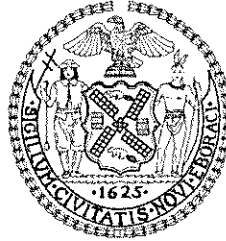


Financial Plan Statements
for
New York City
January 2013



The City of New York



This report contains the Financial Plan Statements for January 2013 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on January 29, 2013.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

THE CITY OF NEW YORK
BY

A handwritten signature in black ink, appearing to read "John Grathwol", written over a horizontal line.

John Grathwol

Deputy Director for Budget Resources,
Accounting and Workforce
Office of Management and Budget

A handwritten signature in black ink, appearing to read "Ari Hoffnung", written over a horizontal line.

Ari Hoffnung

Deputy Comptroller for Budget and Public Affairs
Office of the Comptroller

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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2012 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles, except for the application of GASB 49.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2013 for OTPS purchase orders and contracts expected to be received by June 30, 2013 are treated as expenditures.

(d) Risk Management

The City generally assumes the risk of its own losses with respect to most types of risks, including, but not limited to, property damage (both claims against the City and damage to the City's own property), personal injury, and workers' compensation; any losses incurred are paid out of the City's budget. The City's budgets and financial plans include estimates of judgments and claims to be settled annually, but there are no cash reserves for estimated losses incurred. Settlements reached or judgments entered during FY 2013 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2013.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The reporting of actual capital commitments, as well as sources and uses of capital expenditures, are based upon the accounting period of the transaction.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Financial Plan Statements can be accessed through the New York City Office of Management and Budget's website at: www.nyc.gov/omb

Report No. 1 & 1A

Revenue and Obligation Forecast

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: JANUARY
FISCAL YEAR 2013**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
REVENUES:							
TAXES							
GENERAL PROPERTY TAX	\$ 2,747	\$ 2,258	\$ 489	\$ 17,203	\$ 16,856	\$ 347	\$ 18,440
OTHER TAXES	3,100	2,893	207	14,099	13,735	364	25,631
SUBTOTAL: TAXES	\$ 5,847	\$ 5,151	\$ 696	\$ 31,302	\$ 30,591	\$ 711	\$ 44,071
MISCELLANEOUS REVENUES	689	486	203	3,329	3,103	226	6,586
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-	-
LESS: INTRA-CITY REVENUE DISALLOWANCES	(225)	(169)	(56)	(554)	(535)	(19)	(1,777)
	-	-	-	-	-	-	(15)
SUBTOTAL: CITY FUNDS	\$ 6,311	\$ 5,468	\$ 843	\$ 34,077	\$ 33,159	\$ 918	\$ 48,865
OTHER CATEGORICAL GRANTS	48	49	(1)	347	380	(33)	981
INTER-FUND REVENUES	20	71	(51)	158	236	(78)	571
FEDERAL CATEGORICAL GRANTS	444	727	(283)	1,826	2,616	(790)	8,655
STATE CATEGORICAL GRANTS	822	1,038	(216)	4,365	4,613	(248)	11,301
TOTAL REVENUES	\$ 7,645	\$ 7,353	\$ 292	\$ 40,773	\$ 41,004	\$ (231)	\$ 70,373
EXPENDITURES:							
PERSONAL SERVICE	\$ 2,980	\$ 2,922	\$ (58)	\$ 19,092	\$ 18,905	\$ (187)	\$ 37,593
OTHER THAN PERSONAL SERVICE	2,102	1,773	(329)	19,396	19,322	(74)	29,728
DEBT SERVICE	115	112	(3)	1,452	1,423	(29)	4,729
GENERAL RESERVE	-	-	-	-	-	-	100
SUBTOTAL	\$ 5,197	\$ 4,807	\$ (390)	\$ 39,940	\$ 39,650	\$ (290)	\$ 72,150
LESS: INTRA-CITY EXPENSES	(225)	(169)	56	(554)	(535)	19	(1,777)
TOTAL EXPENDITURES	\$ 4,972	\$ 4,638	\$ (334)	\$ 39,386	\$ 39,115	\$ (271)	\$ 70,373
NET TOTAL	\$ 2,673	\$ 2,715	\$ (42)	\$ 1,387	\$ 1,889	\$ (502)	\$ -

Note: For additional details on revenues, see Report No. 3. For additional details on expenditures, see Report No. 4 and the corresponding notes.

NOTES TO REPORT #1

The current month and year-to-date plan data in Report No. 1 reflect the Financial Plan submitted to the Financial Control Board on November 9, 2012. The fiscal year plan data in Report No. 1 reflect the Financial Plan submitted to the Financial Control Board on January 29, 2013.

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: JANUARY
FISCAL YEAR 2013

	ACTUAL							FORECAST						
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	TOTAL YEAR
REVENUES:														
TAXES														
GENERAL PROPERTY TAX	\$ 8,582	\$ 198	\$ 790	\$ 684	\$ 78	\$ 4,124	\$ 2,747	\$ (1)	\$ 933	\$ 324	\$ (7)	\$ 13	\$ (25)	\$ 18,440
OTHER TAXES	1,057	1,110	3,164	1,487	1,292	2,889	3,100	1,197	2,678	2,531	1,127	3,631	368	25,631
SUBTOTAL: TAXES	\$ 9,639	\$ 1,308	\$ 3,954	\$ 2,171	\$ 1,370	\$ 7,013	\$ 5,847	\$ 1,196	\$ 3,611	\$ 2,855	\$ 1,120	\$ 3,644	\$ 343	\$ 44,071
MISCELLANEOUS REVENUES	624	398	327	398	573	320	689	437	412	396	607	1,035	370	6,586
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-	-	-	-	-	-	-	-	-
LESS: INTRA-CITY REVENUE DISALLOWANCES	(1)	(16)	(37)	(68)	(185)	(22)	(225)	(97)	(151)	(152)	(50)	(403)	(370)	(1,777)
SUBTOTAL: CITY FUNDS	\$ 10,262	\$ 1,690	\$ 4,244	\$ 2,501	\$ 1,758	\$ 7,311	\$ 6,311	\$ 1,536	\$ 3,872	\$ 3,099	\$ 1,677	\$ 4,276	\$ 328	\$ 48,865
OTHER CATEGORICAL GRANTS	17	26	137	75	24	20	48	69	78	44	26	417	-	981
INTER-FUND REVENUES	-	-	52	32	28	26	20	52	46	86	48	75	106	571
FEDERAL CATEGORICAL GRANTS	30	33	94	638	268	319	444	1,043	783	896	837	796	2,474	8,655
STATE CATEGORICAL GRANTS	5	9	1,475	444	697	913	822	1,071	1,075	1,159	929	1,206	1,496	11,301
TOTAL REVENUES	\$ 10,314	\$ 1,758	\$ 6,002	\$ 3,690	\$ 2,775	\$ 8,589	\$ 7,645	\$ 3,771	\$ 5,854	\$ 5,284	\$ 3,517	\$ 6,770	\$ 4,404	\$ 70,373
EXPENDITURES:														
PERSONAL SERVICE	\$ 1,996	\$ 2,107	\$ 2,827	\$ 2,852	\$ 2,884	\$ 3,446	\$ 2,980	\$ 2,811	\$ 2,907	\$ 2,827	\$ 2,887	\$ 5,169	\$ 1,900	\$ 37,593
OTHER THAN PERSONAL SERVICE	8,241	3,262	1,643	1,621	1,092	1,435	2,102	1,523	1,695	1,863	1,403	2,516	1,332	29,728
DEBT SERVICE	120	356	161	326	125	249	115	385	423	711	322	1,436	-	4,729
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	100	100
SUBTOTAL	\$ 10,357	\$ 5,725	\$ 4,631	\$ 4,799	\$ 4,101	\$ 5,130	\$ 5,197	\$ 4,719	\$ 5,025	\$ 5,401	\$ 4,612	\$ 9,121	\$ 3,332	\$ 72,150
LESS: INTRA-CITY EXPENSES	(1)	(16)	(37)	(68)	(185)	(22)	(225)	(97)	(151)	(152)	(50)	(403)	(370)	(1,777)
TOTAL EXPENDITURES	\$ 10,356	\$ 5,709	\$ 4,594	\$ 4,731	\$ 3,916	\$ 5,108	\$ 4,972	\$ 4,622	\$ 4,874	\$ 5,249	\$ 4,562	\$ 8,718	\$ 2,962	\$ 70,373
NET TOTAL	\$ (42)	\$ (3,951)	\$ 1,408	\$ (1,041)	\$ (1,141)	\$ 3,481	\$ 2,673	\$ (851)	\$ 980	\$ 35	\$ (1,045)	\$ (1,948)	\$ 1,442	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)**

