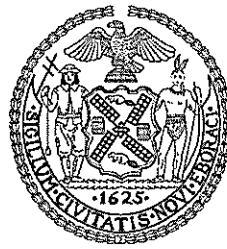


Financial Plan Statements  
for  
New York City  
March 2009



The City of New York




**This report contains Financial Plan Statements for March 2009 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.**

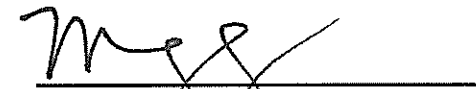
**The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on January 30, 2009.**

**The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.**

**The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.**

**THE CITY OF NEW YORK  
BY**

  
**Stuart Klein  
First Deputy Director  
Office of Management and Budget**

  
**Marcja J. Van Wagner  
Deputy Comptroller Budget  
Office of the Comptroller**

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## NOTES TO FINANCIAL PLAN STATEMENTS

### I. Summary of Significant Financial Policies, Procedures and Development

#### A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

Covered Organization Financial Plans are issued quarterly.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2008 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles.

#### B. Basis of Accounting

##### 1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

## 2. Expenditures

### (a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

Debt Service expenditures are net of Transitional Finance Authority (TFA) debt service and City personal income taxes (PIT) are net of PIT deposited into the TFA Debt Service fund in advance of the actual debt service payment.

### (b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

### (c) Encumbrances

Encumbrances entered during FY 2009 for OTPS purchase orders and contracts expected to be received by June 30, 2009 are treated as expenditures.

### (d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2009 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2009.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

# **Report No. 1**

## Financial Plan Summary

**NEW YORK CITY  
FINANCIAL PLAN SUMMARY  
REPORT NO. 1**

**MONTH: MARCH  
FISCAL YEAR 2009**

**(MILLIONS OF DOLLARS)**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>REVENUES:</b>									
TAXES									
GENERAL PROPERTY TAXES	\$ 826	\$ 805	\$ 21	\$ 13,946	\$ 13,878	\$ 68	\$ 14,357	\$ 14,357	\$ -
OTHER TAXES	2,362	2,358	4	15,778	15,726	52	21,534	21,534	-
MISCELLANEOUS REVENUES	542	411	131	3,854	3,726	128	5,945	5,945	-
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	254	254	-
LESS: INTRA-CITY REVENUES	(193)	(146)	(47)	(620)	(667)	47	(1,631)	(1,631)	-
DISALLOWANCES	(1)	-	(1)	(1)	-	(1)	(15)	(15)	-
<b>SUBTOTAL</b>	<b>3,536</b>	<b>3,428</b>	<b>108</b>	<b>32,957</b>	<b>32,663</b>	<b>294</b>	<b>40,444</b>	<b>40,444</b>	<b>-</b>
OTHER CATEGORICAL GRANTS	35	178	(143)	368	466	(98)	1,104	1,104	-
CAPITAL INTER-FUND TRANSFERS	100	99	1	289	283	6	477	477	-
FEDERAL GRANTS	580	533	47	2,799	3,004	(205)	6,037	6,037	-
STATE GRANTS	1,990	1,065	925	7,934	7,132	802	12,031	12,031	-
<b>TOTAL REVENUES</b>	<b>\$ 6,241</b>	<b>\$ 5,303</b>	<b>\$ 938</b>	<b>\$ 44,347</b>	<b>\$ 43,548</b>	<b>\$ 799</b>	<b>\$ 60,093</b>	<b>\$ 60,093</b>	<b>\$ -</b>
<b>EXPENDITURES:</b>									
PS	\$ 2,669	\$ 2,696	\$ 27	\$ 23,027	\$ 22,930	\$ (97)	\$ 34,716	\$ 34,716	\$ -
OTPS	1,325	1,933	608	19,505	20,443	938	25,479	25,479	-
DEBT SERVICE	(30)	9	39	52	154	102	1,429	1,429	-
GENERAL RESERVE	-	-	-	-	-	-	100	100	-
<b>SUBTOTAL</b>	<b>3,964</b>	<b>4,638</b>	<b>674</b>	<b>42,584</b>	<b>43,527</b>	<b>943</b>	<b>61,724</b>	<b>61,724</b>	<b>-</b>
LESS: INTRA-CITY EXPENSES	(193)	(146)	47	(620)	(667)	(47)	(1,631)	(1,631)	-
<b>TOTAL EXPENDITURES</b>	<b>\$ 3,771</b>	<b>\$ 4,492</b>	<b>\$ 721</b>	<b>\$ 41,964</b>	<b>\$ 42,860</b>	<b>\$ 896</b>	<b>\$ 60,093</b>	<b>\$ 60,093</b>	<b>\$ -</b>
<b>SURPLUS/(DEFICIT)</b>	<b>\$ 2,470</b>	<b>\$ 811</b>	<b>\$ 1,659</b>	<b>\$ 2,383</b>	<b>\$ 688</b>	<b>\$ 1,695</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>



## **Report No. 1A**

Month-by-Month Revenue and Obligation Forecast

**NEW YORK CITY  
MONTH-BY-MONTH - REVENUE AND OBLIGATION FORECAST  
REPORT NO. 1A**

(MILLIONS OF DOLLARS)

**MONTH: MARCH  
FISCAL YEAR 2009**

	ACTUAL									FORECAST				
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	TOTAL YEAR
<b>REVENUES:</b>														
TAXES														
GENERAL PROPERTY TAXES	\$ 6,916	\$ 133	\$ 642	\$ 248	\$ 6	\$ 1,492	\$ 3,602	\$ 81	\$ 826	\$ 440	\$ 17	\$ 34	\$ (80)	\$ 14,357
OTHER TAXES	1,047	1,011	3,337	1,026	1,235	2,260	2,579	921	2,362	1,755	713	3,062	226	21,534
MISCELLANEOUS REVENUES	621	306	452	400	360	366	329	478	542	499	777	621	194	5,945
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	-	-	-	-	-	254	-	254
ANTICIPATED REVENUES	-	-	-	-	-	-	-	-	-	-	-	-	-	-
LESS: INTRA-CITY REVENUES DISALLOWANCES	(3)	(4)	(70)	(71)	(25)	(118)	(58)	(78)	(193)	(152)	(157)	(308)	(394)	(1,631)
	-	-	-	-	-	-	-	-	(1)	-	(4)	(10)	-	(15)
<b>SUBTOTAL</b>	<b>8,581</b>	<b>1,446</b>	<b>4,361</b>	<b>1,603</b>	<b>1,576</b>	<b>4,000</b>	<b>6,452</b>	<b>1,402</b>	<b>3,536</b>	<b>2,542</b>	<b>1,346</b>	<b>3,653</b>	<b>(54)</b>	<b>40,444</b>
OTHER CATEGORICAL GRANTS	-	14	16	86	20	39	62	96	35	60	97	579	-	1,104
CAPITAL INTER-FUND TRANSFERS	-	14	19	25	32	41	24	34	100	31	33	20	104	477
FEDERAL GRANTS	8	24	116	178	560	388	550	395	580	702	603	661	1,272	6,037
STATE GRANTS	19	3	1,642	156	1,181	877	1,098	968	1,990	405	1,121	1,491	1,080	12,031
<b>TOTAL REVENUES:</b>	<b>\$ 8,608</b>	<b>\$ 1,501</b>	<b>\$ 6,154</b>	<b>\$ 2,048</b>	<b>\$ 3,369</b>	<b>\$ 5,345</b>	<b>\$ 8,186</b>	<b>\$ 2,895</b>	<b>\$ 6,241</b>	<b>\$ 3,740</b>	<b>\$ 3,200</b>	<b>\$ 6,404</b>	<b>\$ 2,402</b>	<b>\$ 60,093</b>
<b>EXPENDITURES:</b>														
PS	\$ 1,424	\$ 2,371	\$ 2,578	\$ 2,586	\$ 2,745	\$ 2,703	\$ 3,195	\$ 2,756	\$ 2,669	\$ 2,750	\$ 2,801	\$ 4,126	\$ 2,012	\$ 34,716
OTPS	6,375	2,442	2,621	1,845	1,794	1,045	733	1,325	1,325	1,449	1,499	2,422	604	25,479
DEBT SERVICE	127	(7)	(17)	3	18	(16)	(9)	(17)	(30)	111	120	1,146	-	1,429
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	100	100
<b>SUBTOTAL</b>	<b>7,926</b>	<b>4,806</b>	<b>5,182</b>	<b>4,434</b>	<b>4,557</b>	<b>3,732</b>	<b>3,919</b>	<b>4,064</b>	<b>3,964</b>	<b>4,310</b>	<b>4,420</b>	<b>7,694</b>	<b>2,716</b>	<b>61,724</b>
LESS: INTRA-CITY EXPENSES	(3)	(4)	(70)	(71)	(25)	(118)	(58)	(78)	(193)	(152)	(157)	(308)	(394)	(1,631)
<b>TOTAL EXPENDITURES</b>	<b>\$ 7,923</b>	<b>\$ 4,802</b>	<b>\$ 5,112</b>	<b>\$ 4,363</b>	<b>\$ 4,532</b>	<b>\$ 3,614</b>	<b>\$ 3,861</b>	<b>\$ 3,986</b>	<b>\$ 3,771</b>	<b>\$ 4,158</b>	<b>\$ 4,263</b>	<b>\$ 7,386</b>	<b>\$ 2,322</b>	<b>\$ 60,093</b>
<b>SURPLUS/(DEFICIT)</b>	<b>\$ 685</b>	<b>\$ (3,301)</b>	<b>\$ 1,042</b>	<b>\$ (2,315)</b>	<b>\$ (1,163)</b>	<b>\$ 1,731</b>	<b>\$ 4,325</b>	<b>\$ (1,091)</b>	<b>\$ 2,470</b>	<b>\$ (418)</b>	<b>\$ (1,063)</b>	<b>\$ (982)</b>	<b>\$ 80</b>	<b>\$ -</b>

