's TTP based on the recertification and the HCV utility allowance (or the PBV site specific utility allowance, if applicable) to determine the PBV HAP and tenant rent.

This means that the family pays the same tenant rent as the family was paying under the public housing program until the earlier of first regular or interim reexamination following conversion, at which point the normally applicable PBV calculation for the tenant rent becomes effective. (Under the PBV program, the monthly HAP is the rent to owner minus the tenant rent, and the tenant rent is the family TTP minus the utility allowance.) To facilitate the uniform treatment of residents and units at a Covered Project, any non-RAD PBV units located in the same property as the Covered Project shall be subject to the terms of this provision. To effectuate this provision, HUD is waiving 24 CFR 5.601 and 983.3(c)(6)(iii).

## **I – Move Plans**

If a resident must be temporarily moved due to personal medical reasons, health and safety reasons (for example, due to necessary environmental abatement), and/or reasonable accommodation, the temporary move will be performed at no expense to the resident and the resident will have the right to return after the rehabilitation to their original unit. The resident will be offered a temporary unit at a development within the same PACT project. Specific temporary move plans will be finalized after a PACT partner is selected for each affected development.

If a resident must be moved due to the demolition of their current building, the move will be performed at no expense to the resident and the resident will have the right to return after the rebuilding of the building is complete. Specific move plans for any household impacted by the demolition of the household's building and development will be developed by the PACT partner **in compliance with the RAD Fair Housing, Civil Rights, and Relocation Notice.**

NYCHA will submit the RAD Fair Housing, Civil Rights, and Relocation Checklist to HUD as required by *the Notice* and will comply with the fair housing, civil rights and relocation requirements under the RAD program as stated in the RAD Fair Housing, Civil Rights, and Relocation Notice. Please see Attachment R beginning on page 326 for the full text of this RAD Fair Housing, Civil Rights, and Relocation Notice.

## ***II – Site Selection and Neighborhood Standards***

NYCHA’s RAD conversions comply with all applicable site selection and neighborhood review standards as required by the Notice.

## ***III – Voluntary Compliance Agreement, Consent Order or Consent Decree***

NYCHA certifies that it is under a Voluntary Compliance Agreement (“VCA”), Monitor Agreement by and between NYCHA, HUD and the City of New York dated January 31, 2019 (the “Agreement”), and assorted consent decrees. RAD conversion at the NYCHA developments listed on pages 128–158 will not have a negative impact on NYCHA’s compliance with such existing VCA, Agreement or consent decrees.

**ATTACHMENT D**  
**CAPITAL IMPROVEMENTS**

NYCHA submitted the FY 2024 Plan and Five-Year Action Plan to HUD on May 15, 2024. The Plan was approved by HUD in EPIC on May 21, 2024.

*Members of the public wishing to examine the Supporting Documents may do so, during regular business hours, by contacting NYCHA's central office, located at 90 Church Street, New York, New York, at (212) 306-3123 or by emailing [annualplancomments@nycha.nyc.gov](mailto:annualplancomments@nycha.nyc.gov) to schedule an appointment to review the documents.*

**FY 2025 CAPITAL FUND ANNUAL STATEMENT/PERFORMANCE AND EVALUATION  
REPORT AND 5-YEAR ACTION PLAN**

On May 21 and May 23, 2024, NYCHA presented an overview of the Authority's Capital Planning Program and the FY 2025 Capital Plan and 5-Year Action Plan to the Resident Advisory Board (RAB). NYCHA's FY 2025 Capital Fund Annual Statement/Performance and Evaluation Report and 5-Year Capital Plan (FY 2025 – 2029) are included in the Draft Plan on pages 173 through 185.

**Capital Fund Five - Year Action Plan\***

**Part I: Summary**

<b>PHA Name</b> New York City Housing Authority	<input checked="" type="checkbox"/> Original 5-Year Plan Revision No:
--	--

Development Number and Name	Work Statement for Year 1	Work Statement for Year 2	Work Statement for Year 3	Work Statement for Year 4	Work Statement for Year 5
	FFY Grant: FY25	FFY Grant: FY26	FFY Grant: FY27	FFY Grant: FY28	FFY Grant: FY29
Physical Improvements	398,061,487	356,450,931	367,592,781	368,593,480	368,593,480
Management Improvements	11,760,239	14,308,095	14,308,095	14,298,933	14,298,933
PHA-Wide Non-dwelling Structures and Equipment	2,643,761	220,261	220,261	229,423	229,423
Administration	75,187,475	75,187,475	75,187,475	75,187,475	75,187,475
Other	37,788,729	79,277,639	68,134,367	67,134,368	67,134,368
Operations	187,968,686	187,968,686	187,968,686	187,968,686	187,968,686
Demolition	0	0	0	0	0
Development	0	0	0	0	0
Capital Fund Financing - Debt Service	38,464,368	38,461,658	38,463,080	38,462,381	38,462,381
<b>Total CFP Funds</b>	<b>751,874,745</b>	<b>751,874,745</b>	<b>751,874,745</b>	<b>751,874,745</b>	<b>751,874,745</b>
<b>Total Non-CFP Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Grand Total</b>	<b>751,874,745</b>	<b>751,874,745</b>	<b>751,874,745</b>	<b>751,874,745</b>	<b>751,874,745</b>

Part I: Summary		Part I: Summary			
PHA Name:  New York City Housing Authority		Grant Type and Number Capital Fund Program Grant No:  Date of CFFP:		Replacement Housing Factor Grant No:	FFY of Grant:  FFY of Grant Approval:
Type of Grant	Original Annual Statement Performance and Evaluation for Period Ending:	Reserve for Disasters/ Emergencies		Revised Annual Statement (revision no: ) Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0.00	0.00	0.00	0.00
2	1406 Operations (may not exceed 20% of line 15)	187,968,686.25	0.00	0.00	0.00
3	1408 Management Improvements	11,760,239.00	0.00	0.00	0.00
4	1410 Administration (may not exceed 10% of line 15)	75,187,474.50	0.00	0.00	0.00
5	1480 General Capital Activity	438,493,977.25	0.00	0.00	0.00
6	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
7	1501 Collateralization or Debt	0.00	0.00	0.00	0.00
8	1503 RAD	0.00	0.00	0.00	0.00
9	1504 RAD Investment Activity	0.00	0.00	0.00	0.00
10	1505 RAD-CPT	0.00	0.00	0.00	0.00
11	9000 Debt Reserves	0.00	0.00	0.00	0.00
12	9001 Bond Debt Obligation	38,464,368.00	0.00	0.00	0.00
13	9002 Loan Debt Obligation	0.00	0.00	0.00	0.00
14	9900 Post Audit Adjustment	0.00	0.00	0.00	0.00
15	Amount of Annual Grant: (sum of line 2-14)	751,874,745.00	0.00	0.00	0.00
16	Amount of line 15 Related to LBP Activities	0.00	0.00	0.00	0.00
17	Amount of line 15 Related to Section 504 Compliance	0.00	0.00	0.00	0.00
18	Amount of line 15 Related to Security - Soft Costs	0.00	0.00	0.00	0.00
19	Amount of line 15 Related to Security - Hard Costs	0.00	0.00	0.00	0.00

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part I: Summary</b>		<b>Part I: Summary</b>			
PHA Name:  New York City Housing Authority		Grant Type and Number Capital Fund Program Grant No:  Date of CFFP:		Replacement Housing Factor Grant No:	FFY of Grant:  FFY of Grant Approval:
Type of Grant	Original Annual Statement Performance and Evaluation for Period Ending:	Reserve for Disasters/ Emergencies		Revised Annual Statement (revision no: ) Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost Original	Total Estimated Cost Revised	Total Actual Cost Obligated      Expended	
20	Amount of line 20 Related to Energy Conservation Measures	2,000,000.00	0.00	0.00	0.00
Signature of Executive Director		Date	Signature of Public Housing Director		Date

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part II: Supporting Pages		Grant Type and Number	Billable Awards			Federal FFY of Grant:		
PHA Name: New York City Housing Authority		Capital Fund Program Grant No:	CFPP (Yes/No):					
		Replacement Housing Factor Grant No:	No					
Development Number Name / PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original Budget	Original	Revised	Funds Obligated	
ATLANTIC TERMINAL SITE 4B (NY005011630P)			1,029					
WT Brickwork Roofs	PR_014194							Planned
		1480 GENERAL CAPITAL ACTIVITY		20,000,000		0	0	0
BARUCH (NY005010600P)			2,391					
WT Fire Alarm	PR_009574							Planned
		1480 GENERAL CAPITAL ACTIVITY		102,076		0	0	0
BREUKELEN (NY005000560P)			1,595					
WT Heating	PR_012365							Planned
		1480 GENERAL CAPITAL ACTIVITY		6,120,826		0	0	0
BRONX RIVER (NY005010320P)			1,554					
WT Boilers	PR_010399							Planned
		1480 GENERAL CAPITAL ACTIVITY		5,000,000		0	0	0
BUTLER (NY005001130P)			1,492					
WT Boilers	PR_010401							Planned
		1480 GENERAL CAPITAL ACTIVITY		22,002,883		0	0	0
WT Plumbing	PR_011294							Planned
		1480 GENERAL CAPITAL ACTIVITY		2,400,000		0	0	0
DYCKMAN (NY005000410P)			1,167					
WT Fire Alarm	PR_009575							Planned
		1480 GENERAL CAPITAL ACTIVITY		217,736		0	0	0
FARRAGUT (NY005000290P)			1,390					
WT Roofs	PR_012371							Planned
		1480 GENERAL CAPITAL ACTIVITY		1,400,000		0	0	0
GOWANUS (NY005000250P)			1,139					
WT Fire Alarm	PR_009613							Planned
		1480 GENERAL CAPITAL ACTIVITY		292,052		0	0	0
WT Major Renovation	PR_012952							Planned
		1480 GENERAL CAPITAL ACTIVITY		5,050,511		0	0	0
GRANT (NY005000870P)			1,940					
WT Boilers	PR_010407							Planned
		1480 GENERAL CAPITAL ACTIVITY		5,302,883		0	0	0
HARBORVIEW TERRACE (NY005010220P)			1,461					
WT Roofs	PR_011301							Planned
		1480 GENERAL CAPITAL ACTIVITY		8,648,990		0	0	0
LAFAYETTE (NY005001220P)			882					
WT Fire Alarm	PR_009579							Planned
		1480 GENERAL CAPITAL ACTIVITY		58,800		0	0	0
MARCY (NY005000210P)			1,717					
WT Fire Alarm	PR_009609							Planned
		1480 GENERAL CAPITAL ACTIVITY		286,815		0	0	0
MITCHEL (NY005011450P)			1,829					

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Part II: Supporting Pages PHA Name: New York City Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: Development Account No.	Billable Awards Quantity	CFPP (Yes/No): No	Total Estimated Cost		Total Actual Cost		Status of Work
Development Number Name / PHA-Wide Activities	General Description of Major Work Categories		Original Budget	Original	Revised	Funds Obligated	Funds Expended		
	WT_Bollers PR_010409	1480 GENERAL CAPITAL ACTIVITY			12,903,843	0	0	0	Planned
PATTERSON (NY005000240P)			1,791						
	WT_Brickwork Roofs PR_008786	1480 GENERAL CAPITAL ACTIVITY			23,343,200	0	0	0	Planned
PELHAM PARKWAY (NY005010390P)			1,501						
	WT_Heating PR_012366	1480 GENERAL CAPITAL ACTIVITY			5,000,000	0	0	0	Planned
POLO GROUNDS TOWER (NY005001490P)			1,614						
	WT_Brickwork PR_010085	1480 GENERAL CAPITAL ACTIVITY			28,561,242	0	0	0	Planned
	WT_Roofs PR_011304	1480 GENERAL CAPITAL ACTIVITY			3,160,343	0	0	0	Planned
	WT_A and E PR_011495	1480 GENERAL CAPITAL ACTIVITY			41,108,000	0	0	0	Planned
RIIS I (NY005010180P)			1,769						
	WT_General Construction PR_013399	1480 GENERAL CAPITAL ACTIVITY			5,000,000	0	0	0	Planned
RIIS II (NY005010180P)			1,769						
	WT_General Construction PR_013400	1480 GENERAL CAPITAL ACTIVITY			5,000,000	0	0	0	Planned
SAINTE NICHOLAS (NY005000380P)			1,526						
	WT_Major Renovation PR_012254	1480 GENERAL CAPITAL ACTIVITY			3,602,842	0	0	0	Planned
SOUTH BEACH (NY005010350P)			699						
	WT_Bollers PR_008452	1480 GENERAL CAPITAL ACTIVITY			4,651,441	0	0	0	Planned
SURFSIDE GARDENS (NY005011700P)			1,510						
	WT_Plumbing PR_010431	1480 GENERAL CAPITAL ACTIVITY			2,400,000	0	0	0	Planned
TODT HILL (NY005000520P)			1,008						
	WT_Major Renovation PR_010115	1480 GENERAL CAPITAL ACTIVITY			497,158	0	0	0	Planned
TOMPKINS (NY005011310P)			1,196						
	WT_Fire Alarm PR_009571	1480 GENERAL CAPITAL ACTIVITY			117,600	0	0	0	Planned
WAGNER (NY005010740P)			2,204						
	WT_Energy PR_010368	1480 GENERAL CAPITAL ACTIVITY			1,000,000	0	0	0	Planned
	WT_Roofs PR_013139	1480 GENERAL CAPITAL ACTIVITY			7,378,737	0	0	0	Planned

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part II: Supporting Pages		Grant Type and Number	Billable Awards			Federal FFY of Grant:		
PHA Name: New York City Housing Authority		Capital Fund Program Grant No:	CFFP (Yes/No):					
Development Number Name / PHA-Wide Activities		Replacement Housing Factor Grant No:	No					
Development Number Name / PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original Budget	Original	Revised	Funds Obligated	
WALD (NY005000230P)			1,861					
	WT_Roofs PR_013143							Planned
		1480 GENERAL CAPITAL ACTIVITY		1,314,900	0	0	0	
WHITMAN (NY005005140P)			1,659					
	WT_Plumbing PR_009477							Planned
		1480 GENERAL CAPITAL ACTIVITY		10,000,000	0	0	0	
WOODSIDE (NY005000330P)			1,357					
	WT_Heating PR_012303							Planned
		1480 GENERAL CAPITAL ACTIVITY		17,659,751	0	0	0	
WYCKOFF GARDENS (NY005011630P)			1,029					
	WT_Major Renovation PR_012953							Planned
		1480 GENERAL CAPITAL ACTIVITY		2,349,489	0	0	0	

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9) of the U.S. Housing Act of 1937, as amended.

Part II: Supporting Pages		Grant Type and Number		Billable Awards		Federal FFY of Grant:		Status of Work		
PHA Name: New York City Housing Authority		Capital Fund Program Grant No:		CFFP (Yes/No):						
Development Number Name / PHA-Wide Activities		General Description of Major Work Categories		Replacement Housing Factor Grant No:		Total Estimated Cost		Total Actual Cost		
		Development Account No.		Quantity		Original		Revised		
						Funds Obligated		Funds Expended		
PHA WIDE ITEM (PR_003482)	WT_Management Fees								Planned	
		1410	ADMINISTRATIVE SALARIES			75,187,475	0	0	0	Planned
PHA WIDE ITEM (PR_003921)	WT_Contingency									Planned
		1480	GENERAL CAPITAL ACTIVITY			23,040,206	0	0	0	Planned
PHA WIDE ITEM (PR_005060)	WT_Contingency									Planned
		1480	GENERAL CAPITAL ACTIVITY			14,748,523	0	0	0	Planned
PHA WIDE ITEM (PR_005567)	WT_IT Hardware and Software									Planned
		1408	MGMT IMPROVEMENT PROGRAMS			1,295,000	0	0	0	Planned
		1408	MGMT IMPROVEMENT PROGRAMS			1,295,000	0	0	0	Planned
PHA WIDE ITEM (PR_006460)	WT_Debt Service									Planned
		9001	BOND DEBT OBLIGATION			38,464,368	0	0	0	Planned
PHA WIDE ITEM (PR_006859)	WT_Reimb To Operate									Planned
		1406	OPERATIONS			187,968,686	0	0	0	Planned
PHA WIDE ITEM (PR_010233)	WT_IT Hardware and Software									Planned
		1480	GENERAL CAPITAL ACTIVITY			925,000	0	0	0	Planned
PHA WIDE ITEM (PR_010236)	WT_IT Hardware and Software									Planned
		1408	MGMT IMPROVEMENT PROGRAMS			2,662,196	0	0	0	Planned
PHA WIDE ITEM (PR_010238)	WT_IT Hardware and Software									Planned
		1408	MGMT IMPROVEMENT PROGRAMS			2,257,000	0	0	0	Planned
PHA WIDE ITEM (PR_010239)	WT_IT Hardware and Software									Planned
		1480	GENERAL CAPITAL ACTIVITY			1,295,000	0	0	0	Planned
PHA WIDE ITEM (PR_010242)	WT_IT Hardware and Software									Planned
		1408	MGMT IMPROVEMENT PROGRAMS			113,693	0	0	0	Planned
PHA WIDE ITEM (PR_010243)	WT_IT Hardware and Software									Planned
		1408	MGMT IMPROVEMENT PROGRAMS			97,170	0	0	0	Planned
PHA WIDE ITEM (PR_010538)	WT_General Construction									Planned
		1480	GENERAL CAPITAL ACTIVITY			1,500,000	0	0	0	Planned
PHA WIDE ITEM (PR_010603)	WT_IT Hardware and Software									Planned
		1480	GENERAL CAPITAL ACTIVITY			423,761	0	0	0	Planned
PHA WIDE ITEM (PR_010605)	WT_Elevators									Planned
		1480	GENERAL CAPITAL ACTIVITY			3,479,968	0	0	0	Planned
PHA WIDE ITEM (PR_010672)	WT_A and E									Planned
		1480	GENERAL CAPITAL ACTIVITY			4,000,000	0	0	0	Planned
PHA WIDE ITEM (PR_011309)	WT_A and E									Planned
		1480	GENERAL CAPITAL ACTIVITY			1,000,000	0	0	0	Planned
PHA WIDE ITEM (PR_012388)	WT_IT Hardware and Software									Planned
		1408	MGMT IMPROVEMENT PROGRAMS			740,000	0	0	0	Planned
PHA WIDE ITEM (PR_012399)	WT_Relocation									Planned
		1480	GENERAL CAPITAL ACTIVITY			1,000,000	0	0	0	Planned
PHA WIDE ITEM (PR_012400)	WT_Mold									Planned

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part II: Supporting Pages		Grant Type and Number		Billable Awards		Federal FFY of Grant:		
PHA Name: New York City Housing Authority		Capital Fund Program Grant No:		CFFP (Yes/No):				
		Replacement Housing Factor Grant No:		No				
Development Number Name / PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
		1480 GENERAL CAPITAL ACTIVITY		2,000,000	0	0	0	
PHA WIDE ITEM (PR_012408)	WT_Management Improvements	1480 GENERAL CAPITAL ACTIVITY		58,246,373	0	0	0	Planned
PHA WIDE ITEM (PR_012410)	WT_Asbestos	1480 GENERAL CAPITAL ACTIVITY		2,000,000	0	0	0	Planned
PHA WIDE ITEM (PR_012756)	WT_A and E	1480 GENERAL CAPITAL ACTIVITY		10,000,000	0	0	0	Planned
PHA WIDE ITEM (PR_012760)	WT_IT Hardware and Software	1408 MGMT IMPROVEMENT PROGRAMS		224,000	0	0	0	Planned
PHA WIDE ITEM (PR_013091)	WT_A and E	1480 GENERAL CAPITAL ACTIVITY		10,000,000	0	0	0	Planned
PHA WIDE ITEM (PR_013231)	WT_IT Hardware and Software	1408 MGMT IMPROVEMENT PROGRAMS		4,130,680	0	0	0	Planned
PHA WIDE ITEM (PR_013417)	WT_General Construction	1480 GENERAL CAPITAL ACTIVITY		1,500,000	0	0	0	Planned
PHA WIDE ITEM (PR_013889)	WT_IT Hardware and Software	1408 MGMT IMPROVEMENT PROGRAMS		240,500	0	0	0	Planned
PHA WIDE ITEM (PR_014096)	WT_General Construction	1480 GENERAL CAPITAL ACTIVITY		27,403,028	0	0	0	Planned
PHA WIDE ITEM (PR_014214)	WT_A and E	1480 GENERAL CAPITAL ACTIVITY		6,500,000	0	0	0	Planned
PHA WIDE ITEM (PR_014231)	WT_Brickwork	1480 GENERAL CAPITAL ACTIVITY		17,500,000	0	0	0	Planned
PHA WIDE ITEM (Project Codes)								
		1406 OPERATIONS		187,968,686	0	0	0	
		1408 MGMT IMPROVEMENT PROGRAMS		11,760,239	0	0	0	
		1410 ADMINISTRATIVE SALARIES		75,187,475	0	0	0	
		9001 BOND DEBT OBLIGATION		38,464,368	0	0	0	
		1480 GENERAL CAPITAL ACTIVITY		186,561,859	0	0	0	
		Award Total:		751,874,745	0	0	0	

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9) of the U.S. Housing Act of 1937, as amended.

**Capital Fund Five - Year Action Plan\***

**Part I: Summary**

<b>PHA Name</b> <b>New York City Housing Authority</b>	<input checked="" type="checkbox"/> Original 5-Year Plan Revision No:
---	--

Development Number / Name / HA-Wide	Work Statement for Year 1 (See Annual Statement)	Work Statement for Year 2	Work Statement for Year 3	Work Statement for Year 4	Work Statement for Year 5
		FFY Grant: FY26 PHA FY: FY26	FFY Grant: FY27 PHA FY: FY27	FFY Grant: FY28 PHA FY: FY28	FFY Grant: FY29 PHA FY: FY29
BREUKELN (NY005000560P)		5,687,920	4,000,000	45,186,991	45,186,991
BUTLER (NY005001130P)		15,000,000	0	0	0
CASSIDY - LAFAYETTE (NY005011170P)		3,240,860	3,000,000	32,494,294	32,494,294
DOUGLASS ADDITION (NY005010820P)		1,442,092	0	0	0
GLENMORE PLAZA (NY005011690P)		3,256,236	3,000,000	44,343,200	44,343,200
GRANT (NY005000870P)		12,000,000	9,271,500	20,443,500	20,443,500
INGERSOLL (NY005000140P)		8,082,060	16,164,120	16,164,120	16,164,120
KINGSBOROUGH (NY005010100P)		0	0	14,707,300	14,707,300
KINGSBOROUGH EXT (NY005010100P)		0	0	932,000	932,000
LAFAYETTE (NY005001220P)		0	1,644,000	14,796,000	14,796,000
MITCHEL (NY005011450P)		21,139,415	0	0	0
PATTERSON (NY005000240P)		5,000,000	0	0	0
PELHAM PARKWAY (NY005010390P)		5,795,142	4,000,000	34,133,709	34,133,709
POLO GROUNDS TOWER (NY005001490P)		53,605,200	24,796,051	34,979,527	34,979,527
ROOSEVELT I (NY005011350P)		7,446,000	0	0	0
ROOSEVELT II (NY005011350P)		3,723,000	0	0	0
SAINT NICHOLAS (NY005000380P)		0	94,058,185	0	0
SMITH (NY005000270P)		2,602,657	23,423,915	0	0

\*Year five of this Five Year Plan submission is based on the last year of NYCHA's current Board Approved FY2016 Five Year Capital Plan. Subsequent Board Approved Capital Plans will provide updated information on planned projects.

**Capital Fund Five - Year Action Plan\***

**Part I: Summary**

<b>PHA Name</b> New York City Housing Authority	<input checked="" type="checkbox"/> Original 5-Year Plan Revision No:
--	--

Development Number / Name / HA-Wide	Work Statement for Year 1 (See Annual Statement)	Work Statement for Year 2	Work Statement for Year 3	Work Statement for Year 4	Work Statement for Year 5
		FFY Grant: FY26 PHA FY: FY26	FFY Grant: FY27 PHA FY: FY27	FFY Grant: FY28 PHA FY: FY28	FFY Grant: FY29 PHA FY: FY29
SOUTH BEACH (NY005010350P)		8,770,000	9,147,491	0	0
TAFT (NY005010970P)		1,000,000	0	0	0
TODT HILL (NY005000520P)		0	30,941,815	0	0
WAGNER (NY005010740P)		14,757,474	14,757,474	0	0
WALD (NY005000230P)		11,834,101	0	0	0
WOODSIDE (NY005000330P)		55,135,848	12,476,090	0	0
PHA WIDE ITEM - WT_A and E		31,500,000	31,500,000	25,000,000	25,000,000
PHA WIDE ITEM - WT_IT Hardware and Software		14,528,356	14,528,356	14,528,356	14,528,356
PHA WIDE ITEM - WT_Elevators		3,479,968	3,479,968	3,479,968	3,479,968
PHA WIDE ITEM - WT_Relocation		1,000,000	1,000,000	1,000,000	1,000,000
PHA WIDE ITEM - WT_Contingency		79,277,639	68,134,367	67,134,368	67,134,368
PHA WIDE ITEM - WT_Debt Service		38,461,658	38,463,080	38,462,381	38,462,381
PHA WIDE ITEM - WT_Management Fees		75,187,475	75,187,475	75,187,475	75,187,475
PHA WIDE ITEM - WT_Management Improvements		48,049,930	48,029,144	48,029,843	48,029,843
PHA WIDE ITEM - WT_Reimb To Operate		187,968,686	187,968,686	187,968,686	187,968,686
PHA WIDE ITEM - WT_Asbestos		2,000,000	2,000,000	2,000,000	2,000,000
PHA WIDE ITEM - WT_Mold		2,000,000	2,000,000	2,000,000	2,000,000
PHA WIDE ITEM - WT_General Construction		28,903,028	28,903,028	28,903,028	28,903,028

\*Year five of this Five Year Plan submission is based on the last year of NYCHA's current Board Approved FY2016 Five Year Capital Plan. Subsequent Board Approved Capital Plans will provide updated information on planned projects.

**Capital Fund Five - Year Action Plan\***

**Part I: Summary**

<b>PHA Name</b>		<input checked="" type="checkbox"/> Original 5-Year Plan			
<b>New York City Housing Authority</b>		Revision No:			
<b>Development Number / Name / HA-Wide</b>	<b>Work Statement for Year 1 (See Annual Statement)</b>	<b>Work Statement for Year 2</b>	<b>Work Statement for Year 3</b>	<b>Work Statement for Year 4</b>	<b>Work Statement for Year 5</b>
		FFY Grant: FY26 PHA FY: FY26	FFY Grant: FY27 PHA FY: FY27	FFY Grant: FY28 PHA FY: FY28	FFY Grant: FY29 PHA FY: FY29
<b>TOTALS</b>		<b>751,874,745</b>	<b>751,874,745</b>	<b>751,874,745</b>	<b>751,874,745</b>

\*Year five of this Five Year Plan submission is based on the last year of NYCHA's current Board Approved FY2016 Five Year Capital Plan. Subsequent Board Approved Capital Plans will provide updated information on planned projects.

**Capital Fund Program Five-Year Action Plan\***  
**Part II: Supporting Pages -- Work Activities**

Development Number/Name/HA-Wide		Activities for Year 1 (See Annual Statement)	Activities for Year 2 FFY Grant: FY26 PHA FY: FY26	Activities for Year 3 FFY Grant: FY27 PHA FY: FY27	Activities for Year 4 FFY Grant: FY28 PHA FY: FY28	Activities for Year 5 FFY Grant: FY29 PHA FY: FY29
BREUKELLEN (NY005000560P)	WT_Heating		5,687,920	4,000,000	45,186,991	45,186,991
BUTLER (NY005001130P)	WT_Boilers		15,000,000	0	0	0
CASSIDY - LAFAYETTE (NY005011170P)	WT_Heating		3,240,860	3,000,000	32,494,294	32,494,294
DOUGLASS ADDITION (NY005010820P)	WT_Fire Alarm		1,442,092	0	0	0
GLENMORE PLAZA (NY005011690P)	WT_Heating		3,256,236	3,000,000	44,343,200	44,343,200
GRANT (NY005000870P)	WT_Boilers		12,000,000	7,000,000	0	0
	WT_Elevators		0	2,271,500	20,443,500	20,443,500
INGERSOLL (NY005000140P)	WT_Roofs		8,082,060	16,164,120	16,164,120	16,164,120
KINGSBOROUGH (NY005010100P)	WT_Entrances_Exits		0	0	14,707,300	14,707,300
KINGSBOROUGH EXT (NY005010100P)	WT_Entrances_Exits		0	0	932,000	932,000
LAFAYETTE (NY005001220P)	WT_Elevators		0	1,644,000	14,796,000	14,796,000
MITCHEL (NY005011450P)	WT_Boilers		21,139,415	0	0	0
PATTERSON (NY005000240P)	WT_Brickwork_Roofs		5,000,000	0	0	0
PELHAM PARKWAY (NY005010390P)	WT_Heating		5,795,142	4,000,000	34,133,709	34,133,709
POLO GROUNDS TOWER (NY005001490P)	WT_Brickwork		25,162,114	24,796,051	34,979,527	34,979,527
	WT_Roofs		28,443,086	0	0	0
ROOSEVELT I (NY005011350P)	WT_Entrances_Exits		7,446,000	0	0	0
ROOSEVELT II (NY005011350P)	WT_Entrances_Exits		3,723,000	0	0	0
SAINT NICHOLAS (NY005000380P)	WT_Major Renovation		0	94,058,185	0	0
SMITH (NY005000270P)	WT_Elevators		2,602,657	23,423,915	0	0
SOUTH BEACH (NY005010350P)	WT_Boilers		8,770,000	9,147,491	0	0
TAFT (NY005010970P)	WT_Foundations		1,000,000	0	0	0
TODT HILL (NY005000520P)	WT_Major Renovation		0	30,941,815	0	0
WAGNER (NY005010740P)	WT_Roofs		14,757,474	14,757,474	0	0
WALD (NY005000230P)	WT_Roofs		11,834,101	0	0	0

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**Capital Fund Program Five-Year Action Plan\***  
**Part II: Supporting Pages -- Work Activities**

Development Number/Name/HA-Wide		Activities for Year 1 (See Annual Statement)	Activities for Year 2 FFY Grant: FY26 PHA FY: FY26	Activities for Year 3 FFY Grant: FY27 PHA FY: FY27	Activities for Year 4 FFY Grant: FY28 PHA FY: FY28	Activities for Year 5 FFY Grant: FY29 PHA FY: FY29
WOODSIDE (NY005000330P)	WT_Heating		55,135,848	12,476,090	0	0
PHA WIDE ITEM	WT_A and E		31,500,000	31,500,000	25,000,000	25,000,000
	WT_IT Hardware and Software		14,528,356	14,528,356	14,528,356	14,528,356
	WT_Elevators		3,479,968	3,479,968	3,479,968	3,479,968
	WT_Relocation		1,000,000	1,000,000	1,000,000	1,000,000
	WT_Contingency		79,277,639	68,134,367	67,134,368	67,134,368
	WT_Debt Service		38,461,658	38,463,080	38,462,381	38,462,381
	WT_Management Fees		75,187,475	75,187,475	75,187,475	75,187,475
	WT_Management Improvements		48,049,930	48,029,144	48,029,843	48,029,843
	WT_Reimb To Operate		187,968,686	187,968,686	187,968,686	187,968,686
	WT_Asbestos		2,000,000	2,000,000	2,000,000	2,000,000
	WT_Mold		2,000,000	2,000,000	2,000,000	2,000,000
	WT_General Construction		28,903,028	28,903,028	28,903,028	28,903,028
<b>TOTAL</b>			<b>751,874,745</b>	<b>751,874,745</b>	<b>751,874,745</b>	<b>751,874,745</b>

\*Year five of this Five Year Plan submission is based on the last year of NYCHA's current Board Approved FY2016 Five Year Capital Plan. Subsequent Board Approved Capital Plans will provide updated information on planned projects.

**ATTACHMENT E  
HOUSING NEEDS**

[24 CFR Part 903.7(a)]

**1. Housing Needs of Families in the Jurisdiction/s Served by the PHA**

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Affordability	Supply	Quality	Accessibility	Size	Location
Income <= 30% of AMI	954,438	5	5	4	1	3	4
Income >30% but <=50% of AMI	498,130	5	5	4	1	3	4
Income >50% but <80% of AMI	589,635	5	5	3	1	3	3
Elderly (62+)	993,735	5	5	4	4	2	3
Families with Disabilities	512,310	5	5	3	4	3	3
<b>Race/Ethnicity</b>							
Hispanic	847,513	5	5	4	1	3	4
Black	670,467	5	5	4	1	3	4
White	1,207,810	5	5	4	1	3	4
Asian	426,037	5	5	4	1	3	4
Other	131,109	5	5	4	1	3	4

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction (Indicate year: \_\_\_\_\_)
- American Housing Survey data (Indicate year: \_\_\_\_\_)
- Other housing market study (Indicate year: 2018 – 2022)
- Other sources: (list and indicate year of information)

*Data on the Housing Needs of Families in the Jurisdiction by Family Type was compiled by the Population Division of the New York City Department of City Planning utilizing data from: U.S. Census Bureau, 2018-2022 American Community Survey—Public Use Microdata Sample.*

## 2. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

<b>Housing Needs of Families on the PHA’s Waiting Lists – Public Housing (As of 9/13/24)</b>			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/sub jurisdiction:			
	# of Families	% of Total Families	Annual Turnover <sup>23</sup>
Waiting list total	185,569		2.22%
Extremely low income <=30% AMI	152,991	82%	
Very low income (>30% but <=50% AMI)	26,553	14%	
Low income (>50% but <=80% AMI)	6,024	3%	
Income Not Reported	1	0%	
Families with children	70,178	38%	
Elderly families	40,891	22%	
Families with Disabilities <sup>24</sup>	26,962	15%	
<b>Race/Ethnicity</b>			
White	11,463	6%	
Black	27,696	15%	
Hispanic	82,947	45%	
Asian	16,389	9%	
Native American	1,467	1%	
Native Hawaiian	362	0%	
Other	45,245	24%	
<b>Characteristics by Bedroom Size</b>			
0 BR	86,957	46%	
1BR	30,639	16%	
2 BR	53,199	30%	
3 BR	11,756	6%	
4 BR	2,934	1%	
5+ BR	82	0%	
Data Not Available	2	0%	

<sup>23</sup> Figure represents turnover (move-outs from Public Housing) during 2023.

<sup>24</sup> “Disabled” indicates a person, regardless of age, who falls within the definition of “disability” contained in §233 of the Social Security Act.

**Housing Needs of Families on the PHA's Waiting Lists – Public Housing  
(As of 5/6/24)**

Is the waiting list closed (select one)?

No  Yes

If yes, how long as it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?  No  Yes

**Housing Needs of Families on the PHA's Waiting Lists – Section 8 (As of 9/1/2024)**

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

*On August 1, 2024, NYCHA announced the establishment of a new Section 8 Housing Choice Voucher (HCV) waitlist, with 200,000 households randomly selected via lottery following an online application process that ran from June 3 through June 9, 2024. This marks the first time the waitlist has been open to general applications since December 10, 2009. Households who applied for the waitlist are currently being notified of the outcome of the lottery. Information on the housing needs for the households on the new Section 8 (HCV) waitlist will be available in the future.*

**Housing Needs of Families on the PHA's Waiting Lists – Section 8 (As of 9/1/2024)**

Is the waiting list closed (select one)?

No  Yes

*The New York City Housing Authority (NYCHA) reopened the waitlist to the general public for its Housing Choice Voucher (Section 8) Program from Monday, June 3, 2024 through Sunday, June 9, 2024. The waitlist is now closed to the general public.*

*NYCHA will continue accepting referrals from the following sources: (1) homeless referrals from New York City (NYC) agencies; (2) referrals from the New York City Administration for Children's Services (ACS) for youth in the Family Unification Program (FUP) whose assistance is expiring as a result of the term limit on their voucher without subsequent adequate housing; (3) referrals from ACS for FYI assistance for youth leaving foster care who are homeless or at risk of homelessness; (4) referrals by a prosecutorial or law enforcement agency for victims of domestic violence and intimidated witnesses; and (5) referrals from NYCHA's Public Housing Operations Department for public housing residents because their unit is not habitable, they are at risk of displacement, they are extremely under occupied or extremely over crowded, or they have been on the public housing transfer waitlist for three years or longer as a domestic violence (VDV), dating violence, sexual assault or stalking victim.*

If yes, how long has it been closed (# of months)? 2 Months and 24 Days as of September 1, 2024

Does the PHA expect to reopen the list in the PHA Plan year?

No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?

No  Yes

### 3. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year, and the Agency's reasons for choosing this strategy.

#### (1) Strategies

**Need:** Shortage of affordable housing for all eligible populations.

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:** (Select all that apply)

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2. Increase the number of affordable housing units by:** (Select all that apply)

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need:** Specific Family Types: Families at or below 30% of median.

**Strategy 1. Target available assistance to families at or below 30% of AMI:** (Select all that apply)

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing

*While NYCHA does not plan to exceed the federal targeting requirements, about 84% of the families admitted to public housing during calendar year 2023 were households with incomes at or below 30% of area median income.*

- Exceed HUD federal targeting requirements for families at or below 30 percent of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need:** Specific Family Types: Families at or below 50% of median.

**Strategy 1. Target available assistance to families at or below 50% of AMI:** (Select all that apply)

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need:** Specific Family Types: The Elderly

**Strategy 1. Target available assistance to the elderly:** (Select all that apply)

- Seek designation of public housing for the elderly

*On April 30, 2024, HUD approved NYCHA's request to continue to extend the designation of the elderly-only developments and buildings through June 12, 2025.*

- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need:** Specific Family Types: Families with Disabilities.

**Strategy 1. Target available assistance to Families with Disabilities:** (Select all that apply)

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**Need:** Specific Family Types: Races or ethnicities with disproportionate housing needs.

**Strategy 1. Increase awareness of PHA resources among families of races and ethnicities with**

**disproportionate needs:** (Select if applicable)

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2. Conduct activities to affirmatively further fair housing:** (Select all that apply)

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: Adoption of exception payment standards for high opportunity neighborhoods

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
  - Staffing constraints
  - Limited availability of sites for assisted housing
  - Extent to which particular housing needs are met by other organizations in the community
  - Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
  - Influence of the housing market on PHA programs
  - Community priorities regarding housing assistance
  - Results of consultation with local or state government
  - Results of consultation with residents and the Resident Advisory Board
  - Results of consultation with advocacy groups
  - Other: (list below)
-



## **ATTACHMENT F** **ADDITIONAL INFORMATION**

NYCHA is providing final updates on its current goals (FY 2020 to FY 2024) and is introducing new goals for the FY2025-2029 five-year plan.

### **1. STATEMENT OF PROGRESS IN MEETING MISSION AND GOALS – FY2020 to FY2024**

#### **Section A: Goals Related to NYCHA’s Monitoring Agreement**

On January 31, 2019, NYCHA and the City of New York signed an Agreement with HUD, which outlines specific deadlines and objective compliance standards for making significant improvements in several high-priority areas, including heat and elevator service, pests, lead-based paint, inspections, and mold. This section sets forth a summary of specific goals and deadlines enumerated in the Agreement, as well as related strategies and goals not specifically required by the Agreement but developed to improve resident quality of life. Where provided, the “progress” sections provide information about NYCHA’s compliance with or efforts towards meeting the relevant goals and deadlines. NYCHA has also established departments and units – including a Compliance Department, an Environmental Health and Safety Department, and a Quality Assurance Unit – as part of the Agreement. The Agreement provides an opportunity for NYCHA to work with a Monitor appointed under the Agreement (referred to as “the Monitor” below) to make significant improvements that will benefit residents.

Many of these goals will be met through the Action Plans that were developed and have been approved by the Monitor, as required by the Agreement.

#### **Lead-Based Paint**

##### **Goal: Management of Lead-Based Paint Risks**

**Objective:** By September 30, 2019, NYCHA will train all 900-maintenance staff to conduct visual assessments to identify lead-based paint hazards.

- **Goal Completed:** As reported in the federal Monitor’s [Second Quarterly Report](#) published in November 2019, NYCHA complied with this requirement under Exhibit A Paragraph 33(f) and it was in a “complete” status. As reported in the January 2024 certification report required by Exhibit A Paragraph 30(b), EH&S performed 283 observations and found that 273 out of 283 (96.5%) were compliant, with the remainder requiring re-assessments.

**Objective:** By 2020, NYCHA will use XRF technology to test over 134,000 apartments built before 1978. By the middle of 2019, as lead paint tests are completed, NYCHA will publish statistics on the progress of the testing initiative and its results.

<https://my.nycha.info/PublicSite/Transparency/XrfReport>

- **Progress:** In April 2019, NYCHA kicked off an unprecedented effort to test approximately 134,000 apartments for the presence of lead-based paint using high-tech XRF analyzers. Testing began at Harlem River Houses on April 15, 2019.

NYCHA published a dashboard online that reports the progress of the XRF testing, which is available at: <https://my.nycha.info/PublicSite/Transparency/XrfReport>.

As of September 12, 2023, 108,236 apartments were tested using XRF technology at the 1.0 mg/cm<sup>2</sup> standard. Due to a change in the City's standard, NYCHA is now retesting all apartments at the new, lowered threshold of 0.5 mg/cm<sup>2</sup>. NYCHA also suspended its testing of units at the old standard. As of September 1, 2024, NYCHA successfully completed XRF testing in 82,783 units.

**Objective:** By January 31, 2024, NYCHA will abate all lead-based paint at the Harlem River Houses and the Williamsburg Houses.

- **Progress:** Both Williamsburg Houses and Harlem River Houses are part of NYCHA's PACT Program, and the abatement requirements are being achieved through that program. Williamsburg Houses converted in December 2021 and Harlem River Houses converted in February 2022. PACT partners for Harlem River Houses and Williamsburg Houses began abatement via removal at the City's new standard of 0.5 mg/cm<sup>2</sup> in 2022 which required additional testing after being converted to PACT and before abatement could start. As of August 31, 2024, Williamsburg Houses is reporting 94% of the units have been abated and Harlem River Houses is reporting 82% of the units have been abated. These are the Early Abatement Developments specified in the Agreement and abatement requires building-by-building relocation. Reporting on NYCHA's field monitoring efforts and the PACT partners' progress is included in the Lead Compliance Assurance Plan reports provided to the federal government.

**Objective:** By January 31, 2039, NYCHA will abate all lead-based paint in apartment units that contain lead-based paint, and interior common areas that contain lead-based paint in the same building as those units. NYCHA must also develop an action plan to abate exterior common areas that contain lead-based paint.

- **Progress:** NYCHA continues to develop strategies to meet this objective. Part of the strategy is the NYCHA XRF Initiative, which will test components in each apartment that require abatement, allowing NYCHA to define the scope of work and produce reliable cost estimates associated with abating each of its properties. The Action Plan for the \$2.2 billion in City capital funds provided pursuant to the Agreement, which was approved by the Monitor in May 2021, allocates \$771.8 million to a lead abatement pipeline that, combined with other funding sources, will be utilized to advance abatement across the portfolio. The Action Plan also allocated more than \$450 million to comprehensively renovate two sites with pervasive mold conditions and units with several positive lead-based paint components. Finally, since December 2021 NYCHA also won two competitive grants from HUD – (i) a grant to abate lead-

based paint at Red Hook Houses in the amount of \$5 million, and (ii) a grant to abate lead-based paint in units with a child under six years old in the amount of \$7.25 million.

Abatement is also performed in vacant units upon move-out and abatement of the units and common areas is included in the scope of work for all PACT projects.

In the last year, NYCHA has procured a program management firm that will run point on abating units using the two HUD grants and the \$771.8 million in City Capital funds. The first phase of the program includes the expedited abatement of occupied child under 6 units that test positive at the City's new standard of 0.5 mg/cm<sup>2</sup> – the abatements will also be inclusive of positive components at the federal standard of 1.0 mg/cm<sup>2</sup>. As of September 1, 2024, NYCHA completed lead abatements in 9,459 units. The second phase of the program will focus on re-testing those units that have not already been tested at the City's new standard of 0.5 mg/cm<sup>2</sup>. Also included in the second phase are units that previously tested positive under the federal standard of 1.0 mg/cm<sup>2</sup>; while these units are included because they previously tested positive at the federal standard, all units will be re-tested prior to the abatement to the City's new standard of 0.5 mg/cm<sup>2</sup> to ensure all lead-based paint components that test positive above the City's standard are abated. It is important to recognize that subsequent lead-based testing and abatement is also driven by vacant unit turnover and newly identified child under 6 units and there may be, consequently, units that overlap with the first or second phase. Units that require abatement that are identified during vacant unit turnover will not require relocation.

Separately, through the PACT Program, NYCHA requires the Partners of the converted developments include abatement of units and common areas in the scope of work for all developments built prior to 1978. From January 2019 to August 31, 2024, the PACT Program has converted 16,522 units with 4,747 units expected to need abatement, with 4,698 of those units being tested at the City's new standard. As of August 31, 2024, PACT Partners have reported 3,084 units have been abated of lead-based paint, approximately 65% of the units. Of the 3,084 abated units, 3,035 units were abated at the City's new standard. PACT Partners are also performing abatement in the common areas of the converted developments.

## **Heat**

### **Goal: Improving the Provision of Heat**

**Objective:** NYCHA will comply with N.Y.C. Admin. Code § 27-2029(a), which requires NYCHA to maintain the following temperatures in apartments during the heating season (October 1 through May 31):

- a) between the hours of 6:00 a.m. and 10:00 p.m., a temperature of at least 68 degrees Fahrenheit whenever the outside temperature falls below 55 degrees; and
  - b) between the hours of 10:00 p.m. and 6:00 a.m., a temperature of at least 62 degrees Fahrenheit.
- **Progress:** As required, NYCHA continues to work towards compliance with N.Y.C Admin. Code § 27-2029(a).

**Objective:** By and after October 1, 2024, NYCHA will ensure that, during any given heating season:

- a) no more than 15% of occupied apartments, as measured according to procedures established in an Action Plan which may include a reliable sampling method, will have an occasion in which the temperatures fall below the legal limits, and
- b) the temperature will not fall below the legal limits on more than three separate occasions in a given unit.

- **Progress:** *NYCHA continues to work with the Monitor, HUD, and the Southern District of New York (SDNY) to analyze existing work order data and to develop a reliable sampling method. NYCHA will continue to work on reports that will show (i) which units have recurring complaints of “no heat” and (ii) which units have temperature readings below the legal limit, which have been taken either via sensors or when employees are in a unit responding to a complaint.*

**Objective:** By December 31, 2020, NYCHA will install electronic temperature monitoring to provide the Authority with a comprehensive understanding of heating conditions in 44 developments, which will include electronic temperature monitoring in no fewer than 30% of NYCHA apartments in these developments. A schedule will be established in an Action Plan with deadlines for NYCHA to install such monitoring systems in the remainder of its developments.

- **Goal Completed:** *NYCHA has met and surpassed this goal. 64 developments are currently online with temperature monitoring systems as of April 2024. NYCHA has an additional 8 developments in construction and has procured 4 Energy Service Companies (ESCO) for the next set of EPCs to install temperature sensors and task orders have been issued for 29 additional developments.*

### **Goal: Improved Response to Heating Failure**

**Objective:** By October 1, 2019, NYCHA will establish an Action Plan that identifies, for each development, how NYCHA will respond to heating outages, taking into account resident populations, historical data about prior outages, the availability of on-site and remote maintenance personnel, and response times. The plan will include provisions for alternative heated community spaces for heating outages that are expected to last for a substantial duration. The plan will also address NYCHA’s policies for closing out work orders when the resident is not available at home or otherwise does not provide access to his or her apartment to resolve a heating outage. The plan will be made available to the residents and posted online.

- **Goal Completed:** *The Individual Development Action Plans are accessible to all stakeholders via the following link: <https://my.nycha.info/PublicSite/ActionPlan/HeatActionPlan> .*

**Objective:** Starting with the heating season beginning October 1, 2019, NYCHA will:

- a) Restore heat to units affected by a heating shortage within an average of 12 hours.
- b) During the heating season, for 85% of heating shortfalls, NYCHA will restore heat to affected units within 24 hours, and in no event more than 48 hours. NYCHA may restore heat through the use of temporary replacement heating systems that comply with the N.Y.C. Admin. Code.
- c) In any event in which heat is unable to be restored to a particular unit within 12 hours, appropriate NYCHA personnel distinct from the NYCHA personnel responsible for the heat

restoration, as identified in an Action Plan, will undertake an investigation to determine the root cause(s) of such initial failure of the heating system and the failure to achieve the restoration of service within the timeframe, identify corrections to prevent or lessen the recurrence of such failures, and track the implementation of such corrective actions. Such information will be retained in a central repository to which all applicable maintenance staff and management have access.

- **Progress:** *In the 2023-2024 heat season, NYCHA resolved its heat outages on average in 6.6 hours. 99.6% of outages (511 out of 513) were resolved within 24 hours. NYCHA continues to utilize the Heating Management Services Department (HMSD) 24x7 heat desk operation, where HMSD staff track work orders and use technology to identify triggers for potential service disruptions and quickly deploy staff. Moreover, during extreme cold days, NYCHA activates a "Situation Room" to coordinate the response for interruptions and mobilization of resources in real time, and the constant monitoring of outage data to identify issues proactively. NYCHA also reviews the causes of outages to identify system components that may require extensive repair and or replacement. We then use this data to develop an operational investment strategy where we use operating dollars to make the identified repairs.*

### **Goal: Other Heating Obligations**

**Objective:** In addition to meeting the goals above, NYCHA will perform the following tasks:

- By March 31, 2019, NYCHA will create a 24/7 Heat Desk that will monitor heating metrics and dispatch staff to correct deficiencies during the heating season.
- **Goal Completed:** *NYCHA established a 24/7 Heat Desk on January 14, 2019.*

**Objective:** In addition to meeting the goals above, NYCHA will perform the following tasks:

- NYCHA will replace or address approximately 500 boilers by 2026
  - 297 boilers will be replaced by December 31, 2026 through NYCHA's Capital Plan.
  - **Progress:** *Since the HUD Agreement was signed in 2019, NYCHA has replaced 128 boilers at 35 developments. At another 32 developments, NYCHA has ongoing, active projects to replace another 174 boilers by the end of 2026, totaling 302 boilers. These projects are funded through a range of sources including City capital dollars (including funds allocated pursuant to the City Capital Action Plan), State capital dollars, Sandy Recovery and Resilience funding, and federal capital funds, among others.*
  - *In addition, NYCHA has addressed 263 boilers through the Permanent Affordability Commitment Together (PACT) housing preservation initiatives and 56 additional boilers are in the close out phase.*

### **Mold**

#### **Goal: Decreasing Mold Incidence and Recurrence**

**Objective:** By January 31, 2024:

- a) For 85% of verified mold complaints, there will not be a second verified mold complaint in the same unit or the same common area room or hallway within a 12-month period.

- **Progress:** As of August 2024, 84% of units and common area complaints did not have a second verified complaint.

*To address performance parameters related to reducing mold recurrence and limiting the square footage of new mold complaints, NYCHA continues to train staff in the Mold Busters process for mold inspections, remediation, and related repairs. In June 2024 NYCHA revised its Mold Standard Procedure, which was last updated in February 2020. Notable updates to the Mold Standard Procedure reflect new and already implemented IT enhancements. The updates include but are not limited to enhancing the drop down of mold-related root causes available to NYCHA inspectors when creating remediation and repair work orders for mold, addition of the craft of Maintenance Workers to the list of titles able to perform mold, revising the roles and responsibilities section to incorporate the Neighborhood Model, and updating the selection of approved materials for mold cleaning, encapsulation, and mold resistant paint. NYCHA also launched its Alternative Paint Pilot project to prevent mold recurrences.*

b) No more than 15% of verified mold complaints will be for mold covering 10 or more square feet in a unit or common area that is visible from within such unit or common area, unless NYCHA can document to the satisfaction of the Monitor that after having been advised by NYCHA to report incidences of mold, the resident did not report the incidence of mold until after the mold had reached this extent.

- **Progress:** As of August 2024, 83% of verified mold complaints in units and 73% of mold complaints in common areas covered more than ten square feet.

c) Mold will not appear more than three times in a year in any single unit.

- **Progress:** As of August 2024, there were 60 units where mold appeared more than three times in the past year.

### **Goal: Remediation of Mold and Moisture**

**Objective:** By January 31, 2021:

a) For 95% of instances in which a resident reports a mold complaint that is subsequently verified or NYCHA identifies mold in a unit, consistent with the NYCHA Standard Procedure SP 040:14:1, *Mold/Mildew Control in NYCHA Residential Buildings*, December 19, 2018 (revised February 26, 2020), within five business days of the resident reporting or NYCHA identifying mold in the unit, NYCHA will prepare and provide a written plan for addressing the root cause to the resident. NYCHA may meet this standard by mailing a copy of the written plan to the resident via U.S. or electronic mail within the five-day period.

- **Progress:** For work orders created in August 2024, NYCHA printed the written plan within five business days for 62% of verified mold complaints.

*To improve performance related to this metric, OMAR has launched two major initiatives. OMAR ran the Maintenance Worker mold inspection pilot from September 2021 through May 2022 and kicked off its agency wide launch in September 2022. NYCHA completed its training of at least one Maintenance Worker in mold inspections at each consolidation in July 2023.*

*Since then, because of employee attrition, OMAR encourages consolidations to register additional Maintenance Workers for training to ensure that at least one Maintenance Worker per consolidation is trained in the Mold Busters process.*

*Second, as part of the OMAR Mold Inspection Initiative, OMAR deployed a team of inspectors to assist NYCHA Operations with addressing mold inspection work orders. The priority is given to the consolidations that are part of NYCHA's Enhanced Oversight Program (EOP) which seeks to improve mold and leak compliance at high-risk developments and other NYCHA consolidations with the high mold inspection backlog. As of July 31, 2024, OMAR staff completed 3,116 mold inspections - including initial inspections, mold Quality Assurance inspections, and re-inspections across 65 consolidations.*

b) For 95% of instances in which a resident reports a mold complaint that is subsequently verified or NYCHA identifies mold in a unit, consistent with the NYCHA Standard Procedure SP 040:14:1, *Mold/Mildew Control in NYCHA Residential Buildings*, December 19, 2018 (revised February 26, 2020), within four calendar days of the resident reporting or NYCHA identifying mold in the unit, NYCHA will schedule the initial mold inspection. In addition, NYCHA must remediate mold and its underlying root cause (i) within 7 days, for repairs that can be performed by a Maintenance Worker or Caretaker, or (ii) within 15 days, for repairs that must be performed by skilled trades workers or other specialized staff in one or more visits.

- **Progress:** *For work orders created in August 2024, 18% of simple mold repairs were completed within seven days, and 10% of complex repairs were completed within 15 days.*

*To improve performance related to this metric, NYCHA introduced its Work Order Verification Project (mentioned above) in 2024, and the numerous targeted initiatives in 2022 – 2023 as per below:*

***The Mold and Leak Performance Scorecard and Dashboard*** was launched in May 2022 in partnership with the Baez Independent Data Analyst. The Scorecard is a tracking tool that ranks each NYCHA consolidation on its mold and leak work order performance. The Scorecard tracks 11 key mold and leak metrics correlating to the compliance requirements outlined in the Baez and HUD agreements. The Scorecard builds visibility across multiple NYCHA owners and stakeholders, allows NYCHA to pinpoint where consolidations are trending negatively, and deploy targeted initiatives to improve the compliance – e.g. the Enhanced Oversight Program, deploying specialized in-house crash teams to address mold inspections, mold cleaning and vent cleaning, vendor mobilization to address open Tub Enclosure work orders, and in-person outreach events to increase awareness of OMAR's Mold Response Unit (MRU) and Ombudsperson Call Center (OCC).

***The Enhanced Oversight Program (EOP)*** was launched in June 2022 and is ongoing. The EOP was designed to help identify and remove roadblocks to mold and leak compliance, and, where necessary, direct additional resources to ensure that mold and leak work orders are dealt with as quickly as possible.

***Operation Mold Clean Up (OMC)*** was launched in May 2022 and is ongoing. OMC is an effort by NYCHA Operations to prioritize and reduce the backlog of open Caretaker X and Painter mold

removal work orders and outstanding mold resistant paint work orders. NYCHA began Phase 5 of this initiative in January 2024. By July 31, 2024, NYCHA completed 92% (or 28,566) out of 31,113 work orders were closed as part of this initiative.

**The Mold Cleaning Initiative (MCI)** was launched in May 2022 and is ongoing. To execute MCI, OMAR deployed a group of seasonal employees to assist NYCHA development staff in addressing their mold cleaning backlog. This program includes mold cleaning work orders assigned to Caretaker X staff and Painters. By July 31, 2024, OMAR staff visited 63 consolidations and addressed 4,565 mold cleaning work orders.

In addition, in January 2023, OMAR formed an in-house team to assist NYCHA Operations with addressing mold-resistant (MR) paint work orders. By March 15, 2024, OMAR painters resolved 1,068 mold-resistant paint work orders across 37 consolidations. This MR Paint initiative is currently ongoing.

The **Building Line Initiative (BLI)** was launched in June 2022 at Red Hook East and was completed on January 18, 2023. The BLI is a comprehensive plumbing and renovation project that aims to address mold and leaks by targeting their underlying root cause(s) and developing a full scope of work for the affected apartment line to complete the necessary repairs. As part of BLI, NYCHA worked to finish plumbing renovations impacting six apartments and relocated residents back to their units. NYCHA completed an analysis to determine the impact of the BLI project on the building line of Red Hook East and found that as of June 2024, in the one and a half period after the BLI project's completion, no new leak from above work orders were created in the renovated line, demonstrating the success of the BLI intervention. In October 2022, NYCHA launched its second BLI at Tompkins Houses and more recently, a third BLI at Red Hook East (124 Bush St.) in July of 2024. The BLI at Tompkins impacts 15 apartments and includes mold remediation, kitchen renovations, bathroom renovations (as needed), plumbing upgrades, and electrical upgrades. NYCHA relocated residents from the impacted line to temporary relocation units from May 2023 through September 2023 and construction began in November 2023. NYCHA anticipates that the Tompkins BLI will be completed by March 2025. The BLI at Red Hook East impacts 6 apartments for the building's B line and bathrooms only for the 6 units in the building's A line. It is similar in scope to the BLI at Tompkins.

**Operation Dry Out** was launched by NYCHA in April 2023. Its purpose is to address the backlog of open tub enclosure and plumbing work orders for mold and leak repairs. The authority-wide effort tackles select plumbing and tub enclosure work orders that are 250+ days old. NYCHA has identified 7,762 plumbing and 5,009 tub enclosure work orders for this initiative that will likely cause damage to building materials, penetrate multiple units, or lead to mold growth. NYCHA plans to complete Operation Dry Out by December 2024 by utilizing the following strategies: work order pre-screening and verification, mobilization of local skilled trades team through blitzes and strategic overtime, and tub enclosure contracts (vendor) to assist consolidations with the highest need. As of July 31, 2024, NYCHA completed 6,349 (82%) of the plumbing work orders and 3,617 (72%) of the tub enclosure work orders identified.

- c) For 95% of reports to NYCHA of floods, leaks from above, and other conditions that cause sustained or recurrent moisture to flow into a resident's unit or the walls of the unit, NYCHA will abate the condition within 24 hours of a report of the condition to NYCHA, and NYCHA will remove any standing water that resulted from such condition within 48 hours of the report.



- **Progress:** As of August 2024 (cumulative), 68% of leaks and flooding conditions were abated within 24 hours.
- *As part of the Leak Standard Procedure Pilot 2.0, NYCHA has introduced two mandatory inspection questions to leak work orders to better evaluate and report conditions in the unit: (1) “Is there flooding condition?” and (2) “Is there standing water”. The pilot program took place from December 2021 – June 2023 at Brevoort, Low Houses, Roosevelt I and II Houses. Following completion of the pilot, NYCHA engaged the Independent Mold Analyst (IMA), Independent Data Analyst (IDA) and HUD Monitor to evaluate the performance of the pilot and provide recommendations for the subsequent rollout. The pilot introduced a standardized comprehensive leak inspection and repair process to help NYCHA trace the source of the leaks, investigate, and document the root cause(s) of conditions, and ensure that the repair work is created for all impacted units. NYCHA estimates to begin rolling out Leak Standard Procedure by April or May 2025.*

**Objective:** By the end of 2019, train 2,900 staff to improve mold inspections and to investigate building-level systems that contribute to mold.

**Goal Completed:** *NYCHA’s Mold Busters training includes customized hands-on training to ensure NYCHA staff have the tools for the effective and efficient identification and remediation of mold’s root causes. Continuous training of existing and future staff in the Mold Busters process ensures knowledge continuity so that NYCHA continues to address mold’s root causes during remediation efforts.*

*NYCHA closed the initial Mold Busters training program in December 2019 after training 2,870 (99%) of the 2,900 staff. Mold Busters training was put on hold due to COVID-19, until the vendor, Environmental Education Associates (EAA), could implement partially virtual training. Due to attrition rates and other hiring at the Authority, the Mold Busters training was restarted in 2020 for newly hired staff. The training resumed in September 2020, and as of April 22, 2024, 8,109 (91.7%) of 8,846 additional employees have received one or more of the following trainings:*

*Mold: Inspection course*

- *Mold: Building Science - Inspector course*
- *Mold: Building Science - Maintenance Workers course*
- *Mold: Remediation Methods course*
- *Mold Refresher Training course*

*The Mold Busters training is given to all new eligible NYCHA staff (newly hired or promoted) as well as anyone flagged by NYCHA’s Compliance Department to be retrained. The training represents a mix of online and in-person learning. NYCHA also offers Mold Busters Refresher training. This training is for staff for whom two years have passed since their initial training. Refresher training consists of four-hour virtual inspection course and four-hour in-person remediation course.*

## Mold Busters Training Overview

Mold Courses	Employees Trained 9/2020 to 4/22/2024	Employees Pending Training as of 4/22/2024
Remediation Methods	1,534	112
Inspection	696	42
Building Science – Inspector	685	43
Building Science - Maintenance Workers	694	79
Mold Refresher Inspector	664	57
Mold Remediation Refresher	3,836	404
<b>Total</b>	<b>8,109</b>	<b>737</b>

*This table includes employees who were required to take the Mold Busters training and others who were not required to take the training.*

**Objective:** Improve communication and notification to tenants regarding mold starting in 2019.

- **Progress:** NYCHA launched and completed its Mold Busters Resident informational campaign in 2021 and completed its roll-out of the Ombudsperson Call Center (OCC) in 2020. Both these initiatives were aimed at improving communication and notification to tenants regarding mold issues.

*The Mold Busters Resident informational campaign included the development of targeted outreach and collateral materials including short videos and window clings that were shared with locations without mechanical ventilation. Since the OCC's roll-out, NYCHA's MRU has supported the OCC by using various engagement platforms to raise awareness of the OCC to NYCHA residents and by facilitating the resolution of resident mold and leak complaints made to the OCC. MRU manages everything from simple missed appointment complaints to complex complaints requiring substantial repair activity, multiple appointments, or relocation. MRU proactively works to ensure that all OCC complaints are resolved to the resident's satisfaction.*

*As part of MRU's outreach efforts to bring awareness of the OCC and MRU to residents, MRU has attended NYCHA Resident Association's Family Days this year and plans to attend more Family Days.*

### Heat

**Objective:** By December 31, 2020, NYCHA will install electronic temperature monitoring to provide the Authority with a comprehensive understanding of heating conditions in 44 developments, which will include electronic temperature monitoring in no fewer than 30% of NYCHA apartments in these developments. A schedule will be established in an Action Plan with deadlines for NYCHA to install such monitoring systems in the remainder of its developments.

- **Goal Completed:** NYCHA has met and surpassed this goal. 64 developments are currently online with temperature monitoring systems as of April 2024. NYCHA has an additional 8

developments in construction, and has procured 4 Energy Service Companies (ESCO) for the next set of EPCs to install temperature sensors and task orders have been issued for 29 additional developments.

**Objective:** In addition to meeting the goals above, NYCHA will perform the following tasks:

- NYCHA will replace or address approximately 500 boilers by 2026
  - 297 boilers will be replaced by December 31, 2026 through NYCHA's Capital Plan.
- **Progress:** *Since the HUD Agreement was signed in 2019, NYCHA has replaced 128 boilers at 35 developments. At another 32 developments, NYCHA has ongoing, active projects to replace another 174 boilers by the end of 2026, totaling 302 boilers. These projects are funded through a range of sources including City capital dollars (including funds allocated pursuant to the City Capital Action Plan), State capital dollars, Sandy Recovery and Resilience funding, and federal capital funds, among others.*
- *In addition, NYCHA has addressed 263 boilers through the Permanent Affordability Commitment Together (PACT) housing preservation initiatives.*

**Objective:** Continue and accelerate roof repairs:

- a) By 2019, replace 96 additional roofs, benefitting 24,000 residents.
- **Goal Completed:** *As of April 2024, 576 roofs have been replaced. 29 additional roof replacements will be completed by December 31, 2024.*

## **Elevators**

### **Goal: Improving Elevator Service**

**Objective:** By January 31, 2022:

- a) 70% of buildings containing more than one elevator will have no more than one instance per year where all elevators are out of service (whether planned or unplanned) at the same time, and no such building will have more than three instances in a year.
- b) 70% of elevators in all buildings will have an unplanned outage no more than eight times per year, and no elevator will have unplanned outages more than 15 times a year.
- **Progress:** *NYCHA has substantially completed the Elevator HUD Agreement dashboard which is undergoing additional enhancements for more accurate reporting. As of September 2024, 40% of elevator banks with more than one elevator had one or less instances where all elevators were out of service, and 29% of elevator banks with more than one elevator had more than three instances where all the elevators were out of service.*
- *NYCHA's Elevator Services and Repair Department (ESRD) continues to invest in equipment to enhance elevator service and to prevent outages from outside sources. Below are some of the activities:*
  - *Installing air conditioners in the motor room to reduce over heating equipment. As of September 2024, 2,229 air conditioner have been installed and operational.*

- *Upgrading to Master Operator Variable Frequency (MOVFR) waterproof door operators to help prevent weather related outages. As of September 2024, 265 Water Resistant Operators have been installed.*
- *ESRD is in the process of installing Electric Voltage Regulators (EVR) to increase voltage at select developments during summer months. This equipment will help prevent no service conditions in senior buildings as when the local utility company lowers voltage.*
- *As of September 2024, 12 EVR units have been installed, 10 are complete and 2 are pending Department of Buildings (DOB) inspection. In addition, the Elevator Department has asked NYCHA's Capital Projects to include EVRs on all future modernizations.*

### **Goal: Improved Response to Disruptions in Service**

**Objective:** For the first year after January 31, 2019, NYCHA will reduce the duration of service outages by 10%, and 75% of no-service conditions will be resolved within 18 hours of the time NYCHA learns of them. The response rate will improve each year thereafter as determined by the Monitor and HUD based on the data NYCHA provides to the Monitor and HUD and the steps NYCHA has taken in executing its Action Plans.