**MONTH: JANUARY
FISCAL YEAR 2013**

DESCRIPTION	INITIAL PLAN 6/28/2012	1st QUARTER MOD CHANGES	PRELIMINARY BUDGET CHANGES	EXECUTIVE BUDGET CHANGES	ADOPTED BUDGET CHANGES	CURRENT PLAN 1/29/2013
REVENUES:						
TAXES						
GENERAL PROPERTY TAX	\$ 18,417	\$ 13	\$ 10	\$ -	\$ -	\$ 18,440
OTHER TAXES	25,227	182	222	-	-	25,631
SUBTOTAL: TAXES	<u>\$ 43,644</u>	<u>\$ 195</u>	<u>\$ 232</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 44,071</u>
MISCELLANEOUS REVENUES	6,949	(443)	80	-	-	6,586
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-
LESS: INTRA-CITY REVENUE DISALLOWANCES	(1,631) (15)	(73) -	(73) -	-	-	(1,777) (15)
SUBTOTAL: CITY FUNDS	<u>\$ 48,947</u>	<u>\$ (321)</u>	<u>\$ 239</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 48,865</u>
OTHER CATEGORICAL GRANTS	924	54	3	-	-	981
INTER-FUND REVENUES	539	(1)	33	-	-	571
FEDERAL CATEGORICAL GRANTS	6,661	601	1,393	-	-	8,655
STATE CATEGORICAL GRANTS	11,430	136	(265)	-	-	11,301
TOTAL REVENUES	<u><u>\$ 68,501</u></u>	<u><u>\$ 469</u></u>	<u><u>\$ 1,403</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ 70,373</u></u>
EXPENDITURES:						
PERSONAL SERVICE	\$ 37,292	\$ 64	\$ 237	\$ -	\$ -	\$ 37,593
OTHER THAN PERSONAL SERVICE	28,642	511	575	-	-	29,728
DEBT SERVICE	3,898	(33)	864	-	-	4,729
GENERAL RESERVE	300	-	(200)	-	-	100
SUBTOTAL	<u>\$ 70,132</u>	<u>\$ 542</u>	<u>\$ 1,476</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 72,150</u>
LESS: INTRA-CITY EXPENSES	(1,631)	(73)	(73)	-	-	(1,777)
TOTAL EXPENDITURES	<u><u>\$ 68,501</u></u>	<u><u>\$ 469</u></u>	<u><u>\$ 1,403</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ 70,373</u></u>

NOTES TO REPORT #2

REVENUE:

Taxes: The forecast for taxes increases by \$232 million from previous level to reflect the latest economic outlook and latest trends in collections. The increase in the forecast includes \$10 million in general property tax and \$222 million in other taxes.

Miscellaneous Revenue: The increase of \$80 million is primarily due to \$73 million in Intra-City Revenues, \$37 million in Miscellaneous Revenue and \$12 million in Rental Income, offset by decreases of \$15 million in Licenses and Franchises, \$12 million in Charges for Services, \$12 million in Fines and Forfeitures and \$3 million in Water and Sewer Charges.

Other Categorical Grants: The increase of \$3 million in Other Categorical grants is primarily due to funding adjustments reflected in the expenditure forecast and categorical budget modifications.

Federal and State Grants: The increase of \$1.393 billion in Federal categorical grants is primarily due to funding adjustments reflected in the expenditure forecast and categorical budget modifications. The decrease of \$265 million in State categorical grants is primarily due to funding adjustments reflected in the expenditure forecast and categorical budget modifications.

EXPENDITURES:

The increase of \$1.403 billion in total expenditures from the previous forecast is summarized in the following table on the next page.

EXPENDITURES PLAN TO PLAN CHANGES
TOTAL FUNDS*
(MILLIONS OF DOLLARS)

Agency	11/9/12 Plan	New Needs/ PRS	Collective Bargaining	Budget Stabilization Account/ Prepayments	All Other Adjustments	PEGs	1/29/13 Plan
Uniform Forces							
Police Department	\$ 4,594	\$ 4	\$ -	\$ -	\$ 126	\$ -	\$ 4,724
Fire Department	1,873	5	-	-	19	-	1,897
Department of Correction	1,065	1	-	-	3	-	1,069
Department of Sanitation	1,360	-	-	-	113	-	1,473
Health and Welfare							
Child Services	2,812	1	-	-	11	(5)	2,819
Social Services	9,282	-	-	-	63	-	9,345
Homeless Services	925	-	-	-	29	-	954
Health & Mental Hygiene	1,645	-	-	-	24	3	1,672
Other Mayoral							
HPD	611	-	-	-	45	-	656
Environmental Protection	1,140	1	-	-	552	-	1,693
Finance	227	-	-	-	-	-	227
Transportation	824	1	-	-	87	-	912
Parks	308	9	-	-	83	-	400
Dept. of Administrative Services	388	1	-	-	18	-	407
All Other Mayoral	2,413	33	-	-	46	10	2,502
Education							
Department of Education	19,492	-	-	-	(288)	-	19,204
CUNY	833	-	-	-	-	-	833
Covered Organization							
HHC	72	-	-	-	100	-	172
Other							
Pensions	7,937	-	-	-	-	-	7,937
Miscellaneous	6,456	6	-	-	136	-	6,598
Debt Service	3,865	-	-	961	(97)	-	4,729
General Reserve	300	-	-	-	(200)	-	100
Energy Adjustment	-	-	-	-	(13)	-	(13)
Prior Payable Adjustment	-	-	-	-	(500)	-	(500)
Elected Officials							
Mayoralty	93	-	-	-	6	-	99
All Other Elected	455	-	-	-	8	1	464
Total	\$ 68,970	\$ 62	\$ -	\$ 961	\$ 371	\$ 9	\$ 70,373

* Less Intra-city

Report No. 3

Revenue Activity by Major Area

NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)

MONTH: JANUARY
FISCAL YEAR 2013

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
TAXES:							
GENERAL PROPERTY TAX	\$ 2,747	\$ 2,258	\$ 489	\$ 17,203	\$ 16,856	\$ 347	\$ 18,440
PERSONAL INCOME TAX	1,522	1,397	125	5,091	4,937	154	8,488
GENERAL CORPORATION TAX	59	73	(14)	1,106	1,051	55	2,493
BANKING CORPORATION TAX	(61)	7	(68)	575	677	(102)	1,361
UNINCORPORATED BUSINESS TAX	427	394	33	916	874	42	1,763
GENERAL SALES TAX	525	517	8	3,475	3,471	4	6,061
REAL PROPERTY TRANSFER TAX	151	79	72	678	566	112	1,036
MORTGAGE RECORDING TAX	93	49	44	435	342	93	656
COMMERCIAL RENT TAX	11	12	(1)	323	324	(1)	653
UTILITY TAX	36	37	(1)	186	193	(7)	382
OTHER TAXES	66	53	13	508	489	19	1,071
TAX AUDIT REVENUES	52	61	(9)	399	409	(10)	838
STAR PROGRAM	219	214	5	407	402	5	829
SUBTOTAL TAXES	\$ 5,847	\$ 5,151	\$ 696	\$ 31,302	\$ 30,591	\$ 711	\$ 44,071
MISCELLANEOUS REVENUES:							
LICENSES/FRANCHISES/ETC.	\$ 36	\$ 36	\$ -	\$ 335	\$ 334	\$ 1	\$ 554
INTEREST INCOME	1	2	(1)	8	8	-	17
CHARGES FOR SERVICES	132	109	23	430	361	69	876
WATER AND SEWER CHARGES	179	72	107	1,166	1,004	162	1,505
RENTAL INCOME	23	8	15	150	147	3	303
FINES AND FORFEITURES	62	70	(8)	470	502	(32)	800
MISCELLANEOUS	31	20	11	216	212	4	754
INTRA-CITY REVENUE	225	169	56	554	535	19	1,777
SUBTOTAL MISCELLANEOUS REVENUES	\$ 689	\$ 486	\$ 203	\$ 3,329	\$ 3,103	\$ 226	\$ 6,586
UNRESTRICTED INTGVT. AID							
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	-
OTHER INTGVT. AID	-	-	-	-	-	-	-
SUBTOTAL UNRESTRICTED INTGVT. AID	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
LESS: INTRA-CITY REVENUES	(225)	(169)	(56)	(554)	(535)	(19)	(1,777)
DISALLOWANCES	-	-	-	-	-	-	(15)
SUBTOTAL CITY FUNDS	\$ 6,311	\$ 5,468	\$ 843	\$ 34,077	\$ 33,159	\$ 918	\$ 48,865

