

A RESOLUTION OF THE NEW YORK CITY WORKFORCE INVESTMENT  
BOARD TO DEVELOP AND ADOPT  
LOCAL POLICIES ENSURING PROCEDURES COMPLIANT WITH NEW YORK  
STATE DEPARTMENT OF  
LABOR TECHNICAL ADVISORY #12-15

(September 18, 2014)

**WHEREAS**, the detection and prevention of fraud and abuse in programs authorized by United States Department of Labor Employment and Training Administration (USDOL/ETA) or New York State Department of Labor (NYSDOL) is of the highest priority; and,

**WHEREAS**, USDOL/ETA policy requires that any and all allegations of wrongdoing or misconduct, including allegations of suspected fraud, program abuse and criminal conduct involving grantees and other recipients or sub-recipients of federal funds from USDOL/ETA, are immediately and consistently reported by NYSDOL; and,

**WHEREAS**, NYSDOL expanded this USDOL/ETA procedure to also accommodate suspected fraud, program abuse, criminal conduct involving State authorized funding, such that if any person involved with the implementation of a USDOL/ETA or NYSDOL authorized program, including grantees or other entities or sub-recipient receiving funds directly or indirectly from either agency, becomes aware of any allegations, suspicions or complaints involving imminent health or safety concerns, imminent loss of funds, possible fraud, abuse or criminal conduct, it must be reported to NYSDOL through submission and completion of the incident report presented as "Attachment A" of NYSDOL Technical Advisory #12-15; and,

**WHEREAS**, NYSDOL's policy applies to Local Workforce Investment Boards and local area staff, who must thereby carry out certain responsibilities under NYSDOL Technical Advisory #12-15;

**THEREFORE BE IT RESOLVED** by the NYC Workforce Investment Board as follows:

City of New York agencies responsible for implementing a USDOL/ETA or NYSDOL authorized program shall review or develop policies to ensure procedures are in place to comply with the incident reporting requirements provided for under NYSDOL Technical Advisory #12-15.

City of New York agencies responsible for implementing a USDOL/ETA or NYSDOL authorized program shall periodically review their policies to ensure compliance with federal, state, and local requirements for reporting instances of suspected fraud, program abuse and criminal conduct.