- **Progress:** *For the period of August 2023 to August 2024, NYCHA reduced the duration of outages by 27.6% from 8.92 hours to 6.46 hours.*

*In addition to hiring additional staff to reduce the duration of service outages, ESRD is exploring Electric Voltage Regulators (EVR) to increase voltage at select developments during summer months. This equipment will help prevent no service conditions in senior buildings as when the local utility company lowers voltage. As of September 2024, 12 EVR units have been installed, 10 are complete and 2 are pending Department of Buildings (DOB) inspection. In addition, the Elevator Department has asked NYCHA's Capital Projects to include EVRs on all future modernizations. ESRD is also exploring shift changes as we fill all the vacancies to reduce response times and allow more preventive maintenance after normal business hours.*

### **Goal: Improved Outage Identification and Notice**

**Objective:** By July 31, 2019, NYCHA will institute and maintain a system that identifies every elevator outage and the start and end times of such outages identified by remote monitoring systems, work-order records, or any additional sources of outage information, and make that system accessible and available to all NYCHA personnel responsible for elevators and to other relevant personnel, including all development managers and the General Manager.

- **Goal Completed:** *NYCHA met this obligation on a timely basis using its Maximo asset management software and a set of data reporting tools that have been built over time.*

**Objective:** By July 31, 2019, NYCHA will establish a system to provide residents of buildings affected by a planned outage 24-hours advance notice, and to provide residents of buildings affected by an unplanned outage notice within two hours of NYCHA learning of the outage. Such notice will include instructions regarding what assistance NYCHA has available for individuals with mobility impairments during the outage. Notice will occur by robocall and via postings within the affected building and on NYCHA's website.

- **Goal Completed:** NYCHA has enhanced its communications with residents regarding elevator outages. Residents affected by an unplanned outage are notified within two hours of the time NYCHA learns of the outage. Robocalls are made to affected residents the morning of the planned outage and again once service is restored.

### **Goal: Other Obligations to Improve Elevator Service**

**Objective:** NYCHA will replace or address at least 425 elevators by 2024:

1. 275 elevators will be replaced by December 31, 2024, through NYCHA's capital plan.
  2. NYCHA will transfer 150 additional elevators to third-party management through the PACT Section 8 conversion program by December 31, 2024. The developer selected through PACT will replace elevators as needed in buildings under its purview.
- **Progress:** Since the HUD Agreement was signed in 2019, construction has been completed at 139 elevators across 18 developments. An additional 170 elevators are being addressed through projects which are in the construction phase, with a total of 175 elevators forecasted to complete construction by end of 2024 and the remainder in the first half of 2025. More than 150 elevators have already been transferred to third-party management through the PACT Section 8 conversion program.

### **Pests**

#### **Goal: Pest Population Reduction**

**Objective:** By January 31, 2022, NYCHA will achieve a 50% reduction of its rat population across its portfolio, a 40% reduction in its mice population across its portfolio, and a 40% reduction in its roach population across its portfolio. By that time, NYCHA will also achieve a percentage reduction in its bedbug population across its portfolio, to be determined by the Monitor.

- **Progress:** This project to measure the pest population was delayed by the impact of the COVID-19 pandemic on NYCHA operations and the ongoing discussion of different options to complete it. However, in 2023, NYCHA and the Monitor began to implement an alternate method to measure the level of pest infestation that would combine the inspections of units where resident complaints were generated and units that had not put in a complaint in the last 12 months. A total of 912 inspections started in June 2023 and were completed in June 2024. The monitor is currently reviewing this data. Another 12-month cycle of 912 inspections started on August 15, 2024, with 33 completed to date

#### **Goal: Improved Response to Resident Complaints**

**Objective:** By January 31, 2021, NYCHA will:

- a) Respond to 75% of all rat complaints within two business days, and to all rat complaints within five days;
- **Progress:** As of August 2024, 87.6% of rat complaints were responded to within two business days and 90.4% within 5 calendar days.

b) Respond to 75% of all other pest complaints within seven days, and to all other pest complaints within 10 days;

- **Progress:** *As of August 2024, 69.8% of mice, roach and bedbug pest complaints were responded to within seven days and 89.3% were responded to within 10 calendar days.*

c) Apply effective pest control methods (in compliance with applicable law, including the Federal Insecticide, Fungicide, and Rodenticide Act, 7 U.S.C. § 136 *et seq.*), to address any verified complaints within seven days; and

- **Progress:** *NYCHA developed a dashboard to track progress toward the above metrics. This dashboard was substantially completed in April 2021. As of August 2024, 93.6% of work orders were done within 7 days from the time an exterminator confirms the infestation to treatment. NYCHA exterminators also have begun plugging holes, using HEPA vacuums to vacuum up roach residuals, sealing cracks and educating residents with fliers about how to keep their homes pest free. By adding these additional duties, exterminators will get to the root cause of an infestation and address that, which will reduce repeat infestations in the future.*

- d) Provide expedited response and application of pest control methods in cases where NYCHA is aware that a resident of the unit has asthma or another condition generally recognized as being caused or exacerbated by exposure to pest infestations (for example, other respiratory illness, immune deficiency/suppression, and/or effects of certain medical treatments). In an Action Plan, NYCHA and the Monitor will establish a procedure for informing residents of a process through which residents may notify NYCHA if anyone residing in a unit has such a health condition.

- **Goal Completed:** *In August 2020, NYCHA launched an enhancement to expedite pest appointments for households that are “pest sensitive” as evidenced by a member of that household having asthma, or another health condition generally recognized as being caused or exacerbated by exposure to pest infestations.*

- *In March 2024, NYCHA responded to 84% of overall rat complaints within 2 business days compared to 100% of complaints in pest sensitive households. For other pests which include bedbugs, mice, and roaches NYCHA responded to 59% of overall complaints within 7 calendar days compared to 72% of complaints in pest sensitive households.*

### **Goal: Targeted Relief for Infestations**

**Objective:** By July 31, 2019, NYCHA will, for any unit that has more than one pest infestation complaint verified by NYCHA staff within 12 months, (a) cause a professional using integrated pest management (IPM) techniques to evaluate the unit and its immediately adjacent units and common areas within 30 days to identify any circumstances specific to that unit that may have contributed to such recurrence (including, but not limited to, unaddressed leaks, proximately located trash, or holes in

walls), and (b) address, consistent with IPM principles, any such circumstances within the following 30 days.

- **Goal Completed:** *This program was completed in December 2020. In 2021, NYCHA implemented a protocol that if an exterminator treated a high roach infestation, a medium or high mouse infestation or any level of rat or bedbug infestation work orders would be created for apartments above, below, and side to side, to schedule, inspect and treat if needed.*

#### **Goal: Implementation of IPM Practices**

**Objective:** By January 31, 2020, NYCHA will incorporate industry-standard IPM practices, including Northeastern IPM Center (NortheastIPM.org and StopPests.org), Integrated Pest Management – A Guide for Affordable Housing, using the current edition at the time of the pest complaint, as developed under an interagency agreement between HUD and the U.S. Department of Agriculture for their Delivery of IPM Training to PHAs project; and current editions of other professional IPM resources as the Monitor may approve, into building operations in all NYCHA properties.

- **Progress:** *Additional changes were made to our standard procedure in the summer of 2024. This adds to changes made in 2021 and 2022. These changes focus on bedbugs, move outs and refining procedures as the department grows.*

**Objective:** By January 31, 2020, NYCHA will send staff appropriately trained on IPM to respond to any pest complaint.

- **Goal Completed:** *Integrated Pest Management (IPM) training is ongoing.*

#### **Goal: Daily Inspections and Trash Collection**

**Objective:** By July 31, 2019, NYCHA will, no less than once every 24 hours, inspect the grounds and common areas of each building for cleaning and maintenance needs, including pests and trash, and correct such conditions. In particular, NYCHA will ensure that trash on the grounds or common areas of each NYCHA building is collected and either removed from the premises or stored in a manner that prevents access by pests at least once every 24 hours.

**Goal Completed:** *NYCHA policy mandates that waste, including trash and litter found through inspection, be collected by Caretakers at least once per day or every 24-hours.*

*NYCHA has achieved compliance according to the federal Monitor's reporting where they confirmed that sufficient gathering, storage, and collection of waste is being performed at all NYCHA developments in their March 2023 and in subsequent reports including their closing update in 2024.*

- *NYCHA is using City Capital Action Plan (CCAP) funds to replace most interior and exterior compactors that are past their useful life. These funds provide for the replacement and room restoration of 1,600 interior compactors and the redesign of approximately 130 to 150 waste yards. These changes will provide significant improvements in equipment and working conditions for NYCHA staff to handle waste and recyclables.*

- *As of October 2024, 376 interior compactor rooms have been fully renovated, with new interior compactor units and other improvements. 227 additional compactor rooms are about to move into construction and an additional 286 compactor rooms are in procurement, design or planning.*
- *As of October 2024, 2 waste yards with exterior auger compactors and other equipment are completed, 5 are completing construction, an additional 15 are in procurement, 4 are in design, and 50 are moving to design.*

### **Goal: Other Pest Obligations**

**Objective:** In addition to meeting the above, and except where otherwise provided in an approved Action Plan, NYCHA will perform the following tasks:

a) NYCHA will install 8,000 door sweeps on basement doors with gaps by March 31, 2020.

- **Goal Completed:** *In February 2022, NYCHA reached its goal of 8,000 door sweeps.*

b) NYCHA will install 50 rat slabs by December 31, 2020.

- **Progress:** *37 rat slabs have been installed as of April 2024. The delay in completing the remaining 13 slabs at 3 sites is due to unforeseen conditions such as structural issues, the amount and cost of soil remediation needed being substantially more than initially anticipated, which has led to the need for additional contracts to be put in place, as well as other factors. The rat slabs are now expected to be completed by the end of 2025.*

c) NYCHA will dedicate at least 20 full-time exterminator staff to conduct ongoing, comprehensive, preventative maintenance treatments in public spaces for developments within the Rat Mitigation Zone (RMZ).

- **Goal Completed:** *As of March 2023, Pest Control has 22 exterminators to focus on rats on grounds and basements in the RMZ.*

d) NYCHA will install exterior bulk crushers or retrofit exterior compactors with auger bulk crushers at 10 developments by December 31, 2022.

- **Goal Completed:** *NYCHA has completed the installation of bulk crushers at ten developments. The developments are Baruch, Bushwick, Butler, Coney Island (Sites 4 & 5), Linden, Smith, Wald, Washington, Williams Plaza, and Woodson.*

### **Annual Inspections**

#### **Goal: Conduct Annual Inspections**

**Objective:** NYCHA will conduct 100% of annual apartment inspections of developments.

- **Progress:** *In 2017, NYCHA established an annual inspection program pursuant to which Maintenance Workers inspect approximately fifty percent (50%) of all units at each development, each year. Since 2018, NYCHA has alternated each year between inspecting units on (i) even numbered floors, and (ii) odd numbered floors at each development to ensure that*



*each unit is inspected at least once during a two-year cycle. By the conclusion of the 2022 inspection cycle, NYCHA completed approximately 94% of inspections in units on even numbered floors. For the 2023 inspection cycle, through September 13, 2023, NYCHA has completed approximately 85 % of inspections in units on odd numbered floors. In 2024, NYCHA will conduct annual inspections for 100% of its apartments. As of September 17, 2024, NYCHA has completed approximately 74% of apartment inspections.*

**Objective:** By May 1, 2019, and thereafter, annual inspections will include having the person conducting the inspection perform any minor repairs during the inspection.

**Progress:** *NYCHA's Maintenance Workers closed thousands of repair work orders during annual inspections, as shown by records in Maximo, NYCHA's asset management software. NYCHA also codified this practice, including a list of minor repairs required to be conducted during an annual inspection, in its Standard Procedure for Periodic Apartment Inspections.*

*During calendar year 2024, NYCHA's Maintenance Workers continue to conduct apartment inspections and perform minor repairs in the unit while conducting the inspection. For the 2024 inspection cycle, as of September 17, 2024, 29,286 apartment inspections had minor repairs performed.*

**Objective:** By June 28, 2019, NYCHA will submit an Action Plan to the Monitor for complying with the requirement to conduct annual inspections and perform minor repairs during such inspections. The Action Plan will include procedures for (i) on-site completion of minor repairs during inspections, and (ii) the scheduling of other inspection-identified maintenance deficiencies for subsequent repair.

- **Progress:** The Monitor transmitted an Action Plan for annual inspections, which is fully accessible to all stakeholders via the following link:

[https://www1.nyc.gov/assets/nycha/downloads/pdf/Annual\\_Inspection\\_Action\\_Plan\\_Final\\_12.9.2020.pdf](https://www1.nyc.gov/assets/nycha/downloads/pdf/Annual_Inspection_Action_Plan_Final_12.9.2020.pdf).

*NYCHA submitted amended drafts of the Action Plans for PHAS and Annual Apartment Inspections to the Monitor in May 2024 to reflect NSPIRE requirements and other updates. As of July 2024, NYCHA was making revisions to the drafts based on the Monitor's review.*

### **Organizational and Operational Initiatives**

#### **Goal: Organizational Changes and Improvements**

**Objective:** Establish three new departments to improve compliance, accountability, and environmental health and safety:

- a) Compliance Department
- b) Environmental Health & Safety
- c) Quality Assurance

- **Goal Completed:** *NYCHA appointed a Chief Compliance Officer, an Environmental Health and Safety Officer, and a Quality Assurance Officer in 2019 and 2020. The leadership has developed procedures, hired personnel, and set priorities to carry out the responsibilities required by the Agreement.*

**Objective:** The Compliance Department will develop a framework to ensure that all workers will have the necessary certifications to perform their duties.

- **Progress:** In coordination with NYCHA's Learning & Development Department, the Compliance Department continues to monitor and advise Departments on certification requirements, particularly for lead-based paint and HUD inspections.

*With respect to lead, which is a requirement of NYCHA's agreement with HUD, as of September 16, 2024, 5,944 employees had completed the Initial Renovator RRP Training (8 hours). NYCHA has also rolled out a 3-hour RRP refresher training. As of September 16, 2024, 1,084 employees have completed the 3-Hour RRP refresher training and 1,166 employees have completed the virtual Lead Disclosure Rule Training.*

*As mandated by the HUD Agreement, the Compliance Department managed the PHAS UPCS Deceptive Practices training which commenced in October 2019. The training includes scheduling, attendance, test scores, and distribution of plaques and is continuously being tracked by the Compliance Department. Phase 1 Training was focused on Maintenance Workers and Senior Operations staff. 98% of the staff requiring Phase 1 Training had received this training by December 31, 2020.*

*Any staff member who was not trained in this cohort was folded into the next cohort, which started in 2022. As of March 31, 2023, 2,494 employees had taken 3-day training under the new cohort. Phase 2 Training for approximately 3,000 other employees (primarily Caretakers) started in February 2021. As of March 31, 2023, a total number of 3,149 Caretakers were required to take the training. As of March 31, 2023, 2,703 or 85.83% of all Caretakers had completed the training.*

*Please note that in April 2023, HUD replaced the Uniform Physical Conditions Standards (UPCS) with the National Standards for the Physical Inspection of Real Estate (NSPIRE). As a result, all PHAS UPCS Deceptive Practices Training was suspended in April 2023, replaced by the newly designed NSPIRE Readiness Training which was launched in October 2023. NSPIRE Readiness Training focuses on Property Management staff involved in any aspect of performing physical inspections, maintenance and/or repairs at a NYCHA development.*

*NSPIRE Readiness Training has two components: a 2-day classroom training conducted at NYCHA's Training Center, and a half-day site-based training conducted in a NYCHA apartment. As of September 18, 2024, 1,599 employees have completed classroom training and 789 employees have completed site-based training.*

## **Goal: NYCHA-STAT**

**Objective:** Continue holding NYCHA-STAT meetings, a centralized review of key performance indicators and development/department-level management.

- **Goal Completed:** NYCHA Statistics, Trends, and Tracking (STAT) meetings are a priority of NYCHA's Transformation Plan. In 2023, NYCHA pivoted to hybrid in-person / virtual meetings, after being fully remote during the COVID-19 pandemic. Eleven meetings were held in 2022, nine were held in 2023, and ten are scheduled for 2024 (with four held as of May 2024). Over 100 NYCHA staff attend the monthly meetings.

## **Section B: NYCHA 2.0 Real Estate Development Activities**

### **Goal: Permanent Affordability Commitment Together (PACT)**

**Objective:** NYCHA’s 2023 Physical Needs Assessment (PNA) estimates 20-year physical needs of \$78.3 billion, which is a 73 percent increase from the 2017 PNA’s total estimated needs of \$45.3 billion. As part of its Permanent Affordability Commitment Together (PACT) initiative, NYCHA will address overdue repairs in 62,000 apartments – a third of its units and home to approximately 140,000 New Yorkers.

**Progress:** *Approximately \$1.6 billion in renovations have been completed at more than 8,800 apartments. An additional 13,000 apartments are under construction, totaling \$4.3 billion in major upgrades. An additional 15,000 apartments are part of active development projects in the process of resident engagement or pre-development. In sum, NYCHA has over 37,800 apartments completed, in construction, or in a stage of resident engagement or pre-development.*

#### **Commitments to Build New 100% Affordable Housing**

*New York City is confronting an affordable housing crisis, and New Yorkers have called for more affordable housing. NYCHA has pledged to provide underused land (such as parking lots and storage spaces) for the creation of new, affordable apartments for both families and seniors – more than 3,000 of which are already in the pipeline.*

*Since 2015, NYCHA has closed on 18 transactions located in the Bronx, Brooklyn, Manhattan, and Queens. To date, 2,623 affordable apartments have been built or are under construction. An additional 72 co-op homeownership units began construction at the end of 2020 and will welcome home first-time home buyers in 2024.*

#### **Sale of development Air Rights**

*NYCHA continues to tap into its extensive unused development rights, known as “air rights,” in order to raise revenue for the Authority. By transferring a portion of the Authority’s approximately 80 million square feet of air rights, NYCHA expects to generate funding for capital repairs for adjacent apartments. The sale of unused transferable development rights to owners of privately owned sites has already generated \$55 million in revenue to pay for capital repairs at NYCHA developments. In 2020, NYCHA completed two air rights transfers, one at Ingersoll Houses in Brooklyn for nearly \$25 million and another at Hobbs Court in Manhattan for \$2.6 million. In 2022, NYCHA completed a sale at Manhattanville Houses for \$28 million. In 2024, NYCHA completed another sale at Campos Plaza for \$19 million, for a total of \$74 million in proceeds for capital repairs for the adjacent developments.*

## **Section D: Section 3 Compliance**

**Objective:** Improve Section 3 compliance tracking for the new HUD Section 3 rule.

- **Progress:** *In April 2022, NYCHA began implementation of a new, industry standard software to improve Section 3 compliance tracking. The initial, phased rollout targets selected contractors. Additional functionality to capture all Section 3 activity will be added and*

introduced to all NYCHA contractors in 2024. All current contractors and proposing vendors are being trained on the use of the new system. On April 21, 2022, an interim memo was issued to NYCHA staff detailing how staff and contractors should use existing forms to report out on Section 3 benchmarks. The revised Section 3 Standard Procedure is expected to be finalized in 2024. In August and September 2022, NYCHA conducted training for staff on the new rule, forms, and procedures. In March 2023, this training was added as a course to NYCHA's Learning & Development platform and became mandatory, annually for all relevant staff. Annually, NYCHA conducts outreach to contractors with active contracts subject to the new Section 3 rule to remind them of their responsibility to report labor hours and other Section 3 activity to NYCHA. In 2024, NYCHA is holding recurring meetings with internal stakeholders to discuss Section 3 compliance and initiatives to improve the process.

### **Section E: Additional Goals**

#### **Goal: Promote Equal Opportunity in Housing**

- **Progress:** NYCHA reaffirms its commitment to affirmatively further fair housing through its sustained relationships with residents, applicants, advocates, and organizations that assist people with employment and fair housing issues.

NYCHA's Management Services Department (MSD) and Services for People with Disabilities Unit (SPD), assists applicants and residents with disabilities in obtaining decent, affordable, and accessible housing in NYCHA developments. SPD serves as a liaison between the disabled community and NYCHA. In 2023, SPD responded to, or assisted with 80 reasonable accommodation matters from residents, applicants, Section 8 voucher holders, and/or their respective advocates. In 2023, SPD handled approximately 1,779 matters involving a range of services, including assistance with documentation, transfer requests, modifications and change of priority status SPD provided information to a variety of organizations, including Housing Works, Adapt Community Network, The Bronx Defenders, New York City Mayor's Office for People with Disabilities, and many others. Applicants, residents, and others in need of assistance with disability issues may call SPD's hotline at (212) 306-4652 or TTY at (212) 306-4845.

- NYCHA is committed to providing equal housing opportunities for all qualified residents and applicants. NYCHA strictly prohibits discrimination in the selection of residents or participation in any programs, services, or activities implemented by or on behalf of NYCHA. All residents and applicants are protected from discrimination based on the following categories, with two new categories added in November 2023 (height and weight):
  - Race
  - Color
  - National Origin
  - Religion or Creed
  - Sex (including Gender Identity and Sexual Orientation)
  - Familial Status
  - Disability

- Age
  - Immigration Status
  - Lawful Source of Income
  - Military Service
  - Pregnancy
  - Status as Victim of Domestic Violence, Sexual Harassment, Sexual Violence, or Stalking Marital or Partnership Status
  - Presence of Children
  - Lawful Occupation
  - Height
  - Weight
- *Qualified residents, applicants, and Section 8 voucher holders may file housing discrimination or sexual harassment complaints against NYCHA employees or anyone working on behalf of NYCHA if they believe they have been discriminated or harassed related to any protected category listed above. NYCHA’s Office of Diversity, Equity and Inclusion (“ODEI”) will investigate the allegations and determine appropriate recommendations or other remedial measures.*
  - *ODEI conducts internal investigations of complaints of fair housing discrimination and sexual harassment by public housing residents and applicants for public housing.*
    - *In 2021, under former leadership, the Department of Equal Opportunity (“DEO”) (the former name of ODEI) investigated 20 fair housing complaints.*
    - *In 2022, DEO reviewed 31 new fair housing matters and 11 had basis for investigation.*
    - *In 2023, with new leadership, ODEI developed enhanced intake and complaint processes. 17 fair housing complaints were opened and investigated.*

Complaint Basis	2023
Sexual Harassment	12
Multi-Basis	4
Sexual Orientation	1
Total	17

*The NYCHA Fair Housing Non-Discrimination Policy Statement is available on NYCHA’s website at <https://www1.nyc.gov/site/nycha/about/policies-procedures.page> . ODEI regularly reviews and may provide updates to NYCHA’s non-discrimination policies, including the NYCHA Fair Housing Policy; Equal Employment Non-Discrimination Policy; and Sexual Harassment Prevention Policy.*

*NYCHA’s policy is to take reasonable steps to ensure Limited English Proficient (LEP) persons may effectively participate in, and benefit from, NYCHA programs and services. The policy is in accordance with the U.S. Department of Housing and Urban Development’s notice entitled “Final Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition*

*Against National Origin Discrimination Affecting Limited English Proficient Persons.” This final notice was published in the Federal Register at 72 FR 2732 (January 22, 2007).*

*NYCHA’s Language Assistance Services Standard Procedure, updated most-recently on February 7, 2019, provides procedures and staff responsibilities to ensure NYCHA’s language access policy is achieved. Specifically, the standard procedure addresses, among other things: procedures for identifying LEP individuals with the assistance of language identification cards; staff procedures and supervisor responsibilities for obtaining translation or interpretation services for LEP individuals; the posting of notices in public areas within Development Management Offices, Borough Offices and waiting rooms informing LEP individuals of no-cost language access services; training requirements to ensure awareness of and compliance with NYCHA’s language access procedures; language access reporting and oversight responsibilities; and a requirement that NYCHA’s language access policies be reviewed every three years, or as needed.*

### **Access Resources**

*NYCHA’s Language Access Coordinator and the Language Services Unit (LSU) oversees NYCHA’s language access policies.*

*The LSU team is currently staffed by six full-time interpreters including two Spanish language interpreters, two Chinese language interpreters fluent in both Mandarin and Cantonese, and two Russian language interpreters. In addition to providing translation and interpretation services, the LSU serves as a resource for staff in understanding and applying NYCHA’s language access procedures, coordinates requests from NYCHA departments for written translation, oral and American Sign Language interpretation services, manages vendors providing language services and tracks language access metrics.*

*NYCHA relies on staff and vendors to provide language services including telephonic, remote meeting and in-person interpretation as well as paper, webpage or other electronic document translations. In providing these services, NYCHA staff serve in different functions. First, bilingual staff may directly serve LEP individuals they or their departmental colleague’s encounter. Second, departments that frequently encounter LEP individuals, including the Customer Contact Center, Department of Equal Opportunity, Office of Impartial Hearings, Operations, Public Housing Property Management, Leased Housing’s Section 8 program, and Resident Economic Empowerment & Sustainability, Resident Services Partnerships & Initiatives/Resident Partnership & Civic Engagement assign a staff member to serve as the department language liaison. Liaisons serve as language access ambassadors for their department as well as identify vital documents requiring translation.*

*To supplement staff resources, NYCHA’s language access vendors provide interpretation services as well as primary document translation. Through NYCHA staff and vendors, language services are available in well over 150 languages.*

*During normal operations and since the onset of the COVID-19 pandemic, resident communications have been provided in Spanish, Russian, Chinese Simplified, and Chinese Traditional, which are the most frequently requested languages for translation and interpretation services at NYCHA. In addition, contracted services were executed with an*

external language vendor to translate essential communications in the following eight other languages: Arabic, Bengali, French, Haitian Creole, Korean, Polish, Urdu, and Yiddish.

Key documents are also translated and posted on NYCHA's website in Spanish, Russian, Chinese Simplified, and Chinese Traditional.

In 2023, LSU handled 531 interpretation requests and 1,773 translations requests comprising of 5,429 pages, with an additional 30 items translated through vendors. LSU can provide the services directly or transfer the call to the new Language Assistance Hotline for assistance through an external language vendor that provides on demand over-the-phone interpretation services in more than 150 languages.

NYCHA property management staff also utilize the Language Assistance Hotline which continues to improve customer service for LEP residents; reduces wait times for over-the-phone interpretations; improves tracking and reporting for language services; and enhances efficiency in the delivery of language assistance.

**Goal: Violence Against Women Act (VAWA)**

**Objective:** Assist residents who are victims of domestic violence, dating violence, sexual assault, and stalking to obtain an expedited confidential transfer and to enhance their safety.

- **Progress:** From January 1, 2023, through December 31, 2023, the following cases were approved for transfer:

<i>VAWA Victim of Domestic Violence</i>	569
<i>VAWA Dating Violence</i>	240
<i>VAWA Sexual Assault (includes Child Sexual Victims)</i>	23
<i>VAWA Stalking</i>	138
<i>Intimidated Victim</i>	334
<i>Intimidated Witness</i>	7
<i>Victim of Traumatic Incident</i>	11
<b>Total</b>	<b>1322</b>

## 2. STATEMENT OF PROGRESS IN MEETING MISSION AND GOALS – FY2025 to FY2029

NYCHA is providing final updates on its current goals and is introducing new goals for the FY2025-2029 five-year plan.

### Lead-Based Paint

#### Goal: Management of Lead-Based Paint Risks

**Objective:** By 2020, NYCHA will use XRF technology to test over 134,000 apartments built before 1978. By the middle of 2019, as lead paint tests are completed, NYCHA will publish statistics on the progress of the testing initiative and its results.

(<https://my.nycha.info/PublicSite/Transparency/XrfReport>)

- **Progress:** In April 2019, NYCHA kicked off an unprecedented effort to test approximately 134,000 apartments for the presence of lead-based paint using high-tech XRF analyzers. Testing began at Harlem River Houses on April 15, 2019.

NYCHA published a dashboard online that reports the progress of the XRF testing, which is available at: <https://my.nycha.info/PublicSite/Transparency/XrfReport>.

As of September 12, 2023, 108,236 apartments were tested using XRF technology at the 1.0 mg/cm<sup>2</sup> standard. Due to a change in the City's standard, NYCHA is now retesting all apartments at the new, lowered threshold of 0.5 mg/cm<sup>2</sup>. NYCHA also suspended its testing of units at the old standard. As of September 1, 2024, NYCHA successfully completed XRF testing in 82,783 units.

**Objective:** By January 31, 2024, NYCHA will abate all lead-based paint at the Harlem River Houses and the Williamsburg Houses.

- **Progress:** Both Williamsburg Houses and Harlem River Houses are part of NYCHA's PACT Program, and the abatement requirements are being achieved through that program. Williamsburg Houses converted in December 2021 and Harlem River Houses converted in February 2022. PACT partners for Harlem River Houses and Williamsburg Houses began abatement via removal at the City's new standard of 0.5 mg/cm<sup>2</sup> in 2022 which required additional testing after being converted to PACT and before abatement could start. As of August 31, 2024, Williamsburg Houses is reporting 94% of the units have been abated and Harlem River Houses is reporting 82% of the units have been abated. These are the Early Abatement Developments specified in the Agreement and abatement requires building-by-building relocation. Reporting on NYCHA's field monitoring efforts and the PACT partners' progress is included in the Lead Compliance Assurance Plan reports provided to the federal government.



**Objective:** By January 31, 2039, NYCHA will abate all lead-based paint in apartment units that contain lead-based paint, and interior common areas that contain lead-based paint in the same building as those units. NYCHA must also develop an action plan to abate exterior common areas that contain lead-based paint.

- **Progress:** NYCHA continues to develop strategies to meet this objective. Part of the strategy is the NYCHA XRF Initiative, which will test components in each apartment that require abatement, allowing NYCHA to define the scope of work and produce reliable cost estimates associated with abating each of its properties. The Action Plan for the \$2.2 billion in City capital funds provided pursuant to the Agreement, which was approved by the Monitor in May 2021, allocates \$771.8 million to a lead abatement pipeline that, combined with other funding sources, will be utilized to advance abatement across the portfolio. The Action Plan also allocated more than \$450 million to comprehensively renovate two sites with pervasive mold conditions and units with several positive lead-based paint components. Finally, since December 2021 NYCHA also won two competitive grants from HUD – (i) a grant to abate lead-based paint at Red Hook Houses in the amount of \$5 million, and (ii) a grant to abate lead-based paint in units with a child under six years old in the amount of \$7.25 million.

Abatement is also performed in vacant units upon move-out and abatement of the units and common areas is included in the scope of work for all PACT projects.

In the last year, NYCHA has procured a program management firm that will run point on abating units using the two HUD grants and the \$771.8 million in City Capital funds. The first phase of the program includes the expedited abatement of occupied child under 6 units that test positive at the City's new standard of 0.5 mg/cm<sup>2</sup> – the abatements will also be inclusive of positive components at the federal standard of 1.0 mg/cm<sup>2</sup>. As of September 1, 2024, NYCHA completed lead abatements in 9,459 units. The second phase of the program will focus on re-testing those units that have not already been tested at the City's new standard of 0.5 mg/cm<sup>2</sup>. Also included in the second phase are units that previously tested positive under the federal standard of 1.0 mg/cm<sup>2</sup>; while these units are included because they previously tested positive at the federal standard, all units will be re-tested prior to the abatement to the City's new standard of 0.5 mg/cm<sup>2</sup> to ensure all lead-based paint components that test positive above the City's standard are abated. It is important to recognize that subsequent lead-based testing and abatement is also driven by vacant unit turnover and newly identified child under 6 units and there may be, consequently, units that overlap with the first or second phase. Units that require abatement that are identified during vacant unit turnover will not require relocation.

Separately, through the PACT Program, NYCHA requires the Partners of the converted developments include abatement of units and common areas in the scope of work for all developments built prior to 1978. From January 2019 to August 31, 2024, the PACT Program has converted 16,522 units with 4,747 units expected to need abatement, with 4,698 of those units being tested at the City's new standard. As of August 31, 2024, PACT Partners have reported 3,084 units have been abated of lead-based paint, approximately 65% of the units. Of the 3,084 abated units, 3,035 units were abated at the City's new standard. PACT Partners are also performing abatement in the common areas of the converted developments.

## Heat

### **Goal: Improving the Provision of Heat**

**Objective:** NYCHA will comply with N.Y.C. Admin. Code § 27-2029(a), which requires NYCHA to maintain the following temperatures in apartments during the heating season (October 1 through May 31):

- a) between the hours of 6:00 a.m. and 10:00 p.m., a temperature of at least 68 degrees Fahrenheit whenever the outside temperature falls below 55 degrees; and
- b) between the hours of 10:00 p.m. and 6:00 a.m., a temperature of at least 62 degrees Fahrenheit.

- **Progress:** *As required, NYCHA continues to work towards compliance with N.Y.C Admin. Code § 27-2029(a).*

**Objective:** By and after October 1, 2024, NYCHA will ensure that, during any given heating season:

- a) no more than 15% of occupied apartments, as measured according to procedures established in an Action Plan which may include a reliable sampling method, will have an occasion in which the temperatures fall below the legal limits, and
- b) the temperature will not fall below the legal limits on more than three separate occasions in a given unit.

- **Progress:** *NYCHA continues to work with the Monitor, HUD, and the Southern District of New York (SDNY) to analyze existing work order data and to develop a reliable sampling method. NYCHA will continue to work on reports that will show (i) which units have recurring complaints of “no heat” and (ii) which units have temperature readings below the legal limit, which have been taken either via sensors or when employees are in a unit responding to a complaint.*

### **Goal: Improved Response to Heating Failure**

**Objective:** Starting with the heating season beginning October 1, 2019, NYCHA will:

- a) Restore heat to units affected by a heating shortage within an average of 12 hours.
- b) During the heating season, for 85% of heating shortfalls, NYCHA will restore heat to affected units within 24 hours, and in no event more than 48 hours. NYCHA may restore heat through the use of temporary replacement heating systems that comply with the N.Y.C. Admin. Code.
- c) In any event in which heat is unable to be restored to a particular unit within 12 hours, appropriate NYCHA personnel distinct from the NYCHA personnel responsible for the heat restoration, as identified in an Action Plan, will undertake an investigation to determine the root cause(s) of such initial failure of the heating system and the failure to achieve the restoration of service within the timeframe, identify corrections to prevent or lessen the recurrence of such failures, and track the implementation of such corrective actions. Such information will be retained in a central repository to which all applicable maintenance staff and management have access.

- **Progress:** *In the 2023-2024 heat season, NYCHA resolved its heat outages on average in 6.6 hours. 99.6% of outages (511 out of 513) were resolved within 24 hours. NYCHA continues to utilize the Heating Management Services Department (HMSD) 24x7 heat desk operation, where HMSD staff track work orders and use technology to identify triggers for potential*

service disruptions and quickly deploy staff. Moreover, during extreme cold days, NYCHA activates a “Situation Room” to coordinate the response for interruptions and mobilization of resources in real time, and the constant monitoring of outage data to identify issues proactively. NYCHA also reviews the causes of outages to identify system components that may require extensive repair and or replacement. We then use this data to develop an operational investment strategy where we use operating dollars to make the identified repairs.

## **Goal: Other Heating Obligations**

**Objective:** In addition to meeting the goals above, NYCHA will perform the following tasks:

- NYCHA will replace or address approximately 500 boilers by 2026
  - 297 boilers will be replaced by December 31, 2026, through NYCHA’s Capital Plan.
  - **Progress:** *Since the HUD Agreement was signed in 2019, NYCHA has replaced 128 boilers at 35 developments. At another 32 developments, NYCHA has ongoing, active projects to replace another 174 boilers by the end of 2026, totaling 302 boilers. These projects are funded through a range of sources including City capital dollars (including funds allocated pursuant to the City Capital Action Plan), State capital dollars, Sandy Recovery and Resilience funding, and federal capital funds, among others.*
  - *In addition, NYCHA has addressed 263 boilers through the Permanent Affordability Commitment Together (PACT) housing preservation initiatives and 56 additional boilers are in the close out phase.*

## **Mold**

### **Goal: Decreasing Mold Incidence and Recurrence**

**Objective:** By January 31, 2024:

- a) For 85% of verified mold complaints, there will not be a second verified mold complaint in the same unit or the same common area room or hallway within a 12-month period.
- **Progress:** *As of August 2024, 84% of units and common area complaints did not have a second verified complaint.*

*To address performance parameters related to reducing mold recurrence and limiting the square footage of new mold complaints, NYCHA continues to train staff in the Mold Busters process for mold inspections, remediation, and related repairs. In June 2024 NYCHA revised its Mold Standard Procedure, which was last updated in February 2020. Notable updates to the Mold Standard Procedure reflect new and already implemented IT enhancements. The updates include but are not limited to enhancing the drop down of mold-related root causes available to NYCHA inspectors when creating remediation and repair work orders for mold, addition of the craft of Maintenance Workers to the list of titles able to perform mold, revising the roles and responsibilities section to incorporate the Neighborhood Model, and updating the selection of approved materials for mold cleaning, encapsulation, and mold resistant paint. NYCHA also launched its Alternative Paint Pilot project to prevent mold recurrences.*

b) No more than 15% of verified mold complaints will be for mold covering 10 or more square feet in a unit or common area that is visible from within such unit or common area, unless NYCHA can document to the satisfaction of the Monitor that after having been advised by NYCHA to report incidences of mold, the resident did not report the incidence of mold until after the mold had reached this extent.

- **Progress:** *As of August 2024, 83% of verified mold complaints in units and 73% of mold complaints in common areas covered more than ten square feet.*

c) Mold will not appear more than three times in a year in any single unit.

- **Progress:** *As of August 2024, there were 60 units where mold appeared more than three times in the past year.*

## **Goal: Remediation of Mold and Moisture**

**Objective:** By January 31, 2021:

a) For 95% of instances in which a resident reports a mold complaint that is subsequently verified or NYCHA identifies mold in a unit, consistent with the NYCHA Standard Procedure SP 040:14:1, *Mold/Mildew Control in NYCHA Residential Buildings*, December 19, 2018 (revised February 26, 2020), within five business days of the resident reporting or NYCHA identifying mold in the unit, NYCHA will prepare and provide a written plan for addressing the root cause to the resident. NYCHA may meet this standard by mailing a copy of the written plan to the resident via U.S. or electronic mail within the five-day period.

- **Progress:** *For work orders created in August 2024, NYCHA printed the written plan within five business days for 62% of verified mold complaints.*

*To improve performance related to this metric, OMAR has launched two major initiatives. OMAR ran the Maintenance Worker mold inspection pilot from September 2021 through May 2022 and kicked off its agency wide launch in September 2022. NYCHA completed its training of at least one Maintenance Worker in mold inspections at each consolidation in July 2023. Since then, because of employee attrition, OMAR encourages consolidations to register additional Maintenance Workers for training to ensure that at least one Maintenance Worker per consolidation is trained in the Mold Busters process.*

*Second, as part of the OMAR Mold Inspection Initiative, OMAR deployed a team of inspectors to assist NYCHA Operations with addressing mold inspection work orders. The priority is given to the consolidations that are part of NYCHA's Enhanced Oversight Program (EOP) which seeks to improve mold and leak compliance at high-risk developments and other NYCHA consolidations with the high mold inspection backlog. As of July 31, 2024, OMAR staff completed 3,116 mold inspections - including initial inspections, mold Quality Assurance inspections, and re-inspections across 65 consolidations.*

b) For 95% of instances in which a resident reports a mold complaint that is subsequently verified or NYCHA identifies mold in a unit, consistent with the NYCHA Standard Procedure SP 040:14:1, *Mold/Mildew Control in NYCHA Residential Buildings*, December 19, 2018 (revised February 26, 2020), within four calendar days of the resident reporting or NYCHA identifying

mold in the unit, NYCHA will schedule the initial mold inspection. In addition, NYCHA must remediate mold and its underlying root cause (i) within 7 days, for repairs that can be performed by a Maintenance Worker or Caretaker, or (ii) within 15 days, for repairs that must be performed by skilled trades workers or other specialized staff in one or more visits.

- **Progress:** *For work orders created in August 2024 (cumulative), 18% of simple mold repairs were completed within seven days, and 2.5% of complex repairs were completed within 15 days.*
- *To improve performance related to this metric, NYCHA introduced its Work Order Verification Project (mentioned above) in 2024, and the numerous targeted initiatives in 2022 – 2023 as per below:*
- **The Mold and Leak Performance Scorecard and Dashboard** *was launched in May 2022 in partnership with the Baez Independent Data Analyst. The Scorecard is a tracking tool that ranks each NYCHA consolidation on its mold and leak work order performance. The Scorecard tracks 11 key mold and leak metrics correlating to the compliance requirements outlined in the Baez and HUD agreements. The Scorecard builds visibility across multiple NYCHA owners and stakeholders, allows NYCHA to pinpoint where consolidations are trending negatively, and deploy targeted initiatives to improve the compliance – e.g. the Enhanced Oversight Program, deploying specialized in-house crash teams to address mold inspections, mold cleaning and vent cleaning, vendor mobilization to address open Tub Enclosure work orders, and in-person outreach events to increase awareness of OMAR’s Mold Response Unit (MRU) and Ombudsperson Call Center (OCC).*
- **The Enhanced Oversight Program (EOP)** *was launched in June 2022 and is ongoing. The EOP was designed to help identify and remove roadblocks to mold and leak compliance, and, where necessary, direct additional resources to ensure that mold and leak work orders are dealt with as quickly as possible.*
- **Operation Mold Clean Up (OMC)** *was launched in May 2022 and is ongoing. OMC is an effort by NYCHA Operations to prioritize and reduce the backlog of open Caretaker X and Painter mold removal work orders and outstanding mold resistant paint work orders. NYCHA began Phase 5 of this initiative in January 2024. By July 31, 2024, NYCHA completed 92% (or 28,566) out of 31,113) work orders were closed as part of this initiative.*
- **The Mold Cleaning Initiative (MCI)** *was launched in May 2022 and is ongoing. To execute MCI, OMAR deployed a group of seasonal employees to assist NYCHA development staff in addressing their mold cleaning backlog. This program includes mold cleaning work orders assigned to Caretaker X staff and Painters. By July 31, 2024, OMAR staff visited 63 consolidations and addressed 4,565 mold cleaning work orders.*
- *In addition, in January 2023, OMAR formed an in-house team to assist NYCHA Operations with addressing mold-resistant (MR) paint work orders. By March 15, 2024, OMAR painters resolved 1,068 mold-resistant paint work orders across 37 consolidations. This MR Paint initiative is currently ongoing.*

- *The **Building Line Initiative (BLI)** was launched in June 2022 at Red Hook East and was completed on January 18, 2023. The BLI is a comprehensive plumbing and renovation project that aims to address mold and leaks by targeting their underlying root cause(s) and developing a full scope of work for the affected apartment line to complete the necessary repairs. As part of BLI, NYCHA worked to finish plumbing renovations impacting six apartments and relocated residents back to their units. NYCHA completed an analysis to determine the impact of the BLI project on the building line of Red Hook East and found that as of June 2024, in the one and a half period after the BLI project's completion, no new leak from above work orders were created in the renovated line, demonstrating the success of the BLI intervention. In October 2022, NYCHA launched its second BLI at Tompkins Houses and more recently, a third BLI at Red Hook East (124 Bush St.) in July of 2024. The BLI at Tompkins impacts 15 apartments and includes mold remediation, kitchen renovations, bathroom renovations (as needed), plumbing upgrades, and electrical upgrades. NYCHA relocated residents from the impacted line to temporary relocation units from May 2023 through September 2023 and construction began in November 2023. NYCHA anticipates that the Tompkins BLI will be completed by March 2025. The BLI at Red Hook East impacts 6 apartments for the building's B line and bathrooms only for the 6 units in the building's A line. It is similar in scope to the BLI at Tompkins.*
- ***Operation Dry Out** was launched by NYCHA in April 2023. Its purpose is to address the backlog of open tub enclosure and plumbing work orders for mold and leak repairs. The authority-wide effort tackles select plumbing and tub enclosure work orders that are 250+ days old. NYCHA has identified 7,762 plumbing and 5,009 tub enclosure work orders for this initiative that will likely cause damage to building materials, penetrate multiple units, or lead to mold growth. NYCHA plans to complete Operation Dry Out by December 2024 by utilizing the following strategies: work order pre-screening and verification, mobilization of local skilled trades team through blitzes and strategic overtime, and tub enclosure contracts (vendor) to assist consolidations with the highest need. As of July 31, 2024, NYCHA completed 6,349 (82%) of the plumbing work orders and 3,617 (72%) of the tub enclosure work orders identified.*

c) For 95% of reports to NYCHA of floods, leaks from above, and other conditions that cause sustained or recurrent moisture to flow into a resident's unit or the walls of the unit, NYCHA will abate the condition within 24 hours of a report of the condition to NYCHA, and NYCHA will remove any standing water that resulted from such condition within 48 hours of the report.

- ***Progress:** As of August 2024 (cumulative), 68% of leaks and flooding conditions were abated within 24 hours.*
- *As part of the Leak Standard Procedure Pilot 2.0, NYCHA has introduced two mandatory inspection questions to leak work orders to better evaluate and report conditions in the unit: (1) "Is there flooding condition?" and (2) "Is there standing water". The pilot program took place from December 2021 – June 2023 at Brevoort, Low Houses, Roosevelt I and II Houses. Following completion of the pilot, NYCHA engaged the Independent Mold Analyst (IMA), Independent Data Analyst (IDA) and HUD Monitor to evaluate the performance of the pilot and provide recommendations for the subsequent rollout. The pilot introduced a standardized comprehensive leak inspection and repair process to help*

*NYCHA trace the source of the leaks, investigate, and document the root cause(s) of conditions, and ensure that the repair work is created for all impacted units. NYCHA estimates to begin rolling out Leak Standard Procedure by April or May 2025.*

**Objective:** Improve communication and notification to tenants regarding mold starting in 2019.

- **Progress:** *NYCHA launched and completed its Mold Busters Resident informational campaign in 2021 and completed its roll-out of the Ombudsperson Call Center (OCC) in 2020. Both these initiatives were aimed at improving communication and notification to tenants regarding mold issues.*

*The Mold Busters Resident informational campaign included the development of targeted outreach and collateral materials including short videos and window clings that were shared with locations without mechanical ventilation. Since the OCC's roll-out, NYCHA's MRU has supported the OCC by using various engagement platforms to raise awareness of the OCC to NYCHA residents and by facilitating the resolution of resident mold and leak complaints made to the OCC. MRU manages everything from simple missed appointment complaints to complex complaints requiring substantial repair activity, multiple appointments, or relocation. MRU proactively works to ensure that all OCC complaints are resolved to the resident's satisfaction. As part of MRU's outreach efforts to bring awareness of the OCC and MRU to residents, MRU has attended NYCHA Resident Association's Family Days this year and plans to attend more Family Days.*

## **Heat**

**Objective:** In addition to meeting the goals above, NYCHA will perform the following tasks:

- NYCHA will replace or address approximately 500 boilers by 2026
  - 297 boilers will be replaced by December 31, 2026 through NYCHA's Capital Plan.
  - **Progress:** *Since the HUD Agreement was signed in 2019, NYCHA has replaced 128 boilers at 35 developments. At another 32 developments, NYCHA has ongoing, active projects to replace another 174 boilers by the end of 2026, totaling 302 boilers. These projects are funded through a range of sources including City capital dollars (including funds allocated pursuant to the City Capital Action Plan), State capital dollars, Sandy Recovery and Resilience funding, and federal capital funds, among others.*
  - *In addition, NYCHA has addressed 263 boilers through the Permanent Affordability Commitment Together (PACT) housing preservation initiatives.*

## **Elevators**

**Goal: Improving Elevator Service**

**Objective:** By January 31, 2022:

a) 70% of buildings containing more than one elevator will have no more than one instance per year where all elevators are out of service (whether planned or unplanned) at the same time, and no such building will have more than three instances in a year.

b) 70% of elevators in all buildings will have an unplanned outage no more than eight times per year, and no elevator will have unplanned outages more than 15 times a year.

- **Progress:** NYCHA has substantially completed the Elevator HUD Agreement dashboard which is undergoing additional enhancements for more accurate reporting. As of September 2024, 40% of elevator banks with more than one elevator had one or less instances where all elevators were out of service, and 29% of elevator banks with more than one elevator had more than three instances where all the elevators were out of service.
- NYCHA's Elevator Services and Repair Department (ESRD) continues to invest in equipment to enhance elevator service and to prevent outages from outside sources. Below are some of the activities:
  - Installing air conditioners in the motor room to reduce over heating equipment. As of September 2024, 2,229 air conditioner have been installed and operational.
  - Upgrading to Master Operator Variable Frequency (MOVFR) waterproof door operators to help prevent weather related outages. As of September 2024, 265 Water Resistant Operators have been installed.
  - ESRD is in the process of installing Electric Voltage Regulators (EVR) to increase voltage at select developments during summer months. This equipment will help prevent no service conditions in senior buildings as when the local utility company lowers voltage.
  - As of September 2024, 12 EVR units have been installed, 10 are complete and 2 are pending Department of Buildings (DOB) inspection. In addition, the Elevator Department has asked NYCHA's Capital Projects to include EVRs on all future modernizations.

#### **Goal: Improved Response to Disruptions in Service**

**Objective:** For the first year after January 31, 2019, NYCHA will reduce the duration of service outages by 10%, and 75% of no-service conditions will be resolved within 18 hours of the time NYCHA learns of them. The response rate will improve each year thereafter as determined by the Monitor and HUD based on the data NYCHA provides to the Monitor and HUD and the steps NYCHA has taken in executing its Action Plans.

- **Progress:** For the period of August 2023 to August 2024, NYCHA reduced the duration of outages by 27.6% from 8.92 hours to 6.46 hours.
- In addition to hiring additional staff to reduce the duration of service outages, ESRD is exploring Electric Voltage Regulators (EVR) to increase voltage at select developments during summer months.
- This equipment will help prevent no service conditions in senior buildings as when the local utility company lowers voltage. As of September 2024, 12 EVR units have been installed, 10 are complete and 2 are pending Department of Buildings (DOB) inspection. In addition, the Elevator Department has asked NYCHA's Capital Projects to include EVRs on all future modernizations. ESRD is also exploring shift changes as we fill all the vacancies to reduce response times and allow more preventive maintenance after normal business hours.

#### **Goal: Other Obligations to Improve Elevator Service**

**Objective:** NYCHA will replace or address at least 425 elevators by 2024:



1. 275 elevators will be replaced by December 31, 2024, through NYCHA's capital plan.
2. NYCHA will transfer 150 additional elevators to third-party management through the PACT Section 8 conversion program by December 31, 2024. The developer selected through PACT will replace elevators as needed in buildings under its purview.

- **Progress:** *Since the HUD Agreement was signed in 2019, construction has been completed at 139 elevators across 18 developments. An additional 170 elevators are being addressed through projects which are in the construction phase, with a total of 175 elevators forecasted to complete construction by end of 2024 and the remainder in the first half of 2025. More than 150 elevators have already been transferred to third-party management through the PACT Section 8 conversion program.*

## Pests

### **Goal: Pest Population Reduction**

**Objective:** By January 31, 2022, NYCHA will achieve a 50% reduction of its rat population across its portfolio, a 40% reduction in its mice population across its portfolio, and a 40% reduction in its roach population across its portfolio. By that time, NYCHA will also achieve a percentage reduction in its bedbug population across its portfolio, to be determined by the Monitor.

- **Progress:** *This project to measure the pest population was delayed by the impact of the COVID-19 pandemic on NYCHA operations and the ongoing discussion of different options to complete it. However, in 2023, NYCHA and the Monitor began to implement an alternate method to measure the level of pest infestation that would combine the inspections of units where resident complaints were generated and units that had not put in a complaint in the last 12 months. A total of 912 inspections started in June 2023 and were completed in June 2024. The monitor is currently reviewing this data. Another 12-month cycle of 912 inspections started on August 15, 2024, with 33 completed to date.*

### **Goal: Improved Response to Resident Complaints**

**Objective:** By January 31, 2021, NYCHA will:

- a) Respond to 75% of all rat complaints within two business days, and to all rat complaints within five days;
- **Progress:** *As of August 2024, 87.6% of rat complaints were responded to within two business days and 90.4% within 5 calendar days.*
- b) Respond to 75% of all other pest complaints within seven days, and to all other pest complaints within 10 days;
- **Progress:** *As of August 2024, 69.8% of mice, roach and bedbug pest complaints were responded to within seven days and 89.3% were responded to within 10 calendar days.*

c) Apply effective pest control methods (in compliance with applicable law, including the Federal Insecticide, Fungicide, and Rodenticide Act, 7 U.S.C. § 136 *et seq.*), to address any verified complaints within seven days; and

- **Progress:** NYCHA developed a dashboard to track progress toward the above metrics. This dashboard was substantially completed in April 2021. As of August 2024, 93.6% of work orders were done within 7 days from the time an exterminator confirms the infestation to treatment. NYCHA exterminators also have begun plugging holes, using HEPA vacuums to vacuum up roach residuals, sealing cracks and educating residents with fliers about how to keep their homes pest free. By adding these additional duties, exterminators will get to the root cause of an infestation and address that, which will reduce repeat infestations in the future.

### **Goal: Implementation of IPM Practices**

**Objective:** By January 31, 2020, NYCHA will incorporate industry-standard IPM practices, including Northeastern IPM Center (NortheastIPM.org and StopPests.org), Integrated Pest Management – A Guide for Affordable Housing, using the current edition at the time of the pest complaint, as developed under an interagency agreement between HUD and the U.S. Department of Agriculture for their Delivery of IPM Training to PHAs project; and current editions of other professional IPM resources as the Monitor may approve, into building operations in all NYCHA properties.

**Progress:** Additional changes were made to our standard procedure in the summer of 2024. This adds to changes made in 2021 and 2022. These changes focus on bedbugs, move outs and refining procedures as the department grows.

### **Goal: Other Pest Obligations**

a) NYCHA will install 50 rat slabs by December 31, 2020.

- **Progress:** 37 rat slabs have been installed as of April 2024. The delay in completing the remaining 13 slabs at 3 sites is due to unforeseen conditions such as structural issues, the amount and cost of soil remediation needed being substantially more than initially anticipated, which has led to the need for additional contracts to be put in place, as well as other factors. The rat slabs are now expected to be completed by the end of 2025.

### **Annual Inspections**

#### **Goal: Conduct Annual Inspections**

**Objective:** NYCHA will conduct 100% of annual apartment inspections of developments.

- **Progress:** In 2017, NYCHA established an annual inspection program pursuant to which Maintenance Workers inspect approximately fifty percent (50%) of all units at each development, each year. Since 2018, NYCHA has alternated each year between inspecting units on (i) even numbered floors, and (ii) odd numbered floors at each development to ensure that each unit is inspected at least once during a two-year cycle. By the conclusion of the 2022 inspection cycle, NYCHA completed approximately 94% of inspections in units on even numbered floors. For the 2023 inspection cycle, through September 13, 2023, NYCHA has completed approximately 85 % of inspections in units on odd numbered floors. In 2024, NYCHA

*will conduct annual inspections for 100% of its apartments. As of September 17, 2024, NYCHA has completed approximately 74% of apartment inspections.*

**Objective:** By May 1, 2019, and thereafter, annual inspections will include having the person conducting the inspection perform any minor repairs during the inspection.

**Progress:** *NYCHA's Maintenance Workers closed thousands of repair work orders during annual inspections, as shown by records in Maximo, NYCHA's asset management software. NYCHA also codified this practice, including a list of minor repairs required to be conducted during an annual inspection, in its Standard Procedure for Periodic Apartment Inspections.*

*During calendar year 2024, NYCHA's Maintenance Workers continue to conduct apartment inspections and perform minor repairs in the unit while conducting the inspection. For the 2024 inspection cycle, as of September 17, 2024, 29,286 apartment inspections had minor repairs performed.*

**Objective:** By June 28, 2019, NYCHA will submit an Action Plan to the Monitor for complying with the requirement to conduct annual inspections and perform minor repairs during such inspections. The Action Plan will include procedures for (i) on-site completion of minor repairs during inspections, and (ii) the scheduling of other inspection-identified maintenance deficiencies for subsequent repair.

- **Progress:** [The Monitor transmitted an Action Plan for annual inspections, which is fully accessible to all stakeholders via the following link:](https://www1.nyc.gov/assets/nycha/downloads/pdf/Annual%20Inspection%20Action%20Plan%20Final%2012.9.2020.pdf)  
[https://www1.nyc.gov/assets/nycha/downloads/pdf/Annual Inspection Action Plan Final 12.9.2020.pdf](https://www1.nyc.gov/assets/nycha/downloads/pdf/Annual%20Inspection%20Action%20Plan%20Final%2012.9.2020.pdf)
- *NYCHA submitted amended drafts of the Action Plans for PHAS and Annual Apartment Inspections to the Monitor in May 2024 to reflect NSPIRE requirements and other updates. As of July 2024, NYCHA was making revisions to the drafts based on the Monitor's review.*

## **Organizational and Operational Initiatives**

**Objective:** The Compliance Department will develop a framework to ensure that all workers will have the necessary certifications to perform their duties.

- **Progress:** *In coordination with NYCHA's Learning & Development Department, the Compliance Department continues to monitor and advise Departments on certification requirements, particularly for lead-based paint and HUD inspections.*

*With respect to lead, which is a requirement of NYCHA's agreement with HUD, as of September 16, 2024, 5,944 employees had completed the Initial Renovator RRP Training (8 hours). NYCHA has also rolled out a 3-hour RRP refresher training. As of September 16, 2024, 1,084 employees have completed the 3-Hour RRP refresher training and 1,166 employees have completed the virtual Lead Disclosure Rule Training.*

*As mandated by the HUD Agreement, the Compliance Department managed the PHAS UPCS Deceptive Practices training which commenced in October 2019. The training includes*

*scheduling, attendance, test scores, and distribution of plaques and is continuously being tracked by the Compliance Department. Phase 1 Training was focused on Maintenance Workers and Senior Operations staff. 98% of the staff requiring Phase 1 Training had received this training by December 31, 2020.*

*Any staff member who was not trained in this cohort was folded into the next cohort, which started in 2022. As of March 31, 2023, 2,494 employees had taken 3-day training under the new cohort. Phase 2 Training for approximately 3,000 other employees (primarily Caretakers) started in February 2021. As of March 31, 2023, a total number of 3,149 Caretakers were required to take the training. As of March 31, 2023, 2,703 or 85.83% of all Caretakers had completed the training.*

*Please note that in April 2023, HUD replaced the Uniform Physical Conditions Standards (UPCS) with the National Standards for the Physical Inspection of Real Estate (NSPIRE). As a result, all PHAS UPCS Deceptive Practices Training was suspended in April 2023, replaced by the newly designed NSPIRE Readiness Training which was launched in October 2023. NSPIRE Readiness Training focuses on Property Management staff involved in any aspect of performing physical inspections, maintenance and/or repairs at a NYCHA development.*

*NSPIRE Readiness Training has two components: a 2-day classroom training conducted at NYCHA's Training Center, and a half-day site-based training conducted in a NYCHA apartment. As of September 18, 2024, 1,599 employees have completed classroom training and 789 employees have completed site-based training.*

### **Section B: Real Estate Development Activities**

#### **Goal: Permanent Affordability Commitment Together (PACT)**

**Objective:** NYCHA's 2023 Physical Needs Assessment (PNA) estimates 20-year physical needs of \$78.3 billion, which is a 73 percent increase from the 2017 PNA's total estimated needs of \$45.3 billion. As part of its Permanent Affordability Commitment Together (PACT) initiative, NYCHA will address overdue repairs in 62,000 apartments – a third of its units and home to approximately 140,000 New Yorkers.

**Progress:** *Approximately \$1.6 billion in renovations have been completed at more than 8,800 apartments. An additional 13,000 apartments are under construction, totaling \$4.3 billion in major upgrades. An additional 15,000 apartments are part of active development projects in the process of resident engagement or pre-development. In sum, NYCHA has over 37,800 apartments completed, in construction, or in a stage of resident engagement or pre-development.*

#### **Commitments to Build New 100% Affordable Housing**

*New York City is confronting an affordable housing crisis, and New Yorkers have called for more affordable housing. NYCHA has pledged to provide underused land (such as parking lots and storage spaces) for the creation of new, affordable apartments for both families and seniors – more than 3,000 of which are already in the pipeline.*

Since 2015, NYCHA has closed on 18 transactions located in the Bronx, Brooklyn, Manhattan, and Queens. To date, 2,623 affordable apartments have been built or are under construction. An additional 72 co-op homeownership units began construction at the end of 2020 and will welcome home first-time home buyers in 2024.

### **Sale of development Air Rights**

NYCHA continues to tap into its extensive unused development rights, known as “air rights,” in order to raise revenue for the Authority. By transferring a portion of the Authority’s approximately 80 million square feet of air rights, NYCHA expects to generate funding for capital repairs for adjacent apartments. The sale of unused transferable development rights to owners of privately owned sites has already generated \$55 million in revenue to pay for capital repairs at NYCHA developments. In 2020, NYCHA completed two air rights transfers, one at Ingersoll Houses in Brooklyn for nearly \$25 million and another at Hobbs Court in Manhattan for \$2.6 million. In 2022, NYCHA completed a sale at Manhattanville Houses for \$28 million. In 2024, NYCHA completed another sale at Campos Plaza for \$19 million, for a total of \$74 million in proceeds for capital repairs for the adjacent developments.

### **Section C: NYC Public Housing Preservation Trust**

In June 2022, the State legislature passed a bill establishing the New York City Public Housing Preservation Trust (the Trust), and it was signed into law by Governor Kathy Hochul.

To dramatically improve residents’ quality of life through comprehensive building renovations while preserving all their rights and protections (including permanently affordable rent) and providing economic opportunities, NYCHA plans to transfer an initial 25,000 apartments to the Trust. NYCHA will continue to own and manage the properties, entering a long-term ground lease with the Trust to secure project-based vouchers, which have a subsidy worth double NYCHA’s current federal subsidy. Similar to how other government entities raise funding for capital improvements, the Trust will pursue conventional financing or bonds that fund comprehensive building renovations (with input and partnership from residents at the development), including the latest sustainable technologies. And the Trust can hire better vendors who can complete high-quality work, faster.

### **Goal: Comprehensively renovate buildings and increases funding for operations and capital repairs**

**Objective:** Transfer an initial 25,000 apartments to the Trust to improve residents’ quality of life through comprehensive building renovations using the federal Section 8 program.

- **Progress:** *On December 15, 2023, the first resident vote was certified as Nostrand Houses in Brooklyn chooses to enter the Public Housing Preservation Trust. The final, certified results at Nostrand Houses yielded a total of 808 resident votes, including 573 heads of household. There were 464 votes for the Trust, 172 votes for remaining as Section 9 housing, and 163 votes for the PACT program. NYCHA and the Trust will begin conversion to Project-Based Section 8 and unlock much-needed funding for capital renovations to the 1,150 apartments in 16 buildings on Nostrand’s campus.*
- *On April 19, 2024, residents of Bronx River Addition voted to enter the Public Housing Preservation Trust. Thirty days of voting concluded on April 11 following a 100-day public engagement period, with voting results certified by a qualified, third-party election administrator. The final, certified results at Bronx River Addition yielded a total of 122 resident votes, including 112 heads of household. By the election administrator’s count, the residents of Bronx River Addition have selected the Public Housing Preservation Trust as the future of*

their homes with a total of 84 votes, while 22 residents voted to enter the PACT program, and 16 voted to remain Section 9. Bronx River Addition will begin conversion to Project-Based Section 8 and unlock much-needed funding for capital renovations to the 226 apartments within the development's two buildings.

- On May 22, 2024, the Trust issued its first Request for Qualifications (RFQ) solicitation to identify design-build partners for Nostrand Houses and Bronx River Addition who will modernize and rehab both properties.

#### **Section D: Section 3 Compliance**

**Objective:** Improve Section 3 compliance tracking for the new HUD Section 3 rule.

- **Progress:** In April 2022, NYCHA began implementation of a new, industry standard software to improve Section 3 compliance tracking. The initial, phased rollout targets selected contractors. Additional functionality to capture all Section 3 activity will be added and introduced to all NYCHA contractors in 2024. All current contractors and proposing vendors are being trained on the use of the new system. On April 21, 2022, an interim memo was issued to NYCHA staff detailing how staff and contractors should use existing forms to report out on Section 3 benchmarks. The revised Section 3 Standard Procedure is expected to be finalized in 2024. In August and September 2022, NYCHA conducted training for staff on the new rule, forms, and procedures. In March 2023, this training was added as a course to NYCHA's Learning & Development platform and became mandatory, annually for all relevant staff. Annually, NYCHA conducts outreach to contractors with active contracts subject to the new Section 3 rule to remind them of their responsibility to report labor hours and other Section 3 activity to NYCHA. In 2024, NYCHA is holding recurring meetings with internal stakeholders to discuss Section 3 compliance and initiatives to improve the process.

#### **Section E: Additional Goals**

**Goal: Promote Equal Opportunity in Housing**

- **Progress:** NYCHA reaffirms its commitment to affirmatively further fair housing through its sustained relationships with residents, applicants, advocates, and organizations that assist people with employment and fair housing issues.

NYCHA's Management Services Department (MSD) and Services for People with Disabilities Unit (SPD), assists applicants and residents with disabilities in obtaining decent, affordable, and accessible housing in NYCHA developments. SPD serves as a liaison between the disabled community and NYCHA. In 2023, SPD responded to, or assisted with 80 reasonable accommodation matters from residents, applicants, Section 8 voucher holders, and/or their respective advocates. In 2023, SPD handled approximately 1,779 matters involving a range of services, including assistance with documentation, transfer requests, modifications and change of priority status SPD provided information to a variety of organizations, including Housing Works, Adapt Community Network, The Bronx Defenders, New York City Mayor's Office for People with Disabilities, and many others. Applicants, residents, and others in need of assistance with disability issues may call SPD's hotline at (212) 306-4652 or TTY at (212) 306-4845.