## **Report No. 2**

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY  
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST  
REPORT NO. 2**

(MILLIONS OF DOLLARS)

**MONTH: MARCH  
FISCAL YEAR 2009**

DESCRIPTION	INITIAL PLAN 6/30/2008	CHANGES FROM INITIAL PLAN	CHANGES FROM PREVIOUS FORECAST
<b>REVENUES:</b>			
TAXES			
GENERAL PROPERTY TAXES	\$ 13,782	\$ 575	\$ -
OTHER TAXES	22,545	(1,011)	-
MISCELLANEOUS REVENUES	5,671	274	-
UNRESTRICTED INTERGOVERNMENTAL AID	340	(86)	-
LESS:INTRA-CITY REVENUES	(1,538)	(93)	-
DISALLOWANCES	(15)	-	-
SUBTOTAL	40,785	(341)	-
OTHER CATEGORICAL GRANTS	1,029	75	-
CAPITAL INTERFUND TRANSFERS	463	14	-
FEDERAL GRANTS	5,366	671	-
STATE GRANTS	11,526	505	-
<b>TOTAL REVENUES</b>	<b>\$ 59,169</b>	<b>\$ 924</b>	<b>\$ -</b>
<b>EXPENDITURES:</b>			
PERSONAL SERVICE	\$ 34,497	\$ 219	\$ -
OTHER THAN PERSONAL SERVICE	24,619	860	-
DEBT SERVICE	1,291	138	-
GENERAL RESERVE	300	(200)	-
SUBTOTAL	60,707	1,017	-
LESS:INTRA-CITY EXPENDITURES	(1,538)	(93)	-
<b>TOTAL EXPENDITURES</b>	<b>\$ 59,169</b>	<b>\$ 924</b>	<b>\$ -</b>

## **Report No. 3**

Revenue Activity by Major Area

**NEW YORK CITY  
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)  
REPORT NO. 3**

(MILLIONS OF DOLLARS)

**MONTH: MARCH  
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>TAXES:</b>									
GENERAL PROPERTY TAXES	\$ 826	\$ 805	\$ 21	\$ 13,946	\$ 13,878	\$ 68	\$ 14,357	\$ 14,357	\$ -
PERSONAL INCOME TAX	291	435	(144)	5,401	5,480	(79)	6,944	6,944	-
GENERAL CORPORATION TAX	770	882	(112)	1,817	2,026	(209)	2,433	2,433	-
BANKING CORPORATION TAX	423	150	273	626	359	267	447	447	-
UNINCORPORATED BUSINESS TAX	38	30	8	1,064	1,047	17	1,739	1,739	-
GENERAL SALES TAX	385	459	(74)	3,481	3,505	(24)	4,555	4,555	-
REAL PROPERTY TRANSFER TAX	49	51	(2)	632	651	(19)	828	828	-
MORTGAGE RECORDING TAX	29	58	(29)	430	489	(59)	679	679	-
COMMERCIAL RENT TAX	136	121	15	429	411	18	556	556	-
UTILITY TAX	65	32	33	277	259	18	397	397	-
OTHER TAXES	80	98	(18)	523	544	(21)	1,015	1,015	-
TAX AUDIT REVENUES *	91	42	49	606	468	138	680	680	-
TAX PROGRAM (STAR)	5	-	5	492	487	5	1,261	1,261	-
<b>TOTAL TAXES</b>	<b>\$ 3,188</b>	<b>\$ 3,163</b>	<b>\$ 25</b>	<b>\$ 29,724</b>	<b>\$ 29,604</b>	<b>\$ 120</b>	<b>\$ 35,891</b>	<b>\$ 35,891</b>	<b>\$ -</b>
<b>MISCELLANEOUS REVENUES:</b>									
LICENSES/FRANCHISES/ETC.	28	24	4	347	342	5	484	484	-
INTEREST INCOME	10	3	7	102	86	16	90	90	-
CHARGES FOR SERVICES	103	86	17	434	469	(35)	631	631	-
WATER AND SEWER CHARGES	67	51	16	923	900	23	1,312	1,312	-
RENTAL INCOME	31	12	19	182	163	19	228	228	-
FINES AND FORFEITURES	72	71	1	611	610	1	782	782	-
MISCELLANEOUS	38	18	20	635	489	146	787	787	-
INTRA-CITY REVENUE	193	146	47	620	667	(47)	1,631	1,631	-
<b>TOTAL MISCELLANEOUS</b>	<b>\$ 542</b>	<b>\$ 411</b>	<b>\$ 131</b>	<b>\$ 3,854</b>	<b>\$ 3,726</b>	<b>\$ 128</b>	<b>\$ 5,945</b>	<b>\$ 5,945</b>	<b>\$ -</b>

\* The financial plan as submitted on January 30, 2009 reflects \$680 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR 2009 PLAN
SALES TAX	\$ 5	\$ 17	\$ 20
PERSONAL INCOME TAX	1	17	25
GENERAL CORPORATION TAX	67	327	349
COMMERCIAL RENT TAX	1	11	15
FINANCIAL CORPORATION TAX	13	196	201
UTILITY TAX	1	13	8
UNINCORPORATED BUSINESS TAX	2	21	48
REAL PROPERTY TRANSFER	1	3	6
OTHER TAXES	-	1	8
<b>TOTAL</b>	<b>\$ 91</b>	<b>\$ 606</b>	<b>\$ 680</b>

**REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)  
REPORT NO. 3 (CONT.)**

**(MILLIONS OF DOLLARS)**

**MONTH: MARCH  
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>UNRESTRICTED INTGOVT. AID</b>									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	242	242	-
OTHER INTGOVT. AID	-	-	-	-	-	-	12	12	-
<b>TOTAL UNRESTRICTED INTG.</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 254</b>	<b>\$ 254</b>	<b>\$ -</b>
OTHER CATEGORICAL GRANTS	35	178	(143)	368	466	(98)	1,104	1,104	-
CAPITAL INTER-FUND TRANSFERS	100	99	1	289	283	6	477	477	-
LESS: INTRA-CITY REVENUES	(193)	(146)	(47)	(620)	(667)	47	(1,631)	(1,631)	-
LESS: DISALLOWANCES	(1)	-	(1)	(1)	-	(1)	(15)	(15)	-
<b>FEDERAL GRANTS</b>									
COMMUNITY DEVELOPMENT	17	19	(2)	161	171	(10)	290	290	-
WELFARE	193	227	(34)	1,308	1,362	(54)	2,629	2,629	-
EDUCATION	217	197	20	751	956	(205)	1,758	1,758	-
OTHER	153	90	63	579	515	64	1,360	1,360	-
<b>TOTAL FEDERAL GRANTS</b>	<b>\$ 580</b>	<b>\$ 533</b>	<b>\$ 47</b>	<b>\$ 2,799</b>	<b>\$ 3,004</b>	<b>\$ (205)</b>	<b>\$ 6,037</b>	<b>\$ 6,037</b>	<b>\$ -</b>
<b>STATE GRANTS</b>									
WELFARE	127	141	(14)	1,019	1,067	(48)	2,169	2,169	-
EDUCATION	1,706	897	809	6,450	5,592	858	8,517	8,517	-
HIGHER EDUCATION	94	-	94	136	127	9	211	211	-
HEALTH AND MENTAL HYGIENE	2	2	-	124	167	(43)	484	484	-
OTHER	61	25	36	205	179	26	650	650	-
<b>TOTAL STATE GRANTS</b>	<b>\$ 1,990</b>	<b>\$ 1,065</b>	<b>\$ 925</b>	<b>\$ 7,934</b>	<b>\$ 7,132</b>	<b>\$ 802</b>	<b>\$ 12,031</b>	<b>\$ 12,031</b>	<b>\$ -</b>
<b>TOTAL REVENUES</b>	<b>\$ 6,241</b>	<b>\$ 5,303</b>	<b>\$ 938</b>	<b>\$ 44,347</b>	<b>\$ 43,548</b>	<b>\$ 799</b>	<b>\$ 60,093</b>	<b>\$ 60,093</b>	<b>\$ -</b>

## **NOTES TO REPORT #3**

### **Miscellaneous:**

The year-to-date variance of \$128 million is primarily due to a settlement by the Manhattan District Attorney with Lloyds Bank of \$66 million and the final payout of the TYCO case of \$94 million.



