

The City of New York



Preliminary Fiscal 2001
Volume II - Agency and Citywide Indicators

**MAYOR'S
MANAGEMENT
REPORT**

RUDOLPH W. GIULIANI
MAYOR

JOSEPH J. LHOTA
DEPUTY MAYOR FOR OPERATIONS

MICHAEL T. CARPINELLO
DIRECTOR, MAYOR'S OFFICE OF OPERATIONS

POLICE DEPARTMENT [056]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 Annual Actual | 2000 4-Month Actual | Fiscal 2001 Annual Plan | 2001 4-Month Actual | Fiscal 2002 Preliminary Plan |
|--|---------------------------------|---------------------------|-------------------------------|---------------------------|------------------------------------|
| GUN STRATEGY (a) | | | | | |
| o Reports of Shooting Victims | 2,107 | 766 | * | 819 | * |
| o Reports of Shooting Incidents | 1,789 | 655 | * | 690 | * |
| o Firearm Search Warrants (b) | 124 | 46 | * | 68 | * |
| o Arrests for Possession | 2,726 | 947 | * | 1,201 | * |
| o Weapons Confiscated | 10,372 | 3,867 | * | 3,326 | * |
| YOUTH STRATEGY (a) | | | | | |
| o Truants Returned to School | 122,392 | 22,046 | * | 18,732 | * |
| o Youth Referral Reports | 119,943 | 21,823 | * | 18,667 | * |
| o Juvenile Reports | 17,821 | 4,110 | * | 3,562 | * |
| DRUG STRATEGY (a) | | | | | |
| o Narcotics Search Warrants | 3,525 | 998 | * | 957 | * |
| o Drug Confiscations (Pounds) (b) | | | | | |
| - Heroin | 1,155 | 396 | * | 409 | * |
| - Cocaine | 11,986 | 6,211 | * | 4,788 | * |
| - Marijuana | 19,269 | 6,609 | * | 4,565 | * |
| o Narcotics Arrests | 136,647 | 38,832 | * | 39,855 | * |
| DOMESTIC VIOLENCE STRATEGY (a) | | | | | |
| o Domestic Incident Reports (DIRs) | 252,902 | 86,228 | * | 88,199 | * |
| o Family Dispute Radio Runs | 134,117 | 51,048 | * | 48,189 | * |
| o Family-Related Arrests | 23,935 | 8,102 | * | 7,753 | * |
| o Violations of Orders of Protection Arrests | 4,012 | 1,360 | * | 1,337 | * |
| QUALITY-OF-LIFE STRATEGY (a) | | | | | |
| o Prostitution Arrests | 3,557 | 1,114 | * | 1,163 | * |
| o Patronizing Prostitute Arrests | 2,576 | 1,048 | * | 796 | * |
| o Graffiti Arrests | 1,657 | 510 | * | 488 | * |

POLICE DEPARTMENT [056]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Illegal Peddling Arrests | 4,738 | 1,517 | * | 1,544 | * |
| o Illegal Peddling Summonses | 19,211 | 5,984 | * | 7,956 | * |
| o Unreasonable Noise Summonses | 4,866 | 1,588 | * | 2,142 | * |
| COURTESY, PROFESSIONALISM, RESPECT STRATEGY | | | | | |
| o Total Tests Conducted (c) | 6,363 | 2,003 | 6,000 | 2,491 | * |
| o Test Results | | | | | |
| - Exceptionally Good | 256 | 114 | * | 54 | * |
| - Acceptable | 6,043 | 1,880 | * | 2,407 | * |
| - Below Standard | 64 | 9 | * | 30 | * |
| TRAFFIC ENFORCEMENT STRATEGY (a) | | | | | |
| o Total Violation Summonses (000) (d) | 4,466 | 1,578 | * | 1,415 | * |
| - Moving Violation (d) Summonses (Officers) (000) | 1,389 | 457 | * | 438 | * |
| - Parking Violation (d) Summonses (Officers) (000) | 3,077 | 1,121 | * | 977 | * |
| o Parking Violation Summonses (d) (Parking Enforcement Division) (000) | 4,704 | 1,813 | * | 1,516 | * |
| o Average Vehicle Speed – Midtown | | | | | |
| - Crosstown (MPH) | 7.1 | 5.4 | * | 5.1 | * |
| - Avenues (MPH) | 8.1 | 7.7 | * | 7.8 | * |
| o Violation and Target Tows | 101,406 | 33,693 | 98,953 | 33,537 | * |
| o Tows per Person-Day | 3.8 | 3.9 | 4.0 | 3.9 | * |
| Medallion Patrol | | | | | |
| o Summonses Issued (e) | 34,968 | 11038 | * | 8067 | * |
| - Owners | 23,933 | 6654 | * | 5345 | * |
| - Drivers | 11,035 | 4384 | * | 2722 | * |
| - Refusals | 589 | 474 | * | 22 f | * |
| o Summonses Issued per Person per Day (Parking Enforcement Division) | | | | | |
| - Foot Patrol | * | 25 | * | 28.5 | * |
| - Motorized | * | 40.1 | * | 42 | * |
| UNIFORMED STAFFING | | | | | |
| o Average Uniform Headcount | 40,078 | 40,049 | * | 40,263 | * |

POLICE DEPARTMENT [056]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Operational Strength Pool | 35,409 | 35,362 | * | 34,815 | * |
| - Operational Strength (Avg. Daily) | 18,369 | 17,951 | * | 18,878 | * |
| o Tours of Duty Rescheduled | NI | NI | NI | NI | NI |
| EMERGENCY RESPONSES (a) | | | | | |
| o 911 Calls (000) (d) | 11,064 | 3,765 | * | 4,138 | * |
| o Total Radio Runs (000) (d) (g) | 4,096 | 1,433 | * | 1,419 | * |
| - By Patrol Borough | | | | | |
| - Manhattan North | 499 | 176 | * | 175 | * |
| - Manhattan South | 456 | 161 | * | 151 | * |
| - Brooklyn North | 606 | 211 | * | 203 | * |
| - Brooklyn South | 670 | 233 | * | 235 | * |
| - Queens North | 499 | 173 | * | 165 | * |
| - Queens South | 425 | 151 | * | 159 | * |
| - Bronx | 781 | 271 | * | 274 | * |
| - Staten Island | 162 | 57 | * | 58 | * |
| o NYPD Crime-in-Progress Radio Runs (000) (d) (g) | | | | | |
| - Total | 413 | 158 | * | 147 | * |
| - By Patrol Borough | | | | | |
| - Manhattan North | 47 | 19 | * | 16 | * |
| - Manhattan South | 38 | 14 | * | 13 | * |
| - Brooklyn North | 74 | 28 | * | 26 | * |
| - Brooklyn South | 69 | 26 | * | 25 | * |
| - Queens North | 42 | 16 | * | 14 | * |
| - Queens South | 43 | 17 | * | 15 | * |
| - Bronx | 86 | 33 | * | 31 | * |
| - Staten Island | 14 | 5 | * | 5 | * |
| o Avg. Response Time to Crime-in-Progress Calls (Minutes) | | | | | |
| - Citywide | 10.7 | 10.8 | * | 12 | * |
| - Critical | 6.4 | 6.5 | * | 6.9 | * |
| - Serious | 11.3 | 11.5 | * | 12.2 | * |
| - Non-Critical | 19.2 | 19.6 | * | 22 | * |
| - By Patrol Borough | | | | | |
| - Manhattan North | 8.3 | 8.3 | * | 8.8 | * |
| - Manhattan South | 9 | 9.3 | * | 9.5 | * |
| - Brooklyn North | 11.9 | 11.9 | * | 13.6 | * |
| - Brooklyn South | 10.8 | 11 | * | 12 | * |
| - Queens North | 11 | 11.4 | * | 13 | * |
| - Queens South | 10.5 | 10.7 | * | 12.2 | * |
| - Bronx | 11.1 | 11.2 | * | 12.7 | * |
| - Staten Island | 11.5 | 11.9 | * | 12.2 | * |

POLICE DEPARTMENT [056]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| SCHOOL SAFETY (a) (h) (i) | | | | | |
| o Murder | 1 | 0 | * | 0 | * |
| o Rape | 6 | 1 | * | 1 | * |
| o Sex Offenses (j) | 371 | 48 | * | 83 | * |
| o Robbery | 327 | 85 | * | 69 | * |
| o Assault (k) | 1,265 | 169 | * | 219 | * |
| o Kidnapping | 1 | 1 | * | 1 | * |
| o Burglary | 201 | 94 | * | 55 | * |
| o Grand Larceny | 317 | 93 | * | 101 | * |
| o Arson | 16 | 7 | * | 2 | * |
| o Menacing | 244 | 35 | * | 47 | * |
| o Reckless Endangerment | 70 | 6 | * | 5 | * |
| o Suicide | 0 | 0 | * | 0 | * |
| o Attempted Suicide | 12 | 3 | * | 7 | * |
| o Criminal Mischief | 493 | 113 | * | 127 | * |
| o Petty Larceny | 741 | 170 | * | 173 | * |
| o Riot | 3 | 0 | * | 1 | * |
| o False Alarm | 97 | 11 | * | 14 | * |
| o Bomb Threats | 412 | 88 | * | 61 | * |
| o Weapons Possession (l) | 3,685 | 758 | * | 844 | * |
| o Controlled Substance | 37 | 9 | * | 12 | * |
| o Marijuana | 608 | 135 | * | 137 | * |
| o Harassment | 3,160 | 528 | * | 635 | * |
| o Disorderly Conduct | 1,078 | 208 | * | 330 | * |
| o Trespassing | 667 | 140 | * | 151 | * |
| o Loitering | 110 | 40 | * | 18 | * |
| o Grand Total | 13,922 | 2,742 | * | 3,093 | * |
| o Reported Incidents by Location | | | | | |
| - High Schools | 6,732 | 1,505 | * | 1,595 | * |
| - Middle Schools | 3,822 | 572 | * | 797 m | * |
| - Elementary Schools | 2,695 | 510 | * | 527 | * |
| - Special Education | 673 | 155 | * | 174 | * |
| CRIME (a) (i) | | | | | |
| o Total Major Felony Crime | 187,181 | 68,053 | * | 66,865 | * |
| - Murder & Non-Negligent Manslaughter | 671 | 240 | * | 230 | * |
| - Forcible Rape | 1,462 | 494 | * | 524 | * |
| - Robbery | 34,045 | 12,609 | * | 11,585 | * |
| - Felonious Assault | 25,656 | 9,217 | * | 9,736 | * |
| - Burglary | 39,176 | 14,277 | * | 13,731 | * |
| - Grand Larceny | 48,818 | 17,602 | * | 18,124 | * |
| - Grand Larceny Motor Vehicle | 37,353 | 13,614 | * | 12,935 | * |

POLICE DEPARTMENT [056]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---------------------------------------|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Total Major Felony Crime | 187,181 | 68,053 | * | 66,865 | * |
| - By Patrol Borough | | | | | |
| - Manhattan North | 18,965 | 6,995 | * | 6,962 | * |
| - Manhattan South | 30,281 | 11,159 | * | 10,856 | * |
| - Brooklyn North | 23,064 | 8,289 | * | 8,424 | * |
| - Brooklyn South | 33,048 | 11,803 | * | 12,066 | * |
| - Queens North | 24,422 | 8,812 | * | 8,094 | * |
| - Queens South | 21,049 | 7,731 | * | 7,315 | * |
| - Bronx | 31,743 | 11,521 | * | 11,456 | * |
| - Staten Island | 4,609 | 1,743 | * | 1,692 | * |
| ARRESTS (a) (i) | | | | | |
| o Total Arrests | 391,396 | 123,038 | * | 120,826 | * |
| o Major Felony Arrests | 52,959 | 19,001 | * | 17,883 | * |
| - Murder & Non-Negligent Manslaughter | 753 | 271 | * | 250 | * |
| - Rape | 1,416 | 481 | * | 445 | * |
| - Robbery | 14,877 | 5,355 | * | 4,614 | * |
| - Felonious Assault | 19,006 | 6,698 | * | 6,655 | * |
| - Burglary | 6,600 | 2,461 | * | 2,236 | * |
| - Grand Larceny | 6,553 | 2,358 | * | 2,211 | * |
| - Grand Larceny Motor Vehicle | 3,754 | 1,377 | * | 1,472 | * |
| o Total Narcotics Arrests | 136,647 | 38,832 | * | 39,855 | * |
| - Felony Narcotics Arrests | 39,414 | 12,852 | * | 11,578 | * |
| - Misd. Narcotics Arrests | 96,050 | 25,731 | * | 27,849 | * |
| - Violations | 1,183 | 249 | * | 428 | * |
| o Driving-While-Intoxicated Arrests | 4,748 | 1,580 | * | 1,552 | * |
| CRIME IN HOUSING DEVELOPMENTS (a) (i) | | | | | |
| o Major Felony Crimes | 6,855 | 2,564 | * | 2,521 | * |
| - Murder & Non-Negligent Manslaughter | 72 | 26 | * | 28 | * |
| - Forcible Rape | 166 | 65 | * | 60 | * |
| - Robbery | 1,978 | 769 | * | 742 | * |
| - Felonious Assault | 2,364 | 828 | * | 875 | * |
| - Burglary | 775 | 285 | * | 253 | * |
| - Grand Larceny | 709 | 274 | * | 271 | * |
| - Grand Larceny Motor Vehicle | 791 | 317 | * | 292 | * |
| CRIME IN TRANSIT SYSTEM (a) (i) | | | | | |
| o Major Felony Crimes | 4,344 | 1,510 | * | 1,531 | * |
| - Murder & Non-Negligent Manslaughter | 2 | 1 | * | 0 | * |
| - Forcible Rape | 4 | 1 | * | 2 | * |
| - Robbery | 1,523 | 524 | * | 482 | * |
| - Felonious Assault | 383 | 134 | * | 127 | * |

POLICE DEPARTMENT [056]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Burglary | 14 | 6 | * | 7 | * |
| - Grand Larceny | 2,418 | 844 | * | 913 | * |
| ARREST-TO-ARRAIGNMENT | | | | | |
| o Avg. Arrest-to-Arrestment Time (Hours) (n) | | | | | |
| - Citywide | 21.9 | 21.8 | 24 | 21 | * |
| - Bronx | 22.3 | 21.3 | 24 | 22 | * |
| - Brooklyn | 24 | 24 | 24 | 21.6 | * |
| - Manhattan | 21.5 | 21.2 | 24 | 21.3 | * |
| - Queens | 19.3 k | 19.5 | 24 | 19 | * |
| - Staten Island | 19 k | 18.8 | 24 | 18.9 | * |
| o Avg. Arrest-to-Complaint Sworn Time (Hours) | | | | | |
| - Citywide | 8.2 | 8 | * | 8.5 | * |
| - Bronx | 9.7 l | 9.4 | 4 | 9.4 | * |
| - Brooklyn | 8.1 l | 7.6 | 4 | 8.2 | * |
| - Manhattan | 9.5 l | 9.4 | 6 | 9.9 | * |
| - Queens | 5.5 l | 5.4 | 4 | 6.2 | * |
| - Staten Island | 10.6 l | 9.4 | 4 | 10.1 | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

POLICE DEPARTMENT

- (a) The indicator numbers report preliminary data.
- (b) The indicators are affected by shifts in the nature of criminal activity and enforcement activity and therefore are not subject to quantitative plans.
- (c) To ensure greater assessment of Department personnel in CPR testing, more tests are being conducted on a daily basis.
- (d) Indicator numbers are rounded to the nearest thousand.
- (e) Previously published numbers have been revised.
- (f) The number of refusals decreased significantly due to increased penalties for refusal to provide service (including immediate suspension of hack license and vehicle seizures) and the well-publicized enforcement campaign.
- (g) The indicators report public requests for emergency response and therefore are not subject to quantitative plans.
- (h) Beginning in Fiscal 2001 school safety indicators are reported by NYPD and no longer appear in the Board of Education section of Volume II of the Mayor's Management Report.
- (i) Numbers reflect a four-month period for July through October 1999. Previously-reported numbers in the Preliminary Fiscal 2000 Mayor's Management Report reflect a six-month period.
- (j) This indicator includes incidents of sodomy, sexual abuse and sexual misconduct. Better training of SSAs has resulted in more accurate reporting of these types of incidents.
- (k) This indicator includes both felonies and misdemeanors.
- (l) This indicator includes incidents of weapons confiscated both by observation and through metal detectors. Weapons include non-criminal type instruments, such as pocketknives, razors and nail clippers.
- (m) This increase is attributed to better reporting for disorderly conduct and harassment. The use of metal detectors also has increased the amount of weapons confiscated. Weapons include non-criminal type instruments, such as pocketknives, razors and nail clippers.
- (n) This arrest-to-arraignment indicator excludes both narcotics and rescheduled arrests.

FIRE DEPARTMENT [057]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| FIRE EXTINGUISHMENT [002,006] | | | | | |
| o Full-Time Employees | 11,568 | 11,437 | 11,262 | 11,439 | 11,162 |
| - Uniformed | 11,256 | 11,119 | 10,924 | 11,148 | 10,824 |
| - Civilian | 312 | 318 | 338 | 291 | 338 |
| o Active Firefighting Units | 411 | 411 | 411 | 411 | 411 |
| o Fire Emergency Incidents | 449,296 | 158,553 | * | 151,963 | * |
| - Structural Fires | 29,281 | 9,008 | 29,636 | 8,900 | 29,500 |
| - Nonstructural Fires | 31,058 | 11,313 | 34,056 | 10,064 | 32,000 |
| - Nonfire Emergencies | 174,620 | 60,413 | 165,093 | 59,174 | 177,000 |
| - Medical Emergencies (CFR-D) | 155,531 | 55,115 | * | 52,976 | * |
| - Malicious False Alarms | 58,806 | 22,704 | * | 20,849 | * |
| o Fire Malicious False Calls | 193,021 | 74,317 | * | 73,156 | * |
| o Fire Civilian Death Rate per 100,000 Population | 1.8 | 0.46 | * | 0.41 | * |
| o Serious Fires per 1,000 Structural Fires | 113 | 115 | * | 109 | * |
| RUNS | | | | | |
| o Fire Units Average Runs | 2,644 | 904 | 2,726 | 877 | 2,635 |
| - Per Engine Company | 2,811 | 970 | 2,848 | 937 | 2,840 |
| - Per Ladder Company | 2,398 | 812 | 2,549 | 789 | 2,350 |
| o Total Fire Unit Runs | 933,295 | 318,065 | * | 309,579 | * |
| - Structural Fires | 141,686 | 43,294 | 154,782 | 41,901 | 150,000 |
| - Nonstructural Fires | 71,070 | 25,580 | 72,918 | 22,474 | 71,000 |
| - Nonfire Emergencies | 399,339 | 134,842 | 387,123 | 134,782 | 402,000 |
| - Medical Emergencies (CFR-D) | 165,372 | 58,596 | * | 56,533 | * |
| - Malicious False Alarms | 155,828 | 55,753 | * | 53,889 | * |
| Average Fire Unit Response Time | | | | | |
| o Average Citywide Response Time to All Emergencies | 4:49 | 4:54 | 4:48 | 4:38 | 4:48 |
| - Manhattan | 4:54 | 4:58 | 4:52 | 4:45 | 4:52 |
| - Bronx | 4:59 | 5:06 | 5:00 | 4:47 | 5:00 |
| - Staten Island | 5:10 | 5:14 | 5:09 | 4:55 | 5:09 |
| - Brooklyn | 4:22 | 4:24 | 4:22 | 4:12 | 4:22 |
| - Queens | 5:09 | 5:15 | 5:05 | 4:54 | 5:05 |
| o Average Citywide Response Time to Structural Fires | 4:20 | 4:20 | 4:15 | 4:14 | 4:15 |
| - Manhattan | 4:22 | 4:22 | 4:14 | 4:17 | 4:14 |
| - Bronx | 4:23 | 4:23 | 4:21 | 4:20 | 4:21 |
| - Staten Island | 4:56 | 4:56 | 4:53 | 4:39 | 4:53 |

FIRE DEPARTMENT [057]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Brooklyn | 3:55 | 3:56 | 3:53 | 3:47 | 3:53 |
| - Queens | 4:50 | 4:53 | 4:46 | 4:46 | 4:46 |
| o Average Citywide Response Time to Medical Emergencies | 4:28 | 4:36 | 4:34 | 4:14 | 4:34 |
| - Manhattan | 4:24 | 4:32 | 4:31 | 4:11 | 4:31 |
| - Bronx | 4:33 | 4:48 | 4:46 | 4:18 | 4:46 |
| - Staten Island | 4:54 | 4:57 | 4:45 | 4:43 | 4:45 |
| - Brooklyn | 4:12 | 4:18 | 4:14 | 3:57 | 4:14 |
| - Queens | 4:50 | 4:55 | 4:53 | 4:33 | 4:53 |
| o Average Citywide Response Time to Nonstructural Fires | 4:53 | 4:57 | 4:49 | 4:40 | 4:49 |
| - Manhattan | 4:46 | 4:49 | 4:45 | 4:30 | 4:45 |
| - Bronx | 5:07 | 5:10 | 5:01 | 4:55 | 5:01 |
| - Staten Island | 5:41 | 5:44 | 5:39 | 5:14 | 5:39 |
| - Brooklyn | 4:22 | 4:20 | 4:18 | 4:13 | 4:18 |
| - Queens | 5:19 | 5:27 | 5:13 | 5:04 | 5:13 |
| o Average Citywide Response Time to Nonfire Emergencies | 5:21 | 5:27 | 5:19 | 5:11 | 5:19 |
| - Manhattan | 5:24 | 5:29 | 5:18 | 5:17 | 5:18 |
| - Bronx | 5:35 | 5:45 | 5:32 | 5:22 | 5:32 |
| - Staten Island | 5:40 | 5:48 | 5:41 | 5:23 | 5:41 |
| - Brooklyn | 4:49 | 4:51 | 4:48 | 4:41 | 4:48 |
| - Queens | 5:45 | 5:53 | 5:45 | 5:30 | 5:45 |
| EMERGENCY MEDICAL SERVICE | | | | | |
| [009,010] | | | | | |
| o Full-Time Employees | 3,022 | 3,001 | 2,921 | 2,899 | 2,920 |
| - EMTs | 2,024 | 1,992 | 1,894 | 1,930 | 1,894 |
| - Paramedics | 534 | 545 | 549 | 519 | 548 |
| - Field Supervisors | 362 | 337 | 353 | 355 | 350 |
| - Administrative Supervisors | 24 | 26 | 25 | 22 a | 28 |
| - Other | 78 | 101 | 100 | 73 a | 100 |
| - Uniformed | 0 | 0 | 0 | 0 | 0 |
| o 911 Contacts | | | | | |
| - to EMS | 1,180,076 | 402,570 | 1,131,784 | 407,587 | 1,200,000 |
| o Medical Emergency Incidents | | | | | |
| - Segment 1- Cardiac Arrest | 22,344 | 7,315 | 23,922 | 7,310 | 22,000 |
| - Segments 1-3 | 388,760 | 131,760 | 390,000 | 130,178 | 389,000 |
| - Segments 1-7 | 1,024,086 | 340,958 | 971,819 | 363,892 | 1,042,000 |
| - Segments 1-8 | 1,064,591 | 360,947 | 1,022,022 | 365,438 | 1,085,000 |
| o Ambulance Runs | | | | | |
| - Segment 1 | 49,734 | 16,302 | 52,610 | 16,225 | 49,000 |
| - Segments 1-3 | 521,142 | 184,134 | 550,000 | 162,702 | 520,000 |
| - Segments 1-7 | 1,218,052 | 415,229 | 1,214,939 | 416,447 | 1,220,000 |
| - Segments 1-8 | 1,262,599 | 436,864 | 1,270,478 | 418,812 | 1,260,000 |

FIRE DEPARTMENT [057]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| EMS UNITS | | | | | |
| Response Time to Medical Emergencies | | | | | |
| o Average Citywide Response Time to Life-Threatening (Segment 1-3) Incidents | | | | | |
| | 7:48 | 7:58 | 7:16 | 7:20 | 7:16 |
| - Manhattan | 7:20 | 7:23 | 7:05 | 7:03 | 7:05 |
| - Bronx | 8:08 | 8:29 | 7:23 | 7:30 | 7:23 |
| - Staten Island | 7:34 | 7:22 | 7:20 | 7:37 | 7:20 |
| - Brooklyn | 8:03 | 8:20 | 7:24 | 7:27 | 7:24 |
| - Queens | 7:37 | 7:37 | 7:07 | 7:15 | 7:07 |
| COMBINED RESPONSE TIME TO MEDICAL EMERGENCIES (EMS/CFR-D) | | | | | |
| o Average Combined Citywide Response Time Including First Responder (CFR-D) | | | | | |
| | 6:37 | 6:46 | 6:12 | 6:10 | 6:12 |
| - Manhattan | 6:12 | 6:17 | 6:02 | 5:56 | 6:02 |
| - Bronx | 7:01 | 7:19 | 6:27 | 6:29 | 6:27 |
| - Staten Island | 6:26 | 6:14 | 6:06 | 6:14 | 6:06 |
| - Brooklyn | 6:44 | 6:56 | 6:13 | 6:09 | 6:13 |
| - Queens | 6:32 | 6:35 | 6:08 | 6:09 | 6:08 |
| o Segment 1 | | | | | |
| - Incidents Responded to in Less Than 6 Minutes | 63% | 61% | * | 69% | * |
| - Including First Responder | 82% | 80% | 90% | 86% | 90% |
| o Segment 1-3 | | | | | |
| - Incidents Responded to in Less Than 6 Minutes | 38% | 37% | * | 41% | * |
| - Including First Responder | 55% | 54% | 90% | 59% | 90% |
| - Incidents Responded to in Less Than 10 Minutes | 78% | 77% | * | 81% | * |
| - Incidents Responded to in Less Than 10 minutes by Advanced Life Support Units | 48% | 45% | 90% | 51% | 90% |
| o Advanced Life Support (ALS) Unit Response to ALS Incidents in Less Than 10 minutes | | | | | |
| | 73% | 71% | 90% | 77% | 90% |
| o Segment 4-8 | | | | | |
| - Incidents Responded to in Less Than 10 Minutes | 64% | 60% | 90% | 69% b | 90% |
| o Segment 1-7 | | | | | |
| - Incidents Responded to in Less Than 20 Minutes | 95% | 94% | * | 97% | * |

FIRE DEPARTMENT [057]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| AMBULANCE OPERATIONS | | | | | |
| o Tours per Day | | | | | |
| - Average Number of Municipal Tours per Day | 575 | 576 | 585 | 577 | 585 |
| - Average Number of Voluntary Tours per Day | 233 | 210 | 282 | 293 c | 322 |
| - Total Average Tours per Day | 807 | 786 | 795 | 870 | 907 |
| FIRE INVESTIGATION [003,007] | | | | | |
| o Full-Time Employees | 236 | 197 | 227 | 246 d | 225 |
| - Uniformed | 222 | 184 | 213 | 234 d | 213 |
| - Civilian | 14 | 13 | 14 | 12 | 12 |
| o Total Responses | * | 6,730 | * | NA e | * |
| o Total Investigations (Cases) | 7,937 | 2,529 | 8,500 | 2,627 | 8,500 |
| o Total Arson Fires | 3,404 | 811 | 2,800 | 1,368 f | 3,650 |
| o Total Arrests by Marshals | 394 | 109 | 375 | 162 f | 450 |
| FIRE PREVENTION [004,008] | | | | | |
| o Full-Time Employees | 363 | 372 | 421 | 374 | 421 |
| - Uniformed Other | 9 | 10 | 24 | 15 g | 24 |
| - Civilian Inspectors | 195 | 176 | 188 | 179 | 188 |
| - Civilian Other | 159 | 186 | 209 | 180 | 209 |
| o Inspections Performed by Fire Prevention Staff | 216,681 | 64,279 | 203,400 | 69,440 | 204,332 |
| - Inspections per Person-Day | 5.7 | 5.9 | 6 | 6 | 6 |
| o Completed Inspections | 181,328 | 54,166 | 171,400 | 57,227 | 172,348 |
| o Revenues Collected (000) | \$35,657 | \$11,007 | \$33,434 | \$12,259 | \$33,434 |
| o Hazard Complaints Received | 3,406 | 1,186 | 3,200 | 1,095 | 3,200 |
| - Resolved Within 1 Day | 90% | 86% | 91% | 87% | 91% |
| o Violations Issued | 81,520 | 27,787 | 72,600 | 24,893 | 72,496 |
| - Violation Orders | 26,072 | 9,163 | 21,400 | 8,725 | 21,344 |
| - Notices of Violation Items | 55,448 | 18,624 | 51,200 | 16,168 | 51,152 |

FIRE DEPARTMENT [057]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|----------------------------------|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Violations Corrected | 69,537 | 22,351 | 66,100 | 21,891 | 66,100 |
| - Violation Orders | 22,869 | 7,920 | 18,500 | 5,877 h | 18,900 |
| - Notices of Violation Items | 46,668 | 14,431 | 47,600 | 16,014 | 47,200 |
| o Summonses Issued | 8,724 | 3,532 | 10,150 | 2,203 (i) | 8,500 |
| o Field Force Inspections | 58,729 | 18,703 | 62,000 | 25,818 j | 62,000 |
| - Public/Commercial Buildings | 21,361 | 9,853 | 20,700 | 8,208 j | 20,700 |
| - Residential Buildings | 37,368 | 8,850 | 41,300 | 17,610 j | 41,300 |
| o Violations Issued | 8,763 | 2,894 | 10,200 | 3,029 | 10,200 |
| - Violation Orders | 6,400 | 2,163 | 6,200 | 2,173 | 6,200 |
| - Notices of Violation Items | 2,363 | 731 | 4,000 | 856 j | 4,000 |
| o Violations Corrected | 8,064 | 2,483 | 8,600 | 2,860 j | 10,600 |
| - Violation Orders | 6,509 | 1,944 | 6,400 | 2,287 j | 8,400 |
| - Notices of Violation Items | 1,555 | 539 | 2,200 | 573 | 2,200 |
| Social Club Task Force | | | | | |
| o Inspections Completed | 357 | 274 | * | 43 k | * |
| o Violations Issued | 139 | 82 | * | 10 k | * |
| o Summonses Issued | 141 | 57 | * | 9 k | * |
| o Vacate Orders Issued | 33 | 8 | * | 6 k | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

FIRE DEPARTMENT

- (a) Some non-ambulance personnel were reassigned to the other bureaus.
- (b) This indicator conforms to the February 1996 Memorandum of Understanding between the Mayor and the City Council. Attainment of these goals is long term and contingent on the construction of ambulance stations.
- (c) As a result of an agreement between the Department and the voluntary hospitals, both Basic Life Support and Advanced Life Support voluntary tours have been increased.
- (d) Promotions for Fire Marshal positions were delayed in Fiscal 2000.
- (e) The Department has stopped tracking this indicator because it has been determined to be a valueless measurement. The total response statistic is simply a tally of every action taken by a Fire Marshal.
- (f) Total arson fires have increased because of the Department's increased ability to determine arson fires. A total of 75 new fire marshals participated in a successful mentor/field training program in Fiscal 2000 that increased the Department's ability to determine arson fires. Total arrests increased because more fires were determined to be arson, and subsequently successfully investigated.
- (g) Uniform personnel detailed to Fire Prevention in Fiscal 2000 were reflected in Fire Extinguishment.
- (h) Violation Orders issued to an increased pool of cooking establishments usually requires an extended compliance time frame since plans must be filed and examined, and tests scheduled and conducted.
- (i) Light Duty Personnel who were part of a short-term task force that issued parking and fire hydrant violations summonses have been reassigned to various other duties.
- (j) During the second half of Fiscal 2000 the Department established more restrictive criteria for interruptions due to weather conditions, which resulted in more inspection time, and consequently more inspections. Residential inspections increased because the Department is in the fifth year of a five-year cycle that focuses on residential dwellings. "Notices of Violation Items" issued increased due to the increase in inspections. "Violation Orders Corrected" increased, because firefighters had more time to revisit buildings that received violation orders to ensure compliance.
- (k) In Fiscal 2000 the Social Club Task Force (SCTF) resumed its original mission to identify, inspect and vacate illegal social clubs. Inspections of complaints against legal clubs were reassigned to the Public Assembly Unit of the Bureau of Fire Prevention. Although this change occurred in Fiscal 2000, the indicators show large decreases in the first four months of Fiscal 2001. This occurred because during the first four months of Fiscal 2000, SCTF inspected all premises that it identified as potential legitimate businesses in its Multi-Agency Premises Safety System database before removing them from the database. SCTF continues to identify and inspect new establishments that operate illegally and present a risk to public safety.

DEPARTMENT OF CORRECTION [072]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| SECURITY [002, 003] | | | | | |
| o Admissions | 124,501 | 40,861 | * | 39,851 | * |
| o Average Daily Population | 15,530 | 16,262 | * | 14,458 | * |
| - Pretrial Detention | 10,336 | 10,522 | * | 10,040 | * |
| - Sentenced | 2,507 | 2,498 | * | 2,494 | * |
| - State: Total | 2,692 | 3,242 | * | 1,925 a | * |
| - Parole Violators | 1,253 | 1,434 | * | 1,156 b | * |
| - Newly Sentenced | 1,162 | 1,498 | * | 539 c | * |
| - Court Order | 277 | 310 | * | 230 d | * |
| o State-Ready Inmates | | | | | |
| - Overdue for Transfer (Exceeding 48 Hours) | 296 | 329 | * | 185 e | * |
| o Average Male Population | 13,957 | 14,591 | * | 13,117 | * |
| o Average Female Population | 1,574 | 1,671 | * | 1,341 f | * |
| o Average Length of Stay (Days) | | | | | |
| - Systemwide | 45.8 | 49 | * | 45 | * |
| - Sentenced | 33 | 34 | * | 34 | * |
| - Detainee | 41 | 41 | * | 41 | * |
| - Parole Violator | 67 | 75 | * | 56 b | * |
| o Population as Percent of Capacity | 97.7% | 98.1% | * | 97.7% | * |
| o Average Cost per Inmate per Year | \$53,224 | | * | | * |
| o Annual Readmission Rate | 50% | | * | | * |
| o Escapes | 4 | 3 | * | 0 | * |
| o Suicides | 3 | 1 | * | 1 | * |
| o Inmate-on-Inmate Violence | | | | | |
| - Stabbings and Slashings | 70 | 30 | * | 21 g | * |
| - Fight/Assault Infractions | 5,722 | 1,963 | * | 1,865 | * |
| o Jail-Based Arrests of Inmates | 1,026 | 271 | * | 320 h | * |
| o Arrests of Visitors for Criminal Charges | 305 | 99 | * | 113 | * |

DEPARTMENT OF CORRECTION [072]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Department Use of Force | | | | | |
| - Total Number of Incidents of Use of Force | 1,941 | 675 | * | 648 | * |
| o Total Number of Use of Force Investigations | 2,116 | 850 | * | 857 | * |
| - Total Number of Open Cases at End of Period | 209 | 229 | * | 214 | * |
| - Findings of Justified Use of Force | 1,890 | 615 | * | 639 | * |
| - Prior Years | 170 | 171 | * | 205 (i) | * |
| - Findings of Unnecessary Use of Force | 17 | 6 | * | 4 | * |
| - Prior Years | 5 | 4 | * | 3 | * |
| o Number of Searches | 276,571 | 96,083 | * | 95,226 | * |
| o Number of Weapons Recovered | 3,981 | 1,358 | * | 1,334 | * |
| PROGRAM SERVICES [002, 003] | | | | | |
| o Average Daily Attendance in the School Programs | 1,265 | 1,224 j | 1,400 | 1,195 | 1,400 |
| o Inmates Taking General Equivalency Diploma (GED) Exams | 558 | 121 | 500 | 153 k | 500 |
| o Inmates Passing GED Exams | 64% | 70% | 65% | 72% | 65% |
| CORRECTIONAL OPTIONS UNIT PROGRAMS | | | | | |
| o Inmates Admitted to High Impact Incarceration Program (HIIP) | 547 | 158 | 500 | 164 | 500 |
| o Inmates Graduating from HIIP | 74% | 81% | 80% | 90% | 80% |
| o Inmates Admitted to the Self-Taught Empowerment and Pride Program (STEP) | 404 | 139 | 400 | 121 | 400 |
| o Inmates Graduating from STEP | 74% | 68% | 80% | 67% | 80% |
| o Inmates Participating in Substance Abuse Intervention Division (SAID) Programs | 10,238 | 3,641 j | 10,514 | 3,660 | 10,514 |
| o Average Daily Number of Inmates in Vocational Skills Training Programs | 217 | 173 | * | 292 (l) | * |
| HEALTH SERVICES | | | | | |

DEPARTMENT OF CORRECTION [072]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Average Daily Number of Inmates in Medical/Surgical Hospital Beds | 35 | 37 | * | 27 m | * |
| o Number of Hospital Runs | 3,526 | 1,135 | * | 1,219 | * |
| o Average Daily Number of Hospital Runs | 10 | 9 | * | 10 | * |
| o Inmates Entering Methadone Detoxification Program | 18,680 | 5,775 | 17,900 | 6,002 | 17,900 |
| o Inmates Discharged through Compassionate Release Program | 19 | 5 | * | 15 n | * |
| o CDU Admissions | 1,497 | 530 j | 1,600 | 373 (o) | 1,600 |
| MENTAL HEALTH SERVICES | | | | | |
| o Average Daily Number of Inmates in Acute Care Psychiatric Hospital Beds | 52 | 55 | * | 52 | * |
| VICTIM SERVICES | | | | | |
| o VINE System Registrations | 2,398 | 818 | * | 912 | * |
| o VINE Confirmed Notifications | 1,838 | 584 | * | 663 | * |
| o VINE Unconfirmed Notifications | 801 | 284 | * | 244 | * |
| SUPPORT SERVICES [002, 003] | | | | | |
| o Number of Inmates Delivered to Court | 365,678 | 119,922 | * | 112,995 | * |
| o Inmates Delivered to Court on Time | 89.7% | 88% | 83% | 91.3% | 83% |
| o On-Trial Inmates Delivered to Court on Time | 99.8% | 99.7% | 95% | 99.9% | 95% |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City' Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation

DEPARTMENT OF CORRECTION

- (a) The 41 percent decrease in the State total is due to the drop in the categories of parole violators and newly sentenced inmates under contract with the New York State Department of Correctional Services.
- (b) The decreases in both the number of average daily population and the average length of stay is attributed to the joint efforts of the New York State Division of Parole, the Department of Correction and the Department of Criminal Justice Services in implementing improvements for the parole process.
- (c) The 64 percent decrease in the number of newly sentenced inmates is attributed to the specific subcategory of "State Contract" cases, which under an agreement with the New York State Department of Correctional Services, permits the housing of State sentenced prisoners at Rikers Island up to 180 days after sentencing at a higher per diem reimbursement of \$100 per day. For the first four months of this year, the City and State agreed to reduce the number of newly sentenced inmates under this contract.
- (d) The 26 percent decrease in Court Order Average Daily Population is due to the ability of the New York State Department of Correctional Services to expedite the transfer of its inmates from local jails.
- (e) The 44 percent decrease in State-ready inmates overdue for transfer is attributable to a decline in the number of inmates sentenced to prison. In addition, the decline is due to the enhanced ability of the New York State Department of Correctional Services to add capacity to its system, permitting a more timely transfer period of inmates from local jails.
- (f) The 20 percent decrease in the average female population reflects the fact that fewer females have been arrested this year, resulting in a greater reduction in female admissions.
- (g) The 30 percent decrease in stabbings/slashings is a result of the continued success of the Department's comprehensive violence reduction program.
- (h) The 18 percent increase in jail-based arrests of inmates is attributable to the enhancement of the Inmate Arrests Case Tracking System. The Gang Intelligence Unit's arrest tracking system has now afforded the opportunity to investigate open cases that would have otherwise resulted in discharges prior to the case's completion. Due to the safer environment created through the Department's zero tolerance of criminal activity, inmates are now more willing to cooperate and file complaints when victimized by other inmates.
- (i) The 20 percent increase in findings of justified uses of force for prior years is due to increased efficiency in processing investigations.
- (j) This number has been revised since its previous publication.
- (k) The 26 percent increase in the number of inmates taking the General Equivalency Exam (GED) is due to an increase in the number of inmates passing the predictor test, which is the determining factor in GED participation. The greater number of inmates eligible to take the GED resulted in an increase of inmates passing the exam.
- (l) The 69 percent increase in the number of inmates taking vocational skills training courses is primarily due to an increased number of class offerings by the contracted adult education provider, La Guardia Community College, as well as the improved methods of promoting courses by the Board of Education and La Guardia Community College.

DEPARTMENT OF CORRECTION

- (m) The 27 percent decrease in the daily use of prison ward medical/surgical hospital beds is primarily due to efficient use by medical staff of North Infirmary Command (NIC) and Urgi-Care center on Rikers Island.
- (n) The 200 percent increase of inmates discharged through the Compassionate Release Program is because HHC/Correctional Health Services and St. Barnabus, which provide health care services in DOC facilities, made more referrals during the reporting period.
- (o) The 30 percent decrease in the Communicable Disease Unit (CDU) admissions is because fewer inmates who were presented for clinical reasons needed isolation for tuberculosis in CDU.

DEPARTMENT OF PROBATION [781]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| ADULT COURT SERVICES | | | | | |
| o Full Time Employees | NI | NI | NI | 1,059 | 1,085 |
| - Probation Officers | NI | NI | NI | 609 | 629 |
| ADULT COURT INVESTIGATIONS [002, 003] | | | | | |
| o Investigation Reports Completed | 41,404 | 12,829 | * | 12,875 | * |
| - Felonies | 31,849 | 9,906 | * | 9,840 | * |
| - Misdemeanors | 9,555 | 2,923 | * | 3,035 | * |
| o Investigation Reports on Jail Cases Completed on Scheduled Date of Sentencing | 98% | 98% | 99% | 99% | 99% |
| ADULT COURT SUPERVISION [002, 003] | | | | | |
| o Cases Assigned to Supervision Tracks (End of Period) | | | | | |
| - Enforcement Track | | | | | |
| - Blue Unit | NI | NI | * | 767 | * |
| - Amber Unit | NI | NI | * | 8,713 | * |
| - Green Unit | NI | NI | * | 4,690 | * |
| - Red Unit | NI | NI | * | 7,757 | * |
| - Special Conditions Track | NI | NI | * | 8,443 | * |
| - Reporting Track | NI | NI | * | 16,210 | * |
| - Intensive Supervision Program | NI | NI | * | 753 | * |
| - Short-Term Alternative to Remand and Treatment Program | NI | NI | * | 370 | * |
| o Intrastate/Interstate Cases (End of Period) | NI | NI | * | 2,993 | * |
| o Warrant Cases (End of Period) | NI | NI | * | 18,374 | * |
| o Cases Received During Period | 14,566 | 4,275 | * | 4,216 | * |
| - High Risk | NI | NI | * | 1,974 | * |
| - Low Risk | NI | NI | * | 2,242 | * |
| o Cases Removed from Supervision | 18,501 | 6,639 a | * | 6,015 | * |
| - Completed (Maximum Expiration) | NI | NI | * | 3,526 | * |
| - Early Discharge | 755 | 372 a | * | 224 b | * |
| - Probation Revoked | 4,513 | 1,378 a | * | 1,489 | * |
| - Other | NI | NI | * | 776 | * |
| o Probation Pass-Through Population | NI | NI | * | 77,935 | * |
| - Felonies | NI | NI | * | 56,128 | * |
| - Misdemeanor | NI | NI | * | 21,807 | * |
| o Average Caseload (End of Period) | | | | | |
| - Enforcement Track | | | | | |
| - Blue Unit | NI | NI | * | 38.4 | * |

DEPARTMENT OF PROBATION [781]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Amber Unit | NI | NI | * | 103.7 | * |
| - Green Unit | NI | NI | * | 126.8 | * |
| - Red Unit | NI | NI | * | 146.4 | * |
| - Special Conditions Track | NI | NI | * | 201 | * |
| - Reporting Track | NI | NI | * | 491.2 | * |
| - Intensive Supervision Program | NI | NI | * | 19.8 | * |
| - Short-Term Alternative to Remand and Treatment Program | NI | NI | * | 23.1 | * |
| o Total Violations Filed | 6,110 | 2,216 | * | 3,372 c | * |
| o Total Cases Reaching Final Disposition | 8,167 | 3,624 | * | 3,991 | * |
| - Revocation and Incarceration Rate | 64% | 60.8% | * | 57.1% | * |
| FIELD SERVICES | | | | | |
| o Total Warrants Received During Period | 5,811 | 1,873 | * | 2,286 d | * |
| - Warrants Received, Nightwatch Program | NI | NI | * | 528 | * |
| o Total Warrants Executed During Period | 5,144 | 1,757 | * | 1,896 | * |
| - Warrants Executed, Nightwatch Program | 1,002 | 299 | * | 377 | * |
| o Total Field Checks (e) | 67,861 | 24,711 | * | 30,463 e | * |
| - Field Checks, Nightwatch Program | 47,892 | 15,385 | 40,000 | 16,544 | 40,000 |
| FAMILY COURT SERVICES [002, 003] | | | | | |
| o Full-Time Employees | NI | NI | NI | 333 | 340 |
| - Probation Officers | NI | NI | NI | 196 | 209 |
| FAMILY COURT INTAKE | | | | | |
| o Cases Received During Period | 14,856 | 4,172 | * | 4,224 | * |
| - Juvenile Delinquent | 9,232 | 2,696 | * | 2,685 | * |
| - Persons In Need of Supervision (PINS) | 5,624 | 1,476 | * | 1,539 | * |
| o Cases Referred to Petition | 10,298 | 3,123 | * | 3,049 | * |
| - Juvenile Delinquent | 8,117 | 2,418 | * | 2,323 | * |
| - Persons In Need of Supervision (PINS) | 2,181 | 705 | * | 726 | * |
| o Cases Diverted | 4,097 | 1,365 | * | 1,515 | * |
| - Juvenile Delinquent | 1,094 | 390 | * | 397 | * |
| - Persons In Need of Supervision (PINS) | 3,003 | 975 | * | 1,118 | * |
| o Average Cases Serviced per Casebearing Officer per Month (Delinquency Cases) | 59.8 | 44.7 | 60 | 49.2 | 55 |
| o Average Cases Serviced per Casebearing Officer per Month (PINS Cases) | 124.3 | 124.7 | 125 | 155.3 f | 140 |

DEPARTMENT OF PROBATION [781]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| FAMILY COURT INVESTIGATIONS [002, 003] | | | | | |
| o Investigations Completed | 5,312 | 1,759 | * | 1,731 g | * |
| o Average Investigations Completed per Casebearing Officer per Month | 9 | 9 | 10 | 11.4 g | 12 |
| FAMILY COURT SUPERVISION [002,003] | | | | | |
| o Total Caseload (Beginning of Period) | 2,607 | 2,607 | * | 2,866 | * |
| o Cases Received During Period | 2,517 | 704 | * | 705 | * |
| o Cases Removed from Supervision | 2,258 | 720 | * | 746 | * |
| - Terminated (Sentence Completed) | 1,559 | 473 h | * | 506 | * |
| - Early Discharge | 78 | 25 h | * | 7 b | * |
| - Probation Revoked | 465 | 134 | * | 161 (i) | * |
| - Other | 426 | 88 h | * | 72 j | * |
| o Total Caseload (End of Period) | 2,866 | 2,591 | * | 2,825 | * |
| o Cases Serviced During Period | 5,124 | 3,311 | * | 3,571 | * |
| o Average Caseload per Casebearing Officer per Month | 99.1 | 95.2 | 95 | 106.4 | 95 |
| RESTITUTION COLLECTED FOR CRIME VICTIMS | | | | | |
| o Restitution Amount (USD) | \$4,192,860 | \$1,384,687 | * | \$1,655,992 c | * |
| o Number of Payments | 12,689 | 4,050 | * | 4,436 | * |
| o Beneficiaries Compensated | 17,511 | 5,419 | * | 6,742 c | * |
| SUBSTANCE ABUSE TREATMENT SERVICES | | | | | |
| o Total Number of Tests Conducted for Illegal Substances | NI | NI | * | 12,527 | * |
| o Probationers Tested for Illegal Substances | 20,488 | 7,357 | 20,500 | 9,860 k | 29,580 |
| o Positive Results per 100 Probationers Tested | NI | NI | * | 40.7 | * |
| o Total Referrals for Substance Abuse Treatment Services | 7,327 | 2,095 | * | 2,942 k | * |
| o Probationers Referred to Substance Abuse Treatment Services | 4,969 | 1,721 | * | 2,512 k | * |

DEPARTMENT OF PROBATION [781]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Total Placements in Substance Abuse Treatment Services | 3,057 | 922 | * | 1,176 k | * |
| o Probationers Placed in Substance Abuse Treatment Services | 2,694 | 665 | * | 1,147 k | * |
| o Probationers Discharged from Substance Abuse Treatment Services | NI | NI | * | 949 | * |
| o Probationers Discharged Successfully from Substance Abuse Treatment Services | NI | NI | * | 298 | * |
| PROGRAM SERVICES | | | | | |
| o Probationers Referred and Placed into Nova Ancora Program | 1,480 | 575 | * | 396 (l) | * |
| o Probationers Completing PEP Program | NI | NI | * | 152 | * |
| o Total Probationers Receiving Intensive Supervision in the Blue Unit of the Adult Supervision Restructuring Initiative's Enforcement Track (m) | 755 | 410 | 1,176 | 205 n | 1,176 |
| - Probationers Discharged | NI | NI | * | 183 | * |
| - Probationers Discharged Successfully | NI | NI | * | 131 | * |
| o Total Probationers Supervised in Juvenile Intensive Supervision Program | 643 | 495 | 650 | 417 (o) | 650 |
| - Probationers Discharged | NI | NI | * | 99 | * |
| - Probationers Discharged Successfully | NI | NI | * | 63 | * |
| o Total Probationers Supervised in Intensive Supervision Program | 1,643 | 1,194 p | 1,600 | 1,039 p | 1,600 |
| - Probationers Discharged | NI | NI | * | 354 | * |
| - Probationers Discharged Successfully | NI | NI | * | 217 | * |
| o Total Probationers Supervised in Short-Term Alternative to Remand and Treatment Program | 782 | 515 | 650 | 536 | 650 |
| - Probationers Discharged | NI | NI | * | 168 | * |
| - Probationers Discharged Successfully | NI | NI | * | 154 | * |
| o Total Probationers Supervised in Alternative to Detention Program | 1,213 | 241 | 1,000 | 257 | 1,000 |
| o Total Probationers Supervised in Expanded Alternative to Detention Program | 226 | 86 p | 330 | 68 q | 330 |
| - Retention Rate | 86% | 86% | 82% | 92% | 82% |
| o Total Hours of Community Service | 188,560 | 63,960 | * | 91,072 r | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF PROBATION

- (a) This indicator was revised based on a recalculation from the ARTS system. The difference results from a lag in data entry.
- (b) During the reporting period the Department implemented a more stringent early discharge policy. Probationers must now demonstrate compelling reasons for his or her discharge through concrete, positive acts while under supervision.
- (c) During the reporting period the Department focused on creating a more aggressive response to re-arrests and non-compliance. In addition, the Department is better able to track cases through automation.
- (d) There was an increase in the number of warrant cases being expedited by the courts.
- (e) This indicator now reflects all field service activity performed by the Department, which includes the Field Services Unit, Operation Nightwatch, Short-Term Alternative to Remand Treatment and Community Based Response Teams (CBRT). The increase in the total number of field checks is attributable to the CBRT initiative.
- (f) There was an increase in the number of referrals made by the Administration for Children's Services.
- (g) Staff members were transferred out of Family Court investigation. The remaining staff members each completed more investigations, however the total "Investigations Completed" decreased slightly from the last reporting period.
- (h) This indicator was revised to reflect the results of a desk audit of the manual system used to compile this data.
- (i) This increase is a direct result of the court's discretion.
- (j) This decrease is a direct result of the court's discretion. Most of these instances represent cases which, by judicial order, have been transferred from the City to another jurisdiction.
- (k) During the reporting period the Department implemented a more aggressive policy directed toward the identification, testing and treatment of substance abusers while on probation.
- (l) This decrease resulted from the relocation of administrative offices. Referrals and placements have since increased to normal levels.
- (m) This indicator was renamed from "Total Probationers Supervised in Group Intervention Program" to "Total Probationers Receiving Intensive Supervision in the Blue Unit of the Adult Supervision Restructuring Initiative's Enforcement Track" to better reflect its purpose.
- (n) There was an increase in new staff that received training in cognitive group methodology at the end of Fiscal 2000. These officers are in an apprenticeship period, and will be unable to assume a full group workload until Spring 2001. They will, however, provide intensive supervision and services to all high-risk offenders in the Blue Unit. The Department expects the total number of probationers supervised in the Unit to rise substantially during Fiscal 2001.
- (o) Increasingly, the courts have been referring youth to nonprofit, community-based programs recently introduced and implemented in the City that serve the same target population.