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)
(MILLIONS OF DOLLARS)**

**MONTH: JANUARY
FISCAL YEAR 2013**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
OTHER CATEGORICAL GRANTS	\$ 48	\$ 49	\$ (1)	\$ 347	\$ 380	\$ (33)	\$ 981
INTER-FUND REVENUES	20	71	(51)	158	236	(78)	571
FEDERAL CATEGORICAL GRANTS							
COMMUNITY DEVELOPMENT	\$ 15	\$ 19	\$ (4)	\$ 96	\$ 107	\$ (11)	\$ 229
WELFARE	202	256	(54)	939	1,183	(244)	3,293
EDUCATION	19	199	(180)	91	520	(429)	1,956
OTHER	208	253	(45)	700	806	(106)	3,177
SUBTOTAL FEDERAL CATEGORICAL GRANTS	\$ 444	\$ 727	\$ (283)	\$ 1,826	\$ 2,616	\$ (790)	\$ 8,655
STATE CATEGORICAL GRANTS							
WELFARE	\$ 92	\$ 113	\$ (21)	\$ 455	\$ 522	\$ (67)	\$ 1,495
EDUCATION	691	745	(54)	3,714	3,795	(81)	8,084
HIGHER EDUCATION	1	-	1	48	-	48	235
HEALTH AND MENTAL HYGIENE	9	127	(118)	47	216	(169)	582
OTHER	29	53	(24)	101	80	21	905
SUBTOTAL STATE CATEGORICAL GRANTS	\$ 822	\$ 1,038	\$ (216)	\$ 4,365	\$ 4,613	\$ (248)	\$ 11,301
TOTAL REVENUES	\$ 7,645	\$ 7,353	\$ 292	\$ 40,773	\$ 41,004	\$ (231)	\$ 70,373

NOTES TO REPORT #3

The current month and year-to-date plan data in Report No. 3 reflect the Financial Plan submitted to the Financial Control Board on November 9, 2012. The fiscal year plan data in Report No. 3 reflect the Financial Plan submitted to the Financial Control Board on January 29, 2013.

Report No. 4 & 4A

Obligation Analysis and Personnel Control Report

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: JANUARY
FISCAL YEAR 2013**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
UNIFORM FORCES							
POLICE DEPT.	\$ 431	\$ 420	\$ (11)	\$ 2,890	\$ 2,788	\$ (102)	\$ 4,953
FIRE DEPT.	151	150	(1)	1,076	1,140	64	1,900
DEPT. OF CORRECTION	96	90	(6)	647	640	(7)	1,070
SANITATION DEPT.	118	145	27	946	944	(2)	1,476
HEALTH & WELFARE							
ADMIN. FOR CHILD SERVICES	398	148	(250)	2,177	2,098	(79)	2,872
DEPT. OF SOCIAL SERVICES	779	710	(69)	5,666	5,350	(316)	9,356
DEPT. OF HOMELESS SERVICES	30	76	46	696	789	93	955
HEALTH & MENTAL HYGIENE	74	69	(5)	1,044	1,121	77	1,681
OTHER AGENCIES							
HOUSING PRESERVATION & DEV.	87	185	98	443	493	50	660
ENVIRONMENTAL PROTECTION	331	149	(182)	886	756	(130)	1,694
TRANSPORTATION DEPT.	71	50	(21)	589	549	(40)	913
PARKS & RECREATION DEPT.	41	25	(16)	239	216	(23)	451
DEPT. OF CITYWIDE ADMIN. SERVICES	10	16	6	979	1,149	170	1,242
ALL OTHER	193	267	74	2,233	2,398	165	3,476
MAJOR ORGANIZATIONS							
DEPT. OF EDUCATION	1,236	1,165	(71)	9,901	9,802	(99)	19,223
HIGHER EDUCATION	25	60	35	340	386	46	865
HEALTH & HOSPITALS CORP.	13	4	(9)	97	83	(14)	299
OTHER							
MISCELLANEOUS BUDGET:							
FRINGE BENEFITS	252	213	(39)	1,762	1,722	(40)	3,973
TRANSIT SUBSIDIES	(10)	20	30	564	493	(71)	786
JUDGMENTS & CLAIMS	72	-	(72)	345	273	(72)	735
OTHER	24	50	26	329	368	39	1,179
PENSION CONTRIBUTIONS	660	683	23	4,639	4,669	30	8,062
DEBT SERVICE	115	112	(3)	1,452	1,423	(29)	4,729
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	(500)
SUBTOTAL	\$ 5,197	\$ 4,807	\$ (390)	\$ 39,940	\$ 39,650	\$ (290)	\$ 72,050
PLUS GENERAL RESERVE	-	-	-	-	-	-	100
LESS: INTRA-CITY EXPENSES	(225)	(169)	56	(554)	(535)	19	(1,777)
TOTAL EXPENDITURES	\$ 4,972	\$ 4,638	\$ (334)	\$ 39,386	\$ 39,115	\$ (271)	\$ 70,373

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: JANUARY
FISCAL YEAR 2013**

	FT & FTE POSITIONS		PERSONAL SERVICE (PS) COSTS						FT & FTE POSITIONS	PS COST
	CURRENT MONTH		CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR	
	ACTUAL	PLAN ¹	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN	PLAN
UNIFORM FORCES										
POLICE DEPT.	51,025	51,106	\$ 405	\$ 412	\$ 7	\$ 2,607	\$ 2,519	\$ (88)	50,315	\$ 4,433
FIRE DEPT.	15,528	15,317	138	136	(2)	931	936	5	15,269	1,646
DEPT. OF CORRECTION	10,286	10,587	86	85	(1)	561	540	(21)	10,595	946
SANITATION DEPT.	9,258	9,354	66	73	7	504	473	(31)	9,330	909
HEALTH & WELFARE										
ADMIN. FOR CHILD SERVICES	6,126	6,623	30	31	1	223	226	3	6,562	393
DEPT. OF SOCIAL SERVICES	13,948	14,263	56	58	2	424	431	7	14,610	759
DEPT. OF HOMELESS SERVICES	1,819	1,949	9	9	-	67	69	2	1,953	120
HEALTH & MENTAL HYGIENE	5,663	6,320	28	30	2	206	224	18	6,466	397
OTHER AGENCIES										
ENVIRONMENTAL PROTECTION	5,618	6,042	34	35	1	257	265	8	6,107	464
TRANSPORTATION DEPT. ²	4,717	4,347	28	26	(2)	219	199	(20)	4,690	374
PARKS & RECREATION DEPT. ³	6,082	4,503	22	17	(5)	176	156	(20)	6,506	313
CITYWIDE ADMIN. SERVICES	2,027	2,409	11	11	-	82	83	1	2,216	143
ALL OTHER	29,047	29,386	156	150	(6)	1,163	1,147	(16)	30,657	2,141
MAJOR ORGANIZATIONS										
DEPT. OF EDUCATION	132,063	132,367	999	948	(51)	5,271	5,232	(39)	131,121	12,414
OTHER										
MISCELLANEOUS BUDGET	-	-	252	218	(34)	1,762	1,736	(26)	-	4,079
PENSION CONTRIBUTIONS	-	-	660	683	23	4,639	4,669	30	-	8,062
TOTAL	293,207	294,573	\$ 2,980	\$ 2,922	\$ (58)	\$ 19,092	\$ 18,905	\$ (187)	296,397	\$ 37,593

¹ Includes planned full-time headcount and estimates of planned FTEs.