# **Report No. 4**

## Obligation Analysis

**NEW YORK CITY  
OBLIGATION ANALYSIS  
REPORT NO. 4**

(MILLIONS OF DOLLARS)

**MONTH: MARCH  
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>UNIFORM FORCES</b>									
POLICE DEPT.	\$ 359	\$ 330	\$ (29)	\$ 3,530	\$ 3,318	\$ (212)	\$ 4,525	\$ 4,525	\$ -
FIRE DEPT.	126	114	(12)	1,238	1,229	(9)	1,652	1,652	-
DEPT. OF CORRECTION	76	73	(3)	784	763	(21)	1,022	1,022	-
SANITATION DEPT.	66	78	12	1,052	1,071	19	1,296	1,296	-
<b>HEALTH &amp; WELFARE</b>									
ADMIN. FOR CHILD SERVICES	159	122	(37)	2,342	2,387	45	2,757	2,757	-
DEPT. OF SOCIAL SERVICES	544	907	363	6,293	6,618	325	8,695	8,695	-
DEPT. OF HOMELESS SERVICES	41	159	118	705	776	71	844	844	-
HEALTH & MENTAL HYGIENE	14	80	66	1,434	1,501	67	1,731	1,731	-
<b>OTHER AGENCIES</b>									
HOUSING PRESERVATION & DEV.	52	71	19	527	577	50	674	674	-
ENVIRONMENTAL PROTECTION	54	68	14	740	831	91	1,046	1,046	-
TRANSPORTATION DEPT.	38	49	11	605	636	31	814	814	-
PARKS & RECREATION DEPT.	21	24	3	281	285	4	371	371	-
DEPT. OF CITYWIDE ADMIN. SERVICES	23	15	(8)	1,023	1,085	62	1,134	1,134	-
ALL OTHER	188	150	(38)	2,342	2,611	269	3,129	3,129	-
<b>COVERED ORGANIZATIONS</b>									
DEPT. OF EDUCATION	1,298	1,318	20	11,607	11,584	(23)	17,605	17,605	-
HIGHER EDUCATION	(25)	52	77	426	488	62	705	705	-
HEALTH & HOSPITALS CORP.	36	41	5	131	141	10	203	203	-
<b>OTHER</b>									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	202	206	4	1,989	1,883	(106)	3,352	3,352	-
TRANSIT SUBSIDIES	93	144	51	243	290	47	388	388	-
JUDGMENTS & CLAIMS	78	48	(30)	318	305	(13)	638	638	-
OTHER	42	70	28	326	397	71	1,731	1,731	-
PENSION CONTRIBUTIONS	509	510	1	4,596	4,597	1	6,383	6,383	-
DEBT SERVICE	(30)	9	39	52	154	102	1,429	1,429	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	(500)	(500)	-
UNALLOCATED REDUCTIONS	-	-	-	-	-	-	-	-	-
<b>SUB-TOTAL</b>	<b>\$ 3,964</b>	<b>\$ 4,638</b>	<b>\$ 674</b>	<b>\$ 42,584</b>	<b>\$ 43,527</b>	<b>\$ 943</b>	<b>\$ 61,624</b>	<b>\$ 61,624</b>	<b>\$ -</b>
PLUS GENERAL RESERVE	-	-	-	-	-	-	100	100	-
LESS INTRA-CITY EXPENSES	(193)	(146)	47	(620)	(667)	(47)	(1,631)	(1,631)	-
<b>TOTAL EXPENDITURES</b>	<b>\$ 3,771</b>	<b>\$ 4,492</b>	<b>\$ 721</b>	<b>\$ 41,964</b>	<b>\$ 42,860</b>	<b>\$ 896</b>	<b>\$ 60,093</b>	<b>\$ 60,093</b>	<b>\$ -</b>

## **Report No. 4A & 4B**

Personnel Control Reports

**NEW YORK CITY  
PERSONNEL CONTROL REPORT  
REPORT NO. 4A**

(MILLIONS OF DOLLARS)

MONTH: MARCH  
FISCAL YEAR 2009

	FT & FTE POSITIONS			PERSONAL SERVICE COSTS					FT & FTE POSITIONS			PERSONAL SERVICE COSTS				
	CURRENT MONTH		CURRENT MONTH		YEAR-TO-DATE			FISCAL YEAR 2009 PROJECTIONS			FISCAL YEAR 2009 PROJECTIONS		FISCAL YEAR 2009 PROJECTIONS			
	ACTUAL	PLAN *	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)		
<b>UNIFORM FORCES</b>																
POLICE DEPT.	52,554	52,674	\$ 325	\$ 303	\$ (22)	\$ 3,257	\$ 3,031	\$ (226)	51,738	51,738	-	\$ 4,094	\$ 4,094	\$ -		
FIRE DEPT.	16,273	16,267	111	109	(2)	1,106	1,080	(26)	16,150	16,150	-	1,477	1,477	-		
DEPT. OF CORRECTION	10,662	11,097	66	65	(1)	688	646	(42)	10,933	10,933	-	877	877	-		
SANITATION DEPT.	9,769	9,792	62	65	3	550	562	12	9,829	9,829	-	751	751	-		
<b>HEALTH &amp; WELFARE</b>																
ADMIN. FOR CHILD SERVICES	6,931	7,060	33	30	(3)	305	305	-	6,973	6,973	-	406	406	-		
DEPT. OF SOCIAL SERVICES	14,220	15,160	52	55	3	526	526	-	15,159	15,159	-	714	714	-		
DEPT. OF HOMELESS SERVICES	2,102	2,211	10	9	(1)	91	89	(2)	2,265	2,265	-	121	121	-		
HEALTH & MENTAL HYGIENE	6,670	7,098	27	32	5	285	311	26	7,127	7,127	-	420	420	-		
<b>OTHER AGENCIES</b>																
ENVIRONMENTAL PROTECTION	5,967	6,316	32	31	(1)	310	310	-	6,359	6,359	-	412	412	-		
TRANSPORTATION DEPT.	4,716	5,031	28	27	(1)	263	254	(9)	5,135	5,135	-	364	364	-		
PARKS & RECREATION DEPT.	5,701	5,794	18	19	1	214	206	(8)	7,214	7,214	-	277	277	-		
CITYWIDE ADMIN. SERVICES	2,431	2,539	12	11	(1)	107	100	(7)	2,391	2,391	-	137	137	-		
ALL OTHER	30,944	30,528	151	148	(3)	1,418	1,423	5	30,569	30,569	-	1,938	1,938	-		
<b>COVERED ORGANIZATIONS</b>																
DEPT. OF EDUCATION	139,600	139,108	1,031	1,027	(4)	7,322	7,559	237	139,114	139,114	-	12,484	12,484	-		
<b>OTHER</b>																
MISCELLANEOUS BUDGET	-	-	202	255	53	1,989	1,931	(58)	-	-	-	3,861	3,861	-		
PENSION CONTRIBUTIONS	-	-	509	510	1	4,596	4,597	1	-	-	-	6,383	6,383	-		
<b>TOTAL</b>	<b>308,540</b>	<b>310,675</b>	<b>\$ 2,669</b>	<b>\$ 2,696</b>	<b>\$ 27</b>	<b>\$ 23,027</b>	<b>\$ 22,930</b>	<b>\$ (97)</b>	<b>310,956</b>	<b>310,956</b>	<b>-</b>	<b>\$ 34,716</b>	<b>\$ 34,716</b>	<b>\$ -</b>		

\* Includes planned full-time headcount and estimates of planned FTEs.

**NEW YORK CITY  
PERSONNEL CONTROL REPORT  
REPORT NO. 4B**

**MONTH: MARCH  
FISCAL YEAR 2009**

	<u>FULL-TIME POSITIONS</u>			<u>FULL-TIME POSITIONS</u>		
	<u>CURRENT MONTH</u>			<u>FISCAL YEAR 2009 PROJECTIONS</u>		
	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>UNIFORM FORCES</b>						
POLICE DEPT.	50,888	50,799	(89)	49,937	49,937	-
FIRE DEPT.	16,192	16,208	16	16,090	16,090	-
DEPT. OF CORRECTION	10,607	11,045	438	10,880	10,880	-
SANITATION DEPT.	9,713	9,661	(52)	9,692	9,692	-
<b>HEALTH &amp; WELFARE</b>						
ADMIN. FOR CHILD SERVICES	6,873	7,003	130	6,915	6,915	-
DEPT. OF SOCIAL SERVICES	14,197	15,156	959	15,156	15,156	-
DEPT. OF HOMELESS SERVICES	2,101	2,206	105	2,259	2,259	-
HEALTH & MENTAL HYGIENE	5,226	5,719	493	5,721	5,721	-
<b>OTHER AGENCIES</b>						
ENVIRONMENTAL PROTECTION	5,780	6,144	364	6,150	6,150	-
TRANSPORTATION DEPT.	4,377	4,855	478	4,901	4,901	-
PARKS & RECREATION DEPT.	3,775	3,579	(196)	3,590	3,590	-
CITYWIDE ADMIN. SERVICES	2,055	2,074	19	2,060	2,060	-
ALL OTHER	26,097	26,700	603	26,786	26,786	-
<b>COVERED ORGANIZATIONS</b>						
DEPT. OF EDUCATION	123,727	122,138	(1,589)	122,144	122,144	-
<b>TOTAL</b>	<b>281,608</b>	<b>283,287</b>	<b>1,679</b>	<b>282,281</b>	<b>282,281</b>	<b>-</b>

## **NOTES TO REPORTS NO. 4, 4A AND 4B**

The current month, year-to-date and fiscal year plan data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on January 30, 2009.

There are 308,540 filled positions as of March of which 281,608 are full-time positions and 26,932 are full-time equivalent positions. Of the 308,540 filled positions, 268,171 are estimated to be wholly or partially city funded. For the fiscal year (June 30, 2009) 282,281 of the 310,956 positions are full-time and 267,924 of the 310,956 positions are estimated to be city funded positions.

In some instances prior year charges are reflected in FY 2009 year-to-date expenses. These will be journaled back to prior years at a later date.