- NYCHA is committed to providing equal housing opportunities for all qualified residents and applicants. NYCHA strictly prohibits discrimination in the selection of residents or participation

*in any programs, services, or activities implemented by or on behalf of NYCHA. All residents and applicants are protected from discrimination based on the following categories, with two new categories added in November 2023 (height and weight):*

- Race
  - Color
  - National Origin
  - Religion or Creed
  - Sex (including Gender Identity and Sexual Orientation)
  - Familial Status
  - Disability
  - Age
  - Immigration Status
  - Lawful Source of Income
  - Military Service
  - Pregnancy
  - Status as Victim of Domestic Violence, Sexual Harassment, Sexual Violence, or Stalking Marital or Partnership Status
  - Presence of Children
  - Lawful Occupation
  - Height
  - Weight
- *Qualified residents, applicants, and Section 8 voucher holders may file housing discrimination or sexual harassment complaints against NYCHA employees or anyone working on behalf of NYCHA if they believe they have been discriminated or harassed related to any protected category listed above. NYCHA’s Office of Diversity, Equity and Inclusion (“ODEI”) will investigate the allegations and determine appropriate recommendations or other remedial measures.*
  - *ODEI conducts internal investigations of complaints of fair housing discrimination and sexual harassment by public housing residents and applicants for public housing.*
    - *In 2021, under former leadership, the Department of Equal Opportunity (“DEO”) (the former name of ODEI) investigated 20 fair housing complaints.*
    - *In 2022, DEO reviewed 31 new fair housing matters and 11 had basis for investigation.*
    - *In 2023, with new leadership, ODEI developed enhanced intake and complaint processes. 17 fair housing complaints were opened and investigated.*

Complaint Basis	2023
Sexual Harassment	12
Multi-Basis	4
Sexual Orientation	1
Total	17

The NYCHA Fair Housing Non-Discrimination Policy Statement is available on NYCHA's website at <https://www1.nyc.gov/site/nycha/about/policies-procedures.page>. ODEI regularly reviews and may provide updates to NYCHA's non-discrimination policies, including the NYCHA Fair Housing Policy; Equal Employment Non-Discrimination Policy; and Sexual Harassment Prevention Policy.

*NYCHA's policy is to take reasonable steps to ensure Limited English Proficient (LEP) persons may effectively participate in, and benefit from, NYCHA programs and services. The policy is in accordance with the U.S. Department of Housing and Urban Development's notice entitled "Final Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons." This final notice was published in the Federal Register at 72 FR 2732 (January 22, 2007).*

*NYCHA's Language Assistance Services Standard Procedure, updated most-recently on February 7, 2019, provides procedures and staff responsibilities to ensure NYCHA's language access policy is achieved. Specifically, the standard procedure addresses, among other things: procedures for identifying LEP individuals with the assistance of language identification cards; staff procedures and supervisor responsibilities for obtaining translation or interpretation services for LEP individuals; the posting of notices in public areas within Development Management Offices, Borough Offices and waiting rooms informing LEP individuals of no-cost language access services; training requirements to ensure awareness of and compliance with NYCHA's language access procedures; language access reporting and oversight responsibilities; and a requirement that NYCHA's language access policies be reviewed every three years, or as needed.*

#### **Access Resources**

*NYCHA's Language Access Coordinator and the Language Services Unit (LSU) oversees NYCHA's language access policies.*

*The LSU team is currently staffed by six full-time interpreters including two Spanish language interpreters, two Chinese language interpreters fluent in both Mandarin and Cantonese, and two Russian language interpreters. In addition to providing translation and interpretation services, the LSU serves as a resource for staff in understanding and applying NYCHA's language access procedures, coordinates requests from NYCHA departments for written translation, oral and American Sign Language interpretation services, manages vendors providing language services and tracks language access metrics.*

*NYCHA relies on staff and vendors to provide language services including telephonic, remote meeting and in-person interpretation as well as paper, webpage or other electronic document translations. In providing these services, NYCHA staff serve in different functions. First, bilingual staff may directly serve LEP individuals they or their departmental colleague's encounter. Second, departments that frequently encounter LEP individuals, including the Customer Contact Center, Department of Equal Opportunity, Office of Impartial Hearings, Operations, Public Housing Property Management, Leased Housing's Section 8 program, and Resident Economic Empowerment & Sustainability, Resident Services Partnerships & Initiatives/Resident Partnership & Civic Engagement assign a staff member to serve as the department language liaison. Liaisons serve as language access ambassadors for their department as well as identify vital documents requiring translation.*



To supplement staff resources, NYCHA’s language access vendors provide interpretation services as well as primary document translation. Through NYCHA staff and vendors, language services are available in well over 150 languages.

During normal operations and since the onset of the COVID-19 pandemic, resident communications have been provided in Spanish, Russian, Chinese Simplified, and Chinese Traditional, which are the most frequently requested languages for translation and interpretation services at NYCHA. In addition, contracted services were executed with an external language vendor to translate essential communications in the following eight other languages: Arabic, Bengali, French, Haitian Creole, Korean, Polish, Urdu, and Yiddish.

Key documents are also translated and posted on NYCHA’s website in Spanish, Russian, Chinese Simplified, and Chinese Traditional.

In 2023, LSU handled 531 interpretation requests and 1,773 translations requests comprising of 5,429 pages, with an additional 30 items translated through vendors. LSU can provide the services directly or transfer the call to the new Language Assistance Hotline for assistance through an external language vendor that provides on demand over-the-phone interpretation services in more than 150 languages.

NYCHA property management staff also utilize the Language Assistance Hotline which continues to improve customer service for LEP residents; reduces wait times for over-the-phone interpretations; improves tracking and reporting for language services; and enhances efficiency in the delivery of language assistance.

**Goal: Violence Against Women Act (VAWA)**

**Objective:** Assist residents who are victims of domestic violence, dating violence, sexual assault, and stalking to obtain an expedited confidential transfer and to enhance their safety.

- **Progress:** From January 1, 2023, through December 31, 2023, the following cases were approved for transfer:

VAWA Victim of Domestic Violence	569
VAWA Dating Violence	240
VAWA Sexual Assault (includes Child Sexual Victims)	23
VAWA Stalking	138
Intimidated Victim	334
Intimidated Witness	7
Victim of Traumatic Incident	11
<b>Total</b>	<b>1322</b>

## **2. SIGNIFICANT AMENDMENT AND SUBSTANTIAL DEVIATION OR MODIFICATION OF THE AGENCY PLAN**

### **Criteria for Significant Amendment or Modification of the Agency Plan and/or Capital Fund Program Five-Year Action Plan:**

NYCHA will amend or modify its agency plan and/or Capital Fund Program Five-Year Action Plan upon the occurrence of any of the following events during the term of an approved plan(s):

5. A change in federal law takes effect and, in the opinion of NYCHA, it creates substantial obligations or administrative burdens beyond the programs then under administration, excluding changes made necessary due to insufficient revenue, funding or appropriations, funding reallocations resulting from modifications made to the annual or five-year capital plan or due to the terms of a judicial decree.
6. Any proposed demolition, disposition, homeownership, Capital Fund financing, development or mixed-finance proposals.
7. Any Capital Fund project not already in the Five-Year Action Plan for an amount greater than \$500 million excluding projects arising out of federally declared major disasters.
8. Any other event that the Authority determines to be a significant amendment or modification of an approved annual plan and/or Capital Fund Program Five-Year Action Plan.
9. For purposes of any Rental Assistance Demonstration (“RAD”) project, a proposed conversion of public housing units to Project Based Rental Assistance or Project Based Voucher Assistance that has not been included in an Annual Plan shall be considered a substantial deviation.

### 3. OTHER INFORMATION

[24 CFR Part 903.7 9 (r)]

#### A. Resident Advisory Board Recommendations

1. Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

Yes  No:

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at **Attachment P**

Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments. List changes below:

Other: (list below)

#### B. Description of Election process for Residents on the PHA Board

*NYCHA's resident Board members are not elected. As per N.Y. Public Housing Law § 402(3), the City's Mayor appoints all seven Board members, including the three resident Board members.*

#### C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) *New York City*

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.

The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

*The City of New York's Consolidated Plan serves as the City's official application for the four U.S. Department of Housing and Urban Development ("HUD") Office of Community Planning and Development entitlement programs. The Consolidated Plan includes an Action Plan, which includes two elements: 1) the description of the use of federal, state, city, private and nonprofit funding for housing, homeless assistance and prevention, supportive housing services, and community development activities; and 2) the Supportive Housing Continuum of Care for Homeless and Other*

*Special Needs Populations which describes the City's coordination of supportive housing services to the homeless and other special needs populations.*

*The Public Housing Agency Plan embodies, in many respects, the concepts of HUD's Consolidated Plan. The Plan provides a planning mechanism by which a housing authority can examine its long-term and short-term needs, specifically the needs of the families it serves, and design both long-term strategies and short-term strategies for addressing those needs. Like the Consolidated Plan, the Agency Plan involves consultation with affected groups in the Plan's development.*

#### **D. Additional Information**

##### **Second Replacement Housing Factor ("RHF") Funding Groups**

###### **1) Second RHF Funding Group – First Increment RHF Plan**

- NYCHA has obligated all of grant NY36R005501-08 (\$853,997), NY36R005501-09 (\$790,086), NY36R005501-10 (\$776,218) and NY36R005501-11 (\$395,955) for construction of public housing units in the Randolph South Mixed-Finance transaction.
- NYCHA has obligated all of grant NY36R005501-12 (\$1,538,254) and a portion of NY36R005501-13 (\$651,630) for Phase I of the Prospect Plaza HOPE VI Revitalization Project.
- NYCHA has obligated a portion of the NY36R005501-13 (\$628,726) and all of grant NY36R005501-14 (\$1,475,995) for Phase II of the Prospect Plaza HOPE VI Revitalization Project.
- NYCHA has transferred the entire NY36R005501-16 (\$692,021) grant for RAD rent boost.
- NYCHA has expended all of grant Y36R005501-15 (\$987,856) grant toward modernization work by the September 22,2023 deadline.

###### **2) Second RHF Funding Group – Second Increment RHF Plan**

- NYCHA has expended all grants NY36R005502-10 (\$258,796), NY36R005502-11 (\$333,158), NY36R005502-12 (\$496,383), NY36R005502-13 (\$646,103) and NY36R005502-14 (\$743,900) for construction of public housing units in Phase II of the Prospect Plaza HOPE VI Revitalization Project.
- NYCHA has expended all of the NY36R005502-15 (\$759,649) and NY36R005502-16 (\$131,670) grants. NYCHA is planning to use NY36R005502-17 (\$234,795) toward modernization work and received a waiver to spend funding by December 31, 2024.

## **ATTACHMENT G**

### **Admissions Policy for Deconcentration**

HUD regulations (24 CFR Part 903) require a discussion of HUD’s Deconcentration Requirement in NYCHA’s FY 2025 Annual Plan. It was determined from the following Deconcentration Analysis that NYCHA does not need to develop an additional Deconcentration Plan for its Fiscal Year 2025 Annual Plan to comply with HUD’s requirement.

Although 15 of NYCHA’s qualifying 119 consolidated developments fall outside of an Established Income Range (“EIR”), NYCHA is exempt from developing an additional Deconcentration Plan to bring the 15 developments within the EIR primarily because all of NYCHA’s developments are part of an explicit strategy to promote income mixing in each of its developments. This strategy is detailed in the Income Mixing Plan of NYCHA’s Tenant Selection and Assignment Plan, and therefore, NYCHA already has a deconcentration plan built into its rental scheme.

### **Deconcentration Analysis**

The Rule to Deconcentrate Poverty and Promote Integration in Public Housing applies to all Public Housing Authorities (“PHA”) funded by HUD, but specifically excludes from its applications the following types of developments within a PHA:

- developments with fewer than 100 public housing units;
- developments that are designated for elderly and/or disabled persons only;
- developments that are part of a homeownership program; and
- developments that are operated in accordance with a HUD approved mixed-finance plan using HOPE VI or public housing funds.

The developments’ characteristics and tenant income data are from the Tenant Data System database as of January 1, 2024. This database contains household-level data for every occupied unit within NYCHA developments.

Of the 147,778 occupied units<sup>25</sup>, there are 127,415 units within 119 consolidated developments<sup>26</sup> that do not meet the exemption criteria and therefore, were used in this analysis.

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<sup>25</sup> There are 147,778 public housing households as of January 1, 2024.

<sup>26</sup> For the purposes of this analysis, there are 119 consolidated developments that have units that are not exempt from the application of the Deconcentration Rule. However, there may be units within these 123 consolidated developments that have been excluded.

Gross mean incomes from the excluded types of developments and units were not used in the calculation of the overall NYCHA mean. In total, 20,363 occupied units were excluded from the analysis. See the Appendix for a list of the individual excluded developments.

As per Step 3 of the Final Rule, a development will be considered below the EIR if its mean gross household income<sup>27</sup> is less than 85% of the NYCHA mean; and a development will be considered above the EIR if its mean gross household income is above 115% of the NYCHA mean. The 2024 NYCHA mean gross household income is \$25,419, resulting in a lower EIR limit of \$21,606 and an upper EIR limit of \$29,232. In a recent amendment to the Deconcentration Rule, the definition of the EIR has changed to:

“include within the EIR those developments in which the average income level is at or below 30% of the area median income, and therefore ensure that such developments cannot be categorized as having average income ‘above’ the Established Income Range.”

30% of the 2024 HUD Area Median Income for the New York City HUD FMR Area (HMFA – includes the five boroughs and Putnam County) is \$29,280. Since this is more than 115% of the NYCHA mean gross household income (\$29,232), therefore, NYCHA’s 2024 upper EIR limit remains at \$29,280.

Table I shows that out of the 119 consolidated NYCHA developments that are not exempt from the application of the Deconcentration Rule, there are 5 developments with 4,524 occupied units with gross mean household incomes below the EIR and 10 developments with 7,757 units with gross mean household incomes above the EIR.

**TABLE I**  
**Summary Table**

Development Category	LOWER INCOME DEVELOPMENTS MEAN INCOMES <\$21,606		HIGHER INCOME DEVELOPMENTS MEAN INCOMES >\$29,280		TOTAL	
	Developments	Occupied Dwelling Units	Developments	Occupied Dwelling Units	Developments	Occupied Dwelling Units
Non-exempt NYCHA Developments	5	4,524	10	7,757	119	123,677

<sup>27</sup> Mean gross household income is defined as total household income before deductions.

**TABLE II  
LOWER INCOME DEVELOPMENTS**

Table II lists the 5 consolidated developments with a mean gross household income of less than 85 percent (\$21,606) of the NYCHA mean of \$25,419.

<b>Borough</b>	<b>Development Name</b>	<b>TDS #</b>	<b>Mean Gross Income</b>	<b>Occupied Dwelling Units</b>
Bronx	1010 East 178 <sup>th</sup> Street	180	\$20,500	433
Bronx	Bronx River	032	\$20,898	1,197
Bronx	Mill Brook	084	\$20,703	1,307
Brooklyn	Howard	072	\$21,254	768
Queens	Hammel	075	\$21,191	819
<b>Total</b>			<b>\$20,919</b>	<b>4,524</b>

The table above only includes units within the consolidated developments that qualify for inclusion in the Deconcentration Analysis, i.e., it excludes units that are elderly-only, mixed-finance, FHA, Hope VI, or MHOP.

**TABLE III  
HIGHER INCOME DEVELOPMENTS**

Table III lists the 10 consolidated developments with a mean gross household income greater than 115 percent (\$29,280) of the NYCHA mean of \$25,419.

<b>Borough</b>	<b>Development Name</b>	<b>TDS #</b>	<b>Mean Gross Income</b>	<b>Occupied Dwelling Units</b>
Bronx	Sack Wern	280	\$30,579	756
Brooklyn	Garvey	252	\$30,112	316
Brooklyn	Gowanus	025	\$29,923	1,093
Brooklyn	Ocean Hill Apartments	162	\$33,654	342
Brooklyn	Unity Plaza	261	\$30,305	778
Manhattan	Fulton	136	\$34,051	891
Manhattan	La Guardia	076	\$30,775	1,281
Manhattan	Lower East Side Consolidated	337	\$29,533	602
Manhattan	Wilson	112	\$30,695	636
Queens	Astoria	026	\$30,429	1,062
<b>Total</b>			<b>\$30,915</b>	<b>7,757</b>

*Note: Sack Wern converted to the PACT program in June 2024.*

The table above only includes units within the consolidated developments that qualify for inclusion in the Deconcentration Analysis, i.e., it excludes units that are elderly-only, mixed-finance, FHA, Hope VI, or MHOP.

Step 4 of the Deconcentration Requirement is an “option to provide reasons developments are outside of the Established Income Range.” In other words, it provides the opportunity to exclude entire developments or selected units from the application of the requirement to deconcentrate poverty and mix incomes if the income profile for these units or developments is consistent with furthering both the goals of deconcentration and the local goals and strategies contained in NYCHA’s Annual Plan. Developments and unit types that fall into this category are not limited to, but include those developments that:

- are subject to a consent decree agreement or a judicial decree covering the resident selection;
- are part of a PHA program/strategy that is specifically authorized by statute such as mixed income or mixed finance developments, a homeownership program, a strategy designed to promote income-mixing in public housing or one designed to raise the income of public housing residents;
- are designed via size, location or other configuration to promote income deconcentration; or
- have income characteristics that can be explained by other circumstances.

All 15 NYCHA developments that have mean gross incomes outside of the EIR from the Deconcentration Requirement should be excluded, because all NYCHA developments are part of NYCHA’s explicit strategy to promote income-mixing in each of its developments. That strategy is spelled out in NYCHA’s Tenant Selection and Assignment Plan (“TSAP”). Under the TSAP, each development must adhere to income-mix requirements each year, renting no less than 40% of its vacancies to Very Low-Income Families (making 30% or less of area median income), but balancing that with the Working Family Preference, which gives priorities to higher-income and working families.<sup>28</sup> This plan has been in place since 1998. Over time, it should produce a generally uniform and healthy income mix in all NYCHA developments. Since NYCHA already has this deconcentration plan built into its rental scheme, it need not do anything more to comply with the Deconcentration Requirement.

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<sup>28</sup> Federal law requires PHAs to rent no less than 40 percent of their vacancies to Very Low Income families, but it does not require them to apply this requirement to each development. NYCHA has chosen to apply the requirement to each development, with the explicit goal of producing a more uniform income mix. Likewise, NYCHA has designed its Working Family Preference to bring more working families into *each* development, to achieve a healthy and uniform income mix.



NYCHA has chosen, however, to be more aggressive in addressing the income mix at the Lower Income Developments. It has therefore targeted its Economic Integration Plan to those developments.

Step 5 of the Deconcentration Requirement outlines the policy for deconcentrating poverty and income mixing in developments where the developments' income profiles outside the EIR cannot be explained or justified. Step 5 also specifies that a PHA will be found in compliance with the Deconcentration Requirement if:

- all of the PHA's developments are within the Established Income Range;
- the PHA provides sufficient explanation in its Annual Plan to support the income mix of developments above or below the EIR as consistent with and furthering the goal of deconcentrating poverty, income mixing and the goals of the PHA's Annual and Five-Year Plans; or
- the agency's deconcentration policy provides specific strategies to promote deconcentration of poverty and income mixing at developments that are outside the EIR.

As explained above for Step 4, NYCHA can justify all the developments outside the EIR, because they are all part of the TSAP income-mix rental scheme. Even if this could not satisfy Step 4, however, NYCHA's TSAP rental scheme would satisfy Step 5, since it already constitutes a sufficient deconcentration plan explicitly designed to achieve a uniform and healthy income mix in each development. However, as noted above in Step 4, NYCHA has chosen to be more aggressive in addressing the income mix at the Lower Income Developments and has targeted its Economic Integration Plan to those developments. The Economic Integration Plan includes the following element:

- Applications Outreach to Tier III and Tier II applicants with incomes exceeding the "non-elderly average," informing them that they may be selected sooner for an eligibility interview if they are willing to accept one of the Working Family Priority Consolidations.

## **APPENDIX - Individual NYCHA Developments Exempt from Deconcentration Rule**

### Homeownership Developments

- FHA Homes

### Developments with Fewer than 100 Units

- Bronx
  - 1162-1176 Washington Avenue
  - 1471 Watson Avenue
  - Boynton Avenue Rehab
  - Bryant Avenue-East 174<sup>th</sup> Street
  - Harrison Avenue Rehab (Group A)
  - Hoe Avenue-East 173<sup>rd</sup> Street
  - Longfellow Avenue Rehab

- PSS Grandparent Family Apartments
- Teller Avenue-East 166<sup>th</sup> Street
- West Farms Square Conventional
- Brooklyn
  - 
  - Bedford-Stuyvesant Rehab
  - East New York City Line
- Manhattan
  - 131 Saint Nicholas Avenue
  - 154 West 84<sup>th</sup> Street
  - Lower East Side III
  - Lower East Side Rehab (Group 5)
  - Rehab Program (Wise Rehab)
  - Stanton Street
  - WSUR (Site A) 120 West 94<sup>th</sup> Street

Developments Designated for the Elderly Only

- Bronx
  - Boston Road Plaza
  - Bronx River Addition
  - College Avenue-East 165<sup>th</sup> Street
  - Glebe Avenue-Westchester Avenue
  - Middletown Plaza
  - Randall Avenue-Balcom Avenue
  - Twin Parks East (Site 9)
  - West Tremont Avenue-Sedgwick Avenue Area

*Note: Boston Road Plaza and Middletown Plaza converted to the PACT program in September 2024.*

- Brooklyn
  - Brown
  - Haber
  - Kingsborough Extension
  - Stuyvesant Gardens II
  - Van Dyke II
  - Vandalia Avenue
  - Woodson
- Manhattan
  - Baruch Houses Addition
  - Chelsea Addition
  - Corsi Houses
  - La Guardia Addition

- Meltzer Tower
- Morris Park Senior Citizens Home
- Robbins Plaza
- Thomas Apartments
- UPACA (Site 5)
- UPACA (Site 6)
- White
- Queens
  - Conlon LIHFE Tower
  - International Tower
  - Leavitt Street-34<sup>th</sup> Avenue
  - Rehab Program (College Point)
  - Shelton House
- Staten Island
  - Cassidy-Lafayette
  - New Lane Area
  - West Brighton II

*Note: West Brighton II converted to the PACT program in June 2024.*

#### Mixed Finance Developments

- Bronx
  - Castle Hill
  - Marble Hill
  - Saint Mary's Park
- Brooklyn
  - Bay View
  - Bushwick
  - Marlboro
- Manhattan
  - Amsterdam Addition
  - Chelsea
  - Drew-Hamilton
  - Manhattanville
  - Rutgers
  - Samuel (City)

*Note: Samuel (City) has converted to the PACT program in September 2024.*

- Staten Island
  - Stapleton

**ATTACHMENT H**  
**Community Service Description of Implementation**

**NEW YORK CITY HOUSING AUTHORITY**  
**Community Service Policy Overview**

According to requirements of Federal Law, all public housing residents who are not exempt must perform Community Service or Economic Self-Sufficiency activities for 8 hours each month as a condition of tenancy. This Community Service Policy Overview explains the exemptions and describes the Community Service requirement. During the Annual Review process the Authority will advise families of their Community Service status.

NYCHA will be increasing efforts to enforce this requirement and will be engaging with residents and resident leaders toward this end. NYCHA has developed strategies to provide additional assistance to help residents comply with this mandate including:

1. Trying to increase the opportunities available through resident association driven activities to fulfill this requirement;
2. Providing additional information to residents using letters, posters, and Journal articles as well as the NYCHA website (including NYCHA activities that can be used for Community Service and links to other websites where eligible activities can be found); and
3. Making it easier to document service by working with resident associations to provide receipts for Community Service hours at suitable events/activities.
4. Updating the community service procedure; community service forms are now requested, reviewed, generated and digitally stored in NYCHA database system. This allows increased transparency and improved reporting metrics for NYCHA due to the ability to monitor the specific community service hours completed, owed, as well as the different exemptions and credits for residents.
5. Reports have been created that allow NYCHA to monitor resident compliance with community service requirement
6. NYCHA residents now have the option of mailing completed community service forms and applicable supporting documents directly to NYCHA central imaging unit or submitting it to their property management office. Staff will mail to the central imaging unit on their behalf.
7. Property management staff was trained on the updated community service procedure and community service forms. This will enable staff to inform and assist residents with the community service updates.
8. NYCHA will also complete systems enhancements that will allow NYCHA residents the ability to request and submit community service forms via NYCHA self-service portal. This will allow residents to submit and request community service forms at any time.

**For those required to perform Community Service, successful documentation of the needed hours is a condition of tenancy and failure to perform this service can result in termination of tenancy.**

## I. EXEMPTIONS FROM COMMUNITY SERVICE

There are many exemptions which allow residents not to perform Community Service. An exemption will excuse the resident from the performance of Community Service during the tenant's one-year Lease term, unless the exemption is permanent. Some exemptions can be identified by NYCHA using information in the Annual Review or Resident file. Others may be granted only after residents submit documentation. Forms and information are available from property management staff. When documentation is required for an exemption all forms must be filled-out and signed and returned to the development property management office.

### A. Exemptions NYCHA identifies - No Additional Documents Needed

1. **Age** - Below Age 18.
2. **Age** - Age 60 and older (permanent exemption).
3. **Employed** - An adult with no child below age 13 in the household and earning at least \$21,060 per year or working a minimum of 30 hours per week.
4. **Employed** - A single adult family with at least one child below age 13 in the household and earning at least \$14,040 per year or working a minimum of 20 hours per week.
5. **Employed** - A two adult family with at least one child below age 13 in the household: either or both adults must work and must earn at least \$24,570 per year, or either or both together must work a minimum of 35 hours per week, on the average.
6. **Disability Income** - Receiving Supplemental Security Income ("SSI").
7. **Public Assistance** - All authorized family members living in the same apartment will be exempt from performing community service for one year if at least one family member receives welfare assistance or participates in a program that receives welfare monies and is in compliance with the rules of the program (*This exemption may require signed documentation*).

### B. Other exemptions - granted only with supporting documentation

8. **Blind / Disabled** (Certification by a doctor is required).
9. **Receiving Social Security Disability ("SSD") Income.**
10. **Primary caretaker for the blind or disabled.**
11. **Vocational educational training** (available only **one** time per resident).
12. **Job skills training** directly related to employment, including attendance in a trade school. (The resident might not be currently employed, but employment may be dependent on successful completion of job training).
13. **Education directly related to employment**, in the case of an individual who has not received a high school diploma or a certificate of high school equivalency, if employment is dependent on successful completion of job training.
14. **Satisfactory attendance at secondary school** or higher.
15. **Satisfactory attendance in a course of study** leading to a certificate of general equivalence, if the resident has not completed secondary school or received such a certificate.
16. **Work experience** (including work associated with the refurbishment of publicly assisted housing) if sufficient private sector employment is not available, e.g., Youthbuild.
17. **On-the-job** training.
18. **Childcare provider** to a NYCHA child resident age 5 or younger (including your own child), if the child's parent - also a NYCHA resident:
  - Is performing Community Service, OR
  - Is exempt by NYCHA from performing Community Service because the parent is employed;

- Childcare provider to a child age 6 through 12 may qualify if the child does not attend school due to Home Schooling, Home Instruction or is exempted by a school from attending for that year.

## **II. COMMUNITY SERVICE CREDITS**

The following are CREDITS that reduce or eliminate the number of community service hours that a resident must perform in one year. These credits apply to the following special circumstances or activity. Note that the maximum number of hours that any resident must perform in one year is 96 hours (8 hours per month). One credit is equal to one hour of community service performed.

1. **Resident Association (“RA”) Officer:** A RA President, RA executive board members or Citywide Council of Presidents receive a credit of 8 hours of community service during any month in which they serve in office.
2. **Foster Parent:** A foster parent whose foster child(ren) lives in the same NYCHA apartment receives a credit of 8 hours of community service for every 30 days that the foster care relationship continues. Even if there is more than one foster child in the apartment, the credit remains at 8 hours per 30 days.
3. **Job Search and Job Readiness Assistance:** The resident is credited with **16** hours of community service (and not more than 16) for any job search activities during any lease year. This is **not** an Exemption although verified on the *Community Service Exemption Verification – Education Job Training* form.

*Job readiness assistance* includes any of the following criteria:

- Training in job-seeking skills;
  - Training in the preparation of resumes or job applications;
  - Training in interviewing skills;
  - Participating in a job club;
  - Other related activities that may assist an individual to secure employment;
  - Receipt of Unemployment Insurance Benefits qualifies as job search.
4. **Military Service:** Any resident performing military service who is either on Active Duty (in the: Army, Air Force, Navy, Marine Corps or Coast Guard) or in a Reserve Component (in the: Army National Guard, Air National Guard, Army Reserve, Air Force Reserve, Navy Reserve, Marine Corps Reserve or Coast Guard Reserve) receives a credit of 96 hours of Community Service.
  5. **Temporary illness:** A resident who is ill and unable to work shall receive a credit of 8 hours for every 30 days of illness.
  6. **Victims of Domestic Violence, Intimidated Victims, and Intimidated Witnesses (VDV/IV/IW):** A resident whose request to transfer to another development as a VDV/IV/IW has been approved, receives a credit of 8 hours of community service for every 30 days that the transfer request is pending, or until the resident moves out of the apartment.
  7. **Incarceration:** A resident shall be credited for 8 hours of community service for every 30 days during his/her incarceration. Upon release from jail, the resident is required to perform community service, unless otherwise exempt.

## **III. COMMUNITY SERVICE REQUIREMENT**

Residents who are not exempt must perform Community Service or Economic Self Sufficiency activities at the rate of 8 hours per month. These hours may be performed flexibly. It is acceptable to perform less than 8 hours during any month if those hours are made up during another month. The

resident **must**, however, be in compliance with the full number of hours at the annual review period, as reported on the Status Notice.

1. **Service Status Notice:** If the Service Status on the Status Notice for any household member is “Community Service Required”, the resident has to submit Community Service Performance Log or Community Service Requirement Exemption Request form within 30 days of the date of the Status Notice. If NYCHA does not receive Community Service Performance Log or Community Service Requirement Exemption Request within 60 days from the date the forms are sent, the resident will be mailed the Determination of Non-Compliance /Workout Agreement. If the Determination of Non-Compliance /Workout Agreement is not received within 30 days from it being sent, NYCHA will initiate termination proceedings against the tenant.
2. **Performance of Community Service:** Residents may perform Community Service either at a facility located within a NYCHA development or a non-NYCHA facility. There are a wide range of providers that residents may choose from who offer many different types of Community Service eligible activities. A resident may, but does not have to, perform all Community Service activities with one provider. The resident may perform a variety of Community Service or Economic Self-Sufficiency activities.

<b>NOTICE</b>	NYCHA does not endorse any particular organization or assume any liability in connection with a resident's Community Service. Each resident is solely responsible for seeking an appropriate organization to fulfill this federal law requirement.
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Residents must provide verification of the services performed by submitting the *Community Service Performance Verification* form. They can do this by submitting the completed forms to staff at their property management office to be mailed to NYCHA’s central imaging center or the resident can mail the forms directly to NYCHA’s central imaging center. Once the forms have been received by the NYCHA central imaging center, the community service forms will be uploaded to the residents’ account for NYCHA staff to review and process. The resident can submit these forms at any time during the year. The resident must complete the owed hours by the date of their next annual recertification.

Definitions of Community Service and Economic Self-Sufficiency and examples of qualifying activities are:

<b>Community Service</b> is <u>defined</u> by the law as: the performance of voluntary work or duties that are a public benefit, and that serve to improve the quality of life, enhance resident self-sufficiency, or increase resident self-responsibility in the community. Community Service is not employment and may not include political activities.
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### Examples of where to find Qualifying Community Service Activities

Residents may volunteer to perform Community Service with NYCHA or any federal, state or municipal agency, or for any community or faith-based organization. Residents can visit their Property Management Office or access volunteer opportunities through the following:

- NYC Service – Located on the internet at [www.nycservice.org](http://www.nycservice.org)
- By calling **3-1-1** the NYC Citizen Service Center
- The **Volunteer Match** database located on the internet at [www.volunteermatch.org](http://www.volunteermatch.org)

Residents can also perform service for groups not listed above. If there is any question about whether the service can be credited as Community Service, it is advised that residents ask their Property Management Office.

### Examples of NYCHA Community Service Activities

#### Resident Watch

- Resident Green Committees (“RGC”)
- Attendance at Resident Association meetings
- Delegate/Alternate at RAB meetings

- Community Center/Senior Center volunteer
- Help at resident sponsored community clean-up days
- Attending meetings called by NYCHA

### Examples of Non-NYCHA Community Service Activities

**Volunteer for local government, community, or faith-based organizations that serve a public benefit**

- Food Bank
- Hospital
- Nursing Home/Hospice
- Ambulance service
- Programs providing support to families with hospitalized members
- Parks Department
- Library
- Reading Program
- Parent Teacher Association (“PTA”) meetings

- School
- After School Programs
- Day Care Facility
- Habitat for Humanity
- Boys or Girls Club
- AmeriCorps
- Police Department
- Auxiliary Police
- Youth Mentoring
- Vista
- Court ordered Community Service

***Economic Self-Sufficiency*** is defined by the law as: any program that is designed to encourage, assist, train or facilitate the economic independence of its participants and their families. An *Economic Self-Sufficiency* program can also provide work for its participants.

### Examples of Qualifying Economic Self-Sufficiency Activities

- Any REES or RES program <sup>1</sup>
- Work placement
- Apprenticeship
- Any program necessary to prepare a participant for work (including substance abuse or mental health treatment programs)

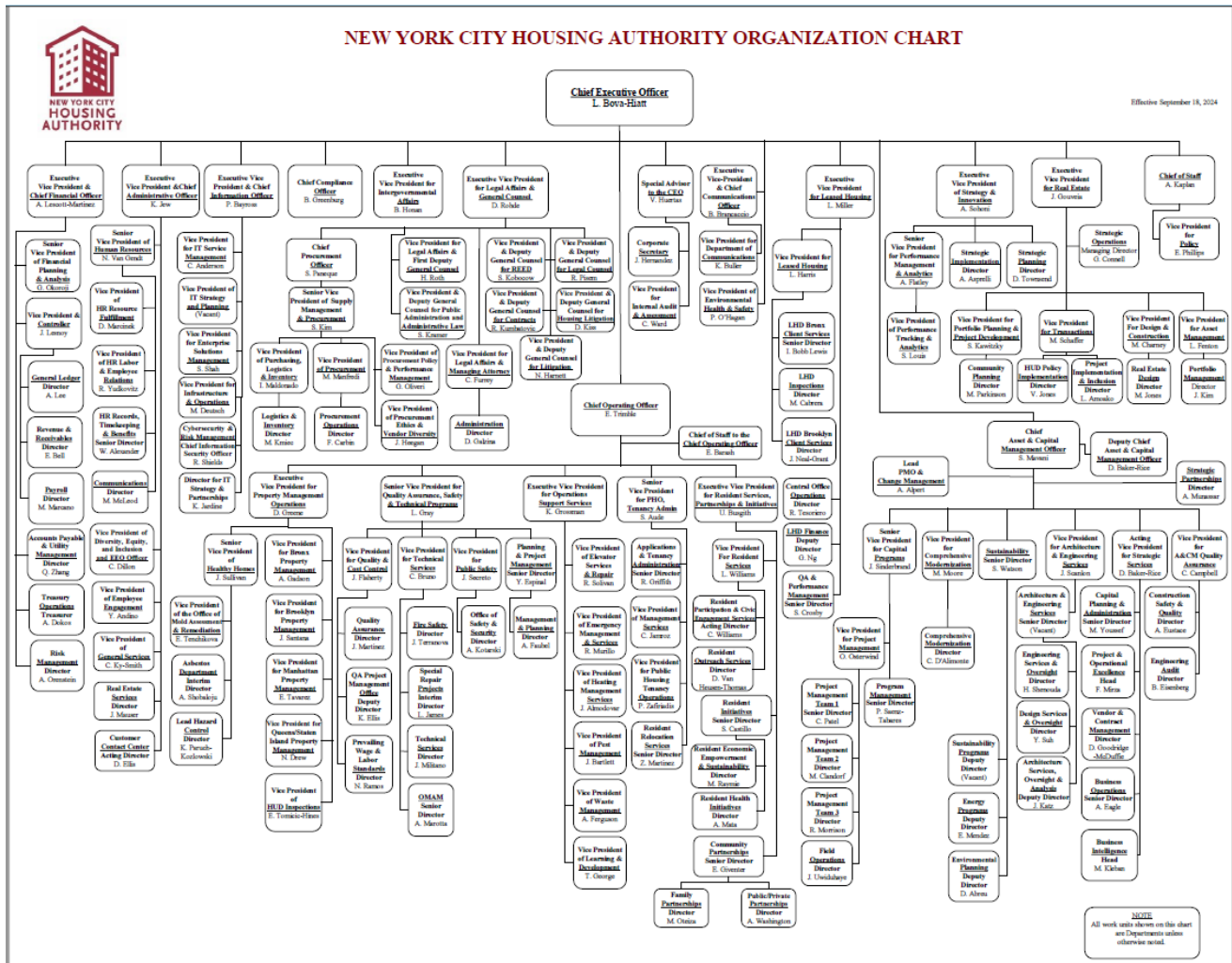
- Employment counseling
- Basic skills training
- English proficiency
- Workfare
- Financial management
- Household budgeting or management

<sup>1</sup> REES is NYCHA’s Resident Economic Empowerment and Sustainability



# ATTACHMENT I

## PHA Management Organizational Chart



**ATTACHMENT J**  
**Assessment of Site-Based Waiting List**  
**Development Demographic Changes**

**Stanton Street (NY005013590)**

Stanton Street is a 13-unit public housing development located at 189-191 Stanton Street, in lower Manhattan. The development is designated to serve a special needs population involving persons with acute immunological disorders of a degenerative nature or other disabilities or medical conditions requiring a high level of physical and supportive service accommodations, including homeless persons. NYCHA administers a site-based waiting list for this development. As approved by HUD, the first priority for these apartments is given to applicant referrals from designated public and private social service agencies for Stanton Street who are homeless. The second priority is given to NYCHA residents who were originally referred by designated public and private social service agencies for Stanton Street.

Based on the data available on January 1, 2024, Stanton Street housed 13 families having the following racial/ethnic characteristics. There were no changes found in the race/ethnic characteristics for these families. There were 3 heads of households reporting having a disability.

<i>White</i>	<i>Black</i>	<i>Hispanic</i>	<i>Other</i>
0	4	9	0

## **ATTACHMENT K**

### **Smoke Free Housing Statement**

The U.S. Department of Housing and Urban Development (HUD) required all public housing authorities to have a smoke-free policy in place by July 30, 2018. The HUD regulations are intended to improve indoor air quality, benefit the health of public housing residents and staff, reduce the risk of fires, and lower overall maintenance costs.

NYCHA's smoke-free initiative, Smoke-Free NYCHA, promotes healthier homes for residents and healthier working environments for employees by reducing exposure to secondhand smoke and providing support to residents and employees who smoke and want to quit.

Smoking is the leading cause of preventable death in the U.S. Secondhand smoke increases the risk of asthma attacks, respiratory illness, stroke, heart disease, and lung cancer in nonsmoking adults and children. Children and seniors, nearly half of all NYCHA residents, are often impacted the most. Eliminating smoking indoors and close to buildings is the best way to protect people from exposure to secondhand smoke.

NYCHA prohibits smoking of tobacco and marijuana (or cannabis) inside public housing apartments; in all indoor common areas; and within twenty-five (25) feet from a NYCHA building. Smoking in these areas is a violation of the public housing lease.

The new policy took effect July 30, 2018, and was amended in 2022. Below is the section from the NYCHA resident lease:

12.dd. The Landlord has adopted a Smoke-Free Policy prohibiting tobacco and marijuana smoking in restricted areas. Smoking means inhaling, exhaling, burning or carrying any lighted or heated cigar, cigarette, little cigar, pipe, water pipe (hookah), or any similar form of lighted object or device containing tobacco or marijuana. Restricted areas include, but are not limited to, the Leased Premises, all interior areas of the Development or other developments of the Landlord, and areas within 25 feet of development buildings, or to the property boundary where that boundary is less than 25 feet from the property line of a development building. The Tenant, any member of the household, a guest, or another person under the Tenant's control must comply with the Smoke-Free Policy.

The Landlord's adoption of the requirements in this paragraph 12(dd) does not make the Landlord a guarantor of the Tenant's or any other resident's health or of the smoke-free condition of restricted areas. The Landlord specifically disclaims any implied or express warranties that the Landlord's public housing properties will have higher or improved air quality or will be free from secondhand smoke.

The Landlord will take reasonable steps to enforce the requirements of this paragraph 12(dd) utilizing a graduated enforcement policy, as provided in the Landlord's Smoke-Free Policy.

Local law also prohibits smoking in playgrounds and vaping any substance in common indoor areas of buildings.

NYCHA enforces its smoke-free policy with escalated warnings to residents and a progressive enforcement process, which includes educating residents about the hazards of smoking and providing smoking cessation resources.

Residents can visit the Smoke-Free NYCHA webpage to learn more about the initiative, access videos and articles featuring the work of the Smoke-Free NYCHA team, view a map that shows the 25-foot perimeter around NYCHA buildings, and connect to New York City and New York State resources to support people who smoke and want to quit or cut back.

To report a violation, contact the CCC at (718) 707-7771.

To connect with the Smoke-Free NYCHA team, email [smoke-free@nycha.nyc.gov](mailto:smoke-free@nycha.nyc.gov) or call (212) 306-8282.

## ATTACHMENT L

### **STATEMENT ABOUT PUBLIC HOUSING INCOME LIMITS REQUIREMENTS**

By Federal Register notice dated July 26, 2018, the U.S. Department of Housing and Urban Development (HUD) issued instructions for implementing the mandatory public housing income limit requirements in the Housing Opportunity Through Modernization Act (HOTMA). These requirements apply only to the public housing program. Housing authorities were required to implement these HOTMA changes by March 24, 2019.

HUD approved a Significant Amendment to NYCHA's FY 2019 Annual Plan on June 19, 2019, which included a summary of NYCHA's over income policy.

Under HOTMA, when a household's income exceeds the over-income limit which is determined by multiplying the applicable income limit for a very low income (VLI) family by a factor of 2.4 (which currently equates to 120 percent of Area Median Income ("AMI") for New York City), for twenty-four (24) consecutive months, Housing Authorities have the option to either evict them or offer them a new lease with the rent being the greater of the Fair Market Rent ("FMR") or an amount of monthly subsidy for their unit including amounts from the operating and capital fund (the "subsidy amount").

As stated on page 16 of NYCHA's Significant Amendment to the FY 2019 Annual Plan, NYCHA has chosen to allow these households to remain in public housing, paying higher rent according to a HUD formula described below.

Starting in the second quarter of 2019, as HOTMA and HUD required, NYCHA began tracking public housing households whose incomes exceed 120 percent of AMI. NYCHA has tracked and will continue to track households over this limit when residents submit their annual recertifications, and during any interim reexaminations.

NYCHA has been notifying households of their over income status since 2019, as required by HUD. However, because of the COVID-19 pandemic, HUD waived or delayed the full implementation of HOTMA rules.

HUD published new regulations on implementing HOTMA in February 2023. Under the new regulations, NYCHA is required to provide households that exceed 120 percent of AMI for 24 consecutive months with three (3) notices. The first notice will be sent after the first income examination that results in the household being above 120 percent of AMI. The second notice will be sent if the household continues to exceed 120 percent of AMI following an income examination 12 months after the first exam. The third notice will be sent if the household has exceeded 120 percent of AMI following an income examination 24 consecutive months after the first exam. NYCHA will then offer these households a new non-public housing over-income lease and increase the household's rent to the greater of FMR or the "subsidy amount".

The new notice requirements do not affect families who already received a 24-month grace period. In accordance with HUD's new 2023 regulations, to remain in public housing, paying the higher rent, these tenants will first need to sign a new non-public housing lease. As required by law, tenants that sign a non-public housing over-income lease cannot participate in a public housing resident council or in programs that are only for public housing or low-income families because such tenants are not

part of the public housing program. If a household that is over income for 24 consecutive months does not sign the non-public housing over-income lease, NYCHA is required by law to evict the family.

HUD released on the “CY23 per unit subsidy by AMP” rent on March 10, 2023.

([https://www.hud.gov/public\\_indian\\_housing/programs/ph/mod/hotma\\_ph](https://www.hud.gov/public_indian_housing/programs/ph/mod/hotma_ph) )

Since the HUD-calculated subsidy amount by development is lower than the FY 2024 FMR by bedroom size, NYCHA will charge these households the applicable FMR rent for their apartment.

<b>Apartment Size</b>	<b>FY 2024 Fair Market Rent*</b>
Studio	\$2,386
1 Bedroom	\$2,451
2 Bedroom	\$2,752
3 Bedroom	\$3,434
4 Bedroom	\$3,700
5 Bedroom	\$4,255
6 Bedroom	\$4,810

*\*Please note that NYCHA has submitted a request to HUD for a reevaluation of the proposed Fair Market Rents (“FMR”) published for the New York, NY HUD Metro FMR Area for the Fiscal Year 2025.*

Household rent will not be increased to the greater of FMR or the subsidy amount until a public housing household’s income has exceeded 120 percent of AMI for 24 consecutive months and the household has signed the non-public housing over-income lease.

The chart below shows the income levels at which public housing families would be considered over-income under HOTMA based on family size and 2024 AMI levels (third column) as of April 1, 2024.

<b>Family Size</b>	<b>FY 2024 50% AMI</b>	<b>Current Over-Income Limit FY 2024 120% AMI (50% AMI x 2.4)</b>
1	\$54,350	\$130,440
2	\$62,150	\$149,160
3	\$69,900	\$167,760
4	\$77,650	\$186,360
5	\$83,850	\$201,240
6	\$90,050	\$216,120
7	\$96,300	\$231,120

8	\$102,500	<b>\$246,000</b>
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NYCHA will modify its Management Manual to reflect HOTMA changes in accordance with HUD's 2023 regulation regarding over-income households. The Management Manual will discuss, among other things:

- How and when NYCHA will send notices to these households regarding when their rent will increase.
- Signing of the non-public housing over-income lease after 24 consecutive months of income exceeding 120 percent of AMI.
- Inability of these households to participate in public housing resident council or in programs that are only for public housing or low-income families.

## **ATTACHMENT M**

### **The Housing Opportunity Through Modernization Act (HOTMA) SECTIONS 102 and 104**

The U.S. Department of Housing and Urban Development (HUD) published new regulations in February 2023 implementing changes under the Housing Opportunity Through Modernization Act (HOTMA). Sections 102 and 104 of HOTMA make changes to the United States Housing Act of 1937, particularly those affecting income calculations and reviews. Section 102 changes requirements related to income reviews for Public Housing and Section 8 programs. Section 104 sets maximum asset limits for Public Housing and Section 8 applicants and participants.

HUD has delayed implementation of HOTMA sections 102 and 104. Pursuant to a message sent to PHA Executive Directors by HUD on September 18, 2024, "PHAs will **not** be required to come into compliance with the Section 102 and 104 income and assets provisions in the Housing Opportunity Through Modernization Act (HOTMA) Final Rule ([88 FR 9600](#)) by January 1, 2025, except for the Earned Income Disregard (EID) provision."

When implemented, the following changes will apply to the NYCHA's Housing Choice Voucher ("HCV") and Public Housing programs.

#### **ASSET LIMITATION**

##### **Restriction on Assistance to Families Based on Assets - Compliance at Admission**

At admission, ownership of net household assets that exceed \$100,000 (as adjusted for inflation) or ownership of disqualifying real property require denial of assistance. NYCHA does not have discretion to not enforce the asset limitation at admission. This real property restriction does not apply to:

- a. Any property for which the household is receiving assistance under 24 CFR Part 982, the Homeownership Option;
- b. Any property that is jointly owned by a member of the household and at least one non-household member who does not live with the household but the non-household member resides at the jointly owned property;
- c. Any property owned by a household that includes a person who is a victim of domestic violence, dating violence, sexual assault, or stalking, as defined in 24 CFR § 5.203; or
- d. Any property that the household is offering for sale.

NYCHA has adopted a policy of non-enforcement of the asset limitation at annual and interim recertifications. NYCHA will not initiate termination or eviction proceedings for a household for non-compliance with the asset limitation.



## **CALCULATING INCOME**

- **New Admissions and Interim Recertifications**
  - When calculating a household's income, including asset income, at the time of admission to the program or during interim reexaminations, NYCHA must use anticipated income (the household's estimated income for the upcoming 12-month period).
- **Annual Recertifications**
  - NYCHA has the option of using a "safe harbor" income verification from another federal means-tested program to verify gross annual income.
  - During annual recertifications, NYCHA will determine the household's income for the previous 12-month period and use this amount as the household income for annual recertifications; however, modifications to reflect current income will be made. NYCHA will consider any change of income since the household's last annual recertification.
  - NYCHA is required to factor in the Cost-of-Living Adjustments (COLA) when determining Social Security (SS) and Social Security disability (SSI) income for all annual and interim recertifications of household income that have not yet been completed and will be effective January 1 or later of the upcoming year.
  - If NYCHA becomes aware of an income calculation error, NYCHA will correct the error retroactively to the effective date of the error. NYCHA will not retroactively charge or require households to repay for amounts that were undercharged because of a NYCHA error in income calculation.

## **DEDUCTIONS AND EXPENSES**

Effective January 1, 2024, the dependent deduction amount is \$480, and the elderly/disabled household deduction is \$525 and applies to a household's next interim or annual reexamination, whichever is sooner. The amount of deduction will be adjusted for inflation annually by HUD.

- **Unreimbursed Health and Medical Care Expenses and Reasonable Attendant Care and Auxiliary Apparatus Expenses**
  - HUD established a new higher threshold for deducting health and medical care expenses and unreimbursed reasonable attendant care and auxiliary apparatus expenses. The sum of unreimbursed health and medical care and reasonable attendant care and auxiliary expenses that exceed 10 percent of the household's annual income can be deducted from annual income. The 10 percent threshold will be phased-in over two years, as explained below. Prior to the implementation date of HOTMA sections 102 and 104, the threshold was 3 percent of the household's annual income.
  - Health and medical care expenses include costs incurred for the diagnosis, cure, mitigation, treatment, or prevention of disease or payments for treatments affecting any structure or function of the body. It also includes medical insurance premiums and long-term care premiums that are paid or anticipated during the period for which annual income is computed. To claim unreimbursed health and medical care

expenses, the family must have a head of household, co-head, or spouse that is elderly or a person with a disability.

- **Unreimbursed Reasonable Attendant Care and Auxiliary Apparatus Expenses**
  - To claim the deduction for the cost of unreimbursed reasonable attendant care and auxiliary apparatus expenses, the household must include a person with a disability, and the expenses must enable any member of the household (including the member who is a person with a disability) to be employed. If the unreimbursed reasonable attendant care and auxiliary apparatus expense exceeds the amount earned by the person who was enabled to work, the deduction will be capped at the amount earned by that individual.
  - Auxiliary apparatus expenses include, for example, expenses for wheelchairs, ramps, adaptations to vehicles, guide dogs, assistance animals, or special equipment to enable a person who is blind or has low vision to read, or type, or special equipment to assist a person who is deaf or hard of hearing. Some examples of attendant care expenses include teaching a person with disabilities how to perform day-to-day tasks independently like cleaning, bathing, doing laundry, and cooking.
  - Attendant care can be 24-hour care, or care during sporadic periods throughout the day.

### **Hardship Exemptions for Health and Medical Care Expenses and Reasonable Attendant Care and Auxiliary Apparatus Expenses**

- **Phased In Relief**
  - All households who received a deduction for unreimbursed health and medical care or reasonable attendant care and auxiliary apparatus expenses based on their most recent income review prior to the implementation date of HOTMA sections 102 and 104, will begin receiving the 24-month phased-in relief at their next annual recertification or interim recertification, whichever occurs first.
  - Families who receive phased-in relief will have eligible expenses deducted that exceed 5 percent of annual income for 12 months. Twelve months after the 5 percent phase-in began, families will have eligible expenses deducted that exceed 7.5 percent of annual income for the immediately following 12 months. After the family has completed the 24 months phase-in at these lower thresholds the threshold will be expenses exceeding 10 percent of annual income, unless the family qualifies for relief under the general hardship relief provision.
  - A household receiving phased-in relief may request to receive general hardship relief instead. However, once a family chooses to obtain general relief, a family may no longer receive the phased-in relief.
- **General Relief**
  - To receive general relief, a family must demonstrate that the family's unreimbursed health and medical care expenses or unreimbursed reasonable attendant care and auxiliary apparatus expenses increased, or the family's financial hardship is a result of a change in circumstances that would not otherwise trigger an interim reexamination.

- Relief is available regardless of whether the family previously received an unreimbursed health and medical care expense deduction, unreimbursed reasonable attendant care and auxiliary apparatus expense deduction, are currently receiving phased-in hardship relief, or were previously eligible for either this general relief or the phased-in relief.
- If NYCHA determines that a family is eligible for general relief, the family will receive a deduction for the sum of the eligible expenses that exceed 5 percent of annual income. The family's hardship relief ends when the circumstances that made the family eligible for the relief are no longer applicable or after 90 days, whichever comes earlier. NYCHA will extend the relief for one additional 90-day period while the family's hardship condition continues.

### **Child-Care Expenses Deduction and Hardship Exemption to Continue Child-Care Expenses Deduction**

- Any reasonable child-care expenses necessary to enable a member of the family to be employed or to further their education are deducted from income. Reasonable child-care expenses are expenses for the care of children (including foster children if the unreimbursed child-care expenses are paid from the family's annual income and not from another source, such as a stipend from the child welfare agency), under 13 years of age, when all the following statements are true:
  - The care is necessary to enable a family member to be employed or to further their education (e.g., work, look for work, or further their education (academic or vocational)); and
  - The expense is not reimbursed by an agency or individual outside the household.
- A family whose eligibility for the child-care expense deduction is ending may receive a hardship exemption to continue receiving a child-care expense deduction in certain circumstances when the family no longer has a member that is working, looking for work, or seeking to further their education, and the deduction is necessary because the family is unable to pay their rent.
- The amount deducted must not exceed the amount of employment income that is included in annual income.
- The hardship exemption and the new adjusted income calculation will remain in place for a period of up to 90 days, after which the annual income will change to the original amount before the hardship exemption was granted. NYCHA will extend the relief for one additional 90-day period while the family's hardship condition continues.

### **HOUSEHOLD COMPOSITION**

The definition of family now includes a single person who:

- Is an otherwise eligible youth who has attained at least 18 years of age and not more than 24 years of age;
  - Has left foster care, or will leave foster care within 90 days, in accordance with a transition plan described in section 475(5)(H) of the Social Security Act (42 U.S.C. 675(5)(H)); and
  - Is homeless or is at risk of becoming homeless at age 16 or older.
- **Definitions of Foster Adult and Foster Child**

- A foster adult is defined as a member of the household who is 18 years or older and meets the definition of a foster adult under New York state law. A foster child is defined as a member of the household who meets the definition of a foster child under New York state law. In general, a foster child is placed with the family by an authorized placement agency (e.g., public child welfare agency) or by judgment, decree, or other order of any court of competent jurisdiction.
- Foster adults/children are not considered family members and must not be included in calculations of income for eligibility and rent determination purposes. However, foster adults/children are considered household members and must be included when determining unit size or subsidy standards based on established policies.
- Foster children and foster adults are not considered dependents.

## INCOME

### • Annual Income

- Annual income includes all amounts received from all sources by each member of the family who is 18 years of age or older, the head of household, or spouse of the head of household, in addition to unearned income received by or on behalf of each dependent who is under 18 years of age. Annual income also includes the income of a day laborer, independent contractor, and seasonal worker regardless of age, unless otherwise excluded by HUD.
- Annual income also includes all actual anticipated income from assets. Imputed income on the net family assets is included in annual income only when net family assets exceed \$50,000 and actual income from the assets cannot be calculated.
- Note: Annual income includes “all amounts received,” not the amount that a family may be legally entitled to receive but did not actually receive.

### • Determining Net Family Assets

- Net family assets are defined as the net cash value of all assets owned by the family, after deducting reasonable costs that would be incurred in disposing of real property, savings, stocks, bonds, and other forms of investment, except as excluded by HUD.
- Necessary personal property is excluded from net family assets. Necessary personal property are items essential to the family for the maintenance, use, and occupancy of the premises as a home; or they are necessary for employment, education, or health and wellness. Non-necessary personal property with a combined value greater than \$50,000, is considered part of net family assets. When the combined value of all non-necessary personal property does not exceed \$50,000, then all non-necessary personal property is excluded from net family assets.
- **NYCHA’s HCV program requires a household with total net assets that are equal to or less than \$50,000 to submit third-party verification documents.**
- **NYCHA’s public housing program allows a household to self-certify total net assets equal to or less than \$50,000.**

### • Trusts

- Whether the value of a trust counts as a net family asset and whether distributions from the trust count as annual income to the family depends on the following three factors:

- Whether the trust is under the control of the family;
    - Whether distributions are made from the trust’s principal; and
    - The purpose of the distribution, if the distribution is made from income earned on the trust’s principal.
  - The value of irrevocable trusts and revocable trusts that are not under the control of the family are both excluded from net family assets.
  - If NYCHA determines that the revocable trust is included in the calculation of net family assets, then the actual income earned by the revocable trust is also included in the family’s income from assets.
- **Imputed Income**
    - Imputed income from assets is no longer determined based on the greater of actual or imputed income from the assets. Instead, imputed asset income must be calculated for specific assets when three conditions are met:
      - The value of net family assets exceeds \$50,000 (as adjusted for inflation);
      - The specific asset is included in net family assets; and
      - Actual asset income cannot be calculated for the specific asset.
  - **Federal Tax Refunds or Refundable Tax Credits**
    - All amounts received by a family in the form of federal tax refunds or refundable tax credits are excluded from a family’s net family assets for a period of 12 months after receipt by the family.

## INCOME EXCLUSIONS

- Income that will not be repeated beyond the coming year (i.e., the 12 months following the effective date of the certification), based on information provided by the family, is considered nonrecurring income, and is excluded from annual income. The nonrecurring income exclusion replaces the former exclusion for temporary, nonrecurring, and sporadic income (including gifts). Examples of nonrecurring income include temporary U.S. Census Bureau Employment, economic stimulus or recovery payments, state tax refunds, federal tax refunds, in-kind donations, lump-sum additions to net family assets, settlements, earned income of dependent full-time students, etc.
- Income that has a distinct end date and will not be repeated beyond the coming year during the family’s upcoming annual reexamination period will be excluded from a family’s annual income as nonrecurring income.

## Student Financial Assistance

Financial assistance for educational costs is generally excluded from income if the financial assistance is less than actual educational costs. Excess financial assistance received under 479B of the Higher Education Act (HEA) is excluded from income depending on the context, as explained below. Other excess financial assistance (non-HEA assistance) is never excluded from income.

- **For NYCHA’s Public Housing residents**, all HEA assistance is excluded from income, including any excess portion. Other student financial assistance (non-HEA assistance) received by the student that, either by itself or in combination with HEA assistance, are in excess of the actual educational costs will be included in income.

- **For NYCHA Section 8 residents**, if HUD’s congressional appropriation includes a Section 8 student financial assistance limitation (as it usually does), then the treatment of excess student financial assistance depends on who the student is.
  - If the student is the head of household, co-head, or spouse and is 23 or younger or does not have dependent children, then excess HEA assistance and excess non-HEA assistance will be included in income. If the student is over 23 with dependent children, then all HEA assistance is excluded from income, including any portion that is in excess and only the non-HEA excess assistance will be included in income.
  - If HUD’s congressional appropriation does not include a Section 8 student financial assistance limitation, then financial assistance for educational costs will be treated the same as assistance is treated for public housing residents.
  - The distinction between treatment of excess HEA assistance for Section 8 and public housing residents is required by HUD’s statutory interpretation.

### **Other Types of Income Exclusions (Not All Inclusive)**

- Achieving a Better Life Experience (ABLE) Accounts, distributions from Coverdell Education Savings Accounts, 529 accounts and “Baby Bond” accounts, gross income from self-employment or operation of a business, civil rights judgements, settlements, etc.

### **Elimination of the Earned Income Disregard (EID)**

- The EID allowed eligible families to have a portion of their earned income excluded from annual income for a maximum period of 24 consecutive months. The EID will not apply to any family who is not eligible for and already participating in the disallowance as of December 31, 2023.
- Households who were receiving the EID benefit as of December 31, 2023, may continue to receive the full benefit until the remaining timeframe for an individual family’s EID expires. Because the EID lasts up to 24 consecutive months, no family will still be receiving the EID benefit after December 31, 2025.

### **INTERIM RECERTIFICATIONS**

- **Enterprise Income Verification (EIV)**  
NYCHA will eliminate the use of EIV requirement for interim reexaminations.
- **Decreases in Adjusted Income**  
NYCHA will conduct an interim reexamination of family income when the family reports that there is a change in the family’s annual adjusted income.
- **Increases in Adjusted Income**
  - NYCHA will conduct an interim reexamination of family income when NYCHA becomes aware that the family’s adjusted income has changed and will result in an increase of 10 percent or more in annual adjusted income.
  - NYCHA will conduct an interim if the household has zero income and is now reporting earned income.
  - NYCHA will not conduct an interim reexamination during the last three months of a certification period if the family reports an increase in income within three months of the next annual recertification effective date.

### **Authorization for the Release of Information**

All applicants must sign the consent form at admission, and participants must sign the consent form no later than their next interim or regularly scheduled income recertification. After an applicant or participant has signed and submitted a consent form, they do not need to sign and submit subsequent consent forms at the next interim or regularly scheduled income recertification except under the following circumstances:

- When any person 18 years or older becomes a member of the family;
- When a member of the family turns 18 years of age; and
- As required by HUD or NYCHA in administrative instructions

The executed consent forms will remain effective until the family is denied assistance, the assistance is terminated, or the family provides written notification to NYCHA to revoke consent. Revocation of consent or refusal to sign the consent forms prohibits NYCHA from providing assistance. A family has the right to revoke consent by providing written notice to NYCHA. However, revoking the consent will result in termination of assistance or denial of admission to program.

### **Determination of Income Using Other Means Tested Public Assistance (i.e., “Safe Harbor”)**

NYCHA may determine a family’s annual income, including income from assets, prior to the application of any deductions based on income determinations made within the previous 12-month period, using income determinations from the following types of means-tested federal public assistance programs:

- The Temporary Assistance for Needy Families block grant (42 U.S.C. 601, et seq.).
- Medicaid (42 U.S.C. 1396 et seq.).
- The Supplemental Nutrition Assistance Program (42 U.S.C. 2011 et seq.).
- The Earned Income Tax Credit (26 U.S.C. 32).
- The Low-Income Housing Tax Credit (26 U.S.C. 42).
- The Special Supplemental Nutrition Program for Woman, Infants, and Children (42 U.S.C. 1786).
- Supplemental Security Income (42 U.S.C. 1381 et seq.).
- Other programs administered by the Secretary.
- Other means-tested forms of federal public assistance for which HUD has established a memorandum of understanding.
- Other federal benefit determinations made by other means-tested federal programs that the Secretary determines to have comparable reliability and announces through a Federal Register notice.

NYCHA must obtain the verification via third-party verification. The third-party verification must state the family size, must be for the entire family (i.e., the family members listed in the documentation must match the family’s composition in the assisted unit, except for household members), and must state the amount of the family’s annual income.

If NYCHA uses a Safe Harbor determination to determine the family’s income for an income examination (New Admission/Move Ins, Interim Recertification, or Annual Recertification), then the family is obligated to report changes in income that meet the reporting requirement and occur after the effective date of the action.

**ATTACHMENT N**  
**Public Housing Resident Advisory Board (RAB) Members**

	<b>Name</b>	<b>Development</b>	<b>District</b>	<b>Delegate /Alternate</b>
1	Geraldine Hopper	Clason Point Gardens	Bronx North	Delegate
2	Keith Ramsey	Eastchester Gardens	Bronx North	Delegate
3	Lilithe Lozano	Parkside	Bronx North	Delegate
4	Maurice Tony Edwards	Marble Hill	Bronx North	Delegate
5	Walter McNeil	Edenwald	Bronx North	Delegate (Section 8)
6	Dana Elden	St. Mary's Park	Bronx South	Delegate
7	Daniel Barber	Jackson	Bronx South	Delegate
8	Gloria Tull	Claremont Parkway	Bronx South	Delegate
9	Gwendolyn Primus	Webster/Morrisania	Bronx South	Delegate
10	Maria Forbes	Clay Avenue	Bronx South	Delegate
11	Princella Jamerson	Millbrook/Millbrook Extension	Bronx South	Delegate
12	Raymond Serrano	Stebbins Hewitt	Bronx South	Delegate
13	Carolyn Johnson	Albany	Brooklyn East	Delegate
14	Lisa Kenner	Van Dyke	Brooklyn East	Delegate
15	Naomi Johnson	Howard	Brooklyn East	Delegate
16	Reginald Bowman	Seth Low	Brooklyn East	Delegate
17	Amarilys Herrera	Marlboro	Brooklyn South	Delegate
18	Barbara McFadden	Nostrand	Brooklyn South	Delegate
19	Frances Brown	Red Hook East	Brooklyn South	Delegate
20	Julia Daniely	Carey Gardens	Brooklyn South	Delegate
21	Marie Navarro	Gravesend	Brooklyn South	Delegate
22	Sheryl Boyce	Bayview	Brooklyn South	Delegate
23	Adorn DuBose	Sumner	Brooklyn West	Delegate
24	Darold Burgess	Ingersoll	Brooklyn West	Delegate
25	Gloria Johnson	Tompkins	Brooklyn West	Delegate
26	Lohoma Shipman	Bushwick	Brooklyn West	Delegate
27	Naomi Colon	Marcy	Brooklyn West	Delegate
28	Tyree Stanback	Lafayette Gardens	Brooklyn West	Delegate
29	Bernadette McNear	Rangel	Manhattan North	Delegate
30	Ethel Velez	Johnson	Manhattan North	Delegate
31	Luis Torres	Clinton	Manhattan North	Delegate
32	Nathaniel Green	Dyckman	Manhattan North	Delegate
33	Aixa Torres	Smith	Manhattan South	Delegate
34	Claudia Perez	Washington Houses	Manhattan South	Delegate
35	Cynthia Tibbs	WSUR Brownstones	Manhattan South	Delegate
36	Kazi Islam	Lower Eastside II	Manhattan South	Delegate
37	Nina Saxon	Carver	Manhattan South	Delegate
38	Ramona Minor	DeHostos	Manhattan South	Delegate
39	Bridget Marachlian	Bland	Queens North	Delegate
40	Corinne Woods-Haynes	Queensbridge I & II	Queens North	Delegate
41	Tamika Williams	Pomonok	Queens North	Delegate
42	Vanessa Jones-Hall	Astoria	Queens North	Delegate
43	Belinda Davis	Hammel	Queens South	Delegate
44	Eugenia Gibson	Beach 41st Street	Queens South	Delegate
45	Joyce Hutton	Conlon-Lifhe Towers	Queens South	Delegate
46	Kimberly Comes	Redfern	Queens South	Delegate
47	Lawanda Gainey-Johnson	Carleton Manor	Queens South	Delegate
48	Manuel Martinez	South Jamaica I & II	Queens South	Delegate
49	Margareth Massac	Oceanside	Queens South	Delegate
50	Brenda "Kiko" Charles	Mariner's Harbor	Staten Island	Delegate
51	Clifton Creque	Berry	Staten Island	Delegate
52	Dr. Brenda Harris	Cassidy-Lafayette	Staten Island	Delegate
53	Shekina Butler	West Brighton I & II	Staten Island	Delegate (Section 8)



## **ATTACHMENT O**

### **Agendas of Meetings Held with NYCHA's Resident Advisory Board (RAB)**

#### **APRIL 9 & 11, 2024**

- Introductions
- Roll Call
- RAB Meetings Schedule & Topics
- Annual Plan & Amendment Schedule
- ACOP and Re-Entry Program Presentation
- Comments and Questions

#### **APRIL 23 & 24, 2024**

- Introductions
- Roll Call
- RAB Meetings Schedule & Topics
- The Housing Opportunity Through Modernization Act (HOTMA)
  - Section 102 Income Reviews
  - Section 103 Public Housing Income Limit
  - Section 104 Assets
  - Road to Implementation
- Comments and Questions

#### **MAY 7 & 9, 2024**

- Introductions
- Roll Call
- RAB Meetings Schedule & Topics
- PACT Overview & Updates
- Other REDD Project Updates

#### **MAY 21 & 23, 2024**

- Introductions
- Roll Call
- Asset and Capital Management / Capital Projects
- Comments and Questions

#### **JUNE 4 & 6, 2024**

- Introductions
- Roll Call
- 5-Year Goals for 2025 to 2029
- Comments and Questions

#### **JUNE 11 & 13, 2024**

- Introductions
- Roll Call
- Housing Preservation Trust
- Comments and Questions

#### **SEPTEMBER 10 & 12, 2024**

- Introductions
- Roll Call
- Review of RAB Meetings Presentations
- Comments and Questions

## ATTACHMENT P

### COMMENTS FROM NYCHA'S RESIDENT ADVISORY BOARD (RAB)

#### Eligibility, Selection, Admissions and Deconcentration Policies

- A RAB member asked if residents who have already completed their recertifications in 2024, will have their rent recalculated when the increases to the standard deductions for elderly and disabled households go into effect?