DEPARTMENT OF PROBATION

- (p) This indicator was revised. All indicators in Program Services are now consistently counted as the total number of probationers supervised in the specified program during the reporting period.
- (q) The courts have not been inclined to release youth to the care of EATD because more cases did not have the necessary attributes, including a custodial parent with parenting skills who is willing to cooperate. In addition, the educational and mental health resources that these youth need and that are necessary to monitor youth in the community are more difficult for EATD to find.
- (r) There was an increase in the number of projects referred to the Department by the Mayor's Anti-Graffiti Task Force.

DEPARTMENT OF JUVENILE JUSTICE [130]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| ADMISSIONS (a) | | | | | |
| o Total Admissions to Detention | 5,361 | 1,702 | * | 1,622 | * |
| - Admissions to Secure Detention | 4,815 | 1,513 | * | 1,480 | * |
| - Juvenile Offenders | 573 | 214 | * | 173 b | * |
| - Juvenile Delinquents | 4,242 | 1,299 | * | 1,307 | * |
| - From Court | 1,973 | 653 | * | 619 | * |
| - From Police | 1,777 | 556 | * | 549 | * |
| - From Other | 492 | 90 | * | 139 c | * |
| - Other (d) | 0 | * | * | * | * |
| - Admissions to Nonsecure Detention | 546 | 189 | * | 142 e | * |
| AVERAGE DAILY POPULATION (ADP) (a) | | | | | |
| o Average Daily Population in Detention (Total) (f) | 505 | 465 | * | 465 | * |
| - In Secure Detention | 379 | 354 | * | 336 | * |
| - Alleged Juvenile Delinquents | 185 | 164 | * | 153 | * |
| - Alleged Juvenile Offenders | 134 | 140 | * | 115 g | * |
| - Juvenile Delinquents/Juvenile Offenders Awaiting Transfer To OCFS | 59 | 49 | * | 66 h | * |
| - For Other Authority | 1 | 1 | * | 2 | * |
| - In Nonsecure Detention | 126 | 111 | * | 129 (i) | * |
| - Alleged Juvenile Delinquents (j) | 112 | 98 | * | 115 (i) | * |
| - Juvenile Delinquents Awaiting Transfer to OCFS (j) | 14 | 13 | * | 14 | * |
| AVERAGE LENGTH OF STAY (ALOS) (k) | | | | | |
| o Average Length of Stay (ALOS) in Detention (Days) | NI | NI | * | 36 | * |
| - Single Case While in Detention | | | | | |
| - Juvenile Delinquents | NI | NI | * | 28 | * |
| - ALOS in Secure Detention | NI | NI | * | 19 | * |
| - ALOS in Nonsecure Detention | NI | NI | * | 38 | * |
| - Juvenile Offenders | NI | NI | * | 34 | * |
| - Multiple Cases While In Detention | | | | | |
| - Multiple JD Cases Only | NI | NI | * | 59 | * |
| - At Least One JO Case | NI | NI | * | 150 | * |
| SECURE DETENTION (l) | | | | | |
| o Average Length of Stay (Days) | 26 | * | * | * | * |
| - Alleged and Adjudicated Juvenile Delinquents | 21 | * | * | * | * |
| - Alleged and Convicted Juvenile Offenders | 89 | * | * | * | * |
| o Pre-Adjudication In-Care Days | | | | | |

DEPARTMENT OF JUVENILE JUSTICE [130]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Alleged Juvenile Delinquents | 31 | * | * | * | * |
| - Alleged Juvenile Offenders | 157 | * | * | * | * |
| NONSECURE DETENTION (l) | | | | | |
| o Average Length of Stay (Days) | 34 | * | * | * | * |
| o Pre-Adjudication In-Care Days | | | | | |
| - Alleged Juvenile Delinquents | 37 | * | * | * | * |
| OTHER DETENTION INDICATORS | | | | | |
| o Escapes in Secure Detention | 0 | 0 | * | 0 | * |
| o Abscond Rate in Nonsecure Detention | 3% | 3% | * | 3% | * |
| o Weapon Recovery Rate (Per 1,000 Admissions) (a) | 8 | 8 | * | 5 m | * |
| o Juvenile-on-Juvenile Assaults/Altercations (Per 1,000 Admissions) (a) | 76 | 47 | * | 69 n | * |
| o Percent On-Time Court Appearance | NI | NI | 70% | 90% | 85% |
| o Percent On-Time On-Trial Court Appearance (JO) | NI | NI | 90% | * (o) | 90% |
| o Number of Hospital Runs | 216 | 81 | * | 96 p | * |
| COMMUNITY-BASED INTERVENTION [CBI] [001, 002] | | | | | |
| o Juveniles Served | 1,146 | 570 | 1,100 | 713 q | 1,250 |
| o Percent of Juveniles Who Successfully Complete Program (r) | NI | NI | 60% | 67% | 65% |
| o Percent of Juveniles Who Improve School Attendance (s) | NI | * | 35% s | * s | 35% |
| o New Participants in Community Services | 169 | 57 | 120 | 64 | 140 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF JUVENILE JUSTICE

- (a) In Volume II of the Preliminary Fiscal 2001 Mayor's Management Report, the population figures shown in the indicator table for July to October of Fiscal 2000 have been updated. The original table contained data for only the first three months of Fiscal 2000. The implementation of the Criminal Justice Information System (CJIS) allowed the Department to gather information for the first four months of Fiscal 2000, the usual time period covered.
- (b) There was a decrease in arrests of juvenile offenders.
- (c) There was an increase in juvenile delinquents admitted from counties outside of New York City for release violations.
- (d) This indicator was removed because the new Criminal Justice Information System classifies all new admissions as either juvenile offenders or juvenile delinquents.
- (e) There was a reduction in the number of nonsecure detention (NSD) specific remands at admission.
- (f) This new indicator captures the total average daily population in secure and nonsecure detention.
- (g) There were fewer juvenile offender admissions.
- (h) Improvements through the new Criminal Justice Information System allow DJJ more accuracy in determining changes in a youth's current status.
- (i) There were more transfers to NSD from secure detention.
- (j) These new indicators allow DJJ to differentiate between the number of alleged and OCFS-ready youth in NSD.
- (k) The new CJIS allowed DJJ to develop these new indicators. DJJ is now able to compare average length of stay (ALOS) of youth with multiple cases to youth with only one case. Youth with a single case had only one case heard or tried during their entire stay in detention. Youth with multiple cases had two or more cases heard or tried at some point during their stay in detention; these youth are further categorized by whether all cases were for JD offenses or at least one was for a JO offense.
- (l) These indicators were replaced by the ALOS measures in the previous sub-section of the table.
- (m) DJJ increased the number of searches for contraband and continued to reinforce the Department's overall safety and security procedures.
- (n) There were more minor fights among youth, which rarely resulted in serious injury.
- (o) DJJ is working to improve on-time court tracking and is not able to collect this data.
- (p) There was an increase in minor injuries, which according to Department policy, had to be examined in a hospital.
- (q) During Fiscal 2000 DJJ added contracted programs, allowing more youth to be served. DJJ currently contracts with six community-based organizations to operate four post-detention sites and 15 delinquency and truancy prevention sites citywide.

DEPARTMENT OF JUVENILE JUSTICE

- (r) This new indicator captures the percent of juveniles who successfully complete the CBI program. In order to successfully complete CBI, a youth must meet all final goals at the time of termination, attain at least one life skill and enroll in a school or in an alternative educational program at the time of termination.

- (s) The indicator was renamed from “Juveniles Returned To School” to “Percent of Juveniles Who Improve School Attendance.” It has been redefined to include only youth with a serious school attendance problem, as indicated by either seven days of truancy in a 20-day school period or an expulsion, long-term absence, discharge or suspension from school. The new indicator shows the percentage of these CBI clients who improved their attendance at the time their cases were closed. In addition, no actual numbers are presented for the first four months of any fiscal year. Since school does not begin until mid-September, CBI does not begin to collect attendance data for the fiscal year until early October.

CIVILIAN COMPLAINT REVIEW BOARD [054]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|-------------|---------|-------------|---------|-------------|
| | Annual | 4-Month | Annual | 4-Month | Preliminary |
| | Actual | Actual | Plan | Actual | Plan |
| o Investigative Staff | 110 | 105 | 129 | 121 | 129 |
| - Confidential Investigators | 94 | 90 | 111 | 103 | 111 |
| - Senior Investigators | 16 | 15 | 18 | 18 | 18 |
| o Complaints Within CCRB Jurisdiction (a) | 5,017 | 1,586 b | * | 1,306 | * |
| - Force Allegations | 2,260 | 646 b | * | 663 | * |
| - Abuse of Authority Allegations | 2,362 | 931 b | * | 682 | * |
| - Discourtesy Allegations | 1,804 | 769 b | * | 507 | * |
| - Offensive Language Allegations | 236 | 104 b | * | 97 | * |
| o Total Number of Cases Referred | 4,643 | 1,554 | * | 1,559 | * |
| - NYPD OCD | 4,266 | 1,429 | * | 1,477 | * |
| - Other | 377 | 125 | * | 82 | * |
| o Total Cases Completed | 4,930 | 1,568 | 6,000 | 1,979 c | * |
| - Full Investigations Closed | 2,056 | 656 | 3,540 | 897 c | * |
| - Truncated Cases | 2,238 | 708 | 2,400 | 949 c | * |
| - Other Complaint Closures | 582 | 181 | * | 119 d | * |
| - Alternative Dispute Resolution (ADR) | 54 | 23 | 60 | 14 e | * |
| - Conciliations | 10 | 10 | NA | 0 e | * |
| - Mediations | 44 | 13 | 60 | 14 | * |
| o Full Investigations as a Percentage of Total Cases Completed | 42% | 42% | 59% | 45% | * |
| o Percentage of Full Investigations with an Unidentified Member of Service | 6% | NI | * | 7% | * |
| o Truncated Cases as a Percentage of Total Cases Completed | 45% | 45% | 40% | 48% | * |
| o Cases Eligible for Diversion to ADR Program | 1,071 | 216 | * | 347 | * |
| - Cases Transferred to Mediation | 176 | NI | NI | 33 | * |
| o Average Number of Investigations Closed per Month | | | | | |
| - By Category of Offense | | | | | |
| - Force | 157 | 158 | * | 204 c | * |
| - Abuse of Authority | 141 | 131 | * | 182 c | * |
| - Discourtesy | 60 | 54 | * | 68 c | * |
| - Offensive Language | 5 | 5 | * | 12 c | * |

CIVILIAN COMPLAINT REVIEW BOARD [054]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Average Case Completion Time (Days) | | | | | |
| - By Category of Offense | | | | | |
| - Force | 232 | 208 b | * | 229 | * |
| - Abuse of Authority | 196 | 168 b | * | 207 f | * |
| - Discourtesy | 157 | 131 b | * | 182 f | * |
| - Offensive Language | 164 | 124 b | * | 171 f | * |
| o Average Case Completion Time (Days) | | | | | |
| - By Case Completion Category | | | | | |
| - All Cases | 206 | 179 b | 161 | 213 f | * |
| - Full Investigations | 296 | 252 b | 204 | 314 f | * |
| - Truncated Cases | 122 | 115 b | 112 | 119 | * |
| - Conciliations | 138 | 97 b | 135 | 172 g | * |
| o Percent of Cases with Affirmative Dispositions | 54% | 51% b | 50% | 61% h | * |
| o Number of Substantiated Cases by Time Remaining on Statute of Limitations | | | | | |
| - Number of cases with 3 months or less remaining | 46 | 6 | * | 15 (i) | * |
| - Number of cases with 4 to 6 months remaining | 53 | 17 | * | 17 | * |
| - Number of cases with 7 to 12 months remaining | 114 | 64 | * | 26 (i) | * |
| - Number of cases with 13 months or more remaining | 10 | 6 | * | 5 (i) | * |
| o Number of Cases Pending | 2,595 | 2,725 | 1,750 | 2,000 c | * |
| o Age of Caseload (from date of incident) | | | | | |
| - Number of cases 0 to 4 months old | 1,411 | 1,318 | * | 1,133 | * |
| - Number of cases 5 to 12 months old | 980 | 1,197 | * | 769 c | * |
| - Number of cases 13 to 17 months old | 163 | 166 | * | 81 c | * |
| - Number of cases 18 months or older | 41 | 44 | * | 17 c | * |
| o Cases 13 Months or Older as A Percentage of Total Caseload (from date of incident) | 8% | 8% | 5% | 5% c | * |
| o Operational Backlog (from date of report) | 877 | 1,288 | 650 | 779 c | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

CIVILIAN COMPLAINT REVIEW BOARD

- (a) A complaint can include more than one allegation of the use of excessive or unnecessary force, abuse of authority, discourtesy or the use of offensive language.
- (b) Data was revised due to additional information that became available after the publication of the Fiscal 2000 Mayor's Management Report.
- (c) The decrease in the number of complaints filed at CCRB to date in Fiscal 2001, coupled with an aggressive overtime policy, has led to an increase in the number of total cases closed as well as a decrease in the number of cases pending, the overall age of the caseload and the operational backlog. In addition, the Board instituted a review process by the CCRB Commissioners in May 2000 that resulted in an increase in the number of older cases closed in the first four months of Fiscal 2001. Investigative teams were also put on a time-triggered review process in June 2000, which has increased the number of cases closed in the first third of Fiscal 2001.
- (d) "Other Complaint Closures" include duplicate complaints and cases that were originally accepted as CCRB complaints but were found later to be under the jurisdiction of the Office of Chief of Department or other agencies. The new computer tracking system has decreased the possibility of entering duplicate complaints.
- (e) The Board suspended the conciliation process in May 1999, but the last 10 cases were conciliated in the beginning of Fiscal 2000. The conciliation process is unavailable in Fiscal 2001, resulting in no ADR cases.
- (f) Cases take more time to complete when a detailed analysis in the closing report is required.
- (g) Case completion time for conciliations includes the time for mediations to be completed. There were 10 conciliations that took place in Fiscal 2000 and they took far less time to reach completion than mediations, lowering the average case completion time. No conciliations took place in Fiscal 2001, resulting in an increase in case completion time. Conciliations require participation of only the subject officer, while mediations require the participation of the complainant, the officer and the mediator. It takes much longer to get the consent of the parties and to schedule all participants at a mutually acceptable time.
- (h) More thorough and careful investigations produce more evidence, which allows the Board to make more conclusive judgments.
- (i) More thorough investigations, as evidenced by the increased rate of affirmative findings above, resulted in an increase in the substantiated case completion time, thus there are fewer substantiated cases with seven or more months remaining on the statute of limitations.

DEPARTMENT OF TRANSPORTATION [841]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| SIGNALS AND SIGNS | | | | | |
| o New Signals | | | | | |
| - Designed (In-House) | 189 | 60 | 180 | 65 | 180 |
| - Installed (Contract) | 203 | 69 | 180 | 76 | 180 |
| o Signal Studies | | | | | |
| - Requests | 803 | 293 | * | 330 | * |
| - Completed | 797 | 258 | * | 208 a | * |
| - Studies Pending Over 90 Days | 1 | 11 | * | 15 a | * |
| o Traffic Signs | | | | | |
| - Signs Manufactured | 60,823 | 23,369 | 70,000 | 13,374 b | 70,000 |
| o Signs Installed | 129,698 | 43,773 | 121,755 | 43,318 | 121,755 |
| o Signals | | | | | |
| - Number of Complaints | 92,152 | 30,083 | * | 27,830 | * |
| - Average Time to Respond to Defects Requiring | | | | | |
| - 2 Hour Response | 1 hr 39 min | 1 hr 22 min | 2 hr | 1 hr 15 min | 2 hr |
| - 12 Hour Response | 6 hr 01 min | 5 hr 55 min | 12 hr | 4 hr 41 min | 12 hr |
| - 48 Hour Response | 25 hr 09 min | 23 hr 17 min | 48 hr | 20 hr 48 min | 48 hr |
| o Priority Regulatory Signs | | | | | |
| - Number of Complaints | 6,801 | 2,488 | * | 2,686 | * |
| - Percent Replaced or Repaired Within 9 Days | 100% | 100% | 100% | 100% | 100% |
| o Street Lights | | | | | |
| - Number of Complaints | 52,142 | 16,445 | * | 15,871 | * |
| - Percent Responded to Within 10 Days | 96.9% | 98.1% | 95% | 96.6% | 95% |
| o Red Light Camera | | | | | |
| -Total Notices of Liability (000) | 197.3 | 65.5 | * | 79.6 c | * |
| -Total Number of Cameras | 30 | 30 | 50 | 30 | 50 |
| - Camera Uptime | 9,869 | 3,267 | * | 3,456 | * |
| PARKING METERS | | | | | |
| o Total Meters | 63,257 | 64,103 | 62,631 | 63,235 | 62,631 |
| - Percent Operable | 91% | 89.8% | 90% | 91.4% | 90% |

DEPARTMENT OF TRANSPORTATION [841]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| STREETS AND ARTERIAL HIGHWAYS | | | | | |
| o Small Street Defect | | | | | |
| (Pothole) Repairs | 84,810 | 17,453 | * | 12,751 d | * |
| - Bronx | 12,279 | 2,474 | * | 2,077 d | * |
| - Brooklyn | 21,388 | 4,638 | * | 3,117 d | * |
| - Manhattan | 20,938 | 3,764 | * | 4,119 | * |
| - Queens | 17,775 | 3,322 | * | 1,902 d | * |
| - Staten Island | 12,430 | 3,255 | * | 1,536 d | * |
| - Arterials | 18,688 | 2,437 | * | 3,259 d | * |
| o Small Street Defects (Potholes) | | | | | |
| - Number of Complaints | 24,672 | 8,448 | * | 5,625 e | * |
| - Percent Repaired Within 30 Days | 65% | 40% | 65% | 54% e | 65% |
| o Strip-Paving | | | | | |
| - Square Yards Completed | 27,759 | 0 | * | 13,879 | * |
| o Adopt-A-Highway | | | | | |
| - Total Adoptable Miles | 362 | 362 | 362 | 362 | 362 |
| - Total Number of Miles Adopted | 337 | 324 | 345 | 331 | 345 |
| - Percent of Miles Audited | 19% | 17% | 15% | 21% f | 15% |
| - Percent of Audits Rated Good | 87% | 88% | * | 82% | * |
| o Speed Hump Construction | 129 | 20 | 120 | 2 g | 120 |
| o Streets Maintained With a Pavement Rating of Good | 84.4% | NA | 84.4% h | NA | by 9/01 |
| - Bronx | 87.1% | NA | 87.1% h | NA | by 9/01 |
| - Brooklyn | 79.7% | NA | 79.7% h | NA | by 9/01 |
| - Manhattan | 70.3% | NA | 70.3% h | NA | by 9/01 |
| - Queens | 90% | NA | 90% h | NA | by 9/01 |
| - Staten Island | 88.2% | NA | 88.2% h | NA | by 9/01 |
| o Cost of Asphalt (per Ton) | | | | | |
| - In-house | \$21.80 | NA | * | NA | * |
| - Vendor | \$31.82 | \$31.04 | * | \$33.14 | * |
| o Street Inspections (000) | 333.4 | 108 | 324 | 116.7 | 324 |
| o Street Cuts Rated Satisfactory | 87% | 87% | 83% | 86% | 83% |
| o Cubic Yards Debris Removed | | | | | |
| - Arterials | 159,090 | 53,171 | 165,050 | 38,267 (i) | 165,050 |
| - Bridges | 14,007 | 5,434 | 13,000 | 5,014 | 13,000 |

DEPARTMENT OF TRANSPORTATION [841]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| BRIDGES | | | | | |
| o Bridge Painting (Square Feet Finish Coat) (000): | | | | | |
| - In-House | 2,228 | 1,170 | 1,440 | 1,026 | 1,440 |
| - Contract | 716 | 395 | 800 | 277 j | 800 |
| - Graffiti Removal | 6,866 | 2,848 | 5,500 | 2,666 | 5,500 |
| o Bridge Preventive Maintenance | | | | | |
| - Concrete Repair (Square Feet) | 39,449 | 23,658 | 50,000 | 31,714 k | 50,000 |
| - Deck Repair (Square Feet) | 2,229 | 2,229 | 23,000 (l) | 25,184 m | 23,000 |
| - Electrical Maintenance (Work Tickets Completed) | 267 | 87 | 300 | 96 | 300 |
| - Fire Hazards Removed | 960 | 340 | 625 | 344 | 625 |
| o Percent Deck Area In Good Repair | 20.9 | 19.1 | 20.9 | 20.9 | 20.9 |
| o Schedule Lubrication Maintenance | | | | | |
| - Work Tickets Completed | 377 | 131 | 444 | 160 n | 444 |
| o Cleaning | | | | | |
| - Draining System | 1,373 | 898 | 1,500 | 503 (o) | 1,500 |
| o Sweeping (Bridges) | | | | | |
| - Routes Completed | 358 | 126 | 309 | 195 p | 309 |
| - Linear Feet Completed | 2,251 | 1,802 | 2,814 | 2,856 p | 2,814 |
| o Flags, All Bridges | | | | | |
| - Total Routed | 1,096 | 454 | 1,200 | 364 q | 1,200 |
| - Red | 54 | 9 | 61 | 8 | 61 |
| - Yellow | 477 | 241 | 480 | 110 q | 480 |
| - Safety | 565 | 204 | 659 | 246 q | 659 |
| o Total Eliminated | 1,170 | 486 | 1,200 | 336 r | 1,200 |
| - Red | 36 | 13 | 62 | 14 | 62 |
| - Yellow | 549 | 277 | 480 | 73 r | 480 |
| - Safety | 585 | 196 | 658 | 249 r | 658 |
| o Total Outstanding | 1,557 | 1,601 | 1,557 | 1,589 | 1,557 |
| - Red | 43 | 21 | 42 | 37 r | 42 |
| - Yellow | 830 | 872 | 830 | 866 | 830 |
| - Safety | 684 | 708 | 685 | 686 | 685 |
| o Flags, East River Bridges | | | | | |
| - Total Routed | 427 | 237 | 360 | 147 q | 360 |
| - Red | 28 | 4 | 20 | 7 q | 20 |
| - Yellow | 325 | 212 | 232 | 57 q | 232 |
| - Safety | 74 | 21 | 108 | 83 q | 108 |
| o Total Eliminated | 545 | 294 | 360 | 162 s | 360 |
| - Red | 24 | 9 | 20 | 8 | 20 |

DEPARTMENT OF TRANSPORTATION [841]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Yellow | 440 | 255 | 180 | 64 s | 180 |
| - Safety | 81 | 30 | 160 | 90 s | 160 |
| o Total Outstanding | 652 | 713 | 652 | 628 | 652 |
| - Red | 15 | 5 | 15 | 10 s | 15 |
| - Yellow | 498 | 572 | 550 | 489 | 550 |
| - Safety | 139 | 136 | 87 | 129 | 87 |
| o Average Number of Vehicles Entering Manhattan's Central Business District per 24-Hour Period (000) | 842.5 | NA | 870.5 | NA | 870.5 |
| STATEN ISLAND FERRY OPERATIONS | | | | | |
| o Round Trips Completed | 16,782 | 5,638 | 16,768 | 5,669 | 16,768 |
| o Round Trips Canceled | 61 | 15.5 | 60 | 20 t | 60 |
| o On-Time Trips | 97% | 96% | 96% | 97% | 96% |
| o Total Passengers Carried (000) | 19,000 | 6,441 | 19,403 | 6,059 | 19,000 |
| o Cost per Passenger Carried (One Way) | \$2.83 | NA | \$2.50 | NA | NA |
| CAPITAL BUDGET PERFORMANCE INDICATORS | | | | | |
| o Lane Miles Resurfaced (In-House) | 707.8 u | 358.8 h | 694.4 | 319.9 | 694.4 |
| - Bronx | 73.6 u | 50.7 | 74 | 27.6 v | 74 |
| - Brooklyn | 188.8 u | 85.8 | 187.8 | 72.5 v | 187.8 |
| - Manhattan | 89.9 u | 54.8 | 90.8 | 52.8 | 90.8 |
| - Queens | 240 u | 106.1 | 236.3 | 122.6 v | 236.3 |
| - Staten Island | 90.6 u | 44.9 | 80.6 | 27.0 v | 80.6 |
| - Arterials | 24.9 | 16.5 | 25 | 17.5 | 25 |
| o Square Yards Milled by Borough | 2,688,862 | 1,332,818 | * | 1,513,875 | * |
| - Bronx | 140,468 | 67,490 | * | 69,714 | * |
| - Brooklyn | 870,530 | 387,481 | * | 412,057 | * |
| - Manhattan | 517,180 | 286,168 | * | 338,411 w | * |
| - Queens | 896,183 | 448,495 | * | 436,943 | * |
| - Staten Island | 139,523 | 36,496 | * | 136,713 w | * |
| - Arterials | 124,977 | 106,688 | * | 120,037 | * |
| o Cost per Lane Mile Resurfaced by Borough (Includes Milling) | \$83,134 | NA | * | NA | * |
| - Bronx | \$75,554 | NA | * | NA | * |
| - Brooklyn | \$79,641 | NA | * | NA | * |

DEPARTMENT OF TRANSPORTATION [841]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|-------------------------------------|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Manhattan | \$100,847 | NA | * | NA | * |
| - Queens | \$86,865 | NA | * | NA | * |
| - Staten Island | \$65,941 | NA | * | NA | * |
| o Cost per Ton of Asphalt Placed | | | | | |
| by Borough (Includes Milling) | \$85.28 | NA | * | NA | * |
| - Bronx | \$75.66 | NA | * | NA | * |
| - Brooklyn | \$86.52 | NA | * | NA | * |
| - Manhattan | \$90.91 | NA | * | NA | * |
| - Queens | \$88.62 | NA | * | NA | * |
| - Staten Island | \$75.09 | NA | * | NA | * |
| o Pedestrian Ramp Contracts | | | | | |
| Sidewalk Corners Made Accessible | | | | | |
| - Construction (\$) (000) | \$25,383 | \$0 | \$19,445 | \$0 | \$20,039 |
| - Construction Started | 1,797 | 0 | 0 | 0 | 0 |
| - Completed | 1,797 | 1,055 | 6,300 | 2,100 x | 6,300 |
| o Prior Notification Sidewalk | | | | | |
| Reconstruction by Square Foot (000) | | | | | |
| - Construction (\$) (000) | \$12,487 | \$0 | \$22,242 | \$9,414 y | \$34,476 |
| - Construction Started | 1,492 | 0 | 1,060 | 1,078 y | 1,060 |
| - Completed | 1,492 | 1,099 | 1,060 | 86 y | 1,060 |
| o East River Bridges | | | | | |
| - Designs Started | 0 | 1 | 0 | 0 z | 1 |
| - Construction Started | 0 | 0 | 1 | 0 | 0 |
| - Completed | 1 | 0 | 4 | 2 aa | 1 |
| - Completed on Schedule | 100% | NA | 100% | NA | 100% |
| o Non-East River Bridges | | | | | |
| - Designs Started | 26 | 1 | 0 | 0 z | 17 |
| - Construction Started | 6 | 2 | 48 | 15 bb | 28 |
| - Completed | 29 | 10 | 32 | 6 cc | 9 |
| - Completed on Schedule | 100% | NA | 100% | NA | 100% |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF TRANSPORTATION

- (a) During the first four months of Fiscal 2001 the Department received more requests for signal studies than during the first four months of Fiscal 2000. Additionally, DOT received an unusually high number of signal requests at school locations between May and August 2000. Because traffic conditions must be assessed when school is in session, the field component of these studies could not be initiated until school resumed in September 2000.
- (b) During the reporting period the Department manufactured fewer signs than during the same period in Fiscal 2000. This is due partly to customized sign projects, including signs for Times Square, Queens North Shore Hospital and the New York City Marathon. Customized signs are more difficult and time-intensive to manufacture than other traffic signs.
- (c) DOT issued more Red Light Camera Notices of Liability during the reporting period because additional staff were hired since the same period in Fiscal 2000, enabling the Department to review more photographs taken by red light cameras.
- (d) During the first four months of Fiscal 2001 the Department repaired fewer potholes in the Bronx, Brooklyn, Queens, Staten Island and citywide than during the same period in Fiscal 2000 because fewer pothole complaints were received. However, the Department found and repaired more potholes on arterials during this reporting period compared with the same period last year.
- (e) The number of pothole complaints made by the public is not within DOT's control. There was an increase in the percentage of potholes repaired within 30 days because fewer complaints were received.
- (f) Staff perform these audits as part of their daily duties and conducted the audits more efficiently during the reporting period, enabling them to perform more.
- (g) Speed humps are installed by request and only after geometric and safety criteria are met, and the number installed is directly related to these factors. As a result, DOT installed fewer in the first four months of Fiscal 2001 than in the first four months of Fiscal 2000. The Department anticipates installing 120 speed humps by the end of Fiscal 2001.
- (h) This figure has been revised from the previous Mayor's Management Report.
- (i) The decrease in the amount of debris removed from arterials is due to a decrease in the availability of personnel normally assigned to this work.
- (j) During the first four months of Fiscal 2001 the Department completed less bridge contract painting than during the same period last fiscal year due to a delay in the award and registration of the Pulaski and Broadway Bridge contracts.
- (k) The Department completed more concrete repair during the first four months of Fiscal 2001 than during the same period in Fiscal 2000. The increase can be attributed primarily to the rehabilitation of the Madison Avenue Bridge, which entailed a greater use of concrete.
- (l) The Fiscal 2001 Annual Plan figure has been changed from that published in the Fiscal 2000 Mayor's Management Report because DOT began using asphalt for deck repair.
- (m) Since more deck area required repair during the reporting period, the total amount of deck repair completed increased significantly compared to the first four months of Fiscal 2000.

DEPARTMENT OF TRANSPORTATION

- (n) During the first four months of Fiscal 2001 the Department completed more work tickets than during the same period last fiscal year because the jobs were less complex and required less time to complete.
- (o) The Department cleaned fewer drain systems in the first four months of Fiscal 2001 than in the same period last fiscal year because only one of the two cleaning vehicles was operable in both September and October 2000.
- (p) Productivity increased because night crews encountered less roadway traffic.
- (q) The number of flags routed on the City's bridges is based on the number of flagged conditions found. Flags are routed by City DOT inspectors and New York State DOT inspectors, both of whom must adhere to State standards.
- (r) Because fewer yellow flags and more safety flags were routed than anticipated in the first four months of Fiscal 2001, DOT focused on eliminating safety flags. Therefore, DOT eliminated fewer yellow flags than in the same period last fiscal year. Due to the lack of accessibility of locations, DOT eliminated fewer red flags than anticipated. DOT engineers conduct a full assessment of all red flags routed, repairing them immediately or ensuring that the conditions are safe and continually monitoring them until repairs can be completed.
- (s) Because fewer yellow flags and more safety flags were routed than anticipated in the first quarter of Fiscal 2001, DOT focused on eliminating safety flags. Therefore, DOT eliminated fewer yellow flags than in the same period last fiscal year. Because a number of red flags were routed at the end of Fiscal 2000, more remained outstanding at the end of the first four months of Fiscal 2001. DOT engineers conduct a full assessment of all red flags routed, repairing them immediately or ensuring that the conditions are safe and continually monitoring them until repairs can be completed.
- (t) The majority of canceled trips were due to mechanical problems aboard the vessels, which DOT attributes to the aging of the fleet.
- (u) Due to an error in the data previously reported in the Fiscal 2000 Mayor's Management Report, the Department revised the number of lane miles resurfaced in Fiscal 2000.
- (v) The Department resurfaced fewer lane miles during the first four months of Fiscal 2001 in the Bronx, Brooklyn, Manhattan and Staten Island, compared with the first four months of Fiscal 2000, for reasons including rainy weather and closure of the Hamilton Asphalt Plant during the summer months. However, DOT resurfaced more lane miles in Queens due to the efficiency of its work crews.
- (w) The Department completed more milling in Staten Island and Manhattan during the reporting period, compared with the same period in Fiscal 2000. The amount of milling needed for resurfacing varies greatly from block to block.
- (x) Focusing on compliance with the federal Americans with Disabilities Act, DOT completed installation of more pedestrian ramps during the first four months of Fiscal 2001 compared to the first four months of Fiscal 2000. The work was done under contracts registered prior to this reporting period.
- (y) Because some contracts for sidewalk construction were registered later and construction started later than expected, the Department completed fewer square feet of sidewalk reconstruction than expected during the reporting period and fewer than during the same period last fiscal year.

DEPARTMENT OF TRANSPORTATION

- (z) There were no design starts planned for Fiscal 2001.
- (aa) The number of construction completions increased by two in the first four months of Fiscal 2001, compared to the first four months of Fiscal 2000. The Brooklyn Bridge deck replacement and Williamsburg Bridge Contract #6, both originally planned for completion in Fiscal 2000, were completed during the reporting period.
- (bb) Two contracts, covering work on a total of 15 bridges, were registered in the first four months of Fiscal 2001 rather than during Fiscal 2000 as was originally planned.
- (cc) Projects were delayed for various reasons, including coordination with other governmental agencies, utilities and contractors.

DEPARTMENT OF ENVIRONMENTAL PROTECTION [826]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| WATER AND SEWER OPERATIONS | | | | | |
| o Full-Time Employees | 1,225 | 1,224 | 1,287 | 1,211 | 1,287 |
| o Water Delivery System | | | | | |
| - Water-Main Breaks | 592 | 116 | 575 | 122 | 575 |
| - Water Supply Complaints Received | 55,719 | 21,850 | 65,000 | 16,418 a | 60,000 |
| - Leak Complaints Received | 5,294 | 1,600 | 5,200 | 1,367 | 5,200 |
| - Leak Complaints Resolved | 5,268 | 1,522 | 5,200 | 1,359 | 5,200 |
| - Resolve Leak Complaints Requiring Excavation in 30 Days | 86.6% | 87.2% | 85% | 93% | 85% |
| - Average Backlog of Street Leaks (Includes 3-Day Notices) | 171 | 154 | 150 | 149 | 150 |
| o Hydrants | 107,134 | 107,134 | 107,134 | 107,134 | 107,134 |
| - Broken and Inoperative | 0.47% | 0.46% | 1% | 0.35% b | 1% |
| - Hydrants Repaired or Replaced | 14,569 | 5,468 | 16,000 | 4,749 | 15,500 |
| - Average Backlog of Broken and Inoperative Hydrants | 505 | 488 | 1,071 | 372 b | 1,071 |
| - Repairs to Distribution System (Person-Days) | 32,419 | 8,931 | 32,000 | 9,828 | 32,000 |
| - Average Backlog of Repairs to Distribution System (Person-Days) | 3,782 | 3,084 | 4,000 | 3,159 | 4,000 |
| o Sewer System | | | | | |
| - Catch Basin Complaints Received | 8,675 | 3,562 | 10,000 | 4,018 | 10,000 |
| - Total Catch Basins Cleaned | 45,256 | 22,042 | 43,000 | 12,695 c | 38,000 |
| - Programmed Cleaning | 27,917 | 15,088 | 23,000 | 5,039 c | 18,000 |
| - Complaint Cleaning | 17,339 | 6,954 | 20,000 | 7,656 | 20,000 |
| - Average Catch Basin Response Time Complaint to Completion (Days) | 8.5 | 9.2 | 11 | 9.1 | 11 |
| - Average Catch Basin Complaint Backlog | 192 | 249 | 250 | 268 | 250 |
| - Resolve Catch Basin Complaints Within 30 Days | 95.4% | 94.1% | 90% | 94.5% | 90% |
| - Sewer Backup Complaints Received | 24,545 | 8,746 | 32,000 | 7,211 d | 30,000 |
| - Average Backup Response Time (Hours) | 4.4 | 5 | 7.5 | 4.1 d | 7.5 |
| - Sewer Backup Complaints Resolved Within 24 Hours | 99.8% | 99.7% | 98% | 99.9% | 98% |
| - Sewer Construction Repairs | 3,184 | 1,282 | 3,300 | 1,327 | 3,300 |
| - Average Repair Backlog | 1,501 | 1,377 | 1,400 | 1,478 | 1,400 |
| WASTEWATER TREATMENT | | | | | |

DEPARTMENT OF ENVIRONMENTAL PROTECTION [826]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|-------------|---------|-------------|-----------|-------------|
| | Annual | 4-Month | Annual | 4-Month | Preliminary |
| | Actual | Actual | Plan | Actual | Plan |
| o Full-Time Employees | 1,813 | 1,818 | 1,913 | 1,797 | 1,913 |
| o Effluent Complying With Federal Standards | 99.9% | 99.9% | 100% | 100% | 100% |
| o Scheduled Preventive Maintenance Completed Each Month | 64.1% | 64% | 69% | 66.4% | 69% |
| o Compliance With State Standard for Dissolved Oxygen at Harbor Survey Stations | 89% | 70% | 89% | 84% e | 89% |
| ENVIRONMENTAL COMPLIANCE | | | | | |
| o Full-Time Employees | 173 | 180 | 191 | 168 | 191 |
| o Complaints Received (Includes DEP-Initiated) | 23,969 | 7,885 | 27,200 | 8,510 | 25,700 |
| - Air | 12,554 | 4,196 | 15,000 | 3,882 | 13,500 |
| - DEP Help Center | 7,253 | 2,634 | 8,500 | 2,406 | 8,500 |
| - DEP Initiated | 5,301 | 1,562 | 6,500 | 1,476 | 5,000 |
| - Noise | 10,728 | 3,430 | 11,500 | 4,369 f | 11,500 |
| - DEP Help Center | 9,555 | 3,176 | 10,500 | 4,089 f | 10,500 |
| - DEP Initiated | 1,173 | 254 | 1,000 | 280 | 1,000 |
| - Asbestos | 687 | 259 | 700 | 259 | 700 |
| o Complaints Responded To | 100% | 98% | 96% | 99% | 97% |
| - Air | 100% | 97% | 95% | 97% | 97% |
| - Noise | 100% | 98% | 92% | 99% | 95% |
| - Asbestos | 100% | 100% | 100% | 100% | 100% |
| o Total Inspections Conducted | 27,255 | 8,968 | 29,900 | 8,999 | 27,600 |
| - Air | 12,749 | 4,091 | 15,200 | 3,770 | 13,100 |
| - Noise | 8,787 | 2,747 | 9,000 | 3,449 f | 9,000 |
| - Asbestos | 2,881 | 1,043 | 3,200 | 921 | 3,000 |
| - Right-to-Know Program | 2,838 | 1,087 | 2,500 | 859 g | 2,500 |
| o Total Violations Issued | 7,970 | 2,488 | 8,505 | 1,878 h | 7,500 |
| - Air | 5,088 | 1,492 | 6,555 | 1,166 (i) | 5,500 |
| - Noise | 1,646 | 525 | 1,100 | 464 | 1,100 |
| - Asbestos | 589 | 171 | 550 | 92 j | 500 |
| - Right-to-Know Program | 647 | 300 | 300 | 156 g | 400 |
| o Case Resolution Rate | 69.4% | 107.0% | 77.5% | 77.5% | 73.8% |

DEPARTMENT OF ENVIRONMENTAL PROTECTION [826]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Air | 70.1% | 55.9% | 80% | 85.2% k | 80% |
| - Noise | 76.6% | 80.9% | 90% | 83.7% | 80% |
| - Asbestos | 76.9% | 256.1% (l) | 90% | 97.9% | 85% |
| - Right-to-Know Program | 54% | 35% | 50% | 43.3% m | 50% |
| Hazardous Materials Response Unit | | | | | |
| o Number of Incidents Involving Hazardous Materials Handled | 1,642 | 580 | 2,000 | 563 | 1,800 |
| o Cost to City of Cleanup | \$43,464 | \$19,462 | \$75,000 | \$15,610 n | \$75,000 |
| ENVIRONMENTAL CONTROL BOARD | | | | | |
| o Case Input (Violations Issued) | 621,798 | 175,178 | 505,000 | 217,338 (o) | 550,000 |
| o Number of Decisions | 198,942 | 71,970 p | 150,000 | 57,263 | 175,000 |
| o Revenue Collected (000) | \$33,429 | \$10,536 | \$32,150 | \$15,017 (o) | \$31,850 |
| o Case Resolution Rate | 64.5% | 65.8% | 70% | 72.7% | 70% |
| Average Yield per Violation Issued | \$55.67 | \$60.14 | \$61.83 | \$69.09 | \$57.91 |
| CUSTOMER SERVICES | | | | | |
| o Full-Time Employees | 556 | 560 | 609 | 556 | 609 |
| o Number of Meters Installed | 40,972 | 12,238 | 50,000 | 12,260 | 35,000 |
| o Number of Meters Repaired | 31,562 | 10,533 | 35,000 | 11,639 | 35,000 |
| o Net Billings (000,000) | \$1,436.09 | \$261.85 | \$1,291 | \$357.50 q | \$1,343 |
| o Collections Against Billings (000,000) | \$1,424 | \$739.90 | \$1,486 | \$745.70 | \$1,513 |
| ENGINEERING AND CAPITAL CONSTRUCTION | | | | | |
| o Full-Time Employees | 363 | 376 | 444 | 361 | 444 |
| Sewer Regulation and Control | | | | | |
| o Fees Collected (000) | \$474 | \$142 | \$500 | \$100 r | \$500 |
| o Number of Site Connection | | | | | |

DEPARTMENT OF ENVIRONMENTAL PROTECTION [826]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|-------------|---------|-------------|---------|-------------|
| | Annual | 4-Month | Annual | 4-Month | Preliminary |
| | Actual | Actual | Plan | Actual | Plan |
| Proposal Reviews Completed | 499 | 164 | 525 | 193 s | 525 |
| - Reviewed in 30 days | 95% | 94% | 100% | 100% | 100% |
| o Number of Private Drainage Proposal Reviews Completed | 63 | 24 | 65 | 16 t | 65 |
| - Reviewed in 90 days | 100% | 100% | 100% | 100% | 100% |
| o Number of Private Sewer Plan Reviews Completed | 54 | 23 | 65 | 12 t | 65 |
| - Reviewed in 30 days | 100% | 100% | 100% | 100% | 100% |
| PROGRAMMATIC INDICATORS | | | | | |
| o Vehicles Purchased | 159 | * | 150 | * | by 9/2001 |
| o Tunnel No. 3 Constructed (000) | | | | | |
| - Designs Started | \$9,700 | * | \$14,000 | * | by 9/2001 |
| - Construction Started | \$18,300 | * | \$343,300 | * | by 9/2001 |
| - Construction Completed | \$26,400 | * | \$55,500 | * | by 9/2001 |
| o Plant Reconstruction | | | | | |
| - Designs Started | 4 | * | 6 | * | by 9/2001 |
| - Construction Started | 47 | * | 87 | * | by 9/2001 |
| - Construction Completed | 42 | * | 74 | * | by 9/2001 |
| o Pumping Stations Reconstructed | | | | | |
| - Designs Started | 2 | * | 0 | * | by 9/2001 |
| - Construction Started | 3 | * | 12 | * | by 9/2001 |
| - Construction Completed | 9 | * | 27 | * | by 9/2001 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF ENVIRONMENTAL PROTECTION

- (a) The installation of custodial locks along with the implementation of both the hydrant flushing and valve maintenance programs resulted in a decrease in the number of complaints received in the first four months of Fiscal 2001.
- (b) The installation of hydrant locking devices, such as custodial locks, has assisted DEP in reducing illegal tampering of hydrants.
- (c) A contract to repair and clean catch basins with a private vendor concluded in Fiscal 2000 resulting in a decrease in the number cleaned.
- (d) "Sewer Backup Complaints Received" and "Average Backup Response Time" decreased from the prior reporting period due to the increased application of liquid degreaser in problematic areas. This application has significantly decreased sewer blockage caused by grease.
- (e) The percent of harbor survey stations in compliance with State standards for dissolved oxygen was higher than that of the prior reporting period due to lower than normal temperatures for the time of year and occasional storm fronts. These factors helped mix the water column, which lessened the likelihood of any severe algae blooms.
- (f) The number of noise complaints received is not under the Department's control. However, DEP performed more inspections as a result of the increase in complaints.
- (g) DEP conducted fewer Right-to-Know Program inspections and issued fewer violations than the prior reporting period due to the reassignment of personnel from performing inspections to responding to situations involving hazardous materials. Response to several emergencies, including a gas explosion and building collapse in Brooklyn and steam explosions in Manhattan, resulted in fewer Right-to-Know Program inspections and violations issued than last year.
- (h) "Total Violations Issued" decreased due to fewer violations for the Air, Asbestos and Right-to-Know categories.
- (i) Air violations issued were below those of the same period last year due to DEP's continued effort to update and correct its permitting database. As a result, some inspections uncovered additional situations where equipment was removed without notification to DEP. In these cases, no violation is issued and the computer record is corrected.
- (j) Asbestos violations are based on observations in the field during inspections. Better compliance by asbestos contractors resulted in a decrease in the number of asbestos violations issued.
- (k) The air resolution rate is greater than the prior reporting period due to an increase in the number of violations paid or violations dismissed.
- (l) A large proportion of cases initiated in previous fiscal years was resolved during the first four months of Fiscal 2000, resulting in an unusually high asbestos case resolution rate.
- (m) Although DEP issued fewer "Right-to-Know Program" violations than the prior reporting period, the Department resolved a greater percentage of cases.
- (n) DEP's investigative work allowed its enforcement staff to locate violators and ensure proper remediation at the owner's expense, resulting in a reduction in cost of cleanup to the City.
- (o) "Case Input (Violations Issued)" increased due to more violations issued by the Department of Sanitation, which resulted in an increase in "Revenue Collected."

DEPARTMENT OF ENVIRONMENTAL PROTECTION

- (p) A data entry backlog from the previous fiscal year resulted in an artificially high "Number of Decisions" for the first four months of Fiscal 2000. DEP expects to meet the Fiscal 2001 goal.
- (q) The increase of "Net Billings" is due to continued efforts to improve quality by reducing estimated bills and increasing bills based on actual water consumption.
- (r) The Department has no control over the number of proposals or plans received. DEP expects to meet its Fiscal 2001 goal.
- (s) Site connection proposals were higher than that of the prior reporting period due to the submission of major development projects.
- (t) "Private Drainage Proposal Reviews Completed" and "Private Sewer Plan Reviews Completed" were below expectations for the first four months of Fiscal 2001. Over the past several years, there has been increased capital sewer construction in many areas, particularly Staten Island, where the majority of private systems are constructed. This may account for a slowdown in private drainage proposals and private sewer plans filed by contractors.