**Police Department:** The \$(212) million year-to-date variance is primarily due to:

- \$43 million in delayed encumbrances, primarily for special expense and general contractual services.
- \$(29) million in accelerated encumbrances, primarily for advertising and telephone and other communications.
- \$(226) million in personal services, including \$(190) million for collective bargaining, \$(69) million for overtime, \$(15) million for differentials and \$(5) million for holiday pay, offset by \$32 million for full-time normal gross, \$12 million for uniformed full-time normal gross, \$5 million for unsalaried positions and \$4 million for fringe benefits.

**Department of Correction:** The \$(21) million year-to-date variance is primarily due to:

- \$24 million in delayed encumbrances, primarily for heat, light and power and general contractual services.
- \$(3) million in accelerated encumbrances, primarily for general supplies and materials.
- \$(42) million in personal services, including \$(28) million for collective bargaining, \$(23) million for overtime and \$(5) million for uniformed full-time normal gross, offset by \$10 million for differentials and \$3 million for fringe benefits.

**Department of Sanitation:** The \$19 million year-to-date variance is primarily due to:

- \$26 million in delayed encumbrances, primarily for heat, light and power and motor vehicle fuel.
- \$(19) million in accelerated encumbrances, primarily for municipal waste export and general supplies and materials.
- \$12 million in personal services, including \$11 million for uniformed overtime and \$4 million for fringe benefits, offset by \$(5) million for uniformed full-time normal gross.

**Administration for Children's Services:** The \$45 million year-to-date variance is primarily due to:

- \$87 million in delayed encumbrances, including \$17 million for child welfare services, \$13 million for Head Start, \$13 million for children's charitable institutions, \$12 million for subsidized adoption, \$9 million for other general expenses, \$4 million for direct foster care of children, \$4 million for rentals of land, buildings and structures and \$3 million for heat, light and power.
- \$(42) million in accelerated encumbrances, including \$(10) million for day care of children, \$(9) million for general fixed charges and \$(9) million for special education facilities.

**Department of Social Services:** The \$325 million year-to-date variance is primarily due to:

- \$401 million in delayed encumbrances, including \$318 million for medical assistance, \$25 million for aid to dependent children, \$20 million for home care services, \$9 million for homeless family services and \$4 million for non-grant charges.
- \$(76) million in accelerated encumbrances, including \$(18) million for payments for home relief, \$(12) million for AIDS services, \$(9) million for employment services, \$(7) million for professional computer services, \$(5) million for security services and \$(5) million for protective services for adults.

**Department of Homeless Services:** The \$71 million year-to-date variance is primarily due to:

- \$77 million in delayed encumbrances, primarily for homeless family services and temporary services.

- \$(4) million in accelerated encumbrances, primarily for general maintenance and repairs.
- \$(2) million in personal services.

**Department of Health and Mental Hygiene:** The \$67 million year-to-date variance is primarily due to:

- \$77 million in delayed encumbrances, including \$18 million for mental hygiene services, \$11 million for AIDS services, \$6 million for hospitals contracts, \$4 million for heat, light and power, \$3 million for general supplies and materials and \$3 million for other professional services.
- \$(36) million in accelerated encumbrances, primarily for mental health services and general contractual services.
- \$26 million in personal services, primarily for full-time normal gross.

**Department of Housing Preservation and Development:** The \$50 million year-to-date variance is primarily due to:

- \$60 million in delayed encumbrances, primarily for Federal Section 8 Rent Subsidy and general contractual services.
- \$(6) million in accelerated encumbrances, primarily for general maintenance and repairs.
- \$(4) million in personal services.

**Department of Environmental Protection:** The \$91 million year-to-date variance is primarily due to:

- \$113 million in delayed encumbrances, including \$54 million for other general expenses, \$25 million for heat, light and power, \$8 million for general contractual services, \$6 million for general supplies and materials and \$4 million for general maintenance and repairs.
- \$(22) million in accelerated encumbrances, primarily for taxes and licenses and fuel oil.



**Department of Transportation:** The \$31 million year-to-date variance is primarily due to:

- \$79 million in delayed encumbrances, including \$40 million for general contractual services, \$17 million for heat, light and power, \$8 million for general supplies and materials and \$8 million for general equipment.
- \$(39) million in accelerated encumbrances, primarily for other professional services and professional engineer and architect services.
- \$(9) million in personal services.

**Department of Citywide Administrative Services:** The \$62 million year-to-date variance is primarily due to:

- \$74 million in delayed encumbrances, primarily for heat, light and power and rentals of land, buildings and structures.
- \$(5) million in accelerated encumbrances, primarily for general supplies and materials.
- \$(7) million in personal services.

**Department of Education:** The \$(23) million year-to-date variance is primarily due to:

- \$237 million in personal services, of which \$(34) million represents backpay that will be journaled to prior years and \$271 million represents the current year spending variance.
- \$(260) million in OTPS, reflecting accelerated encumbrances of \$(242) million for contract payments, \$(67) million for transportation of pupils, \$(52) million for other professional services, \$(52) million for maintenance and operation of infrastructure, \$(50) million for professional direct educational services, \$(36) million for professional curriculum and development services, \$(29) million for professional computer services, \$(16) million for general contractual services, \$(12) million for data processing supplies, \$(9) million for general equipment, \$(7) million for payments for surety bonds and insurance premiums and \$(3) million for professional legal services, offset by delayed encumbrances of \$168 million for general supplies and materials, \$50 million for heat, light and power, \$15 million for rentals of land, buildings and structures, \$15 million for NYCTA reduction for school children, \$14 million for food and forage supplies, \$11 million for fuel

oil, \$9 million for city employees training program, \$7 million for tuition payments for foster care, \$5 million for library books, \$5 million for other general expenses, \$5 million for data processing equipment and \$4 million for private bus company reduced fares for school children.

**Higher Education:** The \$62 million year-to-date variance is primarily due to:

- \$61 million in OTPS, primarily for general contractual services and senior college expenses.
- \$1 million in personal services.

**Miscellaneous:** The \$(1) million year-to-date variance is primarily due to:

- \$(106) million in fringe benefits for earlier than expected encumbrances.
- \$47 million in transit subsidies for later than expected encumbrances.
- \$(13) million in judgment and claims for prior year charges.
- \$71 million in other primarily due to payments to delegate agencies and other professional services.

**Debt Service:** The \$102 million year-to-date variance is primarily due to:

- \$104 million for later than expected encumbrances, including \$95 million for general interest on bonds and \$9 million for interest exchange agreements.
- \$(2) million for earlier than expected encumbrances primarily due to floating rate support costs.

# **Report No. 5**

## Capital Commitments

**CITY OF NEW YORK  
CAPITAL COMMITMENTS  
REPORT NO. 5  
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR: 2009		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
<b>TRANSIT</b>	\$0.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$71.3 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$109.5 (C) 32.2 (N)
<b>HIGHWAY AND STREETS</b>	2.9 (C) 75.3 (N)	12.5 (C) 0.1 (N)	94.6 (C) 107.7 (N)	116.3 (C) 33.5 (N)	391.7 (C) 307.5 (N)
<b>HIGHWAY BRIDGES</b>	40.6 (C) 0.0 (N)	148.5 (C) 44.7 (N)	169.8 (C) 0.0 (N)	209.9 (C) 44.7 (N)	610.4 (C) 62.9 (N)
<b>WATERWAY BRIDGES</b>	0.4 (C) 0.0 (N)	0.3 (C) 0.0 (N)	12.8 (C) 0.0 (N)	26.9 (C) 0.0 (N)	281.3 (C) 186.4 (N)
<b>WATER SUPPLY</b>	2.9 (C) 0.0 (N)	0.0 (C) 0.0 (N)	38.8 (C) 0.0 (N)	(0.0) (C) 0.0 (N)	359.0 (C) 0.0 (N)
<b>WATER MAINS, SOURCES &amp; TREATMENT</b>	108.1 (C) 0.0 (N)	14.1 (C) 0.0 (N)	520.0 (C) 0.0 (N)	50.3 (C) 0.0 (N)	917.7 (C) 0.0 (N)
<b>SEWERS</b>	25.1 (C) 0.0 (N)	6.5 (C) 0.0 (N)	81.3 (C) 0.0 (N)	95.8 (C) 0.1 (N)	208.1 (C) 0.1 (N)
<b>WATER POLLUTION CONTROL</b>	15.8 (C) 0.0 (N)	0.0 (C) 0.0 (N)	922.6 (C) 0.0 (N)	159.4 (C) 0.0 (N)	1,535.3 (C) 0.4 (N)
<b>ECONOMIC DEVELOPMENT</b>	13.0 (C) 4.7 (N)	3.3 (C) 0.0 (N)	232.6 (C) 23.7 (N)	82.2 (C) 4.6 (N)	1,081.2 (C) 340.3 (N)
<b>EDUCATION</b>	112.0 (C) 0.0 (N)	50.0 (C) 0.0 (N)	730.3 (C) 1,150.0 (N)	527.3 (C) 941.7 (N)	1,123.1 (C) 1,668.3 (N)