*NYCHA has reverted to the pre-HOTMA elderly/disabled standard deduction of \$400 until HUD releases a new timeline for implementing the HOTMA changes.*

- A RAB member asked what is EID?

*The Earned Income Disregard ("EID") allowed eligible families to have a portion of their earned income excluded from their annual income for a maximum period of 24 consecutive months. EID will not apply to any family who is not eligible for and already participating in the disallowance as of December 31, 2023. For more information on HOTMA related changes, please consult the HOTMA FAQs located on HUD's website.*

<https://www.hud.gov/sites/dfiles/PIH/images/PIH%20HOTMA%20QA.pdf>  
[https://www.hud.gov/program\\_offices/public\\_indian\\_housing/hotmaresources](https://www.hud.gov/program_offices/public_indian_housing/hotmaresources)

- A RAB member asked what is EIV?

*HUD's Enterprise Income Verification ("EIV") System is an on-line system for the determination and verification of various resident information and income that public housing agencies use in determining rental subsidy. Additionally, EIV collects supplemental employment and benefit information through data sharing agreements with the Social Security Administration (SSA) and the Department of Health and Human Services (HHS). These agreements provide an analysis of income and benefit information that HUD's EIV team monitors in order to assist public housing agencies and HUD Field Offices to identify and resolve certain regulatory deficiencies and to implement proactive measures to effectively mitigate risk and program waste, fraud and abuse. For more information on HOTMA related changes, please consult the HOTMA FAQs located on HUD's website*

<https://www.hud.gov/sites/dfiles/PIH/images/PIH%20HOTMA%20QA.pdf>  
[https://www.hud.gov/program\\_offices/public\\_indian\\_housing/hotmaresources](https://www.hud.gov/program_offices/public_indian_housing/hotmaresources)

- A RAB member asked if NYCHA will stop requiring families with only fixed income sources such as Social Security to submit Annual Reviews each year. What happens if the COLA (Cost of Living Adjustment) increases during the time period in between annual reviews?

*On December 4, 2015, the President signed The Fixing America's Surface Transportation Act (FAST Act) which contains language that allows public housing authorities (PHAs) and owners to*

*conduct full income recertifications for families with 90 percent or more of their income from fixed-income every three years instead of annually. PHAs using streamlined income determinations must adjust a family's fixed income using a COLA or current interest rate that applies to each specific source of fixed income and is available from a public source or through tenant-provided, third-party-generated documentation. NYCHA implemented streamlined recertifications for qualifying tenants in August 2023. August 2023 and beyond is considered Year 1 of the three-year timeline. Note, this only alleviates the requirements related to income verification for fixed income tenants. Tenants are still required to update any demographic information that changed during the year and verification of other things such as medical deductions must still occur.*

*Income from the first year will be applied the Cost-of- Living Adjustment (COLA). The rate is determined by the Social Security Administration each year and varies from year to year. For 2024, the COLA rate is 3.2%*

*For example, 2023 is the first year the tenant is eligible for the Fast Act annual recertification and the income is \$25,000. In 2024, tenant is still eligible, and the COLA rate is 3.2%. We will add \$800 (3.2% of \$25,000) to the household income of \$25,000 = \$25,800 as the income for 2024. In 2025, tenant is still eligible, and the COLA rate is 3.5%. We will add \$903.18 (3.5% of \$25,800) to the previous year's income of \$25,800 = \$26,703.18 for 2025.*

- A RAB member inquired if NYCHA will develop materials for residents to explain the changes from HOTMA.

*NYCHA is working on materials for the residents in both the public housing (Section 9) and housing choice voucher (Section 8) programs. HUD also has a webinar series that is available. Please consult the Resident HOTMA resources on the HUD website [HOTMA Income and Assets - HUD Exchange](#)*

- A RAB member asked that NYCHA explain how the threshold for assets increased from \$5,000 to \$50,000. Do residents have to provide their bank account information when they recertify?

*Net family assets are defined as the net cash value of all assets owned by the family, after deducting reasonable costs that would be incurred in disposing of real property, savings, stocks, bonds, and other forms of investment, except as excluded by HUD. Necessary personal property is excluded from net family assets. NYCHA's public housing program allows a household to self-certify total net assets equal to or less than \$50,000. Public housing residents are required to report that they have a bank account but will not be required to provide the bank account information if the value is less than \$50,000. Please note that NYCHA's Housing Choice Voucher (Section 8) program requires a household with total net assets that are equal to or less than \$5,000 to submit third-party verification documents.*

- A RAB member asked if residents still have the daycare/childcare deductions.

*A family whose eligibility for the child-care expense deduction is ending may receive a hardship exemption to continue receiving a child-care expense deduction in certain circumstances when the family no longer has a member that is working, looking for work, or seeking to further their education, and the deduction is necessary because the family is unable to pay their rent. The*

*amount deducted must not exceed the amount of employment income that is included in annual income.*

*The hardship exemption and the new adjusted income calculation will remain in place for a period of up to 90 days, after which the annual income will change to the original amount before the hardship exemption was granted. NYCHA will extend the relief for one additional 90-day period while the family's hardship condition continues. Note that the hardship exemption is linked to HOTMA which is not yet implemented.*

- A RAB member asked if over-income residents can participate in tenant associations.

*No. Based on federal law and HUD regulations implementing the law, over-income families become non-public housing over-income residents. These residents are no longer part of the public housing program can't participate in the tenant association.*

- A RAB member asked if the Fair Market Rent ("FMR") the same amount as the Ceiling Rent.

*The "Ceiling Rent" is formally known as the "Flat Rent" and is NOT the same amount as the FMR. NYCHA sets flat rents based on the allowable rent structure under 42 U.S.C. § 1437a(a)(2)(B)(i)(I)(aa) which requires flat rents to be set no lower than **80%** of the applicable fair market rent established under 42 U.S.C. § 1437f(c). Please see page 65 of the Final FY 2025 Annual PHA Plan for the FY 2025 Flat Rent schedule.*

- A RAB member from the WSUR Brownstones stated that NYCHA should re-evaluate the tenant screening process.

*Applicant eligibility and screening for all NYCHA units is guided by HUD policy as outlined in the Admissions and Continued Occupancy Policy (ACOP). For more information, please visit NYCHA's website [Admissions and Continued Occupancy Policy \(nyc.gov\)](https://www.nycha.gov/admissions-and-continued-occupancy-policy).*

- A RAB member expressed an issue for residents who are ready to retire and are over income. Their income will be half or a third of their prior income. Can NYCHA explain how this works and the income thresholds?

*NYCHA determines a resident's household annual income and compares it to the over-income limits at each Annual Recertification and Interim Recertification. Residents whose annual income exceeds the over-income limit (over 120% AMI) for 24 consecutive months may remain in the unit if they sign a non-public housing over-income lease and pay the alternative non-public housing rent (alternative rent). After signing the new lease, the resident will no longer be considered a participant of the public housing program and will be considered a Non-Public Housing Over Income (NPHOI) resident. During the 24 consecutive month grace period, the resident will continue to pay the appropriate public housing rent. If NYCHA becomes aware of a decrease in income that results in the resident's household annual income falling below the over-income limit, the resident is no longer considered over-income and the 24-month clock stops; this may also result in a new public housing rent depending on the total household annual income and family composition. A new 24-month clock starts if the resident's household annual income later increases above the AMI.*

## **Housing Choice Voucher Program**

- A RAB member asked if NYCHA still issues out-of-state vouchers?

*NYCHA Housing Choice Voucher Holders who wish to transfer or “port” their Section 8 vouchers to a location outside of New York City can submit their port out transfer request via the [NYCHA Self-Service Portal](#) or call the NYCHA Customer Contact Center (CCC) at 718-707-7771. For more information on the Portability process, please visit the NYCHA website [how portability works \(nyc.gov\)](#)*

- A RAB member asked what is the difference between the two types of Section 8 vouchers? What is Section 8’s official name?

*Tenant-Based vouchers are assigned to tenants, allowing tenants to choose housing in the private rental market. The voucher can be used in any part of the country where a public housing authority (PHA) administers a Section 8 program. This is commonly referred to as “portability”, which means a tenant can move from one jurisdiction to another and retain their voucher. But specific rules can vary by PHA, it’s essential to check with NYCHA or specific PHA to confirm any specific restrictions or requirements. Project-Based Vouchers are tied to specific housing units. If a tenant moves out of a project-based unit, they cannot take the voucher with them. The tenant may request a tenant-based voucher, and the PHA will issue the voucher based on funding availability. Section 8’s official name is Housing Choice Voucher Program.*

## **Finance**

- A RAB member asked why there is no discussion about ERAP for NYCHA. Public Housing residents faced disproportionate impacts from COVID, especially in terms of death rates and financial stress, but that the issue is being under-communicated by NYCHA leadership.

*Through NYCHA’s successful partnership with residents, elected officials, and other advocates, the Authority has received a total of approximately \$159 million in ERAP funding, \$39 million more than we initially expected. We have already applied over \$148 million to residents’ accounts and will continue to credit residents’ accounts as funds are received. This funding has helped to bring down rent arrears.*

## **Family Re-Entry Program**

- A RAB member requested information on assistance for parents released from incarceration to help them gain custody of their children and access to housing.

*To gain custody of their children, they may be able to submit a petition in Family Court to re-gain custody of their children. More information can be found at the following link: [Family Court Basics for Parents Who Were Previously Incarcerated | Family Legal Care](#). Individuals who have active ACS cases may apply for Family Re-Entry.*

*To the question of housing, Family Re-entry can be a housing option for individuals who meet the criteria for eligibility. For those who do not, they will need to explore other options, like transitional housing for formerly incarcerated people, the city shelter system, or supportive housing, as well as applying for housing vouchers, affordable housing, and subsidized housing. The [New York Public Library’s Jail & Prison Services](#) offers a resource called [Connections 2024](#), a physical and digital book that has a range of resources, including housing. [NYPL Connections](#)*

[2024](#) could be a good starting point for navigating different barriers that formerly incarcerated people face.

- A RAB member wants to know if there is a standard for re-entry based on the type of conviction.

*No, each application is assessed on an individual basis. Re-entry providers will make assessments of the applicant and household that will be included in the application. Following receipt of the application, Family Re-entry staff conducts an additional assessment of the applicant and household. These steps must happen for the applicant to be admitted to the program.*

*The only types of conviction histories for which we will not accept applications are individuals who are required to lifetime registry on the Sexual Offender Registry, and individuals who have been convicted of producing methamphetamines on public housing property. Every other type of conviction and conviction history is open for consideration for an individualized assessment.*

- A RAB member asked what is the standard for re-entry at the senior-only developments?

*The applicant must be at least 62 years old to be consistent with the age criteria for those developments and must meet the other criteria for Family Reentry.*

- RAB members suggested revising the family relationships that qualify for the Family Re-Entry program. For example, a re-entry applicant may only have an aunt, uncle, or a cousin as family. Currently, they would be barred from participating.

*Family Re-entry will consider this feedback. We will be monitoring how the newly modified family criteria in the Admissions and Continued Occupancy Policy (ACOP) impacts families living in NYCHA.*

- A RAB member inquired about the Re-Entry program. Are there rules allowing non-family members to live with them? Can NYCHA clarify that under the new rules?

*Family Re-entry will consider this feedback. We will be monitoring how the newly modified family criteria in the Admissions and Continued Occupancy Policy (ACOP) impacts families living in NYCHA.*

- RAB members requested information on how many people have been in the program.

*Since 2013, NYCHA has received 347 referrals to the program. Of these, 199 people have been accepted into the program over the course of 10 years. An additional 114 withdrew and 33 were denied.*

*In 2023, Family Re-entry participated in 13 Family Days, 5 Mayor's Action Plan Neighborhood STAT (MAP NSTAT) Days, and National Night Out Against Crime. Family Re-entry also participated in several public facing events in and around NYCHA developments. Some examples include our work with the Red Hook Community Justice Center, the Brownsville Safety Alliance, and the Brooklyn Public Library. Family Re-entry worked with NYC Probation, the NYS Department of Corrections and Community Supervision, and participates in visits to local correctional facilities. Family Re-entry distributed over 1,200 flyers in 2023.*

- The RAB requested information on how many re-entry graduates have gained employment and how many were hired by NYCHA.

*Family Re-entry does not have a tracking system for how many people who have completed the program have gained employment and how many have been hired by NYCHA. Anecdotally, we know that some of our participants/former participants have been employed by NYCHA through our interactions with them, but there is no tracking system for where individuals are employed. We are considering other strategies to serve participants who have not been able to obtain and seeking employment, including increasing NYCHA's commitment to serving this population by leveraging opportunities that are available to other NYCHA residents, like Section 3 opportunities, and training opportunities through REES like the NYCHA Resident Training Academy and Clean Green. We are in the process of implementing a survey, part of which collects information about long-term employment outcomes for former participants, as well as whether participants have been employed by NYCHA.*

- The RAB requested information on how confidentiality is handled for the re-entry applicant?

*The Family Re-Entry Program is an independent unit within the Family Partnerships Department. Information about participants is not shared with other departments in NYCHA. The Family Re-Entry Program maintains a separate database to keep track notes and our correspondences with participants that is not accessible by other departments. There is a limited number of people who participate in the screening process who have access to information about applicants and households.*

- The RAB wants to know if applicants who are more than three years past their release dates are eligible for the program.

*Applicants who are more than three years past their release date are not eligible for Family Re-entry but may be eligible to join a household through a Permission to Join Household request. This is dependent on the individual's criminal history. Some criminal offenses may not make an applicant ineligible. Ineligibility is dependent on the type and number of convictions, and the amount of time elapsed following those convictions.*

- The RAB wants to know how a resident would know if they are on an exclusion or the trespass ban list.

*NYCHA sends formal letters to the head of household informing them of the Permanent Exclusions and the resident is required to acknowledge the terms.*

- The RAB requested information on the criteria for the household members to engage and participate in activities. Who provides the services? Who decides what type of services are needed for each family?

*Services are generally oriented towards Family Re-entry participants, to support their successful reentry. Services may be suggested for other household members if it becomes apparent during participation in the program that there are other issues that are impacting the entire household and could impact the participant.*

*For participants in Family Re-entry, we aim to identify a provider that's geographically closer to where the participant lives and/or offers the types of support that the participant is seeking. Through case management, tailored services are offered to individuals. If an individual demonstrates a particular need, we can determine if secondary referrals are necessary.*

*Should the NYCHA Head of Household face any tenancy breach that puts their housing stability at risk, FRP or Property Management may submit a referral to Family Partnership for a social service intervention. FRP or Property Management may also make referrals to Family Partnerships if the family needs services related to rental arrears, hoarding, DV, neighbor conflict, family conflict, etc.*

- The RAB asked how the Family Re-entry Program is being implemented when the tenants have safety concerns.

*During the two-year period, Family Re-entry staff will have more contact with the participant and household if the participant is experiencing challenges, or if complaints or household issues arise. With participants who are doing well, there will generally be less contact.*

*Periodic background checks are also conducted on participants during their two-year permitted time. If a participant in Family Re-entry is arrested for a serious crime, their participation in the program can be ended, and permanent exclusions and/or trespass bans can be reactivated.*

### **PHA's Operation and Management**

- A RAB member at Gravesend asked how often NYCHA exterminators are supposed to treat the developments. There are recurring rat infestations, and they see rat holes around the development. They also asked when is NYCHA installing exterior compactors?

*NYCHA responds regularly to manage the rat problem. NYCHA treats the grounds at Gravesend every Thursday. There is also an exterminator stationed there five days a week for interior work orders. Residents should create a work order for rats when they see burrows on the exterior. NYCHA's Pest Control will address the issues when they treat the grounds.*

- A RAB member expressed concerns over the long wait times for calls at the Customer Contact Center (CCC) and stated that they were informed that staff had been laid off.

*NYCHA has not laid off permanent staff at the CCC. NYCHA has been working to hire additional temporary staff for the CCC through the summer to answer calls and reduce wait times. As of September 12, 2024, the CCC has 25 temporary staff handling calls in addition to permanent staff.*

- A RAB member stated that last April, there was a flood at Albany and there was a tenant in a wheelchair. They could not access the elevator to return to their apartment because the water tank was flooded.

*There was a malfunction on the roof tank of 1414 Bergen Street which caused the tank to overflow in April. Stairways, motor room and elevators were affected. Repairs were made to the roof tank and elevators were restored. To date, there are no further issues.*

- A RAB member from Gravesend inquired about the parking stickers. The residents paid for their parking spaces but never received the stickers. How are the parking stickers distributed?

*As August 31, 2024, 18 permits were issued (17 residents and 1 non-resident). NYCHA has also been in contact with LAZ Parking who reviews the parking applications and mail the permits.*



*They confirmed that they have not received any email or calls regarding applicants from Gravesend regarding their permits.*

The RAB member from Gravesend also expressed concerned about the NYCHA skilled trades supervisors taking space in the community center. This limits resident access. They would love to have a program here for the kids but can't do anything until those trade supervisors leave the community center. They also asked what the point is of having security cameras if they don't deter negative activities.

*The Resident Association at Gravesend currently occupies space in the community center which is shared with Skilled Trades. The new Skilled Trades office, which will be located at Roosevelt Houses, 388 Pulaski Street is currently in the construction phase. The tentative completion is mid- October 2024. The cameras at Gravesend are currently operable.*

- A RAB member expressed that NYCHA needs to have better oversight of the painters. Does NYCHA have any compliance oversight when it comes to contractors and maintenance workers? Is there a survey for the residents to rate the type of work that was done in the apartment? Who is involved in developing the survey? How will the survey be distributed to residents and how will their feedback be utilized?

*Since the HUD Agreement was signed in January 2019, NYCHA has established three units – the Quality and Cost Control Unit, the Compliance Department and the Environmental Health and Safety Department. These units have been conducting oversight into NYCHA's painters and NYCHA's vendors, among other items. Oversight activities include reviewing whether painters are following lead-safe work practices when units are positive or presumed positive for lead-based paint, reviewing whether painters are keeping appointments and conducting work according to the skilled trades schedule, reviewing the quality of painters' workmanship, and reviewing the manner and quality of work of NYCHA's vendors. Referrals for discipline are made when painters or staff hiring vendors are not following the proper protocols. If you have a specific individual or vendor you would like to report for additional scrutiny, please reach out to the Compliance Department and file a complaint using the following link - <https://selfserve.nycha.info/nycha/app/compliance/enu?SWECmd=Start>.*

*The Asset and Capital Management department is focusing on the work being done and following up on inspections and surveys to identify problematic contractors and recognize those who perform well. Internally, NYCHA is working on a work order survey in collaboration with the Resident Roundtable. This survey aims to gather resident feedback on the quality of work done through electronic or phone surveys.*

- A RAB member at Van Dyke expressed that there was a poorly done paint job at the development last year. Why would the painters begin painting the walls, without priming first?

*The Property Maintenance Supervisor verified that the paint used was mixed with primer and informed the resident leader that they also inspect all paint repairs to ensure the proper material is being used and that the work is of good quality.*

- A RAB member stated that there are relocation issues for leak and mold repairs at Ingersoll. There are two residents facing significant challenges. One resident is experiencing a leak issue

after lead abatement at their apartment. Another resident has a mold condition in their apartment. What is NYCHA doing about the lack of response from property management at Ingersoll?

*The development in coordination with NYCHA's lead abatement team works to ensure all post abatement repairs are addressed before residents return to the units. The development makes every effort to address mold conditions within the mandated timeframes. Residents should contact the Customer Contact Center (CCC) at 718-707-7771 or use the MyNYCHA app [MyNYCHA - NYCHA](#) to report any repair needs.*

## **Safety and Security**

- A RAB member asked what can be done to improve monitoring and response, for security cameras? Are there protocols for following up on incidents recorded by security cameras? There is a lot of illegal drug activity happening, despite the security cameras at their development.

*NYCHA's Office of Safety and Security reviews the security camera tapes and sends them to the New York Police Department (NYPD) if a crime is to be addressed or reported. If there's an area with repeated incidents, needing further follow-up, NYCHA will forward the footage to the appropriate agency or property management to have it addressed. The NYPD has protocols to request footage as needed and there is little delay in getting them what is needed.*

- A RAB member asked how often does NYCHA's Office of Safety and Security and local management meet to discuss enforcing the housing policy regarding security issues?

*This is different for all developments and depends on their current security issues. Some locations where there are high crime or quality of life issues, we meet frequently or communicate daily.*

- A RAB member at Gravesend asked how far back can you look into security camera recordings?

*Camera footage is available for viewing. The actual look back period is sensitive law enforcement information which is not disclosed publicly.*

## **Capital Improvements**

- A RAB member expressed that they understood the Section 3 rulings and regulations, but there is a low number of viable candidates. We are restricted to having only residents on the lease. Will there be a discussion to have future recruits trained in the needed programs to supplement the lack of NYCHA skilled trades?

*NYCHA's Resident Training Academy is a pre-apprenticeship program which supports basic qualifications for residents to become an apprentice. We also have some specialized programs that run for specific trades. Funding for training and placement support are significant challenges. We are working on how to collaborate with the Public Housing Community Fund, and other nonprofits organizations to enhance workforce programs. Current NYCHA-administered*

*training programs are reserved for NYCHA residents on the lease as Section 3 targeted workers, given resource constraints. However, NYCHA's REES department works with several partner organizations who accept any New York City residents to their construction workforce training programs across the city. These include St. Nick's Alliance, NYC District Council of Carpenters, and others.*

- A RAB member expressed their concerns not only for the safety (particularly scaffolding) and security during the WSUR roof renovation, but also other concerns for the continuous engagement, communication issues, and heating system issues in the WSUR Brownstones buildings. They state the current steam system from Con Edison is failing, and a long-term solution is needed. How can we keep our residents safe with the scaffolding? There's a new system at 830 Amsterdam Avenue but it not approved because that building has landmark status. Why won't NYCHA try to get approval?

*There should be engagement between the resident leaders and the contractors. NYCHA's Asset and Capital Management (A &CM) team will meet with the development to discuss concerns about the roofs, scaffolding, the contractors, and the heating system.*

*There is acknowledgment of the significant funding gap and the need for efficient allocation of the available funding to address critical heating issues. Our Emergency Capital Team has been involved in the heating system issue and running the inspections for those two brownstone buildings. We are having a lot of issues due to the wood structure in the basement for these two brownstone buildings. We have successfully completed the temporary structural shoring for all buildings that required support. NYCHA is working closely with our partners to figure out the best solution for a new system.*

*A&CM Project staff have connected and are in communication with the Resident Association leader of the WSUR Brownstones directly on the issues cited above. A&CM Staff have also joined resident association meetings last Fall and Spring preceding the RAB meeting (and continue to do so every month) to share information and answer questions with the broader resident community about the roofing project and the heating situation.*

- A RAB member at Saint Mary's Park expressed that like many developments, they are having an issue with the aluminum-based lobby doors, which are worthless, and cause the budgets to be swallowed up by constant repairs. They are seeking funding for their development to replace the entrances to the buildings. Preferably they want to go back to the steel based frontal lobby frames and doors. Is it possible for NYCHA to assist with information, vendors, estimates to present to their possible benefactor?

*NYCHA can assist but there are no aluminum doors at Saint Mary's Park. We've designed a new door that uses steel reinforced doors with stronger hardware. Asset and Capital Management met with the RAB member and the EVP for Operations to go over the results from the pilot sites on June 28, 2014.*

- A RAB member at Saint Mary's Park stated that NYCHA needs to recruit more people into skilled trades.

*NYCHA has been trying to increase the skilled trades numbers. We have enhanced training programs for Heating Plant Technician. We are working on expanding recruitment efforts, to ensure these programs are accessible to a broad range of candidates, including older workers.*

- A RAB member at Gravesend expressed dissatisfaction with the contractors and their lack of communication and engagement. What is NYCHA doing to ensure that the contractors are engaging with them and communicating the status of work that is done at the development? There are many issues with water pressure at the annex buildings.

*NYCHA has developed a new engagement strategy centered around the work being done by the capital projects liaison team to improve Resident engagement through various actions:*

- *Act as a single point of contact on capital projects for Property Management and Resident Leadership*
  - *Increase transparency and opportunities to input on capital planning and prioritization*
  - *Provide comprehensive updates across active & planned projects*
  - *Ensure effective coordination between capital project teams*
  - *Troubleshoot and follow-up on other issues and feedback*
- A RAB member at South Jamaica Houses asked what is NYCHA's definition of input from the RAB? There are projects that are happening at my development and NYCHA refuses to engage with them, why? How can a city council member approve and tell NYCHA to move forward with any project, without consulting the tenant leader and the community?

*NYCHA is committed to increasing engagement with everyone. It is challenging, but NYCHA's Asset and Capital Management (A & CM) team strives to address any changes promptly and ensure that our residents are informed. We want to make sure we are compliant with Federal requirements and do our best to understand our residents' needs.*

- A RAB member at Cassidy- Lafayette gave positive feedback on the capital project at the development and specifically the Capital project liaison. All the residents are pleased with the outcome.

*There is property liaison assigned to every development. We strive to provide this level of service to all the developments.*

- A RAB member at Gravesend asked why contractors often perform subpar work, that leads to repeated repairs and then says there is no money available to complete the repair? These contractors continue to dig until it becomes a rat haven. What is NYCHA going to do about this?

*NYCHA does not pay contractors to redo work that is not done to quality. If contractors are doing repeated repairs, it is at their own expense, and contractors do not get paid in advance for repeated repairs.*

*A Capital Projects Update meeting with the Resident Association (RA) President and Property Manager of Gravesend was held in April 2024 and again in August 2024. A site walkthrough with the assigned Property Liaison and A&CM Architectural and Engineering staff regarding fencing was held with the RA President on June 4. An estimate to support the RA's engagement with elected officials for discretionary funding was provided later that month.*

- A RAB member at Oceanside commented that there are two playgrounds that are still not completed after five years. What is being done to improve project timelines and how much of the budget has been spent? Why should residents have to point out quality of life issues? What is being done to ensure projects are completed to a high standard? What steps are being taken to improve oversight and ensure better communication with residents?

*Playground restoration usually comes at the back end of a project, especially playground underground work, which takes longer. For these two playgrounds renovation, there are issues with the subcontractor which NYCHA's Asset and Capital Management (A &CM) needs to rectify. Contractors bill us for each aspect of the project, NYCHA reviews the invoices and if we don't agree with the quality of the work, we will reject the invoices. The contractor will not get paid until the invoices are approved. Resident Board members are not responsible to find quality issues even if they are there on site. NYCHA's construction manager or project managers need to make sure the quality of work before conducting a walkthrough with the Resident Association leader. A follow up email was sent connecting the RAB member with A&CM's leadership.*

- A RAB member stated that they received an email that the contract is over at Gravesend, but how can the contract be over if residents can still get hurt?

*NYCHA's Asset and Capital Management (A &CM) is working with the contractor to have the safety concerns addressed, including the removal of the remnants of wrought iron fencing. As of June 13, 2024, the grounds have been fixed and A&CM has connected with the RAB member to address their concern.*

- A RAB member from Gravesend noted their satisfaction with the new annex building (built as part of the Sandy recovery work) which provided electricity to the development when there was a blackout in the Coney Island area.

*Thank you for your feedback.*

- A RAB member asked, based on the Section 3 New Hires for Old Rule Vendor Contracts, what is the compliance percentage achieved regarding Section 3 training, employment, and subcontracting? What was the total number of new hires across all the contracts in 2023?

*According to the Section 3 vendor reporting, there were 1,509 new hires in 2023. NYCHA is working to maximize Section 3 residents hiring, but it's a challenge in matching residents with job qualifications, emphasizing the importance of meeting benchmarks, and exploring ways to increase resident interest in construction trade jobs. NYCHA needs better coordination and communication to ensure residents are aware of job opportunities and are equipped with the necessary skills. The total number of new hires on the Section 3 old rule contracts is 1,509 in 2023. Overall, the discussion underscores ongoing efforts to improve resident hiring practices while navigating Section 3 regulatory requirements and addressing community needs.*

- *Section 3 old rule required 30% of new hires to be Section 3.*
- *Section 3 new rule requires 25% or more of all labor hours must be worked by Section 3 workers, including low-income workers who may not be NYCHA residents. The new rule also requires 5% or more of all labor hours must be worked by "Targeted" Section 3 workers,*

*which is limited to NYCHA residents, Section 8 voucher holders, and employees of Section 3 Business Concerns.*

- *There were 1,617 NYCHA public housing residents that received training from NYCHA in 2023. In 2023, 212 residents from the “NYCHA Resident Training Academy” were hired directly by NYCHA. Overall, 23% of NYCHA’s direct hires in 2023 were residents (351/1,541).*

- A RAB member inquired how much dollars from capital are going to operations? Who is monitoring the operation-management repairs for Section 3 contracts, and how much Federal capital funding grant will be used for Section 3 contracts?

*The presentation to the Resident Advisory Board (RAB) features a slide showing the federal investment in physical improvements through 2028. It totals \$1.9 billion dollars for the Federal capital funding grant, which goes to capital projects, operations-managed repairs, and professional project management services related to capital projects. This dollar amount is a projected number, because HUD funding typically comes in May or June. When Asset and Capital Management prepared this presentation, the total Federal capital funding grant was projected based on last year and the prior year grant amounts.*

*The Section 3 contracts are administered by Operations in the heating, waste, elevators, skilled trades, and other NYCHA departments. These departments are supported by NYCHA Procurement and Finance departments for Section 3 monitoring.*

- A RAB member at Gravesend asked why there aren’t more residents being hired in Section 3?

*NYCHA is making more efforts to improve the ability to match folks to the opportunities at the right time.*

- A RAB member at Gravesend asked why does the lowest bid often result in the poorest work being done?

*To ensure responsible use of public resources, public procurement requires the selection of the lowest bid proposers for most procurements of contracts. The lowest cost bidder must be sufficiently qualified (i.e. responsible and responsive) to be selected, and therefore should be able to meet the quality requirements of the contract.*

*Vendor qualifications, experience, and financial capacity, and past performance are assessed as part of the procurement process. Selected vendors are evaluated during, and at the end of each project. NYCHA makes various vendor outreach efforts to increase the size of the vendor pool we attract and the quality of vendors we attract.*

- A RAB member stated that NYCHA should create a contractor evaluation system. There is no system in place to evaluate and provide feedback on contractor performance. They also stated that NYCHA should have a welcome committee for new residents. It could help integrate new residents and set expectations for tenant behavior. This could foster a sense of community and encourage residents to take better care of their building.

*Thank you for your feedback. As it pertains to a contractor evaluation system, NYCHA’s Asset & Capital Management Department requires vendors to have specific experience related to the scope of work of a capital project that they bid on, and we also complete a performance*

*evaluation of every construction prime contractor for capital projects completed for NYCHA. Past performance on projects with NYCHA based on these evaluations is considered when determining whether the vendor is qualified for a future project, especially if a vendor has failed to perform on a contract or has otherwise had a very poor evaluation or consistently poor evaluations over time.*

*NYCHA is exploring how to strengthen performance evaluations, and how to best collect and use resident input for the contractor performance evaluations.*

- A RAB member stated that NYCHA must continue to monitor and evaluate the contractors' performance to enforce high standards of quality work and resident satisfaction.

*Thank you for your feedback.*

- A RAB member from Albany houses wants to know why Section 3 is not being honored in the Weatherization program.

*The NY State Weatherization Assistance Program (WAP) is a program of the US Department of Energy, administered in New York by NYS Homes and Community Renewal (HCR). WAP is a direct-install program (i.e. no funds change hands between HCR and NYCHA), implemented through HCR-assigned WAP providers, who conduct the required energy audit, oversee installation, and provide M&V at completion.*

*This program allows upgrades to apply energy-efficient heating, ventilation, hot water, and lighting to developments at NYCHA. In Albany's case, the current scope only includes the lighting fixtures. It is administered by the NYS Homes and Communal Renewal (HCR), which delegates providers to complete these services.*

*(HCR) requires subgrantees (WAP providers) to follow a Minority- and Women-owned Business (M/WBE) utilization plan and to follow goals which are made part of the agreement between HCR and the subgrantee. Subgrantees must affirmatively take steps to achieve MWBE utilization. Subgrantees must abide by the terms of Article 15-A of the Executive Law ("Participation By Minority Group Members and Women With Respect to State Contracts") and make good-faith efforts to encourage M/WBE utilization and must require all subcontractors and vendors to take similar actions." Subsequently, NYCHA does not participate as the subgrantee, but rather as the building owner, and so Section 3 is not applicable under our agreements with WAP (or in other words directly able to hire additional workers with Section 3). Although this is the case, NYCHA abides by its own requirements on the Section 3 and M/WBE, and the subgrantees (WAP providers) in NYCHA's locality provide resources and services that utilize Section 3 workers. Residents are free to contact these subgrantees for more information.*

### **Section 3**

- A RAB member stated Section 3 jobs are being misrepresented or the prioritization process isn't being properly followed, residents need more transparency and accountability from NYCHA and the unions. Training programs and certifications should be accessible to ensure that residents can qualify for jobs, rather than being blocked by union membership requirements. This should be on the agenda going forward, and it's crucial that any Project

Labor Agreement doesn't override residents' rights and legislative regulations, especially when it comes to prioritizing Public Housing residents for job.

- A RAB member is concerned about the issue with Section 3 hiring and the union's involvement. The unions don't allow workers on the project unless they are already union members, which prevents many qualified young men and women from getting jobs despite having experience. NYCHA needs to address this issue immediately.

*This response is for the two comments above. As part of NYCHA's regular vendor and contract administration activities, our capital projects managers and construction management work with each vendor to monitor their Section 3 performance from the beginning of a contract and work with the vendor to try to ensure they meet NYCHA's Section 3 goals. NYCHA's Resident Economic Empowerment & Sustainability (REES) team also plays an important role in this process by helping to match job opportunities and residents and supporting various training programs for residents. Vendors engage with unions directly to secure workers, including Section 3 workers.*

- A RAB member wants to know how can NYCHA ensure that Section 3 opportunities are communicated and made accessible to residents across a variety of job fields, including administrative and non-construction roles, to ensure that everyone, regardless of age or skill set, can benefit from Section 3 program?

*NYCHA's Office of Resident Economic Empowerment & Sustainability (REES) will send notification to resident association presidents when new hiring is projected to take place at an upcoming development with a Section 3 contract. Additionally, REES posts in-demand Section 3 job opportunities on its microsite [www.opportunitynycha.org](http://www.opportunitynycha.org); weekly in an e-newsletter that goes out to all resident associations and bi-weekly in an e-newsletter that goes out to all NYCHA residents with an email on file. REES interested in Section 3 opportunities or other career opportunities are encouraged to visit REES's website or to call the information line at 718-289-8100.*

- A RAB member is concerned about Section 3 compliance, which ensures employment and training opportunities for Public Housing residents during federally funded projects. Affirmatively Furthering Fair Housing (AFFH) must be properly enforced to ensure fair and equal access to these opportunities. NYCHA's role is to ensure contractors follow these rules and seek clarity from HUD when needed.

*NYCHA takes contractor compliance with Section 3 regulations very seriously. In April 2022, NYCHA began implementation of a new, industry standard software to improve Section 3 compliance tracking of contractors' obligations. All current contractors and proposing vendors are currently (September – October 2024) being trained on the use of the new system. All contractors will be expected to be compliant with use of the new system in calendar year 2025. The new system will assist NYCHA in tracking Section 3 performance and communicating with contractors that are not meeting benchmarks. In conjunction with the new system, NYCHA departments are working together to update internal procedures to*



*strengthen compliance. The new procedures are expected to be finalized by the end of 2024. The strengthened procedures will further define the steps that NYCHA staff must take with non-compliant or underperforming contractors. In March 2023, a new Section 3 training was added as a course to NYCHA's Learning & Development platform and became mandatory, annually for all relevant staff. Each year, NYCHA conducts outreach to contractors with active contracts subject to Section 3 to remind them of their responsibility to report labor hours and other Section 3 activity to NYCHA. Beginning in 2024 and continuing in 2025, NYCHA will hold recurring meetings with contractors to discuss Section 3 compliance and best practices to improve the process.*

### **Permanent Affordability Commitment Together (PACT)**

- The RAB asked what happens to the over income residents after their developments converts. Do they pay above the area median income? What happens to them, regarding PACT?

*After conversion, we would maintain the status quo, same as NYCHA. They would be offered a non-public housing lease and are allowed to remain at the property. We would honor that lease and provide them with the same unsubsidized lease.*

- A RAB member asked why NYCHA is not allowing community-based organizations that really know public housing, to be a partner with NYCHA? What is going on with security? There's no security in the senior buildings? What is happening at Riis? There are residents who are suffering from arsenic in the water. What is happening with the air rights at Campos Plaza? They are very concerned about the asthma rates and the Con Edison plant right across the street.

*On the selection of the partner teams, NYCHA encourages partnerships with community-based organizations, nonprofit development companies. We have a pre-qualified list of organizations, private, nonprofit community based, who are welcome to apply to the list. When they are on the list, they can respond to any procurements that NYCHA advertises. Developers must partner either with a nonprofit or a minority or woman owned development company that has a substantial stake. NYCHA residents also form resident review committees to review proposal submitted by pre-qualified teams. These resident review committees then interview and select their partner team for the PACT project.*

*PACT partner teams have been intentional in planning with resident association leaders on how to put a security plan for each development. That can involve security guards, guard booths, or sometimes there are treatments done to lighting and entrances to help with security. The PACT teams work with the tenant associations to figure out those needs specific to the community. Security is part of their management plan and their ongoing operational budget.*

- A RAB member asked how the 30% rent is calculated for over-income residents and PACT residents. The tenant association leaders are supposed to work with the PACT team, what if a resident is not part of the tenant association and they're not elected to participate?
- *NYCHA follows the same rules based on the new HOTMA regulations from the HUD. If the resident's income increases upon annual certification, the rent will increase and continue to be*

*based on 30% of the gross household income. If the household is over income prior to conversion, the household is provided a non-public house lease, the resident will pay fair market rent and that would continue post conversion under the PACT program.*

*Regarding the Campos Plaza PACT project- residents there are currently working with their selected PACT partner team to finalize plans for investment and repairs. We expect that development to convert to Project-Based Section 8 and start the repair process in 2025.*

- A RAB member wants to know if their development will convert to PACT. There are several developers working on things throughout Albany Houses. Can NYCHA explain how they're able to do this without approval?

*NYCHA's Real Estate Development Department (REDD) will start with a conversation with the resident association executive board when they start engagement for the PACT program. If the resident association is interested in PACT, REDD would set up time to talk about the process based on the approval of the resident association. Albany is not in the PACT program and may have capital work at the development.*

- A RAB member wants to know what will happen if the residents don't agree to convert to PACT/RAD. Will the residents and the resident council still need to vote?
- *NYCHA's Real Estate Development Department (REDD) will always work in partnership with the tenant association and the residents at a development. REDD will only move forward with the PACT/RAD conversion if the tenant association and the residents want to. As for the vote, it is a requirement for the Trust program, not a requirement for the PACT program. The Trust program has a very strict set of voting criteria. The PACT program does not require a vote to formally join the PACT program.*
- A RAB member wants to know how the RAD and PACT programs differ from the Trust.

*The main components of the RAD and PACT program are working with a private partner team. The private partner team is a set of developers, general contractors and property managers who can bring their expertise and their resources to the development to do the rehabilitation or reinvestment work. The Trust program would partner directly with NYCHA as the property management entity. So NYCHA staff and NYCHA management would stay at location after the development converts.*

- A RAB member asked if NYCHA follows up with the management office after the development is PACT converted.

*NYCHA conducts oversight through our asset management team, the resident outreach department, and the Section 8 administration team. NYCHA also has construction monitors on site to monitor the in-unit repair work and do a final scope check. NYCHA also has a team that oversees each of our partners social service providers, and the NYCHA team will provide monthly updates. NYCHA also has quarterly meetings with the tenant association and the social service teams.*

- A RAB member asked if residents could change property management after their development has converted to PACT. If so, how is the property management selected? What is the status at Hope Gardens and Bushwick, where there was recently a property management change? How are the residents able to sit in, participate in the application process before it goes out?

*That is correct, at Hope Gardens the partner team decided to switch their property management team. They have the option and the right to do so, based on the control agreements and regulating documents that NYCHA has with them for the development. The new property management team that was selected and is now operating the site is Wavecrest.*

*Currently, the resident leaders get to help NYCHA write the Request For Proposal (RFP). The resident leaders review the proposals that come in and they interview the development teams. If desired, they can go visit properties that these developers have renovated or built-in other parts of the city, and they get to make the selection. NYCHA has the authority to recommend a removal or placement of a property management team, if NYCHA feels that's necessary.*

- A RAB member expressed concerns about on-going issues with the PACT management firms at Ocean Bay and Wise Towers. There have been serious property management issues around compactor fires and issues with the steam pipes. The RAB member also noted there have been complaints about the lack of customer service from the staff. There are poor choices in selecting developers in the PACT program and residents don't get to vote on PACT.

*Thank you for your comments. NYCHA will look into the issues at both properties.*

- The RAB is requesting that NYCHA provide educational training about PACT and the voting process.

*NYCHA's Real Estate Development Department (REDD) will come and give a presentation to discuss PACT as requested by the developments. Voting is not required in the PACT program. It is required in the Preservation Trust but not in PACT.*

- A RAB member expressed that they are against RAD/PACT and the Trust. NYCHA should discuss Section 9 during the RAB meetings. NYCHA should be more transparent about the process for transitioning from Section 9 to Section 8, the resident involvement in the decision-making process, effectiveness and intrusiveness of camera systems, and the potential temporary relocation timelines that might have impact on residents' lives, especially the elderly and low-income residents during the transition.

*HUD oversees all Public Housing Authorities including NYCHA. The resident's rights are mandated by HUD regulations, and residents are entitled to the rights through the RAD program. The goal of PACT (RAD) program is to maintain residents' rights while unlocking resources for property stabilization. NYCHA has social service providers to assist residents who will be temporarily moved within their development and to make sure the units meet housing quality standards. The residents are entitled to protections during this temporary transition and can return to their original apartment once renovations are completed. The move is protected under a temporary agreement that is attached to the lease.*

- A RAB member commented that it's important for the tenant association presidents to continue to learn about PACT. What is the status of Building 6 and the parking lot at Astoria Houses? We want NYCHA to come to Astoria, to present PACT to the Board and the residents.

*NYCHA's Real Estate Development Department (REDD) will reach out to schedule a meeting to provide the update on building 6 new construction, parking lot usage rights and PACT.*

- A RAB member inquired if Albany is on the list to be converted to Section 8 PACT? They have asked this question before because there is work being done at the development. They were told that it's capital projects, but they don't know.

*The current active capital projects at Albany are green infrastructure, drainage, and playground storm piping. It is not a PACT conversion.*

- A RAB member asked how does NYCHA determine parking lots are underutilized?

*The Real Estate Development Department (REDD) is working with resident leaders and residents of the development. They try to identify alternative parking spaces for the development if a new building is to be built on the campus.*

- A RAB member from Smith Houses asked that the development and its parking lots be removed from the list. They have a waiting list for resident parking and the NYPD has taken over the parking lot.

*There are no plans for Smith Houses.*

### **Development Air Rights**

- A RAB member asked how residents can know if they have air rights on their property. How is the potential development of a property assessed in New York City?

*When developments have air rights that could potentially be sold to help fund development elsewhere, the Real Estate Development Department works closely with the specific development involved. This way, the development can benefit from the sale of these air rights. Information on potential areas for surplus development rights is included on page 105 of the Draft 2025 Annual Plan.*

*The potential development of property is assessed by comparing the current attributes of the property, such as its square footage and existing structures with what the zoning laws allow. The New York City Department of Finance, Department of City Planning, and Real Estate professionals analyze the data to determine the difference between current use and allowable development. A Zoning law plays a crucial role in determining development potential by setting regulations on what can be built and to what extent.*

## Preservation Trust

- A RAB member asked what has the Public Trust done for Nostrand so far?

*NYCHA facilitated an engagement plan for the Preservation Trust, including a public comment process related to the establishment of its voting procedures to ensure that all residents and stakeholders had the opportunity to share their feedback and ideas for change, and town hall meetings for stakeholders and staff. NYCHA will continue to attract new partners, create new opportunities for communication, and expand services for residents while providing support to resident associations and other resident-led groups. In late-2023 and early-2024, the first such resident votes began taking place at Nostrand Houses in the Sheepshead Bay neighborhood of Brooklyn and Bronx River Addition in the Bronx respectively. One-hundred days of public engagement at the developments were followed by 30 days of voting, during which time residents could choose from three ballot options: joining the Trust, entering the PACT program or remaining Section 9. Votes could be placed by mail, online or during the last 10 days of the voting period, in-person. Results were certified by an independent third-party voting administrator, with both developments selecting to convert to the Trust. The Trust has partnered with residents at both developments to understand their priorities for renovations which will be included in the Trust solicitations for vendors to comprehensively modernize the properties. The Trust has also initiated the process to select a vendor by issuing a Request for Qualifications for experienced firms in May.*

- A RAB member asked how the Preservation Trust can hold residents accountable.

*The Preservation Trust has been collaborating with partners to learn best practices for managing properties. The Preservation Trust aims to apply these insights to improve the operations of public housing under the Trust. Despite ongoing efforts, improving living conditions through a comprehensive renovation can significantly change the dynamics in public housing developments for the better.*

- A RAB member asked what happens when NYCHA can't pay back the loan invested into the Trust?

*The Trust uses the proceeds from raising debt and other sources to improve living conditions. Repayment of debt is made by revenue generated by project-based Section 8 contracts and tenant rent. The Trust will have the opportunity to make changes in order to ensure that it is current on re-paying debt. Under all circumstances, including if there are repayment challenges, NYCHA remains the landowner and resident rights are preserved via legal contract.*

- A RAB member asked how NYCHA is improving the procurement process for the developers? It is crucial to ensure developers are thoroughly vetted and capable of meeting the community's needs effectively. How can residents provide their feedback regarding the developer?

*The Preservation Trust can select contractors based on which contractors provide the best value, rather than the lowest price. The goal is to ensure contractors meet high standards for performance, safety, and compliance with Section 3 hiring and MWBE goals. We are looking for specific experiences and insights regarding contractors' performance and project outcomes. Feedback on safety, quality of work and meeting hiring goals is particularly useful. This information will help us refine our procurement process and ensure better project outcomes.*

- A RAB member asked how can residents be assured that the Trust’s loan will be paid back from NYCHA and not mismanaged? How will NYCHA ensure properties are maintained? NYCHA should enforce rules and support programs that encourage residents to take pride in their living spaces. NYCHA must improve security measures, including more proactive monitoring and faster response times to incidents.

*If the development opts into the Trust Mod Program, it will be converted to Section 8 funding. Repayment of debt is made using revenue from project-based Section 8 contracts and tenant rent. Even if there are issues with loan repayments, NYCHA remains the landowner under the Trust and resident rights are preserved. It’s crucial for the Trust to provide clear, transparent mechanisms and regular financial updates, and involve residents in oversight processes to rebuild trust and ensure proper management of funds. The Trust needs to establish strict oversight and accountability measures for property management. If properties do not meet the standards, the Trust will identify alternatives to address these issues promptly.*

- A RAB member asked how can residents be assured that funds will be paid back and not mismanaged? Will the funding be used effectively for necessary renovations and improvements? NYCHA must commit to more transparent communication, regular updates, and involving residents in decision-making processes. NYCHA needs to improve their vetting process for contractors, ensure consistent quality control, and maintain a transparent evaluation process to ensure that contractors deliver high-quality work. What happens to current NYCHA employees if their development votes to join the Preservation Trust?

*If the residents opt into the Trust, NYCHA will manage the property. If they don’t, they can choose a different option with a new manager under the Permanent Affordability Commitment Together (PACT) program. The Trust wants to ensure the properties that opt in are experiencing improved service for residents.*

*The Trust establishes a new operating framework for NYCHA and can support more maintenance funding than NYCHA currently has so properties will have more resources – for example, Nostrand will have its own dedicated staff focused just on Nostrand and not shared with Sheepshead. It’s still NYCHA staff so the Preservation Trust will have the advantage of the experience of NYCHA staff with systems and infrastructure and will be trained on new systems and infrastructure installed during the construction process. NYCHA has a deep bench so that if staff are not working at the level expected by the Trust, NYCHA can adapt to meet the Trust’s service level agreement (SLA).*

*The construction period will be challenging but the Trust will bring a full renovation to the residents and that will reduce the repair burden and allow us to focus on preventative maintenance and ongoing care for the buildings and grounds. Residents retain all their rights.*

- A RAB member expressed that NYCHA should have language accessibility during meetings. All materials at the Preservation Trust meetings should be available in the primary languages spoken by the residents. NYCHA needs to work on developing clear and concise information about the Trust.

*Thank you for your feedback. The Preservation Trust Team has worked with NYCHA to ensure that materials are accessible at Nostrand and Bronx River Addition developments. We will continue to have language accessibility at every meeting.*

- A RAB member commended the Preservation Trust for having language interpreters when they presented at Nostrand.

*Thank you for your feedback.*

- A RAB member inquired if it is true that Section 9 will convert to Section 8?

*NYCHA residents can opt in the Preservation Trust after voting. If the residents do not want to opt into the Preservation Trust, residents have the choice to remain in Section 9 where NYCHA remains the property manager and the funding is from traditional public housing or opt into the PACT program which will bring in a private property manager and shift to project-based Section 8 funding.*

- A RAB member asked about the list of developments selected for the Trust. There is an existing list of developments selected for the PACT program.

*The residents decide on whether they want to convert to PACT, the Preservation Trust or continue as Section 9 public housing. Nostrand and Bronx River Addition voted for the Trust. Beginning Wednesday, July 17, 2024, and ending Thursday, August 15, 2024, residents of Coney Island Houses and Coney Island I (site 1B) also known as Unity Towers residents had the opportunity to vote on the future of their development. Coney Island Houses voted to remain in Section 9. Unity Towers residents voted for the Trust. The results can be found in the links below.*

[Voting at Coney Island Houses \(nyc.gov\)](#)

[Voting at Unity Towers \(nyc.gov\)](#)

- A RAB member requested a copy of the legal Trust documents.

*Residents can access the legislation that created the New York Public Housing Preservation Trust ("The Trust") on this page [NY State Senate Bill 2021-S9409A \(nysenate.gov\)](#). Additionally, the legislation is included as an addendum below.*

- A RAB member inquired how contractors and materials are selected for the Trust projects. Why isn't NYCHA using other out-of-state contractors?

*The Trust plans to use a procurement method called "Design-Build" for its first slate of projects to ensure that project performance, program, schedule, budget, and quality goals are met.*

*The use of the Design-Build project delivery model will result in the award of one contract with a firm to complete both the design and construction. Because the designer and builder are on the same team and work together from the beginning, there is emphasis on collaboration, quality, and commitment to project excellence. Best of all, the Trust will not award its design-build contracts to the "lowest bidder." The selection team, which includes resident representatives, evaluates factors other than price, such as experience and qualifications of the Design-Build team, and the quality of the design they are proposing. The selection process involves a rigorous two-step procurement method. The first step begins with the release of the Request for Qualifications (RFQ), which invites design-build teams to submit their qualifications, demonstrating their ability to complete the project, financial*

health, compliance with licensing requirements, and other criteria. After a thorough evaluation, the Trust will shortlist the top three most qualified teams.

In the second step, the Request for Proposals (RFP), the shortlisted teams are invited to submit detailed proposals outlining their approach to the project. These proposals include the proposed scope of work, quality of the design, impact on residents, project duration, and cost. The Selection Committee, including resident representatives, will then evaluate these proposals to determine which one offers the best value to the Trust and its residents.

Residents will actively participate in providing feedback to the Design-Builder through visioning sessions, surveys, and other venues, ensuring their perspectives are included in the planning process.

Finally, the Trust will require the Design-Builder to create a model apartment, providing the residents the opportunity to see and touch material finishes and vote on options based on their preferences.

- A RAB member asked how will the Trust guarantee the selection of high-quality windows to achieve sustainable goals?

The Trust will implement the Design-Build procurement process described above to ensure the best value proposals, allowing for better quality materials and finishes. The scope for each project will vary depending on the needs of a particular development, and quality performance standards will be established for the materials used in construction.

- A RAB member asked what are the Trust's sustainability goals to be implemented in the renovation plan for participating developments?

The Trust evaluates the needs of each development, including opportunities to support sustainability goals, and will establish specific requirements in the RFP created for that development that accounts for the overall capital need, resident priorities, and available funding.

- A RAB member asked will renovations potentially reduce usable space within apartments due to insulation or building system interventions like piping, which may require more space and possibly shrink apartment sizes?

The exact comprehensive renovation scope of work will be determined through both building investigations and residents' input. Once the scope is developed, the best value proposal from the selected Design-Builder will guide the intervention. Otherwise, over the course of NYCHA modernization programs, scopes do not shrink apartment sizes.

- A RAB member inquired about the number of units at Coney Island that will be engaged for the Trust. How much money has been raised for Nostrand? Are block grants available for the Trust?

Coney Island Houses has 534 units but opted to not join the Trust or PACT. Unity Towers, also known as Coney Island Site 1B, has 193 units and opted to join the Trust. No money has been



raised yet. Fundraising is planned to start about 12 months prior to the beginning of major construction. The Trust does not have access to City block grants. However, the Trust is exploring programs offered by Community Development Financial Institutions (CDFIs) and others.

- A RAB member asked how training will be provided to residents for the maintenance and operation of new features included in the renovation of their apartments?

*Depending on selected features, training on the new renovation features, including appliances and other elements, will be provided to residents.*

- A RAB member asked how temporary moves will be managed, and how will residents be notified.

*Temporary relocation will likely be required due to the extensive nature of the planned work and the need to remediate any environmental hazards. To ensure the work is done in compliance with safety requirements, residents may need to vacate their apartments during lead-based paint and asbestos removal and/or when kitchens and bathrooms are inaccessible. The Trust will strive to minimize inconvenience and will make every effort to relocate residents within the same development or nearby NYCHA developments. Relocation specialists will meet with each head of household to create an individualized family relocation plan. This personalized approach ensures that each family's specific needs are considered and addressed. Residents will not move all at once; the project will be phased according to the contractor's methods to minimize inconvenience. Updates on the project, phasing by building, and other details related to the temporary move will be shared regularly via townhalls, in-person meetings, calls, flyers, resident association meetings, and other media channels.*

*The timeline for relocation will be determined once the scope of work is finalized and the Design-Build team provides the construction schedule and phasing. Dedicated resources will assist each family by providing moving supplies, coordinating moves, and addressing other needs to ensure a smooth temporary move. The Trust will sign a Resident's Rights & Temporary Move Contract with each resident, guaranteeing the right to return to their same apartment, provided the resident is in compliance with their lease or unless a reasonable accommodation is requested. Any reasonable costs associated with the move will be paid entirely by the Trust. The Trust and NYCHA will involve residents in the construction and temporary move planning, giving advance notice and working collaboratively with each family on their move plans. Every temporary move will be collaboratively planned as part of the resident engagement process, and notice will be given well in advance of the start of construction.*

- A RAB member asked how residents can provide feedback regarding social service needs, and how will the Trust fund and implement identified programs?

*A "Community Priorities Survey" (CPS), designed to gather resident input, will be implemented at the Trust sites. The survey will include a section dedicated to gathering resident feedback on current and desired social services and programs. Survey responses will inform the Community Resource Plan, outlining social services and programs that will*

*complement the comprehensive renovation scope. Certain services will be provided on an ongoing basis, others during construction, while remaining community priorities will be subject to funding availability and partnerships.*

- A RAB member asked how funding sources will be determined and identified for projects? How will the Trust secure grants to fund projects, and how can residents assist in providing information on available funding sources for Trust developments?

*The Trust evaluates each development to determine various funding sources. For example, some developments are in areas that may qualify for transit-oriented development financing and grants. If residents have ideas, they are always welcome to send to the Trust.*

- A RAB member from Carey Gardens stated that given the problems that continue at the development, what can the Preservation Trust do for the residents who are in Section 9? How can the Preservation Trust have a positive impact for the residents? They are not convinced that the Trust will be any different, nothing has changed for NYCHA.

*The Trust is a critical tool because it can:*

- Access **far more funding** from the federal government.
- Complete **faster, higher-quality renovations** with improved procurement rules.

*The Trust is 100% public. NYCHA owns, controls, and manages the property, and there is no private manager.*

- NYCHA remains permanent owner of the land/buildings and enters into a long-term ground lease with the Trust to secure Section 8 vouchers, a subsidy worth double NYCHA's current federal subsidy.
- Similar to how other government entities raise revenue for capital improvements, the Trust will issue bonds that fund comprehensive building renovations (with input and partnership from residents at the development).
- The Trust can hire better vendors who can complete high-quality work, faster.

*The Trust will keep homes permanently affordable and preserve all resident rights and protections. These protections align with current public housing rights and are stronger than in the traditional Section 8 program. Please visit the NYCHA website for more details [Public Housing Preservation Trust \(nyc.gov\)](https://www.nycha.gov/public-housing-preservation-trust)*

## **Other Topics**

- A RAB member asked if NYCHA can provide information about the total number of apartments by development and the number of Section 8 apartments in the mixed finance sites? Can NYCHA provide information on the number of vacancies at the developments? RAB members also requested information about the Resident Data Book which has crucial information that can be used for funding requests and submissions.

Information on NYCHA's developments including the total number of public housing and Section 8 apartments is available in the 2024 Development Data Book, see link ([Development-Data-Book.pdf \(nyc.gov\)](#))

The NYCHA Metrics page ([New York City Housing Authority - NYCHA Metrics](#)) provides data on the number of vacant apartments per development on a monthly basis. The 2024 Resident Data Summaries by borough and NYCHA overall are available on NYCHA's online report page, [Resident-Data-Book-Summary.pdf \(nyc.gov\)](#). Information by development is available upon request.

- A RAB member asked if NYCHA has an accountability department. How is NYCHA being held accountable for the current problems at their development?

*The Compliance Department focuses on NYCHA's legal, regulatory, and ethical responsibilities. The Environmental Health and Safety Department makes sure that NYCHA has a decent, safe, and sanitary environments for residents and employees. The Quality Assurance Unit checks on NYCHA's repairs and maintenance work to make sure they are of high quality.*

*Residents who have a concern about specific conditions or practices at NYCHA can submit an electronic form on the NYCHA website [04 eService \(nycha.info\)](#)*

- A RAB member asked if the findings of the former HUD monitor's (Mr. Schwartz) final report, were forwarded to the new HUD monitor? They can't find anything about the new HUD monitor.

*The new Monitor, Neil Barofsky and Matt Cipolla of Jenner & Block LLP assumed their roles as the independent, federal co-Monitors of the New York City Housing Authority (NYCHA) on February 28, 2024. The new Monitor's website is [NYCHA Monitorship](#)*

*The report can be found in the former Monitor's website at [NYCHA Monitor | Guidepost Monitor - Ensure Risk and Compliance](#)*

- A RAB member requested an upgrade to the MyNYCHA app. It does not provide options for reporting issues with the elevator, if it needs cleaning, in addition to other options such as mailbox problems, extermination needs, or lobby related issues. Residents are forced to call the call center which is experiencing long wait times. Seniors are learning to use the MyNYCHA app but struggle with it. NYCHA should upgrade the NYCHA app to include more reporting options to reduce reliance on the call center.

*Thank you for your comment. NYCHA will explore the feasibility of updating the MyNYCHA app.*

## ATTACHMENT Q

### COMMENTS AND CHALLENGED ELEMENTS FROM THE PUBLIC

#### Wagner Easement

- A resident stated their opposition to the proposed easement by the Metropolitan Transportation Authority for the expansion of the 2<sup>nd</sup> Avenue subway. The proposed subsurface easement of the Metropolitan Transportation Authority (MTA) spans approximately 19,314 square feet within the Wagner Houses. We must weigh the benefits against the displacement and disruption it will cause. The Section 18 application is to be submitted in 2024, with construction anticipated to start in 2025. This timeline gives us a narrow window to act and advocate for our communities. We demand that NYCHA, and MTA explore alternative solutions that do not involve the displacement of residents. Protect our residents, invest in Section 9, and ensure that our housing remains under the federal program.

*As noted in NYCHA's Draft Significant Amendment to the FY 2024 Annual Plan, the proposed disposition of the approximately 19,314-square-foot permanent subsurface easement appurtenant to the development will facilitate the expansion of the MTA's Second Avenue Subway. The added capacity of the Second Avenue Subway will provide much-needed transit access to residents of the development (as well as to all residents of the community) and will improve service.*

#### Annual Plan

- A resident of Gun Hill is concerned that HUD is not here (at the Public Hearing), to listen to the residents. This violates the 964 regulations and shows their disregard for NYCHA's best interests. NYCHA should be more transparent of demolition plans and listen to the residents.
- A resident of Redfern rejects the plan. NYCHA makes decisions without involving the residents. NYCHA is not accountable for the funding received. NYCHA must involve the residents in the decision-making process. Work with us!
- A resident of Smith Houses opposes the NYCHA Annual Plan. We need to rethink our approach. Every time we turn around, there is no money and no staff. Resident leaderships have asked elected officials for funds, but when it's time to make repairs, NYCHA always has an excuse. As residents of Public Housing this is our right. We are citizens who pay taxes and work for the country, and all we get is this?

- A resident of MillBrook stated that the NYCHA Five-Year Plan should not be adopted or accepted by HUD, the RAB or CCOP because it lacks true engagement or commitment to the residents whom this agency is supposed to serve. The residents are being left out of the equation when NYCHA makes their decisions.

This Five-Year Plan wasn't created in accordance with the 964 regulation that governs the rights of all residents. The Resident Advisory Board had no real say or input into this plan, including C-COP. By the time NYCHA comes and reports to the Resident Advisory Board or C-COP, they already have a plan of action in place. Requests for information from the Resident Advisory Board or C-COP are never provided. NYCHA isn't following the regulations and breaks the rules every time they have a Resident Advisory Board meeting on changes that will impact all residents and stakeholders. NYCHA never shows or discusses any accurate or true budget plans with the RAB or C-COP. No one really knows how much is being spent and what it is being spent on.

The resident also commented that NYCHA needs to improve on more local hires in their portfolio. This includes caretakers, electricians, plumbers, and plasterers, especially neighborhood planners, so that they can improve on the work order reform project that went into effect in 2021. NYCHA can also hire more call center workers to handle the flood of residents who can't seem to make a basic repair complaint at any given date or time, because there are no employees available to answer the phone.

- A member of the public asked why didn't NYCHA social media accounts post important resources such as this meeting for residents?

***This response is for the five comments listed above that pertain to the annual plan and public hearing process.***

*NYCHA, with the assistance of the U.S. Department of Housing and Urban Development (HUD), partnered with CVR Associates to bring training on HUD's regulations on tenant participation (24 CFR 964) and the 2021 Memorandum of Agreement (MOA) between NYCHA and the Citywide Council of Presidents (CCOP) to all Tenant Association leaders and NYCHA staff. The training goals are the following:*

- *Ensure staff and residents understand NYCHA's legal obligations under 24 CFR 964 and the 2021 Memorandum of Agreement (MOA).*
- *Illustrate the importance of resident engagement and related provisions under 24 CFR 964 and the MOA.*
- *Demonstrate best practices and ways in which staff and residents should utilize 24 CFR 964 and MOA provisions in their day-to-day work and interactions.*
- *Make connections between attendees and share success stories and best practices.*
- *Multiple sessions were offered monthly, and residents and staff took part in the training simultaneously.*

*An Annual Plan public hearing is a forum allowing NYCHA to hear from the residents and the public. NYCHA will review and respond to the comments received during the public hearing in the Final Annual Plan it submits to HUD in October 2024. The Final Annual Plan documents will be available on NYCHA's website [Annual Plan Financial Information - NYCHA](#)*

*The Draft FY 2025 Plan and Draft Significant Amendment to the FY 2024 Annual Plan were released for public review on the Authority's website on June 14, 2024. Following the release, NYCHA conducted various outreach activities listed below.*

- An email notification was sent to the public housing resident leadership announcing the release of the Draft FY 2025 Plan and Draft Significant Amendment to the FY 2024 Annual Plan and the date and time of the hybrid public hearing. Electronic copies of the documents were also included in the email.*
- Two rounds of robocalls were conducted in English, Spanish, Chinese, and Russian to both public housing and Section 8 households.*
- Two email blasts were sent to the Authority's public housing and Section 8 households and social media posts were released online.*
- A NYCHA Journal article on the public hearing was published online.*
- The Authority's Department of Intergovernmental Affairs staff sent two email blasts to their listserv of elected officials and stakeholders.*
- Resident Participation & Civic Engagement Department staff distributed the hard copies of the documents to each of the resident leaders.*
- The Authority's General Services Department staff completed the printing and distribution of the Draft FY 2025 Plan and Draft Significant Amendment to the FY 2024 Annual Plan to one hundred and thirty (130) development management offices where the documents can be viewed upon request.*
- Executive Summaries of the Draft 2025 Plan and Draft Significant Amendment to the FY 2024 Annual Plan were posted online in the translated languages (Spanish, Chinese and Russian).*

### **Capital Improvements**

- A resident of Carey Gardens wants to know what NYCHA is doing for the development. It has been under construction for the last 5 years. There is no place for the residents to sit. The basketball courts and the parks are under construction. The buildings are disgusting, and the new elevators constantly need repair.*

*NYCHA's Recovery and Resilience Department is responsible for overseeing the \$3.2 billion recovery effort at 35 NYCHA sites that were affected by Superstorm Sandy. The bulk of the funding is a result of negotiations between NYCHA and the Federal Emergency Management Agency (FEMA). Approximately \$138M is going into the recovery and resilience effort for Carey Gardens Houses. This includes, but is not limited to:*

- Constructing a new Community Service Center (CSC) building with space for the community center, day care center, boiler plant, generators, and property management and superintendent's offices*
- Replacing roofs at all 3 residential buildings*
- Installing full backup power generators to provide electricity to all Carey buildings during an outage*
- Wet and dry flood proofing areas below the FEMA flood level*

For more information, please see the attached link which explains our scope of work: <https://www1.nyc.gov/assets/nycha/downloads/pdf/6-CareyGardens.pdf>. The work is anticipated to be completed in Q4 2025.

