NEW YORK CITY MUNICIPAL ARCHIVES

31 CHAMBERS ST., NEW YORK, NY 10007

Guide to the Malcolm X assassination case file, 1963-1999

Collection REC 0060

Processed by archives intern Elizabeth Mazucci, 2004. Revised by staff archivist Alexandra Hilton, 2018.



NYC Municipal Archives Guide to the Malcolm X assassination case file, 1963-1999

Summary

Record Group: RG 007: New York County District Attorney

Title of the Collection: Malcolm X assassination case file records

Creator(s): New York (State). District Attorney (New York County, N.Y.)

Date: 1963-1999

Abstract: On February 21, 1965, Malcolm X was shot and killed just as he was about to address a rally of the Organization of Afro-American Unity at the Audubon Ballroom in Washington Heights. This collection contains the New York District Attorney's investigation files into his assassination.

Collection #: REC 0060

Extent: 4.5 cubic feet in 9 boxes

Language: English

Physical location: Materials are stored onsite at 31 Chambers St.

Repository: New York City Municipal Archives, Department of Records and Information Services,

31 Chambers St., New York, NY 10007

Immediate Source of Acquisition: In 1993, the New York District Attorney's closed case files from 1952-1966 (totaling approximately 1567 cubic feet) were transferred to the Municipal Archives and accessioned (accession # 1993-039). The case file for the Malcolm X assassination – *People vs. Hagan, Butler, and Johnson*, Case No. 871-65 – was part of the accession.

Access and Use: Collection is open for research. Patrons are requested to use the digitized version of this collection in order to protect the original records.

Existence and Location of Copies: The entirety of this collection has been digitized and is available to view through our online gallery.

Preferred citation: Identification of item, date; Malcolm X assassination case file, 1963-1999; REC 0060; Series name; box number; folder number; Municipal Archives, City of New York

Processing note: This collection was processed by archives intern Elizabeth Mazucci in 2014. The finding aid was updated and standardized by staff archivist Alexandra Hilton in 2018.

Biographical/Historical Information

Each of the five counties in New York City elects a district attorney. The functions and responsibilities of the district attorney have remained essentially unchanged since establishment of the grand jury system in the late 1600s. As the public prosecutor, the district attorney is responsible for presenting sufficient evidence to a grand jury to obtain an indictment for a subsequent trial before a petit jury. The district attorney prosecutes only felony offenses. District attorneys have no civil jurisdiction whatsoever.

Assistant District Attorney Herbert Stern prosecuted the case against defendants Norman Butler, Thomas Hagan, and Thomas Johnson, accused of murdering Malcolm X on February 21, 1965. Assistant District Attorneys Stern and Vincent Dermody worked under District Attorney Frank Hogan, who served from 1942-1974. Subsequent motions by defendants Butler and

Johnson in the 1970s were handled by Assistant District Attorney Allen Alpert in the office of District Attorney Robert Morgenthau. This case spans two district attorney terms.

Scope and Content Note

The New York District Attorney's Malcolm X assassination case file, closed case file #871-65, is divided into three series, according to three chronological stages that cover the life of the case: District Attorney's Case Files, Supreme Court Trial Transcript, and Appeals Case Preparations. Series I primarily encompasses the investigation period, i.e. the period between the crime and the trial. Series II marks the trial period, as it contains a bound transcript of the 1966 trial. Series III primarily covers the period following the trial until the file was closed in 1993. The inclusive dates expand far beyond the life of the case because the collection contains a 1963 newspaper and photographs taken in 1999 of a missing artifact.

Arrangement

The Malcolm X assassination case file records are arranged into three series:

Series I: District Attorney's case file

Series II: Supreme Court trial transcripts

Series III: Appeal case preparations

Key Terms

Names

Audubon Ballroom (New York, N.Y.)

Butler, Norman

Hagan, Thomas

Hogan, Frank Smithwick, 1902-1974

Johnson, Thomas (Thomas 15x)

Morgenthau, Robert M.

New York (State). District Attorney (New York County, N.Y.)

New York (State). Supreme Court

Organization of Afro-American Unity

X, Malcolm, 1925-1965

Places

Manhattan (New York, N.Y.)

New York (N.Y.)