**SYMBOLS:  
(C) CITY FUNDS  
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK  
CAPITAL COMMITMENTS  
REPORT NO. 5  
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR: 2009		FISCAL YEAR PLAN
	CURRENT MONTH		YEAR-TO-DATE		
	ACTUAL	PLAN	ACTUAL	PLAN	
<b>CORRECTION</b>	7.4 (C)	(0.5) (C)	39.5 (C)	121.9 (C)	193.4 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	3.8 (N)	3.8 (N)
<b>SANITATION</b>	15.3 (C)	1.0 (C)	153.3 (C)	378.1 (C)	704.6 (C)
	1.1 (N)	0.0 (N)	0.6 (N)	1.1 (N)	5.7 (N)
<b>POLICE</b>	5.0 (C)	4.2 (C)	71.6 (C)	87.9 (C)	1,115.9 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)
<b>FIRE</b>	6.1 (C)	2.4 (C)	45.7 (C)	13.7 (C)	238.4 (C)
	0.0 (N)	0.0 (N)	0.1 (N)	18.1 (N)	30.7 (N)
<b>HOUSING</b>	14.8 (C)	10.7 (C)	78.8 (C)	134.3 (C)	759.7 (C)
	1.7 (N)	5.1 (N)	52.8 (N)	79.5 (N)	187.0 (N)
<b>HOSPITALS</b>	(2.7) (C)	11.5 (C)	194.9 (C)	347.2 (C)	414.3 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)
<b>PUBLIC BUILDINGS</b>	15.7 (C)	5.8 (C)	74.1 (C)	17.5 (C)	507.2 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	(0.1) (N)	(0.1) (N)
<b>PARKS</b>	41.4 (C)	0.4 (C)	240.0 (C)	16.7 (C)	1,140.9 (C)
	1.4 (N)	1.1 (N)	10.7 (N)	4.7 (N)	217.3 (N)
<b>ALL OTHER DEPARTMENTS</b>	160.1 (C)	22.8 (C)	962.7 (C)	315.8 (C)	3,956.4 (C)
	3.3 (N)	0.0 (N)	65.1 (N)	41.7 (N)	309.7 (N)
<b>TOTAL</b>	<b>\$583.9 (C)</b>	<b>\$293.5 (C)</b>	<b>\$4,734.7 (C)</b>	<b>\$2,701.3 (C)</b>	<b>\$15,648.1 (C)</b>
	<b>\$87.6 (N)</b>	<b>\$51.0 (N)</b>	<b>\$1,410.8 (N)</b>	<b>\$1,173.4 (N)</b>	<b>\$3,352.2 (N)</b>

**SYMBOLS:  
(C) CITY FUNDS  
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY  
CAPITAL COMMITMENTS  
REPORT NO. 5  
(MILLIONS IN DOLLARS)**

**Month: March**

**Fiscal Year: 2009**

**City Funds:**

Total Authorized Commitment Plan	\$15,648
Less: Reserve for Unattained Commitments	<u>(4,344)</u>
Commitment Plan	<u>\$11,304</u>

**Non-City Funds:**

Total Authorized Commitment Plan	\$3,352
Less: Reserve for Unattained Commitments	<u>0</u>
Commitment Plan	<u>\$3,352</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2009 January Capital Commitment plan of \$15,648 million rather than the Financial Plan level of \$11,304 million. The additional \$4,344 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

## NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through March are primarily due to timing differences.

Waterway Bridges - Deregistration of contracts for the reconstruction of Manhattan Bridge, totaling \$3.3 million, occurred in December 2008. Reconstruction of the Queensboro Bridge, totaling \$6.1 million, slipped from July and December 2008 to May 2009. Reconstruction of the Williamsburg Bridge, totaling \$5.9 million, slipped from August 2008 and January 2009 to May 2009. Various slippages and advances account for the remaining variance.

Correction - Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$11.4 million, slipped from July 2008 thru February 2009 to May 2009. Acquisition and construction of the supplementary housing program and support facilities, totaling \$32.5 million, slipped from July and December 2008 and January 2009 to May 2009. Deregistration of contracts, totaling \$2.1 million, for the George R. Vierno Center, occurred in March 2009. Purchase of computer equipment, totaling \$8.8 million, slipped from July and August 2008 to May 2009. Riker's Island Infrastructure, totaling \$23.1 million, slipped from July 2008 to May 2009. Various slippages and advances account for the remaining variance.

Education - Five Year Educational Plan, totaling \$180.0 million, advanced from April and May 2009 to February and March 2009. Construction contracts for the Department of Education, totaling \$22.0 million, advanced from June 2009 to February and March 2009. Various slippages and advances account for the remaining variance.

Economic Development - Acquisition and site development for commercial redevelopment, City-wide, totaling \$102.7 million, advanced from May and June 2009 to July 2008 thru March 2009. Brooklyn Navy Yard, totaling

\$2.5 million, advanced from May and June 2009 to September and November 2008 and March 2009. Economic development for industrial, waterfront and commercial purposes, totaling \$9.8 million, advanced from June 2009 to January and March 2009. Modernization and reconstruction of piers, City-wide, totaling \$30.7 million, advanced from May and June 2009 to November 2008 thru March 2009. Various slippages and advances account for the remaining variance.

- Fire - Acquisition of vehicles for the Fire Department, totaling \$17.5 million, advanced from May and June 2009 to July 2008 thru March 2009. Fire Department facility improvements, City-wide, totaling \$7.5 million, advanced from May 2009 to July 2008 thru March 2009. Fire Department Management Information and Control Systems, totaling \$5.5 million, advanced from June 2009 to December 2008 and March 2009. Various slippages and advances account for the remaining variance.
  
- Highway Bridges - Reconstruction of highway bridges and structures, City-wide, totaling \$35.0 million, advanced from June 2009 to March 2009. Reconstruction of the 11<sup>th</sup> Avenue Viaduct, totaling \$34.4 million, slipped from March 2009 to May 2009. Reconstruction of shoreroad Bridge, totaling \$2.1 million, advanced from June 2009 to January 2009. Improvements to the ramp from the Third Avenue Bridge to Bruckner Boulevard, totaling \$5.4 million, slipped from March 2009 to May 2009. Reconstruction of the Hamilton Bridge over the Gowanus Canal, Brooklyn, totaling \$3.6 million, advanced from June 2009 to October 2008 and March 2009. Protection against Marine Borers, City-wide totaling \$44.6 million, slipped from March 2009 to May 2009. Reconstruction of the East 25<sup>th</sup> Street Pedestrian Bridge, over the FDR, totaling \$2.1 million, slipped from December 2008 to April 2009. Various slippages and advances account for the remaining variance.
  
- Highways - Resurfacing of streets, City-wide, totaling \$2.6 million, slipped from August 2008 thru March 2009 to May 2009. Sidewalk reconstruction, totaling \$18.6 million, slipped from January and March 2009 to May 2009. Reconstruction of streets within area bounded by South Conduit Avenue, totaling \$2.7 million, slipped from February 2009 to May 2009. Repaving and resurfacing streets, totaling \$21.9 million, advanced from June 2009 to August 2008. Reconstruction of Frederick Douglass Circle and Manhattan Avenue, totaling \$3.2 million, slipped from September thru November 2008 to May 2009. Various slippages and advances account for the remaining variance.



## Housing

- Housing Authority City Capital Subsidies, totaling \$17.2 million, advanced from April and June 2009 to July, August and December 2008 and March 2009. Fordham-Bedford Housing, totaling \$2.0 million, advanced from June 2009 to December 2008. Computer purchases, City-wide, totaling \$3.4 million, advanced from June 2009 to July 2008 thru February 2009. Tenant Interim Lease Program, totaling \$5.4 million, advanced from June 2009 to December 2008 and January and March 2009. Article 8A Loan Program, totaling \$34.6 million, slipped from December 2008 to May 2009. Supportive Housing Program, totaling \$10.0 million, slipped from December 2008 to April 2009. Construction of Low Income Rental Housing, totaling \$7.8 million, slipped from December 2008 to May 2009. Construction contracts for the Participation Loan Program, totaling \$14.8 million, slipped from December 2008 and March 2009 to May 2009. Mixed Income Rental Program, totaling \$5.0 million, slipped from March 2009 to May 2009. Third party transfer programs, totaling \$16.7 million, slipped from December 2008 to May 2009. Various slippages and advances account for the remaining variance.

## Parks

- Construction and reconstruction of playgrounds and recreation facilities, totaling \$23.5 million, advanced from June 2009 to August and September 2008 and January thru March 2009. Miscellaneous parks and playgrounds, City-wide, totaling \$16.2 million, advanced from May and June 2009 to August thru December 2008 and February and March 2009. Improvements to Flushing Meadow Park, totaling \$5.1 million, advanced from June 2009 to August 2008 and January and March 2009. Construction and reconstruction of Ferry Point Park, totaling \$7.0 million, advanced from May and June 2009 to September 2008 and February 2009. Street and park tree planting, City-wide, totaling \$24.7 million, advanced from June 2009 to August 2008 thru March 2009. Coney Island Center and Asser Levy Park, totaling \$3.3 million, slipped from February 2009 to May 2009. Construction and reconstruction of playgrounds and Recreational Facilities, totaling \$4.5 million, advanced from June 2009 to August and September 2008 to January 2009. Purchase of equipment for the use by Department of Parks and Recreation, totaling \$4.2 million, advanced from June 2009 to August 2008 thru February 2009. Improvements to Downing Stadium, totaling \$22.7 million, advanced from June 2009 to August and December 2008 and February 2009. Purchase of computer equipment for the use by Department of Parks and Recreation, totaling \$2.7 million, advanced from June 2009 to August 2008 thru March 2009. Brooklyn Bridge Park, totaling \$7.4 million, advanced from June 2009 to March 2009. Park improvements, City-wide, totaling \$11.6 million, advanced from May and June 2009 to July thru October 2008 and January thru March 2009. Construction of Central Park, Manhattan, totaling

\$3.6 million, advanced from June 2009 to October 2008. Infrastructure improvements in the area of the new Yankee Stadium, totaling \$71.3 million, advanced from June 2009 to August 2008 thru December 2008 and February and March 2009. Improvements to Roberto Clemente State Park, totaling \$10.0 million, advanced from June 2009 to March 2009. Various slippages and advances account for the remaining variance.