² Planned headcount excludes positions for grants that have not yet been added to the budget.

³ Planned headcount reflects the November 2012 Financial Plan and does not include Federal funding related to Hurricane Sandy.

NOTES TO REPORTS NO. 4 AND 4A

The current month and year-to-date plan data in Reports No. 4 and 4A reflect the Financial Plan submitted to the Financial Control Board on November 9, 2012. The fiscal year plan data in Reports No. 4 and 4A reflect the Financial Plan submitted to the Financial Control Board on January 29, 2013.

In some instances prior year charges are reflected in FY 2013 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$(102) million year-to-date variance is primarily due to:

- \$(20) million in accelerated encumbrances, including \$(13) million for property and equipment and \$(7) million for contractual services, that was planned to be obligated later in the fiscal year.
- \$6 million in delayed encumbrances, including \$4 million for supplies and materials and \$1 million for other services and charges, that will be obligated later in the fiscal year.
- \$(88) million in personal services, including \$(100) million for overtime, \$(16) million for differentials, \$(4) million for full-time normal gross and \$(2) million for prior year charges, offset by \$26 million for holiday pay, \$3 million for fringe benefits and \$2 million for other salaried positions.

Fire Department: The \$64 million year-to-date variance is primarily due to:

- \$(7) million in accelerated encumbrances, primarily for property and equipment, that was planned to be obligated later in the fiscal year.
- \$66 million in delayed encumbrances, including \$61 million for other services and charges and \$4 million for contractual services, that will be obligated later in the fiscal year.
- \$5 million in personal services, including \$8 million for overtime and \$3 million for fringe benefit, offset by \$(2) million for full-time normal gross, \$(2) million for differentials, \$(1) million for other salaried positions and \$(1) million for holiday pay.

Administration for Children's Services: The \$(79) million year-to-date variance is primarily due to:

- \$(147) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$65 million in delayed encumbrances, including \$46 million for fixed and miscellaneous charges and \$16 million for other services and charges, that will be obligated later in the fiscal year.

- \$3 million in personal services.

Department of Social Services: The \$(316) million year-to-date variance is primarily due to:

- \$(326) million in accelerated encumbrances, including \$(265) million for medical assistance, \$(33) million for contractual services and \$(26) million for public assistance, that was planned to be obligated later in the fiscal year.
- \$3 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.
- \$7 million in personal services, including \$22 million for full-time normal gross, offset by \$(10) million for differentials, \$(2) million for overtime, \$(1) million for other salaried positions and \$(1) million for terminal leave.

Department of Homeless Services: The \$93 million year-to-date variance is primarily due to:

- \$(1) million in accelerated encumbrances, primarily for property and equipment, that was planned to be obligated later in the fiscal year.
- \$92 million in delayed encumbrances, including \$90 million for contractual services and \$2 million for other services and charges, that will be obligated later in the fiscal year.
- \$2 million in personal services.

Department of Health and Mental Hygiene: The \$77 million year-to-date variance is primarily due to:

- \$(4) million in accelerated encumbrances, primarily for social services, that was planned to be obligated later in the fiscal year.
- \$63 million in delayed encumbrances, including \$38 million for contractual services, \$17 million for other services and charges, \$5 million for supplies and materials and \$3 million for property and equipment, that will be obligated later in the fiscal year.
- \$18 million in personal services, including \$15 million for other salaried positions and \$12 million for full-time normal gross, offset by \$(5) million for differentials, \$(2) million for holiday pay and \$(2) million for overtime.

Housing Preservation and Development: The \$50 million year-to-date variance is primarily due to:

- \$48 million in delayed encumbrances, including \$31 million for fixed and miscellaneous charges, \$13 million for contractual services and \$3 million for other services and charges, that will be obligated later in the fiscal year.
- \$2 million in personal services.

Environmental Protection: The \$(130) million year-to-date variance is primarily due to:

- \$(232) million in accelerated encumbrances, including \$(228) million for contractual services and \$(4) million for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$94 million in delayed encumbrances, including \$67 million for other services and charges, \$19 million for fixed and miscellaneous charges and \$7 million for property and equipment, that will be obligated later in the fiscal year.
- \$8 million in personal services, including \$17 million for full-time normal gross and \$3 million for fringe benefits, offset by \$(7) million for overtime, \$(3) million for differentials and \$(1) million for holiday pay.

Transportation Department: The \$(40) million year-to-date variance is primarily due to:

- \$(22) million in accelerated encumbrances, including \$(11) million for supplies and materials and \$(11) million for contractual services, that was planned to be obligated later in the fiscal year.
- \$2 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.
- \$(20) million in personal services, including \$(9) million for overtime, \$(5) million for differentials, \$(4) million for other salaried positions and \$(2) million for holiday pay.

Department of Parks and Recreation: The \$(23) million year-to-date variance is primarily due to:

- \$(9) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$6 million in delayed encumbrances, including \$4 million for supplies and materials and \$1 million for other services and charges, that will be obligated later in the fiscal year.
- \$(20) million in personal services, including \$(11) million for other salaried positions, \$(7) million for overtime, \$(1) million for differentials and \$(1) million for holiday pay, offset by \$1 million for fringe benefits.

Department of Citywide Administrative Services: The \$170 million year-to-date variance is primarily due to:

- \$169 million in delayed encumbrances, including \$152 million for other services and charges, \$12 million for contractual services and \$5 million for supplies and materials, that will be obligated later in the fiscal year.
- \$1 million in personal services.

Department of Education: The \$(99) million year-to-date variance is primarily due to:

- \$(221) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$161 million in delayed encumbrances, including \$112 million for supplies and materials, \$23 million for property and equipment, \$17 million for fixed and miscellaneous charges and \$9 million for other services and charges, that will be obligated later in the fiscal year.
- \$(39) million in personal services, including \$(25) million for fringe benefits, \$(14) million for full-time normal gross, \$(8) million for differentials, \$(7) million for prior year charges and \$(4) million for overtime, offset by \$11 million for other salaried positions, \$6 million for terminal leave and \$2 million for all other.

Higher Education: The \$46 million year-to-date variance is primarily due to:

- \$(3) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$81 million in delayed encumbrances, including \$75 million for fixed and miscellaneous charges and \$6 million for other services and charges, that will be obligated later in the fiscal year.
- \$(32) million in personal services, including \$(21) million for full-time normal gross, \$(6) million for fringe benefits, \$(1) million for differentials, \$(1) million for all other, \$(1) million for overtime and \$(1) million for other salaried positions.

Health and Hospitals Corporation: The \$(14) million year-to-date variance is primarily due to:

- \$(14) million in accelerated encumbrances, primarily for fixed and miscellaneous charges, that was planned to be obligated later in the fiscal year.

Miscellaneous Budget: The \$(144) million year-to-date variance is primarily due to:

- \$(40) million in fringe benefits reflecting accelerated encumbrances, that was planned to be obligated later in the fiscal year.
- \$(71) million in transit subsidies reflecting accelerated encumbrances, that was planned to be obligated later in the fiscal year.
- \$(72) million in judgments and claims reflecting accelerated encumbrances, that was planned to be obligated later in the fiscal year.
- \$39 million in other reflecting delayed encumbrances, that will be obligated later in the fiscal year.

Pension Contributions: The \$30 million year-to-date variance is primarily due to:

- \$30 million in delayed encumbrances in pension contributions, that will be obligated later in the fiscal year.