New York (State) -- Politics and government -- 1951-

Washington Heights (New York, N.Y.)

Subjects

African Americans -- Civil rights

African American political activists

Assassination

Black Muslims

Black nationalism

Local government -- New York (State)

Trials

Material Types

Affidavits

Correspondence

Ephemera

Judicial records

Memorandums

Negatives (photographs)

Photographic prints

Container List

Series I: District Attorney's case file

Date(s): 1963-1999

Size (Extent): 2.25 cubic feet

Scope and Content:

This series comprises the subject files created and used by the New York County District Attorney's office to prosecute Norman Butler, Thomas Hagan, and Thomas Johnson for the February 21, 1965 murder of Malcolm X. District Attorney Frank Hogan supervised the case, Assistant District Attorney Herbert Stern interrogated witnesses, and Vincent Dermody served as prosecutor at the trial. Most of the folders are labeled with the names of witnesses to the crime who were interviewed during the police investigation.

The bulk of the material (1965-1966) consists of handwritten notes taken by Assistant District Attorney Herbert Stern during interviews with eyewitnesses to the crime, signed carbon copies of interviews conducted by the New York Police Department (NYPD), and a transcript of the grand jury proceedings. The NYPD interviews are located in the folders titled "New York Police Department (NYPD) Reports" (Box 1, Folders 3-4), with the exception of the interviews recorded by Detectives Cavallaro and Keeley. Interviews by those two detectives are located in the folders under their names. Many of the original folders were found empty and have been marked as such; however, some interviews may be found in related folders. A bound copy of the grand jury proceedings is located in Box 2, and, in some cases, statements made before the grand jury were copied and filed under the names of the interviewees.

This series also contains correspondence, hospital records, the medical examiner's records, news clippings, photographs, printed materials, and handwritten notes taken by the prosecutor during the trial. Moreover, some of the items that the NYPD recovered from the crime scene are located in this series, including ballistic evidence and papers found on the victim's body. The series includes an evidence envelope indicating it contains a red diary (referred to as a "red notebook" in the trial). The envelope is empty (evidence presented at trials is typically maintained by the Supreme Court and not returned to the District Attorney). In 1999 a clerk of the Supreme Court stole the diary from a court vault and attempted to sell it. A subsequent FBI investigation recovered the diary, which was later returned to Malcolm X's family. Color photographs of the diary taken by the FBI are located in Box 2, Folder 66. Photographs of other evidence originally assembled by the District Attorney's office and NYPD, such as a sock bomb and segment of camera film, can be found in Series II, Box 7, Folder 6 (sock bomb photo: page 4228; film photo: page 4230). These items are not in the collection. It is unclear how the rope located in Box 2, Folder 67 is related to the crime or investigation.

Arrangement: The series is arranged alphabetically by subject and therein chronologically by date.

Access and Use: Three images from Box 1, Folder 41; four images from Box 1, Folder 44; and four images from Box 3, Folder 96, have not been made available digitally to the public due to their sensitive content. Researchers may request to view these images by emailing Reference Services at research@records.nyc.gov. In your request, please provide the following digital identifiers: REC0060_1_041_0055 to REC0060_1_041_0057 for Folder 41; REC0060_1_044_0072 to REC0060_1_044_0075 for Folder 44; and REC0060_3_096_0002 to REC0060_3_096_0005 for Folder 96.

Location: 31 Chambers St., Shelf 17387-17391

Вох	Folder	Title	Date
1	1	[Complaint No. 871 of 1965, Hayer, Butler, and Johnson]	1965 March 10-1966 April 15
1	2	[District Attorney's Office, Homicide Bureau: Accordion File]	1965
1	3	[New York Police Department (NYPD) Reports]	1965 February 21-March 6
1	4	[New York Police Department (NYPD) Reports]	1965 March 8-July 2
1	5	Adams, Lawrence 4X [empty]	circa 1965-1966
1	6	Ahmed, Omar [empty]	circa 1965-1966
1	7	Ahmed, Zabrini [empty]	circa 1965-1966
1	8	Alexander, Gladstone X [empty]	circa 1965-1966
1	9	Ameer, Leon 4X	circa 1965-1966
1	10	Anderson, Robert	1965 March 18
1	11	Anonymous Letters [empty]	circa 1965-1966
1	12	Aronoff, Sergeant, 30th Precinct	1965 March 9-1966 February 7
1	13	Audubon Ballroom	circa 1965-1966
1	14	Badger, Suzy	1965 March 19
1	15	Bailey, Peter [empty]	undated
1	16	Bailey, William	circa 1965-1966
1	17	Balleu, James [empty]	undated
1	18	Ballistics and Bureau of Criminal Identification	1965 February 22-March 1
1	19	Barnes, Willie [empty]	undated
1	20	Batchelor, Margret [empty]	undated
1	21	Bates, Bernard	1965 April 8-12
1	22	Bentely, Leonard [empty]	undated