- Police - Purchase of ultra high frequency radio telephone equipment, totaling \$7.1 million, slipped from December 2008 and February 2009 to May 2009. Improvements to Police Department property, City-wide, totaling \$3.4 million, slipped from February and March 2009 to May 2009. New Staten Island Precinct, totaling \$4.4 million, slipped from January and February 2009 to May 2009. Various slippages and advances account for the remaining variance.
- Public Buildings - Construction and reconstruction of public buildings, City-wide, totaling \$33.0 million, advanced from April 2009 to December 2008 thru March 2009. Bedford-Stuyvesant Young Men's Christian Association, totaling \$2.7 million, advanced from June 2009 to January 2009. Seventh Regiment Armory Conservancy, totaling \$2.5 million, slipped from February 2009 to May 2009. Cooper Union for the Advanced, totaling \$ 2.1 million, advanced from June 2009 to March 2009. Space alterations for Manhattan Municipal Buildings, totaling \$5.0 million, advanced from May and June 2009 to February and March 2009. Surveys in connection with capital projects, City-wide, totaling \$4.1 million, advanced from June 2009 to November and December 2008 and February and March 2009. Abatement of unsafe conditions on City property, City-wide, totaling \$4.1 million, advanced from June 2009 to August, October and December 2008 and January and March 2009. Various slippages and advances account for the remaining variance
- Sanitation - Purchase of collection trucks and equipment, totaling \$15.8 million, slipped from January 2009 to May 2009. Improvements to garages and other facilities, totaling \$10.9 million, slipped from July and September 2008 thru January 2009 to May 2009. Construction of sanitation garage for Districts 13 & 15, Brooklyn, totaling \$9.3 million, slipped from December 2008 to May 2009. Sites for sanitation garages, City-wide, totaling \$130.6 million, slipped from October 2008 and February 2009 to May 2009. Purchase of electronic data processing equipment for the Department of Sanitation, totaling \$34.2 million, slipped from January and March 2009 to May 2009. Construction of sanitation garage for District 6/8/8A, totaling \$6.6 million, slipped from July and August 2008 and January 2009 to May 2009. Construction of sanitation garage for District 4/4A/7,

totaling \$2.3 million, slipped from July 2008 thru January 2009 to May 2009. Construction of salt sheds, City-wide, totaling \$11.4 million, slipped from July thru September 2008 and January 2009 to May 2009. Various slippages and advances account for the remaining variance.

- Sewers
  - Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$9.5 million, slipped from July 2008 thru March 2009 to May 2009. City-wide mapping of sewer system, totaling \$3.3 million, slipped from July 2008 and January 2009 to May 2009. Land acquisition for the reconstruction of Storm Sewer, totaling \$7.0 million, advanced from June 2009 to July 2008 thru March 2009. Reconstruction, collapsed cement pipe combined sewers, Brooklyn, totaling \$4.2 million, slipped from February 2009 to May 2009. Sewer contracts in conjunction with the Department of Transportation, totaling \$4.7 million, slipped from July 2008 thru March 2009 to May 2009. Various slippages and advances account for the remaining variance.
  
- Transit
  - Miscellaneous reconstruction of lines under operation, totaling \$35.0 million, advanced from June 2009 to October 2008. Various transit authority projects, totaling \$34.6 million, advanced from June 2009 to August 2008. Various slippages and advances account for the remaining variance.
  
- Water Supply
  - Additional water supply emergency and permanent, totaling \$26.4 million, advanced from June 2009 to September 2008 thru January 2009. Contract for City tunnel number 3, stage 2, totaling \$11.6 million, advanced from June 2009 to December 2008 thru March 2009. Various slippages and advances account for the remaining variance.
  
- Water Mains
  - Trunk main extensions and improvements, totaling \$8.6 million, slipped from December 2008 thru February 2009 to May 2009. Construction of the Croton Filtration Plant, totaling \$241.1 million, advanced from April, May and June 2009 to August 2008 thru March 2009. Improvements to structures on watersheds outside the City, totaling \$239.9 million, advanced from June 2009 to July 2008 thru March 2009. Deregistration of contracts improvements to Water supply, City-wide, totaling \$ 3.1 million, occurred in March 2009. Various slippages and advances account for the remaining variance.
  
- Water Pollution Control
  - Hunts Point Water Pollution Control project, totaling \$8.5 million, advanced from June 2009 to July 2008 thru March 2009. Ward's Island Water Pollution Control Plant, totaling \$11.7 million, advanced from June 2009 to July 2008 thru March 2009. Reconstruction of water pollution control

projects, City-wide, totaling \$23.4 million, advanced from June 2009 to July thru November 2008 and February and March 2009. Construction of combined sewer overflow abatement, totaling \$23.8 million, advanced from April and June 2009 to September thru November 2008 and January thru March 2009. Twenty Sixth Ward Water Pollution Control Plant, totaling \$9.9 million, advanced from June 2009 to July thru December 2008 and January thru March 2009. Spring Creek Water Pollution Control Plant, totaling \$2.4 million, advanced from June 2009 to July 2008 thru February 2009. Engineering, architecture and administrative cost associated with construction at the Department of Environmental Protection, totaling \$16.2 million, advanced from June 2009 to August, September and December 2008 and January and March 2009. Construction and reconstruction of pumping stations, City-wide, totaling \$2.5 million, advanced from June 2009 to August 2008 thru March 2009. Upgrade Tallmans Island, totaling \$4.4 million, advanced from June 2009 to July thru December 2008 and February and March 2009. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$622.9 million, advanced from June 2009 to July thru December 2008 and February and March 2009. Rockaway Water Pollution Control Plant, totaling \$6.6 million, advanced from June 2009 to January 2009. City-wide sludge disposal facilities, totaling \$2.6 million, advanced from June 2009 to July 2008 thru March 2009. Bionutrient removal facilities, City-wide, totaling \$14.0 million, advanced from June 2009 to August thru November 2008 and January thru March 2009. Upgrade of the Jamaica Water Pollution Control Plant, totaling \$3.9 million, advanced from June 2009 to July 2008 thru March 2009. Contracts for Bowery Bay Water Pollution Control Plant, totaling \$7.7 million, advanced from June 2009 to July 2008 thru March 2009. Various slippages and advances account for the remaining variance.

Others

- Improvements to structures and facilities for Children Services, totaling \$4.8 million, slipped from January thru March 2009 to May 2009. Equipment for the Administration for Children's Services, City-wide, totaling \$9.7 million, slipped from December 2008 thru March 2009 to May 2009.
- Purchase of EDP equipment, totaling \$78.5 million, advanced from June 2009 to July 2008 thru March 2009. Emergency communication system and facilities, totaling \$69.6 million, advanced from June 2009 to September, October and December 2008 and January and March 2009.
- Purchase of EDP equipment for the Department of Environmental Protection, totaling \$4.8 million, advanced from June 2009 to July, November and December 2008. Purchase of electronic data processing equipment for the Department of Environmental Protection, totaling \$3.8 million,

advanced from June 2009 to December 2008 thru March 2009. Mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$2.9 million, slipped from September 2008 thru March 2009 to May 2009. Installation of water measuring devices, City-wide, totaling \$98.8 million, advanced from June 2009 to July 2008 thru March 2009.

- City University improvements to Community colleges, totaling \$58.8 million, advanced from June 2009 to January and March 2009.
- Construction, reconstruction, improvements, acquisitions, outfitting and equipment for libraries in Queens, totaling \$8.8 million, slipped from July, August and December 2008 to May 2009. Contracts for the construction, reconstruction improvements, acquisitions, initial outfitting and the purchase of equipment to Branch Libraries in Manhattan and Staten Island, totaling \$22.6 million, slipped from July 2008 thru February 2009 to April 2009.
- Alliance of Resident Theaters, totaling \$6.5 million, slipped from August 2008 to May 2009. Improvements to the Brooklyn Museum, totaling \$10.0 million, slipped from August 2008 to May 2009. Improvements to Carnegie Hall reconstruction, totaling \$8.4 million, advanced from June 2009 to February 2009. Deregistration of contracts for the Brooklyn Children's Museum, totaling \$3.9 million, occurred in March 2009. Improvements to Lincoln Center, totaling \$7.7 million, slipped from February and March 2009 to May 2009. Deregistration of contracts for construction of all cultural institutions, totaling \$3.6 million, occurred in February 2009. Improvements to the Noguchi Museum, totaling \$8.3 million, advanced from June 2009 to December 2008. Improvements to the Museum of Arts and Design, totaling \$4.4 million, advanced from June 2009 to March 2009. Improvements to WNYC New York Public Radio, totaling \$10.1 million, slipped from October 2008 to May 2009. The Weeksville Master Plan, totaling \$6.8 million, slipped from September 2008 to May 2009. Improvements to the 52<sup>nd</sup> Street project, totaling \$10.3 million, advanced from April 2009 to March 2009.
- Purchase of electronic data processing equipment, totaling \$277.2 million, advanced from June 2009 to July 2008 thru March 2009. Purchase of electronic data processing equipment for FISA, totaling \$56.1 million, advanced from June 2009 to July 2008 thru March 2009. Financing capital expenditures, totaling \$26.8 million, occurred in August thru December 2008 and January thru

March 2009. Financing debt issuance, totaling \$10.2 million, occurred in January and February 2009.