NYCHA recently completed the renovation of the basketball court (designed in partnership with the Carey Gardens Resident Association board) and have opened it to the public. We have also completed the physical work in the playground (also designed with input from the Resident Association and other stakeholders) and expect to open to the public in October.

In addition, there is currently an elevator project in construction at Carey Gardens. The project calls for the replacement of the nine elevators in all three residential buildings. We anticipate the new elevators will be in service the second quarter of 2025.

- A resident of Bronx River stated that NYCHA works hard to provide affordable housing but for NYCHA to do that, NYCHA needs Capital Funds to make the necessary repairs to maintain its buildings.

Capital funds are necessary to make larger investments in NYCHA buildings and properties, setting them up for the longer term. Because NYCHA does not have the funding to address the majority of capital needs identified, strategic investments in areas that most directly impact the health, safety, and security of residents are prioritized.

- A resident of Ingersoll stated that residents were not told that NYCHA was trying to build an additional two floors on every building. Why did they wait to tell us when the plans failed because the roofs were not safe?

There are no plans to add additional floors to any building at Ingersoll. Planned and active capital work undertaken by NYCHA are posted publicly on the agency's Capital Tracker at <https://capitaltracker.nycha.info/>. There may be some conflation with NYCHA's Transfer to Preserve program, which in 2020 had an air rights transfer from Ingersoll Houses to a nearby property, and in exchange, the developers are to carry out \$25 million in improvements at Ingersoll. The transfer of air rights does not affect the existing buildings at Ingersoll. There are no additional floors.

- A resident of Marlboro requested more information about the center and the construction in parking lot 2. What will happen to the current cars? Will they be reassigned to a new parking space?

The \$3.6 million dollar capital project at Marlboro Houses is currently on hold until sufficient staffing can be assigned to manage the project. NYCHA's capital work has been impacted by our operating budget shortfall and resulting staffing constraints. In the last few months, NYCHA has begun to restart projects that have been on hold; Marlboro's community center project is anticipated to restart in 2025. NYCHA will continue to update the resident association and elected stakeholders. The current construction trailer in Parking Lot 2 is related to the heating system replacement. The placement was approved by both the Property Manager and Resident Association board. There are no plans to impact any additional parking spots. If a need arises in the future, a parking plan will be coordinated with

*the property staff and the Resident Association. NYCHA makes every effort to reassign permit holders another spot in the development.*

### **Eligibility, Selection, Admissions and Deconcentration Policies**

- A Section 8 voucher holder stated that they recently encountered an issue during the recertification where they were told they had too much money in the bank. They are in the process of building financial wealth for their family, and it is disheartening that efforts to improve our financial stability are penalized. NYCHA's Section 8 policy should be re-examined to support those who need assistance.

Discrimination is another significant issue. They also recently applied for an apartment in a more affluent area and were denied despite having a high credit score and sufficient income. As for a black woman, discrimination in Public Housing is a pervasive problem that must be addressed to ensure equal opportunities for all.

*As stated in NYCHA's Section 8 Administrative Plan, NYCHA must comply with applicable federal, state, and local non-discrimination and fair housing laws ensuring equal access to housing in HUD programs regardless of race, color, religion, national origin, sex, disability, sexual orientation, age, familial status, marital status, partnership status, lawful occupation, lawful source of income, military status, alienage or citizenship status, or on the grounds that a person is a victim of domestic violence, dating violence, sexual assault or stalking. During the family briefing session, NYCHA will provide information to applicants and participants about the program; family and owner responsibilities; and leasing options. NYCHA will also include information that will assist them in pursuing housing discrimination complaints with local, state, or federal entities, including the Fair Housing Information and Discrimination Complaint Forms, as part of the voucher holder's briefing packet. NYCHA also displays fair housing posters in its offices.*

*In the event a person alleges discrimination in their housing search, the case will be forwarded to NYCHA's Department of Equal Opportunity for further action, as appropriate. Additionally, NYCHA will provide a list of outside agencies with which the voucher holder can file claims.*

*Please visit NYCHA's website for more details [hcpvadministrative.pdf \(nyc.gov\)](http://hcpvadministrative.pdf(nyc.gov))*

- Legal Aid and Community Service Society urge NYCHA to reconsider its stated preferences for the newly populated Section 8 waitlist. They urge NYCHA to give the highest priority for these Section 8 vouchers to homeless households who live in New York City and demonstrate a need for housing. Below is their proposed list of preferences:

Applicants who are living in shelter, defined as any temporary housing assistance provided to homeless adults, adult families, families with children, migrants, and runaway and homeless youth by New York City or a provider under contract or similar agreement with New York City;

Applicants who lack a fixed, regular, and adequate nighttime residence who have been identified by a homeless outreach provider under contract or similar agreement with New York City, or non-profit agency serving homeless New Yorkers that are able



to attest to the applicant's status as homeless;

Applicants who have a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, and who have been identified by a homeless outreach provider under contract or similar agreement with New York City or who are eligible for outreach services but are not on outreach caseload but are known to an organization serving individuals who are homeless that can attest to the applicant's status as homeless.

The next priority should be elderly, disabled, or mobility impaired living or working in New York City. They believe that this priority should require the households to be paying more than 50% of their income in rent or living in substandard conditions similar to the Needs Based priority for public housing.

They also advocate for adding a third priority for all other households who live or work in New York City and are paying more than 50% of their income in rent or living in substandard conditions. Fourth priority would then be elderly and disabled not living or working in New York City.

*Thank you for your comment. We appreciate your office's partnership and continued advocacy for residents living in our developments. NYCHA will follow up directly on any concerns raised by your office with our partner teams.*

### **Public Housing Operations**

- A NYCHA resident is urging NYCHA to have more sympathy for the surviving household members when the head of household is deceased.

*When the head of household is deceased, NYCHA's policy allows authorized household members to file a remaining family member grievance which creates a pathway for authorized members to become the head of household and remain in the apartment.*

- A resident asked why NYCHA would send in a questionnaire to residents, knowing many residents do not speak or write in English? Some residents are native speakers and do not understand terminology from a higher educational level.

*NYCHA is required to issue many documents such as annual recertifications, window guard and lead surveys completed in English. Most documents have translations available in the Property Management Office.*

- A resident asked why is NYCHA more worried about increasing rent for low-income residents who have poor quality of life while NYCHA employees were caught stealing money?

*NYCHA is required to calculate rent based on the Housing and Urban Development (HUD) guidelines which is 30% of income, flat rent, or for households whose income exceeds the 120 percent Area Median Income (AMI) must pay the corresponding Fair Market Rent.*

- A member of the public asked why are the NYCHA apartments empty? How come NYCHA's lowest rent is zero dollars (\$0), yet they never met a tenant who qualifies?

*NYCHA works diligently to turn over apartments as soon as NYCHA is aware that the apartment is empty. There are only a small percentage of NYCHA residents at the minimum rent because most families receive other types of assistance.*

- A resident of Ingersoll stated that the NYCHA Management Office never knows the law or NYCHA policy. Why are they managers, supervisors, and high-level workers? The development needs to do better.

*NYCHA strives to provide high quality and consistent service across all management offices. Staff receive routine training on new policies and procedures. Recently, property management staff were trained on NYCHA's new Admissions and Continued Occupancy Policy (ACOP).*

- A member of the public asked why NYCHA's representative contact information is not accessible, public, and transparent.

*Residents can use the main number of the Management Office at their development to contact development staff.*

- A resident asked why they have to pay rent when their water is off for days.

*Per the terms of the lease agreement, NYCHA residents are required to pay rent by the first of the month. NYCHA does not have the authority to waive rent. Residents should contact the Customer Contact Center (CCC) at 718-707-7771 or use the MyNYCHA app [MyNYCHA - NYCHA](#) to report any repair needs.*

### **Grievance Procedure**

- Legal Aid and Community Service Society stated that NYCHA should eliminate unnecessarily severe policies including:
  1. drug felony arrest trespass rule
  2. The use of permanent exclusions as a first line resolution for any case involving allegations of criminal activity and without consideration for other settlement options which could be less punitive for a family
  3. generally, overly punitive terminations

The current policy can be altered to offer connecting residents with voluntary supportive services if they are found to have substance abuse issues, as well as appointing a caseworker, if necessary, through NYCHA's many non-profit partners.

NYCHA should also adopt impartial hearing officers, modeled after NYC's Office of Administrative Trials and Hearings (OATH) Officer Code of Conduct. This would create an unbiased, fairer process for public housing residents, by ensuring that officers minimize the risk of conflict with judicial obligations, perform duties impartially and diligently, avoid impropriety and the appearance of impropriety, and other concerns ameliorated by the applications of OATH's rules of conduct.

*Thank you for your comment. We appreciate your office's partnership and continued advocacy for residents living in our developments. NYCHA will follow up directly on any concerns raised by your office with our partner teams.*

## **Operations and Management**

- A resident of Brownsville commented about several problems at the development. NYCHA should provide new refrigerators, stoves, and cabinets. NYCHA needs to fix the mold and clean the garbage disposal area that is infested with rats. NYCHA should provide window screens, fix the elevators, and the entrance doors, that frequently break down. There are homeless people entering our buildings. NYCHA closed the community center, where can our youth and the elderly go? Please check on the heating at Brownsville. NYCHA must listen to the residents.

*NYCHA repairs or replaces appliances and cabinets as needed. Mold remediation is done in units and public space and follows NYCHA's Mold Buster protocols ([mold-buster \(nyc.gov\)](http://mold-buster.nyc.gov)). Garbage disposal areas are cleaned daily and treated for rats as needed. NYCHA does not install window screens. Elevator outages are reported to NYCHA's Elevator Services and Repair Department, and elevator mechanics are dispatched as needed. Maintenance staff or vendors repair doors daily. Management works with NYCHA's Office of Safety and Security and the NYPD to assist with homeless individuals who may be in the buildings. The Community Center was closed by the NYC Department of Health due to a failed inspection. Senior residents go to the senior center located at the development and the youth go to the Van Dyke community center. The Heating Management and Services Department will monitor any heating issues when the heating season begins in October.*

- A resident of Seward Park commented about the poor living conditions in their development. This is a serious quality of life issue. The politicians who speak about these issues don't live in our development. They don't know what it's like. We have elevators with no air conditioning on hot days.

*NYCHA elevators are not equipped with air conditioning. The elevators at Seward Park Extension were replaced in 2012 and are in compliance with the NYC Department of Buildings (DOB) codes. Residents should contact the Customer Contact Center (CCC) at 718-707-7771 or use the MyNYCHA app [MyNYCHA - NYCHA](#) to report any repair needs.*

- A member of the public inquired why NYCHA allows management offices to shut down water and power unexpectedly. The office always claims they told us, but they did not.

*The property management office must ensure any planned water or power outages are communicated to residents by posting the notifications at least 72 hours in advance. For unplanned outages, property management staff is required to post notices in conspicuous areas and notify resident leaders immediately.*

- A member of the public asked why isn't there a transparent way to report racial harassment and retaliation from NYCHA employees?

Residents can contact NYCHA's Office of Diversity Equity and Inclusion (ODEI) at [odei@nycha.nyc.gov](mailto:odei@nycha.nyc.gov) or 212 306 4468 to speak with an investigator about their issue. Development Management offices should have Fair Housing posters and policies displayed in common areas.

- A resident of building 2645 3rd Avenue at Patterson Houses stated that the building has been dealing with low water pressure for over five years now. Residents have put in numerous tickets. On the weekends, there's barely any water. Why has the water pump inside in the basement not been fixed? They pay their rent but it's not fair that they have to continue dealing with this issue.

*House pumps, which are part of the equipment to provide water to the development, were repaired in June and September 2024 by a plumbing vendor. The development also completed the annual roof tank cleaning in June 2024. Buildings were posted to notify residents. Currently, there are no reports of low water pressure or no water at Patterson.*

- A resident stated that they heard that there is funding for Todt Hill but what about South Beach Houses in Staten Island? There are multiple apartments with mold/ mildew and apartment buildings have not been painted in years. Tenants are concerned because it is not fair to give funding to certain buildings and not others. Will NYCHA address these issues?

*Todt Hill is a development in the Comprehensive Modernization (Comp Mod) program which has been launched to effectively utilize available capital funding for a property to holistically rehabilitate the campus and buildings, improve building performance, enhance residents' quality of life with improved living conditions, and preserve public housing for current and future residents. The funding for this work was allocated two years ago. As with all NYCHA developments, South Beach has a yearly operating budget to address the needs of the development Residents should contact the Customer Contact Center (CCC) at 718-707-7771 or use the MyNYCHA app [MyNYCHA - NYCHA](#) to report any repair needs including mold and mildew conditions. Any areas are painted as needed. Additional funding may be allocated to developments based on a needs assessment.*

- A long-term resident of St. Nicholas urges NYCHA to proceed with comprehensive modernization to begin in 2025. There are many complaints from the residents especially safety and quality of life issues. NYCHA needs to enforce security and provide support to our residents. There are homeless people entering the building and a lack of adequate police presence.

*NYCHA is moving forward with comprehensive modernization at Saint Nicholas Houses and the Design Build team is currently on board and under contract. They are completing the designs after seeking input from residents on the finishes of their apartments. The first phase of buildings is anticipated to begin in Winter 2024-2025. The scope of work that covers all apartments includes full plumbing replacement, electrical upgrades, elevator replacements, new heating system, new windows and facade improvements, full kitchen and bathroom renovations, new flooring, lead based paint removal, as well as common areas upgrades.*

- A resident of Van Dyke complained about how dirty her building is and noted that NYCHA's caretakers at the development are only seen in the morning. NYCHA should fix the garbage compactor, it's been broken for the past month. NYCHA must be accountable for the work that needs to be done.

*Caretaker Staff at the development follow the janitorial schedule for the entire day and the Supervisor of Caretakers checks throughout the day to ensure that it is followed. Supervisors will also follow-up with staff who may deviate from the schedule. Supervisors will request service from the Waste management or a third-party vendor to address any repair issues with the compactor.*

- A resident of Lafayette Gardens commented that this development is now ground zero due to the failed draft plan over the years. The worst part is NYCHA never used to allow tenants to have pets and whoever changed this rule is the absolute worst because the tenants do not pick after their dogs. Some of the dogs are vicious. When will the ugly scaffolds be removed? There has been no work done here for decades. When will they get new benches, a basketball court, and a park for the families and the seniors? This has also created a rodent problem. They do not get even basic services like landscaping which would help. We are in 2024 living with the same old problems. Even with the federal monitor the problems still exist because the federal, city and state government continue to be slumlords, and not invest in our communities. Living in NYCHA is a national health crisis that needs many improvements.

*The development will work with the Resident Association president to identify locations throughout development to install pet stations to help alleviate the problem of residents not picking up their pet waste. Management takes appropriate action when they receive a complaint of or become aware of any vicious animal.*

*Due to safety reasons, scaffolding cannot be removed until ongoing work is completed, and buildings are cleared by the Department of Buildings (DOB). Local law 11 façade work recently started at the development and is ongoing. There is also a capital project in the design phase to upgrade a playground. As it pertains to the rodent issue, the development has an ongoing treatment schedule.*

- A resident asked why hasn't the board or any NYCHA representative lived in NYCHA housing? Maybe if they experience what we do, you will understand why we are fighting for our civil rights.

*Victor González is the first public housing resident to be named a member of the Board of the New York City Housing Authority. He is currently serving as the NYCHA Board Vice Chair. Mr. González has lived in NYCHA's public housing for 50 years. He is the former President of the Wise Towers Residents Association and served as an alternate member of NYCHA's Resident Advisory Board.*

- A resident of Tilden commented that NYCHA needs to clean up the grounds from the dog feces.

*There are dog stations and signs posted throughout the property. Staff removes dog waste from the grounds daily.*

- A resident of Saint Mary's Park wants to know why there are several apartment vacancies at NYCHA. She is dissatisfied with NYCHA's inability to fill these vacancies, and NYCHA is losing revenue that could improve the developments. NYCHA continues to ignore the 964 rules and regulations. Most of our buildings are made of cement and steel, and what is being proposed doesn't come close to matching the quality we currently have. NYCHA management lacks enforcement of rules and regulations, thereby allowing tenants to move in with large dogs and additional occupants who do not pay rent. Public housing residents did not receive the ERAP assistance they desperately needed. Instead, they were directed to one-shot deals, which they must repay, and some are even received an eviction notice. This is unacceptable.

*Saint Mary's Consolidated is a conventional public housing and Section 8 development. Section 8 apartments must pass Housing Quality Standards (HQS) inspections prior to being rented. Apartments are rented based on the apartment and family size. Over the past year, NYCHA departments have prioritized the reduction of vacant units at the developments.*

*When Property Management becomes aware of any breaches in the lease agreement, such as unauthorized occupants and unregistered pets, the residents are called in to the management office to discuss the matter with the property manager or designee. If the breach is not resolved, additional tenancy proceedings may take place. The process is similar for households in rent arrears. Residents would also meet with Management to discuss the importance of timely rent payments, the different options to pay off the arrears or obtain rental assistance. The funding received from the Emergency Rental Assistance Program (ERAP) was applied to qualifying residents' accounts. Households who did not qualify or did not receive ERAP assistance are referred to the Department of Social Services to apply for a one-shot deal assistance for their rent arrears.*

*NYCHA has mandatory training to ensure employees are trained and adhering to the HUD rules and regulations (CFR 964). Property Maintenance Supervisors at Saint Mary's review work orders daily to ensure that repairs are addressed in a timely manner.*

- A resident of LaGuardia commented that NYCHA Resident Participation and Civic Engagement (RPCE) department and property management need to follow and review the HUD regulations and federal law.

*The Resident Participation and Civic Engagement Department (RPCE) at NYCHA ensures that residents' voices are heard while strengthening community throughout the five boroughs. RPCE is responsible for overseeing NYCHA's many opportunities for residents to engage in their communities, including:*

- *Resident councils – also known as resident associations – are a meaningful way to consistently serve your community and connect your neighbors to important resources.*
- *The Citywide Council of Presidents and the Resident Advisory Board offer residents a pathway to significant decision-making power in their developments as well as the local, state, and federal level.*

- *The Resident Roundtable, Resident Leadership Academy, and the Youth Leadership Council all provide residents the opportunity for personal and professional development while enriching the community.*
- *Tenant Participation Activity funds are utilized by resident councils for activities including skills training programs, Family Days, and procurement of office supplies for resident association needs.*

*NYCHA, with the assistance of the U.S. Department of Housing and Urban Development (HUD), has partnered with CVR Associates to bring training on HUD's regulations on tenant participation (24 CFR 964) and the 2021 Memorandum of Agreement (MOA) between NYCHA and the Citywide Council of Presidents (CCOP) to all Tenant Association leaders and NYCHA staff.*

- Council Member Gail Brewer's testimony: First, the Federal Violence Against Women Act (VAWA) requires Public Housing agencies to protect survivors of domestic violence. Unfortunately, the transfer process for victims takes far too long, with many examples of extended delays. Second, while there has been progress in fulfilling outstanding work orders, problems persist. For instance, at Sondra Thomas, a senior building, the garbage chute has been broken since 2021, and the elevator is non-functional. This is particularly unacceptable for seniors. Third, there is a critical need for skilled and trained personnel to complete apartment repairs. Many residents with serious illnesses spend hours on the phone trying to reach the call center, which is unacceptable. Although the skilled trades pilot program is an improvement, the call center remains significantly understaffed. Additionally, disruptions in basic utilities like heat and hot water, the waiting time for resolution is much too long to resolve. The boiler should be fixed before the heating season begins, and this must be prioritized.

There is also a discussion about transfer air rights and building new units. Years ago, I was promised affordable units would be built there. Everyone wants this, but nothing has happened. When it comes to air rights, we do not want high-income housing on NYCHA land, which can happen when air rights are transferred to developers. Manhattanville Houses are facing this issue.

Additionally, flood repairs in developments need timely attention. NYCHA must adhere to timeliness for repairs. Finally, for tenants facing challenges, a policy that includes counseling and support is essential.

*Thank you for your comment. We appreciate your office's partnership and continued advocacy for residents living in our developments. NYCHA will follow up directly on any concerns raised by your office with our partner teams.*

### **PHA's Community Service and Self-Sufficiency Programs**

- A member of the public wants to know why NYCHA doesn't hire residents. NYCHA should give residents the ability to make a living, make change, and earn an education.

*NYCHA's Office of Resident Economic Empowerment & Sustainability (REES) works with NYCHA contractors, vocational training providers, and employer partners to ensure that*

*NYCHA residents are connected to high quality occupational skills building programs and viable employment opportunities that lead to career pathways. Residents have been placed in both entry and mid-level opportunities across all industries, including various roles at NYCHA.*

*One such program is the NYCHA Resident Training Academy (NRTA), a collaboration among NYCHA, the Robin Hood Foundation, and many of the premier training providers in the city. The NRTA provides employment-linked training opportunities and job placement assistance exclusively to NYCHA residents in the construction, maintenance, and janitorial fields. REES works with successful graduates of the Academy to provide job placement assistance by focusing on jobs with career paths, that lead to self-sufficiency. Past NRTA graduates have worked with REES to obtain jobs at NYCHA in positions such as Caretakers, Pest Control Technicians, Heating Plant Technicians and with NYCHA contractors and affordable housing developers in the various construction trades.*

*REES also supports NYCHA efforts around Section 3 by working with NYCHA vendors and developer partners on resident hiring, job training, and other economic opportunity offerings connected to NYCHA projects*

- A member of the public commented that the number one thing that can make NYCHA, the best in the world is a five-year savings/investing program, that provides tenants a way to get better financially. This program will be able to show, the entire world, that NYCHA gets its tenants out of poverty and increases wealth in five years. Even if each person puts in \$1 every month, they can't get the money out for five years.

*NYCHA participates in the Housing Choice Voucher (Section 8) Family Self Sufficiency Program which is a HUD initiative that promotes economic self-sufficiency among participating families by referring them to educational, career counseling, money management, job training as well as job placement services. Participants receive a savings account which grows as the family's earned income increases. Upon completion of the five-year FSS Contract of Participation, the family receives the money accumulated in the account, provided that the participant is employed, and no family member is receiving cash public assistance benefits. There were 942 families enrolled in the program as of December 21, 2023.*

- A NYCHA employee stated that beyond a brief mention of community partnerships in the Executive Summary and Attachment B, Section 7, there is a lack of detail on how the Authority intends to support residents. NYCHA should create a Strategic Communications Plan, developed collaboratively with our credible messengers, could focus on increasing resident income and fostering economic empowerment. Raising the average household income would not only put money in our people's pockets but also generate significant funding. NYCHA should explore non-profit solutions. Social impact investments can reduce operating funds, address capital needs, and generate revenue, particularly since nearly 70% of our community spaces are occupied rent-free without leases.

*NYCHA's Office of Resident Economic Empowerment and Sustainability (REES) supports NYCHA public housing and Section 8 residents to increase their income and assets through*



*programs, policies and partnerships, with a focus on employment and advancement, adult education and training, financial capability and asset building, and resident business development.*

*One way REES does this is through a service coordination "Zone Model," which connects residents to opportunities and services to meet their goals, through formal partnerships with 80+ economic opportunity providers within 15 zones citywide. Each zone has a dedicated REES Zone Coordinator, a neighborhood-based NYCHA staff who use local knowledge to create, manage and promote economic opportunity partnerships.*

*To learn more about REES, residents can call the REES Hotline at 718-289-8100, attend one of REES's Bi-weekly Information sessions (held both virtual and in-person), or visit [opportunitynycha.org](http://opportunitynycha.org), where residents can view a calendar of upcoming REES events, RSVP for partner information sessions, or view Section 3 and other job opportunities. Residents can also be directly connected to REES Partners via Opportunity Connect on the NYCHA Self-Service Portal.*

### **PHA's Policies and Rules Regarding Ownership of Pets in Public Housing**

- A resident of Brevoort Houses asked if it is possible to ban pit bulls from NYCHA developments.

*Full- or mixed-breed Pit Bulls dogs of any weight are no longer allowed unless they were registered before January 31, 2010. If they were not registered before January 31, 2010, they must be removed from NYCHA property. However, NYCHA may not prohibit any breed as an Emotional Support or Assistance Animal.*

- A resident of Dyckman commented about dog feces and urine all over the sidewalks and elevators. Oversized dogs and pits are fraudulently being presented as service dogs. Tenants throwing trash out of the windows with impunity.

*NYCHA residents are required under their lease agreement to properly dispose of trash and keep the grounds and buildings free from litter. In addition, NYCHA is preparing to release a graduated enforcement policy related to proper trash removal. NYCHA staff observe federal laws regarding service animals and staff members receive training on how to determine if an animal is a service animal.*

- A member of the public expressed concerned about several issues at Manhattanville: They are concerned about dogs that are not registered as well as the conditions of the grounds with dog owners not picking up their dog waste.

*As per NYCHA's Pet Policy, the following breeds are prohibited: Pit Bull, Rottweiler, and Doberman Pincher. Assistance animals are exempt from weight and breed restrictions. Residents must register their cats, dogs, or assistance animals with NYCHA and provide supporting documentation (example: dog license). During the pet registration process, NYCHA will ensure a pet dog meets the weight requirement and is an approved breed. In addition, residents with a pet dog are required to follow NYCHA's rules regarding pets, including making sure the dog is kept on a leash when outside the apartment. Failure to register the pet or follow NYCHA's rules regarding pets may result in termination of tenancy. Residents are encouraged to*

*inform their Property Management office if they see dogs off their leash while on NYCHA property.*

*When NYCHA staff learns of a resident possessing an unregistered pet, they provide the resident with NYCHA Form 040.505, Dog, Cat, and Assistance Animal Registration Form which allows them to register the animal as a pet or assistance animal. If the resident fails to register the animal within 30 days, Property Management staff will send an animal removal agreement. If the tenant does not take action within a week of receiving the form, NYCHA initiates termination of tenancy procedures.*

### **Safety and Security**

- A resident of St. Nicholas urges NYCHA to examine the quality of life and safety concerns at the development. NYCHA management should enforce quality of life issues such as tenants who continue to have frequent loud parties. This has been going on for over a year and a half and nothing is being done for the residents in the buildings. NYCHA wants us to move to other developments that have higher crime issues and that is unacceptable.

*For immediate response to noise complaints, residents should utilize 311 to have the New York Police Department respond. For ongoing complaints, residents can call the anonymous tip line (212) 306-7027. All complaints are addressed to the appropriate NYCHA department for a response. This is the best way track ongoing resident complaints and hold management accountable to contact the problematic apartments.*

- A resident of Van Dyke complained about the entrance doors and the safety of the residents. NYCHA must fix the entrance doors. They've been broken for five months. The resident is concerned about the homeless people who might enter these buildings. The resident doesn't want to be told that NYCHA must wait for a piece from China to repair these entrance doors.

*All ongoing door repairs are made by Property Management or a hired vendor. The Office of Safety and Security comes to each development in a 12-month period and conducts a Risk Assessment of the location. Residents can call the Anonymous Tip Line to report these issues and they will be addressed by Management through a follow-up work order or a repair by a vendor.*

- A resident of Meltzer Towers is concerned about the severe lack of security. There are young people and squatters who have taken over vacant units in the building. NYCHA needs to provide better security and training for management and staff. The development is going to convert to PACT soon.

*There is a security guard provided by a third-party vendor 7 days a week from 8pm to 4am for access control. Any possible squatter apartments should be reported to management to investigate. If it is an illegal occupation of an apartment (burglary) then 911 should be called immediately. This can also be reported through the Anonymous Tip Line (212) 306-7027 and*

*the Office of Safety and Security will ensure Property Management investigates. If NYPD is needed, we will coordinate with them and Management to have the situation rectified.*

- A member of the public asked what will be done about all these broken lobby doors and non-working intercoms?

*Damaged lobby doors and non-working intercoms are addressed by Property Management. The Office of Safety and Security can check intercoms to determine if there is an individual issue (wrong phone number on file, connection issue, etc.). Larger issues will be addressed through an authorized vendor repair.*

- A resident of Brevoort Houses is requesting that the New York Police Department (NYPD) remain a presence on the grounds at the development. It has improved the quality of life for the resident and neighbors.

*Residents should attend the monthly precinct meetings to voice their concerns and make this request. Most developments, if overseen by a Police Service Area, have a Neighborhood Community Officer (NCO) assigned.*

- A resident of Carey Gardens stated that the police vehicles are obstructing the walkway on the sidewalks. Elderly and wheelchair bound people as well as blind people have a very difficult time getting in and out of their home, something must be done immediately before someone is hurt.

*Property Management and residents can communicate this issue to the precinct associated with the cars. They might not be aware of the situation and have it rectified. The side of the vehicle will have the assigned precinct such as 60, PSA1 or HB1, etc.*

## **Preservation Trust**

- A member of the public asked if there are any renovation plans by the Preservation Trust for Riis houses in Manhattan in the next five years.

*The Trust only starts work at a development if NYCHA selects the development to undertake a vote and if residents at that development, after 100 days of engagement and 30 days of voting, opts into the Trust. Residents can choose to opt into the PACT program or remain in Section 9. Currently, NYCHA has not announced a vote at Riis Houses.*

- A member of the public wants to know if the Trust enables the federal government in conjunction with private developers to fund NYCHA or will NYCHA convert to privatization.
- A resident of Elliott Houses stated that the annual plan lacks crucial details on how the Trust functions, including specifics on the lease, the financial structure of Trust bonds, and the

timeline of repairs. They still do not know how the bonds issued by the Trust to raise revenue will work.

- A member of the public is deeply concerned that NYCHA's 2025 Annual Plan sets the groundwork for the dissolution of public housing in New York City as we know it. The transparent attempt to turn NYCHA developments into bank assets through the so-called "Preservation Trust," if not outright demolish them after years of intentional neglect, is a typically shortsighted move that will negatively affect all New Yorkers. NYCHA should take the mandate to provide actual affordable housing through the proven Section 9 framework seriously. They urge NYCHA to change course and resubmit a more realistic Annual Plan that keeps Section 9 public housing truly public.

***This response is for the three comments above. Resident choice is central to the Preservation Trust. The legislation that created the New York City Public Housing Preservation Trust requires that residents be given the choice to opt into its program or to seek an alternative – the Permanent Affordability Commitment Together (PACT) program or to remain in Section 9. NYCHA has and will respect the outcome of resident voting, including preferences to remain in Section 9.***

*The Preservation Trust is a public-public partnership that relies on conventional ways to finance public assets like roads, bridges, and schools. The use of the Section 8 program and conventional sources for public financing and affordable housing enable durable repairs. Please see this link for more information about the Trust, <https://www.preservationtrust.org/>.*

- Assembly Member Harvey Epstein stated that the choices between living in dilapidated conditions or allowing a private developer to come in with millions in investments are unfair. They voted against the Trust because they believe it's bad policy, and it fundamentally dismantles Public Housing in New York City. The residents are concerned about the default provisions on the Trust and the current state of Public Housing. Despite over a billion dollars in capital from the New York State legislature, conditions in Public Housing are worsening. We need more public safety offices, more security, and more support for residents. We must prioritize the residents over for-profit developers who care more about money than the community.

*Thank you for your comment. We appreciate your office's partnership and continued advocacy for residents living in our developments. NYCHA will follow up directly on any concerns raised by your office with our partner teams.*

**Permanent Affordability Commitment Together (PACT)**  
**Opposition to the demolition of Fulton, Elliott and Chelsea developments**

The main issues expressed in the public comments received are about the lack of engagement with the surrounding community, the lack of transparency around the supporting documents (Request for Proposal, Cost Assessment, Obsolescence Report), the methodology and results of the resident survey, the environmental impact of the demolition and construction, the temporary relocation and potential permanent displacement of residents.

- A resident of Elliott houses urges NYCHA not to submit the Section 18 application for the demolition of Fulton, Elliott and Chelsea developments.

*Thank you for your comment. NYCHA will take it under advisement.*

- A member of the Chelsea Neighbors Coalition opposes the demolition of Fulton, Elliott and Chelsea campuses. The initial cost of the NYCHA Physical Needs Assessment (PNA) and the Request For Proposal (RFP) is a big change in numbers. What justified this change? How can NYCHA say it is carefully guided by the input and direction of the tenants? Did NYCHA assess the methodology and means of the survey?
- A resident of Chelsea opposes demolition of Chelsea, Elliott and Fulton. The agency plan claims that Fulton and Elliott, Chelsea residents chose to build new NYCHA buildings, but they were steered in that direction by a biased survey favoring new construction, which benefits related developers. Residents were not informed that the new construction with rezoning would fail to meet code minimums for light, air, views, and open space, which is only now mentioned in the draft scope of work. The cost of full renovation, including larger elevators, couldn't possibly be as high as new construction. No structural issues have been cited, despite thorough inspections under local law. Even if renovation costs matched replacement, the environmental cost of new buildings and the loss of 370 mature trees make renovation the clear choice.

*This response is to the two comments above. Following the 2021 designation of Essence Development and Related Companies as the PACT Partner, a pre-design due diligence process revealed significant, previously unknown structural, systemic, and environmental issues, tripling the cost and requiring temporary resident relocation. Before moving forward with renovations at such a drastically higher cost, longer timeline, and more disruptive schedule, Essence Development, NYCHA, and resident association leadership partnered to develop two alternative paths forward and engaged with residents directly in a transparent process for them to determine the future of their homes.*

*In February 2023, resident association leadership from Fulton and Elliott-Chelsea Houses met with city, state, and federal elected officials, local stakeholders, NYCHA leadership, and principals from Essence Development and Related Companies ("PACT Partner") to express*

*their desire to commence a robust resident engagement process at the two campuses to explore resident interest in rebuilding all 2,056 apartments. The resident association leadership requested that NYCHA and the PACT Partner work with them to design the engagement process and a strategy for gauging resident preferences for rebuilding or rehabilitation.*

*In June 2023, NYCHA and resident leaders announced the plan to completely rebuild the Fulton and Elliott-Chelsea campuses. This Project can serve as a new model that repositions NYCHA's rapidly deteriorating public housing stock through the creation of inclusive mixed-income and mixed-use communities that better serve NYCHA families and individuals, while also expanding opportunities for New Yorkers, including low-income families, to access deeply affordable homes in high-amenity neighborhoods.*

*Over a 60-day period beginning in March 2023, with the support of resident association leaders, NYCHA and the PACT Partner hosted 35 scheduled town hall sessions in multiple languages; canvassed thousands of residents across both campuses; provided weekly Saturday tours of new affordable construction developments at Hunter's Point South and Roosevelt Island; and distributed information packets to every apartment. In addition to the 35 scheduled town hall meetings, smaller groups of residents requested meetings with NYCHA and the PACT Partners to ask additional questions.*

*NYCHA and the PACT Partner surveyed residents of Fulton and Elliott-Chelsea Houses to understand whether they preferred to move forward with the rehabilitation of the existing buildings, as originally planned, or proceed with a new proposal that would replace all existing buildings through new construction. Residents 18 years of age and older on leases could indicate their preference using either an online or paper survey.*

*The survey, town halls, and tours were all designed to function as an integrated program. Residents were encouraged to attend a town hall session – during which all aspects of demolition, rebuilding, relocation, and rehabilitation were discussed – before completing the survey. The survey was intended to serve as an engagement tool that would inform the direction of the project and future community engagement processes.*

*To support the integrity of the process, the Citizens Housing & Planning Council (CHPC) served as an independent third party that reviewed and tabulated the survey results. CHPC received all online and paper surveys, verified resident eligibility, performed QA functions, and summarized the results.*

- A resident of Co-op Penn South opposes the demolition of Chelsea, Elliott and Fulton. NYCHA must find alternative methods to fund maintenance and renovations for its apartments, especially with over 7,000 units currently vacant amid a severe housing crisis. There are several reasons to oppose NYCHA's demolition plan. They stated that the demolition plan is based on a fundamental misunderstanding. The working group originally tasked with finding ways to fund maintenance and renovations never considered demolition as an option. The Request For Proposal (RFP) issued by NYCHA did not mention demolition. The plan involves crowding Public Housing tenants into a huge high-rise building, demolishing existing low-rise buildings, and constructing multiple new densely packed buildings, leading to mega development of market-rate housing.

- A member of the public wholly opposes the demolition plan as outlined in the Draft PHA Agency Plan for "Fulton, Elliott, Chelsea, and Chelsea Addition" properties.

The Chelsea NYCHA developments are the centerpiece of our community, with their abundance of "affordable" housing, light, air, recreational open space and 370 mature sixty-year-old trees. Destroying them would wreak havoc not only on the environment and quality of life, but also extract and transfer significant amounts of private and public wealth (including public land and tax dollars) to a Real Estate Investment Trust whose mission is to enrich private shareholders. Even though the Draft PHA Agency Plan does not use the word "Demolition" in any of its discussion about the Chelsea NYCHA plans, it is exactly what is proposed; without any third-party audit of the financials being used to justify it. For these reasons and many more, the proposed plan for Chelsea NYCHA developments needs to be shelved and a new plan, with financing that doesn't rely on real estate speculation, needs to be developed that it is in keeping with the goals and objectives of the 2021 Chelsea NYCHA Working Group report. That report explicitly states "No Demolition."

*The residents of the Fulton and Elliott-Chelsea Houses have decided to move forward with an ambitious proposal to rebuild their community through NYCHA's Permanent Affordability Commitment Together ("PACT") program, providing residents with permanently affordable homes in new, modern buildings (the "Project"). The Project plan will also accommodate new residents in mixed-income apartments that will be added to the campus, as well as new, modern open spaces with expanded amenities and reimagined public spaces. The residents of the Fulton and Elliott-Chelsea Houses have entrusted NYCHA and their selected PACT Partner, a joint venture of Essence Development and The Related Companies, to carry out this redevelopment plan centering their needs and priorities and assuring their rights and protections as NYCHA residents.*

*The resident-approved plan is the result of many years of inclusive community planning and engagement that has centered resident priorities and expertise. In December 2021, a resident review committee consisting of 10 resident leaders from the Project Site selected Essence Development and Related Companies as the team that would deliver comprehensive upgrades for nearly 4,500 residents living in 2,055 apartments through the PACT program. The resident review committee evaluated proposals and conducted interviews regarding proposed building upgrades, management practices, sustainability and design features, and plans to enhance social services.*

*Following the 2021 designation of Essence Development and Related Companies as the PACT Partner, a pre-design due diligence process revealed significant, previously unknown structural, systemic, and environmental issues, tripling the cost and requiring temporary resident relocation. Before moving forward with renovations at such a drastically higher cost, longer timeline, and more disruptive schedule, Essence Development, NYCHA, and resident association leadership partnered to develop two alternative paths forward and engaged with residents directly in a transparent process for them to determine the future of their homes.*

- A member of the public commented that the use of euphemisms by NYCHA is highly concerning. The survey prepared by NYCHA in 2022 did not mention the word "demolition" and was highly misleading. In the 2025 capital plan, the word "demolition" yet again is

conspicuously absent. Instead, phrases like “plans to build new replacement housing” and “build brand new NYCHA apartment buildings” and “to replace existing buildings at their development” are used. The Project has faced serious opposition. Despite NYCHA’s representative presence at community board meetings, they are left in the dark on several important issues. They are asking specifically for the obsolescence report, the detailed independent cost assessment, and the RFPs, not just from the winner but from those who bid and lost. These documents remain unavailable. We are urging the board to make them public.

*Thank you for your comment. A request for these documents can be submitted to NYCHA’s FOIL Unit. Please see the instructions below. Some information in these documents remains confidential and we are unable to make whole documents public at this time. NYCHA is committed to transparency and all information about the plan and ongoing project details will be provided on our PACT project page.*

*If you wish to make a Freedom of Information Law (“FOIL”) request for records your request must be in writing. You can mail, email, fax or hand deliver your request using the contact information below. Please do not send a request in both hard copy and email form as this would be duplicative and may delay the response process:*

*90 Church Street, 11th Floor New York, New York 10007*

*Fax: (212) 656-1064*

*Email: [FOIL@nycha.nyc.gov](mailto:FOIL@nycha.nyc.gov)*

*In person at the Central Office on Tuesdays and Thursdays between the hours of 9:00 a.m. and 5:00 p.m., excluding legal holidays observed by the Authority.*

- A resident of Fulton Houses strongly opposes the demolition of Fulton, Chelsea and Elliot. The decision by the resident association president to enroll our developments in the PACT program has put us at risk of demolition. They have neither participated in nor been consulted about the demolition of their homes.

*NYCHA encourages all members of the Fulton, Elliott, and Chelsea developments to attend and participate in ongoing building meetings, town halls, and other meetings regarding the updates to the project. Information about ongoing engagement work is on the PACT project page. [PACT News \(nyc.gov\)](#)*

- A member of the public opposes the proposed demolition of the two NYCHA campuses in our low-rise, historic neighborhood. They only learned of this process and proposed demolition plans in early 2024. They have deep concerns about traffic, sanitation, and air quality due to the demolition. That the re-build plans include a substantial amount of luxury housing is outrageous, given that Chelsea can support this kind of development without using public lands to do so. They are deeply concerned that the rehabilitation figures Related has publicly touted are not truthful. As a member of the Chelsea community, they are demanding that NYCHA reveal the physical plan details used to support these plans and reach out to the surrounding neighborhood to hear the concerns.



A member of the public has significant concerns about NYCHA's proposed plans, process and premise for the whole approach, particularly, "the unreliability of the tenant survey, the failure to issue a new RFP when the project scope on the basis of a building-conditions survey provided by financially interested party, Related itself, the exclusion of CB4's working group from this phase, and NYCHA's refusal to answer so many of our legitimate questions". Change at NYCHA is necessary, we all agree, but how it is done and if NYCHA residents' rights are preserved/protected since the transparency of the process itself is in question. They would like to see more transparency in the process as it pertains to the new proposals and plans at both locations and considerations should be given to the density of the project, the financing details, potential income and operating costs, and equity through more inclusive forms of affordable housing.

- A member of the public whole-heartedly opposes the plans to demolish the Fulton, Elliot, and Chelsea affordable housing campuses. Chelsea is an historic neighborhood with zoning edicts in place that will not allow more than 7 stories at the curb, with somewhat higher stories in the set-back areas. The new plans propose buildings of nearly 40 stories at the curb. This is outrageous and will change the complexion of the neighborhood completely, not to mention would be in violation of the zoning laws.  
Why was the wider community not consulted before this spring? The surveys that were administered to the current tenants of these campuses were misleading and not transparent as to the full extent of what would be happening. Given that these campuses are on land that is actually under the auspices of HUD and are being paid for by our tax dollars, the proposed plan for updating these campuses needs to be presented with transparency to the community, without commercial real estate involvement and in keeping with the goals of the Chelsea NYCHA Working group report that states explicitly, "No demotion".
- A member of the public opposes the demolition of Elliot Chelsea and Fulton houses as proposed by and for the benefit of Related Companies for three principal reasons: the lack of transparency on the cost of renovation, the environmental impact from the destruction of the trees, the dust and pollutants from demolition and construction, and the impact on NYCHA families and communities.
- A member of the public urges NYCHA to stop the plan to demolish public housing at the Fulton/Elliott projects. These plans are being pushed through without transparency and will have potentially devastating impacts on the current residents of that housing and on the neighborhood as a whole. We do not need more luxury housing or more real estate speculation. We do need better housing for residents of the projects and for the community at large. We certainly need the trees, as well as urgent attention to the environmental, social, and economic issues with which our neighborhood is grappling.

*This response addresses the five comments above. To facilitate the redevelopment of Fulton and Elliott-Chelsea, the impacts to the human, built, and natural environment in the area will be analyzed through a National Environmental Policy Act ("NEPA") Environmental Impact Statement ("EIS"). The environmental review process under NEPA provides an opportunity for the public to be involved in the agency analysis process. It helps the public understand what is being proposed, and provides the public the opportunity to comment on the analysis of the environmental effects of the Proposed Project and possible mitigation. The EIS will be*

*undertaken by the New York City Department of Housing Preservation and Development (“HPD”), as lead federal agency and Responsible Entity on behalf of the U.S. Department of Housing and Urban Development (“HUD”).*

*The comment period for the DEIS has closed, and later this year a Final Environmental Impact Statement (“FEIS”) will be prepared. This FEIS will include the contents of the DEIS, as well as copies or a summary of the comments received at the public hearing or in writing during the DEIS public comment period, and responses. Any revisions to the DEIS made in response to comments are set forth in the FEIS.*

*The FEIS will include any measures that minimize identified significant adverse environmental impacts to the greatest extent practicable. If a range of possible mitigation measures for a given technical area was presented in the DEIS, selected mitigation and the method of implementation will be disclosed in the FEIS.*

*Once the lead agency or agencies verify that the FEIS is complete, a Notice of Availability will be published in the Federal Register, which will initiate a 30-day review period of the FEIS. Federal agencies may not make or issue a Record of Decision (“ROD”) for the proposed project until the later of the following dates:*

- *90 days after publication of the DEIS Notice of Availability in the Federal Register*
- *30 days after publication of the FEIS Notice of Availability in the Federal Register*

*At the time of decision, the lead agency or agencies prepare and publish a concise joint Record of Decision/Findings Statement which ends the environmental review process and certifies that the agencies have considered all alternatives, information, analyses, and objections submitted by State, Tribal, and local governments and public commenters in developing the EIS.*

- A resident of Elliot Houses stated that some RAD/PACT efforts are bundled, with multiple public housing developments handed over to one development team under a new bundled name (e.g., Brooklyn Bundle I & II). This continues the lack of transparency in the PACT process, which is by design.

*Thank you for the comment. Historically, NYCHA has bundled developments for PACT projects. Given resident feedback about the confusing and nontransparent naming of these projects, we make our best efforts to refer to existing development names or property management offices when bundling PACT projects.*

- NYCHA is transferring air rights to generate money, raising \$28 million at Manhattanville. The question is are these funds being put back into the development? They were told that infill developments would assist in the long-term sustainability of their developments. Were the funds invested in this development or used for NYCHA’s operating budget? How does NYCHA select these sites for Infill, PACT/RAD and the Preservation Trust? What defines 'underutilized land'? NYCHA’s proposed demolition and the PACT process failed to consider the best interests of the residents. The lack of transparency, relevant engagement and viable alternatives make this proposal unacceptable. We urge NYCHA to withdraw the Section 18 application.

*The transaction at Manhattanville will be used exclusively at Manhattanville Houses for comprehensive building repairs and upgrades to be implemented through NYCHA's PACT program. Funds are not used to augment NYCHA's operating budget.*

- Legal Aid and Community Service Society have many concerns about the Proposed Plan at Fulton-Chelsea-Elliott (FEC):
  - The resident survey
  - Demolition and lengthy temporary relocation of FEC residents and the potential permanent displacement of seniors and other FEC households.
  - Large numbers of apartments being held vacant in preparation for the relocation of FEC residents during the demolition and reconstruction period;
  - The outstanding repair needs at FEC that have not been addressed, that are urgent and that continue to threaten the health and safety of all FEC residents;
  - Which entity is going to pay for interim repairs until full rehabilitation of all the public housing units is completed;
  - Guarantees that there will be one-for-one replacement (bedroom size) of all 2,054 public housing units;
  - Affordability levels for any infill development in the FEC campus.

### ***Resident Survey***

*Over a 60-day period beginning in March 2023, with the support of resident association leaders, NYCHA and the PACT Partner hosted 35 scheduled town hall sessions in multiple languages; canvassed thousands of residents across both campuses; provided weekly Saturday tours of new affordable construction developments at Hunter's Point South and Roosevelt Island; and distributed information packets to every apartment. In addition to the 35 scheduled town hall meetings, smaller groups of residents requested meetings with NYCHA and the PACT Partners to ask additional questions.*

*NYCHA and the PACT Partner surveyed residents of Fulton and Elliott-Chelsea Houses to understand whether they preferred to move forward with the rehabilitation of the existing buildings, as originally planned, or proceed with a new proposal that would replace all existing buildings through new construction. Residents 18 years of age and older on leases could indicate their preference using either an online or paper survey.*

*The survey, town halls, and tours were all designed to function as an integrated program. Residents were encouraged to attend a town hall session – during which all aspects of demolition, rebuilding, relocation, and rehabilitation were discussed – before completing the survey. The survey was intended to serve as an engagement tool that would inform the direction of the project and future community engagement processes.*

*To support the integrity of the process, the Citizens Housing & Planning Council (CHPC) served as an independent third party that reviewed and tabulated the survey results. CHPC received all online and paper surveys, verified resident eligibility, performed QA functions, and summarized the results.*

*Based on CHPC's analysis, 969 residents participated in the survey, which represents approximately 29% of the total eligible population across both campuses. Approximately 37%*

of all households had at least one individual who submitted a survey response. Of those residents who submitted a response, 57% indicated a preference for new construction/full replacement of existing units.

**Displacement concerns, outstanding repair concerns, one-for-one replacement, and equitable planning concerns**

*Through our Master Development Agreement, NYCHA and the PACT Partner have committed to honor the needs of the residents of the Fulton Houses and Elliott-Chelsea Houses throughout this Project and is guided by the following key project principles:*

**One-for-one Replacement.** *NYCHA and the PACT Partner have committed to providing a one-for-one replacement of all existing public housing units, thus assuring, in accordance with the terms of the Agreement, that each one of the authorized residents of Fulton and Elliott-Chelsea Houses will receive a newly built, modern, safe, and permanently affordable home in a new building thoughtfully built to accommodate their needs and designed with their input.*

**Build First.** *The vast majority of Fulton and Elliott-Chelsea residents will remain in place in their existing apartments while the first NYCHA Replacement Buildings are being built, thus avoiding the need for temporary relocation or any other major disruptions to resident's everyday lives. This is assured through a build-first, phased approach to construction in which only a fraction of residents will be required to move two times. NYCHA and the PACT Partner are committed to supporting the approximately 6% of residents who are required to temporarily relocate through this transition and assuring a place for them in the first NYCHA Replacement Buildings.*

**A Dignified Wait.** *NYCHA and the PACT Partner will work together to proactively improve the quality of life of residents while they continue to reside in NYCHA's public housing buildings and await the completion of their new homes. The PACT Partner will add capacity to NYCHA's property management with additional security, pest control, building system repairs, and in-unit repairs. (See "Meanwhile Plan" below.)*

**Comprehensive, Equitable Planning.** *NYCHA and the PACT Partner will work with residents to plan holistic new campuses, where housing, retail, open spaces, services, and programming create a cohesive community for the safety, benefit, and enjoyment of all residents regardless of their income level.*

**A Resident-led Community Plan.** *NYCHA and the PACT Partner are committed to continuing to center resident voices throughout the planning and design process for the new campuses. Through proactively engaging resident leadership, forming resident committees, rolling out resident surveys to understand housing and social services-related needs, and maintaining transparent and consistent updates to the resident-body, NYCHA and the PACT Partner will develop a Community Plan that comprehensively documents all community agreements reached during the planning process. The PACT Partner is committed to honor this resident-led vision for the future campuses.*

**Residents' Right to Remain and Right to Return.** *Although beneficial to the surrounding Chelsea community and New York City's mission to build more affordable housing, this redevelopment project is, first and foremost, for existing Fulton and Elliott-Chelsea residents and will assure their right to a permanent home on-site, as well as their*

*federally mandated protections under the PACT program. NYCHA residents have the right to remain at their campuses by moving into a new unit in a new building on their campus or, if temporary relocation is necessary off campus, the right to return to the campus and a unit in the new building.*

**Collaborative Process.** *NYCHA and the PACT Partner are committed to continuing existing partnerships with public and private stakeholders, businesses, non-profits, and voluntary organizations, as well as to creating new partnerships, in order to secure commitments for community and financial resources that will benefit NYCHA residents and ensure that the goals of the project are achieved.*

## **Expanding Access to Documentation**

To increase transparency and accountability, the following changes are necessary:

### *PACT Documents*

- In the Spring/Summer of 2024, NYCHA removed a large store of documents from its website. These documents were a useful source of information and evaluation of policies and practices. We request that NYCHA err on the side of transparency and access/accountability so that interested parties can see important information easily without need to submit FOIL to an already overwhelmed system. We request that these documents are returned to the site, including but not limited to:

### Housing Stability and Retention Guidelines

- o NYCHA's PACT Asset Management Reporting Requirements
- o NYCHA Design Guidelines for the Rehabilitation of Residential Buildings
- o NYCHA Memo RE: Mold and Moisture Control at PACT Sites
- o NYCHA Memo RE: Testing, Assessment, and Abatement of Lead-Based Paint at PACT Sites
- o "Resident Priorities" Booklets
- o HDC Eviction Questionnaire
- o Underwriting Guidelines
- o Waste Management Handover Guide
- o Cost Action Reports
- o PACT Grievance Procedure
- o PACT Rehabilitation Scope of Work Guidance

*Thank you for the comment. NYCHA continues to provide a large number of documents on our PACT webpages to ensure transparency with our many stakeholders. A number of the documents listed have been on NYCHA's Resources for PACT Partners and Vendors page related to project-specific Requests for Expressions of Interest (RFEIs). Documents that are considered appendixes to those RFEI are included for transparency in the solicitation of project teams for that specific proposal. Once a project team is announced, solicitation materials are removed from the website.*

*NYCHA continues to have a large number of documents and resources on our website, including the following:*

- *All PACT pipeline and planning data*

- *Infosheets about the PACT program outlining resident rights, what PACT is, a summary of policies preserving resident rights under PACT, PACT employment information and an outline of the design & construction process*
- *Copies of the PACT lease, riders and notices*
- *PACT template documents including the control agreement, regulatory agreement, declaration of restrictive covenants and use agreement, RAD use agreement with Section 18 rider, NYCHA required provisions for organizational documents and the ground lease*

*Additionally, this past year NYCHA created the PACT Progress and Oversight webpage ([NYCHA](#)) to provide additional transparency around the PACT program for converted sites. This page includes the Housing Stability & Retention Guidelines and provides quarterly updates on maintenance and repairs, tenancy proceedings, and construction progress.*

We also request that the following information is published by NYCHA for each converted site:

- o PACT Capital Needs Assessment (PACT CNA) that conversion plans are based on
- o A breakdown of units converted through Section 18 vs RAD/PACT; and
- o Criteria used to justify the Section 18 application
- o Details of cost test (if applicable)
- o Financial details, including:
  - The amount of third-party equity that is expected to be provided by the project team and the source.
  - Estimated project members' Returns on Equity (ROE) (annually, and 5-10 years after the construction is finished.)
  - Estimation of the Net Present Value (NPV) of the development team's cash flows 5-, 10-, and 20-years post completion.
  - State, local, or federal subsidies and/or tax incentives used in the project.
  - Funds raised via NYC HDC bonds, including the Housing Impact Bond Program.
  - Cash flow for NYCHA post conversion, by way of the development fee, annual admin fee percentage of cash flow after debt service etc. and expected use of those funds.
  - Loan type, principle, and interest rates.
  - A breakdown of the scope of work by upfront rehabilitation, initial deposit into replacement reserves, and ongoing deposits to replacement reserves.

*Other Docs and transparency measures*

- Return RAD/PACT sites to the NYCHA Development Map geographic data (shapefiles etc.) and Development Databook by simply including a column that denotes its RAD/PACT conversion status or by creating a separate shapefile or dataset
- Posting of the latest SEMAP and PHAS results on the NYCHA website.
- Development relocation plans and agreements
- Letters of assurance
- Disposition agreements
- List of limited liability corporations (LLCs) formed by NYCHA
- Providing eviction data for both Section 8 and Section 9 households in a usable format (excel workbook, comma separated values file, etc.)

- Any ground leases

*Thank you for your comment. A request for these documents can be submitted to NYCHA's FOIL team. Some information in these documents remains confidential and we are unable to make whole documents public at this time. NYCHA is committed to transparency and all information about the program and ongoing project details are provided, as feasible on our PACT project page.*

*NYCHA continues to have a large number of documents and resources on our website, including the following:*

- *All PACT pipeline and planning data*
- *Infosheets about the PACT program outlining resident rights, what PACT is, a summary of policies preserving resident rights under PACT, PACT employment information and an outline of the design & construction process*
- *Copies of the PACT lease, riders and notices*
- *PACT template documents including the control agreement, regulatory agreement, declaration of restrictive covenants and use agreement, RAD use agreement with Section 18 rider, NYCHA required provisions for organizational documents and the ground lease*

*Additionally, this past year NYCHA created the PACT Progress and Oversight webpage ([NYCHA](#)) to provide additional transparency around the PACT program for converted sites. This page includes the Housing Stability & Retention Guidelines and provides quarterly updates on maintenance and repairs, tenancy proceedings, and construction progress.*

*If you wish to make a Freedom of Information Law ("FOIL") request for records your request must be in writing. You can mail, email, fax or hand deliver your request using the contact information below. Please do not send a request in both hard copy and email form as this would be duplicative and may delay the response process:*

*90 Church Street, 11th Floor New York, New York 10007*

*Fax: (212) 656-1064*

*Email: [FOIL@nycha.nyc.gov](mailto:FOIL@nycha.nyc.gov)*

*In person at the Central Office on Tuesdays and Thursdays between the hours of 9:00 a.m. and 5:00 p.m., excluding legal holidays observed by the Authority.*

### **Other PACT Comments**

- Council member Chris Banks disagrees with the RAD/PACT program. NYCHA must put the needs of all residents, especially those residents impacted by the plan. Protection and preservation of the Section 9 program must include comprehensive and robust outreach to residents in potentially impacted developments. NYCHA must continue ensuring the financial resources, are used in the best interest of the residents.

*Thank you for your comment. We appreciate your office's partnership and continued advocacy for residents living in our developments. NYCHA will follow up directly on any concerns raised by your office with our partner teams.*

- A resident of Riis Houses commented that they do not approve of RAD/PACT nor the Trust. Section 9 should remain in place. They oppose this land grab.

*NYCHA is working closely with residents at Riis Houses to facilitate a community-led planning process to determine the future of this development. More information about the process can be found here: <https://www.jacobriiscommplan.com/>*

- A resident stated that under the PACT, they have already witnessed an alarming increase in evictions and families being forced out of their homes at unforeseen rates, with no signs of this trend slowing down. The promised repairs and improvements have not materialized. Their homes are still plagued by the same issues that have persisted for years: leaks, mold, broken elevators, and unsafe conditions.

We must invest in Section 9 and keep our housing under the federal program. Section 9 provides crucial protections and resources to ensure the preservation and maintenance of Public Housing. Diverting from Section 9 program only weakens protections and puts our communities at greater risk.

*Thank you for the comment. Factual data about evictions and response to repair times can be found on the PACT Progress and Oversight page ([NYCHA](#)).*

- A PACT resident at Audubon expressed their feeling towards how poor this management handles tenants' problems and concerns. The quality of life has been extremely impacted and is definitely not for good with this PACT change. There are various issues: problems with the Management's app, they do not adjust the rent; the elevator is constantly out of service; the parking is used exclusively for management and construction workers; the need for a third party to fix things in the apartments; not being able to speak to someone in the office when residents have a concern; cover ups were done during the renovation and the main issues were not targeted; constant heat and hot water complaints in the winter. The management company has not been responsive on the garbage compactor situation as well as the parking lot situation.

*Thank you for this comment. NYCHA's Asset Management team has followed up with the current Property Management to share your concerns and will ensure a response from the Property Manager.*

- Assembly Member Linda Rosenthal commented that she aims to amplify the voices and experiences of Public Housing in Albany. She worked with NYCHA CEO Lisa Bova-Hiatt to secure \$350 million in ERAP funding in last year's state budget to keep the lights on at NYCHA and protect residents from homelessness. We must continue building on this progress by providing residents with the attention, transparency, and results they deserve. She is encouraged by NYCHA's climate and resiliency plan in the draft amendment. Super storms have severely impacted Public Housing infrastructure, causing flooding, boiler corrosion, and heat and hot water outages, as seen during Hurricane Sandy. NYCHA has made progress in revamping affected developments, but greener thinking is needed. She introduced legislation for Green New Deal for Public Housing entities and hope NYCHA will support this initiative. NYCHA should also identify developments for enrollment in PACT



rather than the Trust and provide residents with comprehensive information on the consequences of leaving Section 9 program.

*Thank you for your comment. We appreciate your office's partnership and continued advocacy for residents living in our developments. NYCHA will follow up directly on any concerns raised by your office with our partner teams.*

- A resident of Boston Secor, slated to convert to RAD/PACT in September 2024, is concerned how a deceased tenant association president made the decision for her development to convert to RAD/PACT. Coercive and predatory tactics have been used by RAD and PACT partners, private management companies, and NYCHA representatives to obtain signed leases. These leases absolve the management of responsibilities while guaranteeing income from the rent difference and Section 8 vouchers. The lack of transparency throughout the conversion process has left tenants wary of the true intention to preserve public housing.

*After the Boston Secor Tenant Association President passed away in 2022, the Vice President stepped into the role as President (following the Tenant Association bylaws) as of December 2022. NYCHA has engaged the entire TA board throughout the partner selection and predevelopment process, so the change in leadership did not have a substantial impact on the planning process. Below are notes on engagement that occurred at Boston Secor, Boston Road Plaza, and Middletown Plaza. The developments converted to Project-Based Section 8 as of September 27, 2024.*

**NYCHA-led PACT engagement:**

*NYCHA introduced the PACT program to Boston Secor residents in the Summer of 2021. The introductory meetings (which included residents from Boston Road Plaza and Middletown Plaza) were attended by over 150 residents. Following the initial meetings, Boston Secor residents were invited to participate in additional meetings as bulleted below to meet the partner team:*

- *September and December 2021: topic specific PACT meetings focusing on resident rights and protections and the design and construction process.*
- *March 2022, 63 Boston Secor residents attended another informational PACT meeting, hosted on-site at the development's community center.*
- *NYCHA has held weekly on-site office hours in the Boston Secor NYCHA management office since summer 2022.*

**PACT partner selection process:**

*Information and dates related to the procurement and selection of the PACT partner team are noted below. Upon issuance of the project solicitation, a "Resident Review Committee" (RRC) was formed to review proposals and interview prospective PACT partners. The Boston Secor Tenant Association was represented with three members on the RRC.*

- *October 7th, 2021, NYCHA released a Request for Expressions of Interest for the Boston Secor, Boston Road Plaza and Middletown (collectively referred to as "BBM") PACT project.*
- *Between April 2022 and July 2022, the RRC met five times to assess the proposals/teams and select a PACT partner.*

- *October 14, 2022, the designated PACT partner (comprised of Beacon Development, Kalel Companies, Mid-Bronx Desperados and Wavecrest Property Management), met on-site with the Boston Secor Resident Association to kick-off the predevelopment engagement and planning process.*

***PACT partner-led engagement:***

*After the launch of the predevelopment process, the PACT partner team hosted several meetings open to all residents of Boston Secor. At least one week prior to each meeting, a written notice of the meeting in English and Spanish is delivered to every apartment at Boston Secor by the PACT partners, accompanied by robocalls and emails from NYCHA announcing the time and location of the meeting. All resident meetings have been held in the Boston Secor R.A.I.N. community center at 3540 Bivona Street.*

*In addition to public meetings, the PACT partners hold bi-monthly meetings with the RA board to review all materials to be presented by the PACT partners and provide periodic updates on the progress of the PACT program. The PACT team also launched a website, shared with residents at each meeting, with links to all meeting presentations and upcoming meeting dates.*

- A resident of the WSUR Brownstones stated that they successfully removed their development from the PACT program twice. NYCHA continues to say it has no money for repairs, yet all capital money is returned to their portfolio once a development enters the RAD program. The RAD program uses cheap materials and poor workmanship. The work done in the RAD program, like at Ocean Bay, is subpar, with cheap materials and poor workmanship. Residents face harassment from private management companies, as they have witnessed at Wise Towers. They reject RAD program repeatedly, but NYCHA keeps trying to re-implement it.

They also stated that staff shortages in the call center force residents to wait long times to submit tickets, and while NYCHA hires numerous senior officials, they rely on tenant association presidents to volunteer for RAD meetings without compensation. Reducing the number of Vice-Presidents could free up funds for necessary repairs.

*Thank you for your comment. NYCHA will take it under advisement.*

- A resident of Unity Plaza asked if the goal of RAD/PACT is to improve residents' quality of life and provide financial relief. NYCHA hasn't released the success or failure of the Section 3 program. Also, page 234 of the Final Annual Plan shows that the Resident Advisory Board did not submit comments about the Annual Plan.

*Thank you for the comment. As it pertains to the comments of the Resident Advisory Board (RAB), they will be included in the Final Annual Plan submitted to HUD in October 2024.*

- A resident of Ravenswood is concerned about the transformation of Public Housing and the future of our communities. Additionally, the Preservation Trust Program along with RAD/PACT are not fully transparent. The infill and transfer of development rights lack

transparency and democratic processes. Our land is not for sale. We call for a cessation of any demolition plans and any RAD/PACT conversions in New York.