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT [806]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| HOUSING DEVELOPMENT | | | | | |
| o Total Starts | 7,620 | 1,328 | 12,325 | 1,255 | 12,564 |
| - Moderate Rehab | 3,970 | 469 | 8,908 | 1,008 a | 6,670 |
| - City-Owned Buildings | 1,320 | 335 | 2,502 | 381 | 2,229 |
| - Privately Owned Buildings | 2,650 | 134 | 6,406 | 627 a | 4,441 |
| - Gut Rehab | 800 | 349 | 858 | 132 b,c | 1,675 |
| - City-Owned Buildings | 435 | 312 | 428 | 101 b | 834 |
| - Privately Owned Buildings | 365 | 37 | 430 | 31 c | 841 |
| - New Construction | 2,850 | 510 | 2,559 | 115 c | 4,219 |
| o Total Completions | 7,606 | 1,400 | 8,224 | 1,181 c,d,e | 7,285 |
| - Moderate Rehab | 4,100 | 969 | 4,303 | 907 | 4,234 |
| - City-Owned Buildings | 1,411 | 177 | 1,471 | 305 d | 1,919 |
| - Privately Owned Buildings | 2,689 | 792 | 2,832 | 602 c | 2,315 |
| - Gut Rehab | 1,302 | 145 | 1,170 | 111 c,e | 801 |
| - City-owned Buildings | 348 | 103 | 832 | 33 c | 345 |
| - Privately Owned Buildings | 954 | 42 | 338 | 78 e | 456 |
| - New Construction | 2,204 | 286 | 2,751 | 163 c | 2,250 |
| o Units Assisted with Tax Incentives | 8,638 | 3,606 | * | 1,318 f | * |
| Apartments for Homeless Families and Individuals | | | | | |
| o Apartments Produced | 503 | 0 g | 497 | 55 b,e | 293 |
| - Supportive Housing Loan Program | 491 | 0 | 466 | 40 e | 246 |
| - Office of Development Programs | 0 | 0 g | 7 | 0 | 16 |
| - Other | 12 | 0 | 24 | 15 b | 31 |
| o Apartments for People with AIDS | 153 | 0 | * | 40 | * |
| HOUSING MANAGEMENT AND SALES | | | | | |
| o Buildings Sold | 136 | 9 | 252 | 58 h | 277 |
| o Buildings in Management and Sales Pipeline | 2,917 | 3,104 | 2,371 | 2,847 | 1,917 |
| o Occupied Buildings | 2,112 | 2,282 | 1,714 | 2,044 | 1,434 |
| - Units | 19,895 | 21,796 | 17,333 | 19,388 | 14,542 |
| - Occupied Units | 13,339 | 14,878 | 12,338 | 13,101 | 9,979 |
| - Occupancy Rate | 67% | 68.3% | 71.2% | 67.6% | 68.6% |
| Central Management | | | | | |
| o Buildings in Management | 2,535 | 2,667 | 1,991 | 2,481 | 1,483 |
| - Units (Estimate) | 19,908 | 21,110 | 16,245 | 19,264 | 11,458 |
| o Vacant Buildings | 805 | 822 | 657 | 803 | 483 |
| - Units (Estimate) | 6,295 | 6,481 | 5,741 | 6,241 | 4,542 |

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT [806]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Occupied Buildings | 1,730 | 1,845 | 1,334 | 1,678 | 1,000 |
| - Units | 13,613 | 14,629 | 10,504 | 13,023 | 6,916 |
| Alternative Management | | | | | |
| o Buildings in Sales Pipeline | 382 | 437 | 380 | 366 (i) | 434 |
| - Units | 6,282 | 7,167 | 6,829 | 6,365 | 7,626 |
| - Occupied Units | 4,437 | 5,310 | 4,985 | 4,634 | 5,567 |
| - Occupancy Rate | 70.6% | 74.5% | 73% | 73% | 73% |
| - Intake from Central Management Buildings | 131 | 45 j | 264 | 42 | 346 |
| - Intake from Central Management Units | 1,614 | 584 j | 3,393 | 558 | 3,917 |
| Rent Collections - All Programs | | | | | |
| o Rent Billed (000,000) | \$41.4 | \$14.7 | \$37.1 | \$13.2 | \$29.6 |
| o Rent Collected (000,000) | \$35 | \$12.7 | \$31.3 | \$11.4 | \$25.0 |
| o Average Residential Rent per Unit (per Month) | \$257 | \$247 | \$251 | \$251 | \$251 |
| Maintenance | | | | | |
| o Superintendents and Handy Persons | 771 | 806 | * | 682 k | * |
| o Building Systems Replaced | 517 | 217 | 300 | 207 | 250 |
| o Ratio of Real Property Managers to Residential Units | 1:286 | 1:232 | 1:250 | 1:293 (l) | 1:250 |
| HOUSING PRESERVATION | | | | | |
| Code Enforcement | | | | | |
| o Field Inspection Teams | 91 | 90 | 87 | 107 m | 102 |
| o Inspections per Team per Day | 9.1 | 9.7 | 9 | 8.5 | 9.0 |
| o Total Complaints Reported | 248,556 | 52,756 | 243,000 | 67,091 n | 245,000 |
| - Emergency Complaints Reported (Non-Heat) | 66,583 | 28,031 | 68,000 | 40,485 n | 69,000 |
| - Heat/Hot Water Complaints Reported | 160,050 | 17,420 | 155,000 | 17,642 | 156,000 |
| - Other Complaints Reported | 21,923 | 7,305 | 20,000 | 8,964 n | 20,000 |
| o Heat/Hot Water Complaints Requiring Inspections | 73,292 | 8,915 | * | 10,332 (o) | * |
| - Heat/Hot Water Complaints Resolved Prior to Completed Inspections | 24,576 | 3,973 | * | 4,208 | * |
| - Heat/Hot Water Inspections Completed | 49,744 | 4,942 | * | 6,122 (o) | * |
| o Total Inspections Attempted (Including Multiple Visits) | 218,026 | 74,475 | * | 79,603 | * |

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT [806]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Total Inspections Completed | 161,295 | 53,086 | 142,000 | 55,204 | 152,000 |
| o Ratio of Completed Inspections to Attempted Inspections | 74% | 71% | * | 69% | * |
| o Total Emergency Complaint Inspections Attempted | 146,104 | 40,548 | * | 50,520 p | * |
| o Total Emergency Complaint Inspections Completed | 110,588 | 29,198 | * | 35,302 p | * |
| o Violations Issued During Inspection | 295,346 | 123,803 | 310,000 | 102,478 q | 290,000 |
| o "C" Violations Issued | 52,969 | 18,676 | * | 28,845 r | * |
| o Violations Reinspected | 381,633 | 135,556 | 300,000 | 129,993 | 325,000 |
| o Total Violations Removed | 299,743 | 101,564 | 270,000 | 101,505 | 280,000 |
| - Violations Deemed Corrected (Not Inspected) | 24,350 | 8,716 | * | 8,051 | * |
| - Violations Administratively Removed | 25,577 | 8,033 | * | 18,125 s | * |
| - Total Violations Removed By Inspection (t) | 249,816 | 84,815 | * | 75,329 | * |
| Emergency Services | | | | | |
| o Work Orders Issued | 16,630 | 4,831 | 15,205 | 9,019 u | 18,000 |
| o Repairs Completed | 9,438 | 2,702 | 9,816 | 3,972 v | 11,000 |
| Lead Paint | | | | | |
| o Emergency Repair Orders Issued: Privately Owned Buildings (w) | | | | | |
| - Emergency Repair Orders Issued | 5,861 | 2,583 | 7,000 | 1,539 q | 4,500 |
| - Owner Compliance (Verified) | 1,721 | 1,225 | 3,500 | 79 x | 100 |
| - Jobs Voided or Downgraded | 1,111 | 233 | 2,000 | 400 x | 1,000 |
| - Lead Hazard Reduction Completed | 477 | 35 | 1,500 | 477 y | 1,500 |
| o Tenant Lead Surveys: City-Owned Buildings | | | | | |
| - Responses Requiring Further Action | 1,491 | 62 | 1,400 | 122 z | 1,090 |
| - Jobs Voided | 330 | 95 | 441 | 235 aa,bb | 500 |
| - Administratively Closed | 258 | 31 | 345 | 206 aa | 400 |
| - No Lead Hazard Found | 72 | 64 | 96 | 29 bb | 100 |
| - Lead Hazard Reduction Completed | 222 | 47 | 297 | 136 cc | 400 |
| o DOH Lead Cases Referred: Privately Owned Buildings | | | | | |
| - Initial Referrals | 214 | 60 | * | 109 dd | * |
| - Owner Compliance (Verified) | 235 | 34 | * | 49 dd | * |
| - Referred to DOH For Further Action | 0 | 0 | * | 0 | * |
| - Lead Hazard Reduction Completed | 101 | 33 | * | 33 | * |
| o DOH Lead Cases Referred: City-Owned Buildings | | | | | |
| - Initial Referrals | 6 | 0 | * | 0 | * |
| - Referred to DOH For Further Action | 0 | 0 | * | 0 | * |

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT [806]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Lead Hazard Reduction Completed | 6 | 3 | * | 4 dd | * |
| ANTI-ABANDONMENT | | | | | |
| Activity Related to Tax Lien Sales | | | | | |
| o Buildings Reviewed for Distress | 11,535 | 0 | * | 0 | * |
| o Buildings Recommended for Exclusion | 1,796 | 0 | * | 0 | * |
| o Buildings referred to DOF for Tax Lien Sale | 9,739 | 0 | * | 0 | * |
| Activity Related to <i>In Rem</i> Actions | | | | | |
| o Number of <i>In Rem</i> Actions Initiated Under Local Law 37 | 6 | 0 | 4 | 0 | 4 |
| o Properties Transferred | 48 | 46 | 100 | 0 ee | 100 |
| o Enforcement/Assistance for Owners/Tenants | 311 | 43 | 350 | 0 ff | 350 |
| Activity Not Related to <i>In Rem</i> Actions | | | | | |
| o Enforcement/Assistance for Owners/Tenants | 4,231 | 544 | 4,500 | 1,988 gg | 5,000 |
| o Distressed Buildings targeted for <i>In Rem</i> Action | 0 | 0 | * | 0 | * |
| Housing Education | | | | | |
| o Number of Courses Offered | 54 | 18 | 60 | 14 hh | 60 |
| o Total Enrollment in All Courses | 2,019 | 539 | 2,000 | 512 | 2,000 |
| o Average Enrollment per Course | 37 | 30 | 33 | 37 (ii) | 33 |
| o Units Completed According to Repair Agreements | 2,302 | 509 | 2,000 | 795 jj | 2,100 |
| Housing Litigation | | | | | |
| o Code Compliance Cases Opened | 12,733 | 3,583 | 12,000 | 3,475 | 12,000 |
| o Code Compliance Cases Closed | 10,915 | 5,172 | 10,000 | 4,138 kk | 10,000 |
| o Judgments and Settlements Collected (000) | \$1,840 | \$567 | \$1,800 | \$454 (ll) | \$1,800 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT

- (a) Construction starts were scheduled earlier for Fiscal 2001 than in Fiscal 2000. Additionally, the remaining scheduled starts from Fiscal 2000 that were delayed due to capital budget restrictions carried over to the reporting period.
- (b) HPD does not have direct control over starts in the Housing Trust Fund Program.
- (c) The majority of construction completions and starts for these programs are planned for late Fiscal 2001.
- (d) Due to varying construction schedules, the Division of Alternative Management Programs had more construction completions scheduled during the first four months of Fiscal 2001 than in the same reporting period in Fiscal 2000.
- (e) Construction completions were scheduled earlier in Fiscal 2001 than in Fiscal 2000.
- (f) The construction of these units is dependent on activity of the real estate market and is not under the direct control of HPD.
- (g) The 4Month Actual "Apartments Produced" indicator was reported incorrectly in the Preliminary Fiscal 2000 Mayor's Management Report.
- (h) The sale of buildings was delayed due to capital budget restrictions in the last quarter of Fiscal 2000. As a result, the number of buildings sold in the reporting period increased during the first four months of Fiscal 2001.
- (i) A larger number of buildings were sold in the first four months of Fiscal 2001 than in the same period in Fiscal 2000. As a result, the number of buildings in the sales pipeline was lower at the end of the reporting period.
- (j) The 4-Month Actual "Intake from Central Management Buildings" and "Intake from Central Management Units" indicators were reported incorrectly in the Preliminary Fiscal 2000 Mayor's Management Report.
- (k) The reduction in the number of superintendents and handypersons resulted from a decrease in the number of centrally managed properties.
- (l) As a result of attrition, there were fewer Real Property Managers on staff. However, because the number of centrally managed units is expected to continue to decrease, the ratio will be further reduced by the end of Fiscal 2001.
- (m) In Fiscal 2000 HPD hired 25 additional staff.
- (n) The number of complaints received depends on independent actions by individual households, which are largely out of the Department's control.
- (o) During the reporting period fewer conditions were verified as corrected by tenants than in the first four months of Fiscal 2000. Therefore, the Department was forced to perform additional inspections.
- (p) More inspections were conducted due to a greater number of complaints received during the reporting period.
- (q) Several special priority initiatives during the first four months of Fiscal 2001 resulted in an increase in the number of public area inspections, such as boiler rooms and general fire safety, which led to fewer apartment-specific violations.

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT

- (r) Changes mandated by Local Law 38 resulted in more lead violations issued during the reporting period.
- (s) A greater number of reviews resulted in an increase in the number of violations administratively removed from vacant, rehabilitated and demolished buildings.
- (t) Code Enforcement Squad breakdown was deleted because squads do not always remove violations according to squad name, but rather often perform inspections based on workload.
- (u) The stricter lead-based paint compliance rules created by Local Law 38 directly increased the work orders issued by HPD in the first four months of Fiscal 2001.
- (v) Due to an increase in work orders, HPD completed more repairs during the reporting period than during the previous reporting period.
- (w) Indicator name was changed to more accurately reflect activity.
- (x) The new requirements of Local Law 38, the City's new Lead Paint Law, made compliance more difficult. Specifically, inspections are more complex and remediation requirements are more stringent. All verification required an inspection. Previously, HPD accepted tenant certification that work was completed.
- (y) Since fewer owners were able to comply with the new lead paint law requirements, HPD was forced to perform more lead hazard reductions.
- (z) The Fiscal 2000 4Month Actual number included only those surveys with positive responses for both peeling paint and children 6 years of age or younger. The Fiscal 2001 4Month Actual number includes all cases where children 6 years of age or younger reside, regardless of the presence of peeling paint.
- (aa) The City's new lead paint law requires surveys of all City-owned units containing families with children ages 6 or younger, rather than only those units containing families with children ages 6 or younger with a registered complaint. The increased number of surveyed units that had not registered a complaint resulted in more jobs voided.
- (bb) The City's new lead paint law contains more stringent safety requirements. As a result, fewer jobs were voided as a result of no lead hazard found.
- (cc) HPD performed more work due to an increase in the number of responses requiring further action received during the first four months of Fiscal 2001.
- (dd) Initial Referrals increased since the Department of Health referred more cases. The larger number of referrals caused an increase in the number of owner compliances verified and lead hazard reductions completed.
- (ee) According to the Fiscal 2001 schedule for *in rem* actions, properties will be transferred later in Fiscal 2001 than were transferred during the same period in Fiscal 2000.
- (ff) According to the Fiscal 2001 schedule for *in rem* actions, enforcement/assistance for owners/tenants is directly related to when properties are transferred. Since properties will be transferred in late Fiscal 2001, enforcement/assistance for owners/tenants is planned for late Fiscal 2001.

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT

- (gg) The increase in the Division of Anti-Abandonment's activities was due in part to the two additional Anti-Abandonment site offices that were opened in Fiscal 2000. The additional staff reassigned to site offices increased overall productivity.
- (hh) Four fewer classes were offered in the reporting period than were offered in the same period of Fiscal 2000. These courses will be provided in late Fiscal 2001.
- (ii) The Housing Education Program has enhanced its outreach efforts. As a result, the number of enrollees per class has increased.
- (jj) Due to the Division of Anti-Abandonment's enhanced outreach efforts, along with HPD's enforcement of Voluntary Repair Agreement, an increase in "Units Completed According to Repair Agreements" occurred during the reporting period.
- (kk) The number of cases closed in the first four months of Fiscal 2000 were unusually large because of an intensive effort to close a backlog of tenant action cases. Although the 4Month Actual Fiscal 2001 number is still above Plan, it is more aligned with previous figures.
- (ll) The total collected during the first four months of Fiscal 2000 included payments collected from landlords for the Emergency Repairs Program (ERP). However, in the first four months of Fiscal 2001 the Department of Finance was responsible for collecting ERP payments. This change resulted in an overall drop in revenues, although the non-ERP revenues actually increased.

SCHOOL CONSTRUCTION AUTHORITY

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|-------------|----------|-------------|-------------|-------------|
| | Annual | 4-Month | Annual | 4-Month | Preliminary |
| | Actual | Actual | Plan | Actual | Plan |
| CAPITAL BUDGET PERFORMANCE INDICATORS (a) | | | | | |
| PROGRAMMATIC INDICATORS | | | | | |
| o New Schools Built - High Schools | | | | | |
| - Designs Started | 4 | 0 | 0 | 0 | by 9/01 |
| - Construction Started | 1 | 0 | 2 | 0 | by 9/01 |
| - Completed | 1 | 0 | 0 | 0 | by 9/01 |
| - Student Spaces | 836 | 0 | 0 | 0 | by 9/01 |
| o New Schools Built - Intermediate Schools | | | | | |
| - Designs Started | 3 | 0 | 0 | 0 | by 9/01 |
| - Construction Started | 1 | 0 | 2 | 0 | by 9/01 |
| - Completed | 1 | 0 | 0 | 0 | by 9/01 |
| - Student Spaces | 753 | 0 | 0 | 0 | by 9/01 |
| o New Schools Built - Elementary Schools | | | | | |
| - Designs Started | 12 | 7 | 2 | 1 b | by 9/01 |
| - Construction Started | 5 | 0 | 7 | 2 | by 9/01 |
| - Completed | 3 | 0 | 3 | 0 | by 9/01 |
| - Student Spaces | 2,448 | 0 | 1,133 | 0 | by 9/01 |
| o School Additions and Modernizations | | | | | |
| - Designs Started | 12 | 2 | 7 | 0 | by 9/01 |
| - Construction Started | 4 | 0 | 0 | 3 | by 9/01 |
| - Completed | 1 | 0 | 5 | 0 | by 9/01 |
| - Student Spaces | 0 | 0 | 1,092 | 0 | by 9/01 |
| o Transportable Projects | | | | | |
| - Designs Started | 22 | 3 | by 9/01 | 3 | by 9/01 |
| - Construction Started | 22 | 6 | by 9/01 | 1 | by 9/01 |
| - Completed | 22 | 0 | by 9/01 | 1 | by 9/01 |
| - Student Spaces | 2,882 | 0 | by 9/01 | 116 | by 9/01 |
| o Modular Buildings (c) | | | | | |
| - Designs Started | 2 | 0 | NA | NA | NA |
| - Construction Started | 10 | 1 | NA | NA | NA |
| - Completed | 10 | 0 | NA | NA | NA |
| - Student Spaces | 3,655 | 0 | NA | NA | NA |
| o Capital Improvement Projects | | | | | |
| - Total Dollar Value (000) | \$675,642 | \$49,000 | \$649,900 | \$369,862 d | by 9/01 |
| - Total Design Starts | 532 | 174 | * | 154 | by 9/01 |
| - Total Construction Starts | 512 | 144 | * | 156 | by 9/01 |
| - Total Projects Completed | 394 | 174 | * | 181 | by 9/01 |
| o Projects Completed | | | | | |
| - Completed Early | 45.7% | NA | * | NA | by 9/01 |
| - Completed On Time | 24% | NA | * | NA | by 9/01 |
| - Total | 69.7% | NA | 75% | NA | by 9/01 |

SCHOOL CONSTRUCTION AUTHORITY

- (a) Since all projects for the first three months of Fiscal 2001 were captured in the Fiscal 2000 Mayor's Management Report, four-month actual figures represent October 2000 projects only. Planned monthly targets for project completions differ from year to year, accounting for variances between Fiscal 2000 and Fiscal 2001 four-month actuals.
- (b) The Board of Education assigned SCA fewer Elementary School projects in Fiscal 2001 than in Fiscal 2000.
- (c) The Board of Education ended the modular program in Fiscal 2000.
- (d) The four-month "Total Dollar Value" increased because the number of SCA projects increased. In addition, many bids came in higher due to market conditions.

NEW YORK CITY HOUSING AUTHORITY

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Total Employees: | 14,867 | 14,919 | 14,920 | 14,915 | 14,680 |
| HOUSING SERVICES | | | | | |
| o Applications Received (000) | 86 | 30 | 89 | 31 | 100 |
| - Conventional Housing (000) | 71 | 25 | 75 | 22 | 75 |
| - Section 8 (000) | 15 | 4 | 14 | 9 a | 25 |
| o Net Families on Waiting List (000) | 355 | 343 | 352 | 365 | 360 |
| - Conventional Housing (000) | 136 | 126 | 135 | 141 | 140 |
| - Section 8 (000) | 219 | 217 | 217 | 224 | 220 |
| o Applicants Placed | 11,554 | 3,319 | 15,500 | 3,599 | 17,500 |
| - Conventional Housing | 7,498 | 2,182 | 7,500 | 2,710 b | 7,500 |
| - Section 8 | 4,056 | 1,137 | 8,000 | 889 c | 10,000 |
| Conventional Housing | | | | | |
| o Public Housing Projects Operated | 346 | 344 | 346 | 346 | 345 |
| - Buildings | 2,710 | 2,987 | 2,685 | 2,985 | 2,975 |
| - Apartments (000) | 181 | 181 | 181 | 181 | 181 |
| o Occupancy Rate | 99.4% | 99.4% | 99.5% | 99.3% | 99.3% |
| o Average Vacancy Loss Days per Turnover | 16.8% | 16.3% | 16.5% | 17.2% | 17% |
| o Apartments Vacated | 4.65% | 4.0% | 4.5% | 4.2% | 4.5% |
| o Rent Billed (000) | \$587,000 | \$191,642 | \$587,000 | \$198,823 | \$591,089 |
| o Rent Collected (000) | \$578,778 | \$188,118 | \$580,000 | \$191,439 | \$580,000 |
| o Average Rent per Dwelling Unit | \$272 | \$265 | \$264 | \$275 | \$272 |
| o Management Cost/Dwelling Unit (Dollars/Month) | \$619 | \$600 | \$622 | \$623 | \$660 |
| o Total Rent Delinquency Rate | 4.7% | 5.7% | 4.7% | 5.1% | 5.2% |
| - Among Tenants Receiving Public Rent Subsidies | 4.6% | 6% | 5% | 5% | 5% |
| o Court Appearances for Nonpayment of Rent | 20,373 | 5,924 | 19,000 | 7,311 d | 22,030 |
| Section 8 | | | | | |
| o Occupied Units | | | | | |
| - Certificates and Vouchers | 75,171 | 75,868 | 78,684 | 74,879 | 81,500 |
| o Tenants Leaving Program | 5,107 | 1,327 | 5,200 | 1,279 | 5,500 |

NEW YORK CITY HOUSING AUTHORITY

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Turnover Rate | 6.8% | 4.8% | 6.5% | 6.9% e | 6.5% |
| o Owners Participating | 25,636 | 26,030 | 26,000 | 25,526 | 25,000 |
| Rentals to Homeless Families & Individuals | | | | | |
| o Conventional Housing (City Referred) | 1,417 | 533 | 1,564 | 762 f | 1,564 |
| - DHS Rentals | 920 | 366 | 710 | 579 f | 710 |
| - HRA/HASA Rentals | 70 | 15 | 144 | 26 f | 144 |
| - HPD Rentals | 427 | 152 | 710 | 157 | 710 |
| o Conventional Housing (Non-City Referred Rentals) | 177 | 299 | * | 411 g | * |
| o Section 8 Housing Subsidy | | | | | |
| - EARP | 2,417 | 759 | 2,700 | 443 h | 2,700 |
| - Non-EARP | 115 | 19 | * | 97 (i) | * |
| Maintenance | | | | | |
| o Complaints (Citywide) | | | | | |
| - Emergencies | 88,218 | 25,181 | 90,000 | 30,481 j | 91,000 |
| - Elevator | 77,221 | 29,243 | 81,200 | 25,475 | 78,000 |
| o Average Time to Resolve/Abate Complaints (Citywide) | | | | | |
| - Emergencies (Days) | 1 | 1 | 1 | 1 | 1 |
| - Elevator (Hours) | 5.5 | 5.3 | 5 | 5.3 | 5 |
| - Other (Days) | 21 | 16 | 25 | 13 k | 25 |
| o Work Tickets | | | | | |
| - Received | 1,918,939 | 569,459 | 2,000,000 | 637,945 | 2,000,000 |
| - Completed | 1,932,793 | 569,187 | 2,000,000 | 661,481 k | 2,050,000 |
| - Open Tickets | 77,615 | 136,115 | 130,000 | 67,493 k | 90,000 |
| o Average Number of Days to Prepare Vacant Apartments | 14 | 13 | 18 | 12 | 18 |
| SOCIAL AND COMMUNITY SERVICES | | | | | |
| o Authority-Operated Community Centers | 115 | 111 | 117 | 118 | 121 |
| - Average Daily Attendance | 5,387 | 5,333 | 5,500 | 5,613 | 5,800 |
| o Sponsored Community Centers | 46 | 41 | 52 | 48 (l) | 49 |
| - Average Daily Attendance | 5,536 | 7,280 | 5,800 | 5,821 m | 5,900 |
| o Authority-Operated Senior Citizen Programs | 37 | 37 | 37 | 37 | 37 |
| - Seniors Registered (Average) | 4,674 | 4,310 | 4,500 | 4,135 | 4,500 |

NEW YORK CITY HOUSING AUTHORITY

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 Preliminary Plan |
|---|------------------|-------------------|----------------|-------------------|------------------------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | |
| o Tenant Patrol Supervisors (Part-Time) | 208 | 218 | 214 | 205 | 213 |
| - Buildings Patrolled | 806 | 841 | 830 | 809 | 825 |

NEW YORK CITY HOUSING AUTHORITY

- (a) The number of applications received is largely out of the Authority's control.
- (b) More applicants were placed in conventional housing due to an increased turnover rate.
- (c) The decrease in Section 8 applicants placed is due to a backlog of certified Section 8 residents, causing a delay in processing new Section 8 applicants.
- (d) During the reporting period housing courts began to schedule a greater number of nonpayment cases each week. This was done to shorten the length of time between the date the tenant answers a Nonpayment Petition and the date the case is first scheduled in court.
- (e) The increase in the Section 8 turnover rate is due to tenants being removed from the program for not adhering to federal housing requirements.
- (f) More applications were received from the Department of Homeless Services (DHS) and the Human Resources Administration HIV/AIDS Services Administration (HRA/HASA), which have contributed to a higher number of conventional housing placements.
- (g) The number of homeless families who have applied for and have been placed in public housing without the assistance of other City agencies is largely beyond NYCHA's control.
- (h) During the reporting period there was a decline in the number of successful housing referrals, as many failed to meet NYCHA's inspection criteria.
- (i) The increase in Non-EARP placements was due to a greater number of applicants being drawn from the Authority's waiting list.
- (j) The number of emergency complaints is largely out of the Authority's control.
- (k) There has been a special initiative to review and complete outstanding skilled trade work orders, which generally consist of plumbing, painting and electrical maintenance work.
- (l) The number of sponsored community centers was reported incorrectly during the previous reporting period.
- (m) Resident attendance at "Sponsored Community Centers" is voluntary and beyond the Authority's control.

DEPARTMENT OF DESIGN AND CONSTRUCTION [850]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| AGENCY PROJECTS / CONTRACTS | | | | | |
| All Projects | | | | | |
| o Projects Started | 1,231 | 186 | 491 | 233 a | * |
| - Design | 640 | 76 | 187 | 103 a | * |
| - Construction | 591 | 110 | 304 | 130 a | * |
| o Projects Completed | 641 | 192 | 325 | 174 | * |
| - Completed Early | 59% | 60% | 32% | 63% | * |
| - Completed On Time | 29% | 34% | 45% | 24% b | * |
| - Completed Late | 12% | 6% | 23% | 13% b | * |
| o Projects Completed - Total Dollar Value of Construction (000,000) | \$815 | NI | * | \$339 | * |
| - Completed Early | \$293 | NI | * | \$157 | * |
| - Completed On Time | \$312 | NI | * | \$72 | * |
| - Completed Late | \$210 | NI | * | \$110 | * |
| PROJECT TIMELINESS | | | | | |
| o Projects Completed Under \$500,000 | 433 | 105 | 145 | 72 c | * |
| - Completed Early | 294 | 57 | 49 | 39 c | * |
| - Completed On Time | 115 | 46 | 68 | 25 c | * |
| - Completed Late | 24 | 2 | 28 | 8 c | * |
| o Projects Completed Between \$500,000 and \$1 Million | 48 | 22 | 47 | 21 | * |
| - Completed Early | 22 | 12 | 13 | 16 d | * |
| - Completed On Time | 12 | 4 | 18 | 3 d | * |
| - Completed Late | 14 | 6 | 16 | 2 d | * |
| o Projects Completed Between \$1 Million and \$5 Million | 124 | 55 | 100 | 64 c | * |
| - Completed Early | 58 | 41 | 32 | 47 | * |
| - Completed On Time | 42 | 11 | 43 | 8 c | * |
| - Completed Late | 24 | 3 | 25 | 9 c | * |
| o Projects Completed Greater than \$5 Million | 36 | 10 | 33 | 17 c | * |
| - Completed Early | 7 | 5 | 8 | 7 c | * |
| - Completed On Time | 16 | 3 | 12 | 5 c | * |
| - Completed Late | 13 | 2 | 13 | 5 c | * |
| o Average Construction Duration of Projects (Days) | 312 | 221 | 371 | 251 | * |
| Structures Projects | 284 | NI | 343 | 188 | * |
| - Under \$500,000 | 187 | NI | 231 | 165 | * |
| - Between \$500,000 and \$1 Million | 430 | NI | 525 | 211 | * |
| - Between \$1 Million and \$5 Million | 276 | NI | 418 | 199 | * |
| - Greater than \$5 Million | 807 | NI | 675 | 265 | * |
| Infrastructure Projects | 421 | NI | 442 | 577 | * |

DEPARTMENT OF DESIGN AND CONSTRUCTION [850]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---------------------------------------|-------------|---------|-------------|---------|-------------|
| | Annual | 4-Month | Annual | 4-Month | Preliminary |
| | Actual | Actual | Plan | Actual | Plan |
| o Sewer & Water | 436 | NI | 403 | 453 | * |
| - Under \$500,000 | 236 | NI | 227 | 97 | * |
| - Between \$500,000 and \$1 Million | 319 | NI | 300 | 269 | * |
| - Between \$1 Million and \$5 Million | 448 | NI | 419 | 397 | * |
| - Greater than \$5 Million | 868 | NI | 771 | 909 | * |
| o Street | 360 | NI | 528 | 775 | * |
| - Under \$500,000 | 143 | NI | 0 | NI | * |
| - Between \$500,000 and \$1 Million | 397 | NI | 190 | NI | * |
| - Between \$1 Million and \$5 Million | 351 | NI | 518 | 647 | * |
| - Greater than \$5 Million | 573 | NI | 713 | 904 | * |
| PROGRAMMATIC INDICATORS | | | | | |
| o Lane Miles Reconstructed | 20.1 | 10.9 | 52.1 | 9.6 | * |
| - Bronx | 0 | 0 | 0 | 0 | * |
| - Brooklyn | 9.9 | 3 | 11.8 | 0 e | * |
| - Manhattan | 0 | 0 | 1.6 | 1.6 | * |
| - Queens | 9.1 | 7.9 | 35.5 | 8 | * |
| - Staten Island | 1.1 | 0 | 3.2 | 0 | * |
| o Lane Miles Reconstructed | | | | | |
| - Designs Started | 38.8 | 12.3 | 25.2 | 2.9 e | * |
| - Construction Started | 63.6 | 38.2 | 46.3 | 20.4 e | * |
| - Completed | 20.1 | 10.9 | 52.1 | 9.6 | * |
| - Completed on Schedule | 83% | 100% | 78% | 50% e | * |
| o Lane Miles Resurfaced: Contract | 74.5 | 26.5 | NA | 4.4 e | NA |
| - Bronx | 18 | 8.3 | NA | 0 e | NA |
| - Brooklyn | 21.2 | 0.2 | NA | 0 e | NA |
| - Manhattan | 20.9 | 17.7 | NA | 0 e | NA |
| - Queens | 7.5 | 0.3 | NA | 1.7 e | NA |
| - Staten Island | 6.9 | 0 | NA | 2.7 e | NA |
| o Sewers Constructed (Miles) | | | | | |
| - Designs Started | 24 | 7.5 | 3.9 | 1.7 e | * |
| - Construction Started | 21.6 | 8.2 | 19.7 | 5.8 e | * |
| - Construction Completed | 14.2 | 0.6 | 37.9 | 8.1 e | * |
| o Sewers Reconstructed (Miles) | | | | | |
| - Designs Started | 24.2 | 3.4 | 8.8 | 4.9 e | * |
| - Construction Started | 23.8 | 9.2 | 35.8 | 13.3 e | * |
| - Construction Completed | 26.2 | 13.2 | 29.1 | 5.2 e | * |
| o Water Mains Replaced (Miles) | | | | | |
| - Designs Started | 44.8 | 27.9 | 13 | 4.4 e | * |
| - Construction Started | 51.4 | 24.7 | 86.5 | 50.8 e | * |
| - Construction Completed | 62 | 15.5 | 67.4 | 18.8 e | * |

DEPARTMENT OF DESIGN AND CONSTRUCTION [850]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| PROJECT MANAGEMENT | | | | | |
| o Number of Current Construction Contracts | 942 | 1,120 | 960 | 888 f | * |
| o Total Dollar Value of Current Construction (000,000) Contracts (Original Maximum Contract Amount) | \$1,507 | \$2,668 | \$1,600 | \$1,400 f | * |
| o Infrastructure Projects (\$000,000) | \$1,789.8 | \$1,668.7 | * | \$1,554 | * |
| - Under \$500,000 | 60 | 102 | * | 49 g | * |
| - Between \$500,000 and \$1 Million | 55 | 62 | * | 50 g | * |
| - Between \$1 Million and \$5 Million | 174 | 162 | * | 133 g | * |
| - Greater than \$5 Million | 105 | 94 | * | 98 | * |
| o Structures Projects (\$000,000) | \$2,240.9 | \$2,028.7 | * | \$2,101.3 | * |
| o Health and Human Services (\$000,000) | \$151.5 | \$129.3 | * | \$148.4 | * |
| - Under \$500,000 | 67 | 48 | * | 66 g | * |
| - Between \$500,000 and \$1 Million | 21 | 17 | * | 19 | * |
| - Between \$1 Million and \$5 Million | 29 | 29 | * | 29 | * |
| - Greater than \$5 Million | 7 | 6 | * | 6 | * |
| o Schools (\$000,000) | \$414.9 | \$155.4 | * | \$326.2 g | * |
| - Under \$500,000 | 55 | 68 | * | 28 g | * |
| - Between \$500,000 and \$1 Million | 28 | 8 | * | 16 g | * |
| - Between \$1 Million and \$5 Million | 114 | 47 | * | 62 g | * |
| - Greater than \$5 Million | 13 | 2 | * | 12 g | * |
| o Public Safety (\$000,000) | \$1,310.4 | \$1,409.9 | * | \$1,319 | * |
| - Under \$500,000 | 138 | 161 | * | 93 g | * |
| - Between \$500,000 and \$1 Million | 18 | 27 | * | 12 g | * |
| - Between \$1 Million and \$5 Million | 34 | 50 | * | 30 g | * |
| - Greater than \$5 Million | 52 | 52 | * | 49 | * |
| o Cultural Institutions (\$000,000) | \$405 | \$334.1 | * | \$307.7 | * |
| - Under \$500,000 | 166 | 131 | * | 82 g | * |
| - Between \$500,000 and \$1 Million | 42 | 38 | * | 26 g | * |
| - Between \$1 Million and \$5 Million | 66 | 55 | * | 50 g | * |
| - Greater than \$5 Million | 15 | 13 | * | 13 | * |
| o Average Percentage Increase/Decrease for All Completed Construction Contracts (Excluding Programmatic Scope Changes) | 1.5% | 1.9% | 6% | 2.3% h | * |
| o Number of Current Consultant Design and Construction Supervision Contracts | 454 | 654 | 475 | 549 f | * |
| o Total Dollar Value of Current Consultant Design and Supervision Contracts (000,000) | \$935 | \$219 | \$990 | \$1,148 f | * |

DEPARTMENT OF DESIGN AND CONSTRUCTION [850]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Average Percentage Increase/Decrease for All Completed Consultant Design and Construction Supervision Contracts (Excluding Programmatic Scope Changes) | 1% | 1.5% | 6% | 0.5% (i) | * |
| o Number of Prequalified Consultants | 675 | 799 | 664 | 670 j | * |
| - Architectural | 393 | 455 | 385 | 384 j | * |
| - Engineering | 217 | 262 | 217 | 225 | * |
| - Construction Management | 65 | 82 | 62 | 61 j | * |
| o Number of DDC Registered Architects | 42 | 47 | 50 | 43 | * |
| o Number of DDC Professional Engineers | 175 | 180 | 186 | 170 | * |
| o Percentage of Projects Audited | 68% | 29% | 65% | 52% k | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF DESIGN AND CONSTRUCTION

- (a) The number of projects is determined by the needs and priorities of client agencies.
- (b) Various programmatic issues, such as scope changes, weather interference and defaults resulted in a lower percentage of "Projects Completed On Time."
- (c) Due to portfolio changes by clients, the number of projects in each monetary category vary from year to year, affecting the number of completions and the distribution of on-time project performance in each category.
- (d) Fewer projects were completed late due to improved project management and tracking through the use of the Department's Key Performance Indicators (KPIs).
- (e) The number of projects is determined by the needs and priorities of client agencies.
- (f) A shift in contracting methods to Construction Manager/Build and Requirements Contracts implemented by DDC resulted in fewer construction contracts, but an increase in the value of consultant contracts with a consequent higher total dollar value. Additionally, DDC implemented a new contract data system since the prior reporting period, resulting in more accurate contract data.
- (g) Due to portfolio changes by clients, the dollar value of each program and the number of projects in each monetary category may vary from year to year, affecting the number of completions in each category.
- (h) Although the "Average Percentage Increase/Decrease for All Completed Construction Contracts" was higher than it was for the same period in Fiscal 2000, the percentage remains significantly below the Annual Plan.
- (i) Better project management and improved coordination have resulted in decreased budget variance.
- (j) DDC revised its existing list of prequalified consultants by re-evaluating contractors to ensure requirements were upheld and to eliminate duplicate listings.
- (k) The increase in the percentage of projects audited was due to greater efficiency, resulting from the use of new technology for preparing and transmitting reports.

DEPARTMENT OF SANITATION [827]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| COMMUNITY SERVICES | | | | | |
| o Complaints Received | 7,203 | 2,302 | 8,000 | 1,832 a | 7,600 |
| o Average Response Time (Days) | | | | | |
| - Written Complaints | 7.7 | 6.8 | 8 | 9.1 b | 8 |
| - Telephone Complaints | 2.1 | 2.2 | 2 | 1.9 | 2 |
| STREET CLEANING | | | | | |
| o Percent of Streets Rated Acceptably Clean | 86.7% | 89.3% | 83% | 86.5% | 83% |
| o Number of Districts (59) | | | | | |
| - Rated between 0.0-32.9 | 0 | 0 | 0 | 0 | 0 |
| - Rated between 33.0-49.9 | 0 | 0 | 0 | 0 | 0 |
| - Rated between 50.0-66.9 | 1 | 0 | 0 | 0 | 0 |
| - Rated between 67.0-100.0 | 58 | 59 | 59 | 59 | 59 |
| o Number of 230 DOS Sections Rated between 0.0-66.9 | 7 | 4 | 0 | 13 c | 0 |
| o Streets Rated Filthy | 1.3% | 0.7% | * | 1.2% c | * |
| o Mechanical Broom Routes Scheduled | 46,341 | 17,354 | * | 17,725 | * |
| o Mechanical Broom Operations Routes Completed | 99.6% | 99.0% | 99.5% | 94.8% | 99.4% |
| COLLECTION | | | | | |
| o Tons of Refuse Collected (000) | 2,999 | 997 | 2,996 | 990 | 2,987 |
| o Tons Per-Truck-Shift | | | | | |
| - Refuse (Curbside) | 10 | 10.3 | 10 | 10.3 | 10 |
| - Recycling (Curbside) | 5.9 | 6.3 | 5.8 | 5.9 | 6.0 |
| o Collections Made at Night | 15.4% | 6.4% | 15.1% | 7.7% d | 17.9% |
| o Percent of Refuse Uncollected Daily (Normal Weeks) | 1.2% | 0.2% | 1.2% | 0.1% | 1.2% |

DEPARTMENT OF SANITATION [827]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| DERELICT VEHICLE OPERATIONS | | | | | |
| o Derelict Vehicles Removed | 9,728 | 4,315 | 9,000 | 4,065 | 8,600 |
| o Percent of Tagged Vehicles Reached Within Three Workdays | 99% | 99% | 99% | 99% | 99% |
| VACANT LOT PROGRAM | | | | | |
| o Total Vacant Lots Cleaned | 5,665 | 1,789 | 5,000 | 1,917 | 5,000 |
| - City-owned | 4,508 | 1,403 | 4,100 | 1,429 | 4,100 |
| - Private | 1,157 | 386 | 900 | 488 e | 900 |
| WASTE DISPOSAL | | | | | |
| o Percent of Tons Received for Disposal | | | | | |
| - Truckfills | 5% | 9.6% | 1.9% | 1.4% f | 0% |
| - Marine Transfer Stations | 46.6% | 55.7% | 25.6% | 37.8% f | 0% |
| - Non-City Permitted Locations | 48.4% | 34.7% | 72.5% | 60.8% f | 100% |
| o Tons Disposed (000) | 3,720.6 | 1,235.8 | 3,661.4 | 1,266.4 | 3,413 |
| - By the Department at Fresh Kills | 1,624.2 | 731.6 | 799.5 | 429.1 f | 0 |
| - By Private Carters at Fresh Kills | 3.6 | 2.7 | 1 | 0.1 g | 0 |
| - Other at Fresh Kills | 292.6 | 73.3 | 207.9 | 67.4 f | 0 |
| - By the Department at Non-City Permitted Locations | 1,800.1 | 428.3 | 2,652.9 | 769.8 f | 3,413 |
| RECYCLING | | | | | |
| o Total Tons Recycled per Day | 6,401 | 5,915 | 4,755 | 6,861 h | 5,282 |
| Department Programs | | | | | |
| o Curbside Residential and Institutional Recycling | | | | | |
| - Metal, Glass, and Plastic | 977 | 974 | 994 | 1,043 | 1,221 |
| - Newspapers, Cardboard, and Paper Products | 1,356 | 1,314 | 1,400 | 1,348 | 1,716 |
| o City Agency Office Paper | 11 | 11 | 13 | 11 | 11 |
| o Indirect, Institutional and Other | 3,658 | 3,046 | 1,886 | 3,814 h | 1,911 |
| o Bulk Recycling | 38 | 44 | 46 | 37 | 37 |
| Private Sector Programs | | | | | |
| o Commercial Technical Assistance | 361 | 526 | 416 | 608 (i) | 386 |

DEPARTMENT OF SANITATION [827]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Total DOS Waste Stream Recycling Diversion Rate | 35.1% | 32.8% | 28.8% | 35.6% | 32.6% |
| - Total Residential Recycling Diversion Rate | 19.7% | 19.2% | 20.2% | 19.9% | 25% |
| ENFORCEMENT | | | | | |
| o Total ECB Violation Notices Issued | 446,186 | 150,215 | 332,536 | 166,484 | 352,536 |
| - Enforcement Agents | 179,023 | 62,669 | 156,999 | 64,725 | 156,999 |
| - Sanitation Police | 19,636 | 5,417 | 13,163 | 6,828 j | 13,163 |
| - Recycling Police (Total) | 134,577 | 44,969 | 102,374 | 51,623 | 102,374 |
| - Recycling Summonses | 95,639 | 32,890 | 69,316 | 33,255 | 69,316 |
| - Other Summonses | 38,938 | 12,079 | 33,058 | 18,368 k | 33,058 |
| - Other Sanitation Personnel | 112,950 | 37,160 | 60,000 | 43,308 (l) | 80,000 |
| o ECB Violation Notices Issued Per Day Per Enforcement Agent | 16.2 | 16.4 | 13.5 | 16.1 | 13.5 |
| o Sanitation Police | | | | | |
| - Illegal Dumping Violation Notices Issued | 647 | 245 | 441 | 273 | 441 |
| - Vehicles Impounded | 254 | 94 | 172 | 111 j | 172 |
| o Environmental Unit | | | | | |
| - Chemical Cases | 3 | 0 | * | 1 | * |
| - Chemical Summonses | 0 | 0 | * | 0 | * |
| - Medical Cases | 16 | 3 | * | 9 m | * |
| - Medical Summonses | 10 | 4 | * | 2 | * |
| - Asbestos Cases | 18 | 8 | * | 9 | * |
| - Asbestos Summonses | 12 | 1 | * | 13 n | * |
| PROGRAMMATIC INDICATORS | | | | | |
| o Cleaning and Collection | | | | | |
| Vehicles Purchased | 898 | DNA | 675 | DNA | by 9/01 |
| - Dollar Amount (000) | 126,076 | DNA | 103,650 | DNA | by 9/01 |
| o Recycling Vehicle and Equipment Purchases | 39 | DNA | 52 | DNA | by 9/01 |
| - Dollar Amount (000) | 6,974 | DNA | 6,354 | DNA | by 9/01 |
| o Facility Construction | | | | | |
| - Dollar Amount (000) | 39,363 | DNA | 24,576 | DNA | by 9/01 |
| - Design Started | 5 | DNA | 4 | DNA | by 9/01 |
| - Construction Started | 4 | DNA | 3 | DNA | by 9/01 |
| - Projects Completed | 4 | DNA | 3 | DNA | by 9/01 |

DEPARTMENT OF SANITATION [827]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Marine Transfer Station Reconstruction | | | | | |
| - Dollar Amount (000) | 10,481 | DNA | 3,022 | DNA | by 9/01 |
| - Design Started | 1 | DNA | 1 | DNA | by 9/01 |
| - Construction Started | 1 | DNA | 1 | DNA | by 9/01 |
| - Projects Completed | 3 | DNA | 2 | DNA | by 9/01 |
| o Solid Waste Management and Recycling Plant Construction | | | | | |
| - Dollar Amount (000) | 304 | DNA | 3,595 | DNA | by 9/01 |
| - Design Started | 1 | DNA | 1 | DNA | by 9/01 |
| - Construction Started | 1 | DNA | 1 | DNA | by 9/01 |
| - Projects Completed | 1 | DNA | 1 | DNA | by 9/01 |
| o Landfill Construction and Environmental Improvements Projects | | | | | |
| - Dollar Amount (000) | 4381 | DNA | 28,645 | DNA | by 9/01 |
| - Design Started | 0 | DNA | 0 | DNA | by 9/01 |
| - Construction Started | 1 | DNA | 1 | DNA | by 9/01 |
| - Projects Completed | 1 | DNA | 1 | DNA | by 9/01 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF SANITATION

- (a) The decrease is due to the transition from biweekly to weekly recycling.
- (b) This increase is due to a temporary backlog in processing complaints.
- (c) The increase is associated with reduced street cleaning personnel.
- (d) As a result of the export program, some districts in Manhattan operated extra collection trucks at night to complete their assigned routes.
- (e) Due to concerns pertaining to the West Nile Virus, the Department was able to successfully obtain warrants to clean private lots.
- (f) As a result of the continued expansion of the export program, tonnage has been reallocated from the landfill and Marine Transfer Stations to the export facilities.
- (g) In preparation for the closure of the landfill, private carters have found other means of disposal.
- (h) The increase is due to the number and size of construction projects.
- (i) More road-building material was recycled during the first four months of Fiscal 2001 than the prior reporting period.
- (j) The illegal dumping unit issued more illegal dumping violations, impounded more vehicles and issued more Health and Administrative Code summonses.
- (k) An increase in the number of available Recycling Police Officers resulted in more summonses issued.
- (l) The Bureau of Cleaning and Collection supervisors issued more summonses in Fiscal 2001 than the prior reporting period.
- (m) The increase is due to more Local Law 75 inspections by the Environmental Enforcement Unit.
- (n) The increase is due to the issuance of multiple summonses at single sites and the follow-up on cases, opened in the previous years, which required further investigation.