- Installation of traffic signals, City-wide, totaling \$23.3 million, advanced from June 2009 to December 2008 and January 2009.
- Computer equipment for the Department of Transportation, totaling \$4.0 million, advanced from June 2009 to January 2009.

3. Variances in year-to-date commitments of non-City funds through March occurred in the Department of Education, the Department of Business Services, the Fire Department, Department of Housing Preservation and Development, the Department of Parks and Recreation, the Department of Transportation, the Department of Information Technology and Telecommunications.

- |                         |   |   |
|-------------------------|---|---|
| Education               | - | Five Year Educational Plan, totaling \$208.3 million, advanced from April and May 2009 to February 2009.  |
| Economic<br>Development | - | Acquisition, site development, construction and reconstruction related to Economic development, totaling \$9.8 million, advanced from June 2009 to December 2008 and January and March 2009. Industrial and commercial redevelopment, City-wide, totaling \$4.1 million, advanced from June 2009 to December 2008. Modernization and reconstruction of piers, totaling \$4.5 million, advanced from June 2009 to January 2009. Various slippages and advances account for the remaining variance. |
| Fire                    | - | Purchase of New Fire boats, totaling \$18.0 million, slipped from January 2009 to April 2009.   |
| Highway Bridges         | - | Protection against marine borers, City-wide, totaling \$44.7 million, slipped from March 2009 to May 2009.  |
| Highways                | - | Resurfacing of streets, City-wide, totaling \$74.6 million, slipped from June 2009 to March 2009  |

- Housing
- The Article 8A Loan Program, totaling \$7.3 million, slipped from December 2008 to May 2009. The Participation Loan Program, totaling \$4.7 million, slipped from December 2008 and March 2009 to May 2009. Deregistration of Contracts for mixed income housing, totaling \$3.6 million, occurred in November 2008. The Support Housing Program, totaling \$8.2 million, slipped from December 2008 and January 2009 to May 2009. Construction of Assisted Living Article 11 Housing, totaling \$3.5 million, slipped from March 2009 to May 2009. New Low Income rental housing program, totaling \$3.4 million, advanced from May and June 2009 to March 2009.
- Parks
- Acquisition for property for playgrounds and recreation facilities, totaling \$5.6 million, advanced from June 2009 to February 2009. Various slippages and advances account for the remaining variance.
- Others
- Replacement of parking meters, totaling \$8.5 million, advanced from June 2009 to November and December 2008.
  - The installation and construction of the ECTP Emergency Communication Systems and facilities, totaling \$8.5 million, advanced from June 2009 to August and September 2008 and March 2009.

# **Report No. 5A**

Capital Cash Flow



**CITY OF NEW YORK  
CAPITAL CASHFLOW  
REPORT NO. 5A  
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR 2009	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$12.1 (C) 0.0 (N)		\$55.0 (C) 0.0 (N)	\$47.8 (C) 7.9 (N)
HIGHWAY AND STREETS	8.0 (C) 77.9 (N)		163.9 (C) 91.4 (N)	335.3 (C) 92.6 (N)
HIGHWAY BRIDGES	9.0 (C) 0.0 (N)		113.8 (C) 2.9 (N)	292.2 (C) 20.6 (N)
WATERWAY BRIDGES	14.0 (C) 10.3 (N)		126.2 (C) 83.3 (N)	249.9 (C) 144.0 (N)
WATER SUPPLY	5.3 (C) 0.0 (N)		79.8 (C) 0.0 (N)	187.2 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	71.5 (C) 0.0 (N)		873.8 (C) 0.0 (N)	1,102.1 (C) 0.1 (N)
SEWERS	16.1 (C) 0.0 (N)		134.2 (C) 0.1 (N)	125.2 (C) 0.2 (N)
WATER POLLUTION CONTROL	84.3 (C) 0.9 (N)		761.6 (C) 7.2 (N)	962.6 (C) 13.5 (N)
ECONOMIC DEVELOPMENT	35.8 (C) 2.5 (N)		186.9 (C) 37.9 (N)	231.6 (C) 108.5 (N)
EDUCATION	0.0 (C) 0.0 (N)		69.9 (C) 1,930.2 (N)	369.9 (C) 2,467.1 (N)

**SYMBOLS:  
(C) CITY FUNDS  
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK  
CAPITAL CASHFLOW  
REPORT NO. 5A  
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR 2009	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
CORRECTION	7.5 (C)		65.5 (C)	103.2 (C)
	0.0 (N)		0.0 (N)	0.9 (N)
SANITATION	13.8 (C)		178.1 (C)	276.6 (C)
	0.0 (N)		0.9 (N)	2.4 (N)
POLICE	8.2 (C)		53.9 (C)	182.9 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
FIRE	12.8 (C)		101.9 (C)	138.8 (C)
	2.6 (N)		10.7 (N)	14.0 (N)
HOUSING	19.9 (C)		176.8 (C)	272.4 (C)
	4.5 (N)		63.3 (N)	82.8 (N)
HOSPITALS	17.7 (C)		117.0 (C)	142.6 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
PUBLIC BUILDINGS	12.0 (C)		93.0 (C)	174.6 (C)
	0.0 (N)		0.5 (N)	0.5 (N)
PARKS	51.3 (C)		333.5 (C)	510.8 (C)
	2.8 (N)		27.5 (N)	70.0 (N)
ALL OTHER DEPARTMENTS	147.5 (C)		1,033.1 (C)	1,503.6 (C)
	5.4 (N)		50.5 (N)	113.1 (N)
TOTAL	<b>\$546.9 (C)</b>		<b>\$4,717.8 (C)</b>	<b>\$7,209.2 (C)</b>
	<b>\$106.8 (N)</b>		<b>\$2,306.2 (N)</b>	<b>\$3,138.2 (N)</b>

**SYMBOLS:  
(C) CITY FUNDS  
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

## **Report No. 6 & 6A**

Month-by-Month Cash Flow Forecast

**NEW YORK CITY  
MONTH-BY-MONTH CASH FLOW FORECAST  
REPORT NO. 6**

(MILLIONS OF DOLLARS)