Вох	Folder	Title	Date
1	23	Bey, Abe: [Grand Jury Testimony]	1965 April 2
1	24	Bey, Abe: [Interviews]	1964 March 31-1965 May 15
1	25	Bey, Orbet	1965 April-May
1	26	Biggams, Johnnie May	1965 March 18
1	27	Blackwell, Charles Henry X	1965-1966
1	28	Blanchette, Gwendolyn [empty]	undated
1	29	Booker, James [empty]	undated
1	30	Boutelle, Paul	1965 March 23
1	31	Bratcher, Thomas	1966
1	32	Brennan, Sergeant, 34th Precinct	circa 1965-1966
1	33	Bromberg, Edward	1965
1	34	Bromberg, Rona [empty]	undated
1	35	Brown, Benjamin	1965 April 19
1	36	Brown, Clarence 14X	1965 March 24
1	37	Brown, Lorraine	1965 March 17
1	38	Brown, Martha [empty]	undated
1	39	Bulloups, Jackie	1965 March 24
1	40	Burns, Carney	undated
1	41	Butler, Norman	1965-1966
1	42	Butler, Theresa 7X	1965 March 4-5
1	43	Cathcart, Linwood [empty]	undated
1	44	Cavallaro, Detective, 30th Squad	1965-1966
2	45	Confrey, Detective, Manhattan North Homicide Squad [empty]	undated
2	46	Conroy, John, Detective, 23rd Squad [empty]	undated
2	47	Cooper, Ralph [empty]	undated
2	48	Crane, Ernest [empty]	undated
2	49	Crum, Catherine [empty]	undated
2	50	Cusmano, Detective, 34th Squad [empty]	undated
2	51	Davis, Charles	1965 March 19
2	52	Davis, Jasper	1965-1966

Вох	Folder	Title	Date
2	53	Davis, John DX	1965-1966
2	54	Dawson, Henry [empty]	undated
2	55	De Berry, Clifton [empty]	undated
2	56	De Pina, Edward	1965 February 21-1966 January 28
2	57	Edwards, Robert	1965 March 25
2	58	Egan, Detective, Bureau of Special Services [empty]	undated
2	59	Elliott, Sonia [empty]	undated
2	60	Ballistic Evidence	1965-1966
2	61	Ballistic Evidence: Bullet Marked "J"	1966 February 16
2	62	Ballistic Evidence: [Lead fragment - Malcolm X]	1965 February 21
2	63	Ballistic Evidence: [Two CJ fragments - Malcolm X]	1965 February 21
2	64	Evidence: [Colt .45 and sock]	1965 February 21-25
2	65	Evidence: Red Diary [Diary missing]	1965
2	66	Evidence: Red Diary (FBI Photos)	1999
2	67	Evidence: [Rope]	circa 1965-1966
2	68	Evidence: Shotgun Load	1965 February 21
2	69	Evidence: Tear Gas and Load	1965
2	70	Exhibits List for People and Defendants	1965-1966
2	71	Faison, Henry [empty]	undated
2	72	Fitt, Edgar	1965 April 2
2	73	Fogel, William [empty]	undated
2	74	[Francis, Reuben]	1965
2	75	Fruntz, Gunther [empty]	undated
2	76	Gadsen, Edward	circa 1965-1966
2	77	Galamison, Milton, Dr.	circa 1965-1966
2	78	George, William 64X	1965 March 18
2	79	Gethers, Elizabeth	circa 1965-1966
2	80	Goodman, Benjamin	1965
2	81	Grand Jurors	1965
2	82	Grand Jury Exhibits [empty]	undated