DEPARTMENT OF PARKS AND RECREATION [846]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| OPERATIONS [002, 006] | | | | | |
| o Full-Time Employees | 1,038 | 960 | 929 | 1,012 | 929 |
| o Property Condition Survey - Total Sites Inspected | 2,739 | 921 | 2,700 | 1,216 a | 2,700 |
| o Citywide Acceptability Rating for the Overall Condition of Small Parks, Playgrounds, Greenstreets and Sitting Areas | 89% | 86% | 80% | 87% | 80% |
| o Citywide Acceptability Rating for the Cleanliness of Small Parks, Playgrounds, Greenstreets and Sitting Areas | 96% | 95% | 93% | 92% | 93% |
| o Acceptable by Feature (Small Parks, Playgrounds, and Sitting Areas) | | | | | |
| - Litter | 87% | 88% | 87% | 85% | 87% |
| - Glass | 97% | 95% | 90% | 97% | 90% |
| - Graffiti | 93% | 91% | 90% | 93% | 90% |
| - Weeds | 98% | 98% | 90% | 95% | 90% |
| - Sidewalks | 96% | 94% | 85% | 97% | 85% |
| - Pavement | 85% | 84% | 75% | 89% | 75% |
| - Safety Surface | 85% | 84% | 80% | 87% | 80% |
| - Play Equipment | 86% | 86% | 80% | 86% | 80% |
| - Benches | 96% | 94% | 85% | 97% | 85% |
| - Fences | 95% | 94% | 85% | 95% | 85% |
| - Lawns | 82% | 82% | 75% | 85% | 75% |
| - Trees | 92% | 90% | 90% | 93% | 90% |
| - Athletic Fields | 63% | * | * | 89% | * |
| - Horticultural Areas | 93% | * | * | 92% | * |
| - Trails | * | * | * | 100% | * |
| - Water Bodies | * | * | * | 100% | * |
| o Citywide Acceptability Rating for the Overall Condition of Large Parks (b) | * | * | * | 67% | 70% |
| o Citywide Acceptability Rating for the Cleanliness of Large Parks (b) | * | * | * | 76% | 75% |
| o Acceptable by Feature (Large Parks) (b) | | | | | |
| - Litter | * | * | * | 58% | * |
| - Glass | * | * | * | 90% | * |
| - Graffiti | * | * | * | 88% | * |
| - Weeds | * | * | * | 78% | * |
| - Sidewalks | * | * | * | 91% | * |
| - Pavement | * | * | * | 74% | * |
| - Benches | * | * | * | 87% | * |

DEPARTMENT OF PARKS AND RECREATION [846]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Fences | * | * | * | 89% | * |
| - Lawns | * | * | * | 89% | * |
| - Trees | * | * | * | 79% | * |
| - Athletic Fields | * | * | * | 90% | * |
| - Horticultural Areas | * | * | * | 96% | * |
| - Trails | * | * | * | 88% | * |
| - Water Bodies | * | * | * | 93% | * |
| o Comfort Stations | 1,494 | 1,494 | 1,491 | 1,491 | 1,491 |
| - In Service | 76% | 82% | 77% | 85% | 77% |
| o Tennis | | | | | |
| - Tennis Courts | 551 | 551 | 563 | 563 | 563 |
| - Permits Sold | 22,015 | 1,966 | 19,000 | 3,227 c | 19,000 |
| o Ice Skating | | | | | |
| - Skating Rinks | 6 | 6 | 6 | 6 | 6 |
| - Attendance at Skating Rinks | 755,620 | 75,000 | 775,000 | 78,000 | 775,000 |
| - Concession Revenue | \$1,364,599 | \$270,533 | \$1,423,375 | \$340,000 d | \$1,423,375 |
| o Ballfields | | | | | |
| - Total Ball Fields | 617 | 616 | 615 | 615 | 615 |
| o Swimming Pools | | | | | |
| - Total Pools | 43 | 43 | 43 | 43 | 43 |
| - Outdoor Pools | 33 | 33 | 33 | 33 | 33 |
| - Attendance at Olympic and Intermediate Pools | 1,204,200 | 1,204,200 | * | 860,563 e | * |
| o Public Complaints | | | | | |
| - Complaints Received | 2,842 | 1,294 | * | 1,267 | * |
| - Bronx | 517 | 169 | * | 259 f | * |
| - Brooklyn | 899 | 439 | * | 275 g | * |
| - Manhattan | 877 | 451 | * | 565 f | * |
| - Queens | 245 | 94 | * | 31 g | * |
| - Staten Island | 304 | 141 | * | 137 | * |
| - Complaints Resolved | 2,607 | 1,204 | * | 1,149 | * |
| o Summons Issuance | 12,635 | 5,210 | 14,000 | 5,183 | 14,000 |
| - Parking Violations | 7,471 | 3,053 | 7,500 | 3,024 | 7,500 |
| - Health & Administrative Code Violations | 5,164 | 2,026 | 6,500 | 2,159 | 6,500 |
| o Abandoned Vehicles Removed | 331 | 91 | * | 111 h | * |
| o Urban Park Services | | | | | |
| - Full-Time Employees | 254 | 249 | 272 | 259 | 272 |
| - Cost of Reported Vandalism (000) | \$711 | \$266 | * | \$129 (i) | * |

DEPARTMENT OF PARKS AND RECREATION [846]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| FORESTRY [002, 006] | | | | | |
| o Full-Time Employees | 73 | 73 | 80 | 69 | 80 |
| o Public Service Requests Received | 49,449 | 22,809 | * | 17,460 j | * |
| - Tree Removal | 10,445 | 4,412 | * | 4,011 | * |
| - Pruning | 7,561 | 3,712 | * | 2,928 k | * |
| - Stump Removal | 712 | 319 | * | 145 (l) | * |
| - Other | 30,731 | 14,367 | * | 10,376 m | * |
| o Trees Removed | 7,458 | 3,581 | 8,000 | 2,766 n | 8,000 |
| - Within 30 Days | 99% | * | 90% | 99% | 90% |
| o Trees Pruned | 50,454 | 10,334 | 45,000 | 9,967 | 45,000 |
| o Stumps Removed | 10,046 | 1,370 | 4,500 | 2,558 (o) | 4,500 |
| o Cumulative Work Order Backlog | 1,584 | 3,948 | 5,000 | 2,196 p | 5,000 |
| - Annual Tree Removal | 0 | NA | 0 | 0 | 0 |
| - Pruning | 0 | 0 | 0 | 0 | 0 |
| - Stump Removal | 1,584 | 3,923 | 5,000 | 2,196 p | 5,000 |
| RECREATION [004, 009] | | | | | |
| o Full-Time Employees | 85 | 91 | 109 | 80 | 109 |
| o Recreation Centers Total Attendance | 2,896,185 | 997,682 | 2,000,000 | 967,393 | 2,000,000 |
| - Bronx | 291,801 | 107,536 | * | 97,259 | * |
| - Brooklyn | 634,088 | 244,984 | * | 227,235 | * |
| - Manhattan | 1,464,060 | 470,209 | * | 492,937 | * |
| - Queens | 391,336 | 144,212 | * | 138,848 | * |
| - Staten Island | 114,900 | 30,741 | * | 11,114 q | * |
| o Attendance at Recreation Centers | | | | | |
| - Adult | 51% | 44% | * | 48% | * |
| - Youth | 27% | 31% | * | 25% q | * |
| - Senior | 9% | 9% | * | 9% | * |
| - Visitor | 8% | 10% | * | 5% r | * |
| - Recreation Center Special Events | 6% | 6% | * | 12% s | * |
| o Citywide Special Events and Tournaments Held by DPR | 1,330 | 433 | 1,000 | 543 t | 1,000 |

DEPARTMENT OF PARKS AND RECREATION [846]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| TECHNICAL SERVICES [002, 006] | | | | | |
| o Full-Time Employees | 224 | 244 | 262 | 243 | 262 |
| o Work Orders Completed | | | | | |
| - Facility Repair | 84% | 77% | 80% | 78% | 80% |
| - Fix-It Crews | 99% | 95% | 90% | 87% | 90% |
| PROGRAMMATIC INDICATORS [003, 010] | | | | | |
| o Trees Planted | 19,235 | 777 | 12,000 | 196 u | 12,000 |
| o Neighborhood Park and Playground Reconstruction | | | | | |
| - Designs Started | 65 | 45 | * | 42 | * |
| - Construction Started | 41 | 24 | * | 43 v | * |
| - Completed | 66 | 20 | * | 18 | * |
| - Construction Projects Completed Early | 1.52% | 0% | * | 4% | * |
| - Construction Projects Completed On Time | 28.79% | 25% | * | 48% w | * |
| - Construction Projects Completed Late | 69.7% | 75% | * | 48% w | * |
| o Large, Major, and Regional Park Reconstruction | | | | | |
| - Designs Started | 81 | 43 | * | 39 | * |
| - Construction Started | 45 | 40 | * | 49 v | * |
| - Completed | 90 | 25 | * | 18 v | * |
| - Construction Projects Completed Early | 8.89% | 16% | * | 8% x | * |
| - Construction Projects Completed On Time | 45.56% | 36% | * | 38% | * |
| - Construction Projects Completed Late | 45.56% | 48% | * | 54% | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF PARKS AND RECREATION

- (a) DPR performed more inspections following the expansion of the inspection program to include large parks and additional small park sites. During the reporting period the Parks Inspection Program had more inspectors and more efficient technological devices, such as handheld computers and digital cameras, than in the previous year's reporting period.
- (b) The Preliminary Fiscal 2001 Mayor's Management Report is the first opportunity for DPR to provide large park "Overall Condition," "Cleanliness" and "Acceptable by Feature" ratings for a full reporting period.
- (c) The unexpected popularity of DPR's application-by-mail option for procuring tennis permits contributed to more permits sold.
- (d) Increased attendance at the ice rinks, due to more successful programs and events, resulted in an increase in revenue.
- (e) Attendance at citywide pools was lower than usual due to unseasonably cool and rainy weather during the reporting period.
- (f) The number of complaints received during the reporting period may be the result of additional signs that give DPR's complaint telephone number, which were erected in parks citywide.
- (g) As part of DPR's Customer Service Initiative, specific actions were taken to improve customer service, such as monthly customer service reviews and customer service training for borough employees, which may have resulted in fewer complaints.
- (h) As part of the City's efforts to combat the West Nile Virus, DPR removed more abandoned vehicles during the reporting period.
- (i) DPR's strict anti-graffiti policy has discouraged graffiti perpetrators and lowered the amount of vandalism.
- (j) A decrease in pruning, stump removal and other requests resulted in fewer total "Public Services Requests Received."
- (k) DPR addresses requests before they are registered as complaints through the maintenance of a 10-year pruning cycle.
- (l) Fewer trees removed resulted in fewer stump removals during the first four months of Fiscal 2001.
- (m) There were 40 percent fewer storm emergencies during this reporting period than the previous reporting period, resulting in fewer other service requests.
- (n) Fewer requests for tree removal were received in the reporting period.
- (o) Since July 2000 DPR has concentrated on eliminating its work-order backlog, which consisted of only stump removal requests. As a result, more stumps were removed in the Fiscal 2001 reporting period than in the Fiscal 2000 reporting period.
- (p) Fewer incoming service requests allowed the Department to focus on resolving its backlog.
- (q) In August 2000 Staten Island's Cromwell Recreation Center closed for renovation. Although temporary recreational facilities were established, fewer people used them.

DEPARTMENT OF PARKS AND RECREATION

- (r) In the first four months of Fiscal 2001 DPR refined its definition of visitor attendance, resulting in adjusted visitor counts.
- (s) DPR increased its special events and tournaments in Calendar 2000, many of which took place at recreation centers.
- (t) DPR continued its efforts to provide additional programs and special events to City residents, such as the Teens at Parks Program and the Enhanced After-School Program.
- (u) The number of trees planted decreased significantly during the reporting period due to a delayed start of the planting season resulting from unseasonably warm and wet weather.
- (v) Due to budgetary constraints in May and June 2000, a number of construction projects that would have started at the end of Fiscal 2000 began in the beginning of Fiscal 2001.
- (w) The decrease in "Projects Completed Late" and the increase in "Projects Completed On Time" resulted from DPR's stricter standards for timely completion of projects by its contractors.
- (x) Due to budget constraints in May and June 2000, the number of projects completed early was reduced.

LANDMARKS PRESERVATION COMMISSION

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| RESEARCH | | | | | |
| o Total Number of Designated Properties | 21,789 | 21,511 | * | 21,791 | * |
| o Number of Buildings Heard at Public Hearings | 32 | 4 | * | 6 | * |
| o Number of Buildings Designated | 25 | 4 | 25 | 2 a | * |
| - Requests for Evaluation Received | 137 | 35 | * | 36 | * |
| - Requests for Evaluation Acknowledged | 137 | 34 | * | 36 | * |
| o Records Access Requests Received | 142 | 84 | * | 102 | * |
| o Records Access Requests Granted | 119 | 82 | * | 99 | * |
| PRESERVATION | | | | | |
| o Work Permit Applications Received | 7,933 | 2,639 | * | 2,648 | * |
| - Actions Taken | 7,617 | 2,646 | * | 2,730 | * |
| - Work Permit Applications Approved | 6,789 | 2,350 | * | 2,338 | * |
| - Work Permit Applications Denied | 3 | 2 | * | 0 b | * |
| - Work Permit Applications Withdrawn | 825 | 294 | * | 392 | * |
| ENFORCEMENT | | | | | |
| o Warning Letters Issued | 800 | 283 | * | 241 | * |
| o Responses Within 20-Day Grace Period | 363 | 116 | * | 134 | * |
| o Applications to Legalize or Remedy | 299 | 107 | * | 120 | * |
| o Number of Warning Letters Pending | 307 | 167 | * | 107 c | * |
| o Stop Work Orders Issued | 43 | 11 | * | 33 | * |
| o Notices of Violation Issued | 154 | 22 | * | 20 | * |
| o Warning Letters/Violations Cured | 64 | 10 | * | 14 | * |
| HISTORIC PRESERVATION GRANT PROGRAM | | | | | |
| o Inquiries Received | 193 | 104 | * | 61 | * |
| o Applications Received | 34 | 31 | * | 11 | * |
| - Grants Awarded | 20 | 12 | * | 1 d | * |

LANDMARKS PRESERVATION COMMISSION

- (a) LPC's goal of designating 25 new landmarks citywide remains the same as the previous reporting period. LPC expects to meet its Fiscal 2001 goal.
- (b) During the first four months of Fiscal 2001 no Work Permit Applications were denied because applications received were deemed appropriate according to the Landmarks Law.
- (c) More property owners addressed violations during the reporting period.
- (d) Due to fewer grant applications received during the first four months of Fiscal 2001, the number of grants awarded decreased.

PUBLIC LIBRARIES [035, 037, 038, 039]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---------------------------------------|-------------|---------|-------------|-----------|-------------|
| | Annual | 4-Month | Annual | 4-Month | Preliminary |
| | Actual | Actual | Plan | Actual | Plan |
| LIBRARY OPERATIONS | | | | | |
| o Attendance (000) | | | | | |
| - Brooklyn Public Library | 8,989 | 3,135 | 9,000 | 2,816 | 9,000 |
| - New York Public Library -- Branch | 12,291 | 4,099 | 13,500 | 4,114 | 13,500 |
| - New York Public Library -- Research | 1,910 | 758 | 1,900 | 632 a | 1,900 |
| - Queens Borough Public Library | 16,948 | 5,437 | 17,000 | 5,669 | 17,000 |
| o Circulation (000) | | | | | |
| - Brooklyn Public Library | 10,917 | 3,466 | 11,650 | 3,738 | 11,700 |
| - New York Public Library | 12,842 | 4,150 | 12,900 | 4,397 | 13,000 |
| - Queens Borough Public Library | 17,239 | 5,844 | 17,500 | 5,603 | 16,800 |
| o Circulation Per Capita | | | | | |
| - Brooklyn Public Library | 4.7 | 1.5 | 5.1 | 1.6 | 5.1 |
| - New York Public Library | 4.2 | 1.4 | 4.2 | 1.4 | 4.2 |
| - Queens Borough Public Library | 8.8 | 3 | 9 | 2.8 | 9 |
| o Items Purchased | | | | | |
| - Brooklyn Public Library | 1,052,485 | 382,946 | 1,000,000 | 389,089 | 1,000,000 |
| - Books | 900,236 | 330,334 | 850,000 | 336,020 | 850,000 |
| - Periodicals | 56,892 | 27,362 | 55,000 | 26,043 | 55,000 |
| - Non-print | 95,357 | 25,250 | 95,000 | 27,026 | 95,000 |
| - New York Public Library | 963,347 | 317,550 | 1,000,000 | 164,296 b | 970,000 |
| - Books | 868,442 | 293,768 | 907,000 | 147,717 b | 877,000 |
| - Periodicals | 23,652 | 738 | 21,000 | 860 c | 21,000 |
| - Non-print | 71,253 | 23,044 | 72,000 | 15,719 b | 72,000 |
| - Queens Borough Public Library | 964,172 | 334,381 | 867,800 | 273,084 d | 819,200 |
| - Books | 878,210 | 310,277 | 790,400 | 250,286 d | 751,000 |
| - Periodicals | 10,348 | 85 | 9,400 | 2,392 e | 7,200 |
| - Non-print | 75,614 | 24,019 | 68,000 | 20,406 d | 61,000 |
| o Programs Sessions Conducted | | | | | |
| - Brooklyn Public Library | 41,777 | 9,457 | 35,000 | 13,700 f | 35,000 |
| - New York Public Library | 26,748 | 7,736 | 26,000 | 8,191 | 26,000 |
| - Queens Borough Public Library | 27,702 | 8,147 | 27,700 | 8,218 | 27,700 |
| o Program Attendance | | | | | |
| - Brooklyn Public Library | 676,708 | 153,959 | 600,000 | 190,187 f | 600,000 |
| - New York Public Library | 513,841 | 128,711 | 520,000 | 137,629 | 520,000 |
| - Queens Borough Public Library | 597,459 | 176,114 | 597,500 | 196,996 | 600,000 |
| o Average Weekly Hours | | | | | |
| - Brooklyn Public Library | 41.9 | 41.8 | 42.3 | 43.1 | 43.0 |
| - New York Public Library -- Branch | 41.3 | 41.2 | 41.5 | 41.7 | 41.7 |

PUBLIC LIBRARIES [035, 037, 038, 039]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|-------------|---------|-------------|-----------|-------------|
| | Annual | 4-Month | Annual | 4-Month | Preliminary |
| | Actual | Actual | Plan | Actual | Plan |
| - New York Public Library -- Research | 46 | 46 | 46 | 46 | 46 |
| - Queens Borough Public Library | 42.5 | 42.5 | 42.5 | 42.9 | 42.9 |
| o Reference and Information Queries (000) | | | | | |
| - Brooklyn Public Library | 5,918 | 1,973 | 5,800 | 2,263 | 6,500 |
| - New York Public Library -- Branch | 6,419 | 2,010 | 6,500 | 1,983 | 6,500 |
| - New York Public Library -- Research | 200 | 61 | 250 | 67 | 250 |
| - Queens Borough Public Library | 2,960 | 798 | 3,100 | 1,183 g | 3,500 |
| o Computers and Terminals Internet Connected | | | | | |
| - Brooklyn Public Library | 825 | 754 | 850 | 825 | 850 |
| - New York Public Library -- Branch | 1,055 | 859 | 1,100 | 859 | 860 |
| - New York Public Library -- Research | 314 | 196 | 200 | 362 h | 365 |
| - Queens Borough Public Library | 790 | 660 | 790 | 790 h | 790 |
| o Computers and Terminals Not Internet Connected | | | | | |
| - Brooklyn Public Library | 0 | 0 | 0 | 0 | 0 |
| - New York Public Library -- Branch | 516 | 483 | 495 | 314 h | 310 |
| - New York Public Library -- Research | 34 | 159 | 0 | 78 h | 75 |
| - Queens Borough Public Library | 0 | 0 | 0 | 0 | 0 |
| o Agency Revenues (000) | | | | | |
| - Brooklyn Public Library | \$1,725 | \$467 | \$1,700 | \$589 (i) | \$1,800 |
| - New York Public Library | \$2,340 | \$724 | \$2,200 | \$737 | \$2,200 |
| - Queens Borough Public Library | \$3,252 | \$880 | \$3,250 | \$941 | \$3,250 |
| CONNECTING LIBRARIES AND SCHOOLS PROGRAM (CLASP) | | | | | |
| o Brooklyn Public Library | | | | | |
| - Students Reached | 217,998 | 22,423 | 200,000 | 25,516 | 200,000 |
| - Schools | 362 | 184 | 300 | 141 j | 300 |
| - School Districts | 13 | 13 | 13 | 11 j | 13 |
| o New York Public Library | | | | | |
| - Students Reached | 91,764 | 19,739 | 125,000 | 32,237 k | 125,000 |
| - Schools | 490 | 490 | 490 | 490 | 490 |
| - School Districts | 13 | 13 | 13 | 13 | 13 |
| o Queens Borough Public Library | | | | | |
| - Students Reached | 133,074 | 19,690 | 145,000 | 31,474 k | 150,000 |
| - Schools | 227 | 72 | 280 | 135 k | 285 |
| - School Districts | 7 | 6 | 7 | 7 k | 7 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

PUBLIC LIBRARIES

- (a) Construction projects in several New York Public Library (NYPL) research facilities resulted in decreased attendance at the Research Library.
- (b) The figure reported does not include approximately 100,000 items ordered by NYPL, which were received after October 31, 2000.
- (c) More renewal notices were received and processed for on-going subscriptions during the reporting period.
- (d) The Queens Borough Public Library (QBPL) reallocated budgetary resources to permit library service on an expanded six-day-per-week basis.
- (e) The indicator reflects a greater number of new and renewal subscriptions during the reporting period.
- (f) The increase is due to implementation of the After-School Assistance Program, the Library Early Enrichment Program and the reopening of the renovated Youth Wing, which has increased the availability of children and teen programs.
- (g) During Fiscal 2000 QBPL reviewed and revised the process of collecting reference statistics resulting in more accurate reporting.
- (h) In the first four months of Fiscal 2001 NYPL received additional funding from private sources to install more computers for public use and decrease the number of non-Internet connected computers.
- (i) A combination of increased circulation and higher fines have resulted in increased agency revenue.
- (j) Selected staff focused on developing programs that target parents and administrators, resulting in fewer schools and school districts being reached.
- (k) CLASP was fully implemented and more students were reached through participation in summer school programming.

DEPARTMENT OF BUSINESS SERVICES [801]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| ENERGY COST SAVINGS PROGRAM | | | | | |
| o Projects Approved | 144 | 37 | 130 | 40 | 135 |
| o Dollar Value of Annual Estimated Savings (000) | \$4,023 | \$460 | \$3,500 | \$1,089 a | 3,750 |
| o Projected Jobs Affected | 11,078 | 1,900 | 6,000 | 2,000 | 7,000 |
| CITY BUSINESS ASSISTANCE/EMERGENCY RESPONSE UNIT | | | | | |
| o Businesses Reached | 5,350 | 1,698 | 7,000 | 2,300 b | 7,000 |
| o Cases Opened | 1,927 | 439 | 1,900 | 630 b | 1,900 |
| o Businesses Assisted | 1,911 | 412 | 1,900 | 630 b | 1,900 |
| NEIGHBORHOOD DEVELOPMENT DIVISION | | | | | |
| o Local Development Corporations Funded | 66 | 16 | 80 | 10 c | 80 |
| - Dollar Value (000) | \$4,580 | \$1,420 | \$5,000 | \$1,270 | \$5,000 |
| o Business Improvement Districts (BIDs) | | | | | |
| - Authorization to Initiate BIDs | 0 | 0 | 1 | 0 | 1 |
| - BID Proposals Entering Public Hearing Approval Process | 0 | 0 | 5 | 4 d | 1 |
| - BIDs Established | 1 | 1 | 4 | 2 d | 2 |
| DIVISION OF ECONOMIC AND FINANCIAL OPPORTUNITY | | | | | |
| o Locally Based Enterprise Program | | | | | |
| - Companies Newly Certified | 15 | 5 | 20 | 9 e | 25 |
| - Total Certified LBEs | 240 | 200 | 240 | 215 | 240 |
| o Minority/Women-Owned Business Enterprise Program | | | | | |
| - Companies Newly Certified | 200 | 35 | 225 | 40 | 200 |
| - Total Certified M/WBEs | 1,200 | 1,000 | 1,300 | 1,500 f | 1,500 |
| - Number of M/WBE/LBE Program Outreach Activities | 20 | 5 | 25 | 8 g | 25 |
| o Procurement Outreach Program | | | | | |
| - Bids Disseminated | 1,898 | 669 | 1,700 | 538 h | 1,500 |
| - Bids Submitted | 351 | 117 | 375 | 105 | 420 |
| - Firms Receiving Contracts | 22 | 10 | 30 | 11 | 30 |
| - Contract Awards Reported | 40 | 18 | 36 | 15 h | 36 |
| - Dollar Value (000,000) | \$16.8 | \$1.7 | \$12 | \$26.5 (i) | \$12 |

DEPARTMENT OF BUSINESS SERVICES [801]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Bid-Match Program | | | | | |
| - Total Number of Firms in Database | 680 | 414 | 800 | 751 j | 1,000 |
| - Number of Bid Notifications Disseminated | 785 | 500 | 1,000 | 600 j | 1,200 |
| - Total Dollar Value of Bids Awarded (000,000) k | NA | \$9.0 | NA | NA | NA |
| - Total Dollar Value of Bids Awarded to Bid-Match Firms (000,000) | \$0.7 | \$3.0 | \$1.0 | \$0.4 (l) | \$1.5 |
| o Construction Permit Plan Examination | | | | | |
| - New Permit Applications Filed (Total) | 182 | 61 | 182 | 60 | 180 |
| - New Structures | 20 | 5 | 22 | 8 m | 20 |
| - Alterations | 162 | 56 | 160 | 52 | 160 |
| - Examinations Performed | 573 | 195 | 600 | 180 | 550 |
| o Permit Applications Approved | | | | | |
| - New Structures | 152 | 58 | 150 | 46 n | 145 |
| - Alterations | 19 | 6 | 22 | 6 | 20 |
| - Examinations per Person-Day | 133 | 52 | 128 | 40 n | 125 |
| - Examinations per Person-Day | 2.75 | 1.76 | 2.00 | 2.00 | 2.00 |
| o Construction Permit Inspections | | | | | |
| - Inspections Performed | 1,489 | 490 | 550 | 180 (o) | 550 |
| - Inspections per Person per Day | 4 | 4 | 4 | 4 | 4.0 |
| - Violations Issued | 4 | 1 | 1 | 0 p | 3 |
| - Summonses Issued | 0 | 0 | 0 | 0 | 0 |
| o Security and Enforcement | | | | | |
| - Inspections Performed | 3,698 | 1,231 | 1,100 | 1,454 q | 1,450 |
| - Summonses Issued | 1,224 | 526 | 565 | 358 r | 450 |
| - Parking Violations Issued | 1,206 | 524 | 555 | 346 r | 450 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF BUSINESS SERVICES

- (a) The increase is due to several large dollar value projects and significant increases in energy costs.
- (b) The increase is due to expanded outreach, including forums and seminars.
- (c) Contracts were not registered until November and December of Fiscal 2001.
- (d) In July 2000 the City approved the entry of four BIDs into the formal establishment process, two of which were established.
- (e) Expanded outreach to construction-related organizations and economic conditions have led to increased industry interest in this program.
- (f) The increase is due to more accurate reporting during the period.
- (g) The increase reflects continued marketing and outreach activities.
- (h) Fewer bids appropriate to participating businesses were available from federal, State and local government.
- (i) One contract had a total dollar value of more than \$20 million.
- (j) The increase is the result of the newly implemented Bid-Match system technology.
- (k) Data is no longer compiled by DBS and will no longer be reported.
- (l) A greater proportion of small value contracts were awarded.
- (m) The number of new structure applications varies according to market factors beyond the control of the Department.
- (n) The decrease is due to the rejection of applications failing to meet permit requirements.
- (o) The decrease reflects overall planned inspection levels.
- (p) Inspections yielded no violations during the reporting period.
- (q) The increase is due primarily to staff efficiency and greater than planned dockmaster inspection activity.
- (r) The decrease reflects overall planned issuance levels.

NYC ECONOMIC DEVELOPMENT CORPORATION [801]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| BUSINESS DEVELOPMENT | | | | | |
| o Financing Initiatives | | | | | |
| - Bond Applications Approved by IDA Board | 36 | 12 | 25 | 13 | 25 |
| - Dollar Value of Applications Approved (000) | \$1,664,913 | \$93,682 | \$200,000 | \$400,000 a | \$1,600,000 |
| - Bond Transactions Closed | 19 | 4 | 15 | 10 b | 15 |
| - Dollar Value of Bonds Issued (000) | \$142,978 | \$17,110 | \$700,000 | \$56,223 b | \$1,600,000 |
| o Small Industry/Industrial Incentive Program | | | | | |
| - Applications Approved | 37 | 7 | 21 | 4 c | 21 |
| - Dollar Value (000) | \$288,302 | \$21,507 | \$75,000 | \$9,080 c | \$75,000 |
| - Transactions Closed | 31 | 11 | 20 | 3 c | 20 |
| - Dollar Value (000) | \$154,901 | \$24,573 | \$50,000 | \$5,353 c | \$50,000 |
| BUSINESS RECRUITMENT AND RETENTION | | | | | |
| o Business Retention Activity | | | | | |
| - Number of Companies Retained | 27 | 7 | * | 2 d | * |
| - Number of Jobs Retained | 13,605 | 5,613 | * | 718 d | * |
| - Projected Job Growth From Retention Deals | 32,538 | 10,327 | * | 4,689 d | * |
| - Cost/Job Retained or Projected Through Retention Deals | \$2,229 | \$1,574 | * | \$1,441 | * |
| - Proportion of Retention Deal Benefits Tied to Job Growth | 62% | 69% | * | 86% e | * |
| o Business Recruitment Activity | | | | | |
| - Number of Companies Recruited to NYC | 68 | 31 | 40 | 11 f | 70 |
| - Number of Jobs Recruited to NYC | 1,779 | 1,074 | 800 | 284 f | 1,800 |
| - Dollar Value (000) | \$10,946 | \$6,902 | \$6,154 | \$1,614 f | \$11,400 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

- (a) The increase is due to a greater number of high value Industrial Development Agency (IDA) bond applications by nonprofit organizations.
- (b) The number of small manufacturing companies seeking IDA assistance increased as a result of lower interest rates.
- (c) The decrease is due to the rise in real estate market prices and the reduced number of industrial properties available to potential buyers.
- (d) The strong New York City economy has decreased the number of businesses seeking to leave the City.
- (e) The majority of retention deals in the first four months of Fiscal 2001 were with new media companies in which all of the sales tax benefits are tied to job growth.
- (f) Market conditions created by a slowing economy have resulted in a substantial decline in start-up new media companies.

DEPARTMENT OF HEALTH [816]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 Annual Actual | 2000 4-Month Actual | Fiscal 2001 Annual Plan | 2001 4-Month Actual | Fiscal 2002 Preliminary Plan |
|---|---------------------------------|---------------------------|-------------------------------|---------------------------|------------------------------------|
| DISEASE INTERVENTION | | | | | |
| [102, 112] | | | | | |
| o Acquired Immunodeficiency Syndrome (AIDS) | | | | | |
| - New Adult Cases Reported Citywide | 6,227 a | 2,153 | * | 973 b | * |
| - New Pediatric Cases Reported Citywide | 25 a | 6 | * | 8 b | * |
| - Cumulative Adult Cases Reported Citywide | 120,329 c | 113,800 | * | 120,566 | * |
| o Laboratory Tests for Human Immunodeficiency Virus Performed by DOH | 282,115 | 95,812 | 298,000 | 89,859 | 290,000 |
| - Average Turnaround Time (Days) | | | | | |
| Negative Reports HIV-1 Tests | 1 | 1 | 1.1 | 1 | 1.1 |
| - Average Turnaround Time (Days) | | | | | |
| Positive Reports HIV-1 Tests | 2.1 | 2.1 | 2.1 | 2.1 | 2.1 |
| o Calls Responded to by All AIDS Hotline Services | 51,840 | 17,347 | 60,000 | 21,266 d | 54,000 |
| o Visits to DOH Testing Sites | 43,776 | 14,375 | 49,500 | 14,755 | 49,500 |
| o People Attending HIV/AIDS Prevention Education Training by DOH | 2,729 | 835 c | 1,620 c | 536 e | 1,620 |
| o Tuberculosis | | | | | |
| - New Cases Citywide (Reported and Confirmed) | 1,489 c | 516 c | * | 417 f | * |
| o TB Patients Completing Treatment (Drug Sensitive) | | | | | |
| - DOH-Treated | 93.2% | 89.5% | 90% | 89.1% | 90% |
| - Citywide | 92.3% | 89.6% | 90% | 91.2% | 90% |
| o TB Patients Completing Treatment (Drug Resistant) | | | | | |
| - DOH-Treated | 100% | 86.3% | 80% | 81.2% | 80% |
| - Citywide | 100% | 91.6% | 80% | 87.5% | 80% |
| o TB Clinic Visits | 139,564 | 50,190 | 140,200 | 46,134 | 140,200 |
| o TB Directly Observed Therapy Caseload (Suspected or Confirmed) | | | | | |
| - Eligible Patients Treated by DOH | 70% | 71% | 70% | 57% g | 70% |
| - High Risk Patients Treated and Monitored by DOH | 88% | 62% | 75% | 62.7% | 75% |
| o Prevalence of Multiple Drug Resistant (MDR) TB Cases (Reported and Confirmed) | 54 | 64 | * | 54 h | * |
| o Sexually Transmitted Disease (STD) | | | | | |
| - New STD Cases Citywide (Reported and Confirmed) | 70,721 | 22,791 c | * | 19,649 | * |
| - New Gonorrhea Cases Citywide (Reported and Confirmed) | 11,226 | 3,589 c | * | 3,859 | * |
| - Primary and Secondary Syphilis Cases (Reported and Confirmed) | 105 c | 36 c | * | 37 | * |

DEPARTMENT OF HEALTH [816]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 Annual Actual | 2000 4-Month Actual | Fiscal 2001 Annual Plan | 2001 4-Month Actual | Fiscal 2002 Preliminary Plan |
|---|---------------------------------|---------------------------|-------------------------------|---------------------------|------------------------------------|
| o STD Cases Treated by DOH | 43,140 c | 15,110 c | 38,600 c | 14,517 | 38,600 |
| o STD Clinic Visits | 68,626 | 24,099 | 70,640 | 22,173 | 70,640 |
| o Birth and Death Certificate Receipts Generated | 241,207 | 83,087 | 240,000 | 83,427 | 240,000 |
| - Average Response Time for Mailed Requests (Days) | | | | | |
| - Birth Certificates | 7 | 9 (i) | 5 | 7 (i) | 5 |
| - Death Certificates | 10 | 12 (i) | 8 | 10 (i) | 8 |
| o Birth and Death Certificate Corrections Applications Processed | 32,005 | 10,487 | 43,000 | 11,272 | 43,000 |
| - Average Response Time (Days), All Corrections | 32 | 29 (i) | 30 | 37 (i) | 30 |
| o Immunizations Given at | | | | | |
| - Child Health Clinics | 102,824 c | 37,270 c | 102,000 | 24,412 j | 102,000 |
| - Immunization Walk-In Clinics | 84,420 | 40,967 | 83,000 | 30,754 k | 83,000 |
| o Entering Students Completely Immunized | 92% | NA (l) | 90% | NA (l) | 90% |
| FAMILY AND COMMUNITY HEALTH SERVICES | | | | | |
| o Early Intervention Program | | | | | |
| - Children Referred | 15,630 c | 4,950 c | 17,368 | 6,015 m | 20,319 |
| - Children Qualified for Evaluation | 13,658 c | 4,371 c | 15,120 | 5,723 n | 17,755 |
| - Children Evaluated | 12,772 c | 4,230 c | 14,200 | 1,678 (o) | 16,604 |
| - Children Found Eligible | 11,772 c | 3,892 c | 13,044 | 1,431 (o) | 15,304 |
| - Children Qualified for Service Plan | 9,958 c | 3,380 c | 11,064 | 653 (o) | 12,945 |
| - Initial Service Plans Developed | 9,958 c | 3,380 c | 11,063 | 652 (o) | 12,945 |
| - Children w/Active Service Plans | 13,564 c | 10,737 c | 12,304 | 9,648 | 14,150 |
| o Maternal, Infant and Reproductive Health | | | | | |
| - Calls to Women's Healthline | 34,548 | 10,926 | 33,000 | 11,206 | 33,000 |
| - Initial Prenatal Care Appointments Made Within 3 Weeks of Initial Contact | 1,838 | 668 | 1,800 | 373 p | 1,800 |
| - Active Caseload for All Field Services | 1,095 | 1,148 | 1,200 | 1,113 | 1,200 |
| o Child Health Clinical Visits | 184,660 c | 45,128 c | 163,200 | 52,855 q | 163,200 |
| o School Children's Health Program | | | | | |
| - New Admission Exams | | | | | |
| - Performed by DOH School Health Staff | 5,119 | 2,611 | 4,000 | 1,081 r | 4,000 |
| - Collected and Reviewed by DOH School Health Staff | 126,484 | 55,255 c | 140,000 | 37,751 s | 140,000 |
| o Day Care Services | | | | | |
| - Total Day Care Services Known to DOH at End of Reporting Period | 18,621 | 19,334 | 19,720 | 18,663 | 19,720 |
| - Group Day Care Permits Issued | 1,118 | 372 | 1,000 | 498 t | 1,000 |
| - Family Day Care/School Age Program Registrations and Group Family Day Care Permits Issued | 4,857 | 1,771 | 4,830 | 1,276 u | 4,830 |

DEPARTMENT OF HEALTH [816]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 Annual Actual | 2000 4-Month Actual | Fiscal 2001 Annual Plan | 2001 4-Month Actual | Fiscal 2002 Preliminary Plan |
|--|---------------------------------|---------------------------|-------------------------------|---------------------------|------------------------------------|
| o Dental Program | | | | | |
| - Dental Visits | 51,061 c | 14,128 c | 60,000 | 14,117 | 60,000 |
| CORRECTIONAL HEALTH SERVICES [105, 115] | | | | | |
| o Direct Services | | | | | |
| - Medical Visits | 92,505 | 31,349 | 94,010 | 32,256 | 94,010 |
| - Mental Health Visits | 15,069 | 4,916 | 13,590 | 5,246 | 13,590 |
| - Dental Visits (Initial) | 3,890 | 1,259 | 3,800 | 1,274 | 3,800 |
| o Contracted Services | | | | | |
| - Medical Visits | 500,570 | 178,700 | 533,440 | 139,453 v | 533,440 |
| - Mental Health Visits | 210,917 | 72,439 | 194,300 | 67,170 | 194,300 |
| - Dental Visits (Initial) | 15,120 | 5,011 | 12,500 c | 5,006 | 12,500 |
| REGULATORY AND ENVIRONMENTAL HEALTH SERVICES [104, 114] | | | | | |
| Food Establishment Inspections | | | | | |
| o Initial Inspections Performed | 22,895 | 5,120 | 23,600 c | 6,677 w | 23,600 |
| o Percent of Food Service Establishments Requiring a Reinspection After Failing Initial Inspection | 18% | 22% c | 19% c | 15% x | 19% |
| Window Guard Enforcement | | | | | |
| o Window Guard Inspections Performed | 9,747 | 3,006 | 7,000 | 3,377 | 7,000 |
| o Buildings Receiving a Notice of Violation | 90% | 85% | 90% | 95% | 90% |
| Pest Control | | | | | |
| o Complaints Received | 16,442 | 5,984 | 15,550 c | 7,931 y | 18,500 |
| o Inspections Made in Response to Complaints | 14,256 | 5,741 | 14,360 c | 6,545 | 16,000 |
| Lead Poisoning Prevention | | | | | |
| o New Cases Citywide Reported and Confirmed | | | | | |
| - New Cases with Blood Lead Levels Greater Than or Equal to 20 mcg/dL | 742 | 385 c | * | 295 z | * |
| - New Cases with 2 Consecutive Blood Lead Levels of 15-19 mcg/dL | 179 | 39 c | * | 60 aa | * |
| - New Cases with Blood Lead Levels of 10-19 mcg/dL Citywide | 6,923 c | 3,185 c | * | 2,546 z | * |

DEPARTMENT OF HEALTH [816]

| INDICATORS FOR MAJOR MISSIONS | Fiscal Annual Actual | 2000 4-Month Actual | Fiscal Annual Plan | 2001 4-Month Actual | Fiscal 2002 Preliminary Plan |
|--|----------------------------|---------------------------|--------------------------|---------------------------|------------------------------------|
| o Percent of New Cases Identified with Initial Inspection of Primary Address Completed Within 7 Days | 92.1% | 87.8% c | 90% | 94.3% | 90% |
| o Primary or Supplemental Addresses with Abatement Completed | 1,278 | 370 c | * | 312 bb | * |
| o Number of Completed Initial Assessments | 1,771 | 703 c | * | 690 | * |
| Radiation Equipment | | | | | |
| o Initial Radiation Equipment Cycle Inspections | 1,897 | 566 | 1,565 c | 659 cc | 1,710 |
| Immediate Critical Complaints | | | | | |
| o Immediate Critical Complaints Received | 342 | 135 | 360 | 147 | 360 |
| - Average Time to Inspect (Days) | 0.57 | 0.89 | 1.00 | 0.41 dd | 1.00 |
| GENERAL COUNSEL [101, 111] | | | | | |
| o Administrative Tribunal | | | | | |
| - Total Number of Cases Processed | 44,510 | 14,449 | 42,000 | 14,906 | 42,000 |
| - Hearings | 31,167 | 9,695 | 34,000 | 10,433 | 34,000 |
| HEALTH CARE ACCESS | | | | | |
| o Medicaid Managed Care Enrollment (ee) | 394,244 | 378,179 | 401,800 | 394,699 | 401,800 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF HEALTH

- (a) This number reflects Calendar 1999 data.
- (b) The Department is working with the State Department of Health (SDOH) on implementation of the new AIDS reporting law, which went into effect on June 1, 2000, stipulating that all AIDS cases be reported directly to SDOH. This transition has resulted in a lag in the Department of Health's (DOH) receipt of laboratory reports from the State.
- (c) The figure has been revised due to additional information that became available after the publication of the Fiscal 2000 Mayor's Management Report.
- (d) The increase in the number of calls responded to by the Department's AIDS Hotline is a result of upgrades to the automated hotline system.
- (e) The decrease in attendance at prevention education training in the first four months of Fiscal 2001 compared with Fiscal 2000 is attributable to additional events held by the HIV Training Institute in Fiscal 2000, when this indicator was above target. The Agency is on target to meet its Fiscal 2001 annual plan.
- (f) The decrease in TB cases is consistent with the nationwide trend.
- (g) The Department is investigating the reason for the decline in the proportion of patients on directly observed therapy. The TB Control Program has redesigned its case management tool to examine this issue.
- (h) The reduction in multi-drug resistant TB (MDRTB) cases is attributed to higher treatment completion rates and fewer new cases.
- (i) Staffing shortages due to retirement, resignations and emergency leaves during Fiscal 2000 affected the Department's response time to birth and death certificate mailed requests and the average response time for correction applications requests. During September 2000 temporary staff worked evening shifts to address the backlog in the mail unit, reducing the response time for mailed requests for birth certificates from eight days in July 2000 to one day in October 2000. Response time for mailed requests for death certificates was reduced from 11 days in July 2000 to four days in October 2000.
- (j) The number reported represents immunizations given at Child Health Clinics during a three-month period of July through September 2000.
- (k) The decrease is attributed to the delay from early October until mid-October 2000 in planned outreach activities and availability of flu shot vaccinations at DOH clinics. The Department's clinic volume was shifted to November 2000 and DOH expects to meet its annual target.
- (l) Data for this indicator is calculated on an annual basis to capture children who enter school during the school year and will be reported in the Fiscal 2001 Mayor's Management Report.
- (m) The increase in children referred to Early Intervention Program is attributed to increased program awareness.
- (n) This figure represents data prior to reconciliation, therefore it cannot be compared with data from the previous four-month period.

DEPARTMENT OF HEALTH

- (o) Indicator is below the first four months of the last fiscal year because of an average three-month lag between submission of documentation by provider agencies and the final data entry into the statewide Kids Integrated Data System (KIDS). Final data will be available in the Fiscal 2001 Mayor's Management Report.
- (p) The decrease in initial prenatal appointments is attributed in part to an increase of clients declining an appointment at the time of initial contact.
- (q) The increase in child health visits is due to enhanced outreach efforts and awareness of the clinics since integration into the Health and Hospitals Corporation facilities. The figure reported represents data gathered on a quarterly basis.
- (r) The decrease in new admissions exams performed by DOH staff is the result of ongoing efforts to refer children to primary care providers to receive ongoing direct health care. These efforts are reflected in the decreased Annual Plan number for Fiscal 2001.
- (s) The decrease in new admission exams collected and reviewed by DOH staff is reflective of delays in data entry. The Department expects that this indicator will meet its annual target.
- (t) The increase in the number of permits issued is attributed to the expansion of the Board of Education's Universal Pre-Kindergarten, which has resulted in increased volume of permits issued, as new sites are developed and some existing day care programs change their permits to meet the requirements of the program.
- (u) The decrease in the annual number of registrations issued is due to more sites qualifying for two-year rather than one-year registrations and a corresponding decrease in the number of requests from operators.
- (v) The decrease in contracted medical visits is attributed in part to a decline in population in correctional facilities.
- (w) The increase in initial food establishment inspections is attributed to the Department's continuing effort to conduct inspections of all food service establishments annually and the commencement of evening and weekend inspections in October 1999.
- (x) The decrease in the percentage of facilities requiring re-inspection after an initial inspection is a reflection of improved compliance by regulated food establishments and the Department's attention to inspections and code enforcement.
- (y) The increase in pest control complaints received by the Department in the first four months of Fiscal 2001 is attributed to increased public awareness resulting from the Department's rodent prevention multimedia campaign and heightened media attention.
- (z) The continuing decline in lead poisoning cases mirrors the nationwide trend of reduced lead poisoning prevalence and is also due, in part, to increased awareness by the public and building owners of risk factors and protective methods. The decline in cases occurred despite an 11 percent increase in the number of children tested.
- (aa) The increase in new lead poisoning cases with two consecutive blood levels of 15-19 mcg/dL can be attributed to increased outreach. Since the implementation of Local Law 38 in November 1999, the Department of Housing Preservation and Development has been distributing a DOH brochure encouraging apartment occupants to have their children tested for lead poisoning.

DEPARTMENT OF HEALTH

- (bb) The decrease in the number of abatements completed corresponds with the decrease in the number of addresses that require abatement.
- (cc) The increase in the number of “Initial Radiation Equipment Cycle Inspections” when compared to Fiscal 2000 is attributable to a number of procedural changes that were instituted during this period that increased the productivity of field staff. This includes scheduling changes and the improved performance of re-inspections by supervisory staff, which freed more time for initial inspections by field personnel.
- (dd) Immediate critical complaints were responded to within the Department’s 24-hour standard.
- (ee) This indicator has been renamed to better reflect the information being captured.

OFFICE OF CHIEF MEDICAL EXAMINER [106, 116]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 Annual Actual | Fiscal 2000 4-Month Actual | Fiscal 2001 Annual Plan | Fiscal 2001 4-Month Actual | Fiscal 2002 Preliminary Plan |
|--|---------------------------------|----------------------------------|-------------------------------|----------------------------------|------------------------------------|
| OFFICE OF CHIEF MEDICAL EXAMINER | | | | | |
| o Deaths Reported | 23,874 | 7,678 a | 23,031 | 7,272 | 23,000 |
| - Deaths Certified | 9,194 | 3,077 a | 9,225 | 2,748 | 9,100 |
| o Scene Visit Cases | 5,158 | 1,724 a | 5,169 | 1,456 b | 5,000 |
| o Cases Transported to OCME | 7,942 | 2,636 | 7,908 | 2,366 | 7,800 |
| - Average Time From Receipt of Body to Body Ready for Release (Hours) | 19 | 17.7 | 17.5 a | 18.6 | 17 |
| - Autopsies Completed | 5,625 | 1,836 a | 5,544 | 1,697 | 5,200 |
| - External Examinations Completed | 2,229 | 759 a | 2,283 | 655 | 2,277 |
| - Average Days From Autopsy to Completion of the Autopsy Report | 74.9 | 68 a | 75 | 77 | 75 |
| - Percent of Autopsy Reports Completed Within 90 Days | 72% | 75% | 72% c | 67% | 75% |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

OFFICE OF THE CHIEF MEDICAL EXAMINER

- (a) The figure has been revised due to additional information that became available after the publication of the Fiscal 2000 Mayor's Management Report.
- (b) The decrease in scene visit cases from the same period in Fiscal 2000 is a result of an unusual number of deaths during the heat wave that occurred during July and August 1999. Scene visits occur when additional information is needed to determine the cause of death.
- (c) The decrease in the percentage of reports completed within 90 days is due to delays in hiring clerical staff needed to produce toxicology and autopsy reports.