- A resident stated that NYCHA has proved they can't handle their duties. NYCHA mismanaged ERAP that was supposed to help the residents. NYCHA receives millions from the City Council, yet only spends about 6% of the City Council monies and we don't see any improvements. The Preservation Trust, RAD/PACT are not the first programs, there was Next Generation NYCHA before. We demand HUD to act. We will take this fight to court if necessary.
- A resident of Marble Hill expressed that the PACT and NYCHA Housing Presentation Trust are not favorable to the residents. We live and experience the wants and needs in our neighborhoods, our voices should be considered. Take NYCHA funding and provide modern kitchens, pet trash cans, ramps for e-bikes, motorcycles for transportation for working people, magnetic front doors for tenants, fix the sidewalks, and build new NYCHA developments in Riverdale, upper West Side, especially for seniors.
- A resident of Oceanside stated that they witnessed the detrimental impact of the Ocean Bay development's PACT conversion. She is concerned about the proposed Trust for Coney Island Houses, fearing it could lead to privatization and benefit the wealthy at the expense of current residents. NYCHA continues to mismanage funds, and developers might seize public land if financial issues arise. Preserve NYCHA as an affordable housing option.
- A resident of Whitman Houses stated that the real issue is NYCHA's mismanagement of federal contracts, where contractors are paid millions but fail to employ residents or complete their work properly. These contractors handle everything from lead removal to roof repairs. They don't provide job opportunities to residents, leaving them unable to afford rent increases. The developer's work is not audited correctly, and payments are made, forcing us to request more federal funds due to mismanagement. We discuss RAD, PACT, and the Preservation Trust, but are we truly ready for these changes?
- A resident of First Houses and other residents were temporarily relocated to other developments for unsafe living conditions. For the past year and a half, the development has been under construction and there's the relocation of families from the top two floors. The proposed solution that 60% of NYCHA developments must choose between RAD, PACT or Trust program is disheartening. Private developers cannot afford all the costs without demolishing some of the developments. Developments like Chelsea and Elliott are in such disrepair that they need demolition. HUD should oversee these rounds, including apartment inspections to understand tenant living conditions. If Tenant Association leaders had been involved from the beginning, we could have helped strategize for our current and future living conditions.
- A resident of La Guardia Houses wants to know why La Guardia is still listed in the Annual Plan in regard to infill. If there are no plans for infill, NYCHA should be clearer about future

plans for La Guardia. NYCHA should communicate clear and concise information to the RAB and not leave it open-ended in the Annual Plan. There are inspection notices, signed by a former property manager at La Guardia Addition, that are posted in the development. These are two separate developments. NYCHA needs to do better and communicate with the RAB for awareness.

*This response is for the seven comments above. Thank you for your comments. NYCHA will take them under advisement.*

- A member of the public asked why have tenants discovered NYCHA's partnership development plans after the deal is made? Tenants should be made aware of new development plans since the changes made will impact the quality of life. We have a civil, constitutional, and human right.

*Thank you for the comment. All PACT project partner selections and financial closings are announced publicly and directly to residents at the developments where conversions occur. A list of all PACT partner and project announcements can be found on the PACT Projects & News page.*

*Additionally, residents lead the review of, and selection of PACT partner teams at all sites.*

- A member of the public inquired what is the point of Tenant Protection Vouchers (TPVs) and PACTs if NYCHA does not enforce it?

*Thank you for the comment. NYCHA is unclear about the question for this comment.*

- A member of the public asked who are the organizations, institutions, and entities NYCHA gave the legal rights to? Where is the document to show the partnership that is legally agreed to, in order to demolish NYCHA buildings or build private on NYCHA property?

*Thank you for the comment. A list of all PACT partners can be found on the PACT Project Page of NYCHA's website and can be found by using the interactive PACT map. [PACT News \(nyc.gov\)](#)*

- A member of the public stated that it is illegal to withhold legal public records. Why are these deals never publicly announced on NYCHA's website? Rather, there are blogs or articles that tenants are unaware of.

*Thank you for the comment. All PACT project partner selections and financial closings are announced publicly and directly to residents at the developments where conversions occur. A list of all PACT partner and project announcements can be found on the PACT Projects & News page. [PACT News \(nyc.gov\)](#)*

- A member of the public expressed concerns about several issues at Manhattanville:  
One of the biggest concerns is the health conditions during renovation or reconstruction. The mini outlets that are going to be put on the ceiling of each bedroom, not sure if they are a fire violation. The resident has never seen an apartment in New York City or elsewhere that had electrical wires going across a ceiling in a bedroom on the outside of the wall. The mold issues will not be resolved until renovation is done in many of the apartments.  
Another concern is about reinsuring that any family member that has been living all their life with someone should not be thrown out of their apartment. The front door and back doors should be locked, and there should be cameras on each floor.  
They are also concerned with the lease agreement which should consist of mandatory verbal education orientation, about taking care of your home and neighborhood.

*Through the conversion of Manhattanville to the PACT program, the development will undergo comprehensive upgrades which will address the current conditions at the property. Under PACT, resident rights and protections are preserved. Please see pages 158 to 171 of the Final Annual Plan document for more information on RAD resident rights, participation, waiting list and grievance procedures.*

**ATTACHMENT R**

**PIH NOTICE 2016-17-RENTAL ASSISTANCE DEMONSTRATION (RAD) NOTICE  
REGARDING FAIR HOUSING AND CIVIL RIGHTS REQUIREMENTS AND RELOCATION  
REQUIREMENTS APPLICABLE TO RAD FIRST COMPONENT – PUBLIC HOUSING  
CONVERSION**



**U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**  
**Office of Public and Indian Housing**  
**Office of Housing**

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<b>Special Attention of:</b>	<b>Notice</b>	H 2016-17
Public Housing Agencies		PIH 2016-17 (HA)
Public Housing Hub Office Directors		
Public Housing Program Center Directors	Issued:	November 10, 2016
Multifamily HUB Directors		
Multifamily Program Center Directors	Effective:	November 10, 2016
Regional and Field Office Directors		
Regional Administrators	Expires:	This Notice remains in effect until amended, superseded, or rescinded
Performance Based Contract Administrators		
RAD Transaction Managers		
Regional Relocation Specialists	Supplements:	PIH Notice 2012-32 (HA) REV-2
	Supersedes:	H 2014-09/PIH 2014-17

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**SUBJECT:** Rental Assistance Demonstration (RAD) Notice Regarding Fair Housing and Civil Rights Requirements and Relocation Requirements Applicable to RAD First Component – Public Housing Conversions.<sup>1</sup>

**SECTION 1. Purpose, Applicability and Major Provisions of this Notice**

**1.1. Purpose**

This notice (Notice) provides PHAs,<sup>2</sup> Project Owners, and their RAD development partners with guidance regarding key fair housing and civil rights statutory and regulatory requirements, explains the situations in which HUD is requiring front-end fair housing and civil rights reviews, and provides information regarding the types of information that must be submitted to facilitate HUD’s review of certain fair housing and civil rights requirements in connection with public housing conversions under the First Component of RAD. This Notice also includes guidance

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<sup>1</sup> While this Notice addresses fair housing and civil rights requirements and relocation requirements, the fair housing and civil rights requirements are not limited to relocation issues.

<sup>2</sup> Consistent with PIH Notice 2012-32 (HA) REV-2 (PIH 2012-32 (HA) REV-2) (the “RAD Notice”), this Notice uses the term “PHA” to refer to the owner of the project prior to the RAD conversion and “Project Owner” to refer to the owner of the project after the RAD conversion.

regarding key relocation statutory and regulatory requirements, and details relocation requirements under RAD. This Notice only applies to projects converting under the First Component of RAD; it does not apply to the Second Component of RAD.<sup>3</sup>

The RAD program was established as a tool for preserving and improving low-income housing stock. RAD is intended to facilitate reinvestment in or redevelopment of the long-term-affordable stock of HUD-assisted housing properties. RAD also provides mobility benefits for assisted residents of converted properties through the choice mobility option, allowing these households to access tenant-based Housing Choice Vouchers. In some cases, RAD can be a tool for transfer of rental assistance from distressed or poorly selected sites to new sites in high opportunity areas. In all cases, the objective is to better serve low-income residents and the broader community in complying with fair housing, other civil rights, and relocation laws.

This Notice provides PHAs and Project Owners with guidance relating to planning and implementing public housing (First Component) RAD conversions in a manner consistent with existing fair housing and other civil rights requirements, including, but not limited to, those associated with the Fair Housing Act, Title VI of the Civil Rights Act of 1964, Executive Order 11063, Section 504 of the Rehabilitation Act of 1973, Titles II and III of the Americans with Disabilities Act, the Architectural Barriers Act of 1968, and their implementing regulations. Section 4 of this Notice summarizes key provisions of existing law applicable to RAD transactions.

To further compliance with these existing requirements, PIH 2012-32 (HA) REV-2, issued June 15, 2015 (the “RAD Notice”) established that specific PHA decisions and activities planned to be part of a First Component RAD conversion must be reviewed by HUD prior to implementation (the “front-end” fair housing and civil rights reviews). Through a front-end review of the enumerated PHA decisions, HUD seeks to assist PHAs and Project Owners in meeting their fair housing, other civil rights, and relocation obligations. Section 5 of this Notice explains the situations in which HUD is requiring front-end fair housing, other civil rights, and relocation reviews, details the procedures for HUD’s front-end review and the type of information that must be submitted for these reviews, and the timeframes for these reviews.

Finally, in Sections 6 and 7 this Notice provides PHAs and Project Owners with guidance regarding RAD program and other statutory and regulatory relocation assistance requirements when planning for or implementing resident moves as a result of a conversion of a public housing project under RAD. This guidance includes reiterated and new requirements, the corresponding required reviews, and explanation of the interaction between RAD relocation procedures and certain existing public housing requirements. PHAs and Project Owners implementing RAD transactions may be subject to (a) the requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (URA),

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<sup>3</sup> Important fair housing, other civil rights, and relocation considerations apply also to the Second Component of RAD as provided in the RAD Notice. Participants in the Second Component of RAD must continue to comply with applicable fair housing, civil rights, and relocation statutes and regulations, and HUD may, at any time, initiate compliance or enforcement actions in connection with such requirements. The RAD Notice will continue as the primary source of information on fair housing and other civil rights requirements covering the Second Component of RAD without any change until further notice.



(b) the requirements of Section 104(d) of the Housing and Community Development Act of 1974 (Section 104(d)) if CDBG or HOME funds are included as part of the project, (c) fair housing and other civil rights considerations implicated by relocation activities, and (d) requirements for relocating residents under the RAD Notice.

## **1.2. PHA and Project Owner Responsibilities**

This Notice explains RAD's front-end fair housing and other civil rights review requirements in greater detail than was provided in the RAD Notice and this Notice restates and revises RAD's relocation requirements. However, the fair housing, other civil rights, and relocation requirements that apply to RAD conversions are neither limited to those discussed in this Notice, nor to those specifically reviewed by HUD in the front-end review.

### **MEETING HUD'S PROCESS AND REVIEW REQUIREMENTS NEVER CONSTITUTES COMPLIANCE WITH SUCH LAWS. THE OBLIGATION TO COMPLY WITH APPLICABLE FAIR HOUSING, OTHER CIVIL RIGHTS, AND RELOCATION LAWS REMAINS WITH THE PHA AND PROJECT OWNER.**

The fair housing and civil rights requirements that apply to RAD conversions are not limited to those discussed in this Notice. PHAs and Project Owners are responsible at all times for ensuring that their RAD activities (including those activities implemented by their agents, consultants, contractors, or other RAD team members) comply with all applicable fair housing and civil rights requirements. PHAs and Project Owners shall be accountable for all fair housing and civil rights compliance issues with respect to their RAD activities, whether those activities are undertaken directly or through agents, consultants, contractors, or other RAD team members. While HUD provides this non-exhaustive guidance to assist PHAs and Project Owners during transactions, complying with the requirements set forth in this Notice does not necessarily mean that they, or their agents or consultants, are in compliance with fair housing and civil rights requirements.<sup>4</sup>

This Notice is not intended to, and shall not be construed to, reduce or in any way limit the application of fair housing, other civil rights, and relocation laws and regulations to RAD transactions. For example, HUD's reliance on a PHA's certification that a site meets the site and neighborhood standards required by the RAD Notice is not a determination of compliance with the duty to affirmatively further fair housing or other fair housing and civil rights requirements. As another example, HUD's approval of a site for new construction does not, by itself, constitute a determination of the PHA's compliance with all provisions of Title VI and its duty to affirmatively further fair housing found in the Fair Housing Act and other fair housing and civil rights requirements, nor indicate HUD's approval of the PHA's or locality's overall housing strategy. HUD's approval of a RAD conversion after front-end review reflects only that the project may proceed through the RAD conversion process; it does not constitute a determination

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<sup>4</sup> The PHA's or Project Owner's agents, consultants, contractors, and other RAD team members may also have fair housing and other civil rights obligations (whether under this Notice or otherwise) and the forgoing does not, in any way, limit the independent obligation of any such parties to ensure their own compliance with applicable fair housing and other civil rights laws.

that the project is in compliance with applicable fair housing, civil rights, and relocation requirements.

HUD's approval of a front-end review submission is based on limited information and is intended to assist the PHA or Project Owner in meeting their fair housing, civil rights, and relocation obligations.<sup>5</sup> The PHA is responsible for ensuring that its RAD conversion is consistent with its certification to affirmatively further fair housing and complies with applicable civil rights laws.<sup>6</sup> The front-end reviews described in this Notice shall not be construed to limit other fair housing and civil rights investigations that HUD may conduct. HUD retains all compliance and enforcement authority.

HUD's determination that the PHA or Project Owner has failed to meet submission, certification, or approval requirements with respect to fair housing, other civil rights, or relocation requirements is grounds for terminating a Commitment to enter into a Housing Assistance Payments Contract (CHAP), denying the issuance of a RAD Conversion Commitment (RCC), or denying authority to convert under RAD.

### **1.3. Applicability**

The content of this Notice should not be relied upon in carrying out any other activities funded under any other HUD program, except where specifically directed by HUD.

This Notice supplements the RAD Notice with respect to fair housing and civil rights requirements applicable to public housing properties converting under RAD and with respect to all matters related to the relocation of residents as a result of RAD public housing conversions. To the extent that there is a conflict between this Notice and the RAD Notice, this Notice shall govern. This Notice replaces and supersedes Notice H 2014-09/PIH 2014-17 (issued July 14, 2014).

Upon issuance, the terms of this Notice will apply to all projects that have applied for conversion of assistance under the First Component of RAD but have not yet converted. As this Notice provides guidance, clarification, and explanation regarding fair housing and civil rights requirements that are already applicable to RAD conversions, this Notice shall not affect any front-end civil rights approvals provided by HUD prior to the effective date of this Notice and otherwise shall be effective with respect to front-end civil rights approvals without exception. However, with respect to relocation activities for Converting Projects under the First Component where a PHA has already submitted a Financing Plan pursuant to the RAD Notice at the time of issuance of this Notice, and provided that the Financing Plan has been accepted for full review after initial screening for completeness, the PHA may, within sixty (60) days after issuance of this Notice, request (in writing uploaded to the RAD Resource Desk) to be governed by H 2014-

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<sup>5</sup> For example, the front-end review is specific to an individual site. A PHA that does not promote fair housing choice outside areas of minority concentration and continues to site affordable housing in minority concentrated areas may be in noncompliance with the duty to affirmatively further fair housing and other fair housing and civil rights obligations, even if the specific site is approved based on the information provided and pursuant to the front-end review of the PHA's site and neighborhood standards submission.

<sup>6</sup> See 24 C.F.R. § 5.105 and, as applicable, 24 C.F.R. § 983.57(b)(2) or Appendix III of the RAD Notice.

09/PIH 2014-17. For such projects and where otherwise appropriate in cases of hardship as determined by HUD, HUD may apply the terms of H 2014-09/PIH 2014-17 with respect to relocation activities, but not with respect to fair housing and civil rights requirements.

RAD projects which have been awarded Choice Neighborhoods Implementation (CNI) grants are subject to the provisions of the applicable Choice Neighborhoods Notice of Funding Availability (NOFA) and grant agreement regarding site and neighborhood standards and are not subject to the RAD front-end civil rights transaction reviews described in this Notice. For properties being redeveloped with funding under a CNI grant, the relocation requirements set forth in this Notice are superseded by guidance regarding relocation included in the CNI NOFA. Permanent involuntary displacement of public housing or Section 8 assisted residents may not occur as a result of a Choice Neighborhood project's conversion of assistance.

#### **1.4. Explanation of Major Provisions**

This Notice adds to and revises pre-existing guidance related to fair housing, civil rights, and relocation (as contained in the RAD Notice and H 2014-09/PIH 2014-17) with respect to RAD transactions. Among the key provisions and changes are the following:

##### Fair Housing & Civil Rights

- Reaffirms the applicability of fair housing and civil rights requirements to all RAD-related activities (see, e.g., Section 3.3 and Section 4);
- Reiterates when HUD front-end civil rights review (originally outlined in the RAD Notice) is required in addition to the PHA's analysis and certification of compliance, to assist the PHA and Project Owner to comply with fair housing and civil rights requirements (see Section 5.3);
- Outlines certain conditions under which HUD will conduct a front-end review to determine whether the site is in an area of minority concentration relative to the site's housing market area (see Section 5.4(A));
- Provides guidance, for purposes of the RAD front-end civil rights review, on the concepts of "area of minority concentration" and "housing market area" that are reviewed when determining whether a site is in an area of minority concentration (see Section 5.4(B));
- Elaborates on specific information that HUD will consider, and that PHAs should provide evidence of, in order for a proposed site to meet the existing exceptions to permit new construction in an area of minority concentration, identifies presumptions for meeting the sufficient comparable opportunities exception and describes factors that HUD may consider in evaluating the overriding housing needs exception (see Section 5.4(C) and Section 5.4(D));
- Articulates issues that HUD will consider in completing the front-end civil rights review for transfers of assistance, including, for example, accessibility and minority concentration (see Section 5.5);
- Outlines the information to be submitted for HUD's front-end civil rights review of transactions where unit reductions, unit reconfigurations, or changes in occupancy are proposed (see Section 5.6);
- Identifies the situations where front-end civil rights reviews are required when changes in the accessibility features of a site are made (see Section 5.7(B)); and

- Prohibits the Project Owner of a Converted Project with a PBRA HAP contract from initiating any new leasing or marketing activities (other than leasing and outreach to households holding a right to return to the Covered Project), including the solicitation, distribution or acceptance of applications or development of a waiting list, until HUD has approved the Affirmative Fair Housing Marketing Plan (“AFHMP”) (see Section 5.8).

### Relocation

- Requires PHAs or Project Owners to prepare a written relocation plan for all transactions that involve permanent relocation or temporary relocation anticipated to exceed 12 months (see Section 6.1);
- Requires PHAs to provide residents with a RAD Information Notice (RIN) in order to ensure that residents are informed of potential project plans and of their rights in connection with RAD prior to submission of the RAD application (see Section 6.6(A));
- Clarifies that the General Information Notice (GIN), when applicable, should be provided as soon as feasible and no later than 30 days following the issuance of the CHAP (see Section 6.6(B));
- Requires Project Owners to provide a notification of Return to the Covered Project, when applicable (see Section 6.6(F));
- Moves the date before which PHAs are prohibited from beginning any physical relocation earlier in the conversion process (specifically, from the date of Closing to the later of the effective date of the RCC and the expiration of the 30- or 90-day RAD Notice of Relocation period, as applicable) (see Section 6.8);
- Clarifies the specific requirements applicable to different types of relocation (e.g., moves within a property, temporary relocation of less than 12 months, etc.) (see, e.g., Section 6.4);
- Provides enhanced guidance on the right to return requirements, any offers of alternative housing options and the documentation that must be retained when tenants choose an alternative housing option and decline their right to return (see, e.g., Section 6.2 and Section 6.10);
- Describes how HUD has administratively implemented URA requirements and URA relocation assistance and payments for displaced persons, when applicable, to residents who choose to decline the right of return and, instead, choose voluntary permanent relocation (see, e.g., Section 6.4(C) through (F) and Section 6.10);
- Requires PHAs to maintain detailed data regarding each household that will be relocated, with key dates of notices and moves (see Section 6.9); and
- Identifies key fair housing and civil rights requirements applicable during relocation (see, e.g., Section 4).

### **1.5. Request for Public Comment**

HUD acknowledges the complexity of the issues addressed in this Notice. This Notice is effective immediately upon issuance, but HUD also seeks comment from the public regarding the clarity and organization of the Notice and regarding areas where the policies and procedures described are unclear or ambiguous. HUD will consider whether changes in response to comments are justified and will implement any appropriate changes in a revision of this Notice. Please submit all comments to [RAD@hud.gov](mailto:RAD@hud.gov) within 30 days of the issuance of this Notice.

## **1.6. Paperwork Reduction Act**

In accordance with the Paperwork Reduction Act (PRA), HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a currently valid OMB control number. OMB approved information collection forms will be posted on the RAD website and the Federal Register.

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## **SECTION 3. Background**

### **3.1. RAD Authority**

RAD is authorized by the Consolidated and Further Continuing Appropriations Act of 2012 (Pub. L. No. 112-55, enacted November 18, 2011), as amended by the Consolidated Appropriations Act, 2014 (Public Law 113-76, enacted January 17, 2014), the Consolidated and Further Continuing Appropriations Act, 2015 (Public Law 113-235, enacted December 6, 2014), and the Division L, Title II, Section 237 of the Consolidated Appropriations Act (Public Law 114-113, enacted December 18, 2016), collectively and as it may be further amended from time to time, the “RAD Statute.” RAD allows certain eligible properties to convert assistance to long-term project-based Section 8 contracts and has two separate components. The First Component allows projects funded under the public housing program to convert their assistance to long-term, project-based Section 8 rental assistance contracts. Under this component of RAD, public housing agencies (PHAs) may choose between two different Section 8 housing assistance programs: project based vouchers (PBVs) or project-based rental assistance (PBRA). The “Second Component” of RAD allows owners of projects funded under the Rent Supplement (Rent Supp), Rental Assistance Payment (RAP), and Moderate Rehabilitation programs to convert certain units to PBV or PBRA Section 8 units following certain contract expirations or terminations. The RAD Statute is implemented by the RAD Notice.

### **3.2. Definitions**

All capitalized terms defined in the RAD Notice, as amended, shall have the definitions ascribed to them therein unless otherwise specifically noted in this Notice.<sup>7</sup> Pre-conversion projects whose assistance is converting from public housing to Section 8 under RAD are referred to in the RAD Notice and in this Notice as “Converting Projects.” Post-conversion projects are referred to in the RAD Notice and this Notice as “Covered Projects.”

### **3.3. Applicable Legal Authorities**

Appendix I to this Notice identifies key legal authorities with respect to fair housing, civil rights, and resident relocation. Part 2 of Appendix I provides greater detail regarding federal accessibility requirements set forth in three of the legal authorities described in Appendix I,

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<sup>7</sup> Many of the fair housing and civil rights concepts used throughout this Notice are terms of art that are defined in applicable statutes and regulations identified in Appendix I of this Notice, while others have been developed through judicial interpretation. PHAs and Project Owners should familiarize themselves with these terms of art and should consult 42 U.S.C. § 3602 (Fair Housing Act); 24 C.F.R. §§ 5.152-100.20 (Fair Housing Act); 42 U.S.C. §§ 2000d-2000d-4a (Title VI of the Civil Rights Act of 1964); 24 C.F.R. § 1.2 (Title VI); 29 U.S.C. § 705 (Rehabilitation Act); 24 C.F.R. § 8.3 (Section 504); 42 U.S.C. §§ 12102, 12132, 12181 (Americans with Disabilities Act (ADA)); 28 C.F.R. § 35.104 (Title II of the ADA); and 28 C.F.R. § 36.104 (Title III of the ADA). In addition, many of the relocation concepts are terms of art that are defined in 42 U.S.C. § 4601 *et seq.* (Uniform Relocation Act (URA)), Section 104(d) of the Housing and Community Development Act of 1974 codified at 42 U.S.C. § 5304(d), and their implementing regulations at 49 C.F.R Part 24 and 24 C.F.R. Part 42 subpart C.



Part 1. PHAs and Project Owners must be familiar with these legal authorities and must evaluate, based on the facts of their situation, which legal authorities are applicable in which situations. **Failure to comply with any legal authority as applicable to the PHA's or Project Owner's actions or inactions may result in liability under such authority.** Appendix I does not attempt to provide a complete and exhaustive explanation of the legal authorities, nor to fully inventory the situations in which each legal authority is applicable. Instead, Appendix I is an overview intended to serve as a general introduction or reminder for PHAs and Project Owners of these fair housing, other civil rights, and relocation authorities and to facilitate their identification of appropriate topics for further research or expert counsel. The recitation of these legal authorities neither expands nor diminishes their applicability to the PHA's and Project Owner's activities in connection with their RAD conversion.

The RAD Statute authorizes the Secretary of HUD to waive or specify alternative requirements for certain provisions of law, except for requirements related to, among others, fair housing and nondiscrimination.<sup>8</sup> In addition to the general application of various federal statutes and their implementing regulations as discussed in Appendix I, below, HUD regulations at 24 C.F.R. § 5.105 apply such authorities to all HUD programs, including RAD.

### **3.4. Further Information**

Because each RAD proposal varies in its scope, this Notice may not address each PHA's or Project Owner's specific circumstances. PHAs and Project Owners should carefully review the laws, regulations, notices, and guidance material referenced in this Notice. Any questions related to the administration of the RAD program should be referred to the appropriate RAD Transaction Manager (TM) or may be emailed to [rad@hud.gov](mailto:rad@hud.gov).

## **SECTION 4. Generally Applicable Fair Housing and Civil Rights Requirements Relevant Throughout the RAD Conversion Process**

This Section provides a summary overview of key principles regarding program implementation and an overview of generally applicable fair housing and civil rights requirements. Appendix I identifies the key legal authorities from which these principles are derived. These key principals, together and with the legal authorities identified in Appendix I, frame the PHA's efforts to implement a RAD conversion. In some cases, these requirements are particularly relevant to the process of planning the RAD conversion, while in others they have particular relevance for the structure of the RAD transaction itself, and in yet other cases, both. Elements of RAD transactions that have civil rights implications include, but are not limited to, transfers of assistance, temporary and permanent relocation, demolition, site selection, new construction, occupancy policies, changes in unit configuration, increases or reductions in units, waiting list administration policies, policies regarding return of temporarily relocated tenants, substantial rehabilitation or alteration, program accessibility, tenant selection policies and priority transfers, providing information to and communicating with persons with Limited English Proficiency (LEP) and persons with disabilities, reasonable accommodation policies, and Affirmative Fair

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<sup>8</sup> See Pub. L. No. 112-55, as amended.

Housing Marketing Plans (AFHMPs). All PHAs must consider civil rights when structuring these and other elements of their RAD transaction.

RAD transactions are governed by the same civil rights authorities that govern HUD-assisted activities generally.<sup>9</sup> Converting Projects are subject to civil rights and equal opportunity requirements under the public housing regulations, and Covered Projects are subject to civil rights and equal opportunity requirements under the PBV regulations or the PBRA regulations, as applicable.<sup>10</sup> As described further below, the Fair Housing Act prohibits discrimination in housing<sup>11</sup> and requires all federal executive departments and agencies to “administer their programs and activities relating to housing and urban development ... in a manner affirmatively to further” fair housing.<sup>12</sup> In addition, all programs or activities receiving Federal financial assistance are subject to Title VI of the Civil Rights Act of 1964 forbidding discrimination on the basis of race, color, and national origin<sup>13</sup> and Section 504 of the Rehabilitation Act of 1973, which forbids discrimination on the basis of disability and requires that programs or activities receiving Federal financial assistance make such programs or activities “when viewed in its entirety” readily accessible to persons with disabilities and make reasonable accommodation to the needs of persons with disabilities.<sup>14</sup> RAD transactions are also subject, as applicable, to the requirements of Titles II and III of the Americans with Disabilities Act, Executive Order 11063, and HUD regulations at 24 C.F.R. part 107. Thus, as with the administration of all HUD programs and all HUD-assisted activities, fair housing and civil rights issues must be considered in the administration of the RAD program. PHAs must not implement actions and policies that may have a discriminatory effect on the basis of race, color, sex, national origin, religion, disability, or familial status or that may impede, obstruct, prevent, or undermine efforts to affirmatively further fair housing.<sup>15</sup> Note, in particular, the following requirements:

- **Affirmatively Furthering Fair Housing (AFFH):** The Fair Housing Act requires that HUD administer its programs and activities in a manner that affirmatively furthers the purposes of the Fair Housing Act. The Fair Housing Act not only prohibits discrimination but, in conjunction with other statutes, directs HUD’s recipients, including PHAs, to take significant actions to overcome historic patterns of segregation, achieve truly balanced and integrated living patterns, promote fair housing choice, and foster inclusive communities that are free from discrimination. Through various statutes, regulations, and executive orders, PHAs must take various actions in accordance and in conjunction with their Fair Housing Act obligation to affirmatively further fair housing. For example, under regulations implementing the United States Housing Act of 1937 (the Act), HUD recipients must, among other requirements, certify that they will affirmatively further fair housing. In addition, under HUD’s Affirmatively Furthering Fair Housing (AFFH) rule promulgated July 16, 2015, PHAs must periodically conduct an Assessment

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<sup>9</sup> See 24 C.F.R. § 5.105.

<sup>10</sup> See, e.g., 24 C.F.R. §§ 880.601, 881.601 and 983.8 for civil rights related regulations applicable to PBV and PBRA transactions.

<sup>11</sup> See 42 U.S.C. §§ 3601 *et seq.*, and HUD regulations in 24 C.F.R. part 100

<sup>12</sup> 42 U.S.C. § 3608(d) and (e).

<sup>13</sup> See 42 U.S.C. §§ 2000d *et seq.*, and HUD regulations in 24 C.F.R. part 1.

<sup>14</sup> See 29 U.S.C. §§ 701 *et seq.*, and HUD regulations in 24 C.F.R. part 8.

<sup>15</sup> See 24 C.F.R. part 1 and part 100 subpart G.

of Fair Housing (AFH) as set out by the rule, either individually or in collaboration with other program participants.<sup>16</sup> Under the AFFH rule, in order to develop a successful affirmatively furthering fair housing strategy, the PHA must assess the elements and factors that cause, increase, contribute to, maintain, or perpetuate segregation, racially or ethnically concentrated areas of poverty, significant disparities in access to opportunity, and disproportionate housing needs. PHAs must ensure that their activities in connection with a RAD conversion are consistent with their AFH, including any applicable joint or regional AFH in which they are a joint participant, and with any applicable Analysis of Impediments to Fair Housing Choice (AI), Fair Housing Equity Assessment, PHA 5-Year Plan, PHA Annual Plan, Moving to Work (MTW) Plan, or related planning documents and other regulatory and programmatic requirements implementing the obligation to affirmatively further fair housing to which they are a party.<sup>17</sup>

- **Nondiscriminatory Site Selection:** HUD’s site and neighborhood standards require that the proposed site is suitable from the standpoint of facilitating and furthering full compliance with the applicable provision of Title VI of the Civil Rights Act, the Fair Housing Act, Executive Order 11063, and Department regulations implementing these authorities. The site must meet the Section 504 site selection requirements in 24 C.F.R. § 8.4(b)(5). Additional provisions appear in 24 C.F.R. § 983.57(b) of the PBV rules and, for PBRA, in Appendix III of the RAD Notice. HUD’s Title VI regulation specifically prohibits site selection that has the “purpose or effect of excluding individuals from, denying them the benefits of, or subjecting them to discrimination” on the basis of race, color, or national origin.<sup>18</sup> The Title VI regulations also impose an obligation on the part of an applicant or recipient of HUD financial assistance to take actions to overcome the effect of prior discrimination or conditions that limit participation by persons of a particular race, color, or national origin.<sup>19</sup> In addition, HUD’s Section 504 regulation prohibits recipients from selecting sites the purpose or effect of which would (1) exclude qualified individuals with disabilities from or deny them the benefit of a program or activity, or otherwise subject them to discrimination; or (2) defeat or substantially impair the accomplishment of the objectives of the program or activity with respect to qualified individuals with disabilities.<sup>20</sup> ADA regulations likewise prohibit site selections that have the purpose or effect of excluding individuals with disabilities (including members of the public with disabilities), denying them benefits, or subjecting them to discrimination.<sup>21</sup> Finally, the Fair Housing Act prohibits discriminatory site selection, including perpetuation of segregation in transfers of assistance and new construction.
- **Meaningful Access for Persons with Limited English Proficiency (LEP):** The PHA or Project Owner is required to take reasonable steps to ensure (a) they provide meaningful access to programs and activities for persons who have a limited ability to read, speak, or understand English; (b) any person with LEP who will be temporarily relocated or

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<sup>16</sup> 24 C.F.R. § 5.150 *et seq.*

<sup>17</sup> *See* 24 C.F.R. § 5.150 *et seq.* and 24 C.F.R. §§ 91.225, 91.325, or 91.425.

<sup>18</sup> *See* 24 C.F.R. § 1.4(b)(3).

<sup>19</sup> *See* 24 C.F.R. § 1.4(b)(6).

<sup>20</sup> *See* 24 C.F.R. § 8.4(b)(5).

<sup>21</sup> *See* 28 C.F.R. § 35.130(b)(4); 28 C.F.R. § 36.301.

permanently displaced has meaningful access to any public meetings regarding the project; and (c) they provide meaningful access to LEP persons to any information provided to residents including, but not limited to, any relocation notices. Generally, the PHA or Project Owner will be responsible for providing oral interpreters at meetings, including ensuring their competence, and covering any associated translation and interpretation costs.<sup>22</sup>

- **Effective Communication for Persons with Disabilities:** Communications and materials must be provided in a manner that is effective for persons with hearing, visual, and other communication-related disabilities consistent with Section 504 of the Rehabilitation Act of 1973 (24 C.F.R. § 8.6) and with 49 C.F.R. § 24.5, and as applicable, the Americans with Disabilities Act. This includes ensuring that, unless such actions would result in undue financial and administrative burdens or fundamental alterations, notices and resident meetings are provided in appropriate alternative formats as needed, e.g., Braille, audio, large type, accessible electronic communications, assistive listening devices, and sign language interpreters. Even in cases where the proposed actions may result in undue financial and administrative burdens or fundamental alterations, certain actions must still be taken. Specifically, appropriate auxiliary aids and services that would not result in such undue burdens or fundamental alterations must still be provided to ensure effective communication.
- **Accessible Meeting Facilities for Persons with Disabilities:** Pursuant to regulations implementing Section 504 of the Rehabilitation Act of 1973 and the Americans with Disabilities Act of 1990, as applicable, all programs and activities must be held in accessible locations unless doing so would result in an undue financial and administrative burden on the PHA and/or Project Owner, in which case the PHA or Project Owner must take any action that would not result in such undue burden but would nevertheless ensure that individuals with disabilities receive the benefits and services of the program or activity, e.g., briefings at an alternate accessible site or in-home briefing.<sup>23</sup> Individuals with disabilities must receive services in the most integrated setting appropriate to their needs. The most integrated setting appropriate to the needs of qualified individuals with disabilities is a setting that enables individuals with disabilities to interact with persons without disabilities to the fullest extent possible.<sup>24</sup>

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<sup>22</sup> For more information about LEP obligations, see HUD's Limited English Proficiency (LEP) Frequently Asked Questions guidance at

[http://portal.hud.gov/hudportal/HUD?src=/program\\_offices/fair\\_housing\\_equal\\_opp/promotingfh/lep-faq#q26](http://portal.hud.gov/hudportal/HUD?src=/program_offices/fair_housing_equal_opp/promotingfh/lep-faq#q26).

<sup>23</sup> In selecting locations for consultation with residents, the PHA and/or Project Owner shall be guided by the goal of maximizing participation in an integrated setting so that residents with disabilities and residents without disabilities may hear and consider each other's views. Priority shall be given to using on-site accessible locations (including, e.g., TV rooms or informal gathering places), even if doing so may require multiple sessions with smaller groups of residents. In addition, Title III of the Americans with Disabilities Act requires private entities that operate places of public accommodation, including social service establishments, leasing offices of private housing developments, and certain private housing providers, to comply with certain physical accessibility requirements which are similar to the requirements under Section 504 and Title II.

<sup>24</sup> See 28 C.F.R. part 35, Appendix B.

- **Accessibility for Persons with Disabilities Throughout the Planning and Implementation Process:** A number of accessibility requirements, including but not limited to site selection, apply to all RAD conversions, as they do to the PHA's activities regardless of the PHA's participation in RAD.<sup>25</sup> PHAs and Project Owners should also be aware that state or local laws, regulations, and codes may contain greater accessibility requirements. This Notice provides, in Appendix I, Part 2, an overview of accessibility requirements under existing law. The information in Appendix I, Part 2 is intended to assist with the PHA's or Project Owner's compliance with accessibility requirements. PHAs and Project Owners must review Appendix I, Part 2 early-on in planning for the RAD transaction. PHAs and Project Owners may determine that it is most efficient to address accessibility matters early in the project planning. In addition, PHAs and Project Owners must evaluate, throughout the transaction and based on the facts of their situation, which requirements are applicable in which situations to ensure they appropriately address accessibility requirements. PHAs and Project Owners are responsible for ensuring that the architectural drawings and construction comply with the PHA's and Project Owner's obligations and all Federal civil rights requirements, including accessibility requirements under the Fair Housing Act, Section 504, and the ADA.

Accessibility requirements also apply during all stages of a RAD transaction, including during relocation. Existing information (e.g., resident characteristics forms, including identification of the need for accessible unit features; records of approved reasonable accommodations; and records of the presence of accessible unit features) and the residents themselves should be consulted throughout the process of developing and implementing a RAD conversion. Related activities include, but are not limited to:

- Identifying and maintaining existing and pending reasonable accommodations, including the need for larger units to accommodate live-in aides or special equipment;
- Determining what direct services may be needed as a reasonable accommodation (e.g., packing, moving, identification of temporary housing);
- Identifying accessible unit features and assuring that temporary or permanent replacement housing contains comparable features;
- Budgeting appropriately to ensure that reasonable accommodations are addressed.

For more information about compliance with accessibility requirements, the PHA or Project Owner should refer to appropriate notices concerning civil rights requirements and may contact HUD's Office of Fair Housing and Equal Opportunity in either the Washington, D.C. or applicable field offices for more specific guidance. For additional, non-exhaustive guidance on providing relocation assistance to persons with disabilities, see Exhibit 3-1 in HUD Handbook 1378.

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<sup>25</sup> For more detailed information on these laws and their requirements, see PIH Notice 2010-26, issued July 26, 2010 (available at <http://www.hud.gov/offices/pih/publications/notices/10/pih2010-26.pdf>). While this notice has an expiration date in 2011, because the notice summarizes and discusses regulatory requirements, the information in the notice provides helpful guidance.

- Reasonable Accommodations in Rules, Policies, Practices and Services:** Under the Fair Housing Act, the PHA or Project Owner must make reasonable accommodations in rules, policies, practices, and services when such accommodations may be necessary to afford a person with a disability an equal opportunity to use and enjoy a dwelling.<sup>26</sup> Under Section 504, the PHA or Project Owner must also make reasonable accommodations to residents with disabilities, which may include providing and paying for structural modifications to dwelling units and public or common use areas. Titles II and III of the ADA provide similar requirements. Common examples of reasonable accommodations that may occur during relocation are permitting an individual with a disability to relocate near public transportation, providing a unit larger than otherwise permitted for a live-in aide, and making exceptions to no-animal rules for assistance and service animals. Accommodations generally need not be made where providing such an accommodation would be an undue financial and administrative burden or a fundamental alteration of the nature of the service. However, reasonable accommodations must be made to the extent the accommodation does not impose an undue financial and administrative burden or a fundamental alteration of the nature of the service. Reasonable accommodations must follow the individual with the disability throughout the RAD process, including during relocation. Furthermore, PHAs and Project Owners may be required to provide particular reasonable accommodations during relocation, such as assistance moving household items.<sup>27</sup>
- Physical Changes to Dwelling Units, Public and Common Use Areas and Other Facilities for Accessibility:** Under the Fair Housing Act, the PHA or Project Owner may be required to permit reasonable modifications. A reasonable modification is a structural change made to existing premises, occupied or to be occupied by a person with a disability, in order to afford such person full enjoyment of the premises. Reasonable modifications can include structural changes to interiors and exteriors of dwellings and to common and public use areas. A request for a reasonable modification may be made at any time during the tenancy. When relocating an individual with a disability who has such modifications in their dwelling unit or public and common use areas because of the individual's disability, regardless of who made them, the PHA or Project Owner has an obligation to provide and pay for such modification in the new dwelling. When considering requests by individuals with disabilities for structural changes to units or public and common use areas, PHAs and Project Owners should take particular note that they may be required to make and pay for such structural modifications as reasonable

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<sup>26</sup> For additional information regarding reasonable accommodations under the Fair Housing Act, *see* the Joint Statement of the Department of Housing and Urban Development and the Department of Justice, Reasonable Accommodations Under the Fair Housing Act (May 17, 2004), at <http://www.hud.gov/offices/fheo/library/huddojstatement.pdf>.

<sup>27</sup> *See* 49 C.F.R. part 24, Appendix A, § 24.2(a)(8)(vii), which states that under the URA, "Reasonable accommodation of a displaced person with a disability at the replacement dwelling means the Agency is required to address persons with a physical impairment that substantially limits one or more of the major life activities. In these situations, reasonable accommodation should include the following at a minimum: Doors of adequate width; ramps or other assistance devices to traverse stairs and access bathtubs, shower stalls, toilets and sinks; storage cabinets, vanities, sink and mirrors at appropriate heights. Kitchen accommodations will include sinks and storage cabinets built at appropriate heights for access. The Agency shall also consider other items that may be necessary, such as physical modification to a unit, based on the displaced person's needs."

accommodations under Section 504 and because of similar requirements under the ADA even though the Fair Housing Act may only require the owner to allow such changes to be made and paid for by the individual with a disability. Before determining that they are not required to make or pay for structural changes, PHAs and Project Owners are encouraged to consider carefully their obligations under each applicable statute.

## **SECTION 5. Application of Key Fair Housing and Civil Rights Requirements to RAD Transactions**

The generally applicable fair housing and other civil rights requirements described above, and in Appendix I, apply throughout the planning and implementation of a RAD transaction and the PHA is responsible for ensuring compliance with these requirements. As key requirements may be misunderstood, the RAD program has established specific additional procedures to assist RAD participants to ensure they comply with the applicable requirements. Specifically, the RAD Notice established a civil rights eligibility review and criteria for front-end civil rights reviews.

This Section elaborates on these requirements from the RAD Notice. The front-end review procedures described below establish procedures and criteria for the supplemental front-end review and technical assistance, criteria which are specific to the RAD program. Criteria for this supplemental front-end review are informed by, but not the same as, fair housing or civil rights rules and policies generally.

This Section is organized to loosely follow the stages of a RAD conversion transaction, beginning with RAD eligibility and continuing through site selection, transfer of assistance, unit design requirements and marketing. In addition, this Section describes the timing and procedures for submitting data and documents to HUD so that HUD may complete its front-end review. The submission procedures are also designed to serve as a tool for PHAs to identify issues of potential concern at appropriate stages of the RAD conversion and as a tool for HUD to identify potential needs for technical assistance.

### **5.1. RAD Eligibility Review**

To be eligible for RAD, the PHA must meet all eligibility requirements set forth in Section 1.3 of the RAD Notice, including the civil rights threshold requirements found at Section 1.3.G of the RAD Notice. A PHA must not have a charge, cause determination, lawsuit, or letter of findings, referenced in Section 1.3.G of the RAD Notice, against the PHA itself, its transferees, proposed development partners, or sub-recipients that has not been resolved, or is not in the process of being resolved, to HUD's satisfaction. This determination shall be made prior to issuance of the CHAP.

The CHAP may be revoked by HUD if HUD determines that the terms of the conversion would be inconsistent with fair housing and civil rights laws or a fair housing or civil rights court order, settlement agreement, or voluntary compliance agreement. HUD may terminate a CHAP or RCC if it determines that the terms of the conversion would be inconsistent with fair housing or civil rights laws or is inconsistent with, would hinder, or would delay satisfaction of a fair housing or civil rights court order, settlement agreement, or voluntary compliance agreement.

HUD may terminate an approval to proceed with a RAD conversion if it determines that the terms of the conversion would be inconsistent with fair housing or civil rights laws or a fair housing or civil rights court order, settlement agreement, or voluntary compliance agreement.

## **5.2. PHA’s Proposed Site Selection and Certification**

For all RAD conversions, the PHA must comply with all applicable site selection requirements as set forth in this Notice and the RAD Notice and in accordance with any additional applicable published guidance provided by HUD. As set forth in the RAD Notice, conversions of assistance to PBV involving new construction, whether on a new site or on a current site, are subject to the site selection standards set forth in 24 C.F.R. § 983.57(a), (b), (c) and (e), but excluding 24 C.F.R. § 983.57(b)(1) and (c)(2). All other conversions to PBV, including transfers of assistance to an existing property other than the Converting Project, are subject to the standards set forth in 24 C.F.R. § 983.57(a), (b), (c) and (d), but excluding 24 C.F.R. § 983.57(b)(1) and (c)(2).<sup>28</sup> Site selection requirements set forth at Appendix III of the RAD Notice apply to RAD conversions to PBRA assistance, as does the requirement not to place housing in neighborhoods with highly concentrated poverty based on the criteria formulated for transfers under Section 8(bb) of the United States Housing Act of 1937.<sup>29</sup> PBV and PBRA site selection must also be consistent with the requirements of the Fair Housing Act, Title VI, Section 504, the ADA and their implementing regulations.

It is the PHA’s responsibility to ensure that the site selection complies with all applicable site selection requirements, including the requirements of this Notice and the RAD Notice. Pursuant to the RAD Notice, the PHA must certify with the submission of its Annual Plan, Significant Amendment to its Annual Plan, or MTW Plan that it complies with the applicable site selection requirements and must maintain records of its analysis and the data relied upon in making its determination of compliance. The PHA must also determine and subsequently state in the certification that the site is “suitable from the standpoint of facilitating and furthering full compliance with the applicable provisions of Title VI of the Civil Rights Act of 1964, Title VIII of the Civil Rights Act of 1968, Executive Order 11063, and HUD regulations issued pursuant thereto.”<sup>30</sup> Although this Notice provides detail regarding certain civil rights-related site and neighborhood standards, PHAs must certify compliance with all applicable site and neighborhood standards.<sup>31</sup>

The PHA must also certify that, in conducting its review of site selection for the proposed project, the PHA completed a review with respect to accessibility for persons with disabilities and that the proposed site is consistent with applicable accessibility standards under the Fair Housing Act, Section 504, and the ADA. The site and neighborhood standards for PBV and PBRA require the site to be “suitable from the standpoint of facilitating and furthering full compliance with” the Fair Housing Act and require the site to meet the Section 504 site selection

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<sup>28</sup> See the provisions of Section 1.6.A.4 of the RAD Notice.

<sup>29</sup> 42 U.S.C. § 1437f(bb).

<sup>30</sup> For RAD conversions to PBRA, the RAD Notice uses the term “the site and neighborhood is suitable,” rather than “the site is suitable.” See Appendix III of the RAD Notice, paragraph (a).

<sup>31</sup> See 24 C.F.R. § 983.57 and the RAD Notice at Section 1.4(A)(7)



requirements described in 24 C.F.R. § 8.4(b)(5).<sup>32</sup> The Fair Housing Act, as implemented at 24 C.F.R. § 100.205, requires “covered multifamily dwellings” built for first occupancy after March 13, 1991, to contain accessible design features. HUD’s Section 504 regulations at 24 C.F.R. § 8.4(b)(5) require that, in determining the site or location of a federally assisted facility, an applicant for assistance or recipient may not make selections the purpose or effect of which would: (i) exclude qualified individuals with disabilities from, deny them the benefits of, or otherwise subject them to discrimination under, any program or activity that receives Federal financial assistance from HUD, or (ii) defeat or substantially impair the accomplishment of the objectives of the program or activity with respect to qualified individuals with disabilities. Title II of the ADA contains a similar requirement that a public entity, such as the PHA, may not, in determining the site or location of a facility, make selections (i) that have the effect of excluding individuals with disabilities from, denying them the benefits of, or otherwise subjecting them to discrimination; or (ii) that have the purpose or effect of defeating or substantially impairing the accomplishment of the objectives of the service, program, or activity with respect to individuals with disabilities.<sup>33</sup> Factors relevant to a site review under these standards may include, among others:

- Site features, such as inaccessible slopes in routes, lack of accessible sidewalks, curb ramps, accessible parking spaces, and placement of dumpsters or other physical features that would impede access to and movement within the site;
- Building features, such as inaccessible building entrances, other methods of ingress and egress, public and common use areas (e.g., the rental office, parking areas, mail areas, trash areas, community rooms, shared use toilet rooms, laundry facilities and walkways inside and outside that connect these public and common use areas to units), and barriers to access by members of the public; and
- Lack of accessible transit or para-transit and accessible public sidewalks and accessible transportation stops.

When such conditions are present at the site and would exclude individuals with disabilities from, deny them the benefits of, or otherwise subject them to discrimination, or would defeat or substantially impair the accomplishment of the objectives of the program or activity with respect to individuals with disabilities, the site must not be selected unless the proposal includes remediation of the barriers to achieve compliance with accessibility requirements (including identification and remediation of any nonconforming design and construction conditions in “covered multifamily dwellings” under the Fair Housing Act). Remediation of the barriers may include, for example, physical accessibility improvements to the site, arrangements for access to accessible supportive services, or reasonable accommodations for current or prospective residents with disabilities, including members of the public. The Financing Plan submitted to HUD must describe and document resources sufficient to pay for the remediation of accessibility barriers.<sup>34</sup>

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<sup>32</sup> See 24 C.F.R. § 983.57(b)(2) (PBV conversions); *see also*, Appendix III (a) of the RAD Notice (PBRA conversions).

<sup>33</sup> See 28 C.F.R. § 35.130(b)(4).

<sup>34</sup> In conducting its review prior to certification, and in preparing for the certification, PHAs and Project Owners may find it useful to consult with their local or regional FHEO office, the United States Access Board, local or state

While all PHAs must certify their compliance with applicable site selection requirements as described in this Section, some RAD transactions will also be subject to a front-end review of the site selection. For transactions involving activities that present site selection issues of greater complexity, as described in Sections 5.3 through 5.5 below, front-end review will allow HUD's Office of Fair Housing and Equal Opportunity (FHEO) to assist the PHA to consider relevant laws and regulations while completing its site selection review and certification.

### **5.3. RAD Front-End Civil Rights Transaction Review**

Fair Housing Act and other civil rights issues may arise throughout a RAD transaction. Under the Fair Housing Act, an assessment of site suitability includes an analysis of the impact that the siting of the project would have on patterns of segregation for protected classes. The Fair Housing Act is of particular importance when a RAD proposal concerns site selection for new construction or reconfiguration of housing on the original public housing site – for example, the unit size distribution (e.g., conversion of larger bedroom size units to one-bedroom units, which may have an adverse impact on housing opportunities for families with children) or a reduction in the number or distribution of accessible units (which may have an adverse impact on housing opportunities for persons with disabilities). RAD conversions involving new construction must also comply with the Fair Housing Act's accessibility requirements.

Compliance with all applicable fair housing and civil rights requirements is the responsibility of both the PHA and the Project Owner. However, to assist with compliance, HUD's Office of Fair Housing and Equal Opportunity (FHEO) will conduct a front-end civil rights review of project proposals containing activities identified as particularly at risk of violating applicable fair housing and civil rights laws. The activities that must be submitted for front-end civil rights review are listed in Section 5.3(A), below.

#### *A) Activities Subject to Front-End Civil Rights Review*

All RAD conversions that include one or more of the activities listed below (Sections 5.3(A)(1) through 5.3(A)(9)) are subject to a front-end review for compliance with certain civil rights and fair housing requirements. The specific items that HUD will review in the front-end review will depend on which activities are involved in the specific transaction. A RAD conversion may not include one of the activities below without prior written approval from HUD. All Financing Plans must include evidence that the PHA has secured written approval from HUD for any of the following activities that are included in its RAD conversion:

- (1) Conversions of assistance involving new construction, whether on a new site or on a current site, in an area of minority concentration. Front-end review of this activity shall be pursuant to Section 5.4(B), below and, in addition, the PHA shall

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architectural access board or other accessibility authority for information on accessibility standards. Other sources of information on accessibility requirements may include protection and advocacy organizations or independent living centers. In addition, the non-HUD resources may provide advice on how to assess accessibility needs and formulate physical accessibility strategies.

certify in its Annual Plan compliance with site and neighborhood standards applicable to new construction as described in Section 5.2.

- (2) Transfers of assistance where all or a portion of the Converting Project's assistance is transferred to a new site(s) (either new construction or to an existing project) as part of the subject transaction. Front-end review of this activity shall be pursuant to Section 5.5(B), below and, in addition, the PHA shall certify in its Annual Plan compliance with site and neighborhood standards applicable to existing housing as described in Section 5.2.
- (3) Conversions of assistance where the total number of units in the Covered Project is less than the original number of units in the Converting Project (this includes de minimis reductions). Front-end review of this activity shall be pursuant to Section 5.6.
- (4) Conversions of assistance where the Covered Project's unit configuration is different from the unit configuration of the Converting Project. Front-end review of this activity shall be pursuant to Section 5.6.
- (5) Conversions involving a change in occupancy, where the Covered Project serves a different population from the one served by the Converting Project (e.g., when a Converting Project serves families but the Covered Project is subject to an elderly preference or introduction of restrictions or preferences based on age or disability that will change the occupancy of the property). Front-end review of this activity shall be pursuant to Section 5.6.
- (6) Conversions of assistance in which the construction schedule indicates that relocation is likely to exceed 12 months. Front-end review of this activity shall be pursuant to Section 5.7(A).
- (7) Conversions of assistance involving new construction or substantial alteration,<sup>35</sup> as those terms are defined in Section 504 of the Rehabilitation Act of 1973. Front-end review of this activity shall be pursuant to Section 5.7(B).
- (8) Conversions of assistance involving a Converting Project subject to a Voluntary Compliance Agreement or Conciliation Agreement with HUD or a Consent Decree or Settlement Agreement with the U.S. Department of Justice or HUD, or where the PHA is subject to such an agreement affecting its entire housing portfolio or otherwise related to the Converting Project. Front-end review of this activity shall be pursuant to Section 5.7(C).

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<sup>35</sup> Section 504 defines substantial alteration of a housing project as alterations where a housing project has 15 or more units, and the rehabilitation costs will be 75% or more of the replacement cost of the completed facility. *See* 24 C.F.R. § 8.23 (a).

- (9) Conversions of assistance where HUD has identified potential fair housing and civil rights concerns or a history of such concerns. Front-end review of this activity shall be pursuant to Section 5.7(C).

PHAs should note that a proposed RAD conversion may trigger front-end review regarding more than one of the activities listed in subsections (1) through (9) of this Section. For example, depending on the details of the proposal, a new construction on-site project could require review under subsections (1), (3), (4), (5), (6), and (7), or could require review under only subsections (1) and (7).

As part of HUD's review of these elements of the RAD conversion plans, HUD may require that PHAs that are carrying out portfolio or multi-phased conversions provide information on their conversion plans for other projects or subsequent phases to ensure that the overall plans for RAD conversion are consistent with civil rights and fair housing.

*B) Fair Housing, Civil Rights, and Relocation Checklist*

In connection with HUD's front-end fair housing and civil rights and relocation reviews described in this Section 5 and in Section 6, HUD is requiring submission of a Fair Housing, Civil Rights, and Relocation Checklist (the "Checklist"). The Checklist will facilitate the PHAs' and Project Owners' submission of necessary information to complete these reviews.<sup>36</sup> HUD anticipates that a revised Checklist, when available following Paperwork Reduction Act approval, will be separated into parts which can be submitted incrementally as the PHA and Project Owner develop the RAD transaction plans, with different elements of the Checklist applicable at different stages of the transaction planning process. For example, submissions regarding site selection for a RAD transaction involving new construction may occur well before submissions regarding a proposal to change the unit configuration.

The Checklist will outline the minimum information or documentation which HUD will need in order to review each part of the Checklist. After HUD's initial review of any portion of the Checklist, HUD may determine that the data provided in the Checklist is insufficient for HUD to complete its review, in which case HUD may require the PHA or Project Owner to provide supplemental information. The PHA should submit each part as early as possible once the information covered in the applicable part is known. All information specified in the applicable

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<sup>36</sup> The Checklist is available at [www.hud.gov/rad](http://www.hud.gov/rad). As of the publication of this Notice, references to the Checklist refer to the existing FHEO Accessibility and Relocation Plan Checklist under OMB Approval 2577-0276. The PHA shall use the existing Checklist to provide information related to demonstrating compliance with fair housing, other civil rights, and relocation requirements (including accessibility requirements) and, as necessary, may require additional materials for HUD to complete its review, which the PHA may provide in such form as the PHA determines appropriate. Also at [www.hud.gov/rad](http://www.hud.gov/rad), HUD has provided a listing of information that, depending on the circumstances, HUD may require to complete different components of its front-end review. The Checklist is being revised to fully capture the submission requirements described in this Notice. The revised Checklist will be subject to Paperwork Reduction Act approval and will be posted at the website listed above when available for use.

part of the Checklist must be submitted to HUD for HUD to begin its civil rights review – partial submissions of any applicable part of the Checklist will not be accepted.<sup>37</sup>

*C) Timing of Front-End Review Submissions*

PHAs and Project Owners are encouraged to submit applicable portions of the Checklist and information associated with a particular activity subject to front-end review as early as possible in the development of their plans. The PHA must ensure that HUD has approved all applicable parts of the Checklist prior to submission of the Financing Plan. Upon request from the PHA, HUD may, at HUD's sole discretion, permit submission of the Financing Plan prior to receipt of approval of the applicable parts of the Checklist and conditioned upon subsequent receipt of such approvals, in which event the PHA and Project Owner may proceed at their own risk.

Early approval of the site of the Covered Project is critical for RAD transaction proposals subject to front-end civil rights review involving site selection standards, specifically new construction in areas of minority concentration (see Section 5.3(A)(1)) and transfers of assistance (see Section 5.3(A)(2)). The PHA must conduct its own assessment of the site during the early stages of planning its RAD transaction. The guidance in this Notice and the Checklist are tools intended to assist the PHA in conducting its own assessment of the site.

The PHA must provide HUD with the Checklist and backup information sufficient for HUD to review the site with respect to the applicable standards. The site selection information should be provided to HUD no later than ninety (90) days following the issuance of the CHAP or, if the CHAP has already been issued as of the publication of this Notice, within ninety (90) days following publication of this Notice. In the event of a change in plans for the Converting Project that would require a front-end review of the site selection standards, the PHA must provide the Checklist and backup documentation within sixty (60) days of the change in plans. PHAs are strongly encouraged to provide front-end review submissions and secure HUD approval prior to applying for LIHTCs or taking action the reversal of which (in the event of non-approval of the site) would be detrimental to the PHA or the Project Owner. PHAs are also encouraged to contact FHEO for technical assistance prior to submission of these materials.

All PHAs shall submit a certification consistent with the requirements of Section 5.2, above. This certification may be prepared specifically in connection with the Checklist or as part of the PHA Annual Plan or Significant Amendment. However, HUD will not consider a submission complete for front-end civil rights review without this certification. All RAD conversions must submit the PHA certification described in Section 5.2 no later than at the time of submission of the Financing Plan.

*D) Completion of HUD's Front-End Review*

HUD will not approve a RAD conversion if HUD determines that the conversion would operate to discriminate in violation of applicable fair housing and civil rights laws. HUD will not approve proposals that have the purpose, intent, or effect of discriminating on the basis of

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<sup>37</sup> The Checklist refers to the existing FHEO Accessibility and Relocation Checklist until a revised Checklist is approved for use pursuant to the Paperwork Reduction Act.

protected class (*i.e.*, race, color, national origin, religion, sex, disability, and familial status). If HUD does not approve a proposed activity based on a front-end review, then it will provide a written description of concerns or deficiencies. The PHA may resubmit the front-end review materials with a changed proposal and/or with additional information addressing HUD's concerns and any deficiencies in the proposal or the submission.

In some circumstances, a special condition to the transaction's RCC will be necessary to ensure that a RAD transaction conforms to fair housing and civil rights requirements. Special conditions to the RCC reflect the conditions necessary in order to complete the RAD conversion. For example, if there is an outstanding remedial agreement or order requiring particular development activities or operating policies to correct a violation of a fair housing or other civil rights requirement, the RCC generally will condition participation in RAD upon agreement by the PHA or the Project Owner, as applicable, to comply with the provisions of such agreements or orders after conversion.

#### **5.4. Front-End Civil Rights Review for RAD Transactions Involving New Construction**

##### *A) Conditions Triggering Review*

If the proposed project is located in an area of minority concentration, the new site may be approved only if it falls under a permitted exception and meets the other site selection requirements described in Section 5.2. Under the PBV and PBRA site and neighborhood standards, HUD may approve new construction in an area of minority concentration, consistent with the regulatory requirements cited above, only if:

- a. Sufficient, comparable housing opportunities for minority families in the income range to be served by the proposed project exist outside areas of minority concentration; or
- b. The project is necessary to meet overriding housing needs that cannot be met in that housing market area.<sup>38</sup>

As described in the RAD Notice and in Section 5.3(A) of this Notice, above, HUD will conduct a front-end civil rights review of the PHA's proposed site in certain circumstances. This Notice specifies that for conversions of assistance involving new construction where there are indications that the site may be located in an area of minority concentration per the criteria in subsections (i), (ii), or (iii), below (whether the construction is located on the existing public housing site or on a new site), HUD will conduct a front-end civil rights review of the site to determine whether the site is in an area of minority concentration and, if so, whether it meets one of the exceptions that would allow for new construction in an area of minority concentration.

The PHA shall submit for HUD front-end review the PHA's findings, together with backup documentation, regarding site selection when the site meets any of the following criteria:

- i. The PHA self-identifies the area of the site as an area of minority concentration,

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<sup>38</sup> 24 C.F.R. § 983.57(e)(3) and Appendix III of the RAD Notice, paragraph (e).

- ii. The census tract of the site meets the extent of minority concentration described in Section 5.4(B)(1), below, or
- iii. An area comprised of the census tract of the site together with all adjacent census tracts, analyzed as a whole, meets the extent of minority concentration described in Section 5.4(B)(1), below.

If any of these three criteria is applicable, HUD will conduct a review to determine whether the site is in an area of minority concentration and, if applicable, whether the proposed site fits one of the exceptions permitting new construction in an area of minority concentration described in this Section 5.4. A proposed RAD transaction which does not meet one of these triggers must still be evaluated by the PHA and the PHA must certify compliance with the site selection requirements as described in Section 5.2, above.

A PHA seeking to undertake new construction must receive written approval from HUD of any site selection subject to front-end review prior to entering into any construction contract for that new construction.

#### *B) Analysis of Areas of Minority Concentration*

This Section sets forth the methodology that HUD will use in the analysis of the extent of minority concentration, the area of the site, and the housing market area for purposes of the RAD front-end civil rights review. As noted below, this analysis is fact specific and PHAs may submit documentation to inform HUD's analysis in cases where there is strong evidence that an alternative methodology would be more appropriate.

- (1) For purposes of RAD, a site is considered to be in an area of minority concentration when either (i) the percentage of persons of a particular racial or ethnic minority within the area of the site is at least 20 percentage points higher than the percentage of that minority group in the housing market area as a whole or (ii) the total percentage of minority persons within the area of the site is at least 20 points higher than the total percentage of minorities in the housing market area as a whole.<sup>39</sup>
- (2) For purposes of RAD, the analysis of an area of minority concentration will use census tracts to approximate the "area" of the site but the analysis may consider alternate proposed geographies instead of the census tract in instances where there is strong evidence that such geography is more appropriate. Strong evidence that an alternative geography is more appropriate includes: (i) that the site is close to the edge of the census tract, (ii) that the population of the census tract is heavily influenced by the size of the Converting Project, or (iii) that the local community

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<sup>39</sup> The percentage of minorities shall be calculated by subtracting the percentage of White Non-Hispanic persons in the relevant area from 100%. The analysis shall be based on the most recently available decennial census data found at [http://factfinder.census.gov/faces/tableservices/jsf/pages/productview.xhtml?pid=DEC\\_10\\_DP\\_DPDP1&src=pt](http://factfinder.census.gov/faces/tableservices/jsf/pages/productview.xhtml?pid=DEC_10_DP_DPDP1&src=pt). However, if such data is more than five years old, and if either the PHA or HUD requests the use of more recent data based on such party's awareness of significant and material shifts in the demographics of the relevant area in the intervening years, the analysis shall be based on the most recent American Communities Survey data.

understanding of the immediate neighborhood dictates a different boundary. Local community understanding of the immediate neighborhood is often informed by factors such as patterns of housing stock (such as different residential densities in different areas or differential housing prices for similar properties), community facilities and amenities (such as schools and commercial areas) or major geographic barriers (such as rivers or interstate highways), among other factors.<sup>40</sup> HUD will determine the site's "area" using the best available evidence and following the legal standards set forth in applicable case law.

- (3) For purposes of the RAD analysis under this Section 5.4, a "housing market area" is the geographic region from which it is likely that residents of housing at the proposed site would be drawn for a given multifamily housing project. A housing market area generally corresponds to, as applicable: (i) the Metropolitan Statistical Area (MetroSA); (ii) the Micropolitan Statistical Area (MicroSA); or (iii) if the site is in neither a MetroSA nor a MicroSA, either (x) the county or statistically equivalent area, or (y) the PHA's service area, whichever is larger.<sup>41</sup> The analysis may consider a larger or smaller housing market area in instances where there is strong evidence that such housing market area is more appropriate. Strong evidence that an alternative housing market area is more appropriate may include factors such as regional employment centers and commuting patterns serving such employment centers. A PHA seeking to use an alternative housing market area must consult with HUD and establish to HUD's satisfaction that the methodology for identifying and documenting the alternative housing market area is warranted and sound.

### C) *The Sufficient Comparable Opportunities Exception*

As required by the RAD Notice and noted in Section 5.4(A), one of the exceptions under which the site and neighborhood standards permit new construction in areas of minority concentration is if sufficient, comparable housing opportunities for low-income minority families exist outside areas of minority concentration. This section clarifies HUD's procedures for assessing comparable housing opportunities and evaluating how the proposed new construction will impact the balance of housing choices within and outside areas of minority concentration. It also includes a list of the information PHAs should submit to inform HUD's assessment of relevant factors, and key considerations guiding HUD's analysis of each factor.

Under the governing PBV and PBRA requirements, units are considered comparable opportunities if they are the same household type (e.g., elderly, disabled, family, large family), tenure type (owner, renter), require approximately the same total tenant payment toward rent,

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<sup>40</sup> For further explanation, see, e.g., *King v. Harris*, 464 F.Supp.827, 839-41 (E.D.N.Y. 1979).