**MONTH: MARCH  
FISCAL YEAR 2009**

	ACTUAL									FORECAST			12 Months	ADJUST-MENTS	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN			
<b>CASH INFLOWS</b>															
<b>CURRENT</b>															
GENERAL PROPERTY TAX	\$3,835	\$133	\$642	\$248	\$6	\$1,492	\$3,602	\$81	\$826	\$440	\$17	\$2,834	\$14,156	\$201	\$14,357
OTHER TAXES	489	1,048	3,201	1,217	1,269	2,337	2,467	1,020	2,288	1,807	711	3,149	21,003	531	21,534
FEDERAL GRANTS	157	88	205	179	336	316	370	697	644	450	375	465	4,282	1,755	6,037
STATE GRANTS	150	317	841	269	462	854	365	98	3,581	750	1,568	1,141	10,396	1,635	12,031
OTHER CATEGORICAL	51	77	35	25	29	35	(2)	107	39	25	101	118	640	464	1,104
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	-	-	-	-	(1)	-	(4)	(10)	(15)	254	239
MISCELLANEOUS REVENUES	618	302	382	329	335	248	271	400	349	347	620	313	4,514	(200)	4,314
CAPITAL INTER-FUND TRANSFERS	-	14	19	25	32	41	24	34	100	31	33	20	373	104	477
<b>SUBTOTAL</b>	<b>5,300</b>	<b>1,979</b>	<b>5,325</b>	<b>2,292</b>	<b>2,469</b>	<b>5,323</b>	<b>7,097</b>	<b>2,437</b>	<b>7,826</b>	<b>3,850</b>	<b>3,421</b>	<b>8,030</b>	<b>55,349</b>	<b>4,744</b>	<b>60,093</b>
<b>PRIOR</b>															
OTHER TAXES	504	231	17	-	-	-	-	-	-	-	-	-	752	-	752
FEDERAL GRANTS	302	180	320	276	113	104	57	40	7	74	55	173	1,701	260	1,961
STATE GRANTS	120	293	449	160	106	142	7	11	55	96	130	128	1,697	908	2,605
OTHER CATEGORICAL	6	12	67	6	3	3	51	39	4	1	34	62	288	41	329
UNRESTRICTED	-	-	63	-	-	165	-	-	14	-	-	-	242	-	242
MISC. REVENUE/CAPITAL IFA	101	-	-	-	-	-	-	-	-	-	-	-	101	(101)	-
<b>SUBTOTAL</b>	<b>1,033</b>	<b>716</b>	<b>916</b>	<b>442</b>	<b>222</b>	<b>414</b>	<b>115</b>	<b>90</b>	<b>80</b>	<b>171</b>	<b>219</b>	<b>363</b>	<b>4,781</b>	<b>1,108</b>	<b>5,889</b>
<b>CAPITAL</b>															
CAPITAL TRANSFERS	514	110	1,194	803	631	639	545	550	528	678	1,265	1,298	8,755	(1,546)	7,209
FEDERAL AND STATE	273	227	91	359	332	65	499	182	236	312	161	723	3,460	(322)	3,138
<b>OTHER</b>															
SENIOR COLLEGES	419	1	-	1	290	1	113	1	425	140	1	693	2,085	(418)	1,667
HOLDING ACCT. & OTHER ADJ.	5	(1)	(3)	23	(14)	(2)	4	(4)	(1)	(7)	-	-	-	-	-
OTHER SOURCES	-	33	182	135	-	-	306	397	-	-	-	-	1,053	-	1,053
<b>TOTAL INFLOWS</b>	<b>\$7,544</b>	<b>\$3,065</b>	<b>\$7,705</b>	<b>\$4,055</b>	<b>\$3,930</b>	<b>\$6,440</b>	<b>\$8,679</b>	<b>\$3,653</b>	<b>\$9,094</b>	<b>\$5,144</b>	<b>\$5,067</b>	<b>\$11,107</b>	<b>\$75,483</b>	<b>\$3,566</b>	<b>\$79,049</b>
<b>CASH OUTFLOWS</b>															
<b>CURRENT</b>															
PS	\$1,378	\$1,819	\$2,513	\$3,095	\$2,600	\$2,702	\$2,615	\$2,622	\$2,708	\$2,750	\$3,339	\$4,126	\$32,267	\$2,449	\$34,716
OTPS	1,170	1,496	1,837	1,896	1,668	1,865	1,475	1,879	1,592	2,219	2,150	3,150	22,397	1,551	23,948
DEBT SERVICE	33	11	12	14	31	11	24	11	11	124	44	1,103	1,429	-	1,429
<b>SUBTOTAL</b>	<b>2,581</b>	<b>3,326</b>	<b>4,362</b>	<b>5,005</b>	<b>4,299</b>	<b>4,578</b>	<b>4,114</b>	<b>4,512</b>	<b>4,311</b>	<b>5,093</b>	<b>5,533</b>	<b>8,379</b>	<b>56,093</b>	<b>4,000</b>	<b>60,093</b>
<b>PRIOR</b>															
PS	1,307	1,249	41	25	195	48	84	131	31	69	25	25	3,230	-	3,230
OTPS	948	335	35	-	157	68	105	288	164	30	15	15	2,160	-	2,160
OTHER TAXES	88	221	-	-	-	-	-	-	-	-	-	-	309	-	309
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,115	1,115
<b>SUBTOTAL</b>	<b>2,343</b>	<b>1,805</b>	<b>76</b>	<b>25</b>	<b>352</b>	<b>116</b>	<b>189</b>	<b>419</b>	<b>195</b>	<b>99</b>	<b>40</b>	<b>40</b>	<b>5,699</b>	<b>1,115</b>	<b>6,814</b>
<b>CAPITAL</b>															
CITY DISBURSEMENTS	490	495	485	531	492	671	520	488	547	844	707	939	7,209	-	7,209
FEDERAL AND STATE	445	22	403	27	396	442	38	427	107	63	117	651	3,138	-	3,138
<b>OTHER</b>															
SENIOR COLLEGES	103	164	80	256	107	104	136	168	130	129	160	130	1,667	-	1,667
OTHER USES	123	-	-	-	186	388	-	-	183	-	-	173	1,053	-	1,053
<b>TOTAL OUTFLOWS</b>	<b>\$6,085</b>	<b>\$5,812</b>	<b>\$5,406</b>	<b>\$5,844</b>	<b>\$5,832</b>	<b>\$6,299</b>	<b>\$4,997</b>	<b>\$6,014</b>	<b>\$5,473</b>	<b>\$6,228</b>	<b>\$6,557</b>	<b>\$10,312</b>	<b>\$74,859</b>	<b>\$5,115</b>	<b>\$79,974</b>
<b>NET CASH FLOW</b>	<b>\$1,459</b>	<b>(\$2,747)</b>	<b>\$2,299</b>	<b>(\$1,789)</b>	<b>(\$1,902)</b>	<b>\$141</b>	<b>\$3,682</b>	<b>(\$2,361)</b>	<b>\$3,621</b>	<b>(\$1,084)</b>	<b>(\$1,490)</b>	<b>\$795</b>	<b>\$624</b>	<b>(\$1,549)</b>	<b>(\$925)</b>
<b>BEGINNING BALANCE</b>	<b>\$5,359</b>	<b>\$6,818</b>	<b>\$4,071</b>	<b>\$6,370</b>	<b>\$4,581</b>	<b>\$2,679</b>	<b>\$2,820</b>	<b>\$6,502</b>	<b>\$4,141</b>	<b>\$7,762</b>	<b>\$6,678</b>	<b>\$5,188</b>	<b>\$5,359</b>		
<b>ENDING BALANCE</b>	<b>\$6,818</b>	<b>\$4,071</b>	<b>\$6,370</b>	<b>\$4,581</b>	<b>\$2,679</b>	<b>\$2,820</b>	<b>\$6,502</b>	<b>\$4,141</b>	<b>\$7,762</b>	<b>\$6,678</b>	<b>\$5,188</b>	<b>\$5,983</b>	<b>\$5,983</b>		

**NEW YORK CITY  
MONTH-BY-MONTH CASH FLOW FORECAST  
SELECTED DETAIL  
REPORT NO. 6A**

(MILLIONS OF DOLLARS)

**MONTH: MARCH  
FISCAL YEAR 2009**

	JUL	AUG	SEP	OCT	ACTUAL NOV	DEC	JAN	FEB	MAR	FORECAST			12 Months	ADJUST- MENTS	TOTAL
										APR	MAY	JUN			
<b>SENIOR COLLEGES</b>															
SENIOR COLLEGES COST (OUTFLOW)	(103)	(164)	(80)	(256)	(107)	(104)	(136)	(168)	(130)	(129)	(160)	(130)	(1,667)	-	(1,667)
SENIOR COLLEGES INFLOW - CURRENT	1	-	-	-	-	-	108	1	425	140	1	693	1,369	298	1,667
SENIOR COLLEGES INFLOW - PRIOR	418	1	-	1	290	1	5	-	-	-	-	-	716	(716)	-
NET SENIOR COLLEGES	316	(163)	(80)	(255)	183	(103)	(23)	(167)	295	11	(159)	563	418	(418)	-
<b>CAPITAL</b>															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	631	1,051	700	87	523	540	360	772	833	785	447	6,729	-	6,729
(INC)/DEC RESTRICTED CASH	39	(708)	(77)	(97)	94	(154)	(116)	190	(244)	(155)	480	851	103	(1,546)	(1,443)
SUBTOTAL	39	(77)	974	603	181	369	424	550	528	678	1,265	1,298	6,832	(1,546)	5,286
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	334	389	350	338	-	-	-	-	-	-	-	1,411	-	1,411
(INC)/DEC RESTRICTED CASH	475	(147)	(169)	(150)	112	270	121	-	-	-	-	-	512	-	512
SUBTOTAL	475	187	220	200	450	270	121	-	-	-	-	-	1,923	-	1,923
<b>TOTAL CITY CAPITAL TRANSFERS</b>	<b>514</b>	<b>110</b>	<b>1,194</b>	<b>803</b>	<b>631</b>	<b>639</b>	<b>545</b>	<b>550</b>	<b>528</b>	<b>678</b>	<b>1,265</b>	<b>1,298</b>	<b>8,755</b>	<b>(1,546)</b>	<b>7,209</b>
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	23	86	91	359	332	65	499	182	236	312	161	723	3,069	69	3,138
PRIOR	250	141	-	-	-	-	-	-	-	-	-	-	391	(391)	-
<b>TOTAL FEDERAL AND STATE INFLOWS</b>	<b>273</b>	<b>227</b>	<b>91</b>	<b>359</b>	<b>332</b>	<b>65</b>	<b>499</b>	<b>182</b>	<b>236</b>	<b>312</b>	<b>161</b>	<b>723</b>	<b>3,460</b>	<b>(322)</b>	<b>3,138</b>
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(490)	(495)	(485)	(531)	(492)	(671)	(520)	(488)	(547)	(844)	(707)	(939)	(7,209)	-	(7,209)
FEDERAL AND STATE	(445)	(22)	(403)	(27)	(396)	(442)	(38)	(427)	(107)	(63)	(117)	(651)	(3,138)	-	(3,138)
<b>TOTAL OUTFLOWS</b>	<b>(935)</b>	<b>(517)</b>	<b>(888)</b>	<b>(558)</b>	<b>(888)</b>	<b>(1,113)</b>	<b>(558)</b>	<b>(915)</b>	<b>(654)</b>	<b>(907)</b>	<b>(824)</b>	<b>(1,590)</b>	<b>(10,347)</b>	<b>-</b>	<b>(10,347)</b>
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	24	(385)	709	272	139	(32)	25	62	(19)	(166)	558	359	1,546	(1,546)	-
NET NON-CITY CAPITAL	(172)	205	(312)	332	(64)	(377)	461	(245)	129	249	44	72	322	(322)	-
<b>NET TOTAL CAPITAL</b>	<b>(148)</b>	<b>(180)</b>	<b>397</b>	<b>604</b>	<b>75</b>	<b>(409)</b>	<b>486</b>	<b>(183)</b>	<b>110</b>	<b>83</b>	<b>602</b>	<b>431</b>	<b>1,868</b>	<b>(1,868)</b>	<b>-</b>

## **NOTES TO REPORT #6/6A**

### 1. **Beginning Balance**

The July 2008 beginning balance is consistent with the FY 2008 audited Comprehensive Annual Financial Report (CAFR).

### 2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2009 ending balance includes deferred revenue from FY 2010 prepaid Real Estate Taxes.

### 3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds and Water Authority revenue bonds, exclusive of bonds issued for refunding.

### 4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.