HEALTH AND HOSPITALS CORPORATION [819]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| WORKLOAD [819] INPATIENT [001] | | | | | |
| o Total Hospital | | | | | |
| - Bed Complement | 7,451 | 7,451 | 7,451 | 7,451 | 7,451 |
| - Average Daily Census | 6,811 | 6,832 | 6,410 | 6,765 | 6,735 |
| - Occupancy Rate | 91.4% | 91.7% | 91.8% | 90.8% | 90.4% |
| - Average Length of Stay (Days) | 11.7 | 11.8 | 11.7 | 11.7 | 11.7 |
| - Discharges (000) | 212.6 | 71.5 a | 211.5 | 70.9 | 212.4 |
| o General Care | | | | | |
| - Bed Complement | 2,994 | 2,994 | 2,994 | 2,994 | 2,994 |
| - Average Daily Census | 2,637 | 2,682 | 2,695 | 2,543 | 2,540 |
| - Occupancy Rate | 88.1% | 89.6% | 90% | 84.9% | 84.8% |
| - Average Length of Stay (Days) | 5.4 | 5.5 | 5.5 | 5.3 | 5.5 |
| - Discharges (000) | 177.5 | 59.6 | 177.6 | 58.8 | 176 |
| o Psychiatric Care -- Adult | | | | | |
| - Bed Complement | 1,097 | 1,097 | 1,097 | 1,097 | 1,097 |
| - Average Daily Census | 1,039 | 1,034 | 1,036 | 1,055 | 1,054 |
| - Occupancy Rate | 92.9% | 94.3% | 94.4% | 96.2% | 96.1% |
| - Average Length of Stay (Days) | 19.9 | 21.6 | 21.5 | 20.7 | 20.5 |
| - Discharges (000) | 18.5 | 6.0 | 18.2 | 5.9 | 17.7 |
| o Psychiatric Care -- Child and Adolescent | | | | | |
| - Bed Complement | 95 | 95 | 95 | 95 | 95 |
| - Average Daily Census | 97 | 85 | 82 | 101 b | 102 |
| - Occupancy Rate | 102.1% | 89.5% | 86.3% | 106.7% b | 107.3% |
| - Average Length of Stay (Days) | 37 | 36.8 | 36 | 41.8 | 40.8% |
| - Discharges (000) | 0.9 | 0.3 | 0.9 | 0.3 | 0.9 |
| o Psychiatric Care -- Forensic | | | | | |
| - Bed Complement | 64 | 64 | 64 | 64 | 64 |
| - Average Daily Census | 54 | 54 | 52 | 57 | 57 |
| - Occupancy Rate | 84.5% | 83.8% | 81.3% | 88.9% | 90.6% |
| - Average Length of Stay (Days) | 19.2 | 21 | 21 | 18 | 17.6 |
| - Discharges (000) | 1.1 | 0.3 | 1 | 0.4 c | 1 |
| o Skilled Nursing Care | | | | | |
| - Bed Complement | 2,199 | 2,199 | 2,199 | 2,199 | 2,199 |
| - Average Daily Census | 2,153 | 2,168 | 2,170 | 2,129 | 2,134 |
| - Occupancy Rate | 97.9% | 98.6% | 98.6% | 96.8% | 97.0% |
| - Average Length of Stay | 434.6 | 440.9 | 389.5 | 440.2 | 440.2 |
| - Discharges (000) | 1.7 | 0.7 | 1.8 | 0.5 d | 1.6 |

HEALTH AND HOSPITALS CORPORATION [819]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Chronic Care | | | | | |
| - Bed Complement | 467 | 467 | 467 | 467 | 467 |
| - Average Daily Census | 462 | 435 | 430 | 507 d | 510 |
| - Occupancy Rate | 98.9% | 93.1% | 92% | 108.5% d | 109.2% |
| - Average Length of Stay (Days) | 171.1 | 163.0 | 162 | 184.4 | 185 |
| - Discharges (000) | 1 | 0.3 | 1 | 0.3 | 1 |
| o Drug Abuse | | | | | |
| - Bed Complement | 66 | 66 | 66 | 66 | 66 |
| - Average Daily Census | 52 | 54 | 55 | 50 | 49 |
| - Occupancy Rate | 78.8% | 81.8% | 83.3% | 75.8% | 74.2% |
| - Average Length of Stay (Days) | 6.1 | 6 | 6 | 6.3 | 6 |
| - Discharges (000) | 2.9 | 0.8 | 2 | 0.9 | 2.8 |
| OUTPATIENT | | | | | |
| [001] | | | | | |
| Managed Care Enrollees | 142,787 | 123,816 | 150,000 | 141,431 | 150,000 |
| Ambulatory Care | | | | | |
| o Patient Visits | | | | | |
| - General Care (000) | 3,289.4 | 1,048.3 | 3,142.5 | 1,122.6 | 3,365.6 |
| - Psychiatric Care (000) | 497.1 | 151.2 | 453.9 | 156.5 | 469.2 |
| - Substance Abuse Treatment (000) | 545.2 | 175.7 | 528 | 191.3 | 572.2 |
| - Other (000) | 420 | 130.0 | 389 | 135.9 | 410 |
| - Total Visits (000) | 4,751.7 | 1,505.3 | 4,513.4 | 1,606.3 | 4,817 |
| Communi-Care | | | | | |
| o Total Clinic Visits | | | | | |
| at Communi-Care Sites | 811.2 | 250.3 | 765.8 | 267.5 | 801.2 |
| Emergency Room | | | | | |
| o Patient Visits | | | | | |
| - General Care (000) | 944.1 | 313.8 a | 942.9 | 310.3 | 932 |
| - Psychiatric Care (000) | 40.9 | 13.5 a | 37.9 | 14.1 | 41.8 |
| - Total Visits (000) | 985 | 327.3 a | 980.8 | 324.4 | 973.8 |
| Home Care | | | | | |
| o Visits (000) (e) | | | | | |
| | NA | 59.9 | NA | NA | NA |
| o Caseload (e) | | | | | |
| | 14,568 | 5,219 | 15,000 f | 5,137 | 15,400 |
| PSYCHIATRY | | | | | |
| [001] | | | | | |
| o Psychiatry Transfers to State Hospitals | | | | | |
| | 1,064 | 435 | 1,100 | 328 g | 1,000 |
| o Homeless Mentally Ill | | | | | |
| - Evaluations on Street | 6,568 | 2,274 | 6,600 | 1,985 | 6,000 |
| - Admissions to Hospital | 130 | 36 | 115 | 35 | 105 |

HEALTH AND HOSPITALS CORPORATION [819]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| AIDS SERVICES | | | | | |
| [001] | | | | | |
| o Inpatient Services | | | | | |
| - Average Daily Census | 114 | 107 | 107 | 90.6 h | 100 |
| - Total HIV/AIDS Discharges | 3,307 | 1,046 | 3,138 | 956 | 2,950 |
| - Total Patients Discharged | 2,431 | 698 | 2,094 | 829 h | 1,801 |
| - Average Length of Stay (Days) | 12.6 | 12.5 | 12.5 | 11.7 | 12.4 |
| o Outpatient Services | | | | | |
| - HIV Primary Care Visits | 49,267 | 17,657 | 52,971 | 20,922 (i) | 57,209 |
| - Ambulatory Care Visits | 315,926 | 133,354 | 300,000 | 127,069 | 282,000 |
| - Male Patients Receiving HIV Counseling | 6,893 | 2,184 | 6,552 | 2,180 | 6,224 |
| - Patients Tested | 6,414 | 2,062 | 6,186 | 2,005 | 5,939 |
| TUBERCULOSIS SERVICES | | | | | |
| o Inpatient Services | | | | | |
| - Number of Patients | 578 | 214 | 550 | 180 j | 550 |
| - Average Length of Stay (Days) | 21.96 | 20.12 | 22 | 18.8 | 22 |
| - Total Discharges | 667 | 231 | 650 | 200 | 650 |
| - Number of Patients Readmitted | 89 | 17 | 50 | 20 k | 50 |
| o Outpatient Services | | | | | |
| - Number of Patients | 4,990 | 634 | 4,500 | 1,690 (l) | 4,500 |
| - Number of Patient Visits | 14,717 | 3,814 | 13,000 | 3,985 | 13,000 |
| - Number of Patients Completing Directly Observed Therapy | 238 | 46 | 175 | 55 (l) | 175 |
| WOMEN'S HEALTH | | | | | |
| [001] | | | | | |
| o Prenatal Care Visits | | | | | |
| | 211,999 | 89,940 | 215,000 | 83,687 | 215,000 |
| o Women Receiving Initial Prenatal Care by Trimester | | | | | |
| - 1st | 66% | 63% m | 60% | 63% m | 60% |
| - 2nd | 25% | 26% m | 30% | 26% m | 30% |
| - 3rd | 9% | 11% m | 10% | 11% m | 10% |
| o Adolescent Pregnancy Services | | | | | |
| - New Registrants | 4,867 | 1,081 | NA | 1,601 n | NA |
| - Prenatal Visits | 47,554 | 10,937 | 40,000 f | 15,516 n | 40,000 |
| o HIV Education, Counseling and Testing Program (Family Planning and OB Clinics) | | | | | |
| - Women Receiving Counseling | 24,039 | 7,302 | 21,906 | 6,661 | 19,934 |
| - Women Tested | 22,057 | 6,669 | 20,007 | 5,946 | 18,207 |

HEALTH AND HOSPITALS CORPORATION [819]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o HIV Education, Counseling and Testing Program (All Other Clinics) | | | | | |
| - Women Receiving Counseling | 19,385 | 5,940 | 17,820 | 6,577 | 17,820 |
| - Women Tested | 18,051 | 5,511 | 16,533 | 5,955 | 16,533 |
| o Waiting Time for Initial Prenatal Care Appointment (Days) | 6 | 4 | 5 | 4 | 5 |
| AMBULATORY CARE [001] | | | | | |
| o Waiting Time for First Nonurgent Appointments (Days) | 5 | 5 (o) | 10 | 7 (o) | 10 |
| o Appointment Waiting Time (Minutes) | 39 | 43 | 45 | 40 | 45 |
| o Walk-in Waiting Time (Minutes) | 52 | 56 | 48 | 50 | 48 |
| FINANCIAL AFFAIRS | | | | | |
| COLLECTIONS (000,000) (Includes Bad Debt and Charity Care) | | | | | |
| o Inpatient Services | \$2,671.1 | \$915.2 | \$2,434.1 | \$1,043.3 | \$2,520.2 |
| o Outpatient Services | \$424.3 | \$126.2 | \$352.2 | \$133.2 | \$382.4 |
| o Other (Miscellaneous and Bond Interest) | \$48.1 | \$15.5 | \$37.8 | \$19.1 p | \$48.3 |
| o Appeals and Settlements | \$90.8 | \$62.4 | \$71.9 | (\$0.1) q | (\$15.9) |
| CAPITAL BUDGET PERFORMANCE INDICATORS | | | | | |
| Programmatic Indicators | | | | | |
| o Contracts for Major/Partial Hospital Reconstruction | | | | | |
| - Designs Started | 1 | 0 | 5 r | 0 | 0 |
| - Construction Started | 0 | 0 | 0 r | 0 | 5 |
| - Construction Completed | 0 | 0 | 0 | 0 | 3 |
| o New Facility Construction | | | | | |
| - Designs Started | 1 | 0 | 0 | 0 | 0 |
| - Construction Started | 0 | 0 | 0 | 0 | 2 |
| - Construction Completed | 1 | 0 | 0 | 0 | 2 |

HEALTH AND HOSPITALS CORPORATION [819]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|----------------------------------|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| STAFFING [001] | | | | | |
| Grand Total | 39,320 | 39,825 a | 38,820 | 39,289 | 38,320 |
| o Corporate Staff | | | | | |
| - General Care/Mental Health | 33,214 | 33,991 | 33,192 | 33,192 | 32,714 |
| - Central Office | 422 | 443 | 422 | 422 | 422 |
| - Nursing | 6,531 | 6,600 | 6,538 | 6,538 | 6,531 |
| o Grants | 1,825 | 1,601 | 1,852 | 1,852 s | 1,825 |
| o Affiliation Staff | 4,281 | 4,233 a | 4,280 | 4,245 | 4,281 |
| - FTE Physicians | 2,235 | 2,178 a | 2,235 | 2,250 | 2,235 |
| - FTE Other | 2,046 | 2,055 a | 2,045 | 1,995 | 2,046 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

HEALTH AND HOSPITALS CORPORATION

- (a) Fiscal 2000 4-Month Actual data was updated.
- (b) In light of an increase in school violence and adolescent depression and the lack of growth in community and residential services, there has been an increase in admissions to HHC and occupancy rate for psychiatric child and adolescent services.
- (c) HHC's Correctional Health Services is providing more extensive evaluation services and case findings resulting in an increase in admissions and discharges.
- (d) The ability of skilled nursing and chronic care facilities to discharge patients is dependent on a number of factors that may not be within the control of the provider, such as the availability of appropriate housing, support resources, and the physical and mental readiness of each patient to be discharged.
- (e) Use of home care services is being measured on a caseload basis beginning in Fiscal 2000. The Health Care Financing Administration (HCFA) moved to a prospective payment system (PPS) where certified home health agencies (CHHAs) are compensated on a per-case basis rather than a per-visit basis; HHC has modified the unit of measure to accommodate this change. In addition, HHC's CHHAs are in the process of consolidating into one administrative structure.
- (f) Fiscal 2001 Annual Plan data was revised to more accurately reflect utilization trends.
- (g) HHC attributes the decrease in psychiatry transfers to an expansion in the array of community treatment and housing options for mental health patients and the new Assisted Outpatient Treatment teams, who work with a difficult-to-treat population and aggressively monitor patients' treatment plan compliance.
- (h) Consistent with HHC goals for continued improvements in enhancing care to HIV patients, average daily census has decreased.
- (i) With more complex treatments now available on an outpatient basis, HHC is experiencing increases in HIV visits because patients must return more frequently for medication monitoring.
- (j) The decrease in number of TB inpatients is due to a general decrease in the incidence of TB throughout the City.
- (k) Fiscal 2001 4-Month Actual is consistent with the Annual Plan target for this indicator.
- (l) The increase in the number of patients using outpatient services and the number of patients who completed their Directly Observed Therapy program is a result of a more concentrated focus on these services. All Brooklyn Department of Health (DOH) TB clinics contracted with Woodhull Medical and Mental Health Center for the provision of services to DOH's TB patients.
- (m) This indicator represents three-month data. Fiscal 2000 actual data was updated.
- (n) The number of new adolescent prenatal registrants and prenatal visits increased as a result of an expansion of the population threshold from age 18 and under to age 21 and under.
- (o) The waiting time for first nonurgent appointments for the first quarter of Fiscal 2001 was slightly higher than the first quarter of Fiscal 2000, but is within the Annual Plan target of 10 days for this indicator. This indicator represents three-month data.
- (p) The increase in other collections is due to higher earnings on investments.

HEALTH AND HOSPITALS CORPORATION

- (q) Appeals and settlement collections decreased as a result of a Medicaid appeal that was anticipated but did not occur.
- (r) The Fiscal 2001 Annual Plan has been revised.
- (s) The increase in grants staff is attributed to new grant programs added during the first four months of Fiscal 2001.

**DEPARTMENT OF MENTAL HEALTH, MENTAL
RETARDATION, AND ALCOHOLISM SERVICES [817]**

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| CONTRACT PERFORMANCE MONITORING | | | | | |
| o Total Number of Voluntary Agency Contracts | 333 | 337 | 356 | 350 | 356 |
| o Total Number of Voluntary Agency Programs | 840 | 822 | 875 | 869 | 875 |
| - Manhattan | 327 | 313 | * | 331 | * |
| - Bronx | 123 | 119 | * | 125 | * |
| - Staten Island | 67 | 66 | * | 70 | * |
| - Brooklyn | 171 | 161 | * | 172 | * |
| - Queens | 152 | 163 | * | 171 | * |
| o Fiscal Audits Completed | 174 | 55 | 375 | 73 a | 350 |
| o Comprehensive Program Audits Completed | 491 | 82 | 445 | 79 | 445 |
| o Unannounced Site Visits | 353 | 55 | 374 | 27 b | 374 |
| o Follow-ups to Comprehensive Program Audits | 30 | 0 | * | 0 | * |
| o Contracts Canceled Due to Evaluation or Audit | 0 | 0 | * | 0 | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

**DEPARTMENT OF MENTAL HEALTH, MENTAL
RETARDATION, AND ALCOHOLISM SERVICES**

- (a) The increase in fiscal audits completed is due to the commencement of audits by certified public accountant (CPA) firms at the beginning of Fiscal 2001 rather than later in the fiscal year.
- (b) The number of unannounced site visits performed during the four months in Fiscal 2000 was unusually high. The Department expects to achieve its goal for visits in Fiscal 2001.

HUMAN RESOURCES ADMINISTRATION [069]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| JOB CENTER PROGRAMS AND OPERATIONS | | | | | |
| [203,101,103] | | | | | |
| o Persons Receiving Public Assistance | | | | | |
| (000) (a) | 572.8 a | 644.8 a | 523.1 b | 557.3 a | 513.8 |
| - Manhattan | 96.9 | 106 | * | 93.8 | * |
| - Bronx | 187.4 | 209.1 | * | 183.7 | * |
| - Staten Island | 13 | 14.5 | * | 12.8 | * |
| - Brooklyn | 199.9 | 227.9 | * | 193.2 | * |
| - Queens | 72.7 | 84.1 | * | 70.1 | * |
| o Public Assistance Caseload (000) (a) | | | | | |
| - Manhattan | 51.6 | 54.7 | * | 49.8 | * |
| - Bronx | 82.7 | 90.7 | * | 81.4 | * |
| - Staten Island | 5.8 | 6.3 | * | 5.8 | * |
| - Brooklyn | 90.2 | 101 | * | 87.4 | * |
| - Queens | 34.6 | 39 | * | 33.6 | * |
| o PA Recipients by Category (000) | | | | | |
| - Family Assistance Program (FAP) | 479.6 | 544.3 | 437.2 b | 465.7 | 528.9 |
| - Safety Net Assistance (SNA) | 93.3 | 100.5 | 85.9 b | 91.6 | 84.9 |
| o Total Funds Dispersed (000) | | | | | |
| - City Tax Levy Portion | \$1,447,126 | \$534,070 | * | \$463,729 | * |
| | \$431,006 | \$164,814 | * | \$143,651 | * |
| o Number of PA Applications (000) | | | | | |
| - Rejections | 203.2 | 69.6 | * | 73.5 c | * |
| - Applicant Withdrawals | 41.5% | 40.8% | * | 44.5% c | * |
| - Grant Reductions | 3.2% | 3% | * | 3.2% | * |
| | 6.9% | 6.8% | * | 6.9% | * |
| o Persons Receiving Food Stamps | | | | | |
| (End of Period) (000) | 896.7 | 945.6 | 821.6 | 869.2 | 774.4 |
| - Manhattan | 145.7 | 152.2 | * | 141.2 | * |
| - Bronx | 237.8 | 252.5 | * | 230.5 | * |
| - Staten Island | 21.8 | 22.7 | * | 21.2 | * |
| - Brooklyn | 357.6 | 376.3 | * | 346.6 | * |
| - Queens | 133.8 | 141.9 | * | 129.7 | * |
| - PA Recipients | 552.7 | 604.9 | 476.5 | 526.8 | 419.9 |
| - Non-PA Recipients | 344.1 | 340.7 | 345.1 | 342.4 | 354.5 |
| o Value of Food Stamps Issued (000) | | | | | |
| | \$884,242 | \$304,784 | * | \$281,091 | * |
| o Payment Error Rate for Federally Supported | | | | | |
| Food Stamps | 12.3% | NA | * | NA d | * |
| o Total Number of Cases (FAP and SNA) Engaged in | | | | | |
| Work Activities | 109,086 | 121,858 | * | 101,967 e | * |
| - Employed | 28,891 | 30,732 | * | 30,473 | * |
| - Work Experience | 32,790 | 36,750 | * | 26,806 e | * |

HUMAN RESOURCES ADMINISTRATION [069]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Education/Training/Job Search | 4,188 | 4,071 | * | 6,265 f | * |
| - Teens in High School | 4,251 | 5,195 | * | 3,647 g | * |
| - Substance Abuse Treatment | 12,917 | 10,486 | * | 12,563 h | * |
| - Called in for Assessment/Assignment | 17,794 | 24,429 | * | 15,264 e | * |
| - Other | 8,255 | 10,195 | * | 6,949 e | * |
| o Total Number of Cases (FAP and SNA) Not Engaged in Work Activities | 0 | 5,778 | * | 0 g | * |
| o Participation Rates | | | | | |
| - All FAP Families Participating in Work Activities as Calculated in Accordance With Federal Guidelines (g) | 40.1% g | 40.7% g | 45% | 48.7% g | 50% |
| - Safety Net Clients Participating in Work Activities as Calculated in Accordance With State Guidelines (i) | 92.2% | 90.8% | 90% | 93.1% | 90% |
| o Total Number of Cases Participating in Work Activities In Accordance With Federal Guidelines: | | | | | |
| Family Assistance Program (FAP) | 49,436 g | 56,247 g | 52,000 j | 50,280 | 47,000 |
| - Work Experience | 13,766 g | 15,810 g | * | 11,115 e | * |
| - Employed | 25,592 | 28,376 | * | 27,330 | * |
| - Education/Training | 3,004 | 3,364 g | * | 5,650 f | * |
| - Community Service | 6,514 | 8,619 | * | 5,573 e | * |
| - Teens in High School | 560 g | 78 g | * | 612 g | * |
| o Total Number of Clients Participating in Work Activities In Accordance With State Guidelines: Safety Net Assistance | 17,584 | 20,780 | 13,000 j | 15,518 e | 10,000 |
| - Work Experience | 13,964 | 17,825 | * | 9,597 e | * |
| - Employed | 2,095 | 2,116 | * | 2,622 k | * |
| - Training | 1,525 | 839 | * | 3,299 (l) | * |
| o Total Reported Job Placements | 89,071 m | 22,360 | 125,000 n | 37,370 (o), p | 150,000 |
| - FAP | 58,787 m | 15,667 | 83,950 n | 25,593 (o), p | 100,740 |
| - Safety Net | 30,284 m | 6,693 | 31,050 q | 9,466 (o), p | 37,260 |
| - Non-PA Food Stamps (r) | NI | NI | 10,000 | 2,311 (o), p | 12,000 |
| o Total Fair Hearings Requested (000) (PA, PA Food Stamps, and Employment (s) (t) | 142.9 | 48.1 | * | 40.3 u | * |
| o Total Fair Hearings Held (s) | 75,158 | 26,520 | * | 16,840 u | * |
| o Fair Hearing Outcomes (v) | | | | | |
| - Agency Affirmations | 20,387 | 8,783 | * | 3,690 w | * |
| - Client Withdrawals | 45,976 | 11,500 | * | 22,527 w | * |
| - Client Defaults | 96,044 | 26,690 | * | 37,809 w | * |
| - Agency Reversals | 42,880 | 16,129 | * | 13,102 w | * |
| - Agency Withdrawals | 56,445 | 17,266 | * | 10,422 w | * |

HUMAN RESOURCES ADMINISTRATION [069]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Issues Decided in Favor of Agency (%) (x) | 68.6% | 62.1% | * | 76.7% g | * |
| o Timely Implementations of Decisions | | | | | |
| - Public Assistance and Employment (s) | 70.5% | 79.1% | 90% | 83.3% | 90% |
| - Food Stamps (PA and Non-PA) | 86.7% | 85.9% | 90% | 95.7% | 90% |
| Job Training Partnership Act/Workforce Investment Act Adult Programs and Participants (y) | | | | | |
| o Value of Agency Contracts (000) | \$16,607 z | \$8,396 z | \$18,400 aa | \$9,612 aa | by 9/01 bb |
| - Number of Contracts | NI | 23 z | 11 aa | 22 aa | by 9/01 bb |
| o Enrollment | 5,681 z | 1,078 z | 16,200 aa | 2,852 aa | by 9/01 bb |
| - PA Recipients | 58.2% z | 42.8% z | 20% aa | 81% aa | by 9/01 bb |
| o Participant Outcomes | 4,292 z | 1,367 z | 3,900 aa | 4,254 aa | by 9/01 bb |
| - Placements into Employment | 2,598 z | 717 z | 2,535 aa | 1,497 aa | by 9/01 bb |
| - Percentage Placed into Employment | 60.5% z | 52.5% z | 65% aa | 35.2% aa | by 9/01 bb |
| o Participants Working at 90 Days | 51% z | NA z | 79% aa | NA bb | by 9/01 bb |
| MEDICAL ASSISTANCE PROGRAMS/HOME CARE SERVICES PROGRAM [204, 104] | | | | | |
| o Persons Certified Eligible for MA (000) (a) | 1,593.5 a | 1,638.4 a | 1,578.9 | 1,593.2 a | 1,560.30 |
| - Manhattan | 277.2 | 281.5 | * | 276.6 | * |
| - Bronx | 395.8 | 408.8 | * | 395.9 | * |
| - Staten Island | 39.2 | 40.5 | * | 39.9 | * |
| - Brooklyn | 572.8 | 591.6 | * | 572.2 | * |
| - Queens | 288.7 | 295.8 | * | 288.3 | * |
| o Persons Eligible for MA Only (000) (a) | 585.5 a | 557.9 a | 668.3 | 601.3 a | 702.3 |
| - Manhattan | 96.2 | 90.5 | * | 98.4 | * |
| - Bronx | 115.4 | 107.4 | * | 119.4 | * |
| - Staten Island | 12.8 | 12.5 | * | 13.5 | * |
| - Brooklyn | 217.7 | 208.2 | * | 224.4 | * |
| - Queens | 130.9 | 127 | * | 133.6 | * |
| o MA Applications Completed Within Required Time Frames | | | | | |
| - Community Eligibility Division | 97.7% | 97.1% | 95% | 98% | 95% |
| - Hospital Eligibility Division | 99.9% | 99.9% | 95% | 100% | 95% |
| - Nursing Home Division | 81.4% | 84.1% | 95% | 76% cc | 95% |
| o MA Recertifications Completed Within Required Time Frames | | | | | |
| - Community Eligibility Division | 99.9% | 99.8% | 95% | 100% | 95% |
| - Nursing Home Division | 99.9% | 100% | 95% | 100% | 95% |

HUMAN RESOURCES ADMINISTRATION [069]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Cases Receiving Home Care Services | 64,050 | 64,697 | 66,200 | 64,112 | 66,660 |
| - Home Attendant | 46,568 | 46,979 | 48,200 | 46,651 | 48,200 |
| - Housekeeper | 7,693 | 7,696 | 8,000 | 7,805 | 8,000 |
| - Long-Term Home Health Care | 8,103 | 8,584 | 8,200 | 7,916 | 8,200 |
| - AIDS Home Care | 1,776 | 1,438 | 1,800 | 1,740 dd | 2,200 |
| - AIDS Cases Referred to Vendor Within 48 hours | 87.2% | 89% | 85% | 89.7% | 85% |
| - AIDS Cases Served by Vendor Within 48 Hours of Referral | 88.9% | 85.8% | 90% | 95.1% | 90% |
| o Average Days to Initiate Home Attendant and Housekeeper Services | 25.1 | 24.9 | 30 | 23.7 | 30 |
| - Active MA Cases | 21.9 | 21.1 | 26 | 20.7 | 26 |
| - Pending MA Cases | 40.7 | 41.2 | 43.7 | 37.9 | 43.7 |
| - Serious Complaints of Home Care Clients | 641 | 246 | 660 | 205 ee | 660 |
| - Complaints Resolved Within Required Time Frame | 95.3% | 93.8% | 95% | 92.2% | 95% |
| o Number of Home Attendant and Housekeeper Contracts in Effect | 89 | 89 | 89 | 89 | 89 |
| o Value of Agency Contracts (000,000) | \$1,344.1 | \$1,344 | \$1,359 | \$1,368 | \$1,359 |
| - Vendor Agencies In Compliance With Review Areas | 100% | NA ff | 95% | NA ff | 95% |
| HIV/AIDS SERVICES ADMINISTRATION [205, 105] | | | | | |
| o Total Number of AIDS Serviceline Contacts Received | 33,973 | 12,348 | * | 12,843 | * |
| o New Applicants for Services | 7,367 | 2,550 | * | 2,410 | * |
| o Ineligible or Withdrawn Applications | 913 | 324 | * | 313 | * |
| o New Cases | 6,543 | 2,225 | 6,835 | 2,132 | 7,143 |
| o Total Number of Recipients (End of Period) | 27,648 | 26,680 | * | 28,224 | * |
| - Women | 9,836 | 9,329 | * | 10,099 | * |
| - Men | 17,141 | 16,690 | * | 17,454 | * |
| - Children | 671 | 661 | * | 671 | * |
| o Total Number of Open Cases (End of Period) | 27,183 | 26,268 | 29,084 b | 27,707 | 31,149 |
| - Manhattan | 7,244 | 7,225 | * | 7,046 | * |
| - Bronx | 8,612 | 7,886 | * | 9,106 | * |
| - Staten Island | 500 | 525 | * | 525 | * |
| - Brooklyn | 8,731 | 7,870 | * | 8,900 | * |
| - Queens | 2,096 | 2,762 | * | 2,130 | * |

HUMAN RESOURCES ADMINISTRATION [069]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Cumulative Cases During Period | 32,235 | 27,917 | * | 29,839 | * |
| o Cases Receiving Housing Services | | | | | |
| - Cases Provided Housing and Support Services (End of Period) | 5,383 | 5,087 | 6,059 | 5,528 | 6,427 |
| - Clients Moved into Housing (During Period) | 9,000 | 2,983 | * | 3,429 gg | * |
| - Cases Provided Rent Payment Assistance (End of Period) | 17,151 | 16,472 | 18,000 hh | 17,471 | 18,000 |
| o Homemaking Contracts in Effect | | | | | |
| Value of Contracts (000) | \$18,359 (ii) | \$6,120 (ii) | \$21,857 (ii) | \$5,310 | \$21,857 |
| - Vendor Agencies in Compliance With Review Areas | 100% | NA ff | 100% | NA ff | 100% |
| - Cases Receiving Homemaker Services | 567 | 561 | 698 | 558 | 575 |
| PROTECTIVE SERVICES FOR ADULTS | | | | | |
| PROTECTIVE SERVICES | | | | | |
| o Active Cases End of Period | 4,000 | 4,066 | 4,042 | 3,940 | 3,986 |
| o Total Referrals | 6,215 | 2,232 | * | DNA jj | * |
| o Total Referrals Accepted for Assessment | 4,375 | 1,643 | 4,620 | 1,181 kk | 4,320 |
| o Cases Accepted After Assessment | 1,649 | 613 | * | 552 | * |
| EVICTION SERVICES | | | | | |
| o Total Referrals Received | 3,276 | 1,036 | * (ll) | 1,208 (ll) | * (ll) |
| o Referrals Eligible for PSA Services | 3,027 | 901 | * | 942 | * |
| o Evictions Prevented for Those Eligible for PSA Services | 303 | 141 | * | 137 | * |
| o Evictions Of Households Eligible for PSA Services | 168 | 93 | * | 127 mm | * |
| - Rehoused | 109 | 61 | * | 32 mm | * |
| - Institutionalized | 15 | 9 | * | 20 mm | * |
| - Other | 44 | 23 | * | 75 mm | * |
| HOME ENERGY ASSISTANCE PROGRAM (HEAP) | | | | | |
| o Total Households Served | 362,389 nn | * | * | by 1/02 (oo) | * |
| - Total Funds Allocated (000) | \$22,496 nn, pp | * | * | by 1/02 (oo) | * |
| - Base Grant Amount | \$50 nn | \$50 | | \$50 | \$50 |
| o Human Resources Administration | | | | | |
| - Households Served | 333,983 nn | * | * | by 1/02 (oo) | * |
| - Funds Allocated (000) | \$18,082 nn | * | * | by 1/02 (oo) | * |

HUMAN RESOURCES ADMINISTRATION [069]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Department of Youth and Community Development | | | | | |
| - Households Served | 10,228 nn | * | * | by 1/02 (oo) | * |
| - Funds Allocated (000) | \$4,414 nn | * | * | by 1/02 (oo) | * |
| o Department for the Aging | | | | | |
| - Applications Approved | 18,178 nn | * | * | by 1/02 (oo) | * |
| HOMELESSNESS PREVENTION PROGRAM | | | | | |
| o Total Families | | | | | |
| - Active Cases | 32,647 | 11,964 | * | 14,211 qq | * |
| - Closed Cases | 3,953 | 2,651 | * | 3,130 qq | * |
| | 31,591 | 9,844 | * | 11,599 rr | * |
| o Cases Closed With Outcomes | | | | | |
| - Families Diverted | 16,455 | 5,968 | * | 6,605 | * |
| - Families Not Diverted | 10,293 | 3,085 | * | 3,197 | * |
| - Diversion Rate (%) | 6,162 | 2,883 | * | 3,408 ss | * |
| | 62.5% | 51.7% | * | 48.4% | * |
| o Families at Imminent Risk | | | | | |
| - Imminent Risk Families For Whom Housing Was Found | 7,563 | 2,004 | * | 2,516 tt | * |
| - Imminent Risk Diversion Rate (%) | 6,996 | 1,848 | * | 2,359 tt | * |
| | 92.5% | 92.2% | 85% | 93.7% | 85% |
| DOMESTIC VIOLENCE AND EMERGENCY INTERVENTION SERVICES [205, 105] | | | | | |
| o Domestic Violence Shelter Program | | | | | |
| - Average Number of Families Served per Day | 392 uu | 401 uu | 400 | 393 | 410 |
| - New Cases (Families) | 1,722 uu | 621 uu | 1,800 | 549 | 1,800 |
| - Number of Domestic Violence Emergency Beds (Capacity) | 1,365 | 1,298 | 1,463 | 1,365 | 1,487 |
| o Total Domestic Violence Nonresidential Programs | | | | | |
| - Nonresidential Program Active Caseload | 11 | 11 | * | 11 | * |
| | 1,453 | 1,266 | * | 1,608 vv | * |
| o Total Nonresidential Program Hotline Calls | | | | | |
| | 15,794 | 4,401 | * | 5,467 vv | * |
| o Services Provided by Domestic Violence Nonresidential Programs | | | | | |
| - Counseling | 9,554 | 3,041 | * | 3,135 | * |
| - Safety Planning | 3,511 | 1,094 | * | 1,044 | * |
| - Information and Referrals | 1,785 | 480 | * | 780 vv | * |
| - Advocacy | 5,651 | 1,647 | * | 2,058 vv | * |
| - Community Education | 1,362 | 425 | * | 411 | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

HUMAN RESOURCES ADMINISTRATION

- (a) Borough counts do not add up to the citywide total because some recipients live in facilities outside the City.
- (b) This figure was revised in the City's Financial Plan of January 2001.
- (c) This figure is based upon data presently available and may be revised in the Fiscal 2001 Mayor's Management Report.
- (d) Error rates are not reported in the Preliminary Mayor's Management Report.
- (e) The decrease is due to the continued decline in the PA caseload and to the Agency's efforts to help people find jobs with income that enables them to leave welfare.
- (f) This increase is attributable to the Skills Assessment and Placement (SAP) vendors providing services to more PA recipients who are job ready and conduct job search activities when their cases become active.
- (g) See Narrative.
- (h) More individuals in need of substance abuse treatment have been identified through better screening efforts during the PA application, recertification and conciliation processes as well as through the Agency's full engagement efforts to call in PA recipients for case reviews.
- (i) State guidelines require that Safety Net Assistance (SNA) clients participate in work activities for a minimum of 35 hours a week.
- (j) The Agency revised this figure downward due to the continued PA caseload decline.
- (k) The increase is due to the Agency's focus on job placements. See Narrative. In addition, SAP and the Employment Services and Placement (ESP) programs assist and enable SNA participants in finding employment.
- (l) The increase is due to the expansion of SAP programs to include more SNA participants.
- (m) As promised in the Fiscal 2000 Mayor's Management Report, the Agency revised this figure to reflect 10-month employer-reported data for PA recipients, which counts job placements only once for each individual. This figure also includes an estimated average for July and August 1999 due to incomplete data because reporting source implementation began after those months.
- (n) The Agency revised this figure to reflect a greater emphasis on employment. See Narrative.
- (o) Beginning in Fiscal 2001 this data reflects individuals who reported employment to HRA, employer-reported job placements for PA and non-PA food stamp recipients, and PA applicants whose PA cases were not opened due to employment. This data will be revised in the Fiscal 2001 Mayor's Management Report when additional applicant job placements become available. See Narrative.
- (p) The Agency attributes the increase to its focus on job placements and to SAP and ESP programs operating at capacity. Also, non-PA food stamp job placements are included in the total.
- (q) The Agency revised this figure downward to reflect the continued decline in the SNA caseload.
- (r) This new indicator reflects the number of employer-reported job placements for recipients of non-PA food stamps.

HUMAN RESOURCES ADMINISTRATION

- (s) This indicator was renamed for clarification.
- (t) This section has been reordered to better reflect the fair hearing process.
- (u) The Agency attributes the decrease to its mandatory dispute resolution policy, which requires a quality review of cases at each participant contact, thereby reducing the clients' need for fair hearing requests.
- (v) This section replaces "Dispositions of Fair Hearing Requests (PA, PA Food Stamps, and OES)" and "Issues Decided at Fair Hearings (PA, PA Food Stamps, and OES)." This section reports issues that are decided at fair hearings; each hearing generally involves more than one issue.
- (w) HRA attributes the increase in client withdrawals to better pre-hearing mediation efforts begun in Fiscal 1999, which resolve client issues prior to hearings, thereby causing clients to withdraw their fair hearing requests or causing the State to cancel the fair hearings. This resulted in fewer Agency reversals and withdrawals.
- (x) This new indicator reflects end-of-period fair hearing calculation that divides total resolutions in favor of the Agency, which consist of Agency affirmations, client withdrawals and client defaults by the total of all fair hearing outcomes.
- (y) Responsibility for this section of adult program contracts was transferred from the Department of Employment to HRA beginning July 1999. HRA is working with the Mayor's Office of Operations to develop indicators that will better reflect the Workforce Investment Act (WIA) program and services that went into effect July 1, 2000.
- (z) This figure reflects data for the Job Training Partnership Act (JTPA), which expired at the end of Fiscal 2000. See Narrative.
- (aa) This figure reflects WIA data, which replaced JTPA as of July 2000 and cannot be compared to JTPA data. See Narrative.
- (bb) Data for this indicator will be available in the Fiscal 2001 Mayor's Management Report.
- (cc) This decline is due to an increase in the number of nursing home applications and staff turnover. New staff members were hired and received technical training. The Medical Assistance Program (MAP) expects that the rate for MA applications completed within required time frames will rise by the end of Fiscal 2001. In addition, the Agency is currently reviewing the reasons for the increase in nursing home applications.
- (dd) The increase is due to clients remaining in the program longer because of the increased survival rate for people living with AIDS, and to the addition of two new AIDS home care providers that increased the program's capacity.
- (ee) During the reporting period MAP ensured that its vendor agencies correctly categorized serious complaints.
- (ff) The annual review of all vendor agencies occurs in February of each year. Data will be reported in the Fiscal 2001 Mayor's Management Report.
- (gg) The Agency reduced its backlog of pending cases for housing by reviewing each case and expediting State approval to pay rent above State levels, thereby increasing the number of clients moved into housing during the period.

HUMAN RESOURCES ADMINISTRATION

- (hh) The Agency revised this figure to better reflect actual performance.
- (ii) This figure was incorrectly reported in previous Mayor's Management Reports.
- (jj) Actual data is not available for this reporting period due to a system upgrade. Actual 12-month data will be available in the Fiscal 2001 Mayor's Management Report.
- (kk) Fewer individuals met the Protective Services for Adults (PSA) eligibility criteria during the reporting period. The Agency is reviewing the assessment process to better understand acceptance patterns.
- (ll) The Department of Investigation's Marshals' Bureau makes eviction referrals to PSA, which are outside PSA's plan control.
- (mm) Eviction outcomes are related to court decisions and actions and are therefore independent of PSA.
- (nn) The Home Energy Assistance Program (HEAP) data is reported on the federal fiscal year. This figure reflects data for federal Fiscal 2000, which ran from October 1999 to September 2000.
- (oo) This figure represents federal Fiscal 2000 expenditures and households served. The federal Fiscal 2001 budget includes a HEAP grant of approximately \$291.7 million for the State; however, local district allocations, including the City's, have not been determined. In addition, HEAP does not begin until mid-November of each year.
- (pp) In response to rising energy costs, this figure includes additional one-time benefits provided to low-income individuals for help with their heating bills.
- (qq) The increase in active cases is due, in part, to more participant self-referrals and to more referrals by HRA staff for early intervention.
- (rr) There was an increase in early intervention activity to help resolve families' housing issues.
- (ss) The Agency attributes the increase to more families leaving before diversion activities were completed.
- (tt) The Agency attributes the increase to more people seeking housing resolutions due to early intervention outreach promoted by the Rent Arrears Alert model both in the Housing Court and at the Job and Income Support Centers.
- (uu) As promised in past reports, data was revised to reflect actual data from the Shelter Occupancy System.
- (w) The increase is due to community outreach efforts. See Narrative.

ADMINISTRATION FOR CHILDREN'S SERVICES [068]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| CHILD WELFARE [202, 102] | | | | | |
| Protective Services | | | | | |
| o Abuse or Neglect Reports | | | | | |
| - Reports | 53,540 | 14,555 | 55,000 | 15,369 | 55,000 |
| - Children | 81,673 | 23,798 | 83,000 | 25,109 | 83,000 |
| o Reports Responded to Within One Day Following Report to State Central Register (ACS Internal) | 97.8% | 98% | 100% | 98.6% | 100% |
| o Reports Founded | 36.4% | 37.3% | * | 42% | * |
| o High-Risk Reports | 19,489 | 5,735 | 20,350 | 6,609 a | 23,650 |
| o Compliance With High-Risk Response Protocol | 95.7% | 97.9% | 95% | 97% | 95% |
| o Child Protective Specialist | 837 | 854 | 1,050 | 816 b | 1,050 |
| o New Cases per Worker per Month (Pending Rate) | 6.7 | 6 | 5 | 7.6 c | 5 |
| o Average Child Protective Specialist Caseload | 13.3 | 11.3 | 13 | 12.4 | 13 |
| o Article X Petitions Filed in Family Court | 11,456 | 3,524 | 11,000 | 3,221 | 11,000 |
| Preventive Services | | | | | |
| o Families Receiving ACS Direct Preventive Services | | | | | |
| - Active Cases | 3,445 | 3,377 | 3,200 | 3,519 | 3,200 |
| - Cumulative Cases | 7,512 | 4,857 | 7,800 | 5,254 | 7,800 |
| o Average Field Office Family Service Worker Caseload | 13.8 | 13.4 | 11 | 14.6 | 11 |
| o Families Receiving Contract Preventive Services | | | | | |
| - Active Cases | 8,546 | 9,314 | 9,800 | 8,106 | 9,800 |
| - Cumulative Cases | 18,052 | 12,723 d | 18,000 | 11,056 | 18,000 |
| o Percent of Contract Preventive Caseload Referred by ACS | 50% | 50% d | 45% | 52% | 45% |

ADMINISTRATION FOR CHILDREN'S SERVICES [068]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|--------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Homemaking Services | | | | | |
| - Vendor Agencies In Compliance with Review Areas | 100% | NA | 100% | NA | 100% |
| - Active Cases | 1,116 | 1,078 | 1,200 | 1,182 | 1,200 |
| - Cumulative Cases | 1,601 | 1,272 | 1,400 | 1,308 | 1,400 |
| o Families Receiving Housing Subsidies | | | | | |
| - New Cases | 512 | 163 | 550 | 152 | 550 |
| - Active Cases | 989 | 874 | 900 | 1,007 ^e | 900 |
| - Cumulative Cases | 1,364 | 1,015 | 1,500 | 1,141 | 1,500 |
| Teenage Services Act (TASA) | | | | | |
| o Pregnant/Parenting Clients Receiving Services | | | | | |
| - Active Cases | 1,449 | 1,481 | 1,500 | 1,529 | 1,500 |
| - Cumulative Cases | 2,539 | 1,816 | 3,000 | 1,902 | 3,000 |
| o Contract Use for Preventive Services | 95.8% | 98% | 98% | 93.3% | 98% |
| o Preventive Services Program Assessment | | | | | |
| - Number of Contract Agencies | 63 | 62 | 81 | 86 ^f | 86 |
| - Performance Evaluations Completed | 63 | NA | * | NA | * |
| o Number Requiring Improvement Plans | 4 | NA | * | NA | * |
| o Contracts Canceled/Not Renewed | 3 | NA | * | NA | * |
| Foster Care | | | | | |
| o Children in Foster Care (Average) | 34,354 | 35,424 | 36,692 | 31,803 | 31,500 |
| - Children in Kinship Homes (Relatives) (Average) | 9,354 | 9,978 | 10,000 | 8,305 ^g | 8,000 |
| - Children in Nonrelative Care (Average) | 25,000 | 25,446 | 26,962 | 23,498 | 23,500 |
| - Foster Boarding Homes | 20,499 | 20,887 | 22,648 | 19,127 | 19,130 |
| - Congregate Care | 4,501 | 4,565 | 4,314 | 4,371 | 4,370 |
| o Children in Placement With Foster Care Contract Agencies | 86% | 84.7% | * | 87.8% | * |
| o New Children Entering Foster Care | 9,390 | NA | * | NA | * |
| - Children Placed in Foster Care While Receiving | | | | | |
| - Direct Preventive Services | 351 | 123 | * | 128 | * |
| - Contract Preventive Services | 947 | 348 | * | 288 ^h | * |
| o Children Discharged From Foster Care | 12,954 | NA | * | NA | * |
| o Average Length of Foster Care (Years) | 4.04 | 4 | * | 4.1 | * |

ADMINISTRATION FOR CHILDREN'S SERVICES [068]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Foster Care Program Assessment | | | | | |
| - Number of Contract Agencies | 60 | 59 | 59 | 58 | 58 |
| - Performance Evaluations of Contract Agencies Completed | 60 | NA | * | NA | * |
| - Number Requiring Improvement Plans | 9 | NA | * | NA | * |
| - Contracts Canceled/Not Renewed | 4 | NA | * | NA | * |
| Adoptions | | | | | |
| o Children Awaiting Adoption Finalization Living with Adoptive Parents | 3,013 | 2,977 | 3,000 | 2,755 | 3,000 |
| o Children Adopted | 3,148 | 868 d | 4,500 | 621 (i) | 4,500 |
| - Contract Agency Services Adoptions | 2,178 | 640 | 3,200 | 488 (i) | 3,500 |
| - ACS Direct Care Services Adoptions | 970 | 228 d | 1,320 | 133 (i) | 1,000 |
| o Average Length of Time to Complete Adoptions (Years) | 3.9 | 3.6 | 2 | 3.7 | 2 |
| - Contract Agency Services | 3.4 | 3.3 | 2 | 3.3 | 2 |
| - ACS Direct Care Services | 4.9 | 4.5 | 2 | 5.3 j | 2 |
| AGENCY FOR CHILD DEVELOPMENT [203, 103] | | | | | |
| o Total Enrollment in Publicly Subsidized Child Care | 73,905 | 70,044 d | 84,447 | 70,196 | 87,873 |
| o Total Enrollment in ACD Subsidized Day Care | 56,549 | 54,149 | 65,059 | 54,377 | 67,882 |
| o Group Day Care | | | | | |
| - Enrollment | 46,225 | 45,677 | 52,283 | 44,148 | 52,636 |
| - Publicly Subsidized Capacity | 45,542 | 46,263 | 50,602 | 46,197 | 53,576 |
| - Vacancies | 395 | 1,098 | * | 1,322 k | * |
| - Children on Waiting Lists | 5,812 | 8,440 | * | 4,882 (l) | * |
| o Family Day Care | | | | | |
| - Enrollment | 9,190 | 8,472 | 11,486 | 8,762 | 13,185 |
| - Publicly Subsidized Capacity | 8,892 | 8,763 | 11,486 | 9,224 | 13,185 |
| - Vacancies | 206 | 381 | * | 740 k | * |
| - Children on Waiting Lists | 5,067 | 5,420 | * | 4,757 | * |
| o Number of Children Enrolled in Vouchers | 12,710 | 13,291 | 15,000 | 14,132 | 18,500 |
| o Head Start | | | | | |
| - Enrollment | 17,356 | 15,895 | 19,388 | 15,819 | 19,991 |
| - Collaborative Enrollment | 1,934 | 1,726 | 1,922 | 1,614 | 1,922 |
| - Regular Enrollment | 15,422 | 14,169 | 17,466 | 14,205 | 18,069 |
| - Capacity | 18,590 | 17,552 | 19,388 | 18,231 | 19,991 |
| - Head Start Vacancies | 1,250 | 1,806 | * | 2,371 (i) | * |

ADMINISTRATION FOR CHILDREN'S SERVICES [068]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| OFFICE OF CHILD SUPPORT ENFORCEMENT | | | | | |
| [203] | | | | | |
| o Child Support Collected (000) | \$403,647 | \$133,382 d | \$450,000 | \$141,910 | \$500,000 |
| - Public Assistance (TANF) (000) | \$99,673 | \$36,230 | \$100,000 | \$32,453 | \$95,000 |
| - Nonpublic Assistance (Non-PA) (000) | \$303,974 | \$97,152 d | \$350,000 | \$109,457 | \$405,000 |
| o Child Support Ordered by Court (000) | \$529,035 | \$176,320 | \$592,000 | \$179,559 | \$633,000 |
| - Public Assistance (TANF) (000) | \$101,024 | \$37,980 | \$114,000 | \$28,876 m | \$105,000 |
| - Non-PA (000) | \$428,011 | \$138,340 | \$478,000 | \$150,683 | \$528,000 |
| o New Support Orders Obtained | 23,389 | 7,782 | 25,000 | 6,965 | 24,000 |
| - Public Assistance (TANF) | 11,164 | 3,697 | 11,000 | 2,877 m | 10,000 |
| - Non-PA | 12,225 | 4,085 | 14,000 | 4,088 | 14,000 |
| o Total Cases with Active Orders (End of Period) | 199,279 | 191,854 | 216,000 | 203,161 | 220,000 |
| o Percentage of Out-of-Wedlock Births with Paternities Established at Time of Birth | 61% | 59.6% | 65% | 62% | 65% |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

ADMINISTRATION FOR CHILDREN'S SERVICES

- (a) The increase in "High Risk Reports" is associated with an increase in abuse and neglect reports and more aggressive reporting.
- (b) In Fiscal 2001 ACS launched an aggressive hiring campaign to increase "Child Protective Specialist" staffing in the Division of Child Protection.
- (c) The increase in the pending rate is attributed to the increased number of abuse and neglect reports and less than planned staffing. See footnote (b).
- (d) This data has been updated from the Preliminary Fiscal 2000 Mayor's Management Report to reflect the most current and accurate information.
- (e) The increase in the number of active cases for housing subsidy is due to a large number of active cases opened at the end of Fiscal 2000 that continued into Fiscal 2001.
- (f) The increase in "Number of Contract Agencies" is due to new preventive programs created as a result of the Request for Proposals issued in July 2000 for neighborhood-based services.
- (g) The decrease in the number of children in kinship homes is a result of adoptions, the increase in children aging out of foster care and the decrease in children entering foster care.
- (h) The decrease in the number of children placed in foster care while receiving contract preventive services is consistent with the overall decrease in the number of children entering foster care.
- (i) See Narrative.
- (j) The increase in the length of time to complete adoptions is primarily the result of ACS' Direct Care's past effort in emphasizing adoption finalizations for those children in care the longest.
- (k) The increase in the number of group and family day-care vacancies is a result of reduced census at a number of day care centers due to facility work for lease renewal upgrade and the temporary closing of several programs.
- (l) The decrease in the number of children on group day care waiting lists is a result of the use of actual figures rather than estimates for waiting lists in Brooklyn and Staten Island centers. Updated lists exclude individuals who no longer need day care.
- (m) The continued decline in the public assistance (PA) support orders obtained is a result of the general decline in the PA caseload.