Debt Service: The \$(29) million year-to-date variance is primarily due to:

- \$(39) million in accelerated encumbrances, including \$(26) million for blended component units and \$(13) million for costs associated with financing, that was planned to be obligated later in the fiscal year.
- \$10 million in delayed encumbrances, including \$7 million for general interest on bonds and \$3 million for payments to counterparties, that will be obligated later in the fiscal year.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: JANUARY		FISCAL YEAR: 2013		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	(\$2.0) (C) 2.0 (N)	\$0.0 (C) 0.0 (N)	\$83.4 (C) 2.0 (N)	\$0.0 (C) 0.0 (N)	\$278.4 (C) 2.0 (N)
HIGHWAY AND STREETS	22.6 (C) 0.9 (N)	22.5 (C) 6.8 (N)	64.4 (C) 45.5 (N)	63.9 (C) 48.2 (N)	638.6 (C) 315.1 (N)
HIGHWAY BRIDGES	10.8 (C) 0.0 (N)	0.0 (C) 0.0 (N)	37.6 (C) 0.0 (N)	94.6 (C) 0.0 (N)	581.4 (C) 333.5 (N)
WATERWAY BRIDGES	15.6 (C) 37.3 (N)	0.0 (C) 0.0 (N)	12.3 (C) (2.2) (N)	5.5 (C) 0.0 (N)	193.7 (C) 22.8 (N)
WATER SUPPLY	3.0 (C) 0.0 (N)	0.0 (C) 0.0 (N)	223.2 (C) 0.0 (N)	27.7 (C) 0.0 (N)	315.1 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	22.6 (C) 0.0 (N)	2.8 (C) 0.0 (N)	155.3 (C) 0.5 (N)	89.0 (C) 0.2 (N)	643.2 (C) 33.5 (N)
SEWERS	19.3 (C) 1.4 (N)	3.7 (C) 0.3 (N)	193.8 (C) 1.7 (N)	154.1 (C) 2.0 (N)	436.6 (C) 2.0 (N)
WATER POLLUTION CONTROL	26.2 (C) (0.2) (N)	0.0 (C) 0.0 (N)	84.3 (C) (0.2) (N)	32.7 (C) 0.0 (N)	698.1 (C) 11.6 (N)
ECONOMIC DEVELOPMENT	37.0 (C) 1.0 (N)	0.0 (C) 0.0 (N)	169.1 (C) 3.7 (N)	39.3 (C) 2.8 (N)	1,082.5 (C) 137.6 (N)
EDUCATION	32.8 (C) 0.0 (N)	38.8 (C) 0.0 (N)	681.1 (C) 588.9 (N)	687.1 (C) 588.9 (N)	1,641.6 (C) 987.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: JANUARY		FISCAL YEAR: 2013		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	16.5 (C) 0.0 (N)	30.9 (C) 0.0 (N)	82.7 (C) 0.0 (N)	169.9 (C) 0.0 (N)	461.1 (C) 0.0 (N)
SANITATION	5.3 (C) 0.0 (N)	181.3 (C) 2.2 (N)	270.2 (C) 5.7 (N)	261.1 (C) 6.8 (N)	838.6 (C) 6.8 (N)
POLICE	12.6 (C) 0.0 (N)	23.2 (C) 0.0 (N)	39.2 (C) 0.0 (N)	77.1 (C) 0.0 (N)	296.2 (C) 0.0 (N)
FIRE	26.0 (C) (0.0) (N)	0.2 (C) 0.0 (N)	58.1 (C) 2.2 (N)	9.1 (C) (0.0) (N)	278.3 (C) 9.3 (N)
HOUSING	12.1 (C) 2.2 (N)	6.9 (C) 0.0 (N)	84.9 (C) 20.3 (N)	98.8 (C) 2.2 (N)	1,293.8 (C) 129.3 (N)
HOSPITALS	6.0 (C) 0.0 (N)	14.0 (C) 0.0 (N)	147.3 (C) 0.0 (N)	154.8 (C) 0.0 (N)	824.2 (C) 0.0 (N)
PUBLIC BUILDINGS	3.2 (C) 0.0 (N)	2.5 (C) 0.0 (N)	73.7 (C) 0.0 (N)	73.7 (C) 0.0 (N)	685.9 (C) 0.0 (N)
PARKS	23.5 (C) 3.9 (N)	15.7 (C) 0.0 (N)	150.8 (C) 15.9 (N)	45.6 (C) 0.3 (N)	1,193.0 (C) 190.2 (N)
ALL OTHER DEPARTMENTS	44.4 (C) 17.0 (N)	16.2 (C) 4.0 (N)	268.3 (C) 65.2 (N)	194.6 (C) 40.9 (N)	4,122.3 (C) 343.5 (N)
TOTAL	\$337.6 (C) \$65.5 (N)	\$358.7 (C) \$13.3 (N)	\$2,879.5 (C) \$749.3 (N)	\$2,278.3 (C) \$692.3 (N)	\$16,502.4 (C) \$2,524.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: January

Fiscal Year: 2013

City Funds:

Total Authorized Commitment Plan	\$16,502
Less: Reserve for Unattained Commitments Commitment Plan	<u>(4,999)</u>
	<u>\$11,503</u>

Non-City Funds:

Total Authorized Commitment Plan	\$2,524
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u>
	<u>\$2,524</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2013 January Capital Commitment Plan of \$16,502 million rather than the Financial Plan level of \$11,503 million. The additional \$4,999 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through January are primarily due to timing differences.

- | | | |
|-------------------------|---|---|
| Waterway Bridges | - | Reconstruction of the Willis Avenue Bridge, totaling \$2.8 million, advanced from June 2013 to November and December 2012 and January 2013. Reconstruction of the Williamsburg Bridge, totaling \$4.1 million, advanced from June 2013 to January 2013. Various slippages and advances account for the remaining variance. |
| Correction | - | Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$69.4 million, slipped from July 2012 thru January 2013 to March 2013. Acquisition and construction of the supplementary housing program and support facilities, totaling \$7.8 million, slipped from July thru December 2012 to March 2013. Purchase of computer equipment for use by the Department of Correction, totaling \$6.1 million, slipped from October 2012 to March 2013. Riker's Island infrastructure, totaling \$2.0 million, slipped from January 2013 to March 2013. Various slippages and advances account for the remaining variance. |
| Education | - | Funding for Education, totaling \$6.0 million, slipped from January 2013 to March 2013. |
| Economic
Development | - | Acquisition, site development, construction and reconstruction related to Economic Development, totaling \$118.2 million, advanced from June 2013 to July thru December 2012 and January 2013. Construction related to the Brooklyn Navy Yard, totaling \$9.7 million, advanced from June 2013 to October 2012 thru January 2013. Various slippages and advances account for the remaining variance. |

- Fire

 - Vehicle acquisition, City-wide, totaling \$33.5 million, advanced from June 2013 to July thru December 2012 and January 2013. Facility improvements, City-wide, totaling \$7.7 million, advanced from June 2013 to July thru December 2012 and January 2013. Management information and Control System, totaling \$6.3 million, advanced from June 2013 to August thru December 2012. Various slippages and advances account for the remaining variance.

- Housing

 - Housing Authority projects, totaling \$6.8 million, advanced from June 2013 to July thru December 2012 and January 2013. Four Twenty One Trust Fund, totaling 19.0 million, advanced from June 2013 to November and December 2012 and January 2013. Associated Costs, totaling \$2.8 million slipped from January 2013 to March 2013. Spring Creek, totaling \$4.9 million, advanced from June 2013 to November 2012 and January 2013. HUD multi-family program, City-wide, totaling \$6.7 million, advanced from June 2013 to December 2012. Low income rental, totaling \$8.8 million, advanced from June 2013 to November and December 2012 and January 2013. Mixed income rental, totaling \$71.0 million, slipped from December 2012 to March 2013. Various slippages and advances account for the remaining variance.

- Highway Bridges

 - Improvements to Highway Bridges, totaling \$79.8 million, slipped from August thru December 2012 to March 2013. Planned deregistration of contracts, for design costs for bridge facilities, City-wide, totaling \$3.0 million, slipped from August and November 2012 to March 2013, while other projects, totaling \$6.7 million, advanced from June 2013 to August thru December 2012. Reconstruction of the Belt Shore Parkway over Fresh Creek, Brooklyn, totaling \$2.1 million, slipped from December 2012 to March 2013. Bridge Painting, City-wide, totaling \$5.9 million, advanced from June 2013 to July 2012 and January 2013. Reconstruction of Belt Shore parkway, totaling \$5.9 million, advanced from June 2013 to July, August, October and December 2012. Union Port Road Bridge, over Westchester Creek, Bronx, totaling 6.9 million, advanced from June 2013 to July 2012. Reconstruction of ramps at St. George Ferry Terminal, totaling \$10.6 million, slipped from December 2012 to March 2013. Various slippages and advances account for the remaining variance.