Вох	Folder	Title	Date
2	83	Grand Jury Proceedings	1965 March 3-9
2	84	Grand Jury Proceedings	1965 March 10-April 12
3	85	Grant, Earl 5X	1965 March 8-15
3	86	Grey, John 57X	1965
3	87	Grey, Muriel	circa 1965-1966
3	88	Grey, Robert [empty]	undated
3	89	Grimes, Franklin	1965 March 18
3	90	Haggons, Robert [empty]	undated
3	91	Haggons, Robert Sr. [empty]	undated
3	92	Hale, Charles [empty]	undated
3	93	Harris, William [empty]	undated
3	94	Hassoun, Shayk Ahmed [empty]	undated
3	95	Hayer, Talmadge	1965-1966
3	96	Helpern, Milton, Medical Examiner: Autopsy Report	1965 February 21-November 17
3	97	Henry, Gilbert, Patrol Officer, 34th Precinct	1965
3	98	Herzberg, Mr. [empty]	undated
3	99	Hilton, Willie [empty]	undated
3	100	Hines, Leslie [empty]	undated
3	101	[Hogan, Frank, New York County District Attorney]	1965 February 24-October 14
3	102	Holiday, Clarence	circa 1965-1966
3	103	Holmes, Carl	1965 March 23
3	104	Huff, Hattie	1965 March 29
3	105	Jackson, Charles	1965 April 2
3	106	Jackson, Sharon [empty]	undated
3	107	James, Cyril [empty]	undated
3	108	Jefferson, Ollie	circa 1965-1966
3	109	Jennings, Alvin [empty]	undated
3	110	John, Clarence 7X	circa 1965-1966
3	111	Johnson, Albert [empty]	undated
3	112	Johnson, Etta	1965 March 3-8

Вох	Folder	Title	Date
3	113	Johnson, Thomas	1965-1966
3	114	Johnson, Thomas: Hospital Records	1964 July 9-August 6
3	115	Johnson and Butler's Hospital Records from Jacobi Hospital [empty]	undated
3	116	Jones, Fred	1965 March 16
3	117	Jones, William [empty]	undated
3	118	Jury Panel for December 6-7, 1965	1965
3	119	Keeley, Detective, Manhattan North Homicide Squad	1965-1966
3	120	Kelly, James, Ballistics [empty]	undated
3	121	Kitchman, Detective, 32nd Squad [empty]	undated
3	122	Klein, Detective, Patterson Police Department [empty]	undated
3	123	Kochiyama, Mary	1965 March 8-April 16
3	124	Kochiyama, William	1965 March 8-April 16
3	125	Kramer, James [empty]	undated
3	126	Larson, Leonard	1965 March 23
3	127	Leaks, Sylvester [empty]	undated
3	128	Lloyd, Edward	1965 March
3	129	Lofton, Jennie [empty]	undated
3	130	Long, Edward and Muriel	1965-1966
3	131	McClellan, Albert	1965
3	132	Malcolm X Little	1965
3	133	Mallory, Mae	1965 April 7
3	134	Mallory, Patricia [empty]	undated
3	135	Mathew, George	1965 April 5
3	136	Meyer, Detective, Bureau of Criminal Identification [empty]	undated
3	137	Micheaux, Louis [empty]	undated
3	138	Mills, Laura	1965 March 29
4	139	Mitchell, Sara	1965 March 11
4	140	Moore, Charles	1965-1966
4	141	Moore, Louise [empty]	undated
4	142	Moore, Wilbert [empty]	undated