DEPARTMENT OF HOMELESS SERVICES [071]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| SERVICES FOR FAMILIES [500] | | | | | |
| Intake | | | | | |
| o Families per Day Requesting New START Center Housing at the Emergency Assistance Unit (EAU) | 57 | 60 | * | 65 | * |
| - Families at EAU Over 24 Hours | 8 | 15 | * | 26 a | * |
| - Families Placed in Overnight Accommodations | 78 | 88 | * | 141 a | * |
| - Families at EAU Overnight | 0 | 0 | * | 4 a | * |
| o Eligibility Investigation Unit (EIU) | 20,841 | 7,440 | 22,000 | 8,020 | 22,000 |
| - Left Before Investigation is Completed | 6,790 | 2,409 | * | 2,592 | * |
| - Found Ineligible for Temporary Housing | 8,294 | 2,748 | * | 3,303 b | * |
| o Families Entering New START Centers | 5,757 | 2,283 | * | 2,125 | * |
| - Not Previously Lodged in New START Center Housing | 2,940 | 1,112 | * | 1,027 | * |
| - Returning/Lodged Within One Year | 482 c | 263 c | * | 213 d | * |
| Population | | | | | |
| o Families In New START Centers (Average per Day) | 5,029 | 5,011 | 5,075 | 5,291 | 5,783 |
| - Hotels | 913 | 872 | 914 | 1,203 e | 1,467 |
| - Residences For Adult Families | 332 | 333 | 338 | 280 e | 300 |
| - Tier II Facilities | 3,570 | 3,584 | 3,598 | 3,590 | 3,800 |
| - Reception Centers | 214 | 221 | 225 | 219 | 216 |
| o Average Days In New START Centers (All Families) | 285 | 272 | * | 300 | * |
| o New START Centers (Overnight Facilities, Reception Centers, Tier IIs and Residences For Adult Families) Operated | 80 | 79 | 80 | 84 | 84 |
| - By City Staff | 6 | 6 | 6 | 6 | 6 |
| - By Private Organizations | 74 | 73 | 74 | 78 | 78 |
| o Families Relocated to Permanent Housing | 3,787 | 1,323 | 4,230 | 1,269 | 4,390 |
| - Emergency Assistance Rehousing Program | 2,428 | 822 | 3,000 | 628 f | 3,460 |
| - Department of Housing Preservation and Development | 202 | 59 | 70 | 34 g | 70 |
| - Housing Authority | 990 | 381 | * | 579 h | * |
| - Citywide Agreement | 920 | 366 | 1,010 | 579 h | 710 |
| - Other | 70 | 15 | * | 0 (i) | * |
| - Other (Mitchell-Lama/Non-EARP Section 8) | 167 | 61 | 150 | 28 j | 150 |
| SERVICES FOR ADULTS [400, 403, 406, 409, 412, 418, 421, 424, 430, 433, 439, 442, 448, 451, 454, 460, 463, 466] | | | | | |
| Population | | | | | |
| o Total Persons Lodged per Night | 6,792 | 6,580 | 7,013 | 6,826 | 7,022 |
| - Men | 5,266 | 5,096 | 5,379 | 5,257 | 5,357 |
| - Women | 1,526 | 1,484 | 1,634 | 1,570 | 1,665 |

DEPARTMENT OF HOMELESS SERVICES [071]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Clients Entering Assessment (k) | NA | DNA | NA | NA | NA |
| - Placed into General New START Center Beds | NA | DNA | NA | NA | NA |
| - Placed into Program New START Center Beds | NA | 1,208 | NA | NA | NA |
| - Placements Outside the New START Center System | NA | 239 | NA | NA | NA |
| o Clients Placed | | | | | |
| - From Assessment into Program Beds | 3,802 | 1,239 | * | 1,337 | * |
| - From General Beds into Program Beds | 470 | 132 | * | 431 (l) | * |
| - From Assessment into Long-Term Placements Outside the New START Center System | 505 | 174 | * | 146 m | * |
| o Percent of New START Center Beds Capacity | | | | | |
| - Assessment | 13.7% | 13.6% | 13.7% | 13.7% | 13.3% |
| - Program | 67.0% | 57.1% | 67.0% | 66.9% (l) | 67.3% |
| - General | 19.4% | 29.3% | 19.4% | 19.4% (l) | 19.4% |
| o New START Centers Operated | 42 | 44 | 42 | 42 | 44 |
| - By City Staff | 7 | 8 | 7 | 7 | 2 |
| - By Private Organizations | 35 | 36 | 35 | 35 | 42 |
| o Average Beds Available per Night Through Church and Synagogue Program (n) | 235 | 172 n | 230 | 176 | 230 |
| - Average Beds per Night During Peak Month (n) | 338 | NA | 340 | NA | 340 |
| Housing Placement | | | | | |
| o Placements of New START Center Clients in Publicly Supported Permanent Housing | 1,439 (o) | 421 (o) | 1,350 | 444 | 1,350 |
| o New START Center Clients Placed in Outside Employment | 727 | 219 | 771 | 279 p | 781 |
| CAPITAL BUDGET PERFORMANCE INDICATORS | | | | | |
| Programmatic Indicators | | | | | |
| o Beds Developed for Homeless Individuals | | | | | |
| - Designs Started | 873 | 0 | 0 | 468 | 695 |
| - Construction Started | 0 | 0 | 873 | 0 | 468 |
| - Construction Completed | 0 | 0 | 0 | 0 | 68 |
| o Units Developed for Homeless Families | | | | | |
| - Designs Started | 0 | 0 | 0 | 0 | 276 |
| - Construction Started | 0 | 0 | 0 | 0 | 0 |
| - Construction Completed | 0 | 0 | 0 | 0 | 0 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF HOMELESS SERVICES

- (a) More families applied for temporary housing at the Emergency Assistance Unit (EAU) in the first four months of Fiscal 2001 than during the first four months of Fiscal 2000. As a result, the number of families per day for whom it took more than 24 hours to process an application or place in overnight accommodations increased, as did the number of families staying at the EAU overnight.
- (b) Although more families were found ineligible for temporary housing during the first four months of Fiscal 2001 than during the same period in Fiscal 2000, the proportion of families found ineligible out of the applicant pool is virtually the same.
- (c) The Fiscal 2000 Annual and 4Month Actual numbers were updated to reflect the most current and accurate information. The previously reported numbers did not include families who entered a New START Center in a prior fiscal year.
- (d) Although fewer families returned or were lodged in New START Center housing within one year during the first four months of Fiscal 2001 than during the same period in Fiscal 2000, the proportion of families returning or lodged within one year out of those families entering the New START Center system is virtually the same.
- (e) Hotels accommodate families prior to an eligibility determination from the Eligibility Investigation Unit (EIU) and those eligible families awaiting placement into Tier II facilities. More families were placed in hotels during the first four months of Fiscal 2001 because more families applied for temporary housing at EAU than during the first four months of Fiscal 2000. In addition, some adult families are now included in the hotel census data because they have been placed in hotels when there is no space available in Residences for Adult Families. Adult families placed in hotels receive the same services as those placed into Residences for Adult Families.
- (f) Fewer families were placed in Emergency Assistance Rehousing Program (EARP) apartments because fewer apartments were offered to the program by landlords and a smaller percentage of apartments offered passed the required inspection. The Department plans to increase the number of placements into EARP apartments during the remainder of Fiscal 2001 by encouraging more landlords to participate in the program. See Narrative.
- (g) The number of families relocated into the Department of Housing Preservation and Development (HPD) housing is on target, but lower than last year when there was an unusually high number of placements during the first four months of Fiscal 2000.
- (h) The number of families relocated to New York City Housing Authority (NYCHA) housing is higher than Fiscal 2000 because NYCHA planned to allocate additional units to DHS families in Fiscal 2001.
- (i) Family placements into "other" NYCHA apartments refer to placements resulting from applications for housing that are not filed through DHS. Families may have applied for NYCHA housing prior to entry into the DHS system.
- (j) Due to a lower turnover than the first four months of Fiscal 2000, fewer apartments were available for Mitchell-Lama, non-EARP Section 8 and other housing during the first four months of Fiscal 2001. Unless turnover rates increase to meet the number of Fiscal 2000 permanent housing placements, placements in this category will fall short of the Fiscal 2001 Annual Plan.
- (k) The "Clients Entering Assessment" indicators have been replaced by the "Clients Placed" indicators. These new indicators reflect placements showing positive movement through the DHS system toward the client goal of independent living.

DEPARTMENT OF HOMELESS SERVICES

- (l) During Fiscal 2000 the majority of the general beds at Camp La Guardia were converted to program beds, as planned, when Camp La Guardia was contracted out. More clients were placed from general into program beds during the first four months of Fiscal 2001 compared to the same period in Fiscal 2000 because this transition created more program beds in the system.
- (m) The number of clients placed from assessment into long-term placements is lower during the first four months of Fiscal 2001 than during the same period of Fiscal 2000 because the assessment period has been shortened from 90 to 21 days. This shorter time period is often insufficient for staff to complete an assessment and locate a long-term placement.
- (n) The indicators "Average Beds Available per Night Through Church and Synagogue Program" and "Average Beds per Night During Peak Month" have been redefined to include only those beds available to the Department's outreach teams and drop-in centers. Many churches and synagogues have additional beds for the homeless that are not included here. The Fiscal 2000 4-Month Actual number has been revised according to the new definition, making the data comparable.
- (o) The Fiscal 2000 Annual and the Fiscal 2000 4Month Actual numbers were updated to reflect the most current and accurate information. The previous numbers included preliminary placements that could not be confirmed after the publication of the Fiscal 2000 Mayor's Management Report.
- (p) The number of placements into outside employment for the first four months of Fiscal 2001 is higher than for the same period in Fiscal 2000, as planned. The Department is encouraging more placements into outside employment through its performance incentive program. See Narrative.

DEPARTMENT OF EMPLOYMENT [094]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| ADULT PROGRAMS (a) | | | | | |
| YOUTH PROGRAMS [773] | | | | | |
| Youth Participants | | | | | |
| o Value of Agency Contracts (000) | \$15,672 | \$5,417 | \$11,817 | \$6,922 b | \$11,800 |
| - Number of Contracts | 23 | 23 | 23 | 23 | 23 |
| o Enrollment | 2,456 | 671 | 2,259 | 946 b | 2,200 |
| - High School Dropouts | 70.1% | 69.9% | 70% | 55.2% c | 70% |
| o Participant Outcomes | 2,048 | 341 | 1,824 | 607 b | 1,760 |
| - Placements into Employment (d) | 1,136 | 181 | 422 | 339 | NA |
| - Percentage Placed into Employment (d) | 55.5% | 53.1% | 65% | 55.8% | NA |
| - Placements (d) | NI | NI | 1,470 | by 9/01 e | 1,144 |
| - Percentage Placed (d) | NI | NI | 65% f | by 9/01 e | 65% |
| - Credentials Attained (g) | NI | NI | 1,035 | by 9/01 e | 1,000 |
| - Percentage of Credentials Attained (g) | NI | NI | 50% | by 9/01 e | 50% |
| - Employability Enhancements (g) | 1,240 | 270 | NA | NA | NA |
| - Percentage of Employability Enhancements (g) | 60.5% | 79.2% | NA | NA | NA |
| o Participants Placed Remaining on the Job at 30 days (h) | 59.3% | 52.4% | NA | NA | NA |
| o Participants Placed Remaining on the Job During the First Quarter After Placement (h) | NI | NI | 75% | by 9/01 e | 75% |
| Dislocated Workers | | | | | |
| o Value of Agency Contracts (000) | \$37,375 | \$9,967 | \$11,921 | \$7,961 (i) | \$11,000 |
| - Number of Contracts | 24 | 24 | 16 | 16 (i) | 16 |
| o Enrollment | 5,557 | 1,356 | 2,812 | 893 (i) | 1,400 j |
| o Participant Outcomes | 6,279 | 2,085 | 2,920 | 1,476 (i) | 1,190 j |
| - Placements into Employment | 4,365 | 1,522 | 2,370 | 1,017 (i) | 980 j |
| - Percentage Placed into Employment | 69.5% | 73.0% | 70% | 68.9% | 70% j |
| o Participants Placed Remaining on the Job During the First Quarter After Placement (h) | 3,143 | 779 | 1,695 | 308 (i) | 980 j |
| SUMMER YOUTH PROGRAMS | | | | | |
| o Total Enrollment (k) | 50,499 | 50,499 | 44,000 | 39,610 (l) | NA m |
| - SYEP (Federal) | 40,247 | 40,247 | 36,300 | 29,896 (l) | NA m |
| - JOY (CTL) | 10,252 | 10,252 | 7,700 | 9,714 | NA m |

DEPARTMENT OF EMPLOYMENT [094]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Value of Contracts (000) | \$11,313 | \$8,127 | \$11,313 | \$7,458 | NA m |
| o Contracts in Effect | 68 | 68 | 51 | 53 n | NA m |
| Refugee Entrant Targeted Assistance Program (RETAP) (o) | | | | | |
| o Value of Agency Contracts (000) | \$5,182 | \$1,914 | NA (l) | \$1,951 | NA (l) |
| - Number of Contracts | 8 | 8 | NA (l) | 11 p | NA (l) |
| o Enrollment | 1,801 | 392 | NA (l) | 527 p | NA (l) |
| - Public Assistance Recipients/Individuals at Public As: Level | 27.6% | 20.7% | NA (l) | 13.9% q | NA (l) |
| o Participant Outcomes | 1,977 | 541 | NA (l) | 453 r | NA (l) |
| - Placements into Employment | 1,253 | 386 | NA (l) | 390 r | NA (l) |
| - Percentage Placed into Employment | 63.4% | 71.3% | NA (l) | 86.1% r | NA (l) |
| o Participants Working at 90 Days | 49.6% | 47.9% | NA (l) | 28.0% r | NA (l) |
| CONTRACT PERFORMANCE MONITORING | | | | | |
| o Contracts in Effect | 164 | 161 | 105 | 106 s | by 9/01 t |
| o Value of Agency Contracts | \$73,882 | \$44,069 | \$37,255 | \$25,869 s | by 9/01 t |
| - City Funds | \$5,633 | \$3,411 | \$4,479 | \$2,280 u | \$4,479 |
| - Federal Funds | \$62,530 | \$37,569 | \$31,097 | \$21,366 v | by 9/01 t |
| - Other | \$5,719 | \$3,089 | \$1,679 | \$2,223 w | by 9/01 t |
| o Contractor Assessments Completed | 74 | 33 | * | 13 x | * |
| - Assessments Resulting in Technical Assistance | 23 | 9 | * | 3 x | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation

DEPARTMENT OF EMPLOYMENT

- (a) These indicators have been transferred to the Human Resources Administration (HRA) section. Responsibility for adult program contracts was transferred to HRA beginning July 1999.
- (b) Contract expenses, enrollment and participant outcomes were higher than last year due to timely awarding of contracts in Fiscal 2001.
- (c) The decrease in the number of high school dropouts enrolled in the Department's renamed Youth Occupational Training Program is due to a change in eligibility criteria under the Workforce Investment Act (WIA) which includes participants who have high school diplomas or General Equivalency Diplomas or are enrolled in school.
- (d) Under WIA, "Placements into Employment" and "Percentage Placed into Employment" for older youth have been replaced by "Placements" and "Percentage Placed." The new indicators reflect placements into unsubsidized employment, post-secondary education or advanced training.
- (e) Data for these new indicators under WIA are not available because participants are scheduled to attain these outcomes after the reporting period. The Department will begin reporting data for these indicators in the Fiscal 2001 Mayor's Management Report.
- (f) The Fiscal 2001 Annual Plan Number has been updated to reflect the most current and accurate information.
- (g) Under WIA, "Employability Enhancements" and "Percentage of Employability Enhancements" for older youth have been replaced by "Credentials Attained" and "Percentage of Credentials Attained."
- (h) Under WIA, retention is reported during the first quarter after placement rather than at the 30th or 90th day.
- (i) The decreases in the value of dislocated worker contracts, enrollment and participant outcomes are consistent with the planned decrease in contracts for this period.
- (j) The Fiscal 2002 Preliminary Plan reflects only contracted WIA dislocated worker training providers. The Department will develop indicators to capture data on core and intensive services and training provided through vouchers for the Fiscal 2001 Mayor's Management Report.
- (k) Data for this indicator includes youth enrolled utilizing Temporary Assistance to Needy Families (TANF) funds received from the State in May 2000 as well as federal WIA funds.
- (l) See Narrative.
- (m) Under WIA the Summer Youth Employment Program is no longer a stand-alone program.
- (n) The decrease in contracts compared to the first four months of Fiscal 2000 was due to a decrease in providers who operated the Summer 2000 Youth Employment Program.
- (o) Data for this indicator reflects the period of November to October of each year.
- (p) The increase in the number of contracts and enrollment is consistent with a planned increase in services.

DEPARTMENT OF EMPLOYMENT

- (q) The decrease in the percentage of public assistance recipients enrolled is due to a change in the State's enrollment policy to include individuals with incomes at public assistance level and contractors capturing only the number of public assistance recipients and not the number of participants at public assistance level.
- (r) Data for this indicator is preliminary and will be updated in the Fiscal 2001 Mayor's Management Report.
- (s) The decrease in the number and value of contracts is due to fewer dislocated workers, mature workers and Youth Employment Program providers during this period.
- (t) Data for this indicator is not yet available due to the confirmation of federal funds occurring after the reporting period.
- (u) Contract expenditures decreased as expected compared to the first four months of Fiscal 2000 due to fewer contracts.
- (v) The decrease in expenditure of federal funds is due to a lower grant allocation under WIA.
- (w) The reduction in contract expenses was due to milestone payments not achieved by RETAP providers during this period.
- (x) The decrease in "Contractor Assessments Completed" and "Assessments Resulting in Technical Assistance" is consistent with the decrease in the total number of contracts. Contracts for dislocated worker programs and Youth Employment Program were not scheduled to be evaluated during this reporting period.

DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT [260]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| YOUTH SERVICES | | | | | |
| o Youth Programs | | | | | |
| - Number of Programs | 1,184 | 772 | 1,270 | 650 a | 1,500 |
| - Number of Youth Served | 914,511 | 232,480 | 970,000 | 180,911 a | 980,000 |
| - Percent Achieving Positive Outcomes | 75 | NA | 75 | 75 | 75 |
| o BEACONS | | | | | |
| - Number of Programs | 81 | 76 | 81 | 80 | 81 |
| - Number of Youth and Adults Served | 183,700 | 87,036 | 185,000 | 85,814 | 185,000 |
| - Percent Achieving Positive Outcomes | 80 | 80 | 80 | 80 | 80 |
| o Runaway and Homeless Youth Programs | | | | | |
| - Number of Youth Served | 1,476 | 823 | 5,000 | 993 b | 7,000 |
| - Number of Crisis Beds | 40 | 32 | 66 | 61 c | 66 |
| - Number of Independent Living Transitional Beds | 75 | 75 | 75 | 57 d | 74 |
| o NYC YOUTHLINE | | | | | |
| - Total Calls Received | 64,797 | 10,731 e | 68,000 | 6,351 c | 19,500 |
| - Calls for Crises | 7,875 | 2,583 e | 8,000 | 1,546 c | 5,000 |
| - Calls for Information | 23,683 | 6,636 e | 25,000 | 4,169 c | 1,500 |
| - Other Calls | 33,239 | 1,512 e | 35,000 | 636 c | 2,000 |
| COMMUNITY DEVELOPMENT PROGRAMS | | | | | |
| Neighborhood Development | | | | | |
| Area Programs (NDAs) | 392 | 390 | 431 | 462 f | 473 |
| o Value of NDA Contracts (000) | \$19,982 | \$20,769 | \$20,000 | \$20,337 | \$20,500 |
| o Total Participants | 92,320 | 41,779 | 71,633 | 39,236 | 84,900 |
| o Positive Outcomes | 19,950 | 6,004 | 24,429 | 4,948 f | 28,560 |
| o Youth Programs | 126 | 131 | 155 | 167 f | 167 |
| - Participants | 18,331 | 8,552 | 17,136 | 6,285 f | 21,118 |
| - Positive Outcomes | 4,703 | 793 | 5,028 | 520 f | 6,200 |
| o Children & Family Programs | 26 | 24 | 27 | 31 f | 31 |
| - Participants | 5,622 | 2,427 | 6,663 | 3,732 f | 7,292 |
| - Positive Outcomes | 1,489 | 391 | 1,743 | 171 f | 1,910 |
| o Adult Education/Employment Programs | 28 | 28 | 32 | 33 f | 33 |
| - Participants | 6,592 | 2,889 | 6,316 | 2,940 | 7,400 |
| - Positive Outcomes | 851 | 257 | 2,019 | 231 | 2,360 |
| o Senior Citizens Programs | 70 | 71 | 67 | 73 | 73 |
| - Participants | 17,923 | 8,154 | 7,067 | 6,220 f | 9,148 |
| - Positive Outcomes | 3,357 | 1,770 | 2,186 | 1,085 f | 2,830 |

DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT [260]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Neighborhood Development Programs | 46 | 47 | 54 | 55 f | 55 |
| - Participants | 24,350 | 8,723 | 16,002 | 12,796 f | 17,107 |
| - Positive Outcomes | 3,895 | 1,618 | 7,442 | 1,092 f | 7,960 |
| o Citywide Immigration Programs | 33 | 34 | 33 | 33 | 44 |
| - Participants | 8,624 | 3,517 | 7,600 | 3,045 | 8,000 |
| - Positive Outcomes | 3,909 | 489 | 2,900 | 420 | 3,050 |
| o Other DYCD Projects | 63 | 55 | 69 | 70 f | 70 |
| - Participants | 16,840 | 5,985 | 10,849 | 4,217 f | 14,831 |
| - Positive Outcomes | 2,762 | 686 | 3,111 | 1,429 f | 4,250 |
| NEW YORK CITY ADULT LITERACY INITIATIVE | | | | | |
| o English for Speakers of Other Languages | | | | | |
| - Number of Programs | 29 | 29 | 29 | 29 | 29 |
| - Number of Students Served | 8,269 | 3,393 | 8,000 | 3,654 | 8,000 |
| - Number of Positive Outcomes | 7,193 | NA | 7,200 | NA | 7,200 |
| o Adult Basic Education | | | | | |
| - Number of Programs | 19 | 19 | 20 | 19 | 20 |
| - Number of Students Served | 2,927 | 1,450 | 2,930 | 1,427 | 2,930 |
| - Number of Positive Outcomes | 1,823 | NA | 1,830 | NA | 1,830 |
| o Basic Education in a Native Language | | | | | |
| - Number of Programs | 6 | 6 | 6 | 6 | 6 |
| - Number of Students Served | 604 | 390 | 610 | 392 | 610 |
| - Number of Positive Outcomes | 421 | NA | 400 | NA | 400 |
| CONTRACT PERFORMANCE MONITORING AND EVALUATION | | | | | |
| o Contracts Funded | 1,501 | 1,259 | 1,800 | 1,630 g | 1,800 |
| o Value of Agency Contracts (000) | \$110,056 | \$90,333 | \$100,000 | \$116,316 g | \$120,000 |
| o Value of Intracity Agreements (000) | \$4,075 | \$4,064 | \$4,000 | \$4,064 | \$4,064 |
| o Expenditure Report Reviews | 13,042 | 2,272 | 10,100 | 3,510 h | 3,510 |
| o Programmatic Reviews/Contract Monitoring | 1,708 | 435 | 1,700 | 241 (i) | 300 |
| o Contracts Terminated | 1 | 4 | * | 3 | * |
| o Agency Assessments Completed | 689 | 557 | 875 | 348 j | 863 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT

- (a) The decrease in the "Number of Programs" and "Number of Youth Served" is due to discretionary awards being allocated later than planned, and a reduction in the number of Youth Development Delinquency Prevention (YDDP) contracts renewed for Fiscal 2001 due to contractor non-compliance or decisions not to renew.
- (b) The increase in the number of youth served is due to an increase in the number of operational Runaway Homeless Youth (RHY) service programs during the reporting period.
- (c) See Narrative.
- (d) The decrease in transitional independent living bed capacity is due to the Department withdrawing an award for 30 beds because of contractor non-compliance.
- (e) "Total Calls Received", "Calls for Crises", "Calls for Information" and "Other Calls" during the first four months of Fiscal 2000 have been revised due to the Department no longer including "hang up calls" or the number of people attending YOUTHLINE workshops who receive direct assistance in these categories.
- (f) This indicator reflects new outcome-based contracts that took effect in July 2000 and therefore cannot be compared to the same period in the previous fiscal year. See Narrative.
- (g) The increases in the number and value of agency contracts during Fiscal 2001 are due to the receipt of unplanned discretionary funds, rollover of Community Service Block Grant funds and finalization of The After School Corporation (TASC) contract. See Narrative.
- (h) The increase in "Expenditure Report Reviews" is due to an increase in the total number of contracts awarded.
- (i) The decrease in the total number of programmatic reviews is due to the Department's need to focus on developing new contracts related to unplanned discretionary funds, rollover of Community Service Block Grant funds and TASC.
- (j) The Department expects to complete assessments of all funded agencies by the end of Fiscal 2001. See footnote (i).

DEPARTMENT FOR THE AGING [125]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| PROGRAM SERVICE | | | | | |
| o Senior Citizen Rent Increase Exemption Program (SCRIE) | | | | | |
| - Initial Applications Received | 6,622 | 2,328 a | 6,600 | 3,242 b | 6,600 |
| - Applications Approved | 5,709 | 1,957 | 4,950 | 2,606 b | 4,900 |
| - Applications Denied | 3,703 | 1,312 | * | 1,539 b | * |
| - Senior Citizen Biennial Recertifications Processed | 33,160 | 8,375 | 22,000 | 11,568 c | 22,000 |
| o Senior Citizen Employment Programs | | | | | |
| - Title V Positions Authorized | 746 | 746 | 746 | 746 | 746 |
| - Title V Enrollees | 708 | 736 | 735 | 744 | 735 |
| - Applicants Trained | 416 d | 87 d | 400 d | 131 e | 400 |
| - Applicants Placed in Unsubsidized Employment | 324 d | 57 d | 301 d | 62 | 301 |
| o Nutrition Services | | | | | |
| - Meals Served per Day | 48,493 | 47,908 | 50,115 | 49,127 | 49,000 |
| - Meals Served | 12,123,221 | 4,132,526 | 12,528,693 | 4,234,725 | 12,528,000 |
| HOME CARE SERVICES | | | | | |
| o Hours of Regular Home Care Services Provided | 1,327,952 | 409,759 | 1,806,536 | 490,809 f | 1,800,000 |
| CONTRACT PERFORMANCE MONITORING | | | | | |
| o Contracts in Effect | 541 | 432 | 590 g | 574 g | 590 |
| o Program Assessments and Contract Audits | | | | | |
| - Program Assessments | 560 | 0 | 500 | 0 | 500 |
| - Programs on Conditional Status and Receiving Technical Assistance | 1 | 0 | * | 2 h | * |
| - Fiscal Audits Performed | 290 | 0 | 302 | 2 (i) | 550 j |
| - Programs with Serious Fiscal Deficiencies Identified | 1 | 0 | * | 0 | * |
| - Programs with Serious Fiscal Deficiencies Corrected on Time | 1 | 0 | * | 0 | * |
| - Program Contracts Terminated or Not Renewed as a Result of Fiscal or Programmatic Deficiencies | 2 | 1 | * | 1 | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT FOR THE AGING

- (a) The Fiscal 2000 Preliminary MMR figure has been revised.
- (b) Due to the success of DFTA's outreach and training efforts within relevant communities, the Department received more applications for SCRIE during the first four months of Fiscal 2001 than during the same period last year. (See Narrative.) The increase in both the number of applications approved and denied is a result of the increase in applications.
- (c) The Department processed more recertifications than anticipated due to enhancements to its computerized processing system, SCREEN. SCREEN now allows the SCRIE Unit to more efficiently process both Rent Stabilized and Rent Control recertifications.
- (d) These indicators now include the federal on-the-job training program for skilled senior applicants as well as the Title V program. Previously reported figures have been adjusted to reflect the new definitions.
- (e) The increase in trainees over the same period last year is attributable to an increase in instructors.
- (f) The increase in services provided is due to an additional \$5 million in City funding for home care allocated in Fiscal 2000.
- (g) In order to expedite the release of funds to contracted providers, in Fiscal 2001 the Department began placing discretionary funds in separate contracts rather than as amendments to existing contracts. This policy has resulted in a higher number of contracts, as well as a higher proportion of contracts being in place earlier in the year. In light of this new policy, the Department has revised its Fiscal 2001 Plan.
- (h) Contracted services programs are placed on conditional status and receive technical assistance when performance or management is identified as substandard. In the first four months of Fiscal 2001 only two programs required such assistance.
- (i) To allow sufficient time for revenue confirmations after the close of the fiscal year, program audits do not generally begin until autumn. The bulk of DFTA audits are completed after October each year.
- (j) The Department's Fiscal 2002 Preliminary Plan projects a substantially higher number of audits due to a new policy regarding the frequency of audits. In addition, the Department has increased the number of performance-based contracts, which require more frequent audits.

BOARD OF EDUCATION [040]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| FULL-TIME/FULL-TIME EQUIVALENT ANNUAL EMPLOYEES | | | | | |
| o Total Full Time/ Full-Time Equivalent Employees (a) | 136,885 | 135,452 | * | 137,360 | * |
| - City-Funded | 114,171 | 113,761 | * | 115,670 | * |
| - Percent City-Funded | 83% | 84% | * | 84% | * |
| - Community School District (CSD) Instruction (301) | 42,460 | 44,167 | * | 47,115 | * |
| - CSD Special Education Instruction (303) | 14,701 | 13,531 | * | 12,773 | * |
| - CSD Administration (305) | 7,455 | 7,344 | * | 7,057 | * |
| - High School Instruction (311) | 15,737 | 16,203 | * | 16,446 | * |
| - High School Special Ed. Instruction (313) | 4,219 | 3,978 | * | 3,873 | * |
| - High School Administration (315) | 3,921 | 3,731 | * | 3,819 | * |
| - Special Education Citywide Instruction (321) | 10,206 | 10,137 | * | 9,894 | * |
| - Special Education Support Services (323) | 3,888 | 3,741 | * | 3,895 | * |
| - Special Education Administration (325) | 726 | 624 | * | 724 b | * |
| - Special Education School Based Adm. (327) | 1,196 | 1,141 | * | 838 b | * |
| - School Facilities-custodial/maint (335) | 1,984 | 1,986 | * | 1,971 | * |
| - School Food Services (339) | 5,989 | 5,552 | * | 5,539 | * |
| - Central Administration (353) | 1,629 | 1,515 | * | 1,675 c | * |
| - Special Commissioner of Investigations | 60 | 57 | * | 51 | * |
| - Reimbursable-Categorical Programs (381) | 22,714 | 21,691 | * | 21,690 | * |
| - Percent Reimbursable from State and Federal Source | 17% | 16% | * | 16% | * |
| o All Central Administration | 3,132 | 3,126 | * | 3,095 | * |
| - Percent City-Funded | 76% | 76% | * | 76% | * |
| o Number of Full-Time Teachers (d) | 77,020 | 78,162 | 79,250 | 79,924 | by 9/01 |
| - Certified Teachers (e) | 65,462 | 68,126 | 66,750 | 67,317 | 67,425 |
| AGENCYWIDE INDICATORS | | | | | |
| o Budgeted Cost per Pupil (Average) | \$9,573 | NA f | \$9,820 | NA f | by 9/01 |
| - Elementary | \$9,150 | NA f | * | NA f | * |
| - Intermediate/Jr. High | \$9,088 | NA f | * | NA f | * |
| - High School | \$8,433 | NA f | * | NA f | * |
| - Special Education | \$26,589 | NA f | * | NA f | * |
| o Total Pupil Enrollment (October Registers) | 1,100,312 | 1,102,152 | 1,116,421 | 1,108,111 g | 1,119,457 |
| - Pre-Kindergarten | 34,043 | 34,378 | 44,174 | 40,948 h | 44,174 |
| - Elementary | 509,620 | 509,792 | 506,688 | 504,341 | 500,536 |
| - Intermediate/Jr. High | 189,504 | 189,933 | 196,090 | 195,024 | 202,446 |
| - High School enrollment | 282,162 | 283,833 | 284,964 | 282,785 | 287,220 |
| - High School (Regents diploma) | 250,377 | 249,221 | * | 247,379 | * |
| - Alternative Programs (GED and Non-Diploma) | 31,785 | 34,612 | * | 35,406 | * |
| - Home Instruction | 875 | 875 | 875 | 875 | 875 |
| - Special Education | 84,108 | 83,341 | 83,630 | 84,138 | 84,206 |

BOARD OF EDUCATION [040]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Pupil Attendance | 88.1% | 88.8% | 90% | 89.3% | 90.1% |
| - Elementary | 91.5% | 91% | 91.6% | 92.9% | * |
| - Intermediate/Jr. High | 90.2% | 89.7% | 90.5% | 91.1% | * |
| - High School | 80.1% | 81.5% | 81% | 80.1% | * |
| - High School (Regents Diploma) | 82.2% | NA f | * | NA f | * |
| - Alternative Programs (GED and Non-Diploma) | 75.4% | NA f | * | NA f | * |
| - Special Schools | 79.6% | 78% | 79.7% | 80.3% | * |
| o Pupils - Racial/Ethnic Composition | | | | | |
| - Black | 35.2% | NA | 35.2% | NA (i) | * |
| - Hispanic | 37.7% | NA | 37.8% | NA (i) | * |
| - White | 15.4% | NA | 15.3% | NA (i) | * |
| - Asian/Pacific Islander | 11.3% | NA | 11.3% | NA (i) | * |
| - American Indian | 0.4% | NA | 0.4% | NA (i) | * |
| COMMUNITY SCHOOL DISTRICTS | | | | | |
| Elementary and Intermediate/Junior High Schools [301, 302, 303, 304, 305, 306] | | | | | |
| o Average Class Size (End of October) (j) | | | | | |
| - Kindergarten (k) | 22.2 | 22.3 | 22 | 21.3 | 21 |
| - Grade 1 (k) | 22.5 | 22.4 | 22 | 22.2 | 22 |
| - Grade 2 (k) | 22.7 | 22.6 | 22 | 22.4 | 22 |
| - Grade 3 (k) | 23 | 23 | 22 | 22.9 | 22 |
| - Grade 4 | 27 | NA | 28 | NA (l) | 28 |
| - Grade 5 | 27.6 | NA | 28 | NA (l) | 28 |
| - Grade 6 | 27.2 | NA | 29 | NA (l) | 29 |
| - Grade 7 | 27.7 | NA | 29 | NA (l) | 29 |
| - Grade 8 | 27.4 | NA | 29 | NA (l) | 29 |
| - Grade 9 | 27.5 | NA | 29 | NA (l) | 29 |
| o Percent of Classes with 28 or More Students (m) | 8.3% | 7.8% | * | 6.1% n | by 9/01 |
| - Grade 1 | 7.8% | 7.4% | * | 5.9% n | by 9/01 |
| - Grade 2 | 7.5% | 7.1% | * | 5.2% n | by 9/01 |
| - Grade 3 | 9.6% | 9% | * | 7.1% n | by 9/01 |
| o General Education and Resource Room Pupils Achieving Basic Proficiency or Above in English Language Arts (o) | | | | | |
| - All Grades, District Schools | 39.8% | NA f | 45.8% p | NA f | 48% |
| - Grade 3 | 42.2% | NA f | 49% p | NA f | by 9/01 |
| - Grade 4 | 41.7% | NA f | 47.5% p | NA f | by 9/01 |
| - Grade 5 | 40.8% | NA f | 47% p | NA f | by 9/01 |
| - Grade 6 | 43.9% | NA f | 50% p | NA f | by 9/01 |
| - Grade 7 | 35.8% | NA f | 42% p | NA f | by 9/01 |
| - Grade 8 | 32.5% | NA f | 39.5% p | NA f | by 9/01 |

BOARD OF EDUCATION [040]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Reading Progress for Low Performing Students | | | | | |
| - Elementary Schools: Percent of Students in Proficiency | | | | | |
| Level 1 Progressing into a Higher Proficiency Level | 44.1% p | NA f | 45% | NA f | 46% |
| - Middle Schools: Percent of Students in Proficiency | | | | | |
| Level 1 Progressing into a Higher Proficiency Level | 43.8% p | NA f | 44.4% p | NA f | 45.4% |
| o General Education and Resource Room | | | | | |
| Pupils Achieving Basic Proficiency or Above in Mathematics (o) | | | | | |
| - All Grades, District Schools | | | | | |
| | 33.7% | NA f | 34.5% | NA f | 35.3% |
| - Grade 3 | 38.7% | NA f | 40% | NA f | 41% |
| - Grade 4 | 46.2% | NA f | 47.2% p | NA f | 48.2% |
| - Grade 5 | 37.3% | NA f | 39% | NA f | 40% |
| - Grade 6 | 26.5% | NA f | 27% | NA f | 28% |
| - Grade 7 | 27.7% | NA f | 29% | NA f | 30% |
| - Grade 8 | 22.6% | NA f | 23.5% | NA f | 24.5% |
| o Mathematics Progress for Low Performing Students | | | | | |
| - Elementary Schools: Percent of Students in Proficiency | | | | | |
| Level 1 Progressing into a Higher Proficiency Level | 33.1% p | NA f | 32% p | NA f | 33% |
| - Middle Schools: Percent of Students in Proficiency | | | | | |
| Level 1 Progressing into a Higher Proficiency Level | 18.3% p | NA f | 19.5% p | NA f | 20.5% |
| o Students Promoted (Regular Classes) | | | | | |
| - All Levels | | | | | |
| | 91.1% | NA f | 91.3% | NA f | 91.5% |
| - Grade 1 | 89.8% | NA f | 90% | NA f | 90.2% |
| - Grade 2 | 94% | NA f | 94.2% | NA f | 94.4% |
| - Grade 3 | 93.8% | NA f | 94% | NA f | 94.2% |
| - Grade 4 | 96% | NA f | 96.2% | NA f | 96.4% |
| - Grade 5 | 96.8% | NA f | 97% | NA f | 97.2% |
| - Grade 6 | 96.9% | NA f | 97.1% | NA f | 97.3% |
| - Grade 7 | 96.6% | NA f | 96.8% | NA f | 97% |
| - Grade 8 | 97.2% | NA f | 97.4% | NA f | 97.5% |
| - Grade 9 | 64.4% q | NA f | 64.6% | NA f | 64.8% |
| o Schools Under Registration Review (SURR) | | | | | |
| Elementary/Middle Schools | | | | | |
| - Number of Schools Added | 15 | NA f | 15 | NA f | 15 |
| - Number of Schools Removed | 16 | NA f | 17 | NA f | 18 |
| -Total Number of SURR schools | 86 | NA f | 85 | NA f | 84 |
| HIGH SCHOOLS | | | | | |
| [311, 312, 313, 314, 315, 316,] | | | | | |
| o Average Instructional Class Size (Grades 9-12) | | | | | |
| | 31 | NA f | 31 | NA f | 31 |

BOARD OF EDUCATION [040]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Number of Classes with More Than 34 Students | | | | | |
| - Fall | 1,347 | 1,360 | 1,350 | 1,197 | 1,200 |
| - Spring | 872 | NA f | 790 | NA f | 790 |
| o Number of Students Enrolled in Courses Ending in Regents | | | | | |
| - English Language Arts | 68,404 | NA f | 68,500 | NA f | 68,500 |
| - Mathematics | 147,173 | NA f | 147,250 | NA f | 147,250 |
| o Number of Students Taking Regents Examination | | | | | |
| - English Language Arts | 50,917 | NA f | 51,000 | NA f | 51,000 |
| - Mathematics | 109,916 | NA f | 110,000 | NA f | 110,000 |
| o Number of Students Passing Regents Examination | | | | | |
| - English Language Arts | 27,038 | NA f | 28,119 | NA f | 29,244 |
| - Mathematics | 55,259 | NA f | 57,467 | NA f | 59,768 |
| o Percent of Students Passing Regents | | | | | |
| - English Language Arts | 53.1% | NA f | 53.5% | NA f | 53.9% |
| - Mathematics | 50.3% | NA f | 50.7% r | NA f | 51.1% |
| o Percent of Students in Cohort Receiving a Score of 65 or Higher | | | | | |
| - English Language Arts | 47.7% | NA f | 48.1% r | NA f | 48.5% |
| - Mathematics | 46.9% | NA f | 47.2% r | NA f | 47.6% |
| o Number of Students Meeting Graduation Requirements | | | | | |
| - English Language Arts | 41,554 | NA f | 42,135 | NA f | 42,600 |
| - Mathematics | 67,840 | NA f | 68,382 | NA f | 68,860 |
| o Percent of Students Meeting Graduation Requirements | | | | | |
| - English Language Arts | 81.6% | NA f | 82.4% r | NA f | 83.2% |
| - Mathematics | 61.7% | NA f | 62.5% | NA f | 63.3% |
| o Percent of Students in Cohort Meeting Graduation Requirements | | | | | |
| - English Language Arts | 70.5% | NA f | 71% r | NA f | 71.5% |
| - Mathematics | 80.8% | NA f | 81.3% r | NA f | 81.8% |
| o Annual Regents Diplomas Rate | 30% | NA f | 31% r | NA f | 32% |
| o High School Graduates | | | | | |
| - 4-Year Cohort Rate (Class of 2000) | 49.7% | NA f | 50.2% r | NA f | by 9/01 |
| - 7-Year Final Cohort Rate (Class of 1997) | 69.7% | NA f | 70.2% r | NA f | by 9/01 |
| o High School Dropouts | | | | | |
| - 4-Year Cohort Rate (Class of 2000) | 19.5% | NA f | 19% r | NA f | by 9/01 |
| - 7-Year Final Cohort Rate (Class of 1997) | 30.3% | NA f | 30% r | NA f | by 9/01 |

BOARD OF EDUCATION [040]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Annual High School Dropout Rate | 7.3% | NA f | 7.1% r | NA f | by 9/01 |
| o Total High School Dropouts | 21,706 | NA f | 21,000 r | NA f | by 9/01 |
| o School to Career Education | | | | | |
| - High School Occupational Programs Students Enrolled | 135,848 | NA f | 136,000 | NA f | 135,000 |
| o Guidance and Career Education Program | | | | | |
| - Occupational Education and Guidance for Handicapped Youths | 15,296 | NA f | 16,000 | NA f | 16,000 |
| o Schools Under Registration Review (SURR) | | | | | |
| - Number of Schools Added | 3 | NA f | 3 | NA f | 3 |
| - Number of Schools Removed | 0 | NA f | 1 | NA f | 2 |
| - Total number of SURR schools | 12 | NA f | 11 | NA f | 10 |
| SUMMER SCHOOL (s) | | | | | |
| o Registered | | | | | |
| - Mandated (Grades 3-8) | | | | | |
| - Mandated to attend (t) | 60,392 | NA f | 62,000 | NA f | by 1/02 |
| - Enrollment (u) | 52,843 | NA f | 54,250 | NA f | by 1/02 |
| - Attendance rate (v) | 74.9% | NA f | 76% | NA f | by 1/02 |
| - Non-Mandated (Grades K-8) | | | | | |
| - Enrollment (u) | 131,486 | NA f | 132,000 | NA f | by 1/02 |
| - Attendance rate (v) | 73.7% | NA f | 75% | NA f | by 1/02 |
| - High Schools | | | | | |
| - Enrollment (u) | 97,131 | NA f | 98,000 | NA f | by 1/02 |
| - Attendance rate (v) | 59.2% | NA f | 61% | NA f | by 1/02 |
| o Overall | | | | | |
| - Enrollment (u) | 281,460 | NA f | 284,250 | NA f | by 1/02 |
| - Attendance rate (v) | 69.1% | NA f | 71.2% | NA f | by 1/02 |
| o Percent of Student Promoted upon Completing Summer School | | | | | |
| - Mandated (Grades 3-8) (w) | 64.2% | NA f | 65% | NA f | by 1/02 |
| - High School (w) | 21.7% | NA f | 22.2% | NA f | by 1/02 |
| ADULT AND CONTINUING EDUCATION | | | | | |
| o Adult Basic Education Enrollment | | | | | |
| - Basic Education | 10,820 | 5,366 | 10,000 | 5,404 | 9,500 |
| - English as a Second Language | 13,309 | 9,038 | 12,300 | 7,034 x | 12,500 |
| - GED Preparation | 4,988 | 2,358 y | 4,000 | 1,934 x | 4,000 |

BOARD OF EDUCATION [040]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o EDGE Enrollment | | | | | |
| - Orientation/Career Development | 5,176 | 1,801 y | 4,500 | 1,947 | 3,500 |
| - Educational Services | 5,176 | 1,801 | 4,500 | 1,947 | 3,500 |
| SPECIAL EDUCATION | | | | | |
| [321, 322, 323, 324, 325, 326, 327, 328, 370] | | | | | |
| o Enrollment | 168,172 | 156,454 | * | 159,548 | * |
| - Less Restrictive Environment (LRE) | 69,362 | 63,756 | * | 66,039 | * |
| - Percent in LRE | 41.2% | 40.8% | * | 41.4% | * |
| - Related Service | 15,765 | 15,431 | * | 16,687 | * |
| - Consultant Teacher Program | 1,746 | 1,498 | * | 1,666 | * |
| - Resource Rooms | 46,985 | 43,155 | * | 42,398 | * |
| - Integrated Program Pre-School | 581 | 275 | * | 359 z | * |
| - Integrated Programs School Age | 4,285 | 3,397 | * | 4,929 z | * |
| - More Restrictive Environment (MRE) | 79,257 | 78,454 | * | 78,514 | * |
| - Percent in MRE | 47.1% | 50.2% | * | 49.2% | * |
| - Regional Self-Contained | 59,345 | 59,632 | * | 59,299 | * |
| - Citywide Self-Contained | 17,001 | 16,245 | * | 16,898 | * |
| - Home Instruction | 2,138 | 1,612 | * | 1,525 | * |
| - Hospital/Agency Programs | 773 | 965 | * | 792 aa | * |
| - Nonpublic Programs | 19,553 | 14,244 | * | 14,995 | * |
| - Percent in Nonpublic Programs | 11.6% | 9.1% | * | 9.4% | * |
| - Pre-school | 14,154 | 9,576 | * | 10,531 | * |
| - School age | 5,399 | 4,668 | * | 4,464 | * |
| o Case Referrals/Evaluations Due | 143,626 | 74,759 | * | 76,255 | * |
| - Current Year Total (referrals) | 131,803 | 62,936 | * | 63,030 | * |
| - Initial | 34,222 | 6,634 | * | 6,773 | * |
| - Reevaluations | 48,533 | 7,254 | * | 9,008 bb | * |
| - Triennial | 49,048 | 49,048 | * | 47,249 | * |
| - Carryover from Prior Year | 11,823 | 11,823 | * | 13,225 | * |
| o Number of Cases Completed | 121,018 | 22,476 | * | 27,075 bb | * |
| - Percent of Cases Completed | 84.3% | NA f | * | NA f | * |
| - Program Recommendations | 106,415 | 18,865 | * | 22,396 bb | * |
| - Percent of Program Recommendations Within 30 Days | 60.3% | 41.4% | * | 44% | * |
| - Initial | 25,737 | 5,687 | * | 6,633 bb | * |
| - Reevaluations | 49,306 | 8,261 | * | 9,618 bb | * |
| - Triennial | 31,372 | 4,917 | * | 6,145 bb | * |
| - Case Closings (Withdrawals) | 14,603 | 3,611 | * | 4,679 bb | * |
| o Number of Students with Disabilities Moved Through the Continuum of Educational Services | 19,051 | 9,842 | * | 11,126 | * |
| - Less Restrictive Environment | 11,188 | 5,119 | * | 5,800 | * |
| - More Restrictive Environment | 7,863 | 4,723 | * | 5,326 | * |