- Parks

 - Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$26.6 million, advanced from March, April and June 2013 to July thru December 2012 and January 2013. Ocean Breeze reconstruction, Staten Island, totaling \$33.5 million, advanced from June 2013 to October and December 2012. Ferry Point Park,

totaling \$5.2 million, advanced from February and June 2013 to January 2013. Street and park tree planting, City-wide, totaling \$21.8 million, advanced from June 2013 to July thru November 2012 and January 2013. Park improvements, City-wide, totaling \$6.6 million, advanced from June 2013 to July thru October and December 2012 and January 2013. Improvements to Central Park, totaling \$2.3 million, advanced from June 2013 to August 2012. Various slippages and advances account for the remaining variance.

- Police - Purchase of ultra-high frequency radio telephone equipment, totaling \$20.8 million, slipped from December 2012 and January 2013 to March 2013. Acquisition and installation of computers for the Police Department, totaling \$18.4 million, slipped from December 2012 to March 2013. Acquisition of vehicles, totaling \$3.6 million, slipped from September and December 2012 and January 2013 to March 2013. Construction of the New Police Academy, totaling \$7.3 million, advanced from June 2013 to October 2012. Various slippages and advances account for the remaining variance.
- Sanitation - Collection trucks and other equipment, totaling \$90.8 million, slipped from January 2013 to March 2013. Improvements to garages and other facilities, totaling \$11.5 million, slipped from October 2012 thru January 2013 to March 2013. Sites for Sanitation Garages, totaling \$20.0 million, slipped from November 2012 to March 2013. Construction of Marine Transfer Stations, totaling \$176.3 million, advanced from February and June 2013 to December 2012 and January 2013. Purchase of electronic data processing equipment, totaling \$42.3 million, slipped from October 2012 thru January 2013 to March 2013. Various slippages and advances account for the remaining variance.
- Sewers - Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$15.4 million, advanced from June 2013 to July 2012 thru January 2013. Construction and reconstruction of storm sewers, City-wide, totaling \$20.5 million, advanced from June 2013 to July 2012 thru January 2013. Engineering, architect and other administrative costs associated with the Department of Environmental Protection, totaling \$3.4 million, slipped from July and August 2012 to March 2013. Acquisition of land, pursuant to storm water management program, totaling \$5.1 million, advanced from June 2013 to July thru September and December 2012 and January 2013. Various slippages and advances account for the remaining variance.

- Water Supply - Additional Water Supply Emergency, totaling \$189.0 million, advanced from June 2013 to July thru December 2012. City Tunnel Number Three, Stage One, totaling \$3.3 million, advanced from June 2013 to September, November and December 2012 and January 2013. City Tunnel Number Three, Stage Two, totaling \$3.7 million, advanced from June 2013 to November, December 2012 and January 2013. Various slippages and advances account for the remaining variance.

- Water Mains - Water main extensions, City-wide, totaling \$2.8 million, slipped from December 2012 and January 2013 to March 2013. Trunk main extensions and improvements, totaling \$16.2 million, advanced from February and June 2013 to November, December 2012 and January 2013. Croton Filtration Plant, totaling \$14.3 million, advanced from June 2013 to December 2012 and January 2013. Improvements to structures on watersheds outside the City, totaling \$36.1 million, advanced from March and June 2013 to December 2012 and January 2013. Water supply improvements, totaling \$2.4 million, advanced from June 2013 to July 2012 thru January 2013. Various slippages and advances account for the remaining variance.

- Water Pollution Control - Hunts Point Water Pollution Control Plant registrations, totaling \$3.6 million, advanced from June 2013 to July 2012 thru October 2012 and January 2013. Deregistration of contracts for Ward's Island Water Pollution Control Plant, totaling \$4.8 million, occurred in August thru November 2012 and, \$2.7 million, advanced from June 2013 to July and December 2012 and January 2013. Reconstruction of Water Pollution Control Projects, totaling \$20.2 million, advanced from June 2013 to July thru December 2012 and January 2013. Twenty Sixth Ward Water Pollution Control Plant, totaling \$6.0 million, advanced from June 2013 to August thru October 2012. Reconstruction of Jamaica Water Pollution Control Plant, totaling \$10.2 million, advanced from June 2013 to July 2012 thru January 2013. Reconstruction of Tallmans Island Water Pollution Control Plant, totaling \$5.1 million, advanced from June 2013 to July 2012 thru January 2013. Combined Sewer overflow abate, totaling \$20.6 million, advanced from May and June 2013 to December 2012 and January 2013. Deregistration of contracts for Newtown Creek Water Pollution Control Plant, totaling \$24.3 million, occurred in September thru November 2012. Deregistration of contracts for the reconstruction of pumping stations, totaling \$2.0 million, occurred in October 2012. Engineering, architect and other administrative costs associated with Water Pollution Control, totaling \$10.7 million, advanced from June 2013 to November and December 2012 and January 2013. Bionutrient removal facilities, City-

wide, totaling \$3.1 million, advanced from June 2013 to August thru December 2012. Various slippages and advances account for the remaining variance.

Others

- Purchase of EDP equipment for DoITT, totaling \$10.0 million, advanced from June 2013 to November 2012 thru January 2013.
- Purchase of DEP equipment, totaling \$5.3 million, advanced from June 2013 to October, December 2012 and January 2013. Deregistration of contracts for acquisition, construction and reconstruction to leased spaces, totaling \$7.1 million, occurred in October 2012 thru January 2013. Mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$13.3 million, advanced from June 2013 to January 2013. Installation of water measuring devices, totaling \$3.5 million, advanced from June 2013 to January 2013.
- Purchase of equipment for the use by the Department of Homeless Services, totaling \$3.9 million, advanced from June 2013 to July thru December 2012. Congregate Facilities for Homeless, totaling \$3.3 million, advanced from June 2013 to July 2012 thru January 2013.
- City University improvements, City-wide, totaling \$7.6 million, advanced from June 2013 to July 2012 thru January 2013. Medgar Evers College improvements, totaling \$2.0 million, advanced from June 2013 to October 2012. Construction of City University Building, City-wide, totaling \$4.6 million, advanced from June 2013 to July 2012 thru January 2013.
- Construction, reconstruction, improvements, acquisitions, outfitting and equipment for Queens's Branch libraries, totaling \$26.7 million, slipped from July 2012 thru January 2013 to March 2013.
- Purchase of electronic data processing equipment, totaling \$12.4 million, advanced from June 2013 to November 2012 thru January 2013. Purchase of Electronic Data Processing for FISA, totaling \$2.6 million, advanced from June 2013 to November 2012 thru January 2013.

- Brooklyn Academy of Music, totaling \$5.6 million, advanced from June 2013 to December 2012. Improvements to the New York Aquarium, totaling \$11.5 million, advanced from June 2013 to December 2012.
- Various improvements for surface Transit, totaling \$6.2 million, advanced from June 2013 to September 2012.
- Development of City owned Property, totaling \$5.5 million, slipped from January 2013 to March 2013.
- Planned deregistration of contracts for Rapid and Surface Transit improvements, totaling \$24.4 million, slipped from December 2012 to February 2013. Various transit capital projects, totaling \$60.1 million, advanced from June 2013 to August 2012.

3. Variances in year-to-date commitments of non-City funds through January occurred in the Department of Housing Preservation and Development, the Department of Parks and Recreation, the Department of Transportation and others.