Вох	Folder	Title	Date
4	143	Morris, Charles	1965 February 25-1966 October 23
4	144	Mortimer, Norman [empty]	undated
4	145	Murphy, Edward	circa 1965-1966
4	146	Murphy, James 54X [empty]	undated
4	147	[News Clippings]	1963 August 30
4	148	[News Clippings]	1965 February 22-August 21
4	149	[News Clippings]	1966 February 20-1979 August 19
4	150	Notes on Opening and Notes on Summation	1966
4	151	Original Case Reports	1965
4	152	Parker, William [empty]	undated
4	153	Pempagh, Alex [empty]	undated
4	154	Pfeffer, George 44X [empty]	undated
4	155	Photos [empty]	undated
4	156	Photos, Other	circa 1965-1966
4	157	Plaskett, Angela	1965 March 11
4	158	Platt, Davis	1965 February 21-October 26
4	159	Porter, Herman	1965 March 8
4	160	Price, Benjamin	1965 March 23
4	161	Price, Helen [empty]	undated
4	162	[Printed Materials]	1965-1966
4	163	Quami, Maurice [empty]	undated
4	164	Rahman, Abdullah and Rose [empty]	undated
4	165	Reich, Detective, Ballistics Squad	circa 1965-1966
4	166	Roberts, Gene [empty]	undated
4	167	Roberts, Joan [empty]	undated
4	168	Rowe, Eva [empty]	undated
4	169	Rowe, James [empty]	undated
4	170	Rushin, Detective, 30th Squad [empty]	undated
4	171	Ryan, Jessie	circa 1965-1966
4	172	Ryan, Joan [empty]	undated

Вох	Folder	Title	Date
4	173	Savage, Langston	1965 March 22-April 8
4	174	Scaringe, Detective, Ballistics [empty]	undated
4	175	Scott, Stanley [empty]	undated
4	176	Sealey, Sidney	1965 March 25
4	177	Serra, Ignario [empty]	undated
4	178	Seslowe, Kenneth, Dr.	1965
4	179	Shabazz, Betty Little	1965 March 9
4	180	Shabazz, Sharon	1965 March 16-October 11
4	181	Sheppard, Roland	1965 March 26-April 5
4	182	Shiflet, Lynn [empty]	undated
4	183	Simmons, Carmelita [empty]	undated
4	184	Simpson, Gene [empty]	undated
4	185	Skinner, Thomas	1965
4	186	Smith, Robert [empty]	undated
4	187	Sneed, Leonard [empty]	undated
4	188	Spencer, Eugene	1965
4	189	Stansbury, Clinton	1965 March 22-November 5
4	190	Stephenson, Donald [empty]	undated
4	191	Steward, Robert	circa 1965-1966
4	192	Suarez, Detective, Bureau of Special Services [empty]	undated
4	193	Sullivan, Agent John, FBI [empty]	undated
4	194	Taylor, Abe	1965 March 12
4	195	Temple, Vernel	1965
5	196	Thomas, Cary	1965
5	197	Tichey, Alberta [empty]	undated
5	198	Timberlake, Ronald	1965-1966
5	199	Todman, Arthur	1965 March 29
5	200	Twomey, Detective, 34th Squad [empty]	undated
5	201	Vestal, James [empty]	undated
5	202	Walcott, Alvin [empty]	undated

Вох	Folder	Title	Date
5	203	Wallace, Roland B. [empty]	undated
5	204	Wallace, Thomas	1965 March 15
5	205	Warden, James 67X (Shabazz)	1965 March 8-April 1
5	206	Washington, Donald [empty]	undated
5	207	Washington, Grover [empty]	undated
5	208	Washington, Joseph	1965 March 12
5	209	Watson, Florence	1965 March 18
5	210	Wells, Rafael [empty]	undated
5	211	Wells, Samuel [empty]	undated
5	212	Wheeler, Thomas	1965 March 23
5	213	Whitmore, Ivory	circa 1965-1966
5	214	Whitney, George	1965 November 23-1966 January 24
5	215	William, Henry George [empty]	undated
5	216	Williams, Fred	1965 December 27-1966 October 7
5	217	Williams, Isiah	1965 March 12-19
5	218	Williams, Johnie 9X [empty]	undated
5	219	Williams, William 18X [empty]	undated
5	220	Witnesses Interviewed - Negative Results	circa 1965-1966
5	221	Wright, Arron [empty]	undated
5	222	Wvelrath, Julius	circa 1965-1966

Series II: Supreme Court trial transcripts

Date(s): 1965-1966

Size (Extent): 0.75 cubic feet

Scope and Content: This series contains the full transcript of the trial and conviction of Norman Butler, Thomas Hagan, and Thomas Johnson for the murder of Malcolm X. The trial opened on January 12, 1966 and ended on April 14, 1966. There are six bound typescript volumes, the last of which contains photographs of some of the physical evidence recovered at the crime scene, the scene shortly after the murder, and the apprehension of defendant Hagan. Volume 6 also contains photographs of men practicing karate and police photographs of defendants Butler and Johnson. The appendix contains some of both the peoples' and the defendant's exhibits, including the handwritten hospital records of witness Cary Thomas and defendants Butler and Hagan.