<sup>41</sup> Items (i) and (ii) are consistent with a Core Based Statistical Area as defined by the Office of Management and Budget. For reference, a Core Based Statistical Area consists of the county or counties or equivalent entities associated with at least one core (urbanized area or urban cluster) of at least 10,000 population, plus adjacent counties having a high degree of social and economic integration with the core as measured through commuting ties with the counties associated with the core.



serve the same income group, are located in the same housing market area, and are in standard condition.<sup>42</sup>

It is important to note that the sufficient comparable housing opportunities exception “does not require that in every locality there be an equal number of assisted units within and outside of areas of minority concentration. Rather, application of this standard should produce a reasonable distribution of assisted units each year, that, over a period of several years, will approach an appropriate balance of housing choices within and outside areas of minority concentration. An appropriate balance in any jurisdiction must be determined in light of local conditions affecting the range of housing choices available for low-income minority families and in relation to the racial mix of the locality’s population.”<sup>43</sup>

HUD will assess “the overall impact of HUD-assisted housing on the availability of housing choices for low-income minority families in and outside areas of minority concentration, and must take into account the extent to which the following factors are present, along with other factors relevant to housing choice.”<sup>44</sup> Under this exception, it is not sufficient for one factor to be present, nor is it required that all factors be present, as the analysis must consider all relevant facts and evaluate the totality of the circumstances.

- “A significant number of assisted housing units are available outside areas of minority concentration.”<sup>45</sup> While HUD must consider all factors relevant to housing choice, 30% or more of deeply subsidized housing units for very low-income persons would be a significant number. To facilitate HUD’s consideration of this factor, a PHA should provide the number, occupancy type, and location of all comparable assisted units.<sup>46</sup>
- “There is significant integration of assisted housing projects constructed or rehabilitated in the past 10 years, relative to the racial mix of the eligible population.”<sup>47</sup> To facilitate HUD’s consideration of this factor, a PHA should provide the name and location of assisted housing projects constructed or rehabilitated in the PHA’s jurisdiction in the past 10 years and the demographic characteristics of the residents of each of these projects;
- “There are racially integrated neighborhoods in the locality.”<sup>48</sup> To facilitate HUD’s consideration of this factor, a PHA should provide the name and census tracts where these racially integrated neighborhoods are located. In general, HUD will consider a neighborhood racially integrated if the neighborhood does not have a high concentration of persons of a particular race or ethnicity when compared to the housing market area in which the neighborhood is located.

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<sup>42</sup> See 24 C.F.R. § 983.57(e)(3)(iv) and Appendix III of the RAD Notice, paragraph (e)(1)(A).

<sup>43</sup> 24 C.F.R. § 983.57(e)(3)(iii); see also Appendix III of the RAD Notice, paragraph (e)(1).

<sup>44</sup> 24 C.F.R. § 983.57(e)(3)(v); see also Appendix III of the RAD Notice, paragraph (e)(1)(B).

<sup>45</sup> 24 C.F.R. § 983.57(e)(3)(v)(A) and Appendix III of the RAD Notice, paragraph (e)(1)(B)(i).

<sup>46</sup> Note that this factor is in reference to comparable assisted units that may or may not be in the PHA’s portfolio. The presumption stated at the end of this Section (i.e., that sufficient comparable opportunities exist if at least 50% of the comparable hard units in the PHA’s portfolio, including PBV developments using the PHA’s subsidy, are outside areas of minority concentration) is focused on units within the PHA’s portfolio.

<sup>47</sup> 24 C.F.R. § 983.57(e)(3)(v)(B) and Appendix III of the RAD Notice, paragraph (e)(1)(B)(ii).

<sup>48</sup> 24 C.F.R. § 983.57(e)(3)(v)(C) and Appendix III of the RAD Notice, paragraph (e)(1)(B)(iii).

- “Programs are operated by the locality to assist minority families that wish to find housing outside areas of minority concentration.”<sup>49</sup> Such programs may include measures such as increasing payment standards in excess of 110% of FMR or the use of Small Area FMRs, including in setting exception rents, or reservation of a percentage of HCVs dedicated to support choice mobility selections or implementation of proven mobility counseling and supports for residents, provided the PHA provides sufficient evidence that it will continue such measures. To facilitate HUD’s consideration of this factor, a PHA should provide the names of the applicable program(s); the entity responsible for implementing the program(s) (e.g., city, county, state government); and any information demonstrating that the program(s) has been successful or predictably will achieve success in assisting persons who wish to move to non-concentrated areas.
- “Minority families have benefited from local activities (e.g., acquisition and write-down of sites, tax relief programs for homeowners, acquisitions of units for use as assisted housing units) undertaken to expand choice for minority families outside of areas of minority concentration.”<sup>50</sup> To facilitate HUD’s consideration of this factor, a PHA should provide the names of the applicable activity(s); the entity responsible for implementing the activity(s) (e.g., city, county, state government); and any information demonstrating that the activity(s) has been successful in expanding choice for minority families outside of areas of minority concentration;
- “A significant proportion of minority households has been successful in finding units in non-minority areas under the tenant-based assistance programs” (e.g., the Housing Choice Voucher programs).<sup>51</sup> To facilitate HUD’s consideration of this factor, a PHA should provide the number of minority households receiving Housing Choice Vouchers; the number of minority households using HCVs in non-minority areas; and the non-minority census tracts where the HCVs are being used. While each local situation is distinct and HUD must consider all factors relevant to housing choice, 30% or more of new leases signed by minority heads of household using HCVs located in non-minority areas over a period greater than three years prior to the date of HUD’s analysis would be a significant proportion.
- “Comparable housing opportunities have been made available outside areas of minority concentration through other programs.”<sup>52</sup> To facilitate HUD’s consideration of this factor, a PHA should describe the opportunities that have been made available, the location of those opportunities, and the number of minority families that have benefitted from the program in recent years. Such programs could include choice mobility strategies, acquisition strategies to acquire and add to the PHA’s portfolio existing apartments in high opportunity areas and transfers of assistance to high opportunity areas.

HUD may consider evidence based on a reliable housing market analysis in evaluating the foregoing factors, along with other factors relevant to housing choice. In the event HUD

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<sup>49</sup> 24 C.F.R. § 983.57(e)(3)(v)(D); *see also* Appendix III of the RAD Notice, paragraph (e)(1)(B)(iv).

<sup>50</sup> 24 C.F.R. § 983.57(e)(3)(v)(E); *see also* Appendix III of the RAD Notice, paragraph (e)(1)(B)(v).

<sup>51</sup> 24 C.F.R. § 983.57(e)(3)(v)(F); *see also* Appendix III of the RAD Notice, paragraph (e)(1)(B)(vi).

<sup>52</sup> 24 C.F.R. § 983.57(e)(3)(v)(G) and Appendix III of the RAD Notice, paragraph (e)(1)(B)(vii).

determines such an analysis would assist in this evaluation, HUD will consult with appropriate parties to establish or accept an appropriate methodology for such an analysis to address HUD's civil rights concerns and to ensure appropriate independence between the analyst and the PHA or Project Owner commissioning and paying for the study.

Absent information to the contrary, for purposes of HUD's front-end review of the PHA's analysis, HUD will apply a presumption that sufficient comparable opportunities exist if at least 50% of the comparable hard units in the PHA's portfolio, including PBV developments using the PHA's subsidy, are outside areas of minority concentration.<sup>53</sup> The PHA's portfolio includes all public housing, PBV and PBRA hard units (including those developed under HOPE VI or Choice Neighborhoods) controlled by the PHA and its instrumentalities or funded using PHA-controlled subsidy. Upon adequate documentation of this presumption, the PHA need not provide additional documentation for HUD's front-end review of the sufficient comparable opportunities exception. This presumption may be rebutted by information to the contrary, including information regarding the preceding factors. In assessing whether sufficient comparable opportunities exist when the presumption does not apply, HUD will consider the factors listed above.

Absent information to the contrary, for purposes of HUD's front-end review of the PHA's analysis, HUD will apply a presumption that sufficient comparable opportunities exist if a set of RAD conversions from a single public housing property, individually or in a combination of transactions, will result in the creation of as many similarly-affordable housing units outside areas of minority concentration as are constructed on the original public housing site. To evaluate the creation of similarly-affordable units, HUD will compare (i) the number of affordable units that will be redeveloped on site, to (ii) the number of similarly-affordable housing units that will be created through new construction, imposition of new long-term affordability restrictions or transfer of RAD assistance to one or more sites outside areas of minority concentration.<sup>54</sup> Similarly-affordable shall mean RAD units compared to RAD units and LIHTC/non-RAD units compared to LIHTC/non-RAD units. The newly created similarly-affordable units must be owned, controlled, sponsored, under common ownership, control or sponsorship, or financially supported by the PHA or by an entity with a managing ownership interest in the Project Owner. When a PHA seeks to claim this exception, HUD prefers that the transaction creating the similarly-affordable units on the site outside areas of minority concentration close (with an immediate or delayed HAP effective date, if applicable) prior to the closing of the RAD conversion in the area of minority concentration. However, if the PHA determines that such a sequence is not reasonably possible, unless otherwise approved by HUD the PHA must provide evidence to HUD that the transfer of assistance to a site outside areas of

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<sup>53</sup> When determining the percentage of units outside of areas of minority concentration, the PHA must include the number of units planned at the proposed site in its calculations. While not required, PHAs or Project Owners may assist HUD in consideration of this presumption by submitting to HUD a map produced by the Affirmatively Furthering Fair Housing Data and Mapping Tool ("AFFH-T"), as may be available on the HUD website from time to time, showing the location of publicly assisted housing.

<sup>54</sup> For example, if the PHA proposes to build 25 RAD units, 20 non-RAD LIHTC units and 15 unrestricted units on-site, such a plan could be acceptable if paired with creation of 15 RAD units at one site and 10 RAD units plus 20 non-RAD LIHTC units at a second site. The 15 unrestricted units in the minority concentrated area are not part of the analysis as they are not affordable units.

minority concentration is highly likely to occur and the PHA must contractually agree with HUD to create such units. Evidence that the transfer is highly likely to occur must include:

- The project name and property address of the site of the similarly-affordable units to be created,
- The census tract and data to confirm that it is not in an area of minority concentration,
- Evidence of site control,
- Evidence of zoning to permit construction of the similarly-affordable units if the affordable units are to be created through new construction,
- A reasonable and feasible sources and uses statement for the transaction, and
- Evidence of financing commitments exceeding 90% of the necessary sources to complete the transaction. Evidence of financing commitments must include an LIHTC allocation if the use of LIHTCs is projected.

*D) The Overriding Housing Needs Exception*

As noted in Section 5.4(A), the second exception under which the site and neighborhood standards permit new construction in areas of minority concentration is if the project is necessary to meet overriding housing needs that cannot be met in that housing market area. The new construction site selection standards under RAD<sup>55</sup> outline two examples of circumstances, consistent with fair housing and other civil rights objectives, that would permit the application of the overriding housing needs exception: (1) when the site is “an integral part of an overall local strategy for the preservation or restoration of the immediate neighborhood;” or (2) when the site is “located in a neighborhood experiencing significant private investment that is demonstrably improving the economic character of the area (a “revitalizing area”).”<sup>56</sup>

(1) Establishing that a Site is an Integral Part of an Overall Local Strategy for the Preservation or Restoration of the Immediate Neighborhood

To establish that a site is an integral part of an overall local strategy for the preservation or restoration of the immediate neighborhood, a PHA must document that the locality has a demonstrated commitment to revitalization that includes or is in addition to the RAD conversion, as demonstrated by the following:

- i. The site is located in a defined geographic area that is the subject of an official, currently operational and realistically achievable plan for the improvement or revitalization of the immediate neighborhood (which plan may include areas beyond the immediate neighborhood); and
- ii. The Covered Project conforms to, and the site is integral to, the goals, strategies, and objectives of the improvement or revitalization plan.

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<sup>55</sup> See 24 C.F.R. § 983.57(e)(2) for PBV transactions and paragraph (e) of Appendix III of the RAD Notice for PBRA transactions.

<sup>56</sup> 24 C.F.R. § 983.57(e)(3)(vi); see also Appendix III of the RAD Notice, paragraph (e)(1)(B)(viii)(2). In demonstrating an overriding housing need, the “neighborhood” is determined in each situation based on the overall facts and circumstances and cannot be mechanically determined. The “immediate neighborhood” is generally a smaller geographic area than the “neighborhood.”

In determining whether such an official, currently operational and realistically achievable plan for the improvement or revitalization of the area exists, HUD will consider relevant factors including, for example, whether:

- The strategy itself, or a plan supporting the strategy, has been enacted, adopted, or ratified by a municipal, county, or state legislative body;
- There has been progress to implement the plan, or the strategy as a whole.<sup>57</sup>
- The plan or strategy as a whole, or the elements applicable to the Covered Project, are consistent with the jurisdiction’s land use or zoning code, development regulations, or other official body of laws or rules;
- Strategies or activities under the plan are incorporated in current public, quasi-public agency or major institutional work plans;
- The plan, or the strategy as a whole, includes objectives and initiatives related to the preservation or restoration of a geography larger than the Converting Project and any associated public housing site;
- A jurisdiction has published solicitations or incentives for development projects in the improvement or revitalization area;
- The plan is incorporated in the applicable jurisdiction’s Consolidated Plan or other comprehensive community development plan;
- A jurisdiction has explicitly designated the geographic area for improvement or revitalization (e.g., Business Improvement District; Enterprise Zone designation; Promise Zone designation; Choice Neighborhoods designation);
- An implementing agency has retained a construction firm to break ground on the improvement or revitalization; and/or
- An implementing agency has secured financing, such as the issuance of bonds or final approval for tax increment financing.

(2) Establishing that the Site is Located in a “Revitalizing Area”

Evidence that the site is located in a revitalizing area experiencing significant private investment that is demonstrably improving the economic character of the area is also an example of a site which meets an overriding housing need. HUD will consider all relevant factors in making a determination that the site is located in a “revitalizing area” but in particular will consider whether:

- i. The neighborhood has demonstrated signs of revitalization, through indicators such as low or declining census tract poverty rates, low or declining violent crime rates or evidence of high or increased educational opportunity, high or increasing median

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<sup>57</sup> Indicators of progress should be appropriate to the amount of time since the plan or strategy was developed and there must be a reasonable, supportable expectation that the plan will continue to be implemented. For example, if a plan was launched 3-4 years prior and the initial steps of the plan required implementation of an initiative (such as real estate development) which has a long pre-development planning period, HUD may consider whether there has been activity to seek land development approvals or to develop construction drawings or to secure funding commitments or other activities providing evidence that one or more material elements of the plan or strategy are actually being implemented.

- household income, high or increasing homeownership rates and/or high or increased employment; and
- ii. There is high private and public investment in retail, commercial, or housing development that has occurred or will imminently occur in the area which may include, among other considerations:
    - Evidence of new or improved retail centers, grocery stores, pharmacies, healthcare facilities, community centers, educational and recreational facilities, municipal services, and transportation serving the neighborhood;
    - Evidence of private and public investment or housing development that has occurred or will imminently occur in the area;
    - Evidence of economic conditions that are impacting the preservation of affordable housing in the neighborhood, including indicators of gentrification such as housing costs rising more sharply in the neighborhood than in the jurisdiction overall, accelerated rates of homeownership in the neighborhood, and disproportionate depletion of larger dwellings for families with children.

### (3) Circumstances in Which an Overriding Housing Needs Exception Does Not Apply

A PHA cannot establish that a site meets the overriding housing needs exception if the only reason the need cannot otherwise be feasibly met is that discrimination on the basis of race, color, religion, sex, national origin, age, familial status, or disability renders sites outside areas of minority concentration unavailable or if the use of this standard in recent years has had the effect of circumventing the obligation to provide housing choice.<sup>58</sup> For example, the overriding housing needs exception may not be applied if the reason that the project cannot be sited outside of an area of minority concentration is due to community opposition to the project based on the actual or perceived protected characteristics of the residents or prospective residents of the project. In addition, a recipient may not exclusively rely on this exception as a means of siting projects without creating housing opportunities outside of areas of minority concentration or without preserving existing housing outside of areas of minority concentration.

## **5.5. Front-End Civil Rights Review for RAD Transactions Involving Transfer of Assistance**

### *A) Applicable Standards*

Transfers of assistance are subject to the site selection standards for existing or rehabilitated housing set forth in 24 C.F.R. § 983.57(a)-(d), with the exception of 24 C.F.R. § 983.57(b)(1) and (c)(2), for PBV conversions and Appendix III of the RAD Notice for PBRA conversions. All transfers of assistance to a new site(s) are subject to front-end review by HUD, as required by the RAD Notice and noted in Section 5.3(A)(2) of this Notice. Conversions involving a transfer of assistance may also involve one or more of the other activities which trigger front-end review as described in Section 5.3(A). In transfers of assistance involving any of these activities, HUD

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<sup>58</sup> 24 C.F.R. § 983.57(e)(3)(vi) and Appendix III of the RAD Notice, paragraph (e)(2). The PBRA site and neighborhood standards use the phrase “on the basis of race, color, creed, sex or national origin.” See Appendix III of the RAD Notice.

will conduct a front-end review based on the requirements applicable to each activity. A PHA must submit documentation for the front-end civil rights review of each specific activity as required by the relevant sections of this Notice.

*B) Analysis of Transfers of Assistance*

Through the front-end review of transfers of assistance by FHEO, HUD seeks to assist the PHA in avoiding discrimination on the basis of race, color, national origin, religion, sex, disability or familial status. The front-end review of transfers of assistance will apply the site selection standards for existing/rehabilitated housing.<sup>59</sup> This review shall consider:

- (1) The accessibility of the proposed site for persons with disabilities;
- (2) The ability of the RAD conversion to remediate accessibility concerns;
- (3) Whether the transfer of assistance would result in assisted units being located in an area where the total percentage of minority persons is significantly higher than the total percentage of minority persons in the area of the original public housing site or in an area where the percentage of persons of a particular racial or ethnic minority is significantly higher than the percentage of that minority group in the area of the original public housing site.<sup>60</sup> For purposes of this analysis, HUD will examine the minority concentration of:
  - (a) the census tract of the original public housing site compared to the census tract of the proposed site; and
  - (b) an area comprised of the census tract of the original public housing site together with all adjacent census tracts compared to an area comprised of the census tract of the proposed site together with all adjacent census tracts.
- (4) Whether the site selection has the purpose or effect of:
  - (a) Excluding individuals from, denying them the benefits of, or subjecting them to discrimination under the RAD program or the applicable rental assistance program;
  - (b) Excluding qualified individuals with disabilities from or denying them the benefit of the RAD program or the applicable rental assistance program, or otherwise subjecting them to discrimination;
  - (c) Defeating or substantially impairing the accomplishment of the objectives of the RAD program or the applicable rental assistance program with respect to qualified individuals with disabilities; and

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<sup>59</sup> 24 C.F.R. § 983.57(d) and Appendix III of the RAD Notice, paragraphs (a) through (d). The site selection standards for existing/rehabilitated housing do not apply the minority concentration test used for new construction found at 24 C.F.R. § 983.57(e)(3) and Appendix III of the RAD Notice, paragraph (e).

<sup>60</sup> While this review is not explicitly called out in 24 C.F.R. § 983.57(d) and Appendix III of the RAD Notice, it is derived from HUD's and the PHA's obligations to comply with civil rights laws and regulations, including those referenced in 24 C.F.R. § 983.57(b)(2) and Appendix III of the RAD Notice.

- (d) Excluding individuals with disabilities (including members of the public with disabilities), denying them benefits or subjecting them to discrimination.

Under the RAD Notice, there are other standards for review of a transfer of assistance which are not examined as part of the front-end civil rights review but are examined as part of the RAD Financing Plan review (e.g., criteria formulated for transfers under Section 8(bb) of the United States Housing Act of 1937 regarding neighborhoods with highly concentrated poverty). Identification of considerations for the front-end review do not preclude review by HUD of all standards referenced in the RAD Notice.

### **5.6. Front-End Civil Rights Review for RAD Transactions Involving Reduction in Number of Units, Changes in Bedroom Distribution of Units and Changes in Occupancy Requirements**

The RAD Notice allows PHAs to reduce the number of units, change the bedroom distribution of units, or change the occupancy of projects as part of their RAD conversion.<sup>61</sup> However, the RAD Notice also provides that such changes (including de minimis changes) must undergo a front-end civil rights review and receive approval from HUD prior to submission of the Financing Plan. The Checklist will require data for review along with an explanation, backed by sufficient evidence, of how the PHA determined that that the proposed change will not result in discrimination on the basis of race, color, national origin, religion, sex, disability, familial status, actual or perceived sexual orientation, gender identity or marital status.<sup>62</sup>

#### *A) Review of Reductions in the Number of Units, Reductions or Increases in the Number of UFAS Accessible Units or Changes in Bedroom Distribution*

This Section describes the considerations relevant to a front-end review of reductions in units, changes in the number of UFAS accessible units or changes in bedroom distribution. Such changes must not be the result of an intentional effort to discriminate against members of a protected class. For example, reductions or changes, including reductions in UFAS accessible units or which would impede residents with disabilities from having live-in aides, that intended to exclude persons with disabilities would be unlawful discrimination because of a disability.

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<sup>61</sup> See Sections 1.4.A.4 and 1.4.A.10 of the RAD Notice.

<sup>62</sup> Reductions in the number of units, changes in the bedroom distribution of units, or changes in occupancy violate the Fair Housing Act (the Act) if they have a discriminatory effect on the basis of race, color, national origin, religion, sex, disability, or familial status. Unlawful housing discrimination may be established by a policy's or practice's discriminatory intent or by its discriminatory effect, even if not motivated by discriminatory intent, consistent with the standards outlined in 24 C.F.R. § 100.500. A policy or practice can have an unjustified discriminatory effect, even when the provider had no intent to discriminate. Under this standard, a facially-neutral policy or practice that has a discriminatory effect violates the Act if it is not supported by a legally sufficient justification. In addition, the policy or practice violates the Act if the housing developer or provider intentionally discriminates, including for example, by reducing the number of bedrooms with the intent of limiting families with children. Furthermore, the policy or practice may also violate the Act where it creates, increases, reinforces, or perpetuates segregated housing patterns because of race, color, religion, sex, handicap, familial status, or national origin. In addition, any changes must conform with the Equal Access rule requirement that determinations of eligibility for housing that is assisted by HUD or subject to a mortgage insured by the FHA shall be made in accordance with program eligibility requirements, and the housing must be made available, without regard to actual or perceived sexual orientation, gender identity or marital status. 24 C.F.R. § 5.105(a)(2).



Similarly, replacing larger units with smaller units so as to exclude families with children would be unlawful discrimination because of familial status.

Additionally, reductions in units or changes in bedroom distribution must not have an unjustified discriminatory effect on members of a protected class. For example, a reduction in units could have a discriminatory impact if it excludes members of a particular race or religion. Reductions or changes that have a disparate impact on a protected class are unlawful under the Fair Housing Act if they are not necessary to achieve a substantial, legitimate, nondiscriminatory interest of the developer or housing provider, or if such interest could be served by another practice that has a less discriminatory effect.

The RAD Notice allows for a de minimis reduction in units at Converting Projects, which includes both a small number of units as well as the reduction of certain units that have been vacant for 24 months prior to application, that are being or will be used for social service delivery, or efficiencies that will be reconfigured to one-bedroom units.<sup>63</sup> In addition, a PHA converting multiple properties can consolidate the de minimis reductions derived from multiple properties at a small number of sites. The RAD Notice also allows for changes in bedroom distribution. Such de minimis reductions are still subject to front end civil rights review and applicable fair housing and civil rights laws.

HUD shall conduct a front-end civil rights review if the plan for a Converting Project results in:

- A reduction in the number of dwelling units in any of the following categories: (i) units with two bedrooms, (ii) units with three bedrooms or (iii) units with four or more bedrooms.
- A reduction in the number of UFAS accessible units;
- An increase in the number of UFAS accessible units for persons with mobility impairments beyond 10% of the units in the Covered Project or 1 unit, whichever is greater.
- An increase in the number of UFAS accessible units for persons with vision and hearing impairments beyond 4% of the units in the Covered Project or 1 unit, whichever is greater.

When a Converting Project is subject to a front-end civil rights review under this subsection, the PHA shall submit to HUD the relevant part of the Checklist together with a justification which must demonstrate that the changes are not the result of discriminatory intent and will not have a discriminatory effect on members of protected classes, particularly families with children and individuals with disabilities. Relevant data for this analysis of the proposed change at the project may include the PHA's overall affordable housing stock, the demand for affordable housing in the market as evidenced by information such as the overall jurisdiction and regional demographic data available from the AFFH Data and Mapping Tool (e.g., both basic demographic and disproportionate housing needs data), the PHA's waiting list or a reliable market study of households seeking assisted housing, compared to the relative proportions of

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<sup>63</sup> See Section 1.4.A.4 of the RAD Notice.

units serving any particular household type in the proposed project, the PHA's total housing stock or all assisted housing in the area.

For any increase in UFAS units subject to front-end review, HUD will assess indicators of local need (see Section 5.7(B), below) and whether the change would operate to concentrate individuals with disabilities in a particular property or to exclude individuals with certain types of disabilities from a particular property.

*B) Review of Changes in Occupancy Type*

RAD conversions that result in the implementation of an admissions preference (e.g., residency preferences or restrictions) at the Covered Project that would alter the occupancy of the property (e.g., family units converting to elderly units, elderly/disabled units converting to elderly only units) are subject to a front-end civil rights review by HUD pursuant to the RAD Notice and Section 5.3(A). A PHA must demonstrate that the proposed change in occupancy type is consistent with the demand for affordable housing in its jurisdiction as demonstrated by factors such as the demographics of its current occupancy, the demographics of its waiting list or a market study. Such preferences, restrictions, or geographic residency preferences must be reflected in a PBRA project's Affirmative Fair Housing Marketing Plan (AFHMP) or, for a PBV project, the PHA's Administrative Plan.

**5.7. Other Front-End Civil Rights Review for RAD Transactions**

*A) Conversions of Assistance in Which the Construction Schedule Indicates that Relocation is Likely to Exceed 12 Months.*

The front end civil rights review shall focus on whether the relocation will result in discrimination on the basis of race, color, national origin, religion, sex, disability, and familial status, based primarily, but not exclusively, on the data required in the Checklist.

*B) Conversions of Assistance Involving New Construction or Substantial Alteration, as those terms are defined by Section 504.*

While the PHA is responsible for compliance with all requirements described in Section 4, above and in this subsection, the front-end review will be conducted based on a review of the Checklist and shall include confirming the provision of any required accessible units and confirming the PHA is applying the appropriate accessibility standards. HUD will require the PHA to provide information regarding the provision of at least the minimum number of units accessible for persons with mobility impairments and units accessible for persons with hearing and vision impairments as required by applicable law (generally 5% of units accessible for persons with mobility impairments and an additional 2% of units accessible for persons with hearing and vision impairments). For purposes of establishing an upper threshold of accessible units below which RAD front-end review will not be required, HUD will accept that up to 10% of units accessible for persons with mobility impairments and up to 4% of units accessible for persons with hearing and vision impairments is consistent with local need, without further review, absent information to the contrary. HUD will consider a PHA's request for higher percentages based, to HUD's satisfaction, on reliable indicators of local need, such as census data or other available current data. HUD is available to assist PHAs in determining appropriate indicators of local

need for units with accessible features. The RAD conversion scope of work submitted with the Financing Plan must reflect the construction or retrofitting of residential units and public and common use areas to comply with all applicable accessibility requirements.

*C) Remedial Agreements and Orders.*

Front-end review in situations where the Converting Project or PHA is subject to enforcement actions or binding voluntary compliance agreements, settlement agreements, conciliation agreements, or consent decrees or orders of the nature described in Sections 5.3(A)(8) and 5.3(A)(9) shall be conducted on a case-by-case basis as appropriate to the specific situation.

**5.8. Affirmative Fair Housing Marketing Plan (AFHMP) Requirements for Projects Converting to PBRA Assistance**

For all projects converting to PBRA assistance, a PHA or Project Owner must complete form HUD-935.2A, the Affirmative Fair Housing Marketing Plan (AFHMP) - Multifamily Housing, and submit it to HUD for approval with the RAD Financing Plan.<sup>64</sup> Affirmative Fair Housing Marketing requirements are designed to achieve a condition in which individuals of similar income levels in the same housing market area have similar housing choices available to them regardless of their race, color, national origin, religion, sex, disability, or familial status.<sup>65</sup> They are also a means to carry out the mandate of Section 808(e)(5) of the Fair Housing Act that HUD administer its programs and activities in a manner to affirmatively further fair housing. These requirements mandate that PHAs or Project Owners identify groups that are least likely to apply for upcoming housing opportunities and to implement special marketing and outreach activities to ensure that these groups are aware of these opportunities.

The AFHMP must be submitted to HUD with the Financing Plan. A separate AFHMP is required for each distinct PBRA HAP contract. The PHA must submit an AFHMP even if the project has an existing waiting list and is not accepting new applicants. The PHA or Project Owner should consult the instructions in the form HUD 935.2A and HUD's Implementing Affirmative Fair Housing Marketing Requirements Handbook (HUD Handbook 8025.1) for guidance on completing the AFHMP and carrying out an affirmative marketing program. The Handbook provides a detailed resource on the content of the AFHMP, which includes marketing activities, residency preferences, and staff training.

When submitting an AFHMP for HUD approval, the PHA or Project Owner must ensure that the occupancy designation and any residency preferences are consistent with the PHA Plan or Significant Amendment to the PHA Plan, that such designation and preferences are consistent with the Checklist submitted to HUD and that the AFHMP includes affirmative marketing

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<sup>64</sup> The most recent version of the AFHMP is HUD Form 935.2A, OMB Approval Number 2529-0013. *See* 24 C.F.R. § 880.601(a)(2) and 24 C.F.R. § 200.615; *see also* Section 10.8 of the Multifamily Accelerated Processing (MAP) Guide. The PHA or its management agent should consult the instructions in the form HUD 935.2A and HUD's Implementing Affirmative Fair Housing Marketing Requirements Handbook (HUD Handbook 8025.1) for guidance on completing the AFHMP and carrying out an affirmative marketing program. The Handbook provides a detailed resource on the content of the AFHMP, which includes marketing activities, residency preferences, and staff training.

<sup>65</sup> *See* 24 C.F.R. § 200.610.

activities that are consistent with its occupancy designation and the populations identified as least likely to apply. Any subsequent changes to occupancy designation or residency preferences shall be proposed, submitted and reviewed in accordance with standard PBRA requirements. If a PHA or Project Owner plans to adopt any local or residency preferences, the Project Owner must submit its Tenant Selection Plan along with the AFHMP (see HUD Handbook 4350.3, page 4-4).

The Multifamily Housing Office of Asset Management and Portfolio Oversight and the Office of Fair Housing and Equal Opportunity (“FHEO”) review the AFHMP. FHEO issues HUD’s official letter of approval or disapproval. Disapproval letters will specify the reason a plan was rejected and the revisions required. The PHA or Project Owner must make the required changes and resubmit a corrected plan to HUD for approval.

The PBRA contract becomes effective on the first day of a month, following closing. Approval of the AFHMP is not a condition to closing of the RAD conversion. When the project is preparing to accept applications, it must follow its approved AFHMP to ensure that groups least likely to apply are aware of the housing opportunities. The Project Owner is responsible for ensuring that the AFHMP is in place throughout the life of any FHA mortgage or PBRA contract. The Project Owner may not market or lease any unit not occupied by a household exercising its right to remain in or return to the Covered Project prior to approval of the AFHMP. Marketing or leasing includes the solicitation, distribution or acceptance of applications or development of a waiting list.

## **SECTION 6. RELOCATION REQUIREMENTS**

In some cases, as explained in this Section, the activities associated with the RAD transaction may require the relocation of residents. In the event of acquisition, demolition, construction or rehabilitation activities performed in connection with a RAD conversion, the PHA and/or Project Owner<sup>66</sup> should plan such activities to reasonably minimize any disruption to residents’ lives, to ensure that residents are not exposed to unsafe living conditions and to comply with applicable relocation, fair housing and civil rights requirements. As discussed in Section 6.1, below, a written relocation plan is required in some circumstances and strongly encouraged for any conversion resulting in resident moves or relocation. Further, the obligations due to relocating residents under RAD are broader than URA relocation assistance and payments and RAD specifies requirements which are more protective of residents than standard URA requirements, including additional notices (see Section 6.6) and a right to return (see Section 6.2). This Notice requires that certain information be provided to all households, beginning prior to submission of the RAD application.

Any resident who moves as a direct result of acquisition, rehabilitation or demolition for an activity or series of activities associated with a RAD conversion may, depending on the circumstances and length of time of the relocation, be eligible for relocation assistance and payments under the URA. Additionally, Section 104(d) relocation and one-for-one replacement

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<sup>66</sup> Under the URA, the term “displacing agency” refers to the agency or person that carries out a program or project which will cause a resident to become a displaced person. Projects vary and, for any specific task described in this Notice, the displacing agency may be either the PHA or the Project Owner, as determined by the allocation of roles and responsibilities between the PHA and Project Owner.

housing requirements may also apply when CDBG- or HOME-funds are used in connection with a RAD conversion. The applicability of the URA or Section 104(d) to RAD conversions is fact-specific, which must be determined in accordance with the applicable URA and Section 104(d) regulations.<sup>67</sup>

Eligibility for specific protections under this Notice applies to any person residing in a Converting Project who is legally on the public housing lease, has submitted an application to be added to an existing lease, or is otherwise in lawful occupancy at the time of the issuance of the CHAP and at any time thereafter until conversion of assistance under RAD. All such residents of a Converting Project have a right to return and are eligible for relocation protections and assistance as provided by this Notice. The eligibility criteria set forth in this paragraph apply to the protections under this Notice regardless of whether residents or household members meet the statutory and regulatory requirements for eligibility under URA.<sup>68</sup>

## **6.1. Planning**

If there is a possibility that residents will be relocated as a result of acquisition, demolition, or rehabilitation for a Converting Project, PHAs must undertake a planning process in conformance with the URA statutory and regulatory requirements in order to minimize the adverse impact of relocation (*see* 49 § C.F.R. 24.205). PHAs must also ensure that their relocation planning is conducted in compliance with applicable fair housing and civil rights requirements.

The PHA shall prepare a written relocation plan if the RAD conversion involves permanent relocation (including, without limitation, a move in connection with a transfer of assistance) or temporary relocation anticipated to last longer than one year. While a written relocation plan is not required for temporary relocation lasting one year or less, HUD strongly encourages PHAs, in consultation with any applicable Project Owners, to prepare a written relocation plan for all RAD conversions to establish their relocation process clearly and in sufficient detail to permit consistent implementation of the relocation process and accurate communication to the residents. Appendix II contains recommended elements of a relocation plan.

During the planning stages of a RAD transaction and based on the results of this planning process, a PHA must submit applicable portions of the Checklist described in Section 5.3(B) to HUD, together with any required backup documentation, as early as possible once the information covered in the applicable part is known.<sup>69</sup> All parts of the Checklist must be submitted to HUD prior to submission of the Financing Plan. The Checklist will allow HUD to assist the PHA to comply, and to evaluate the PHA's compliance, with relocation requirements, including civil rights requirements related to relocation.

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<sup>67</sup> 42 U.S.C. § 4601 *et seq.*, 42 U.S.C. § 5304(d), and their implementing regulations at 49 C.F.R Part 24 and 24 C.F.R. Part 42 subpart C.

<sup>68</sup> A nonexclusive listing of persons who do not qualify as displaced persons under URA is at 49 C.F.R. 24.2(a)(9)(ii). See also, Paragraph 1-4(J) of HUD Handbook 1378. See Section 6.5 of this Notice for discussion of the date of "initiation of negotiations."

<sup>69</sup> The Checklist refers to the existing FHEO Accessibility and Relocation Checklist until a revised Checklist is approved for use pursuant to the Paperwork Reduction Act.

The following presents a general sequencing of relocation planning activities within the RAD conversion process for informational and planning purposes only. Specific requirements are set forth in the provisions of this Notice.

Stage	Activities
1. Prior to submission of RAD application	<ul style="list-style-type: none"> <li>• Determine potential need for relocation in connection with proposed conversion plans.</li> <li>• Meet with residents to discuss proposed conversion plans, communicate right to return, and solicit feedback.</li> <li>• Provide the <i>RAD Information Notice</i> (RIN) to residents as described in Section 6.6(A) of this Notice.</li> </ul>
2. After submission of RAD application	<ul style="list-style-type: none"> <li>• Assess the need for relocation planning in connection with proposed conversion plans. Determine if technical assistance would be beneficial to ensuring compliance with relocation requirements.</li> <li>• Survey residents to inform relocation planning and relocation process.</li> <li>• Develop a relocation plan (see Appendix II for recommended content).</li> <li>• Prepare Significant Amendment to PHA Plan and engage with the Resident Advisory Board, residents and the public regarding Plan amendment.<sup>70</sup></li> </ul>
3. Following issuance of the CHAP, or earlier if warranted	<ul style="list-style-type: none"> <li>• Provide the <i>General Information Notice</i> (GIN) to residents when the project involves acquisition, rehabilitation, or demolition as described in Section 6.6(B) of this Notice and relocation may be required.</li> </ul>
4. While preparing Financing Plan	<ul style="list-style-type: none"> <li>• Discuss the outlines of the conversion plans and their impact on relocation with the HUD transaction manager.</li> <li>• Refine the plan for relocation and integrate the construction schedule into the relocation strategy; seek to minimize off-site or disruptive relocation activities.</li> <li>• Identify relocation housing options .</li> <li>• Budget for relocation expenses and for compliance with accessibility requirements.</li> <li>• Submit the Checklist and, where applicable, the relocation plan.</li> <li>• If the conversion involves acquisition, at the discretion of the Project Owner issue Notice of Intent to Acquire (NOIA).</li> <li>• If a NOIA is issued, at the discretion of the Project Owner provide residents with appropriate relocation notices as</li> </ul>

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<sup>70</sup> Alternatively, the PHA may submit a new PHA Five-Year or Annual Plan, especially if it is on schedule to do so. Under any scenario, the PHA must consult with the Resident Advisory Board and undertake the community participation process.

Stage	Activities
	described in Section 6.6(C) through 6.6(E) of this Notice at this time.
5. From RAD Conversion Commitment (RCC) to Closing	<ul style="list-style-type: none"> <li>• Meet with residents to describe approved conversion plans and discuss required relocation.</li> <li>• The effective date of the RCC marks the date of “Initiation of Negotiations” (ION), as defined in the URA (49 § C.F.R. 24.2(a)(15)).</li> <li>• If no NOIA was provided while preparing the Financing Plan, provide residents with appropriate relocation notices as described in Section 6.6(C) through 6.6(E) of this Notice.</li> <li>• Resident relocation may begin following the effective date of the RCC, subject to applicable notice requirements.</li> </ul>
6. Post-Closing	<ul style="list-style-type: none"> <li>• Ongoing implementation of relocation</li> <li>• Notify the residents regarding return to the Covered Project as described in Section 6.6(F) of this Notice</li> <li>• Implementation of the residents’ right to return</li> </ul>

**6.2. Resident Right to Return**

Any public housing or Section 8 assisted resident that may need to be relocated temporarily to facilitate rehabilitation or construction has a right to return to an assisted unit at the Covered Project once rehabilitation or construction is complete.<sup>71</sup> Permanent involuntary displacement of public housing or Section 8 assisted residents may not occur as a result of a project’s conversion of assistance. The Project Owner satisfies the RAD right to return to a Covered Project if the Project Owner offers the resident household either: a) a unit in the Covered Project in which the household is not under-housed; or b) a unit in the Covered Project which provides the same major features as the resident’s unit in the Converting Project prior to the implementation of the RAD conversion. In the case of a transfer of assistance to a new site, residents of the Converting Project have the right to reside in an assisted unit meeting the requirements set forth in this paragraph at the Covered Project (the new site) once the Covered Project is ready for occupancy in accordance with applicable PBV or PBRA requirements.

If proposed plans for a Converting Project would preclude a resident from returning to the Covered Project, the resident must be given an opportunity to comment and/or object to such plans. Examples of project plans that may preclude a resident from returning to the Covered Project include, but are not limited to:

- Changes in bedroom distribution which decrease the size of units such that the resident would be under-housed;<sup>72</sup>

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<sup>71</sup> The right to return is not a right to any specific unit in the Covered Project. Tenancies other than public housing or Section 8 assisted residents (such as commercial tenants) do not hold a right to return and are subject to standard relocation requirements applicable to such tenants under the URA.

<sup>72</sup> See the RAD Notice for a description of the procedures that must be undertaken if a resident is over-housed.

- Where a) the PHA is reducing the number of assisted units at a property (if authorized to do so under Section 1.5.B of the RAD Notice) and b) the resident cannot be accommodated in the remaining assisted units;
- The imposition of income eligibility requirements, such as those associated with LIHTC or other program financing, under which the current resident may not be eligible;<sup>73</sup> and
- Failure to provide reasonable accommodation to an individual with disabilities, in violation of applicable law, which reasonable accommodation may include installation of accessibility features that are needed by the individual with disabilities.<sup>74</sup>

If the resident who would be precluded from returning to the Covered Project objects to such plans, the PHA must alter the project plans to accommodate the resident's right to return to the Covered Project.

If the resident who would be precluded from returning to the Covered Project prefers to voluntarily and permanently relocate rather than object to the project plans, the PHA must secure informed, written consent to a voluntary permanent relocation in lieu of returning to the Covered Project and must otherwise comply with all the provisions of Section 6.10, below, regarding alternative housing options. The PHA cannot employ any tactics to pressure residents into relinquishing their right to return or accepting alternative housing options. A PHA may not terminate a resident's lease if the PHA fails to obtain the resident's consent and the resident seeks to exercise the right to return.

In the case of a multi-phase transaction, the resident has a right to return to the Covered Project or to other converted phases of the property which have converted and are available for occupancy at the time the resident is eligible to exercise the right to return. A relocated resident should get the benefit of improvements facilitated by the resident's relocation and conversion and completion of future phases cannot be assured. In most cases, this means that the resident's right to return must be accommodated within the Covered Project associated with resident's original unit. However, in those cases where improvements to multiple phases of a site are occurring simultaneously, the PHA or Project Owner may treat multiple Covered Projects on the same site as one for purposes of the right to return. If the PHA or Project Owner seeks to have the resident exercise the right of return at a future phase, the PHA or Project Owner would need to secure the resident's consent to such plan as an alternative housing option pursuant to Section 6.10, below.

In implementing the right of return, the Project Owner shall comply with all applicable fair housing laws and implementing regulations, including, but not limited to, the Fair Housing Act,

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<sup>73</sup> In these cases, a PHA may elect to exclude some units from the applicable financing program, for example, claiming LIHTC for a subset of the units and not claiming tax credits in connection with the units occupied by households over the LIHTC maximum eligibility of 60% of AMI.

<sup>74</sup> Refer to the Joint Statement of the Department of Housing and Urban Development and the Department of Justice, Reasonable Modifications Under the Fair Housing Act (March 5, 2008), at [http://www.hud.gov/offices/fheo/disabilities/reasonable\\_modifications\\_mar08.pdf](http://www.hud.gov/offices/fheo/disabilities/reasonable_modifications_mar08.pdf) for additional detail regarding applicable standards for reasonable accommodations and accessibility features which must be provided. If the resident has paid for installation of accessibility features in the resident's prior unit, the PHA or Project Owner shall pay for the installation of comparable features in the new unit. Violations of law may also result in other sanctions.



Title VI of the Civil Rights Act, Section 504 of the Rehabilitation Act, and Titles II and III of the Americans with Disabilities Act.

### **6.3. Admissions and Continued Occupancy Requirements**

Resident households may not be denied relocation housing or the right to return based on rescreening, income eligibility, or income targeting. PHAs may only offer housing options with screening, income eligibility or income targeting requirements if the impacted residents meet the admission and occupancy policies applicable to such housing. However, whether or not in a temporary relocation situation, the household remains subject to the applicable program policies regarding continued occupancy of an assisted unit by an incumbent resident of the unit.

### **6.4. Types of Moves and Relocation**

Any time project plans require a resident to move from their current unit, the resident is eligible for assistance as described in this Notice. Assistance may vary depending on the options provided to residents, whether the relocation is temporary or permanent and, if applicable, the length of time the resident is in temporary accommodations.<sup>75</sup> In all circumstances, the move or relocation must be in compliance with applicable requirements of this Notice and consistent with applicable fair housing and civil rights requirements. Each type of move is discussed below.

#### *A) Moves within the same building or complex of buildings<sup>76</sup>*

Temporary or permanent moves within the same building or complex of buildings may be appropriate given the extent of work to be completed to permit phasing of rehabilitation or construction. Moves within the same building or complex of buildings are not considered relocation under RAD and a tenant generally does not become displaced under the URA. Whether permanent (i.e., the tenant will move to and remain in an alternative unit) or temporary (i.e., the tenant will move to another unit and return to their original unit), the PHA or Project Owner must reimburse residents for all reasonable out-of-pocket expenses incurred in connection with any move and all other terms and conditions of the move(s) must be reasonable.<sup>77</sup> The final move must be to a unit which satisfies the right to return requirements specified in Section 6.2 of this Notice.

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<sup>75</sup> PHAs should note that the definitions of “permanent” vary between the URA and RAD. For example, “permanent displacement” under the URA includes moves from the original building or complex of buildings lasting more than one year. The RAD Notice, meanwhile, considers “permanent relocation” to be separation from the RAD-assisted unit upon completion of the conversion and any associated rehabilitation and construction. The duration of a temporary move may exceed one year. In the case of a transfer of assistance, it is not permanent relocation under RAD when the resident must move from the original complex of buildings to the destination site in order to retain occupancy of the RAD-assisted unit.

<sup>76</sup> An example of relocation within the same building or complex of buildings would be if one floor of a multi-story building is vacant, and the PHA is moving residents from another floor to the vacant units.

<sup>77</sup> Failure to reimburse residents for moving or other out-of-pocket expenses and any other terms and conditions of the move which may be unreasonable may result in the resident becoming a displaced person under the URA if the resident subsequently moves from the property.

*B) Temporary relocation lasting one year or less*

If a resident is required to relocate temporarily, to a unit not in the same building or complex of buildings, for a period not expected to exceed one year in connection with the RAD conversion, the resident's temporarily occupied housing must be decent, safe, and sanitary and the resident must be reimbursed for all reasonable out-of-pocket expenses incurred in connection with the temporary relocation. These expenses include, but are not limited to, moving expenses, increased housing costs (e.g., rent and utilities), meals if the temporary housing lacks cooking facilities (e.g., during a short hotel stay, whether or not on an emergency basis) and other applicable expenses.<sup>78</sup>

*C) Temporary relocation initially expected to last one year or less, but which extends beyond one year*

In the event that a resident has been temporarily relocated, to a unit not in the same building or complex of buildings, for a period which was anticipated to last one year or less but the temporary relocation in fact exceeds one year, the resident qualifies as a "displaced person" under the URA and as a result immediately becomes eligible for all permanent relocation assistance and payments as a "displaced person" under the URA, including notice pursuant to Section 6.6(E). This assistance would be in addition to any assistance the person has already received for temporary relocation, and may not be reduced by the amount of any temporary relocation assistance.

In such event, the PHA or Project Owner shall offer the resident the opportunity to choose to voluntarily permanently relocate with the offered URA assistance or to choose to remain temporarily relocated based on updated information from the PHA or Project Owner about when they can return to the completed RAD unit. The PHA or Project Owner must present this opportunity to the resident when the temporary relocation extends beyond one year and each time thereafter that the temporary relocation extends beyond the previously anticipated duration. In presenting such opportunity, the PHA or Project Owner must inform the resident in writing that his or her acceptance of voluntary permanent relocation, with the associated assistance, would terminate the resident's right to return to the Covered Project. The PHA or Project Owner must provide the resident with at least 30 days to decide whether to remain in temporary relocation status or to voluntarily relocate permanently.

*D) Temporary relocation anticipated to last more than one year*

When the PHA anticipates that the temporary relocation, to a unit not in the same building or complex of buildings, will last more than one year, but the resident is retaining the resident's right to return to the Covered Project, the resident is considered temporarily relocated under RAD and is eligible to receive applicable temporary relocation assistance and payments. Under the URA, the resident becomes eligible to receive applicable relocation assistance and payments as a "displaced person" when the temporary relocation period exceeds one year and each time thereafter that the temporary relocation extends beyond the previously anticipated duration, at

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<sup>78</sup> HUD Handbook 1378, Chapter 2, Section 2-7 governs activities subject to URA requirements and informs, but is not binding upon, any RAD activities not governed by the URA. PHAs may also refer to HUD Form 40030.

which time the PHA or Project Owner shall offer the resident the opportunity to choose to voluntarily permanently relocate or to remain temporarily relocated, as described in Section 6.4(C), above.

In order to allow residents to make the election earlier than required under the URA (thereby avoiding a year in temporary relocation housing prior to electing voluntary permanent relocation), if the PHA or Project Owner anticipates that temporary relocation will last more than one year, the PHA or Project Owner shall provide the resident with an initial option to (a) be temporarily relocated, retain the right to return to the Covered Project when a unit becomes available and receive assistance, including temporary housing and reimbursement for all reasonable out-of-pocket expenses associated with the temporary relocation, or (b) accept RAD voluntary permanent relocation assistance and payments equivalent to what a “displaced person” would receive under the URA. The PHA or Project Owner must inform the resident in writing that his or her acceptance of voluntary permanent relocation, with the associated assistance, would terminate the resident’s right to return to the Covered Project. The PHA or Project Owner must provide the resident with at least 30 days to decide whether to remain in temporary relocation status or to voluntarily relocate permanently.

*E) Permanent moves in connection with a transfer of assistance*

In cases solely involving a transfer of assistance to a new site, resident relocation from the Converting Project to the Covered Project is not, by itself, generally considered involuntary permanent relocation under RAD. However, the URA and/or Section 104(d) is likely to apply in most cases. In cases of a transfer of assistance to a new site where it has also been determined that the URA and/or Section 104(d) apply to the transfer of assistance, residents may be eligible for all permanent relocation assistance and payments for eligible displaced persons under the URA and/or Section 104(d). If the URA applies to a move of this type, the PHA or Project Owner must make available at least one, and when possible, three or more comparable replacement dwellings pursuant to 49 C.F.R. § 24.204(a). However, provided the transfer of assistance unit meets the URA definition of a comparable replacement dwelling pursuant to 49 C.F.R. § 24.2(a)(6), that unit could in fact represent the most comparable replacement dwelling as determined by the agency for purposes of calculating a replacement housing payment, if any, under 49 C.F.R. § 24.402.

Whether or not the URA and/or Section 104(d) apply, under RAD the residents are entitled to relocation assistance and payments, including counseling in preparation for the relocation, written notices of the relocation (including a 90-day RAD Notice of Relocation), and reimbursement for all reasonable out-of-pocket expenses, including moving expenses, incurred in connection with the move. It should be noted that the RAD relocation assistance and payments provided to transferring residents in this paragraph differ from those required under the URA and/or Section 104(d) as described above. Where both frameworks apply, the residents must receive the more extensive protections offered under either framework.

If HUD determines that the distance from the Converting Project to the site of the Covered Project is significant and the resident could not reasonably be required to move to the new site, then HUD will require the PHA to adjust project plans to accommodate the resident in an assisted unit (e.g., a public housing unit, some other project-based Section 8 unit or a market unit

with a housing choice voucher) within a reasonable distance of the site of the Converting Project. HUD will evaluate whether this requirement applies on a case by case basis, considering whether the distance would impose a significant burden on residents' access to existing employment, transportation options, schooling or other critical services. Accommodating the resident may also be satisfied by the resident's consent to an alternative housing option pursuant to Section 6.10. The requirement set forth in this paragraph is in addition to all protections, including, for example, the offer of comparable replacement dwellings, which are required in all instances where a transfer of assistance is subject to the URA and/or Section 104(d).

*F) Voluntary permanent relocation*

A resident may elect to relinquish their right of return and consent to voluntary permanent relocation pursuant to an alternative housing option offered and accepted according to the procedures described in Section 6.10, which Section specifies protections to ensure the resident's decision is fully informed. By selecting voluntary permanent relocation, the resident is electing to receive RAD permanent relocation assistance and payments which are equivalent to the relocation payments and assistance required to be provided to a "displaced person" pursuant to the regulations implementing the URA.

**6.5. Initiation of Negotiations (ION) Date**

Eligibility for URA relocation assistance is effective on the date of initiation of negotiations (ION) (49 C.F.R. § 24.2(a)(15)). For Converting Projects, the ION date is the effective date of the RCC. The ION date is also typically the date when PHAs can begin to issue RAD Notices of Relocation (except in the case of acquisitions when the PHA can issue a Notice of Intent to Acquire and RAD Notices of Relocation prior to the ION date). Any person who is in lawful occupancy on the ION date is presumed to be entitled to relocation payments and other assistance.

PHAs and Project Owners should note that prior to the ION date, a resident may be eligible as a displaced person for permanent relocation assistance and payments under the URA if HUD determines, after analyzing the facts, that the resident's move was a direct result of the project. However, resident moves taken contrary to specific instructions from the PHA or Project Owner (for example, contrary to instructions not to move if contained in a General Information Notice) are generally not eligible as a displaced person under the URA.

**6.6. Resident Relocation Notification (Notices)**

PHAs and Project Owners are encouraged to communicate regularly with the residents regarding project plans and, if applicable, the resulting plans for relocation. When residents may be relocated for any time period (including, without limitation, a move in connection with a transfer of assistance), written notice must be provided to the resident heads of households, including the notices listed below as applicable.<sup>79</sup> PHAs and Project Owners are also encouraged to provide

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<sup>79</sup> The notices required under Sections 6.6(B) through 6.6(E) must be delivered in accordance with URA resident notification requirements, including the requirement that the notice be personally served or delivered by certified or registered first class mail return receipt requested. All notices must be delivered to each household (i.e., posting in

additional relocation notices and updates for the residents' benefit as appropriate for the specific situation.

To ensure that all residents understand their rights and responsibilities and the assistance available to them, consistent with URA requirements at 49 C.F.R. § 24.5 and civil rights requirements, PHAs and Project Owners must ensure effective communication with individuals with disabilities, including through the provision of appropriate auxiliary aids and services, such as interpreters and alternative format materials. Similarly, PHAs and Project Owners are required to take reasonable steps to ensure meaningful access for LEP persons in written and oral materials. Each notice shall indicate the name and telephone number of a person to contact with questions or for other needed help and shall include the number for the telecommunication device for the deaf (TDD) or other appropriate communication device, if applicable, pursuant to 24 C.F.R. §8.6(a)(2).

The purpose of these notifications is to ensure that residents are informed of their potential rights and, if they are to be relocated, of the relocation assistance available to them. Two initial notices launch this effort and provide critical information regarding residents' rights. The first, the RAD Information Notice, is to be provided at the very beginning of the RAD conversion planning process in order to ensure residents understand their rights, to provide basic program information and to facilitate residents' engagement with the PHA regarding project plans. The GIN, meanwhile, provides information specifically related to protections the URA provides to impacted residents. Subsequent notices provide more detailed information regarding relocation activities specific to the household, including tailored information regarding eligibility and timelines for relocation.

PHAs should note that a resident move undertaken as a direct result of the project may be eligible to receive relocation assistance and payments under the URA even though the PHA has not yet issued notices to them. Sample notices which may be used as-is or modified to fit the peculiarities of each situation are provided on the RAD website at [www.hud.gov/rad](http://www.hud.gov/rad).

A) *RAD Information Notice*

The RAD Information Notice is to be provided to residents at the very beginning of the RAD conversion planning process in order to convey general written information on potential project plans and residents' basic rights under RAD, and to facilitate residents' engagement with the PHA regarding the proposed RAD conversion. The PHA shall provide a RAD Information Notice to all residents of a Converting Project prior to the first of the two meetings with residents required by the RAD Notice, Section 1.8.2, and before submitting a RAD Application. This RAD Information Notice shall be provided without regard to whether the PHA anticipates any relocation of residents in connection with the RAD conversion. The RAD Information Notice must do the following:

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common areas is insufficient) and methods of delivery (e.g., certified mail, U.S. mail, or hand delivery) must be documented in the PHA's or Project Owner's files.

- Provide a general description of the conversion transaction (e.g., the Converting Project, whether the PHA anticipates any new construction or transfer of assistance, whether the PHA anticipates partnering with a developer or other entity to implement the transaction);
- Inform the resident that the early conceptual plans are likely to change as the PHA gathers more information, including, among other items, resident opinions, analysis of the capital needs of the property and financing options;
- Inform the resident that the household has a right to remain in the unit or, if any relocation is required, a right to return to an assisted unit in the Covered Project (which may be at the new site in the case of a transfer of assistance);
- Inform the resident that they will not be subject to any rescreening as a result of the conversion;
- Inform the resident that the household cannot be required to move permanently without the resident's consent, except in the case of a transfer of assistance when the resident may be required to move a reasonable distance, as determined by HUD, in order to follow the assisted unit;
- Inform the resident that if any relocation is involved in the transaction, the resident is entitled to relocation protections under the requirements of the RAD program and, in some circumstances, the requirements of the URA, which protections may include advance written notice of any move, advisory services, payment(s) and other assistance as applicable to the situation;
- Inform the resident that any resident-initiated move from the Converting Project could put any future relocation payment(s) and assistance at risk and instruct the resident not to move from the Converting Project; and
- Inform the resident that the RAD transaction will be completed consistent with fair housing and civil rights requirements, and provide contact information to process reasonable accommodation requests for residents with disabilities during the relocation.

*B) General Information Notice (49 C.F.R. § 24.203(a))*

The purpose of the General Information Notice (GIN) is to provide information about URA protections to individuals who may be displaced as a result of federally-assisted projects involving acquisition, rehabilitation or demolition. A GIN provides a general description of the project, the activities planned, and the relocation assistance that may become available.

A GIN shall be provided to any person scheduled to be displaced *as soon as feasible* based on the facts of the situation. In certain instances, such as when the PHA knows that a project will involve acquisition, rehabilitation or demolition, "as soon as feasible" may be simultaneous with issuance of the RAD Information Notice. For any RAD conversion involving acquisition, rehabilitation or demolition, "as soon as feasible" shall be no later than 30 days following the issuance of the CHAP. In instances where acquisition, rehabilitation or demolition is not anticipated at the time of the CHAP but project plans change to include such activities, pursuant to this Notice the PHA shall provide the GIN as soon as feasible following the change in project plans.

For RAD, the GIN must do at least the following:

- Inform the resident that he or she may be displaced for the project and generally describe the relocation payment(s) for which the resident may be eligible, the basic conditions of eligibility, and the procedures for obtaining the payment(s);
- Inform the resident that, if he or she qualifies for relocation assistance as a displaced person under the URA, he or she will be given reasonable relocation advisory services, including referrals to replacement properties, help in filing payment claims, and other necessary assistance to help the displaced resident successfully relocate;
- Inform the resident that, if he or she qualifies for relocation assistance as a displaced person under the URA, he or she will not be required to move without 90 days advance written notice;
- Inform the resident that, if he or she qualifies for relocation assistance as a displaced person under the URA, he or she cannot be required to move permanently unless at least one comparable replacement dwelling has been made available;
- Inform the resident that any person who is an alien not lawfully present in the United States is ineligible for relocation advisory services and relocation payments, unless such ineligibility would result in exceptional and extremely unusual hardship to a qualifying spouse, parent, or child (see 49 C.F.R. § 24.208(h) for additional information);
- Describe the resident's right to appeal the PHA's determination as to a resident's eligibility for URA assistance; and
- Inform the resident that the RAD transaction will be completed consistent with fair housing and civil rights requirements, and provide contact information to process reasonable accommodation requests for residents with disabilities during the relocation.

Because of the potential confusion caused by evolving policy directions in the RAD program regarding delivery of the GIN, for actions taken prior to the issuance of this Notice, HUD will consider the facts and circumstances of each conversion, with emphasis on the underlying URA requirements, in monitoring and enforcing a PHA's compliance with this requirement.

*C) Notice of Intent to Acquire (49 C.F.R. § 24.203(d))*

For conversions involving acquisition, the Project Owner (the "acquiring agency") may provide to residents of the Converting Project a Notice of Intent to Acquire (NOIA).<sup>80</sup> The NOIA may be provided no earlier than 90 days prior to the PHA's reasonable estimate of the date of submission of a complete Financing Plan. While eligibility for URA relocation assistance is generally effective on the effective date of the RCC (the ION date), a prior issuance of a NOIA establishes a resident's eligibility for relocation assistance and payments on the date of issuance of the NOIA and prior to the ION date.

*D) RAD Notice of Relocation*

If a resident will be relocated to facilitate the RAD conversion, the PHA shall provide written notice of such relocation by means of a RAD Notice of Relocation. The RAD Notice of

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<sup>80</sup> Acquisition includes a new ownership entity's purchase of the Covered Project from the PHA, such as a purchase by a single purpose entity, an affiliate or a low-income housing tax credit ownership entity.

Relocation may not be issued until: 1) the effective date of the RCC (the ION date) if the conversion does not involve acquisition; or 2) the earlier of the issuance of the Notice of Intent to Acquire (see Section 6.6(C)) or the effective date of the RCC (the ION date) if the conversion involves acquisition. Prior to issuance of the RAD Notice of Relocation, PHAs and Project Owners should meet with each resident household to provide preliminary relocation advisory services and to determine their needs and preferences.<sup>81</sup>

A RAD Notice of Relocation is not required for residents who will not be relocated. As a best practice, PHAs or Project Owners should notify residents that they are not being relocated once that determination has been made if they were previously informed by the GIN and/or by other methods that relocation was a possibility.<sup>82</sup>

A RAD Notice of Relocation shall provide either: 1) 30-days' notice to residents who will be relocated for twelve months or less; or 2) 90-days' notice to residents who will be relocated for more than twelve months.<sup>83</sup> The RAD Notice of Relocation must conform to the following requirements:

- (1) The notice must state the anticipated duration of the resident's relocation.
- (2) The notice must specify which entity (the PHA or the Project Owner) is primarily responsible for management of the resident's relocation and for compliance with the relocation obligations during different periods of time (i.e., before vs. after Closing).
- (3) For residents who will be relocated for twelve months or less:
  - The PHA or Project Owner must provide this notice a minimum of 30 days prior to relocation.<sup>84</sup> PHAs or Project Owners may deem it appropriate to provide longer notice periods for persons who will be temporarily relocated

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<sup>81</sup> PHAs and Project Owners should note the URA relocation advisory services requirement for personal interviews. *See* Section 6.7 of this Notice. In sequencing the RAD Notice of Relocation, PHAs and Project Owners wishing to offer alternative housing options pursuant to Section 6.10 should also note the additional complexity in the timeline of notices. Pursuant to Section 6.10(D), the resident can consent to an alternative housing option only after issuance of the NOIA or the effective date of the RCC and 30 days after presentation of the alternative housing options. In some cases, for example, when the resident would not otherwise be relocated for over twelve months, the RAD Notice of Relocation must include both the information described in Section 6.6(D)(3) and the information in Section 6.6(D)(4). The PHA or Project Owner should consider discussing the alternative housing options prior to issuing the RAD Notice of Relocation so that the RAD Notice of Relocation can be tailored to the resident's situation.

<sup>82</sup> The RAD program does not require a "notice of non-displacement," which HUD relocation policy generally uses for this purpose.

<sup>83</sup> The 90-day notice is required for residents relocated for more than twelve months, whether or not they intend to return to the Covered Project and whether or not they are eligible for assistance and payments as a displaced person under URA. Recipients of the 90-day notice would include those residents who have voluntarily accepted a permanent relocation option as well as those residents who are relocated within the same building or complex of buildings.

<sup>84</sup> Note that residents may elect to move to the relocation housing before the 30 days have elapsed. However, a PHA may not require a resident to move prior to this time.



for an extended period of time (over 6 months), or if necessary due to personal needs or circumstances.

- The notice must explain that the PHA or Project Owner will reimburse the resident for all reasonable out-of-pocket expenses incurred in connection with any temporary move (including, but not limited to, increased housing costs and moving costs).
  - The notice must explain the reasonable terms and conditions under which the resident may exercise the right to return to lease and occupy a unit in the Covered Project.
- (4) For residents who will be relocated for more than twelve months, including for residents who may wish to voluntarily accept a permanent relocation option:
- The PHA or Project Owner must provide this notice a minimum of 90 days prior to relocation of residents.<sup>85</sup>
  - The notice must offer the choice to be temporarily relocated, thereby preserving the resident's right to return, or the choice to be voluntarily permanently relocated pursuant to the procedures set forth in Section 6.10, together with guidance that the resident has at least thirty (30) days to consider the choice.
  - For residents who voluntarily elect to be permanently relocated, the 90-day notice period may only begin once the PHA or Project Owner has made available at least one comparable replacement dwelling consistent with 49 C.F.R. § 24.204(a).<sup>86</sup>
  - The notice must describe the available relocation assistance, the estimated amount of assistance based on the individual circumstances and needs, and the procedures for obtaining the assistance. The notice must be specific to the resident and his or her situation so that the resident will have a clear understanding of the type and amount of payments and/or other assistance the resident household may be entitled to claim.
  - The notice must comply with all requirements for a URA Notice of Relocation Eligibility as described in 49 C.F.R. § 24.203(b).
- (5) The notice must inform the resident that the relocation will be completed consistent with fair housing and civil rights requirements, and it must provide contact information to process reasonable accommodation requests for residents with disabilities during the relocation.

For short-term relocations, the RAD Notice of Relocation may also contain the information required in the Notice of Return to the Covered Project (see Section 6.6(F)).

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<sup>85</sup> Note that residents may elect to move to the relocation housing before the 90 days have elapsed. However, a PHA may not compel a resident to move prior to this time.

<sup>86</sup> PHAs should note that URA regulations also require, where possible, that three or more comparable replacement dwellings be made available before a resident is required to move from his or her unit.

*E) URA Notice of Relocation Eligibility – for residents whose temporary relocation exceeds one year (49 C.F.R. § 24.203(b))*

After a resident has been temporarily relocated for one year, notwithstanding a prior issuance of a RAD Notice of Relocation, the PHA or Project Owner must provide an additional notice: the notice of relocation eligibility in accordance with URA requirements (“URA Notice of Relocation Eligibility”). The URA Notice of Relocation Eligibility is not required if the resident has already accepted permanent relocation assistance.<sup>87</sup>

The URA Notice of Relocation Eligibility must conform to URA requirements as set forth in 49 C.F.R. part 24 and shall:

- Provide current information as to when it is anticipated that the resident will be able to return to the Covered Project.
- Give the resident the choice to remain temporarily relocated based upon the updated information or to accept permanent URA relocation assistance at that time instead of exercising the right to return at a later time.

If the resident chooses to accept permanent URA relocation assistance and this choice requires the resident to move out of their temporary relocation housing, the URA requires that the PHA or Project Owner make available at least one, and when possible, three or more comparable replacement dwellings pursuant to 49 C.F.R. § 24.204(a), which comparability analysis is in reference to the resident’s original unit. The URA further requires that the resident receive 90 days’ advance written notice of the earliest date they will be required to move pursuant to 49 C.F.R. § 24.203(c).

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<sup>87</sup> To illustrate, consider the following examples.