- | | |
|---------|---|
| Housing | <ul style="list-style-type: none"> - Assisted living and senior housing, totaling \$6.9 million, advanced from June 2013 to December 2012. Low income rental, totaling \$4.5 million, advanced from June 2013 to December 2012 and January 2013. Supportive housing, totaling \$6.8 million, advanced from June 2013 to October 2012. Various slippages and advances account for the remaining variance. |
| Parks | <ul style="list-style-type: none"> - Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$6.7 million, advanced from June 2013 to July thru November 2012 and January 2013. Park improvements, totaling \$8.9 million, advanced from June 2013 to July thru November 2012 and January 2013. Various slippages and advances account for the remaining variance. |
| Others | <ul style="list-style-type: none"> - Reconstruction of Ferry Vessels, totaling \$5.0 million, advanced from June 2013 to August 2012 thru January 2013. |

- Improvements for surface transit service, totaling \$6.2 million, advanced from June 2013 to September 2012.
- Bus rapid transit, totaling \$13.2 million, advanced from June 2013 to November and December 2012.

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: JANUARY		FISCAL YEAR: 2013	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	(\$2.0) (C) 2.0 (N)		\$83.4 (C) 2.0 (N)	\$149.5 (C) 4.5 (N)
HIGHWAY AND STREETS	16.9 (C) 2.2 (N)		110.9 (C) 25.6 (N)	335.1 (C) 149.3 (N)
HIGHWAY BRIDGES	9.1 (C) 5.5 (N)		81.6 (C) 78.3 (N)	209.7 (C) 205.4 (N)
WATERWAY BRIDGES	6.2 (C) 6.0 (N)		47.9 (C) 80.8 (N)	144.7 (C) 140.2 (N)
WATER SUPPLY	7.8 (C) 0.0 (N)		55.8 (C) 0.0 (N)	217.8 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	65.7 (C) 0.1 (N)		472.9 (C) 1.0 (N)	670.3 (C) 13.1 (N)
SEWERS	17.7 (C) 0.1 (N)		117.5 (C) 3.7 (N)	249.4 (C) 0.4 (N)
WATER POLLUTION CONTROL	55.8 (C) 2.6 (N)		448.8 (C) 28.3 (N)	656.5 (C) 61.3 (N)
ECONOMIC DEVELOPMENT	28.6 (C) 2.5 (N)		118.8 (C) 14.1 (N)	271.1 (C) 64.0 (N)
EDUCATION	250.0 (C) 187.0 (N)		760.0 (C) 700.5 (N)	1,014.8 (C) 1,089.5 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: JANUARY	FISCAL YEAR: 2013	FISCAL YEAR
	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	PLAN
CORRECTION	5.6 (C)	53.0 (C)	143.4 (C)
	0.0 (N)	0.0 (N)	15.0 (N)
SANITATION	49.4 (C)	204.4 (C)	334.0 (C)
	0.1 (N)	0.1 (N)	2.7 (N)
POLICE	47.1 (C)	213.9 (C)	285.2 (C)
	0.0 (N)	0.0 (N)	3.0 (N)
FIRE	4.5 (C)	54.1 (C)	85.4 (C)
	0.0 (N)	0.1 (N)	11.3 (N)
HOUSING	24.2 (C)	172.3 (C)	288.7 (C)
	2.3 (N)	43.2 (N)	161.1 (N)
HOSPITALS	23.9 (C)	126.1 (C)	137.8 (C)
	0.0 (N)	1.6 (N)	55.6 (N)
PUBLIC BUILDINGS	9.4 (C)	65.9 (C)	205.7 (C)
	0.0 (N)	0.0 (N)	0.5 (N)
PARKS	30.1 (C)	194.6 (C)	384.7 (C)
	0.8 (N)	10.8 (N)	103.6 (N)
ALL OTHER DEPARTMENTS	79.2 (C)	562.8 (C)	1,455.8 (C)
	5.1 (N)	79.8 (N)	254.2 (N)
TOTAL	\$729.2 (C)	\$3,945.0 (C)	\$7,239.7 (C)
	\$216.3 (N)	\$1,069.8 (N)	\$2,334.6 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

Report No. 6 & 6A

Month-by-Month Cash Flow Forecast

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: JANUARY
FISCAL YEAR 2013

	JUL	AUG	SEP	ACTUAL OCT	NOV	DEC	JAN	FEB	MAR	FORECAST APR	MAY	JUN	12 Months	ADJUST- MENTS	TOTAL
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$ 3,091	\$ 198	\$ 790	\$ 784	\$ 78	\$ 4,124	\$ 2,647	\$ (1)	\$ 933	\$ 324	\$ (7)	\$ 3,513	\$ 16,474	\$ 1,966	\$ 18,440
OTHER TAXES	495	1,120	3,013	1,622	1,239	2,854	2,986	1,403	2,532	2,686	1,129	3,651	24,730	901	25,631
FEDERAL CATEGORICAL GRANTS	112	161	30	151	239	290	652	526	1,126	638	659	982	5,566	3,089	8,655
STATE CATEGORICAL GRANTS	289	663	1,149	120	586	619	63	214	2,087	177	1,484	1,737	9,188	2,113	11,301
OTHER CATEGORICAL GRANTS	61	163	213	(113)	(12)	53	38	85	50	73	31	281	923	58	981
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	-	-	-	-	-	-	-	-	-	(15)	(15)
MISCELLANEOUS REVENUES	623	382	290	330	388	298	464	340	261	244	557	632	4,809	-	4,809
INTER-FUND REVENUES	-	-	52	32	28	26	20	52	46	86	48	75	465	106	571
SUBTOTAL	\$ 4,671	\$ 2,687	\$ 5,537	\$ 2,926	\$ 2,546	\$ 8,264	\$ 6,870	\$ 2,619	\$ 7,035	\$ 4,228	\$ 3,901	\$ 10,871	\$ 62,155	\$ 8,218	\$ 70,373
PRIOR															
OTHER TAXES	781	217	-	-	-	-	-	-	-	-	-	-	998	-	998
FEDERAL CATEGORICAL GRANTS	39	460	465	160	363	362	181	53	81	19	44	167	2,394	762	3,156
STATE CATEGORICAL GRANTS	142	357	96	487	270	145	30	67	142	10	14	120	1,880	1,551	3,431
OTHER CATEGORICAL GRANTS	4	32	21	177	14	2	15	2	2	2	3	3	277	45	322
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-	-	-	-	-	-	-	-	5	5
MISC. REVENUE/IFA	2	-	128	-	-	-	-	-	-	-	-	-	130	(130)	-
SUBTOTAL	\$ 968	\$ 1,066	\$ 710	\$ 824	\$ 647	\$ 509	\$ 226	\$ 122	\$ 225	\$ 31	\$ 61	\$ 290	\$ 5,679	\$ 2,233	\$ 7,912
CAPITAL															
CAPITAL TRANSFERS	766	174	1,085	469	559	337	815	455	669	1,008	809	795	7,941	(701)	7,240
FEDERAL AND STATE	243	37	401	6	143	31	185	152	234	146	172	823	2,573	(238)	2,335
OTHER															
SENIOR COLLEGES	313	-	-	-	416	-	-	263	676	1	5	662	2,336	(313)	2,023
HOLDING ACCT. & OTHER ADJ.	3	-	15	(4)	3	(3)	(5)	(9)	-	-	-	-	-	-	-
OTHER SOURCES	-	-	16	315	34	10	-	-	-	-	-	441	816	-	816
TOTAL INFLOWS	\$ 6,964	\$ 3,964	\$ 7,764	\$ 4,536	\$ 4,348	\$ 9,148	\$ 8,091	\$ 3,602	\$ 8,839	\$ 5,414	\$ 4,948	\$ 13,882	\$ 81,500	\$ 9,199	\$ 90,699
CASH OUTFLOWS															
CURRENT															
PERSONAL SERVICE	\$ 1,395	\$ 2,685	\$ 2,754	\$ 2,875	\$ 2,657	\$ 3,128	\$ 2,935	\$ 2,811	\$ 3,486	\$ 2,827	\$ 2,887	\$ 4,272	\$ 34,712	\$ 2,881	\$ 37,593
OTHER THAN PERSONAL SERVICE	1,624	1,659	2,131	2,048	1,375	2,258	1,942	2,155	2,143	2,131	1,865	3,489	24,820	3,231	28,051
DEBT SERVICE	522	240	195	375	254	116	651	179	482	339	151	1,225	4,729	-	4,729
SUBTOTAL	\$ 3,541	\$ 4,584	\$ 5,080	\$ 5,298	\$ 4,286	\$ 5,502	\$ 5,528	\$ 5,145	\$ 6,111	\$ 5,297	\$ 4,903	\$ 8,986	\$ 64,261	\$ 6,112	\$ 70,373
PRIOR															
PERSONAL SERVICE	1,570	786	17	11	32	30	5	11	7	71	90	92	2,722	1,083	3,805
OTHER THAN PERSONAL SERVICE	595	490	-	2	398	93	54	390	90	221	114	142	2,589	2,688	5,277
OTHER TAXES	59	135	-	-	-	-	-	-	-	-	-	-	194	-	194
DISALLOWANCE RESERVE	10	-	-	-	-	-	10	-	-	-	-	-	20	977	997
SUBTOTAL	\$ 2,234	\$ 1,411	\$ 17	\$ 13	\$ 430	\$ 123	\$ 69	\$ 401	\$ 97	\$ 292	\$ 204	\$ 234	\$ 5,525	\$ 4,748	\$ 10,273
CAPITAL															
CITY DISBURSEMENTS	582	435	664	511	523	500	729	534	712	697	684	669	7,240	-	7,240
FEDERAL AND STATE	231	51	235	72	206	57	216	190	370	153	363	191	2,335	-	2,335
OTHER															
SENIOR COLLEGES	119	116	166	165	165	220	110	165	249	171	171	206	2,023	-	2,023
OTHER USES	439	45	-	-	-	-	332	-	-	-	-	-	816	-	816
TOTAL OUTFLOWS	\$ 7,146	\$ 6,642	\$ 6,162	\$ 6,059	\$ 5,610	\$ 6,402	\$ 6,984	\$ 6,435	\$ 7,539	\$ 6,610	\$ 6,325	\$ 10,286	\$ 82,200	\$ 10,860	\$ 93,060
NET CASH FLOW	\$ (182)	\$ (2,678)	\$ 1,602	\$ (1,523)	\$ (1,262)	\$ 2,746	\$ 1,107	\$ (2,833)	\$ 1,300	\$ (1,196)	\$ (1,377)	\$ 3,596	\$ (700)	\$ (1,661)	\$ (2,361)
BEGINNING BALANCE	\$ 7,493	\$ 7,311	\$ 4,633	\$ 6,235	\$ 4,712	\$ 3,450	\$ 6,196	\$ 7,303	\$ 4,470	\$ 5,770	\$ 4,574	\$ 3,197	\$ 7,493		
ENDING BALANCE	\$ 7,311	\$ 4,633	\$ 6,235	\$ 4,712	\$ 3,450	\$ 6,196	\$ 7,303	\$ 4,470	\$ 5,770	\$ 4,574	\$ 3,197	\$ 6,793	\$ 6,793		