Arrangement: The series is arranged numerically by volume number.

Location: 31 Chambers St., Shelf 17391-17393

Вох	Folder	Title	Date
5	1	Vol. 1: People v. Hagan, Butler, and Johnson	1965-1966
5	2	Vol. 2: People v. Hagan, Butler, and Johnson	1965-1966
6	3	Vol. 3: People v. Hagan, Butler, and Johnson	1965-1966
6	4	Vol. 4: People v. Hagan, Butler, and Johnson	1965-1966
6	5	Vol. 5: People v. Hagan, Butler, and Johnson	1965-1966
7	6	Vol. 6: People v. Hagan, Butler, and Johnson	1965-1966

Series III: Appeal case preparations

Date(s): 1964-1993

Size (Extent): 1.5 cubic feet

Scope and Content: This series contains material related to the various attempts to appeal the guilty verdict in the case against Norman Butler and Thomas Johnson for the 1965 murder of Malcolm X. Under the auspices of District Attorney Robert M. Morgenthau, Assistant District Attorney Allen Alpert maintained the files and handled the case. The series consists of legal documents, correspondence, research requests, hospital records, full and redacted FBI reports, printed materials, handwritten drafts and notes, and a prison visitors list. The series also contains a transcript of the 1964 Queens County Civil Court trial, Muhammad's Temple of Islam, Inc. vs. Malcolm X Little, which was sent from Newsweek reporter Peter Goldman to the prosecutor for reference. This transcript accounts for the early start date of this series. The research requests continued until 1993, when the records were transferred to the Municipal Archives.

Arrangement: The series is arranged alphabetically (using the original folder titles) and therein chronologically by date.

Location: 31 Chambers St., Shelf 17393-17395

Вох	Folder	Title	Date
7	1	[Affidavit and Memorandum - Exhibits "A" through "F"]	1978
7	2	[Affidavit in Opposition to Petition for Writ of Habeas Corpus]	1980 June 30
7	3	Butler and Johnson: 1981 Request for Executive Clemency	1981 August 4-November 30
7	4	Butler, Hagan, and Johnson	1977 December 5-1978 April 24
7	5	Butler, Hagan, and Johnson	1978 July 14-1988 October 20
7	6	[Butler, Norman]	1976 February 3-August 12
7	7	[Correspondence]	1977 December 15-1980 July 18
7	8	[Correspondence - Research Requests]	1978 September 8-1993 September 24
7	9	Defense: Aziz and Islam (Butler and Johnson)	1977 December 6-1978 December 19
8	10	Defense: Aziz and Islam (Butler and Johnson)	1978 November 1-1980 July 23
8	11	Defense's Motion to Appellate Division	1978 April-November
8	12	Defense's Motion to Appellate Division	1978 November 30-December 6
8	13	FBI Documents: Redacted and Unredacted	1965 February 22-1969 March 29
8	14	[FBI Documents: Kunstler]	undated
8	15	Malcolm X Case	1976 March 30-July 26
8	16	Malcolm X Case	1976 September 13-1978 January 19

Вох	Folder	Title	Date
8	17	Malcolm X Case	1978 February 11-July 10
8	18	Malcolm X Case	1978 July 14-1982 January 18
9	19	Malcolm X Eviction Trial	1964 June 15
9	20	Malcolm X Eviction Trial	1964 June 15
9	21	[Opinion: Judge T. Griesa]	1980 March 26-1981 May 7
9	22	Original Motion Papers and Responses	1976 September 28-1982 December 28
9	23	Original Research and Notes	1977-1980
9	24	People's Responses	1978 January 12-July 14
9	25	Prison Visitors	1978 January 12-July 14
9	26	[Respondent's Briefs]	1969, 1971
9	27	Sample: Demand for "Alibi" - Bill of Particulars	1968
9	28	Stern's Affidavit [and related material]	1977 December 8-1978 July 24