BOARD OF EDUCATION [040]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Actual Decertifications (1999-2000) | 5,190 | 2,397 | * | 2,288 cc | * |
| o Graduate Rates for Students in Special Education | | | | | |
| - 4-Year Cohort Rate (Class of 2000) | 62% | NA f | 6.5% r | NA f | by 9/01 |
| - 7-Year Final Cohort Rate (Class of 1997) | 36.3% | NA f | 36.5% r | NA f | by 9/01 |
| o Dropout Rates for Students in Special Education | | | | | |
| - 4-Year Cohort Rate (Class of 2000) | 25.7% | NA f | 25.3% r | NA f | by 9/01 |
| - 7-Year Final Cohort Rate (Class 1997) | 45.5% | NA f | 63.6% r | NA f | by 9/01 |
| BILINGUAL EDUCATION | | | | | |
| [301, 302, 303, 304, 311,312, 313, 314, 353, 354] | | | | | |
| o Entitled Limited English Proficiency (LEP) | | | | | |
| Students (1-40th Percentile) | 139,709 | NA f | 133,500 | NA f | by 9/01 |
| - Community School Districts Total | 100,339 | NA f | 96,200 | NA f | by 9/01 |
| - High Schools Total | 39,370 | NA f | 37,300 | NA f | by 9/01 |
| o Entitled LEP Students Receiving | | | | | |
| Services (General Education) | 132,442 | NA f | 126,800 | NA f | by 9/01 |
| - Bilingual/English as a Second | | | | | |
| Language (ESL) Instruction | 65,386 | NA f | 62,100 | NA f | by 9/01 |
| - ESL Instruction Only | 67,056 | NA f | 64,700 | NA f | by 9/01 |
| o Community School Districts Total | 97,664 | NA f | 93,800 | NA f | by 9/01 |
| o High Schools Total | 34,778 | NA f | 33,000 | NA f | by 9/01 |
| o Entitled Students Receiving Services | 94.8% | NA f | 95% | NA f | by 9/01 |
| o Students Served by the English Language Learners Programs | | | | | |
| - 3 Years or Less | 63.8% | NA f | 65.5% | NA f | by 9/01 |
| - 4 Years | 10.4% | NA f | 11% | NA f | by 9/01 |
| - 5 Years | 8.4% | NA f | 8% | NA f | by 9/01 |
| - 6 Years | 6.3% | NA f | 5.4% | NA f | by 9/01 |
| - 7 Years or More | 11.1% | NA f | 10.5% | NA f | by 9/01 |
| o Teachers Providing Bilingual and ESL Instruction | 6,716 | NA f | 6,500 | NA f | by 9/01 |
| o Students Achieving ELL Progress Standards | | | | | |
| Elementary Schools | | | | | |
| - Language Assessment Battery Test | | | | | |
| (English Lab) | 63% | NA f | 63.5% | NA f | 64% |
| - Math Progress (Translated Math) | 8% | NA f | 8.5% | NA f | 9% |
| - Native Language Reading (Spanish) | 61.8% | NA f | 62.5% | NA f | 63.2% |
| - Students Exiting ELL Programs | 26.8% | NA f | 27.5% | NA f | 28.2% |

BOARD OF EDUCATION [040]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Student Achieving ELL Progress Standards | | | | | |
| Middle Schools | | | | | |
| - Language Assessment Battery Test (English Lab) | 51.4% | NA f | 53% | NA f | 53.5% |
| - Math Progress (Translated Math) | 4.2% | NA f | 4.4% | NA f | 4.6% |
| - Native Language Reading (Spanish) | 54% | NA f | 55% | NA f | 55.8% |
| - Students Exiting ELL Programs | 11.6% | NA f | 14.5% | NA f | 15.2% |
| SCHOOL FOOD SERVICES | | | | | |
| [339, 340, 353, 354] | | | | | |
| o Average Lunches Served Daily | | | | | |
| - Free | 658,603 dd | 685,050 ee | 657,000 | 692,493 | 658,000 |
| - Reduced Price | 549,661 dd | 601,248 ee | 550,000 | 604,269 | 550,000 |
| - Full Price | 39,621 dd | 18,274 ee | 39,000 | 19,591 | 39,000 |
| o Average Breakfasts Served Daily | | | | | |
| - Free | 158,907 dd | 143,618 ee | 157,000 | 149,398 | 158,000 |
| - Reduced Price | 142,525 dd | 137,014 ee | 142,000 | 141,065 | 142,000 |
| - Full Price | 6,502 dd | 1,930 ee | 6,000 | 2,288 ff | 6,000 |
| o Total Number of Eligibility Applications on File (1041 Form | | | | | |
| - Free | 813,650 dd | 811,273 ee | 815,000 | 816,463 | 817,000 |
| - Reduced Price | 734,132 dd | 738,356 ee | 735,000 | 740,726 | 737,000 |
| | 79,518 dd | 72,917 ee | 80,000 | 75,737 | 80,000 |
| PUPIL TRANSPORTATION | | | | | |
| [338, 353, 354] | | | | | |
| o Contract Bus Riders | | | | | |
| - Special Education | 176,128 | 172,311 | 178,000 r | 174,191 | 179,000 |
| - General Education | 63,128 | 61,311 | 64,000 | 61,291 | 65,000 |
| o Summer Services Contract Bus Riders | | | | | |
| - Special Education - Full Day | 113,000 | 111,000 | 114,000 | 112,900 | 114,000 |
| | 19,602 | 19,602 | 25,000 | 24,610 gg | 25,000 |
| | 19,602 | 19,602 | 25,000 | 24,610 gg | 25,000 |
| SCHOOL FACILITIES | | | | | |
| o School Buildings | | | | | |
| - Net Square Feet (000,000) | 1,179 | 1,173 | 1,184 | 1,180 | by 9/01 |
| - Average (Custodial) Plant Operation Cost per Net Square Foot | 122.6 | 121.4 | 123.1 | 122.8 | by 9/01 |
| - Average (Contracted Out) Plant Operation Cost per Net Square Foot | \$4.26 | NA f | \$4.35 | NA f | by 9/01 |
| | \$5.04 | NA f | \$5.10 | NA f | by 9/01 |
| o Leased Sites | | | | | |
| - Total Lease Cost (Tax Levy in Millions) | \$55.2 | \$18.5 | \$69.6 | \$20.2 | by 9/01 |
| - Number of Sites | 173 | 170 | 178 | 173 | by 9/01 |

BOARD OF EDUCATION [040]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Utilization of School Buildings (Percentage of Schools) | | | | | |
| - Elementary Schools (927 Schools) | | | | | |
| 100 Percent or More Utilized | 63.5% | NA f | * | NA f | * |
| - Middle/Junior High Schools (211 Schools) | | | | | |
| 100 Percent or More Utilized | 26.5% | NA f | * | NA f | * |
| - High Schools (190 Schools) | | | | | |
| 100 Percent or More Utilized | 62.6% | NA f | * | NA f | * |
| o Maintenance/Activities | | | | | |
| - Average Maintenance Cost per Net Square Foot | \$1.42 | NA f | \$1.45 | NA f | by 9/01 |
| o Maintenance/Repair | | | | | |
| - Total New Work Orders Requested | 38,273 hh | 5,390 | * | 25,752 (ii) | * |
| - Total New Work Orders Accepted | | | | | |
| - Percent | 92.9% hh | 96.9% | * | 93.6% | * |
| - Number | 35,546 hh | 5,224 | * | 24,109 (ii) | * |
| - Total Work Orders Completed | 25,659 hh | 6,449 | * | 9,987 (ii) | * |
| - Completed in 90 Days | | | | | |
| - Percent | 35.2% hh | 20.4% | * | 38% (ii) | * |
| - Number | 9,040 hh | 1,315 | | 3,799 (ii) | |
| - Net Work Order Backlog at End of Period | 15,001 hh | 19,955 | * | 29,123 jj | * |
| o Building Dept. Violations (Hazardous) | | | | | |
| - Number of Violations Received | 756 | 77 | * | 53 kk | * |
| - Violations Dismissed | 164 | 40 | * | 72 (ll) | * |
| - Total Backlog (mm) | 1,614 | NI | * | 1,595 | |
| - Violations Pending Dismissal | 94 | 75 | * | 105 (ll) | * |
| - Net Backlog | 1,520 | 984 | * | 1,490 nn | * |
| o New Seats Provided | | | | | |
| - BOE's Capital Task Force | 3,026 | 800 | 1,000 | 305 (oo) | by 9/01 |
| - Leasing | 650 | 0 | 1,055 r | 25 | by 9/01 |
| - Other | 0 | 0 | 0 | 0 | by 9/01 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

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- (a) This indicator does not include Board of Education (the Board) School Safety staff of which there were 55 in Fiscal 2000 and 54 in the first four months of Fiscal 2001.
- (b) In order to support a seamless approach to delivering special education services to students, a comprehensive formula entitled, "Academic Intervention Services" was developed for community school districts. Because of the change in allocation and budgeting procedures, many district employees who were charged to Special Education School Based Administration in Fiscal 2000 are now being charged to Special Education Administration and Special Education Support Services.
- (c) Responsibility for School Safety was transferred from the Board to the New York City Police Department (NYPD) in Fiscal 1999. Previously reflected in the School Safety indicator, Board staff assigned to related administrative functions are now included in Central Administration. See Footnote (a).
- (d) The indicators included under this heading are also counted under the individual division/departments.
- (e) This new indicator reflects the number of certified teachers who are teaching within the public school system.
- (f) These indicators report seasonal data and are reported once a year in the Fiscal Mayor's Management Report.
- (g) This figure is an estimate based on the October 2000 school registers, which have not been audited. Audited numbers will be reported in the Fiscal 2001 Mayor's Management Report.
- (h) The primary contributor to the growth in pre-kindergarten enrollment is the increase in the Universal Pre-Kindergarten program. See Narrative.
- (i) Data is calculated once a year, in February, from the Annual Pupil Ethnic Census.
- (j) This indicator does not include Special Education classes.
- (k) This indicator has been revised beginning in Fiscal 2001 to reflect the Board's methodology to include classes with additional personnel. Classes with 28 or more students are assigned a paraprofessional to assist the teacher. The indicator does not include Bridge classes, which are established when there are not enough pupils in a particular grade to form a class; they include children in two consecutive grades.
- (l) The Board audits average class size in grades 4 through 9 in March of each year.
- (m) This indicator has been revised to reflect classes with 28 or more students rather than 29 or more students. It includes Bridge classes and overcrowded classes that receive additional personnel under the Early Grade Size Reduction Program.
- (n) The decrease is due to the Board's goal to reduce class size in grades kindergarten through grade 3 to an average of 20 students by the beginning of the 2001-2002 school year. See Narrative.
- (o) During the 1999-2000 school year the Board established consistent standards for proficiency levels and scale score cutoffs for the citywide tests in grades 3, 5, 6 and 7 and the State tests in grades 4 and 8. This indicator aligns City and State tests for reading and mathematics for all students in grades 3 through 8.

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- (p) Fiscal 2000 Annual Actual and Fiscal 2001 Plan data reported in the Fiscal 2000 Mayor's Management Report were preliminary. Data was revised upon receiving results of State tests in English Language Arts in grade 8 and mathematics tests in grades 4 and 8.
- (q) Previously reported grade 9 promotional rates were based on anticipated promotion rates in June 2000 for students in community school districts. The new statistic includes actual Fall promotion rates for all grade 9 students.
- (r) This plan figure has been revised since the Fiscal 2000 Mayor's Management Report.
- (s) This new indicator reflects data on summer school for community school districts and high schools for mandated and non-mandated participants. Students who attended summer school in Summer 2000 are counted as enrolled during the 1999-2000 school year. This indicator replaces the previous indicator entitled Summer School Enrollees.
- (t) This new indicator reflects the number of students in grades 3 through 8 who were required to attend summer school because they did not meet promotional criteria.
- (u) This new indicator reflects the number of students who were actually enrolled in summer school.
- (v) This new indicator reflects the percent of enrolled students who actually attended summer school.
- (w) This new indicator reflects the number of students who were promoted based on the established promotional criteria after attending summer school.
- (x) Although funding level has remained the same, program costs increased during the reporting period, resulting in fewer students being served.
- (y) This figure has been revised since the Preliminary Fiscal 2000 Mayor's Management Report.
- (z) The increase represents the Board's objective to educate more disabled pre-school students in settings with their non-disabled peers in the Board's integrated programs.
- (aa) The decrease represents fewer students deemed needing restrictive settings such as hospital, residential treatment and day treatment programs.
- (bb) The increase represents more students being reevaluated for consideration for either a program in a less restrictive setting or a return to general education, as part of the Board's objective to expedite student movement. In addition, School Based Support Teams/Committees on Special Education were more productive, bringing more cases to completion in all categories, including initials, reevaluations and triennial evaluations.
- (cc) More recent data through December 2000 shows an increase in the number of students decertified from special education.
- (dd) Figures reported in the Fiscal 2000 Mayor's Management Report were estimated figures. Figures have been revised to reflect actual data.
- (ee) Figures reported in the Preliminary Fiscal 1999 Mayor's Management Report were estimated figures. Figures have been revised to reflect actual data.
- (ff) These figures are based upon the first month of school.

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- (gg) The increase is due to the expanded Summer 2000 program. Both regional and citywide special education children were transported.
- (hh) The Fiscal 2000 actual figures have been updated to reflect data as of June 30, 2000 and implementation of a new maintenance database tracking system known as PassPort.
- (ii) In Fiscal 2001 skilled trades staff are addressing more smaller scope work repairs than in Fiscal 2000. During Fiscal 2000, the skilled trades concentrated on larger work repairs, which were part of the District Maintenance Program (DMP). These larger DMP repairs are now being completed by contractors and not by the skilled trades staff.
- (jj) The increase in the backlog reflects an increase in the number of smaller scope work request tasks being assigned to skilled trades compared to Fiscal 2000.
- (kk) This figure includes 47 violations issued prior to Fiscal 2001 but received after July 1, 2000. As a result of increased violations corrections over the past year, fewer sites were found to have hazardous conditions.
- (ll) The Board was provided with \$5 million in additional federal Community Development funds in Fiscal 2001 for the correction of violations.
- (mm) This new indicator reflects the total violation backlog, including open violations and violations that have been corrected by the Board but are pending dismissal by the Department of Buildings.
- (nn) In Fiscal 1999 the Department of Buildings began annual inspections of all school buildings. The backlog includes 163 violations for which the hazardous conditions have been removed and the sites stabilized. However, additional work is necessary before the violations can be dismissed.
- (oo) The decrease in seats is a result of fewer school sites being completed. Larger sites presently undergoing partitioning will be ready by September 2001.

CITY UNIVERSITY OF NEW YORK

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| ENROLLMENT | | | | | |
| o Enrollment at Senior Colleges (Fall) | | | | | |
| - Undergraduate Degree | 101,735 | 101,735 | 98,717 | 100,561 | 100,160 |
| - Graduate Degree | 18,236 | 18,236 | 17,492 | 17,935 | 17,598 |
| - Non-Degree | 8,965 | 8,965 | 9,533 | 10,277 | 9,638 |
| - Total | 128,936 | 128,936 | 125,742 | 128,773 | 127,396 |
| - First-time Freshmen | 13,541 | 13,541 | 14,352 a | 14,098 | 14,943 |
| - SEEK First-time Freshmen | 2,334 | 2,334 | * | 2,336 | * |
| o Enrollment at Community Colleges (Fall) | | | | | |
| - Undergraduate Degree | 52,701 | 52,701 | 53,404 | 53,157 | 55,910 |
| - Non-Degree | 9,371 | 9,371 | 9,312 | 10,021 | 9,311 |
| - Total | 62,072 | 62,072 | 62,716 | 63,178 | 65,221 |
| - First-time Freshmen | 10,501 a | 10,501 | 11,133 | 10,726 | 11,370 |
| - College Discovery First-time Freshmen | 1,374 | 1,374 | * | 1,283 | * |
| o Enrollment at Graduate School and Law School (Fall) | | | | | |
| - Total | 3,986 | 3,986 | 3,986 | 3,786 | 3,986 |
| o Total University Enrollment (Fall) | 194,994 | 194,994 a | 192,444 | 195,737 | 196,602 |
| INCOMING STUDENTS | | | | | |
| o Percentage Taking the SAT (b) | | | | | |
| - Baccalaureate Degree Granting Programs | 92.9% | 92.9% c | 100% | 98.7% | 100% |
| - Associate Degree Granting Programs | 77% | 77% c | * | 56.9% c | * |
| o Average SAT of Regular Baccalaureate Program Students Admitted | 1,043 | 1,043 | 1,049 | 1,054 | 1,059 |
| o College Admissions Average of Regular Baccalaureate Program Students Admitted | 84.6 | 84.6 | 84.8 | 84.3 | 85.3 |
| o Percentage of Freshmen Accepted in Baccalaureate Programs Who Enroll | 42.5% | 42.5% a | * | 41.2% | * |
| o College Now Program | | | | | |
| - High Schools Participating | 110 | 90 | 161 | 145 d | 161 |
| - Participants (Fall) | 11,000 | 7,000 | 20,000 | 13,111 d | 37,500 |
| o One Year Retention | | | | | |
| - Regularly Admitted Baccalaureate Entrants | 80.3% | 80.3% | 82% a | 80.7% | 84% |
| - Regularly Admitted Associate Entrants | 64.9% | 64.9% | 66% a | 64.7% | 68% |
| REMEDIATION | | | | | |
| o Percent of Total Entering Students Passing All 3 Placement Tests by the Start of the Fall Semester | | | | | |
| - Baccalaureate Degree Programs | 67.4% a | 67.4% a | * | 78.1% e | * |
| - Associate Degree Programs | 21.9% a | 21.9% a | * | 18% e | * |

CITY UNIVERSITY OF NEW YORK

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Percent of Regularly Admitted Entering Students Passing | | | | | |
| All 3 Placement Tests by the Start of the Fall Semester | | | | | |
| - Baccalaureate Degree Programs | 73% a | 73% a | 80% | 84.5% e | 100% |
| - Associate Degree Programs | 22% a | 22% a | 24% | 18.1% e | 24% |
| o Percent of Total Entering Baccalaureate Degree Candidates Required to Take Remedial Courses (Fall) | 28.4% | 28.4% | * | NA f | * |
| o Percent of Regularly Admitted Entering Baccalaureate Degree Candidates Required to Take Remedial Courses (Fall) | 23% | 23% | 7.1% | NA f | 0% |
| o Percent of Total Associate Degree Candidates Required to Take Remedial Courses (Fall) | 69.7% | 69.7% | * | NA f | * |
| o Percent of Regularly Admitted Entering Associate Degree Candidates Required to Take Remedial Courses (Fall) | 69.3% | 69.3% | * | NA f | * |
| DEGREES | | | | | |
| o Total Degrees Granted | | | | | |
| - Baccalaureate Degrees | 13,331 | NA | * | NA | * |
| - Associate Degrees | 8,421 | NA | * | NA | * |
| - Certificates | 171 | NA | * | NA | * |
| o Percent of Total Baccalaureate Entrants Receiving a Degree (f), (g) | | | | | |
| - In Four Years | 10.8% | NA | * | NA | * |
| - In Five Years | 25.7% | NA | * | NA | * |
| - In Six Years | 32.7% | NA | * | NA | * |
| o Percent of Regularly Admitted Baccalaureate Entrants Receiving a Degree (f), (g) | | | | | |
| - In Four Years | 11.9% | NA | * | NA | * |
| - In Five Years | 27.8% | NA | * | NA | * |
| - In Six Years | 36.2% | NA | 40% | NA | 42% |
| o Percent of Total Associate Entrants Receiving a Degree (f), (h) | | | | | |
| - In Two Years | 1% | NA | * | NA | * |
| - In Four Years | 15.8% | NA | * | NA | * |
| - In Six Years | 24.7% | NA | * | NA | * |
| o Percent of Regularly Admitted Associate Entrants Receiving a Degree (f), (h) | | | | | |
| - In Two Years | 1% | NA | * | NA | * |
| - In Four Years | 15.3% | NA | * | NA | * |
| - In Six Years | 24.3% | NA | 29% | NA | 30% |

CITY UNIVERSITY OF NEW YORK

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| POST-GRADUATION EMPLOYMENT & EDUCATION | | | | | |
| o Employment Status of Baccalaureate Degree Recipients (Percent) (i) | | | | | |
| - Employed | DNA | NA | * | DNA | * |
| - Not Employed, Still in School | DNA | NA | * | DNA | * |
| - Not Employed and Not in School | DNA | NA | * | DNA | * |
| o Employment Status of Associate Degree Recipients (Percent) (i) | | | | | |
| - Employed | DNA | NA | * | DNA | * |
| - Not Employed, Still in School | DNA | NA | * | DNA | * |
| - Not Employed and Not in School | DNA | NA | * | DNA | * |
| o Employment Status of Vocational Associate Degree Recipients (Percent) (j) | | | | | |
| - Employed | 79.8% a | NA | 80% a | NA | 81% |
| - Not Employed, Still in School | by 9/01 | NA | * | NA | * |
| - Not Employed and Not in School | by 9/01 | NA | * | NA | * |
| PROFESSIONAL LICENSING | | | | | |
| o New York State Teacher Certification Examination (NYSTCE) | | | | | |
| - Students Taking Exam | by 9/01 | NA | * | NA | * |
| - Percent of Students Passing | by 9/01 | NA | 80% | NA | 82% |
| o National Council Licensure Examination for Registered Nurses (NCLEX-RN) | | | | | |
| - Students Taking Exam | by 9/01 | 108 | * | NA | * |
| - Percent of Students Passing | by 9/01 | 86.1% | 81% | NA | 82% |
| o Uniform CPA Examination | | | | | |
| - Students Taking Exam | by 9/01 | NA | * | NA | * |
| - Percent of Students Passing | by 9/01 | NA | * | NA | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

- (a) This figure has been revised.
- (b) Data on students admitted via the special admissions programs, SEEK and College Discovery are not included in this indicator.
- (c) For Fiscal 2001 CUNY drew data for this indicator from CUNY applications. For Fiscal 2000 a more complete set of data was obtained directly from the College Board. Since Associate Degree program applicants are not required to submit SAT scores, fewer scores were obtained from the CUNY application.
- (d) During the 2000-2001 academic year CUNY and the Board of Education extended the program to 9th graders while expanding participation to all public high schools.
- (e) Higher admissions standards, expansion of immersion programs and stronger applicant pools are leading to improved performance on CUNY skills assessment tests at the baccalaureate level. The Fall 2000 decline in Associate Degree Programs is under review by CUNY.
- (f) These indicators reflect seasonal data and are reported once a year in the Fiscal Mayor's Management Report.
- (g) Four-year, five-year and six-year rates reported in Fiscal 2000 are computed by tracking groups of full-time freshmen entering CUNY in Fall 1996, 1995 and 1994 respectively.
- (h) Two-year, four-year and six-year rates reported in Fiscal 2000 are computed by tracking groups of full-time, first-time freshmen entering CUNY in Fall 1998, 1996 and 1994 respectively.
- (i) In Fiscal 2001 CUNY plans to implement a new annual survey of graduates each academic year, beginning with the 1999-2000 academic year. The first results are expected to be reported in Fiscal 2002.
- (j) Fiscal 2001 data is for 1999-2000 graduates and Fiscal 2000 data is for 1997-1998 graduates.

DEPARTMENT OF CONSUMER AFFAIRS [866]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|-------------|---------|-------------|----------|-------------|
| | Annual | 4-Month | Annual | 4-Month | Preliminary |
| | Actual | Actual | Plan | Actual | Plan |
| ENFORCEMENT | | | | | |
| o Full-Time Inspectors | 84 | 81 | 88 | 84 | 88 |
| o License Law and Padlock Law | | | | | |
| - License Inspections Performed | 59,897 | 16,307 | 55,000 | 18,529 | 55,000 |
| - Inspections per Person-Day (Average) | 14 | 11.11 | 9.5 | 12.15 | 9.5 |
| - License Violations Issued | 27,985 | 5,741 | 23,000 | 10,254 a | 23,000 |
| - Padlock Citations Issued | 2,299 | 619 | 2,700 | 706 | 2,700 |
| - Padlock Closings | 286 | 113 | 280 | 112 | 280 |
| o Weights and Measures Law and Consumer Protection Law | | | | | |
| - Inspections Performed | 41,042 | 12,350 | 36,000 | 14,150 | 36,000 |
| - Inspections per Person-Day (Average) | 7.4 | 7.4 | 7.8 | 7.6 | 7.8 |
| o Weights and Measures Law | | | | | |
| - Violations Issued | 13,798 | 3,116 | * | 5,982 b | * |
| o Consumer Protection Law | | | | | |
| - Violations Issued | 4,396 | 666 | * | 1,945 c | * |
| - Compliance Ratio (Inspections to Violations) | 1.5:1 | 1.6:1 | 2.1:1 | 1.83:1 | 2.1:1 |
| o Select Enforcement Initiatives | | | | | |
| - HIC Inspections Performed | 4,924 | 724 | * | 1,142 d | * |
| - HIC Citations Issued | 253 | 141 | * | 39 d | * |
| - HIC Confiscation | 55 | 18 | * | 35 d | * |
| - Public Pay Telephone Inspections Performed | 6,599 | 1,446 | NA | NA | NA |
| - Public Pay Telephone Inspections per Person-Day (Average) | 15.2 | 13 | NA | NA | NA |
| - Stoopline Stands Violations | 11,202 | 1,446 | * | 3,548 a | * |
| - Sale of Tobacco to Minors (Inspections) | 6,522 | 2,902 | * | 4,224 e | * |
| - Tobacco Violations Issued | 1,723 | 719 | * | 650 | * |
| - Tobacco Fines Collected (000) | \$398 | \$180 | * | \$260 e | * |
| ADJUDICATION | | | | | |
| o Total Dispositions | 10,059 | 3,330 | 10,800 | 4,149 f | 10,800 |
| o Hearable Dispositions | | | | | |
| - License Law | 2,226 | 784 | 2,300 | 649 f | 2,300 |
| - Padlock Law | 2,400 | 710 | 2,700 | 783 | 2,700 |
| - Consumer Protection Law | 1,401 | 778 | 2,400 | 864 | 2,400 |
| - Weights and Measures | 2,692 | 734 | 2,300 | 1,162 f | 2,300 |
| o Non-Hearable Dispositions | | | | | |
| - Consumer Protection Law | 1,078 | 245 | 900 | 593 f | 900 |
| - Weights and Measures | 262 | 69 | 200 | 98 f | 200 |

DEPARTMENT OF CONSUMER AFFAIRS [866]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Appeals | | | | | |
| - Hearable Dispositions | 414 | 137 | * | 293 f | * |
| LEGAL AFFAIRS | | | | | |
| o Total Cases Opened | 1,182 | 245 | 970 | 203 g | 970 |
| - License Law | 465 | 59 | 370 | 57 | 370 |
| - Padlock Law | 424 | 140 | 265 | 16 g | 265 |
| - Consumer Protection Law | 256 | 26 | 300 | 129 g | 300 |
| - Weights and Measures Law | 35 | 15 | 35 | 1 h | 35 |
| LICENSE ISSUANCE | | | | | |
| o Current Number of Licenses | 88,974 | 90,376 | 90,000 | 93,006 | 103,000 |
| o Licenses and Permits Issued | 29,312 | 7,684 | 28,000 | 13,208 (i) | 28,000 |
| - New Applications Accepted | 10,165 | 3,320 | 11,500 | 6,043 (i) | 11,500 |
| - New Applications Processed | 98% | 98% | 98% | 97% | 98% |
| o Business-Related Inquiries Answered | 115,733 | 75,093 | 105,000 | 67,023 | 105,000 |
| o Average Applicant Waiting Time (Minutes) | 9.13 | 8.8 | 15 | 10.3 (i) | 15 |
| COLLECTIONS | | | | | |
| o Total Agency Collections (000) | \$3,069 | \$989 | \$2,900 | \$1,181 j | \$2,900 |
| - In-House Collections (000) | \$1,364 | \$437 | \$1,300 | \$532 j | \$1,300 |
| CONSUMER SERVICES | | | | | |
| o Requests for Information | 233,445 | 107,225 | 190,000 | 73,314 k | 190,000 |
| - Inquiries Answered | 193,922 | 90,753 | 162,000 | 61,506 k | 162,000 |
| - Referrals | 32,734 | 9,686 | 28,000 | 11,808 (l) | 28,000 |
| o Valid Complaints in Mediation | 7,039 | 2,483 | 7,000 | 2,267 | 7,000 |
| o Complaints Closed | 7,394 | 2,614 | 7,500 | 2,487 | 7,500 |
| - Percent of Valid Complaints Closed | 97% | 100% | 95% | 100% | 95% |
| - Resolved in Favor of Consumer | 5,136 | 1,745 | 4,500 | 1,723 | 4,500 |
| - Other Resolution (Court, Invalid, Withdrawn, Hearing, Out of Business) | 4,258 | 869 | 3,250 | 764 | 3,250 |
| o Average Complaint Processing Time (Days) | | | | | |
| - Parking Lots | 26 | 25 | 35 | 32 m | 35 |
| - Furniture Stores | 35 | 26 | 40 | 26 | 40 |
| - Electronics Stores | 29 | 23 | 35 | 27 n | 35 |
| - HICs - Unlicensed | 26 | 26 | 30 | 23 | 30 |
| - HICs - Licensed | 29 | 28 | 35 | 30 | 35 |
| o Consumer Restitution (000) | \$1,982 | \$653 | * | \$556 | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF CONSUMER AFFAIRS

- (a) The increase is due to a greater number of compliance inspections for stoopline stands, parking lots/garages and second hand dealers.
- (b) The increase is due to a redeployment of inspectors to the Weights and Measures squad as well as a focus on package information violations.
- (c) This increase is due to the Department's new electronic store initiative.
- (d) This variance is due to a greater number of field inspections targeting unlicensed activity.
- (e) This increase is due to the hiring of additional tobacco enforcement inspection staff.
- (f) This variance is due to the Department's focus on electronic stores initiatives and Weights and Measures Law inspections.
- (g) This variance is due to greater focus on electronic store cases and Consumer Protection Law violations.
- (h) This decrease is due to fewer gas station cases and the result of the Department's negotiations to settle with a major Weights and Measures Law violator.
- (i) This increase is due to the transfer of licensing authority for retail cigarette dealers from the Department of Finance to the Department of Consumer Affairs.
- (j) The Department's requirement to settle unpaid fines prior to renewal has increased collections related to tobacco licenses.
- (k) This decrease is due to the new Interactive Voice Response (IVR) System that gives more detailed information enabling the consumer to obtain answers without speaking with a consumer services representative.
- (l) This increase is due to the training of mediators to help them more readily identify vendors and make appropriate referrals to other City agencies.
- (m) This increase is due to a greater number of more complex damage issues instead of rate issues.
- (n) This increase is due to a greater number of mail order purchase complaints from out-of-City consumers.

DEPARTMENT OF BUILDINGS [810]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| PLAN EXAMINATION | | | | | |
| [001, 002] | | | | | |
| o New Applications Filed | 55,295 | 18,449 | 55,295 | 19,981 | * |
| - New Buildings | 4,624 | 1,472 | 4,624 | 1,630 | * |
| - Alteration 1 | 5,847 | 1,925 | 5,847 | 2,078 | * |
| - Alteration 2 & 3 | 44,824 | 15,052 | 44,824 | 16,273 | * |
| o Applications Examined for First Time | 55,153 | 18,558 | 55,153 | 19,928 | * |
| - New Buildings | 4,552 | 1,463 | 4,552 | 1,574 | * |
| - Alteration 1 | 5,859 | 1,988 | 5,859 | 2,056 | * |
| - Alteration 2 & 3 | 44,742 | 15,107 | 44,742 | 16,298 | * |
| o Average Days to First Examination | 6.1 | 6.8 | 5.5 | 7.5 | 5.5 |
| - New Buildings | 10.9 | 13.6 | 13.6 | 17.7 a | 13.6 |
| - Alteration 1 | 10.9 | 12.3 | 8.2 | 9.2 a | 8.2 |
| - Alteration 2 & 3 | 4.8 | 4.8 | 2.7 | 4.8 | 2.7 |
| - Builders' Pavement Plans | 2.9 | 3.8 | 6.5 | 0.7 b | 6.5 |
| - Sewer, Drainage and Septic Work | 0.7 | 0.7 | 2 | 0.7 | 2 |
| o Average Wait Time (Minutes:Seconds) | 28:04 | NI | NI | 29:13 | NI |
| o Number of Sewer Design 1 & 2 Reviews Completed | 368 | 215 | 368 | 173 c | * |
| o Number of Site Connection Proposal Reviews Completed | 132 | 43 | 132 | 97 d | * |
| o Examinations Performed | 187,287 | 66,645 | * | 72,038 | * |
| o Applications Approved | 53,443 | 18,147 | * | 18,708 | * |
| o Building Permits Issued | 68,702 | 23,449 | 68,702 | 24,919 | * |
| - New Buildings | 4,825 | 1,723 | 4,825 | 1,637 | * |
| - Alteration 1 | 5,076 | 1,715 | 5,076 | 1,788 | * |
| - Alteration 2 & 3 | 58,801 | 20,011 | 58,801 | 21,494 | * |
| o Building Permits Renewed | 13,004 | 3,402 | 13,004 | 5,258 e | * |
| - New Buildings | 3,245 | 1,111 | 3,245 | 1,346 e | * |
| - Alteration 1 | 1,923 | 515 | 1,923 | 654 e | * |
| - Alteration 2 & 3 | 7,836 | 1,776 | 7,836 | 3,258 e | * |
| CERTIFICATE OF OCCUPANCY (CO) | | | | | |
| o Applications Filed | 8,580 | 2,975 | 8,580 | 2,527 f | * |
| o Applications Approved | 9,847 | 3,536 | 9,847 | 3,161 | * |

DEPARTMENT OF BUILDINGS [810]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Applications Canceled | 0 | 0 | 0 | 0 | * |
| o Applications Pending Due to Objections | 7,860 | 7,989 | * | 7,746 | * |
| ENFORCEMENT | | | | | |
| o DOB Violations Issued | 14,956 | 4,449 | * | 5,160 g | * |
| - Construction | 3,493 | 922 | * | 1,386 g | * |
| - Plumbing | 483 | 234 | * | 49 h | * |
| - Elevators | 1,699 | 556 | * | 521 | * |
| - Boilers | 1,152 | 274 | * | 691 (i) | * |
| - Electrical | 8,129 | 2,463 | * | 2,513 | * |
| o DOB Violations Removed | 9,294 | 2,771 | * | 3,070 | * |
| - Construction | 3,688 | 1,134 | * | 1,140 | * |
| - Plumbing | 142 | 34 | * | 24 j | * |
| - Elevators | 809 | 114 | * | 487 j | * |
| - Boilers | 28 | 5 | * | 12 j | * |
| - Electrical | 4,627 | 1,484 | * | 1,407 | * |
| o DOB Summonses Issued | 533 | 79 | * | 131 k | * |
| o ECB Violations Issued | 36,339 | 12,420 | * | 11,572 | * |
| - Construction | 22,980 | 8,362 | * | 7,240 | * |
| - Plumbing | 678 | 203 | * | 154 h | * |
| - Elevators | 10,333 | 3,284 | * | 2,773 (l) | * |
| - Boilers | 1,222 | 294 | * | 717 (i) | * |
| - Other | 1,126 | 277 | * | 688 m | * |
| o ECB Violations Adjudicated | 32,075 | 6,447 | * | 6,407 | * |
| - Construction | 19,032 | 3,397 | * | 3,487 | * |
| - Plumbing | 734 | 116 | * | 104 | * |
| - Elevators | 9,536 | 2,378 | * | 1,907 n | * |
| - Boilers | 1,041 | 166 | * | 386 n | * |
| - Other | 1,732 | 390 | * | 523 n | * |
| o ECB Violations Adjudicated | 32,075 | 6,447 | * | 6,407 | * |
| - Cured Violations | 6,132 | 1,850 | * | 1,636 | * |
| - Stipulations | 1,761 | 392 | * | 519 n | * |
| - Judgments | 19,415 | 3,792 | * | 3,807 | * |
| - Dismissed | 4,767 | 413 | * | 445 | * |
| o ECB Violations Removed | 23,318 | 7,456 | * | 8,144 | * |

DEPARTMENT OF BUILDINGS [810]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| AGENCYWIDE | | | | | |
| o Complaints Registered | 41,077 | 13,894 | * | 17,277 (o) | * |
| o Complaints Resolved | 47,270 | 15,555 | * | 15,855 | * |
| o Number of Inspections | 329,068 | 104,660 | * | 114,048 | * |
| - Construction | 133,931 | 39,867 | * | 49,180 p | * |
| - Elevators | 68,855 | 23,649 | * | 22,251 | * |
| - Plumbing | 58,096 | 18,564 | * | 18,575 | * |
| - Boilers | 15,527 | 5,414 | * | 6,252 (i) | * |
| - Electrical | 45,121 | 15,065 | * | 15,149 | * |
| - Crane and Derrick | 7,538 | 2,101 | * | 2,641 q | * |
| o Inspections per Person per Day | | | | | |
| - Construction | 11 | 10.1 | 9.8 | 11.3 | * |
| - Elevators | 5.9 | 6.1 | 9.7 | 5.2 | * |
| - Plumbing | 12 | 12.3 | 10.8 | 12.6 | * |
| - Boilers | 13.5 | 13.9 | * | 11.7 r | * |
| - Electrical | 9.6 | 9.9 | 11.5 | 10.4 | * |
| - Crane and Derrick | 4.9 | 4.7 | 6.3 | 5.5 q | * |
| o Professional Certifications Received | | | | | |
| - Plumbing | 16,451 | 4,955 | 16,451 | 5,003 | * |
| - Inspections Self-Certified | 27.4% | 26.5% | * | 26.3% | * |
| - Elevators | 37,034 | 21,585 | 37,034 | 15,712 s | * |
| - Boilers | 82,810 | 21,822 | 82,810 | 22,811 | * |
| o Professional Certifications Audited | | | | | |
| - Plumbing | 23.1% | 23.9% | 20% | 19.4% t | 20% |
| - Elevators | 24.7% | 31.7% | 20% | 34.2% | 20% |
| - Boilers | 10% | 14.8% | 5% | 15.6% | 5% |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF BUILDINGS

- (a) DOB was unable to reach its planned target due to an increase in the number of applications filed during the first four months of Fiscal 2001.
- (b) The decrease in the average days to examine pavement plans is attributed to the increased use of the Professional Certification Program.
- (c) The Department reviewed all Sewer Design 1 & 2 proposals received. The number of proposals received is related to the overall state of the construction industry.
- (d) The Department reviewed all Site Connection proposals received. The number of proposals received is related to the overall state of the construction industry.
- (e) The number of building permits renewed for New Buildings and Alteration 1, 2 & 3 is related to the overall state of construction industry.
- (f) The number of Certificate of Occupancy applications filed fluctuates from year to year based on the overall state of the construction industry.
- (g) Additional enforcement efforts, such as sign enforcement, resulted in an increase in the number of violations issued.
- (h) There were fewer plumbing complaints in the first four months of Fiscal 2001, which led to a decrease in the number of violations issued.
- (i) An increase in headcount in the Boiler Division contributed to an increase in the number of inspections and violations issued.
- (j) These numbers fluctuate from year to year based on the number of requests from owners to remove DOB violations. A DOB violation is removed only after the owner indicates that the condition has been corrected and an inspector verifies the claim.
- (k) DOB summonses issued increased as a result of the efforts of the Special Enforcement Unit and increased sign enforcement.
- (l) There were fewer elevator inspectors in the first four months of Fiscal 2001, which resulted in a decrease in the number of violations issued.
- (m) The number of violations issued increased due to enhanced enforcement efforts.
- (n) The adjudication of Environmental Control Board (ECB) violations fluctuate according to the ECB hearing calendar.
- (o) As anticipated, the number of complaints registered increased due to the implementation of a centralized call center.
- (p) An increase in headcount in the Construction Division contributed to an increase in the number of inspections.
- (q) More inspections were conducted as a result of an increasingly experienced inspection staff in the Crane and Derrick Division.
- (r) Newly hired inspectors in the Boiler Division generally perform fewer inspections per day than more experienced staff members.
- (s) This indicator reflects the number of required elevator inspections performed by private companies who have been hired by property owners.
- (t) A decrease in headcount in the Plumbing Division contributed to a decrease in the number of plumbing professional certifications audited during the first four months of Fiscal 2001.

TAXI AND LIMOUSINE COMMISSION [156]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| CONSUMER RELATIONS | | | | | |
| o Medallion Complaints Received | 14,129 a | 4,384 a | 14,000 | 4,215 | 14,000 |
| Selected Violations Generated from Medallion Complaints | | | | | |
| - Service Refusals | 3,529 a | 938 | * | 1,005 | * |
| - Driver Rudeness | 5,186 a | 1,741 | * | 1,483 | * |
| - Abusive Behavior by Driver | 1,985 a | 682 | * | 628 | * |
| - Physical Abuse by Driver | 353 a | 124 | * | 133 | * |
| - Overcharges | 1,810 a | 613 | * | 550 | * |
| - Traffic Rules | 4,864 a | 1,743 | * | 1,512 | * |
| - Air-Conditioning | 205 a | 143 a | * | 35 b | * |
| o FHV Complaints Received | 1,022 | 326 | 800 | 402 c | 950 |
| o Number of Completed Cases | 16,627 | 5,196 | 12,000 | 4,400 d | 12,000 |
| o Average Time to Resolve Complaints (Days) | 26.6 e | 26.5 e | 40 f | 41.5 f | 40 |
| o Average Time to Adjudicate Complaint (Days) (g) | 26.6 e | 26.5 e | NA | NA | NA |
| o Average Time to Schedule a Hearing (Days) (g) | NI | NI | 40 | 41.6 | 40 |
| ADJUDICATIONS | | | | | |
| o Hearings to Final Disposition | 77,025 | 22,362 | NA | NA h | NA |
| - Generated from Consumer Complaints | 4,070 | 1,150 | NA | NA h | NA |
| - Generated from Summonses | 72,955 | 21,212 | NA | NA h | NA |
| o Total Violations Adjudicated to Final Disposition (h) | NI | NI | 80,000 | 33,168 | 85,000 |
| - Generated from Consumer Complaints | NI | NI | 3,500 | 3,702 | 6,000 |
| - Generated from Summonses | NI | NI | 76,500 | 39,466 | 79,000 |
| LEGAL AFFAIRS | | | | | |
| o License Revocations | 758 | 313 | * | 124 (i) | * |
| LICENSING | | | | | |
| o Medallion Driver Licenses Issued | 35,160 | 12,063 | 19,750 | 6,625 j | 19,750 |
| - New Licenses | 2,127 | 818 | 2,500 | 785 | 2,500 |
| - Renewal Licenses | 33,033 | 11,245 | 17,250 | 5,840 j | 17,250 |
| o For-Hire Vehicle Driver Licenses Issued | 45,262 | 15,360 | 24,100 | 8,982 j | 24,100 |
| - New Licenses | 11,405 | 3,645 | 12,000 | 3,562 | 12,000 |
| - Renewal Licenses | 33,857 | 11,715 | 12,100 | 5,420 j | 12,100 |
| o For-Hire Base Licenses Issued | 453 | 596 | 320 | 79 k | 320 |
| - New Licenses | 28 | 11 | 20 | 7 (l) | 20 |
| - Renewal Licenses | 425 | 75 | 300 | 72 | 300 |

TAXI AND LIMOUSINE COMMISSION [156]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - License Renewals Pending | 437 | 510 | NA | NA | NA |
| o For-Hire Vehicle Licenses Issued | 48,271 | 14,150 | 29,000 | 6,772 j | 29,000 |
| - New Licenses | 17,231 | 4,907 | 15,000 | 4,007 m | 15,000 |
| - Renewal Licenses | 31,040 | 9,243 | 14,000 | 2,765 j | 14,000 |
| INSPECTIONS | | | | | |
| o Summonses Issued for Non-Inspection | 2,825 | 998 | * | 976 | * |
| - Generated from Failure to Inspect | 2,161 | 752 | * | 769 | * |
| - Generated from Failure to Reinspect | 664 | 246 | * | 207 n | * |
| Safety and Emissions Medallion Inspections | | | | | |
| o Total Conducted | 54,695 | 18,416 | 57,000 (o) | 17,593 | 55,000 |
| - Passed | 33,954 | 11,225 | 35,000 | 11,143 | 34,000 |
| - Failed | 20,741 | 7,191 | 22,000 (o) | 6,450 | 21,000 |
| o Medallions Removed as a Result of Inspections | 427 | 121 | 450 | 161 p | 450 |
| o Safety Conditions Corrected | 18,343 | 6,212 | 15,500 | 4,658 q | 15,000 |
| ENFORCEMENT | | | | | |
| Medallion Patrol | | | | | |
| o Summonses Issued | 6,286 | 2,014 | 7,450 | 1,784 | 6,500 |
| - Owners | 4,027 | 1,396 | 4,050 | 976 r | 3,500 |
| - Drivers | 2,259 | 618 | 3,400 | 808 s | 3,000 |
| For-Hire-Vehicle Patrol | | | | | |
| o Summonses Issued | 34,998 | 9,021 | 28,000 (o) | 10,033 | 28,500 |
| - Owner | 17,228 | 4,638 | 15,500 (o) | 5,364 t | 15,500 |
| - Drivers | 7,945 | 2,196 | 9,500 | 2,198 | 8,000 |
| - Bases | 9,825 | 2,187 | 3,000 (o) | 2,471 | 5,000 |
| o Vehicles Seized | 5,335 | 1,927 | 6,500 (o) | 1,718 | 6,000 |
| Operation Refusal | | | | | |
| o Drivers Tested | 4,000 | 924 | 4,000 | 1,165 u | 4,000 |
| o Refusal Summonses Issued | 222 | 104 | * | 60 v | * |
| o Other Summonses Issued | 2,763 | 1,071 | * | 629 v | * |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

TAXI AND LIMOUSINE COMMISSION

- (a) Due to a tracking error the numbers published in the Fiscal 2000 Mayor's Management Report were incorrect. These numbers have now been corrected.
- (b) The decrease is due to requirements for auxiliary air-conditioning units in all taxicabs.
- (c) The increase is due to greater public awareness of TLC's Consumer Hotline and enhanced complaint recording procedures.
- (d) The variance is due to the resolution of a temporary backlog and the resulting high number of cases completed during the comparable Fiscal 2000 reporting period.
- (e) Due to an error in tracking, these numbers do not accurately reflect this indicator. The Agency is in the process of determining the correct average and will report these numbers in the Fiscal 2001 Mayor's Management Report.
- (f) Due to a revised reporting process, these numbers accurately reflect the indicator.
- (g) "Average Time to Schedule a Hearing (Days)" will now be reported instead of "Average Time to Adjudicate Complaint (Days)" to more accurately reflect the interaction between the complainant and the adjudication process.
- (h) Due to the implementation of the Citywide Administrative Management Information System (CAMIS), "Total Violations Adjudicated to Final Disposition" will now be reported instead of "Hearings to Final Disposition."
- (i) The variance is due to fewer drug test failures and the revocation of fewer licensees under the Persistent Violator Program.
- (j) The variance is due to the issuance of two-year licenses, which began in June 1999. The extension of the valid term, for new and renewal licenses, was changed from one to two years.
- (k) In the Fiscal 2000 reporting period "Licenses Renewals Pending" was included in this indicator.
- (l) The variance is due to fewer base license applications received.
- (m) The variance is due to a decrease in for-hire vehicle applications received.
- (n) The decrease is due to greater compliance with the TLC re-inspection rule.
- (o) The indicator has been revised to reflect current planned regulatory activity.
- (p) The increase is due to stricter enforcement of expired medallions in connection with the annual medallion renewal program.
- (q) The decrease is due to fewer notices of violation being issued as a result of greater compliance with the vehicle equipment rules.
- (r) The decrease is due to greater compliance with the taxicab owners rules.
- (s) The increase is due to additional special enforcement initiatives in connection with the new EZ Pass requirements.
- (t) The variance is due to a low number of owner summonses issued in the prior reporting period as a result of a temporary redeployment of enforcement personnel.

TAXI AND LIMOUSINE COMMISSION

- (u) The increase is due to an unusually low number of driver tests conducted in the prior reporting period as a result of a temporary redeployment of enforcement personnel.
- (v) The variance is due to greater compliance with TLC rules and regulations.