- Example 1: The household is expected to be relocated for 11 months. The resident would receive a RAD Notice of Relocation offering only temporary relocation. Construction delays result in the extension of the relocation such that, in fact, it exceeds 12 months. When the temporary relocation exceeds 12 months, the resident must receive a URA Notice of Relocation Eligibility offering a choice between continuation in temporary relocation status and permanent relocation.
- Example 2: The household is expected to be relocated for 14 months. The resident would receive a RAD Notice of Relocation offering a choice between temporary relocation status and permanent relocation. If the household elects temporary relocation, the URA Notice of Relocation Eligibility is required as an additional notice following twelve months in temporary relocation status.
- Example 3: The household is expected to be relocated for 14 months. The resident would receive a RAD Notice of Relocation offering a choice between temporary relocation status and permanent relocation. If the household elects permanent relocation, the URA Notice of Relocation Eligibility is not required.
- Example 4: The household can be accommodated with temporary relocation of 3 months, but has been offered and seeks to accept permanent relocation pursuant to an alternative housing option. This resident would receive a RAD Notice of Relocation under Section 6.6(D)(4) offering a choice between temporary relocation status (the default option) and permanent relocation (the alternative housing option), instead of the RAD Notice of Relocation under Section 6.6(D)(3) which would be expected absent a permanent relocation option. The URA Notice of Relocation Eligibility is not required in either case because a temporary relocation exceeding 12 months was never anticipated nor experienced.

#### *F) Notification of Return to the Covered Project*

With respect to all temporary relocations, the PHA or Project Owner must notify the resident in writing reasonably in advance of the resident's expected return to the Covered Project, informing the resident of:

- The entity (the PHA or the Project Owner) with primary responsibility for managing the resident's relocation;
- The address of the resident's assigned unit in the Covered Project and, if different from the resident's original unit, information regarding the size and amenities of the unit;
- The date of the resident's return to the Covered Project or, if the precise date is not available, a reasonable estimate of the date which shall be supplemented with reasonable additional notice providing the precise date;
- That the PHA or Project Owner will reimburse the resident for all reasonable out-of-pocket expenses incurred in connection with the return relocation; and
- The resident's options and the implications of those options if the resident determines that he or she does not want to return to the Covered Project and wants to decline the right of return.<sup>88</sup>

Reasonable advance notice shall be 15% of the duration of the resident's temporary relocation or 90 days, whichever is less. For short-term relocations, the PHA or Project Owner may include this information within the RAD Notice of Relocation.

#### **6.7. Relocation Advisory Services**

Throughout the relocation planning process, the PHA and Project Owner should be in communication with the residents regarding the evolving plans for relocation. Notwithstanding this best practice, certain relocation advisory services, described below, are required by the URA.

The URA regulations require the PHA or Project Owner to carry out a relocation assistance advisory program that includes specific services determined to be appropriate to residential or nonresidential displacements. The specific advisory services to be provided, as determined to be appropriate, are outlined at 49 C.F.R. § 24.205(c). For residential displacement under the URA, a personal interview is required for each displaced resident household to determine the relocation needs and preferences of each resident to be displaced. The resident household shall be provided an explanation of the relocation payments and other assistance for which the resident may be eligible, the related eligibility requirements, and the procedures for obtaining such assistance. Advisory counseling must also inform residents of their fair housing rights and be carried out in

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<sup>88</sup> If the resident declines to return to the Covered Project upon completion of the period of temporary relocation, the resident shall be considered to have voluntarily moved out of the property, without the benefit of further relocation assistance. For example, a PHA or Project Owner may have rented a market-rate apartment as a temporary relocation resource for a six-month period. In such a situation, the resident may decline to return to the Covered Project and choose to remain in the market-rate apartment at the expiration of the six-month period, but shall not be eligible for any further relocation assistance and payments (including rent differential payments) under this Notice, the URA or Section 104(d), if applicable, in connection with the resident's decision to remain in the temporary housing and not return to the Covered Project.

a manner that satisfies the requirements of Title VI of the Civil Rights Act of 1964, the Fair Housing Act, and Executive Order 11063 (49 C.F.R. § 24.205(c)(1)).<sup>89</sup> Such advisory services under the URA may include counseling to ensure that residents affected by the project understand their rights and responsibilities and the assistance available to them (49 C.F.R. § 24.205(c)). In addition, the PHA or Project Owner should inform residents that if they believe they have experienced unlawful discrimination, they may contact HUD at 1-800-669-9777 (Voice) or 1-800-927-9275 (TDD) or at <http://www.hud.gov>.

## **6.8. Initiation of Relocation**

PHAs and Project Owners **may not initiate any involuntary physical relocation until both the RCC is in effect and the applicable RAD Notice of Relocation period has expired** (i.e., after either 30 or 90 days' notice as applicable depending on nature of the relocation, as described above). This prohibition applies to all types of RAD transactions, regardless of whether the RAD Notice of Relocation is provided after issuance of a NOIA (for conversions involving acquisition) or following the effective date of the RCC (for all other conversions). PHAs are advised to account for the required 30-day or 90-day written notice periods in their planning process, to ensure that notices which satisfy all applicable requirements are issued prior to taking any action to initiate relocation.

**Neither involuntary nor voluntary relocation for the project shall take place prior to the effective date of the RCC**, unless moves are authorized under Section 7, below (“Applicability of HCV and Public Housing Requirements”) or unless HUD provides explicit approval which will only be provided in extraordinary circumstances. The PHA must wait until the RAD Notice of Relocation period has expired before it may initiate any involuntary relocation. However, a resident may request to move voluntarily, and the PHA may honor a resident’s request to move, before the applicable 30-day or 90-day period has elapsed, provided that the PHA may not take any action to encourage or coerce a resident to make such a request. If a resident has elected an alternative housing option, PHAs are advised to ensure that any consent to voluntary permanent relocation does not expire prior to the date of the relocation, as described in Section 6.10.

HUD may use administrative data to identify and investigate projects where relocation may be occurring prior to RCC.

## **6.9. Records and Documentation; Resident Log**

HUD may request from the PHA or Project Owner written records and documentation in order to evidence the PHA’s and/or Project Owner’s compliance, as applicable, with this Notice and the URA.<sup>90</sup> HUD may request to review some or all of such records in the event of compliance

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<sup>89</sup> For example, under fair housing and civil rights laws, the PHA and Project Owner may be required to inform residents about and provide reasonable accommodations for individuals with disabilities, such as search assistance; take appropriate steps to ensure effective communication with individuals with disabilities, such as through the provision of auxiliary aids and services, such as interpreters and alternate format documents; provide advisory counseling services in accessible locations and in an accessible manner for individuals with disabilities; and take reasonable steps to ensure meaningful access for LEP persons. See Section 4 of this Notice for more information on these requirements.

<sup>90</sup> Chapter 6 of HUD Handbook 1378 includes guidance on URA recordkeeping requirements.

concerns, in the event a project is identified for additional review based on administrative data, in the event of audits for purposes of monitoring the RAD program as a whole, upon selection of a random sample of projects and/or at other times at HUD's sole discretion. The records shall include resident files for all households relocated in connection with RAD and a resident log as described in this Section.

As part of such written record, the PHA or Project Owner must maintain data sufficient to deliver to HUD a resident log of every household that resides at the Converting Project at the time of the first required resident meeting on the proposed conversion pursuant to Section 1.8 of the RAD Notice (the "First Resident Meeting") and of every household that moves into the Converting Project after the First Resident Meeting and before the conversion of assistance under RAD. If any relocation is required, the log shall track resident status through completion of rehabilitation and construction, including re-occupancy after relocation. The resident log must include, but need not be limited to, the following information:

- Name of head of household
- PHA's resident identification number and/or the last four digits of the head-of-household's Social Security Number
- The head of household's race and ethnicity as reported on the HUD Form 50058 or the HUD Form 50058 MTW (the "Form 50058"). For purposes of the resident log, all references to the Form 50058 shall be to the form most recently prepared at the time of the First Resident Meeting or, for residents who moved in after the First Resident Meeting, the form most prepared at the time of the resident's initial occupancy.
- A Yes/No indication if there is any household member reported as having a disability on the Form 50058.
- A Yes/No indication if there is any household member reported as under the age of 18 on the effective date of action of the Form 50058;
- The household's relevant unit address, unit size and household size at the following times:
  - The time of the First Resident Meeting or the time of a resident's initial occupancy if after the First Resident Meeting
  - The time of the issuance of the CHAP or the time of a resident's initial occupancy if after the issuance of the CHAP
  - Proximate and prior to the PHA or Project Owner having authority to initiate involuntary relocation activities (i.e., at the time of issuance of the RCC unless otherwise approved by HUD upon extraordinary circumstances)
  - Completion of the relocation process following construction or rehabilitation and with return of all households exercising the right of return
- The household's residence status at the time of issuance of the RCC (e.g., in residence at the Converting Project, transferred to other public housing, moved out, evicted or other with explanation)
- The household's residence status upon completion of re-occupancy (e.g., in residence at the Covered Project/never relocated, in residence at the Covered Project/temporarily relocated and returned, transferred to other public housing, moved out, evicted, permanently relocated or other with explanation)
- The following dates for each resident household, as applicable:
  - Date of the RAD Information Notice

- Date of the GIN
- Date of the CHAP
- Date of NOIA
- Date of RAD Notice of Relocation
- Date of URA Notice of Relocation Eligibility
- Date of most recent consent to voluntary permanent relocation<sup>91</sup>
- Date of relocation away from the Converting Project or Covered Project
- Dates of any intermediate relocation moves
- Date of return to the Covered Project or to the household's post-closing permanent address.<sup>92</sup>
- The following information for each resident household, as applicable:
  - The type of move (e.g., the types identified in Section 6.4, above)
  - The form of any temporary relocation housing (e.g., hotel, assisted housing, market-rate housing)
  - The address and unit size of any temporary relocation housing
  - Whether alternative housing options were offered consistent with Section 6.10, below
  - Any material terms of any selected alternative housing options
  - The type and amount of any payments for
    - Moving expenses to residents and to third parties
    - Residents' out-of-pocket expenses
    - Rent differential payments or other payments for temporary or permanent rental assistance, together with the rent and utilities (if applicable) that were the basis for the calculations
    - Any other relocation-related compensation or assistance

## **6.10. Alternative Housing Options**

Under the RAD Notice, “involuntary permanent relocation” is prohibited and each resident must be able to exercise his or her right of return to the Covered Project. A PHA or Project Owner is permitted to offer a resident alternative housing options when a resident is considering his or her future housing plans, provided that at all times prior to the resident's decision, the PHA and Project Owner preserve the resident's ability to exercise his or her right of return to the Covered Project.

### *A) Requirements for Any Offer of Alternative Housing Options*

All residents who are similarly situated must be given the same offer of alternative housing options. If the PHA or Project Owner seeks to limit the number of households that accept the

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<sup>91</sup> The most recent consent must be within 180 days of the actual relocation date, as discussed in Section 6.10(D).

<sup>92</sup> In the case of voluntary permanent relocation, the date of “return” may be the same as the date of relocation away from the Converting Project.

offer of alternative housing options, the PHA or Project Owner shall determine a fair and reasonable method for selection among similarly situated residents.<sup>93</sup>

In connection with any offer and acceptance of alternative housing options, the PHA or Project Owner must ensure that the residents' decisions are: 1) fully informed; 2) voluntary; and 3) carefully documented. Any alternative housing option must include, at a minimum, all relocation assistance and payments required under this Notice, the URA and Section 104(d), as applicable, and may include other elements. Funds administered by HUD may not be used to pay any monetary elements not required under this Notice, the URA or Section 104(d).

Acceptance of an alternative housing option is considered voluntary permanent relocation and the accompanying RAD relocation assistance and payments for which the resident may be eligible must be administered in accordance with all requirements for an eligible displaced person under the URA and its implementing regulations and, where applicable, Section 104(d) and its implementing regulations.

PHAs may not propose or request that a displaced person waive rights or entitlements to relocation assistance under the URA or Section 104(d). The PHA must provide a written notice of URA or Section 104(d) relocation assistance and payments for which the resident may be eligible so that the resident may make an informed housing choice. The resident must be provided at least thirty (30) days to consider the offer of voluntary permanent relocation and the resident's acceptance of the PHA's offer of voluntary permanent relocation must be in writing signed by the head of the household for that unit.

#### *B) Assisted Housing Options as Alternatives*

Alternative housing option packages may include a variety of housing options and PHAs and Project Owners shall take particular care to ensure program compliance with the regulations applicable to the alternative housing options. Examples of alternative housing options may include:

- Transfers to public housing
- Admission to other affordable housing properties subject to the program rules applicable to such properties
- Housing Choice Vouchers (HCVs) subject to standard HCV program administration requirements. PHAs must operate their HCV programs, including any HCVs offered as an alternative housing option, in accordance with their approved policies as documented in their Section 8 Administrative Plan and HUD regulations at 24 C.F.R. part 982. Any offer of an HCV as an alternative housing option must be made consistent with the

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<sup>93</sup> For example, if the RAD conversion is financed by LIHTC and a few residents would not meet LIHTC program requirements, the PHA and Project Owner may want to offer these household alternative voluntary permanent relocation options. However, they must offer the same alternative housing options to all such households. As a second example, if the PHA and Project Owner seek to create two on-site vacancies of a particular unit size in order to facilitate temporary relocation on-site, the PHA may offer an alternative housing option of a housing choice voucher to all residents of applicably sized units (assuming that to do so is consistent with the PHA's voucher administration policies), and conduct a lottery to select the two households which will receive the vouchers.

PHA's admission preferences and other applicable policies and procedures set forth in the Section 8 Administrative Plan.

- Homeownership programs subject to the applicable program rules
- Other options as may be identified by the PHA and/or Project Owner

*C) Monetary Elements Associated With Alternative Housing Options*

A PHA or a Project Owner may include a monetary element in an alternative housing option package, provided that:

- Any monetary element associated with the alternative housing option shall be completely distinct from and in addition to any required RAD, URA or Section 104(d) relocation payments and benefits for which the resident is eligible ("Required Relocation Payments").
- No funds administered by HUD may be used to pay for any monetary element associated with the alternative housing option other than Required Relocation Payments.
- Any monetary element associated with the alternative housing option other than Required Relocation Payments must be the same amount offered to all similarly situated households.<sup>94</sup>
- Any alternative housing option package must comply fully with the disclosure and agreement provisions of this Notice.

*D) Disclosure and Agreement to Alternative Housing Options*

In providing an offer of alternative housing options to a resident, the PHA or Project Owner must inform the resident in writing of: a) his or her right to return;<sup>95</sup> b) his or her right to comment on and/or object to plans which would preclude the resident from returning to the Covered Project; c) the requirement that if the resident objects to such plans, the PHA or Project Owner must alter the project plans to accommodate the resident in the Covered Project; and d) a description of both the housing option(s) and benefits associated with the right of return and the alternative housing options and benefits being offered. In the description of the available housing options and benefits, the PHA or Project Owner shall include a description of any temporary housing options associated exercising the right of return and a description of any permanent alternative housing options as well as a reasonable estimate of the financial implications of all temporary and permanent options on the resident long-term.

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<sup>94</sup> Monetary payments other than Required Relocation Payments are considered "temporary, nonrecurring or sporadic income" pursuant to 24 C.F.R. § 5.609(c)(9) and consequently are excluded from income for purposes of eligibility and assistance calculations under certain HUD programs. Residents should be reminded that monetary payments other than URA relocation payments may be taxable under the Internal Revenue Code, that monetary payments, including required relocation payments, may affect residents' eligibility for other assistance programs and that the resident should seek knowledgeable guidance on these matters, including guidance on the taxation of monetary payments under state law.

<sup>95</sup> In the case of a transfer of assistance to a new site a significant distance from the Converting Project as described in Section 6.4(E), the resident shall be informed of the resident's right to return to the Covered Project at the new site and of the resident's right to an assisted unit within a reasonable distance of the site of the Converting Project, as described in Section 6.4(E).



The written notification may request written consent from the resident to exercise the alternative housing option and receive permanent relocation assistance and payments pursuant to RAD, the URA and/or Section 104(d), as applicable, in addition to any benefits associated with the alternative housing option. As part of any voluntary consent, the resident head of household must acknowledge in writing that acceptance of such assistance terminates the resident's right to return to the Covered Project. In order to ensure that the resident has sufficient time to seek advice and consider the alternative housing options, any consent to an alternative housing option executed within 30 days of the written presentation of the options shall be invalid.

Any offer of alternative housing options must be made in writing and the acceptance of the alternative must be voluntary and in writing. The offer of an alternative housing option must contain the following elements:

- The resident is informed of his or her right to return to the Covered Project and that neither the PHA nor the Project Owner can compel the resident to relinquish his or her right to return. The offer of alternative housing options must clearly state that acceptance of any alternative would relinquish the resident's right to return to the Covered Project.
- The offer of an alternative housing option must be accompanied by identification of comparable housing units which the resident may use to understand the nature of housing options available to them and the rent and estimated utility costs associated with such housing options. This information must also be accompanied by a reasonable estimate of any replacement housing payment or "gap payment" for which the resident may be eligible.
- The offer of an alternative housing option must be accompanied by information regarding moving payments and assistance that would be available if the resident exercises the right of return and if the resident accepts the alternative housing option.
- Residents must be offered advisory assistance to consider their options.
- To be fully informed, the offer must outline the implications and benefits of each alternative housing option being made available (i.e., of accepting each alternative housing option as compared to exercising his or her right to return) as well as a reasonable estimate of when the resident's relocation might occur. Implications and benefits include payment amounts, differences in rent calculations, differences in program rules, housing location, and potential long-term implications such as household housing expenses multiple years in the future.
- To be fully voluntary, the resident must have at least thirty (30) days following delivery of the written offer to consider their options. LEP persons must be provided a written translation of the offer and oral interpretation of any meetings or counseling in the appropriate language. In addition, PHAs must comply with their obligation to ensure effective communication with persons with disabilities.
- The resident cannot be asked to make a decision which will be implemented at a distant future time. Consequently, the resident may not provide written consent to an alternative housing option (and consequently, consent to voluntary permanent relocation) until after

the earlier of issuance of the NOIA or the effective date of the RCC.<sup>96</sup> If a resident signs a written consent to accept an alternative housing option, that written consent is valid for 180 days. If relocation (after the applicable notice periods) has not occurred within this 180 day period, then the PHA or Project Owner must secure a new consent to accept an alternative housing option. New relocation notices are generally not required.

- The acceptance must be in writing signed by the resident head of household, including a certification of facts to document that the household is relinquishing its right to return and that the decision and the acceptance of the alternative housing option was fully informed and voluntary.
- Residents accepting alternative housing options to relinquish their right to return will be considered to have voluntarily and permanently relocated. Such residents are to be provided applicable RAD, URA and/or Section 104(d) relocation assistance and payments.

The information included with the offer of alternative housing options is to aid the resident in making decisions regarding the desirability of the alternative housing options and neither satisfies nor replaces the relocation notices and information required to be provided to residents pursuant to this Notice, the URA or Section 104(d).

While HUD does not require PHAs to submit documentation of alternative housing options offered to residents or the residents' elections, PHAs must keep auditable written records of such consultation and decisions. HUD may request this documentation at any time, including as part of a review of the Checklist or if relocation concerns arise.

### **6.11. Lump Sum Payments**

PHAs and Project Owners should note that certain relocation payments to displaced residential tenants may be subject to 42 USC § 3537c ("Prohibition of Lump-Sum Payments") and must be disbursed in installments. The PHA or Project Owner may determine the frequency of the disbursements which must be made in installments. Handbook 1378, Chapter 3-7(D) provides guidance on the manner and frequency of disbursing payments subject to this requirement.

Any monetary element beyond Required Relocation Payments which may be associated with an alternative housing option described in Section 6.10, above, is not relocation assistance and is therefore not subject to the requirements regarding lump sum payments.

## **SECTION 7. APPLICABILITY OF HCV AND PUBLIC HOUSING REQUIREMENTS**

### **7.1. HCV Waiting List Administration Unrelated to the RAD Transaction**

From time to time, a resident of a Converting Project may place themselves on the PHA's waiting list for HCVs independent of any planned RAD transaction. With respect to residents of a Converting Project prior to the effective date of the HAP contract, PHAs should continue to

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<sup>96</sup> The PHA and Project Owner should note that securing resident consent to an alternative housing option may delay the issuance of the RAD Notice of Relocation. The RAD Notice of Relocation must be specific to whether the resident will be temporarily or permanently relocated.

administer their HCV waiting list in accordance with their Section 8 Administrative Plans. Residents who rise to the top of the HCV waiting list independent of any preference for relocating RAD residents or other RAD provisions and accept an HCV are not considered to be relocated as a result of the RAD conversion. Standard administration of the PHA's HCV waiting list is not considered relocation.

## **7.2. HCV Waiting List Administration Related to the RAD Transaction**

From time to time, a PHA may wish to use HCV resources as a relocation option in connection with a RAD transaction. In order to do so, a PHA must modify its Section 8 Administrative Plan to provide a preference for relocating RAD residents and the PHA is subject to Section 6.8 of this Notice relating to initiation of relocation. Further, if a PHA provides a preference for relocating RAD residents, the PHA must be explicit regarding the nature of the HCV as a relocation resource. If the PHA anticipates using the HCV as a temporary relocation resource, the PHA must recognize that it cannot rescind an HCV once issued to the resident (i.e., the family would have to voluntarily relinquish their voucher and may choose to remain in the HCV program indefinitely). The PHA must also provide a preference for admission to the Covered Project in order to satisfy the right to return. Alternatively, if the PHA anticipates using the HCV as a voluntary permanent relocation resources, the PHA must comply with the alternative housing options provisions of Section 6.10.<sup>97</sup>

## **7.3. Public Housing Transfers Unrelated to the RAD Transaction**

From time to time, a resident of a Converting Project may request a transfer to another public housing property independent of any planned RAD transaction. With respect to residents of a Converting Project prior to the effective date of the HAP contract, PHAs must continue to administer their admissions and occupancy procedures as adopted. Any prohibitions in this Notice on implementing relocation do not apply to residents requesting public housing transfers, moves pursuant to the Violence Against Women Act (VAWA)<sup>98</sup> or reasonable accommodation moves. Standard administration of the PHA's admissions and occupancy policy is not considered relocation.<sup>99</sup> Transfers not undertaken for the RAD project are not subject to URA. However, it is recommended that the PHA document the transfer carefully, including an acknowledgement by the resident that the transfer is not undertaken for the RAD project, is not

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<sup>97</sup> PHAs and Project Owners should note that while in most cases, there is no rent differential between the tenant paid rent in a public housing unit and in an HCV, there are some situations (such as flat rent households) where a difference does exist. Rental assistance payments under the URA are required if there is a difference between these two amounts.

<sup>98</sup> Title IV, section 40001-40703.

<sup>99</sup> Standard administration of the PHA's admissions and occupancy policy is permitted. However, HUD is sensitive to concerns that discussion of the planned RAD conversion and construction activities may cause residents to perceive a pressure to transfer without the counseling and moving assistance which would be available were the household to wait until relocation. If relocation at the Converting Project is planned, PHAs are strongly advised to document any such transfers carefully and to provide any households moving under standard admissions and occupancy policies with additional notices referencing the assistance and payments which would be available if the household were to remain in place until the relocation plan is implemented.

subject to URA requirements and that the resident is moving notwithstanding the guidance in the GIN or other relocation guidance from the PHA.

#### **7.4. Resident Initiated Public Housing Transfers Related to the RAD Transaction**

Pursuant to Section 1.8 of the RAD Notice, households in the Converting Project who do not want to transition to the Section 8 program may be offered, if available, the opportunity to move to other public housing owned by the PHA. Such move shall be implemented as a transfer and shall be prioritized equivalent to a “demolition, disposition, revitalization or rehabilitation transfer” as described in Section 11.2 of the applicable Public Housing Occupancy Guidebook. Transfers for this purpose do not require any modification to the PHA’s admissions and occupancy policy and may occur at any time pursuant to the PHA’s admissions and occupancy policy. Transfers for this purpose, while initiated by the resident, are the result of the PHA-initiated RAD transaction and the PHA must bear the reasonable costs of transfer. The reasonable cost of the transfer includes not just the cost of packing, moving, and unloading, but also the cost of disconnecting and reconnecting any existing resident-paid services such as telephone and cable television. The PHA must also document that the resident’s transfer request is fully informed and fully voluntary, which documentation must include an acknowledgement by the resident that the transfer is not undertaken at the request of the PHA or under pressure from the PHA, that the resident is moving notwithstanding the guidance in the GIN or other relocation guidance from the PHA and that the resident is withdrawing from participation in the RAD program and consequently losing rights, including the right to return, which accrue to residents participating in the RAD program. A public housing resident who voluntarily seeks a public housing transfer is generally not considered to be displaced under the URA or Section 104(d), where applicable.

#### **7.5. Public Housing as a Temporary Relocation Resource**

PHAs and Project Owners may wish to mitigate the relocation budget associated with the RAD conversion by using units within the PHA’s portfolio as relocation resources. In light of its mission to serve as many low-income households as possible, including its need to accommodate emergency transfers (such as moves pursuant to VAWA), the PHA should minimize the use of the public housing units not converting under RAD for temporary relocation of RAD impacted residents. HUD has a strong preference that the PHA use the units within the PHA’s Converting Projects as a temporary relocation resource prior to using units in the remainder of the PHA’s public housing portfolio. PHAs may elect not to lease units within the Converting Projects or, if necessary, the remainder of its portfolio, for this purpose only to the extent reasonably necessary to facilitate construction or rehabilitation.

Upon the effective date of the HAP contract (usually also the effective date of the RAD conversion), each resident of a Covered Project becomes a participant in the Section 8 program and is no longer part of the public housing program. A PHA may use public housing as a temporary relocation resource if approved by HUD, which approval shall depend on the proposed structure. PHAs wishing to use public housing units as a temporary relocation resource must consult with HUD’s Office of Public and Indian Housing (PIH) prior to the formal request for HUD approval. It is unlikely that HUD would approve a request to use public housing units

as a relocation resource for a period exceeding one year after the effective date of the HAP contract.

If HUD grants approval, HUD shall provide alternative requirements regarding PIH Information Center (PIC) documentation of the occupancy of these temporary relocation resources. PHAs must follow any guidance or instructions regarding treatment of the public housing units in HUD's data systems as may be provided from time to time.

PHAs and Project Owners should note that, absent written approval, if a resident seeks to occupy a public housing unit after the effective date of the HAP contract, the resident would need to be readmitted to public housing in a manner consistent with the waitlist and admissions policies and must exit the Section 8 program.

#### **7.6. Terminations (Including Evictions) and End of Participation Unrelated to the RAD Transaction**

Public housing program requirements related to continued occupancy and termination, including rules on grievances and related hearings, remain in effect until the effective date of a new PBV or PBRA HAP contract. If a resident is evicted in accordance with applicable state and local law and the eviction is not undertaken for the purpose of evading the obligation to make available RAD relocation and URA payments and other assistance, the resident is generally not entitled to relocation assistance and payments under this Notice or the URA (49 C.F.R. § 24.206). If a resident voluntarily ends his or her participation in the public housing program, in the absence of evidence that the end of participation was induced by the PHA for the purpose of evading the obligation to make available RAD relocation and URA payments and other assistance, the resident is generally not entitled to relocation assistance and payments under this Notice or the URA.

#### **7.7. Right-Sizing**

Public housing, PBV and PBRA requirements mandate that, upon the availability of a unit which is appropriate for the household size, the PHA or Project Owner must transfer a household that is under- or over-housed into the unit appropriate to the household's size. However, accommodating all residents pursuant to the right of return has primacy over right-sizing requirements and may, in some cases, require temporarily over-housing households. In such circumstances, the PHA or Project Owner shall subsequently transfer the household to an appropriate size unit when available, as is required by the applicable program regulation. Such actions shall be governed by the applicable program regulation and shall not be considered relocation under this Notice.

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Lourdes Castro-Ramirez  
Principal Deputy Assistant Secretary for  
Public and Indian Housing

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Edward L. Golding  
Principal Deputy Assistant Secretary for  
Housing

**APPENDIX I: Applicable Legal Authorities**

**APPENDIX II: Recommended Relocation Plan Contents**

## **APPENDIX I: Applicable Legal Authorities**

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### **Part 1**

This Appendix to the Notice identifies key legal authorities with respect to fair housing, civil rights, and resident relocation. This Appendix is not exhaustive of applicable legal authorities, which authorities may also include other Federal statutes, regulations and Executive Orders, and civil rights provisions related to other programs (including funding programs) associated with the RAD transaction.

#### **Fair Housing Act (Title VIII of the Civil Rights Act of 1968, as amended)**

The Fair Housing Act, 42 U.S.C. § 3601 et seq., and its implementing regulations, 24 C.F.R. part 100, prohibit discrimination in the sale, rental, and financing of dwellings, and in other housing-related transactions, based on race, color, national origin, religion, sex, disability, or familial status. The Fair Housing Act applies to for-sale and rental housing, whether the housing is privately or publicly funded, including housing supported by tax credits. Single family homes, condominiums, apartment buildings, time-shares, dormitories, transitional housing, homeless shelters that are used as a residence, student housing, assisted living housing, and other types of housing are all covered by the Fair Housing Act.

Among its substantive provisions, the Fair Housing Act requires “covered multifamily dwellings,” designed and constructed for first occupancy after March 13, 1991, to be readily accessible to and usable by persons with disabilities. In buildings with four or more dwelling units and at least one elevator, all dwelling units and all public and common use areas are subject to the Act’s design and construction requirements. In buildings with four or more dwelling units and no elevator, all ground floor units and public and common use areas are subject to the Act’s design and construction requirements.<sup>100</sup> In addition, the Fair Housing Act requires that housing providers make reasonable accommodations in rules, policies, and services, when such accommodations may be necessary to afford a person with a disability equal opportunity to use and enjoy a dwelling unit, including public and common use areas, and that housing providers permit reasonable modifications of existing premises for persons with disabilities.

The Fair Housing Act also requires HUD to administer HUD programs and activities in a manner that affirmatively furthers fair housing (42 U.S.C. § 3608(e)(5)). HUD’s affirmatively furthering fair housing (“AFFH”) rule in 24 C.F.R. §§ 5.150-5.180 will apply to PHAs (except for qualified PHAs) for the PHA’s fiscal year that begins on or after January 1, 2018 for which a new 5-year plan is due, as provided in 24 C.F.R. § 903.5. The affirmatively furthering fair housing regulations will apply to qualified PHAs, for the PHA’s fiscal year that begins on or after January 1, 2019 for which a new 5-year plan is due, as provided in 24 C.F.R. § 903.5.<sup>101</sup>

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<sup>100</sup> See 42 U.S.C. § 3604(f)(3)(c) and 24 C.F.R. § 100.205.

<sup>101</sup> For purposes of the AFFH rule, “[a]ffirmatively furthering fair housing means taking meaningful actions, in addition to combating discrimination, that overcome patterns of segregation and foster inclusive communities free from barriers that restrict access to opportunity based on protected characteristics. Specifically, affirmatively furthering fair housing under the AFFH rule means taking meaningful actions that, taken together, address

Additional detail and discussion of the interplay between the Fair Housing Act, Section 504, and Titles II or III of the Americans with Disabilities Act as these authorities relate to accessibility requirements is described in Part 2 of this Appendix.

#### United States Housing Act of 1937 (1937 Act)

The United States Housing Act of 1937 (1937 Act) (42 U.S.C. § 1437c-1(d)(15)) requires PHAs to submit a 5-year plan and an Annual Plan. Pursuant to HUD regulations, the Annual Plan includes a certification by the PHA that the PHA will affirmatively further fair housing.

#### Title VI of the Civil Rights Act of 1964

Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et seq.*) and HUD's implementing regulation (24 C.F.R. part 1) prohibit recipients of Federal financial assistance from discriminating, excluding from participation, or denying benefits to, any person on the basis of race, color, or national origin. In addition, Title VI regulations prohibit HUD recipients of Federal financial assistance from utilizing criteria or methods of administration which have the effect of subjecting individuals to discrimination because of their race, color, or national origin (24 C.F.R. § 1.4(b)(2)(i)). When determining the site or location of housing, recipients may not make selections with the purpose or effect of excluding individuals from, denying them the benefits of, or subjecting them to discrimination on the ground of race, color, or national origin (24 C.F.R. § 1.4(b)(3)). An applicant or recipient of HUD financial assistance also has an obligation to take reasonable action to remove or overcome the consequences of prior discriminatory practices regardless of whether the recipient engaged in discriminatory conduct (24 C.F.R. § 1.4(b)(6)).

Recipients of Federal financial assistance are required to take reasonable steps to ensure meaningful access to their programs and activities for persons who have limited ability to read, speak, or understand English – i.e., individuals who have limited English proficiency (LEP). This includes oral and written communications during relocation and throughout a RAD transaction. Such language assistance may include, but is not limited to, providing written translation of notices regarding the plans for the project and relocation and oral interpretation at meetings. Otherwise, LEP persons may be denied participation in, and the benefit of, the recipients' program or activity. On January 22, 2007, HUD issued "Final Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons" (LEP Guidance), available at: [http://www.lep.gov/guidance/HUD\\_guidance\\_Jan07.pdf](http://www.lep.gov/guidance/HUD_guidance_Jan07.pdf).<sup>102</sup>

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significant disparities in housing needs and in access to opportunity, replacing segregated living patterns with truly integrated and balanced living patterns, transforming racially and ethnically concentrated areas of poverty into areas of opportunity, and fostering and maintaining compliance with civil rights and fair housing laws." 24 C.F.R. § 5.150. Meaningful actions means significant actions that are designed and can be reasonably expected to achieve a material positive change that affirmatively furthers fair housing by, for example, increasing fair housing choice or decreasing disparities in access to opportunity. See 24 C.F.R. § 5.152.

<sup>102</sup> See also Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, which requires recipients of Federal financial assistance to take reasonable steps to provide meaningful access to



### Section 504 of the Rehabilitation Act of 1973

Section 504 of the Rehabilitation Act of 1973 provides: “No otherwise qualified individual with a disability in the United States ... shall, solely by reason of her or his disability, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program, service or activity receiving Federal financial assistance.”<sup>103</sup>

Among other things, HUD’s regulations implementing Section 504 (in 24 C.F.R. part 8) prohibit recipients of Federal financial assistance, in determining the site or location of a facility receiving such assistance, from making site selections the purpose or effect of which would (1) exclude qualified individuals with disabilities from or deny them the benefits of a program or activity, or otherwise subject them to discrimination; or (2) defeat or substantially impair the accomplishment of the objectives of the program or activity with respect to qualified individuals with disabilities.<sup>104</sup> These prohibitions apply to both determining the site of permanent facilities and a site for relocation of residents.

Furthermore, HUD’s implementing regulations prohibit discrimination, the denial of benefits, or the exclusion of participation of individuals with disabilities from the programs or activities of recipients of federal financial assistance because a recipient’s facilities are inaccessible. Such recipients must provide qualified individuals with disabilities with program access, which may require modification of architectural features of facilities in RAD transactions for individuals with disabilities to have access to the program. Certain architectural specifications apply to facilities that are altered or newly constructed with HUD financial assistance, such as facilities where assistance is transferred and facilities used as temporary or permanent relocation sites for residents of a project undergoing a RAD conversion. If alterations are made to a housing facility, the alterations to dwelling units in the facility are required, to the maximum extent feasible (i.e., if doing so would not impose undue financial and administrative burdens on the operation of the project), to be made readily accessible to and usable by individuals with disabilities. If alterations taken to a development that has 15 or more units and the cost of the alterations is 75% or more of the replacement cost of the completed facility (except when it requires removal of structural load-bearing members), or if the facility is newly constructed, then a minimum of 5% of the total dwelling units, or at least one unit in a development, whichever is greater, must be made accessible for persons with mobility impairments. An additional 2% of the units, but not less than one unit, in a development must be accessible for persons with hearing and vision impairments.

In addition, regulations implementing Section 504 require recipients to make reasonable accommodations for persons with disabilities. A reasonable accommodation is a change, adaptation, or modification to a policy, program, service, or workplace which will allow a qualified person with a disability to participate fully in a program, take advantage of a service, or perform a job. Section 504 also includes effective communication requirements, such as

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their programs and activities for LEP persons. E.O. 13166 directs all Federal agencies, including HUD, to issue guidance to help recipients of Federal financial assistance in providing such meaningful access to their programs.

<sup>103</sup> 29 U.S.C. § 794. HUD’s Section 504 regulation that applies to recipients of Federal financial assistance, including PHAs and Project Owners, is located at 24 C.F.R. part 8.

<sup>104</sup> 24 C.F.R. § 8.4(b)(5).

providing interpreters and alternate format documents (e.g., Braille, large print, accessible electronic communications) for persons with disabilities.

Additional detail and discussion of the interplay between Section 504, the Fair Housing Act, and Titles II or III of the Americans with Disabilities Act as these authorities relate to accessibility requirements is described in Part 2 of this Appendix.

### Titles II and III of the Americans with Disabilities Act

Title II of the Americans with Disabilities Act (ADA) prohibits discrimination on the basis of disability in all services, programs, and activities provided or made available by public entities. Title II of the ADA applies to housing developed or operated by state and local governments, which includes a PHA. Title III of the ADA prohibits discrimination on the basis of disability by public accommodations and requires places of public accommodation and commercial facilities to be designed, constructed, and altered in compliance with established accessibility standards. For example, Title III applies to rental offices, sales offices, homeless shelters, hotels and motels, and commercial spaces associated with housing, such as daycare centers, social service offices, and sales and retail establishments. Titles II or III also will generally apply to community spaces and facilities, such as neighborhood networks, to computer centers (including the computers in the centers), and to transportation services and conveyances provided by PHAs and Project Owners.

Additional detail and discussion of the interplay between Titles II and III of the Americans with Disabilities Act, the Fair Housing Act, and Section 504 of the Rehabilitation Act as these authorities relate to accessibility requirements is described in Part 2 of this Appendix.

### Section 109

Section 109 of the Housing and Community Development Act of 1974 (HCDA of 1974), Title I, prohibits discrimination on the basis of race, color, national origin, disability, age, religion, and sex in Community Development Block Grant (CDBG) programs and activities. Section 109 applies to RAD projects that receive CDBG or other assistance under Title I of the HCDA of 1974.

In addition to its responsibility for enforcing other Federal statutes prohibiting discrimination in housing, HUD has a statutory obligation under Section 109 to ensure that individuals are not subjected to discrimination on the basis of race, color, national origin, disability, age, religion, or sex by recipients of CDBG funds. Section 109 charges HUD with enforcing the right of individuals to live in CDBG-funded housing and participate covered programs and activities free from such discrimination. However, this additional statutory authority only applies to programs authorized under Title I of the HCDA of 1974, such as CDBG and programs, such as Section 108 loan guarantees and the Historically Black Colleges and Universities program.

### Equal Access to HUD-assisted or HUD-insured Housing

HUD requires its housing programs to be open to all eligible individuals and families regardless of sexual orientation, gender identity or marital status. HUD recipients and subrecipients must comply with 24 C.F.R. § 5.105(a)(2) when determining eligibility for housing assisted with HUD

funds or subject to an FHA-insured mortgage, and when making such housing available. This includes making eligibility determinations and making housing available regardless of actual or perceived sexual orientation, gender identity, or marital status, and prohibiting inquiries about sexual orientation or gender identity for the purpose of making eligibility determinations or making housing available. Applicants are encouraged to become familiar with these requirements, HUD's definitions of sexual orientation and gender identity at 24 C.F.R. § 5.100, clarifications to HUD's definition of family at 24 C.F.R. § 5.403, and other regulatory changes made through HUD's Equal Access Rule, published in the Federal Register at 77 FR 5662 (Feb. 3, 2012).

### Section 3: Economic Opportunities for Low- and Very Low-income Persons.

Certain HUD programs require recipients of assistance to comply with Section 3 of the Housing and Urban Development Act of 1968 (Section 3), 12 U.S.C. § 1701u (Economic Opportunities for Low- and Very Low-Income Persons in Connection with Assisted Projects), and the HUD regulations at 24 C.F.R. part 135. The regulations at 24 C.F.R. part 135 implementing Section 3 ensure, to the greatest extent feasible, that training, employment, contracting and other economic opportunities be directed to low- and very low-income persons, especially recipients of government assistance for housing, and to businesses that provide economic opportunities to low- and very low-income persons where proposed project is located. Recipients of funds covered by Section 3 must comply with 24 C.F.R. part 135, particularly subpart B-Economic Opportunities for Section 3 residents and Section 3 Business Concerns, and Subpart E-Reporting and Recordkeeping. HUD encourages recipients to search the national Section 3 Business Registry to find local businesses that prioritize hiring Section 3 residents.

### Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970

The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, 42 USC § 4601 *et seq.* (URA) is a Federal law that establishes minimum standards for programs or projects receiving Federal financial assistance that include the acquisition of real property (real estate) and/or displace persons from their homes, businesses, or farms as a result of acquisition, rehabilitation, or demolition.<sup>105</sup> The URA implementing Federal regulations can be found at 49 C.F.R. part 24. Project-Based Voucher (PBV) and Project-Based Rental Assistance (PBRA) are considered Federal financial assistance for purposes of the URA. As a result, the URA will apply to acquisitions of real property and relocation of persons from real property that occur as a direct result of acquisition, rehabilitation or demolition for a project that involves conversion of assistance to PBV or PBRA programs under RAD.

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<sup>105</sup> For additional guidance, see HUD Handbook 1378 Tenant Assistance, Relocation, and Real Property Acquisition), available at: [http://portal.hud.gov/hudportal/HUD?src=/program\\_offices/comm\\_planning/library/relocation/policyandguidance/handbook1378](http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/library/relocation/policyandguidance/handbook1378).

## Section 104(d) of the Housing and Community Development Act of 1974

Section 104(d) of the Housing and Community Development Act of 1974, as amended, 42 USC § 5304(d), (Section 104(d)), is a Federal law that applies when a lower-income dwelling is demolished or converted (as conversion is defined in accordance with 24 C.F.R. § 42.305) to a use other than lower-income housing in connection with a Community Development Block Grant Program (CDBG) or HOME Investment Partnerships Program (HOME) funded activity. Under Section 104(d), a lower-income person is considered displaced and, therefore eligible for Section 104(d) relocation assistance if the person permanently moves from real property or permanently moves personal property from real property as a direct result of the demolition or conversion of a lower-income dwelling to a use other than lower-income dwelling unit in connection with a CDBG or HOME funded activity. The Section 104(d) one-for-one replacement housing requirements may apply with respect to occupied and vacant occupiable lower-income dwelling units that are demolished or converted to a use other than lower-income dwelling units in connection with CDBG or HOME funded activity. Section 104(d) implementing regulations can be found at 24 C.F.R. part 42, Subpart C. Additional HUD policy and guidance for Section 104(d) is available in HUD Handbook 1378, Chapter 7.

## **Part 2 – Accessibility Requirements**

Federal accessibility requirements apply to all RAD projects – whether they include new construction, alterations, or existing facilities. Applicable laws include, but are not limited to, the Fair Housing Act, Section 504 of the Rehabilitation Act, and Titles II or III of the Americans with Disabilities Act (ADA). A PHA or Project Owner must comply with each law that applies to its project and with the requirement that provides the most accessibility when two or more laws apply. All three laws include new construction requirements. Substantial alterations, additions, rehabilitation and existing facilities must be in compliance with applicable requirements of Section 504 and the ADA.<sup>106</sup> All three laws may also require reasonable accommodations or modifications.

### Accessibility Requirements for New Construction

The Fair Housing Act requires all “covered multifamily dwellings” designed and constructed for first occupancy after March 13, 1991, to be readily accessible to and usable by persons with disabilities. In buildings with four or more dwelling units and at least one elevator, all dwelling units and all public and common use areas must meet the Fair Housing Act’s design and construction requirements. In buildings with four or more dwelling units and no elevator, all ground floor units and public and common use areas must meet the Fair Housing Act’s design and construction requirements. The Fair Housing Act requires that all covered multifamily dwellings be designed and constructed so that public and common use areas are readily accessible to and usable by persons with disabilities; all doors are sufficiently wide to allow passage by persons using wheelchairs; all units contain accessible routes into and through the dwelling unit; light switches, electrical outlets, thermostats, and other environmental controls are in accessible locations; reinforcements are installed in bathroom walls to allow later installation

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<sup>106</sup>See 24 C.F.R. § 100.205 (Fair Housing Act) and 24 C.F.R. §§ 8.22 and 8.23 (Section 504). See also 28 C.F.R. § 35.151(b) and 28 C.F.R. part 36 (ADA Titles II and III regulations, respectively).

of grab bars; and kitchens and bathrooms are usable such that a person in a wheelchair can maneuver about the space.<sup>107</sup> These design and construction requirements apply whether the housing is privately or publicly funded, including housing supported by tax credits.<sup>108</sup>

New construction of a multifamily housing project containing five or more dwelling units is also subject to physical accessibility requirements under Section 504. Under Section 504, a “project” includes all residential and appurtenant structures, equipment, roads, walks, and parking lots which are covered by a single contract or application for Federal financial assistance, or are treated as a whole for processing purposes, whether or not they are located on a single site.<sup>109</sup> The accessibility standards for new construction under Section 504 are the Uniform Federal Accessibility Standards (UFAS).<sup>110</sup> HUD recipients may also use the 2010 ADA Standards for Accessible Design under title II of the ADA, except for certain specific identified provisions, as detailed in HUD’s Notice on “Instructions for use of alternative accessibility standard,” published in the Federal Register on May 23, 2014 (“Deeming Notice”). This option exists until HUD formally revises its Section 504 regulation to adopt an updated accessibility standard. Refer to HUD’s Deeming Notice for more information.

Section 504 also requires that a minimum of 5% of the total dwelling units or at least one unit, whichever is greater, is required to be accessible for persons with mobility impairments. An additional 2% of the total dwelling units or at least one unit, whichever is greater, is required to be accessible for persons with vision and hearing impairments.<sup>111</sup> HUD may prescribe a higher percentage or number of units upon request by any affected recipient or by any State or local government or agency based upon demonstration to the reasonable satisfaction of HUD of a need for a higher percentage or number, based on census data or other available current data, or in response to evidence of a need for a higher percentage or number received in any other manner. In reviewing such request or otherwise assessing the existence of such needs, HUD shall take into account the expected needs of eligible persons with and without disabilities.<sup>112</sup>

Title II of the ADA prohibits discrimination on the basis of disability in all services, programs, and activities provided or made available by public entities. Title II of the ADA applies to housing programs, including housing developed or operated by state and local governments, which includes PHAs. Title III of the ADA prohibits discrimination on the basis of disability by public accommodations, including rental offices, and requires places of public accommodation and commercial facilities to be designed, constructed, and altered in compliance with established accessibility standards. All newly constructed or altered facilities, including facilities altered to

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<sup>107</sup> See 24 C.F.R. § 100.205.

<sup>108</sup> For more information about the design and construction provisions of the Fair Housing Act, see [www.fairhousingfirst.org](http://www.fairhousingfirst.org). See also the Joint Statement of the Department of Housing and Urban Development and the Department of Justice, Accessibility (Design and Construction) Requirements for Covered Multifamily Dwellings Under the Fair Housing Act (April 30, 2013), available at: [www.hud.gov/offices/fheo/library/hudjointstatement.pdf](http://www.hud.gov/offices/fheo/library/hudjointstatement.pdf).

<sup>109</sup> See 24 C.F.R. § 8.3.

<sup>110</sup> The UFAS are available at <https://www.access-board.gov/guidelines-and-standards/buildings-and-sites/about-the-aba-standards/ufas>). See also 24 C.F.R. § 8.32.

<sup>111</sup> See 24 C.F.R. § 8.22.

<sup>112</sup> See HUD regulation at 24 C.F.R. § 8.22(c).

comply with program access and readily achievable barrier removal obligations that exist under Titles II or III of the ADA, must comply with the U.S. Department of Justice's ADA architectural accessibility standards as described in the following U.S. Department of Justice Technical Assistance document ADA Requirements, Effective Date/Compliance Date (Feb. 2011), [http://www.ada.gov/revised\\_effective\\_dates-2010.htm](http://www.ada.gov/revised_effective_dates-2010.htm).

### Accessibility Requirements for Alterations

If a building was constructed for first occupancy after March 13, 1991, the building must be in compliance with, and all alterations must maintain the building's accessible features so that the building continues to meet, the Fair Housing Act's accessibility requirements. In addition, without regard to the date of construction for first occupancy, certain alterations may be required under the Fair Housing Act if requested by a resident as a reasonable accommodation or modification or otherwise required to remediate accessibility deficiencies in the design and construction of the building.

Under HUD's Section 504 regulation, alterations include any structural change in a facility or a change to its permanent fixtures or equipment. If alterations are undertaken to a project that has fifteen or more units and the cost of the alterations is 75% or more of the replacement cost of the completed facility, this qualifies as "substantial alterations," in which the new construction provisions of 24 C.F.R. § 8.22 apply.<sup>113</sup>

When alterations are made that do not qualify as substantial alterations, alterations to dwelling units in a multifamily housing project shall, to the maximum extent feasible, be made to be readily accessible to and usable by individuals with disabilities.<sup>114</sup> If alterations of single elements or spaces of a dwelling unit, when considered together, amount to an alteration of a dwelling unit, the entire dwelling unit shall be made accessible. Once 5% of the dwelling units in a housing project are readily accessible to and usable by individuals with mobility impairments, no additional elements of dwelling units or entire dwelling units are required to be accessible under this provision. However, alterations to meet ongoing accessibility needs are always required, for example, in response to a reasonable accommodation request. Alterations to common areas or parts of facilities that affect accessibility of existing housing facilities shall, to the maximum extent feasible, be made to be accessible to and usable by individuals with disabilities. For purposes of this paragraph, the phrase "to the maximum extent feasible" shall not be interpreted as requiring that a recipient (including a PHA) make a dwelling unit, common area, facility or element thereof accessible if doing so would impose undue financial and administrative burdens on the operation of the multifamily housing project.<sup>115</sup>

All altered facilities covered by Titles II or III of the ADA must be altered in accordance with the U.S. Department of Justice's 2010 ADA Standards for Accessible Design and applicable ADA

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<sup>113</sup> See 24 C.F.R. § 8.23(a). The sole exception is that load bearing structural members are not required to be removed or altered.

<sup>114</sup> HUD may require a higher number or percentage of accessible units pursuant to 24 C.F.R. § 8.22(c) and 24 C.F.R. § 8.23(b)(2).

<sup>115</sup> 24 C.F.R. § 8.23(b).

regulations, unless subject to certain safe harbors identified in the 2010 ADA revised regulations for Titles II and III, as applicable.<sup>116</sup>

HUD will consider on a case-by-case basis a PHA's request to undertake limited new construction on the site of a Covered Project undergoing rehabilitation to comply with accessibility requirements on the site.

#### Additional Accessibility Requirements for Both New Construction and Alterations

Accessible units must be distributed throughout projects and sites and be available in a sufficient range of sizes and amenities so that a qualified individual with disabilities' choice of living arrangements is, as a whole, comparable to that of other persons eligible under the same program.<sup>117</sup> This provision shall not be construed to require provision of an elevator in any multifamily housing project solely for the purpose of permitting location of accessible units above or below the accessible grade.

PHAs are encouraged to use universal design principles, visitability principles and active design guidelines in planning new construction or retrofit work, wherever feasible. However, adherence to universal design principles does not replace compliance with the accessibility requirements of Section 504, the ADA and the Fair Housing Act.

#### Program Accessibility Requirements

Under Section 504, recipients must operate each existing housing program or activity receiving Federal financial assistance so that the program or activity, when viewed in its entirety, is accessible to and usable by individuals with disabilities. Title II of the ADA also includes a program access requirement, while Title III of the ADA requires readily achievable barrier removal.<sup>118</sup> Further, Section 504, the Fair Housing Act, and the ADA require that reasonable accommodations/modifications be granted to address disability-related needs of individuals with disabilities.<sup>119</sup>

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<sup>116</sup> See <http://www.ada.gov/regs2010/2010ADASTandards/2010ADASTandards.htm>.

<sup>117</sup> See 24 C.F.R. §§ 8.26 and 8.27.

<sup>118</sup> See 28 C.F.R. § 35.150; 28 C.F.R. § 36.304.

<sup>119</sup> For more information on reasonable accommodations, see the HUD/DOJ Joint Statement on Reasonable Accommodations Under the Fair Housing Act at <http://portal.hud.gov/hudportal/documents/huddoc?id=JOINTSTATEMENT.PDF>. While this joint statement focuses on the Fair Housing Act, the principles discussed in the statement generally apply to requests for reasonable accommodation under Section 504, except, for purposes of Section 504, HUD recipients are required to provide and pay for structural modifications as a reasonable accommodation.

## **APPENDIX II: Recommended Relocation Plan Contents**

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While RAD mandates written relocation plans only for projects which involve permanent relocation (including, without limitation, a move in connection with a transfer of assistance) or temporary relocation anticipated to last longer than one year, HUD strongly encourages PHAs to document their relocation planning process and procedures in a written relocation plan. The following provides suggested content for required and recommended relocation plans. In the case of any discrepancy between this description of the recommended relocation plan contents and the provisions of the Notice to which this Appendix is attached or any applicable laws or regulations with respect to the URA or Section 104(d), the provisions of the Notice or applicable laws and regulations shall govern.

The basic elements of the relocation plan include:

- A general description of the project and project elements that may create relocation needs;
- Information on residents of the project and eligibility for relocation assistance and payments;
- Information regarding how the project will address the RAD right to return requirements and the project's re-occupancy policies;
- A detailed discussion of plans for temporary relocation assistance;
- A detailed discussion of any transfer of assistance;
- A detailed discussion of any offers of alternative housing options and plans for voluntary permanent relocation assistance;
- A detailed discussion of compliance with fair housing and civil rights requirements, including accessibility requirements;
- The relocation budget; and
- The appeals process.

The plan as a whole should discuss the specific steps to be taken to minimize the adverse impacts of relocation on the residents.

### **I. Project Summary**

The Relocation Plan should provide a general description of the property (e.g., year built, location, number of units, configuration, resident population served). The project summary should also identify the nature of the activities to be undertaken, including acquisition, demolition, rehabilitation, and construction activities and additional detail regarding the project scope (e.g., gut rehab, systems replacement, modest in-unit renovations, transfer of assistance). The project summary should also discuss how any construction activities are to be implemented (i.e., vacate the property entirely, vacate specific floors or buildings, rehabilitation with residents in place). The summary should also discuss the overall theory of relocation, for example, whether a few households will be relocated off-site and the vacant units will be used as temporary housing before other households move back to their original units (a "hoteling" approach), or whether the vacant units will be permanently occupied, with the residents vacating other units to be renovated (a "domino" approach).



The relocation plan should also identify the funding sources which may trigger relocation requirements, with particular attention to the potential presence of HOME or CDBG funds which may trigger Section 104(d) requirements.

## **II. Project Occupancy**

The Relocation Plan should provide information on occupancy of the property including the number of residents, their household type (family, elderly), any non-residential (commercial) occupants, and should identify how any routine needs (such as continuation of utilities such as telephone service) and civil rights compliance issues (for example, limited English proficiency, disabilities, reasonable accommodations and unit modifications that have been or may be necessary) shall be identified and addressed. The Relocation Plan may specify the community meetings, interviews and/or other processes that will be undertaken to assess the residents' needs.

The Relocation Plan should also address eligibility for relocation assistance and payments, applying the rules of the Notice to the particularities of the project.

## **III. Resident Return and Re-occupancy Policies**

The Plan should address how the project will honor the RAD right to return requirements and the “no re-screening upon conversion” policy. With respect to residents who will be temporarily relocated, the Plan should include the methodology that will be used to determine the sequence in which residents will re-occupy units at the project after rehabilitation, demolition, and/or construction is completed, and to determine how residents are matched with units if the residents are not able to return to their original unit. For example, if units will come online in stages, the plan should outline how the PHA or Project Owner will determine when each resident will return to the property.

## **IV. Temporary Relocation Assistance**

The plan should detail the temporary housing resources to be used, the anticipated duration of temporary relocations, notices to be provided and the temporary relocation assistance the PHA or Project Owner will provide for residents (Paragraph 2-7 of HUD Handbook 1378). Topics to be addressed in the Plan include:

- Temporary Housing Resources. The Plan should identify the nature and availability of the temporary housing resources the PHA or Project Owner anticipates using. On-site resources are generally preferred. However, in some cases, PHAs or Project Owners may need to use hotel rooms for short-term relocations, or market-rate apartments. If the PHA or Project Owner anticipates using other assisted housing resources (such as HCVs, public housing or other properties with regulatory restrictions), the PHA or Project Owner should take particular care to address regulatory issues.
- Allocation of Temporary Relocation Resources. The Plan should describe a fair and reasonable methodology for allocating temporary relocation housing to residents on a nondiscriminatory basis.
- Duration of Temporary Relocation. In the event that the Plan includes relocation which is anticipated to exceed one year, it should detail the requirements which apply to those

residents (such as the issuance of a *Notice of Relocation* to the resident covering eligibility for URA relocation assistance, the offer of permanent relocation assistance and payments at URA levels and, if conditions warrant, the subsequent issuance of a *Notice of Eligibility*) as distinct from requirements that apply to residents who are not relocated for more than one year.

- Packing and Moving Assistance. The Plan should address how the PHA or Project Owner intends to provide or reimburse for packing and moving services and expenses. Considerations the Plan may want to address include:
  - Instructions and supplies (e.g., boxes, markers, tape) to be provided if residents prefer to pack their own personal possessions and items of value;
  - Assistance in packing to be provided if residents need assistance or prefer not to pack their personal possessions;
  - Guidance on how residents request to pack their own possessions or to receive packing assistance; and
  - How the PHA or Project Owner intends to provide or reimburse for moving services and expenses. The PHA or Project Owner can choose to do one or more of the following:
    - Undertake the moves itself, using employees of the PHA or Project Owner or “force account labor”<sup>120</sup>
    - Use a contractor or moving company
    - Reimburse residents for all actual, reasonable and necessary moving expenses.
- Storage. The Plan should address whether storage of the resident’s personal property is necessary and the arrangements for such storage.
- Damage or Loss. The Plan should address Insurance for the replacement value of the property in connection with the move and necessary storage and/or the replacement value of property lost, stolen, or damaged in the process of moving (not through the fault or negligence of the displaced person, his or her agent, or employee) where insurance covering such loss, theft, or damage is not reasonably available.
- Out-of-Pocket Expenses. The nature of out of pocket expenses vary based on the nature of the temporary relocation moves. For example, hotel stays or in-place renovation may trigger the need for reimbursement of meals while a kitchen is unavailable. The Plan should outline the anticipated out-of-pocket expenses and the PHA’s or Project Owner’s plans and budget with respect to these expenses.
- Leasing Arrangements. The Plan should address whether the resident will have a direct lease or other contractual relationship with the owner of the temporary relocation resource or whether the PHA or Project Owner will hold the lease and the resident will maintain a contractual relationship with the PHA or Project Owner.
- Utility Costs. The Plan should address whether residents will need to disconnect and reconnect necessary utilities and, if so, how the PHA or Project Owner anticipates managing this process and any associated expenses. Necessary utilities may include telephone, cable service, Internet access or other items. The Plan should address payment of utility deposits, if required at the temporary relocation housing (HUD Handbook 1378, paragraph 2-7(A)(3)).

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<sup>120</sup> Defined at 24 C.F.R. 905.108.

- Reasonable Accommodations. The plan should address whether residents with disabilities will require reasonable accommodations during temporary relocation and, if so, how the PHA or Project Owner anticipates ensuring the provision of reasonable accommodations and any associated expenses. Reasonable accommodations may include, among other items, the provision of transportation assistance, relocation to locations which are physically accessible and located near public transportation, and modifications to policies to allow individuals with disabilities to reside with a live-in aide.

## **V. Transfer of Assistance**

Relocation planning in the context of transfer of assistance is particularly complex. The PHA should address how RAD, URA and Section 104(d) requirements each apply, as the same activity may be treated differently under each regulatory framework. The Plan should specifically outline the PHA's procedures to ensure that the applicable requirements are applied to each situation appropriately. The Plan should also address whether relocation is required for any businesses or residents at the destination site. Finally, the Plan should address whether two moves – from the public housing site to an intermediate site and then to the transfer of assistance site – are necessary while the Covered Project is being constructed or rehabilitated.

## **VI. Alternative Housing Options and Voluntary Permanent Relocation Assistance**

If the PHA or Project Owner seeks to offer alternative housing options, the Plan should identify those options and the manner in which they are presented to residents for decision. The plan should also outline the counseling the PHA or Project Owner will provide to assist the residents in determining what options may be available and the financial implications of those options, for example,

1. Discussion of whether units available in the market (either in the affordable market or the unrestricted market) will meet the financial and dwelling requirements of relocated residents;
2. The general area or location of unit(s);
3. Where applicable, the accessibility of such units for individuals with disabilities;
4. Criteria for receiving relocation assistance; and
5. Any other information that might benefit residents in their consideration of housing choices.

The Plan should identify how the PHA or Project Owner will work with any residents who have elected voluntary permanent relocation. The Plan should further include a description of the permanent relocation assistance the PHA or Project Owner will provide to such residents. Topics to be addressed in the Plan include:

- Replacement Housing. The Plan should address the availability of comparable replacement housing, the notices to be provided and the provisions to ensure that appropriate accessibility features are available in compliance with applicable laws and regulations.

- Fair housing considerations. The Plan should address referrals to housing not located in areas of minority concentration and compliance with requirements regarding accessible housing for persons with disabilities. The Plan should address how the PHA or Project Owner will determine if residents have paid for the acquisition and/or installation of accessible features in the housing from which they are being relocated and how the PHA or Project Owner will ensure that the replacement housing contains required and comparable accessible features or that the resident is appropriately compensated for the cost of acquiring and/or installing required and comparable accessible features.
- Packing and Moving Assistance. The Plan should address how the PHA or Project Owner intends to provide or reimburse for packing and moving services and expenses. Considerations the Plan may want to address include:
  - Instructions and supplies (e.g., boxes, markers, tape) to be provided if residents prefer to pack their own personal possessions and items of value;
  - Assistance in packing to be provided if residents need assistance or prefer not to pack their personal possessions;
  - Guidance on how residents request to pack their own possessions or to receive packing assistance; and
  - How the PHA or Project Owner intends to provide or reimburse for moving services and expenses consistent with 49 C.F.R. § 24.301 or, at the resident's option, 49 C.F.R. § 24.302.
- Storage. The Plan should address whether storage of the resident's personal property is necessary and the arrangements for such storage. See 49 C.F.R. § 24.301(g)(4).
- Damage or Loss. The Plan should address Insurance for the replacement value of the property in connection with the move and necessary storage and/or the replacement value of property lost, stolen, or damaged in the process of moving (not through the fault or negligence of the displaced person, his or her agent, or employee) where insurance covering such loss, theft, or damage is not reasonably available.
- Dislocation Allowance. The Plan should address when the resident is entitled to a dislocation allowance and the amount of such dislocation allowance, consistent with the URA Fixed Residential Moving Cost Schedule available at: [www.fhwa.dot.gov/real\\_estate/uniform\\_act/relocation/moving\\_cost\\_schedule.cfm](http://www.fhwa.dot.gov/real_estate/uniform_act/relocation/moving_cost_schedule.cfm).
- Appliances. The Plan should address disconnecting, dismantling, removing, reassembling, and reinstalling relocated household appliances and other personal property.
- Security Deposits and Utility Costs. The Plan should address how the PHA or Project Owner anticipates managing transfer of utility arrangements, security deposits and any associated expenses. Utilities may include telephone, cable service, Internet access or other items that may have been in place in the resident's original home. See 49 C.F.R. § 24.301(h)(12).
- Replacement Housing Payment. The Plan should address the circumstances in which displaced residents may be entitled to a replacement housing payment (RHP) to cover the

increase, if any, in monthly housing costs for a 42-month period pursuant to URA requirements or a 60-month period pursuant to Section 104(d).<sup>121</sup>

## **VII. Relocation Budget**

Based on the results of the planning process, the PHA or Project Owner should create a relocation budget that includes the following six components:

- 1) The cost of administering the plan and providing assistance and counseling.
- 2) Reasonable moving expenses for a person with disabilities, which may include the cost of moving assistive equipment that is the personal property of the residents, the furnishings and personal belonging of a live-in aide, and/or other reasonable accommodations (HUD Handbook 1378, Paragraph 3-2).
- 3) The cost of the physical move of the residents' belongings. (It is suggested that the move costs be broken down by average cost per move type multiplied by the number of moves.) This physical move cost total should be based on the move scenarios anticipated or projected by the resident survey. The move costs should consider:

For temporary relocation moves:

- Number and cost of two-way moves (i.e., a move to another unit and then a return move) within the same building/complex.
- Number and cost of two-way moves to a unit not in the same building/complex

For permanent moves:

- Number and cost of one-time moves into another unit in the same building/complex.
- Number and cost of one permanent move to a unit not within the same building/complex
- Any required dislocation allowance

- 4) The estimated cost of projected increases in monthly housing costs and other expenses for temporary relocation (if applicable).
- 5) The estimated cost of projected replacement housing payments (RHP) (42-month period for URA or 60-month period if Section 104(d) applies).
- 6) Contingency costs estimated for carrying out the relocation process necessary to complete the proposed project.

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<sup>121</sup> See also, CPD Notice 2014-09 "Effective Date of Moving Ahead for Progress in the 21<sup>st</sup> Century Act (MAP-21) Changes to Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (URA) Payment Limits and Replacement Housing Payment Eligibility Criteria."

## **VIII. Written and Oral Communications with Individuals with Disabilities and LEP Persons and Use of Accessible Meeting Locations**

The Plan should identify how the PHA or Project Owner will take appropriate steps to ensure effective communication with residents and other individuals with disabilities involved in the relocation, such as through the provision of sign language and other interpreters and large print, Braille, accessible electronic, and other alternate format written communications. The Plan should identify the measures to be taken to ensure the most integrated meeting settings appropriate to individuals with disabilities. The Plan should identify how the PHA or Project Owner will ensure meaningful access for LEP persons, such as through written materials and oral communications provided in languages other than English.

## **IX. Appeal Process**

The Plan should specify the procedures to be followed if a resident disagrees with the PHA's or Project Owner's decision as to the resident's eligibility to receive relocation assistance, the amount of a relocation payment, or the adequacy of a comparable replacement dwelling offered to a resident. These procedures should include the process for filing a written appeal to the displacing agency and the specific appeal procedures to be followed consistent with 49 C.F.R. 24.10 (and 24 C.F.R. § 42.390 if Section 104(d) is involved).

## **X. Certification**

The Plan should contain a certification of compliance with this Notice (or H 2014-09/PIH 2014-17, if applicable), the URA, fair housing and civil rights requirements and, if applicable, Section 104(d).

## **Technical Assistance**

For detailed technical assistance regarding the contents or provisions of a written relocation plan, the PHA or Project Owner should direct questions to their RAD Transaction Manager or email [rad@hud.gov](mailto:rad@hud.gov).