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6A
(MILLIONS OF DOLLARS)

MONTH: JANUARY
FISCAL YEAR 2013

	ACTUAL							FORECAST					12 Months	ADJUST- MENTS	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN			
SENIOR COLLEGES															
SENIOR COLLEGES COST (OUTFLOW)	\$ (119)	\$ (116)	\$ (166)	\$ (165)	\$ (165)	\$ (220)	\$ (110)	\$ (165)	\$ (249)	\$ (171)	\$ (171)	\$ (206)	\$ (2,023)	\$ -	\$ (2,023)
SENIOR COLLEGES INFLOW - CURRENT	-	-	-	-	191	-	-	263	676	1	5	662	1,798	225	2,023
SENIOR COLLEGES INFLOW - PRIOR	313	-	-	-	225	-	-	-	-	-	-	-	538	(538)	-
NET SENIOR COLLEGES	\$ 194	\$ (116)	\$ (166)	\$ (165)	\$ 251	\$ (220)	\$ (110)	\$ 98	\$ 427	\$ (170)	\$ (166)	\$ 456	\$ 313	\$ (313)	\$ -
CAPITAL															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	200	1,025	32	1,146	217	699	406	8	1,700	200	200	1,008	6,841	-	6,841
(INC)/DEC RESTRICTED CASH	312	(923)	881	(821)	283	(362)	409	447	(1,031)	808	609	(213)	399	-	399
SUBTOTAL	\$ 512	\$ 102	\$ 913	\$ 325	\$ 500	\$ 337	\$ 815	\$ 455	\$ 669	\$ 1,008	\$ 809	\$ 795	\$ 7,240	\$ -	\$ 7,240
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(INC)/DEC RESTRICTED CASH	254	72	172	144	59	-	-	-	-	-	-	-	701	(701)	-
SUBTOTAL	\$ 254	\$ 72	\$ 172	\$ 144	\$ 59	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 701	\$ (701)	\$ -
TOTAL CITY CAPITAL TRANSFERS	\$ 766	\$ 174	\$ 1,085	\$ 469	\$ 559	\$ 337	\$ 815	\$ 455	\$ 669	\$ 1,008	\$ 809	\$ 795	\$ 7,941	\$ (701)	\$ 7,240
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	\$ 5	\$ 37	\$ 401	\$ 6	\$ 143	\$ 31	\$ 185	\$ 152	\$ 234	\$ 146	\$ 172	\$ 823	\$ 2,335	\$ -	\$ 2,335
PRIOR	238	-	-	-	-	-	-	-	-	-	-	-	238	(238)	-
TOTAL FEDERAL AND STATE INFLOWS	\$ 243	\$ 37	\$ 401	\$ 6	\$ 143	\$ 31	\$ 185	\$ 152	\$ 234	\$ 146	\$ 172	\$ 823	\$ 2,573	\$ (238)	\$ 2,335
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	\$ (582)	\$ (435)	\$ (664)	\$ (511)	\$ (523)	\$ (500)	\$ (729)	\$ (534)	\$ (712)	\$ (697)	\$ (684)	\$ (669)	\$ (7,240)	\$ -	\$ (7,240)
FEDERAL AND STATE	(231)	(51)	(235)	(72)	(206)	(57)	(216)	(190)	(370)	(153)	(363)	(191)	(2,335)	-	(2,335)
TOTAL OUTFLOWS	\$ (813)	\$ (486)	\$ (899)	\$ (583)	\$ (729)	\$ (557)	\$ (945)	\$ (724)	\$ (1,082)	\$ (850)	\$ (1,047)	\$ (860)	\$ (9,575)	\$ -	\$ (9,575)
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	\$ 184	\$ (261)	\$ 421	\$ (42)	\$ 36	\$ (163)	\$ 86	\$ (79)	\$ (43)	\$ 311	\$ 125	\$ 126	\$ 701	\$ (701)	\$ -
NET NON-CITY CAPITAL	12	(14)	166	(66)	(63)	(26)	(31)	(38)	(136)	(7)	(191)	632	238	(238)	-
NET TOTAL CAPITAL	\$ 196	\$ (275)	\$ 587	\$ (108)	\$ (27)	\$ (189)	\$ 55	\$ (117)	\$ (179)	\$ 304	\$ (66)	\$ 758	\$ 939	\$ (939)	\$ -

NOTES TO REPORT #6/6A

1. **Beginning Balance**

The July 2012 beginning balance is consistent with the FY 2012 audited Comprehensive Annual Financial Report (CAFR).

2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2013 ending balance includes deferred revenue from FY 2014 prepaid Real Estate Taxes.

3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds, NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.

5. **Cash Flow Realignments**

Taxes reflect revenue net of refunds which may result in a negative cash flow in certain months. For Federal, State and Other Categorical Grants, a negative cash flow may result from corrections to certain grants that occur after the monthly accounting close in which cash is moved between grants and/or between current year/prior year classification. These negative cash flow transactions are accounting transactions reflected in the City's Financial Management System.

6. **Senior Colleges**

Consistent with inflows indicated in past editions of the FPS, senior college inflows are considered as prior-year funds until past-due obligations of the senior colleges to the City are satisfied. Senior college inflows received after satisfaction of past obligations are represented as current-year funds.