DEPARTMENT OF FINANCE [836]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 Annual Actual | Fiscal 2000 4-Month Actual | Fiscal 2001 Annual Plan | Fiscal 2001 4-Month Actual | Fiscal 2002 Preliminary Plan |
|---|---------------------------------|----------------------------------|-------------------------------|----------------------------------|------------------------------------|
| AUDIT AND TAX ENFORCEMENT | | | | | |
| o Desk Audits | | | | | |
| - Desk Examined Returns | 323,001 | 119,832 | 244,175 | 80,784 a | 244,000 |
| - Desk Audits Completed | 26,620 | 8,690 | 23,566 | 7,236 a | 21,800 |
| - Audit Revenue Collected (000) | \$30,210 | \$11,401 | * | \$8,248 b | |
| Field Audits (Major Taxes) | | | | | |
| o Corporate Taxes | | | | | |
| - Audits Completed | 554 | 211 | 527 | 140 a | 520 |
| - Audit Revenue Collected (000) | \$200,045 | \$60,343 | * | \$86,462 c | |
| o Income Tax | | | | | |
| - Audits Completed | 552 | 184 | 519 | 158 | 525 |
| - Audit Revenue Collected (000) | \$21,614 | \$9,493 | \$24,326 | \$5,544 b | \$17,500 |
| o Sales Tax | | | | | |
| - Audits Completed | 752 | 306 | 873 | 286 | 850 |
| - Audit Revenue Collected (000) | \$16,595 | \$8,808 | * | \$8,097 | |
| o Commercial Rent and Hotel Tax | | | | | |
| - Audits Completed | 481 | 139 | 423 | 111 a | 410 |
| - Audit Revenue Collected (000) | \$18,107 | \$4,698 | * | \$8,486 c | |
| REVENUE OPERATIONS | | | | | |
| Tax Processing | | | | | |
| o Delinquent Tax Collections | | | | | |
| - Telephone Dunning (000) | \$6,775 | \$1,765 | \$7,600 | \$4,491 d | \$7,600 |
| - Field Collections (000) | \$7,634 | \$841 | \$8,200 | \$1,642 d | \$8,200 |
| - Collections Processing (000) | \$39,414 | \$10,442 | \$42,000 | \$16,270 d | \$42,000 |
| PROPERTY | | | | | |
| o Real Property Tax Delinquency Rate | 2.96 | NA | * | NA | NA |
| PLANNING AND COMPLIANCE | | | | | |
| o Real Property Refunds and Transfers Processed | | | | | |
| - Amount of Refunds Issued (000) | 26,046 | 8,372 | 34,350 | 8,769 | 35,000 |
| | \$169,434 | \$134,607 | \$210,000 | \$78,555 e | \$220,000 |
| o Real Property Tax Refund Turnaround Time (Days) | 22 | 23 | 20 | 23 | 20 |
| o Office of the City Collector | | | | | |
| Average Waiting Time (Minutes) | 4.5 | 3 | DNA | 4.7 f | NA |
| o Inquiries Addressed by Taxpayer Assistance Unit | | | | | |
| | 417,567 | 119,086 | 482,004 | 128,283 | 490,000 |

DEPARTMENT OF FINANCE [836]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 Annual Actual | Fiscal 2000 4-Month Actual | Fiscal 2001 Annual Plan | Fiscal 2001 4-Month Actual | Fiscal 2002 Preliminary Plan |
|--|---------------------------------|----------------------------------|-------------------------------|----------------------------------|------------------------------------|
| o Tax Lien Ombudsman Inquiries | | | | | |
| - General Inquiries | 22,553 | 5,396 | 23,680 | 5,204 | 20,816 |
| - Senior Inquiries | 10,451 | 2,373 | 10,974 | 2,104 | 8,416 |
| o Neighborhood Payment Center Activity | | | | | |
| - Number of Transactions | 301,598 | 89,051 | 320,000 | 120,613 g | 350,000 |
| - Dollars Collected | | | | | |
| - Parking Violations (000) | \$15,345 | \$4,500 | \$16,200 | \$6,054 g | \$18,500 |
| - Real Estate (000) | \$22,940 | \$9,700 | \$28,000 | \$6,489 h | \$30,000 |
| - Water (000) | \$252 | \$14 | \$500 | \$284 g | \$750 |
| o Electronic Parking Violations Payments Received | | | | | |
| - Number of Transactions | | | | | |
| - IVR | 111,798 | 31,994 | 153,000 | 50,506 (i) | 200,000 |
| - Internet | 10,868 | DNA | 108,000 | 22,883 | 150,000 |
| - Dollar Value of Transactions (000) | \$7,541 | \$2,000 | \$20,286 | \$4,487 (i) | \$25,000 |
| LEGAL | | | | | |
| Conciliations Bureau | | | | | |
| o Starting Inventory | 260 | 260 | 221 | 221 j | 221 |
| o Requests Received | 327 | 68 | 300 | 67 | 300 |
| o Requests Closed | 366 | 76 | 300 | 95 k | 300 |
| o Ending Inventory | 221 | 252 | 221 (l) | 193 j | 221 |
| o Cases Consented | 85% | 76% | 85% | 75% | 85% |
| PARKING VIOLATIONS | | | | | |
| o Summonses Received (000) | 8,611 | 2,897 | 8,500 | 2,758 | 8,274 |
| o Summonses Satisfied (Dismissed or Paid) (000) | 7,665 | 2,442 | 8,262 | 2,372 | 7,116 |
| o Summonses Processable | 80% | 78% | 83% | DNA m | 83% |
| Customer Service | | | | | |
| o Help Center | | | | | |
| - Average Daily Respondent Volume | 3,558 | 3,626 | 3,765 | 3,311 | 3,311 |
| - Average Time in Help Center (Minutes) | 28 | DNA | 28 | 38 | NA |
| - Walk-In Summonses Adjudicated (000) | 1,025 | 357 | 1,084 | 329 | 987 |

DEPARTMENT OF FINANCE [836]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 Annual Actual | Fiscal 2000 4-Month Actual | Fiscal 2001 Annual Plan | Fiscal 2001 4-Month Actual | Fiscal 2002 Preliminary Plan |
|--|---------------------------------|----------------------------------|-------------------------------|----------------------------------|------------------------------------|
| o Help Mail | | | | | |
| - Correspondence Processed (000) | 845 | 364 | 894 | 242 n | 726 |
| - Number of Hearings By Mail (000) | 477 | 174 | 504 | 127 n | 417 |
| - Hearings By Mail Turnaround Time (In Business Days) | 18 | 24 | 11 | 13 (o) | 11 |
| o Help Lines | | | | | |
| - Calls Received (000) | 1,481 | 472 | 1,245 | 439 | 1,317 |
| - Calls Completed in IVR System (000) | 632 | 207 | 579 | 200 | 600 |
| - Calls Answered by Operator (000) | 554 | 186 | 566 | 177 | 531 |
| - Average Waiting Time for Operator (Minutes) | 3.4 | 3.7 | 2 | 2.4 p | 2 |
| Adjudications | | | | | |
| o Hearings Held (000) | 993 q | 359 q | 1,047 | 287 n | 947 |
| o Summonses Adjudicated (000) | 2,339 | 817 | 2,475 | 719 | 2,373 |
| Enforcement | | | | | |
| o Vehicles Restrained | | | | | |
| - NYPD Towing and Marshal Programs | 80,190 | 26,721 | 79,760 | 30,136 | 79,000 |
| - Sheriff Scofftow Program | 51,915 | 18,489 | 66,763 | 15,733 | 66,000 |
| Stolen Vehicle Recovery Program | | | | | |
| o Vehicles Recovered | | | | | |
| - Total Vehicles Recovered | 3,429 | 1,118 | 3,600 | 949 r | 4,000 |
| - Brooklyn | 926 | 314 | 972 | 182 r | 1,080 |
| - Manhattan | 144 | 72 | 144 | 14 r | 160 |
| - Queens | 1,266 | 351 | 1,332 | 345 | 1,480 |
| - Bronx | 1,038 | 359 | 1,080 | 372 | 1,200 |
| - Staten Island | 55 | 22 | 72 | 36 s | 80 |
| o Vehicles Returned | | | | | |
| - Total Vehicles Returned | 2,671 | 812 | 2,808 | 765 r | 2,960 |
| - Brooklyn | 678 | 219 | 702 | 151 r | 740 |
| - Manhattan | 110 | 47 | 113 | 14 r | 118 |
| - Queens | 1,020 | 265 | 1,067 | 270 | 1,154 |
| - Bronx | 821 | 266 | 870 | 299 | 918 |
| - Staten Island | 42 | 15 | 56 | 31 s | 30 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF FINANCE

- (a) The decrease is due to staff attrition and a temporary redeployment of resources to develop the NYCSERV Professional Audit Support System.
- (b) The decrease is due to a number of large audit cases completed in the prior reporting period.
- (c) The increase is due to a focus on larger audits in Fiscal 2001.
- (d) The increase is due to several large collections and the success of the Delinquent Disclosure program.
- (e) The decrease is due to the resolution of several large remission cases during the comparable reporting period in Fiscal 2000.
- (f) The increase reflects a change in reporting methodology. "Average Waiting Time" at the Office of the City Collector will be reported uniformly with the Parking Violations Help Center to include time required for delivery of service.
- (g) The increased number of Neighborhood Payment Centers and growing public awareness has resulted in more transactions and revenue collected at these centers.
- (h) The decrease is due to a few very large collections in the previous reporting period.
- (i) The variance is due to increased awareness as a result of publicity and additional information provided on parking summonses.
- (j) The decrease is due to legislative changes affecting business tax and transfer tax liabilities.
- (k) The increase reflects a change in reporting since the prior reporting period included requests closed on procedural grounds.
- (l) The planned figure was revised for mathematical consistency.
- (m) Data not available due to keypunch contractor backlog. Data for Fiscal 2001 will be reported in the Fiscal 2001 Mayor's Management Report.
- (n) The decrease is due to a decline in issuance compared to the prior reporting period.
- (o) The decrease is due to the decline in issuance and assignment of staff.
- (p) The decrease is a result of the resolution of technical difficulties related to the Interactive Voice Response system.
- (q) Due to an error in reporting, "motions to vacate" were counted twice in Fiscal 2000. The revised figures accurately reflect the number of hearings held during the reporting period.
- (r) The decrease is due to the loss of the night-shift towing contractor in Manhattan and Brooklyn.
- (s) The increase reflects a redeployment of resources that focuses on towing during nighttime hours.

DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES [856]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 Annual Actual | Fiscal 2000 4-Month Actual | Fiscal 2001 Annual Plan | Fiscal 2001 4-Month Actual | Fiscal 2002 Preliminary Plan |
|---|---------------------------------|----------------------------------|-------------------------------|----------------------------------|------------------------------------|
| CITYWIDE PERSONNEL SERVICES | | | | | |
| o Civil Service Examinations Administered | 138 | 32 | 95 | 11 a | 95 |
| o License Examinations Administered | 36 | 1 | 30 | 5 a | 30 |
| o Employees Trained | | | | | |
| - Procurement | 1,866 | 393 | 1,900 | 442 | 1,900 |
| - Technology Skills | 2,840 | 668 | 2,500 | 271 b | 2,500 |
| - Audit | 680 | 18 | 500 | 150 b | 500 |
| - Mgrl. & Prof. Development | 3,878 | 636 | 2,600 | 421 c | 2,600 |
| EQUAL EMPLOYMENT OPPORTUNITY | | | | | |
| o Training Sessions | 72 | 0 | 80 | 4 | 80 |
| o Agencies Monitored | | | | | |
| - On-Site Visits | 44 | 12 | 38 | 6 d | 38 |
| - Desk Reviews | 151 | 51 | 152 | 53 | 152 |
| REAL ESTATE SERVICES [300, 390, 500, 590] | | | | | |
| o Area of Leased Space (Square Feet) (000,000) | 23 | 22 | * | 23 | N/A |
| o Commercial Properties Managed (Vacant Lots) | | | | | |
| - Manhattan | 466 | 652 | * | 267 e | N/A |
| - Bronx | 1,070 | 1,464 | * | 740 e | N/A |
| - Brooklyn | 2,301 | 2,807 | * | 1,559 e | N/A |
| - Queens | 1,794 | 1,876 | * | 1,243 e | N/A |
| - Staten Island | 684 | 826 | * | 652 e | N/A |
| o Rents Collected as a Percentage of Rents Billed | 106% | 75% | 93% | 66% | 93% |
| o Public Auctions | | | | | |
| - Number Held | 2 | 0 | 2 | 0 | 2 |
| - Number of Parcels Sold | 390 | 0 | 364 | 0 | 200 |
| - Average Sales Price | \$87,265 | \$0 | \$31,043 | \$0 | \$35,000 |
| - Total Auction Bids (000) | \$34,033 | \$0 | \$11,300 | \$0 | \$7,000 |
| FACILITIES MANAGEMENT AND CONSTRUCTION | | | | | |
| o Area of Buildings Maintained (Square Feet) (000,000) | 11.4 | 11.4 | 11.4 | 11.3 | 11.8 |
| - Court | 6.1 | 6.1 | 6.1 | 6.3 | 6.6 |
| - Non-Court | 5.3 | 5.3 | 5.3 | 5.0 | 5.2 |

DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES [856]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 Annual Actual | Fiscal 2000 4-Month Actual | Fiscal 2001 Annual Plan | Fiscal 2001 4-Month Actual | Fiscal 2002 Preliminary Plan |
|--|------------------------------|-------------------------------|----------------------------|-------------------------------|---------------------------------|
| o Annual Cost of Cleaning per Square Foot | \$1.00 | \$1.00 | \$1.02 | \$1.00 | \$1.02 |
| o Number of Custodial Staff | 699 | 719 | 753 | 564 | 832 |
| - Court | 386 | 404 | 442 | 302 | 466 |
| - Non-Court | 313 | 315 | 311 | 263 | 366 |
| o Square Footage per Custodian (000) | 16.3 | 15.8 | 15 | 20 | 15 |
| - Court | 15.8 | 15 | 14 | 20.8 | 15 |
| - Non-Court | 16.9 | 16.8 | 17 | 19 | 15 |
| o Square Feet of Graffiti Removed | | | | | |
| - City Buildings | 12,020 | 6,252 | * | 5,676 | 8,000 |
| MUNICIPAL SUPPLY SERVICES | | | | | |
| [400, 490] | | | | | |
| o Purchase Requisitions Received from Agencies | NA | NA | 3,300 | NA f | 3,300 |
| o Bids Issued | 670 | 140 | 700 | 398 g | 700 |
| o Purchase Orders Issued | NA | NA | 28,900 | NA f | 28,900 |
| o Requirements Contracts Awarded | 636 | 138 | 800 | 171 h | 800 |
| o Direct Orders Processed Against Requirements Contracts | NA | NA | 25,000 | NA f | 25,000 |
| o Cost of Goods Purchased (000,000) | NA | NA | \$550 | NA f | \$550 |
| o New Vendors Registered | 579 | 119 | 500 | 192 (i) | 500 |
| o Value of Inventory Charged (000,000) | NA | NA | 16 | NA f | 16 |
| o Inventory Management | | | | | |
| - Backorders | NA | NA | 8% | NA f | 8% |
| o DMSS Procurement Cycle Time (Days) | NA | NA | NA | NA f | NA |
| o Fleet | | | | | |
| - Hours Unavailable (Downtime) | 2.5% | 2.5% | 3.0% | 3.1% | 3.0% |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES

- (a) During the reporting period the Department administered fewer civil service examinations and administered more license examinations due to the scheduling of examinations, which varies from year to year.
- (b) Courses are scheduled and held based on dates specifically requested by client agencies. During the reporting period more agencies requested courses for late Fiscal 2001 than during the last reporting period.
- (c) Training programs were postponed during the reporting period due to delays in the procurement of private training professionals. As a result, more training courses will be offered during the remainder of Fiscal 2001 than were previously scheduled.
- (d) The Department's Office of Citywide Equal Employment Opportunity scheduled more site visits in late Fiscal 2001 than during the same period of Fiscal 2000.
- (e) On July 1, 2000, the management of the Department of Housing Preservation and Development (HPD) urban renewal sites was transferred from DCAS to HPD, thereby reducing the number of vacant lots within the Department's portfolio.
- (f) The Financial Management System (FMS) is not yet able to provide this information.
- (g) During the reporting period the number of bids issued increased due to more requests for requirement contracts received from agencies. In addition, the indictment of food vendors caused an increase in the number of bids solicited to provide these goods.
- (h) The number of requirements contracts awarded increased more than anticipated during the first four months of Fiscal 2000 because agencies requested more than in prior reporting periods.
- (i) The number of new vendors registered increased since the number of contracts awarded increased during the reporting period.

DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS [858]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| CONSUMER CABLE COMPLAINTS [001,002] | | | | | |
| o Billing | | | | | |
| - Starting Inventory | 24 | 24 | * | 7 | * |
| - Complaints Received | 305 | 104 | * | 102 | * |
| - Complaints Resolved | 322 | 108 | * | 91 | * |
| - Ending Inventory | 7 | 20 | * | 18 | * |
| o Service | | | | | |
| - Starting Inventory | 5 | 5 | * | 7 | * |
| - Complaints Received | 104 | 35 | * | 48 | * |
| - Complaints Resolved | 102 | 36 | * | 52 | * |
| - Ending Inventory | 7 | 4 | * | 3 | * |
| o Real Estate | | | | | |
| - Starting Inventory | 53 | 53 | * | 43 | * |
| - Complaints Received | 20 | 5 | * | 8 | * |
| - Complaints Resolved | 30 | 7 | * | 16 | * |
| - Ending Inventory | 43 | 51 | * | 35 | * |
| o Miscellaneous | | | | | |
| - Starting Inventory | 4 | 4 | * | 3 | * |
| - Complaints Received | 122 | 33 | * | 42 | * |
| - Complaints Resolved | 123 | 31 | * | 41 | * |
| - Ending Inventory | 3 | 6 | * | 4 | * |
| TELECOMMUNICATIONS CONTROL | | | | | |
| o Existing DoITT Managed Telephone Lines | | | | | |
| - Centrex | 723 | 797 | 450 | 247 a | 200 |
| - Intellipath | 32,425 | 30,805 | 34,414 | 33,788 | 36,500 |
| - PBX | 22,311 | 22,283 | 22,420 | 22,610 | 23,500 |
| o Newly Installed Telephone Lines | | | | | |
| - Intellipath | 232 | 100 | 637 | 105 | 350 |
| - PBX | 1,195 | 295 | 215 | 50 b | 100 |
| o Converted Lines | | | | | |
| - Centrex to Intellipath (Civic Center Project) | 1,950 | 0 | 2,805 | 1,121 c | 1,550 |
| - Centrex to Intellipath (Citywide Project) | 116 | 19 | 215 | 122 c | 300 |
| o PBX Exchanges | | | | | |
| - Troubles Reported to DoITT | 2,921 | 1,162 | 3,100 | 998 | 3,000 |
| - Troubles Cleared | 2,921 | 1,162 | 3,100 | 998 | 3,000 |
| - Cleared Under 24 Hrs. | 70% | 85% | 75% | 82% | 82% |
| - Cleared 24 - 48 Hrs. | 14% | 5% | 15% | 13% d | 13% |
| - Cleared Over 48 Hrs. | 16% | 10% | 10% | 5% | 5% |
| o Centrex/Intellipath Exchanges | | | | | |
| - Troubles Reported to DoITT | 6,289 | 2,207 | 6,400 | 2,040 | 6,500 |
| - Troubles Cleared | 6,289 | 2,207 | 6,400 | 2,040 | 6,500 |
| - Cleared Under 24 Hrs. | 76% | 84% | 80% | 85% | 85% |

DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS [858]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Cleared 24 - 48 Hrs. | 11% | 7% | 12% | 10% d | 10% |
| - Cleared Over 48 Hrs. | 13% | 9% | 8% | 5% d | 5% |
| o Sites Connected to I-NET | 52 | 46 | 55 | 52 | 58 |
| o Crosswalks | | | | | |
| - Original Programs Produced | 1,225 | 365 | 1,400 | 416 | 1,000 |
| - Aired Government Proceedings & Forums Covered | 1,148 | 407 | 1,300 | 361 | 1,000 |
| o CITYNET | | | | | |
| - Data Lines Implemented | 498 | 278 | 450 | 189 e | 425 |
| - Terminals Connected | 54,500 | 59,422 | 50,000 | 50,517 | 45,250 |
| o Public Pay Telephone Enforcement | | | | | |
| - Public Pay Telephone Inspections Performed | 6,599 | 1,446 | 12,500 | 268 f | 15,000 |
| - Percent of Phones Determined Inoperable | 6% | 7.5% | 6% | DNA f | 6% |
| - Percent of Phones that Failed Appearance Standards | 9% | 10% | 9% | DNA f | 10% |
| - Illegal Phones Removed | 100 | 51 | 100 | 0 g | 125 |
| o NYC.GOV | | | | | |
| - Page Views | 33,637,385 | 8,585,613 | 55,000,000 | 14,681,977 h | 60,000,000 |
| - Messages Sent to Agency Heads via NYC.GOV | 35,149 | 10,475 | 50,000 | 14,534 (i) | 55,000 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS

- (a) A larger group of telephone lines were converted due to a less labor intensive migration process.
- (b) Projects were put on hold for reasons outside the Department's control.
- (c) Vendor has allocated staff resources to the project.
- (d) Performance reflects aggressive management of new contract response levels.
- (e) The Fiscal 2000 figure reflects higher than normal activity due to data circuit ordering for the City's Y2K needs.
- (f) The Department reassumed the inspection function from the Department of Consumer Affairs at the start of Fiscal 2001 and was in the process of hiring inspectors during this period. All inspections performed during this period were made in response to community complaints performed with in-house agency staff resources. Five inspectors are now in place and the Department plans to hire two investigators. Citywide inspections are currently underway.
- (g) The Department is in the process of completing a Request for Proposals to assist in the removal of illegal pay phones and expects to meet its Fiscal 2001 Annual Plan.
- (h) The increase is a result of updating current content, adding new content and expanding the interactive services available on NYC.GOV.
- (i) The number of messages sent is beyond the Department's control.

CITY COMMISSION ON HUMAN RIGHTS [220]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|-------------|---------|-------------|---------|-------------|
| | Annual | 4-Month | Annual | 4-Month | Preliminary |
| | Actual | Actual | Plan | Actual | Plan |
| LAW ENFORCEMENT BUREAU [001, 002, 004] | | | | | |
| o Full-Time Staff | 37 | 36 | 37 | 33 | 36 |
| - Number of Investigators | 7.5 | 7.3 | 10 | 7 | 10 |
| - Number of Attorneys | 6 | 5.5 | 6 | 5 | 5 |
| o Investigations Closed per | | | | | |
| Investigator per Month | 6.01 | 6.59 | 5.75 | 5.05 a | 5.75 |
| - Substantive Closures | 2.95 | 2.66 | * | 2.49 | * |
| - Administrative Closures | 3.06 | 3.93 | * | 2.56 a | * |
| o Total Caseload (Beginning of Period) | 3,165 | 3,165 | * | 3,500 | * |
| - Notarized Complaints | 1,002 | 347 | * | 348 | * |
| - Investigations Completed | | | | | |
| - Substantive Closures | 264 | 77 | * | 71 | * |
| - Administrative Closures | 274 | 114 | * | 72 a | * |
| - Cases Closed by Attorneys | | | | | |
| - Substantive Closures | 71 | 34 | * | 59 b | * |
| - Administrative Closures | 85 | 29 | * | 57 b | * |
| o Total Caseload (End of Period) | 3,500 | 3,266 | * | 3,602 | * |
| o Cases referred by LEB to OATH | 11 | 3 | * | 1 c | * |
| OFFICE OF MEDIATION AND CONFLICT RESOLUTION [001, 002] | | | | | |
| o Full-Time Employees | 5 | 4 | 5 | 5 d | 4 |
| - Mediators | 3 | 2 | 3 | 3 d | 3 |
| o Cases Settled per Mediator per Month | 2.93 | 2.13 | 3.5 | 1.83 | 3.5 |
| o Total Caseload (Beginning of Period) | 68 | 68 | * | 78 | * |
| - Cases Received | | | | | |
| - From LEB | 226 | 116 | * | 76 e | * |
| - Other Source | 0 | 0 | * | 0 | * |
| - Cases Settled through Mediaton | 85 | 17 | 126 | 22 f | 126 |
| o Total Caseload (End of Period) | 78 | 123 | * | 101 g | * |
| COMMUNITY RELATIONS BUREAU [001, 002, 003, 004] | | | | | |

CITY COMMISSION ON HUMAN RIGHTS [220]

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Full-Time Employees | 61 | 62 | 65 | 60 | 64 |
| - Central Office Staff | 18 | 19 | 18 | 18 | 18 |
| - Field Staff | 43 | 43 | 47 | 42 | 46 |
| Community Education, Public Outreach and Fair Housing | | | | | |
| o Individuals Served | 223,293 | 58,260 | * | 79,414 h | * |
| o Conferences, Workshops, and Training Sessions Conducted | 288 | 105 | 350 | 49 (i) | 350 |
| o Merchant and Community Organizations Technically Assisted | 908 | 262 | 1,100 | 294 | 1,100 |
| o School-Based Training Sessions | 408 | 35 | 325 | 91 j | 325 |
| CRIME PREVENTION | | | | | |
| o Bias Complaints | 1,163 | 370 | * | 517 k | * |
| o Bias Investigations Completed | 476 | 194 | * | 249 k | * |
| PROGRAM ADMINISTRATION AND RESEARCH | | | | | |
| o Number of Internal Studies of Bias, Housing, Real Estate, and Banking Practices | 52 | 14 | 60 | 23 (l) | 60 |

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

CITY COMMISSION ON HUMAN RIGHTS

- (a) Two supervising attorneys have been on leaves of absence; one of these attorneys has since returned. Also the managing attorney resigned, but this position has recently been filled.
- (b) There was an increase in assignments of cases to all attorneys in August 2000 to assure that Equal Employment Opportunity Commission targets were met.
- (c) This indicator varies from month to month, depending on several factors, including attorneys' schedules and success of mediation efforts.
- (d) A third mediator was hired to meet planned headcount in the Office of Mediation and Conflict Resolution and began carrying a full caseload in February 2000.
- (e) One of two attorneys, whose principal responsibility is to mediate cases, took a leave of absence in October 2000. Prior to the attorney's departure their case referrals were reduced.
- (f) During the reporting period there were three mediators, compared to two during the same period in Fiscal 2000.
- (g) During the reporting period fewer cases were referred to mediation, compared to the same period in Fiscal 2000.
- (h) This indicator is not within the Commission's control.
- (i) An increase in requests from schools for School Partnership and other youth-based trainings, which are recorded separately from conferences, workshops and training sessions, required considerable time on the part of all seven field offices.
- (j) In addition to conducting first-time training at schools, many of the schools previously involved in the School Partnership program invited Commission field offices back to conduct sessions. Some field offices also organized sessions with parents and teachers in addition to working with students. School Partnership outreach and training began earlier this year and some field offices were able to conduct double sessions in schools.
- (k) There were more bias complaints referred by the Police Department during the reporting period. Also, because of increased outreach, more complaints came directly to several field offices. Since there were more bias complaints, more bias investigations were completed.
- (l) In addition to the usual studies of bias and mortgage activities, several field offices were active during this time period in informal neighborhood-based surveys of housing issues.

A. AGENCY EXPENDITURES

(All numbers in thousands)

| INDICATORS | FY 2000 | Fiscal Year 2001 | | FY 2002 | |
|--|---------------|------------------|--------------|----------------|------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| POLICE DEPARTMENT | \$3,187,878 | \$3,400,084 | \$997,508 | \$1,026,649 | \$3,191,252 |
| FIRE DEPARTMENT | \$1,078,346 | \$1,070,306 | \$343,047 | \$342,839 | \$1,067,407 |
| DEPARTMENT OF CORRECTION | \$834,181 | \$837,800 | \$276,237 | \$285,234 | \$863,981 |
| DEPARTMENT OF PROBATION | \$83,678 | \$90,036 | \$32,526 | \$29,722 | \$81,704 |
| DEPARTMENT OF JUVENILE JUSTICE | \$102,542 | \$103,989 | \$20,824 | \$22,598 | \$101,754 |
| CIVILIAN COMPLAINT REVIEW BOARD | \$7,727 | \$9,014 | \$2,716 | \$3,305 a | \$8,798 |
| DEPARTMENT OF TRANSPORTATION | \$464,800 | \$520,377 | \$237,140 | \$239,041 | \$455,003 |
| DEPARTMENT OF ENVIRONMENTAL PROTECTION | \$600,158 | \$671,485 | \$250,630 | \$268,516 | \$641,015 |
| DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT | \$384,135 | \$440,562 | \$163,876 | \$182,609 | \$331,772 |
| NEW YORK CITY HOUSING AUTHORITY | \$1,869,228 | \$1,922,235 | \$613,150 | \$622,949 | \$2,021,191 |
| DEPARTMENT OF SANITATION | \$838,299 | \$940,565 | \$430,445 | \$436,991 | \$985,983 |
| DEPARTMENT OF PARKS AND RECREATION | \$198,078 | \$201,043 | \$77,745 | \$83,535 | \$188,576 |
| LANDMARKS PRESERVATION COMMISSION | \$3,114 | \$3,480 | \$1,283 | \$1,241 | \$3,079 |
| PUBLIC LIBRARIES (CITY FUNDING) | | | | | |
| o BROOKLYN PUBLIC LIBRARY | \$66,104 | \$69,066 | \$23,568 | \$29,304 b | \$60,409 |
| o NEW YORK PUBLIC LIBRARY | | | | | |
| - Branch Libraries | \$89,047 | \$93,932 | \$29,022 | \$37,366 b | \$81,837 |
| - Research Libraries | \$14,660 | \$15,849 | \$4,788 | \$6,180 b | \$13,723 |
| o QUEENS BOROUGH PUBLIC LIBRARY | \$62,710 | \$65,893 | \$22,746 | \$22,917 | \$57,373 |
| DEPARTMENT OF BUSINESS SERVICES | \$52,999 | \$51,925 | \$44,153 | \$5,093 c | \$43,740 |
| DEPARTMENT OF CULTURAL AFFAIRS | \$119,913 | \$135,043 | \$40,136 | \$35,209 | \$104,758 |
| DEPARTMENT OF HEALTH | \$792,126 | \$900,244 | \$503,160 | \$313,603 d | \$835,563 |

A. AGENCY EXPENDITURES

(All numbers in thousands)

| INDICATORS | FY 2000 | Fiscal Year 2001 | | FY 2002 | |
|--|---------------|------------------|--------------|----------------|------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| HEALTH AND HOSPITALS CORPORATION | \$3,475,235 | \$3,507,353 | \$1,151,037 | \$1,157,323 | \$3,591,184 |
| -Labor (PS) | \$1,604,444 | \$1,606,000 | \$557,619 | \$558,368 | \$1,606,000 |
| -Affiliation Payments | \$461,833 | \$470,000 | \$168,779 | \$164,581 | \$486,000 |
| -OTPS, Fringe Benefits, and Other Charges | \$1,408,958 | \$1,431,353 | \$424,639 | \$434,374 | \$1,499,184 |
| DEPARTMENT OF MENTAL HEALTH, MENTAL RETARDATION, AND ALCOHOLISM SERVICES | \$506,458 | \$587,516 | \$515,638 | \$436,129 | \$581,462 |
| o State and Federal Aid Received | \$192,707 | \$177,747 | \$59,249 | \$66,560 | \$133,375 |
| HUMAN RESOURCES ADMINISTRATION | \$5,439,709 | \$5,488,828 | \$2,943,709 | \$2,923,008 | \$5,504,405 |
| ADMINISTRATION FOR CHILDREN'S SERVICES | \$2,174,292 | \$2,227,095 | \$1,579,428 | \$1,663,588 | \$2,174,010 |
| DEPARTMENT OF HOMELESS SERVICES | \$433,483 | \$480,433 | \$291,638 | \$287,365 | \$476,886 |
| DEPARTMENT OF EMPLOYMENT | \$133,957 | \$140,124 | \$50,257 | \$65,312 e | \$100,020 |
| DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT | \$128,551 | \$157,915 | \$68,278 | \$78,677 f | \$109,944 |
| - State Funds | \$12,938 | \$14,776 | \$6,896 | \$7,946 f | \$13,987 |
| - City Funds | \$77,205 | \$93,049 | \$40,967 | \$47,206 f | \$58,881 |
| - Other | \$38,408 | \$50,090 | \$20,415 | \$23,525 f | \$37,076 |
| DEPARTMENT FOR THE AGING | \$215,082 | \$228,808 | \$196,324 | \$199,290 | \$223,163 |
| o State and Federal Grants Secured | \$60,055 | \$70,811 | DNA g | DNA g | \$60,355 |
| BOARD OF EDUCATION | \$10,756,317 | \$11,276,081 | \$3,211,382 | \$3,392,680 | \$11,447,406 |
| o Value of State Aid | \$4,883,814 | \$5,224,366 | \$5,227,551 | \$5,227,551 | \$5,342,236 |
| CITY UNIVERSITY OF NEW YORK | \$397,206 | \$441,914 | \$125,708 | \$107,176 | \$428,739 |
| DEPARTMENT OF CONSUMER AFFAIRS | \$12,843 | \$13,561 | \$5,716 | \$5,144 | \$12,808 |
| DEPARTMENT OF BUILDINGS | \$42,334 | \$50,210 | \$19,658 | \$18,191 | \$45,899 |
| TAXI AND LIMOUSINE COMMISSION | \$21,555 | \$25,106 | \$8,834 | \$8,515 | \$21,486 |
| LAW DEPARTMENT | \$91,686 | \$92,757 | \$39,581 | \$36,835 | \$92,480 |
| DEPARTMENT OF FINANCE | \$168,976 | \$190,277 | \$93,577 | \$83,954 | \$205,284 |

A. AGENCY EXPENDITURES

(All numbers in thousands)

| INDICATORS | FY 2000 | Fiscal Year 2001 | | FY 2002 | |
|---|---------------|------------------|--------------|----------------|------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES | \$564,537 | \$645,963 | \$443,727 | \$506,948 | \$594,564 |
| DEPARTMENT OF CITY PLANNING | \$17,569 | \$19,269 | \$6,190 | \$5,978 | \$16,737 |
| o Non-City Funds Received | | | | | |
| - Community Development Block Grant | \$8,802 | \$8,827 | \$3,242 | \$3,333 | \$8,827 |
| - New York Metropolitan Transportation Council | \$2,733 | \$889 | \$331 | \$760 h | \$889 |
| - Comprehensive Waterfront Study | \$118 | \$0 | \$0 | \$25 h | \$0 |
| DEPARTMENT OF RECORDS AND INFORMATION SERVICES | \$3,928 | \$4,153 | \$1,683 | \$1,827 | \$3,623 |
| DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS | \$177,556 | \$149,381 | \$116,068 | \$118,653 | \$145,775 |
| CITY COMMISSION ON HUMAN RIGHTS | \$7,157 | \$7,199 | \$3,173 | \$2,919 | \$6,853 |
| DEPARTMENT OF INVESTIGATION | \$20,994 | \$21,895 | \$8,930 | \$8,044 | \$21,601 |
| DEPARTMENT OF DESIGN AND CONSTRUCTION | \$77,284 | \$82,636 | \$29,739 | \$27,928 | \$80,406 |

A. AGENCY EXPENDITURES

- (a) Despite using funds in excess of Plan for the reporting period, the Board expects to remain within annual planned levels for Fiscal 2001.
- (b) Expenditures for the reporting period include funds vouchered in October 2000 but intended for use in November 2000.
- (c) Contracts typically encumbered during the reporting period were not registered until November and December of Fiscal 2001.
- (d) The variance reflects a change from quarterly to semi-annual invoicing of intra-city funds used for Early Intervention Program expenditures by the Department of Mental Health.
- (e) Expenditures included final payments on some performance-based contracts that ended in Fiscal 2000 and are not reflected in the Plan for the reporting period.
- (f) Contracts for Community Services Block Grant rollover funds and discretionary funds received during the reporting period were awarded earlier than planned and The After School Corporation (TASC) contract was finalized.
- (g) Data is unavailable for this reporting period due to delays caused by computer upgrades.
- (h) The 4-Month Plan does not reflect additional Transportation Council or Waterfront Study grant funds received by the Department during the reporting period.

B. AGENCY REVENUE

(All numbers in thousands)

| INDICATORS | FY 2000 | Fiscal Year 2001 | | | FY 2002 |
|---|------------------|------------------|-----------------|-------------------|---------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| POLICE DEPARTMENT | \$51,474 | \$32,562 | \$10,296 | \$11,286 | \$32,799 |
| o Tow-Away Program | \$16,222 | \$16,200 | \$5,050 | \$5,357 | \$15,289 |
| FIRE DEPARTMENT | \$47,678 | \$45,584 | \$13,379 | \$14,794 | \$44,584 |
| DEPARTMENT OF CORRECTION | \$16,948 | \$16,953 | \$5,218 | \$4,462 | \$16,953 |
| DEPARTMENT OF PROBATION | \$237 | \$227 | \$48 | \$83 a | \$127 |
| DEPARTMENT OF TRANSPORTATION | \$155,302 | \$154,959 | \$46,853 | \$51,388 | \$155,657 |
| o Total Parking Operations | \$84,934 | \$82,914 | \$27,932 | \$27,790 | \$80,914 |
| o Ferry Service | \$924 | \$1,000 | \$321 | \$309 | \$1,000 |
| o Ferry Concessions Collected | \$1,604 | \$1,565 | \$457 | \$478 | \$1,565 |
| DEPARTMENT OF ENVIRONMENTAL PROTECTION | \$49,466 | \$53,161 | \$15,130 | \$17,219 | \$44,325 |
| DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT | \$59,369 | \$43,975 | \$14,017 | \$14,861 | \$85,199 |
| NEW YORK CITY HOUSING AUTHORITY | \$1,909,757 | \$1,934,217 | \$608,143 | \$656,740 | \$2,009,444 |
| o City Subsidy | 1.8% | 1.8% | 1.8% | 1.9% | 1.7% |
| o State Subsidy | 1.1% | 1.1% | 1.1% | 1.1% | 1.1% |
| o Federal Subsidy | 65.8% | 65.5% | 65.8% | 65.2% | 65.7% |
| o Dwelling Rent | 29.8% | 29.6% | 29.7% | 30.0% | 29.4% |
| o Other Revenue | 1.5% | 2.0% | 1.6% | 1.8% | 2.1% |
| DEPARTMENT OF SANITATION | \$16,859 | \$16,707 | \$4,487 | \$7,774 b | \$10,134 |
| o Private Dumping Fees | \$1,074 | \$600 | \$272 | \$307 | \$0 |

B. AGENCY REVENUE

(All numbers in thousands)

| INDICATORS | FY 2000 Annual Actual | Fiscal Year 2001 | | FY 2002 Preliminary Plan | |
|---|-----------------------------|------------------|-----------------|--------------------------------|-------------------|
| | | Annual Plan | 4-Month Plan | | 4-Month Actual |
| DEPARTMENT OF PARKS AND RECREATION | \$47,925 | \$51,166 | \$13,092 | \$15,125 c | \$41,026 |
| LANDMARKS PRESERVATION COMMISSION | \$8 | \$9 | \$0 | \$0 | \$9 |
| DEPARTMENT OF BUSINESS SERVICES | \$16,452 | \$25,835 | \$4,893 | \$16,264 d | \$49,230 |
| DEPARTMENT OF HEALTH | \$36,243 | \$35,715 | \$10,732 | \$11,522 | \$35,788 |
| o Fines Levied | \$21,751 | \$22,000 | \$7,332 | \$7,764 | \$22,000 |
| o Fines Collected | \$11,552 | \$12,007 | \$3,725 | \$3,751 | \$12,907 |
| HEALTH AND HOSPITALS CORPORATION | \$3,840,901 | \$3,728,068 | \$1,556,157 | \$1,596,138 | \$3,539,690 |
| o Medicaid | \$1,744,715 | \$1,423,000 | \$520,105 | \$531,986 | \$1,141,000 |
| o Medicare | \$543,209 | \$522,000 | \$160,909 | \$160,630 | \$513,000 |
| o Bad Debt and Charity Care | \$521,688 | \$498,000 | \$299,493 | \$362,297 e | \$489,000 |
| o HMO and Other Payors | \$424,562 | \$563,000 | \$139,758 | \$140,540 | \$792,000 |
| o Community Health Partnership | \$66,987 | \$51,500 | \$0 | \$0 | \$103,000 |
| o Prisoner/Uniformed and Other City Services (Excluding Medicaid, DMH, DOH, DSS and Other Intra-City Funds) | \$66,226 | \$66,888 | \$0 | \$240 | \$66,883 |
| o Reduction in Cash Balance | \$261,306 | \$411,292 | \$411,292 | \$365,665 | \$243,715 |
| o Intra-City | \$68,706 | \$68,100 | \$21,000 | \$32,241 f | \$68,100 |
| o Department of Health | \$139,642 | \$117,813 | \$0 | \$0 | \$117,817 |
| o Department of Homeless Services | \$1,974 | \$2,575 | \$1,000 | \$590 | \$2,575 |
| o Department of Correction | \$1,886 | \$3,900 | \$2,600 | \$1,949 | \$2,600 |
| DEPARTMENT OF MENTAL HEALTH, MENTAL RETARDATION, AND ALCOHOLISM SERVICES | \$5,220 | \$3,889 | \$385 | \$716 g | \$3,889 |
| ADMINISTRATION FOR CHILDREN'S SERVICES | \$21,804 | \$20,207 | \$6,735 | \$7,593 | \$20,207 |
| HUMAN RESOURCES ADMINISTRATION | \$19,052 | \$21,216 | \$4,781 | \$5,364 | \$21,216 |

B. AGENCY REVENUE

(All numbers in thousands)

| INDICATORS | FY 2000 | Fiscal Year 2001 | | | FY 2002 |
|--|------------------|------------------|-----------------|-------------------|---------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| DEPARTMENT FOR THE AGING | \$1,964 | \$1,600 | \$430 | \$867 h | \$1,000 |
| BOARD OF EDUCATION (Excludes State and Federal Aid) | \$61,112 | \$41,600 | \$9,203 | \$10,806 (i) | \$41,600 |
| DEPARTMENT OF CONSUMER AFFAIRS | \$14,027 | \$13,773 | \$3,141 | \$3,237 | \$15,310 |
| DEPARTMENT OF BUILDINGS | \$79,680 | \$80,316 | \$23,692 | \$27,404 j | \$68,664 |
| o Plan Examination | \$46,246 | \$48,180 | \$13,982 | \$16,537 k | \$41,027 |
| o Boilers | \$31 | \$50 | \$17 | \$16 | \$50 |
| o Elevators | \$3,821 | \$2,700 | \$1,252 | \$942 (l) | \$2,490 |
| o Licenses | \$859 | \$855 | \$282 | \$290 | \$856 |
| o Electrical | \$7,629 | \$7,700 | \$1,911 | \$2,539 m | \$5,911 |
| o Other | \$21,094 | \$20,831 | \$6,248 | \$7,080 | \$18,330 |
| TAXI AND LIMOUSINE COMMISSION | \$48,071 | \$40,489 | \$7,422 | \$9,179 n | \$40,489 |
| o Adjudications - Fines Collected | \$7,282 | \$7,441 | \$2,009 | \$2,885 (o) | \$7,441 |
| LAW DEPARTMENT | \$28,774 | \$27,468 | \$10,221 | \$14,412 p | \$23,983 |
| o Collections Paid to Other City Agencies | \$12,731 | \$9,425 | \$3,230 | \$2,465 q | \$9,425 |
| DEPARTMENT OF FINANCE | \$485,765 | \$467,198 | \$153,254 | \$150,539 | \$468,413 |
| o Parking Revenue | \$367,567 | \$370,000 | \$130,499 | \$124,787 | \$376,303 |
| o Other Misc. Revenue | \$118,198 | \$97,198 | \$22,755 | \$25,752 | \$92,110 |
| o Total Tax (Billions) | \$22.2 | \$21.5 | \$7.7 | \$8.1 | \$22.3 |
| - Non-Property Tax | \$14.4 | \$13.5 | \$3.5 | \$3.9 | \$13.8 |
| - Property Tax | \$7.8 | \$8.0 | \$4.2 | \$4.2 | \$8.5 |
| o Total Tax Collected by Finance Dept. (Billions) | \$13.1 | \$13.1 | \$5.4 | \$5.6 | \$13.4 |
| - Non-Property Tax | \$5.3 | \$5.1 | \$1.2 | \$1.4 r | \$4.9 |
| - Property Tax | \$7.8 | \$8.0 | \$4.2 | \$4.2 | \$8.5 |

B. AGENCY REVENUE

(All numbers in thousands)

| INDICATORS | FY 2000 | Fiscal Year 2001 | | FY 2002 | |
|---|---------------|------------------|--------------|----------------|------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES | \$96,668 | \$78,268 | \$14,876 | \$18,126 s | \$62,540 |
| o Rent Billings | \$39,749 | \$29,938 | \$8,189 | \$12,732 t | \$29,255 |
| o Rent Collected | \$42,107 | \$38,055 | \$7,218 | \$8,346 u | \$39,255 |
| o Sales | \$29,325 | \$20,419 | \$1,960 | \$2,700 v | \$5,004 |
| o Other | \$2,112 | \$376 | \$108 | \$129 | \$463 |
| o Office of Surplus Activities Revenue | \$7,541 | \$7,000 | \$2,167 | \$3,156 w | \$5,400 |
| - Vehicle Salvage | \$5,810 | \$4,810 | \$1,603 | \$3,091 w | \$3,710 |
| o Interagency Transfer Value | \$1,910 | \$2,000 | \$667 | \$661 | \$2,000 |
| DEPARTMENT OF CITY PLANNING | \$1,280 | \$1,210 | \$283 | \$471 x | \$1,075 |
| DEPARTMENT OF RECORDS AND INFORMATION SERVICES | \$328 | \$250 | \$82 | \$104 y | \$250 |
| DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS | \$106,071 | \$97,162 | \$22,556 | \$27,581 z | \$94,450 |
| DEPARTMENT OF INVESTIGATION | \$1,362 | \$1,461 | \$37 | \$82 aa | \$1,056 |
| DEPARTMENT OF DESIGN AND CONSTRUCTION | \$127 | \$150 | \$25 | \$22 | \$150 |

B. AGENCY REVENUE

- (a) There was an increase in restitution collected for crime victims during the reporting period.
- (b) As weekly recycling expanded citywide, the Department realized greater revenue than planned from its paper processing contracts.
- (c) Revenue from restaurant, parking lot and pushcart concessions were greater than planned for the reporting period.
- (d) Rental payments that were scheduled for receipt in the last quarter of Fiscal 2000 were received during the reporting period.
- (e) Funds received in this category were higher than anticipated during the reporting period.
- (f) Revenue for the reporting period includes current and prior year receipts that are not reflected in the Plan for this category.
- (g) During the reporting period, the Department recovered more unexpended funds, and disallowed costs and excessive reimbursements from contracted agencies than anticipated in the Plan.
- (h) Revenue exceeded Plan primarily due to the reconciliation of contractor expenses based on fiscal audits and contract closeouts.
- (i) The Board realized accelerated collections for the reporting period.
- (j) The variance is attributable to several factors, including operational changes and the active state of the construction industry in New York City. See footnotes (k) and (m).
- (k) There was an increase in the total number of applications for "Plan Examination" filed during the reporting period.
- (l) The Department performed fewer elevator reinspections than reflected in the Plan for the period.
- (m) The Department designated specific personnel for the billing and collection of outstanding fees during the reporting period.
- (n) Revenue increased beyond planned levels as the result of the two-year licensing customer service initiative and increased fines. See footnote (o).
- (o) Fine revenue increased above Plan due to factors including increased penalties for various violations and additional hearing-room capacity for the Commission.
- (p) The Department realized accelerated collections in the Workers' Compensation, Affirmative Litigation, and Commercial and Real Estate Litigation divisions.
- (q) Collections in the Bankruptcy Division did not keep pace with expectations.
- (r) Non-property tax collections were above planned levels due to the continuing strong performance of the economy and Wall Street firms.
- (s) The additional total revenue was due to above-plan collections for rent, sales and vehicle salvage during the reporting period. See footnotes (u), (v) and (w).
- (t) There were more deferred rent billings than reflected in the Plan for the period.

B. AGENCY REVENUE

- (u) The Department extended a number of leases originally scheduled for termination during the reporting period.
- (v) Revenue includes collections from sales at auctions held in prior years, which are not reflected in the Plan for the period.
- (w) Increased revenue was due to abundant supplies of heavy equipment relinquished by agencies and salvaged vehicles, combined with a strong market for these materials during the period.
- (x) Revenue from Uniform Land-Use Review Procedure and City Environmental Quality Review application fees exceeded the Plan for the first four months of Fiscal 2001.
- (y) Revenue was above Plan for the reporting period due to a continuing demand for vital records used in genealogical research.
- (z) Revenue from high-capacity telecommunications franchise fees was greater than projected for the reporting period.
- (aa) The Department collected more fees from City Marshals than planned.

C. AGENCY PERSONNEL RESOURCES

| INDICATORS | FY 2000 | Fiscal Year 2001 | | | FY 2002 |
|--|------------------|------------------|-----------------|-------------------|---------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| POLICE DEPARTMENT | | | | | |
| o Full-Time Employees | 49,269 | 48,461 | 50,048 | 49,774 | 46,723 |
| - Uniformed, City-Funded | 40,285 | 39,862 | 41,242 | 40,754 | 38,624 |
| - Civilian, City-Funded | 8,371 | 8,525 | 8,753 | 8,407 | 8,025 |
| - Uniformed, Other | 0 | 0 | 0 | 0 | 0 |
| - Civilian, Other | 613 | 74 | 53 | 613 a | 74 |
| o Other Personnel Resources | | | | | |
| - School Crossing Guards | 1,917 | 2,065 | 2,065 | 1,833 | 2,065 |
| FIRE DEPARTMENT | | | | | |
| o Full-Time Employees | 15,987 | 15,703 | 15,970 | 15,750 | 15,602 |
| - Uniformed, City-Funded | 11,514 | 11,188 | 11,465 | 11,419 | 11,088 |
| - Civilian, City-Funded | 4,463 | 4,498 | 4,491 | 4,315 | 4,498 |
| - Uniformed, Other | 7 | 8 | 5 | 7 | 8 |
| - Civilian, Other | 3 | 9 | 9 | 9 | 8 |
| DEPARTMENT OF CORRECTION | | | | | |
| o Full-Time Employees | 12,411 | 12,544 | 12,447 | 12,126 | 12,747 |
| - Uniformed, City-Funded | 10,143 | 9,970 | 9,970 | 9,859 | 10,183 |
| - Civilian, City-Funded | 1,410 | 1,713 | 1,619 | 1,409 | 1,706 |
| - Uniformed, Other | 743 | 743 | 743 | 743 | 743 |
| - Civilian, Other | 115 | 118 | 115 | 115 | 115 |
| DEPARTMENT OF PROBATION | | | | | |
| o Full-Time Employees | 1,645 | 1,678 | 1,620 | 1,619 | 1,604 |
| - City-Funded | 956 | 1,067 | 976 | 942 | 1,040 |
| - Other | 689 | 611 | 644 | 677 | 564 |
| DEPARTMENT OF JUVENILE JUSTICE | | | | | |
| o Full-Time Employees | 762 | 824 | 764 | 804 | 809 |
| - City-Funded | 430 | 468 | 445 | 426 | 461 |
| - Other | 332 | 356 | 319 | 378 b | 348 |
| CIVILIAN COMPLAINT REVIEW BOARD | | | | | |
| o Full-Time City-Funded Employees | 162 | 187 | 174 | 165 | 187 |
| DEPARTMENT OF TRANSPORTATION | | | | | |
| o Full-Time Employees | 3,945 | 4,370 | 4,159 | 3,920 | 3,923 |
| - City-Funded | 1,874 | 1,990 | 2,013 | 1,830 | 1,986 |
| - Other | 2,071 | 2,380 | 2,146 | 2,090 | 1,937 |

C. AGENCY PERSONNEL RESOURCES

| INDICATORS | FY 2000 | Fiscal Year 2001 | | | FY 2002 |
|--|---------------|------------------|--------------|----------------|------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| o Full-Time Equivalent of Part-Time Employees | 361 | 362 | 362 | 371 | 362 |
| DEPARTMENT OF ENVIRONMENTAL PROTECTION | | | | | |
| o Full-Time Employees | 5,565 | 5,998 | 5,760 | 5,526 | 5,998 |
| - City-Funded | 275 | 286 | 286 | 270 | 286 |
| - Other | 5,290 | 5,712 | 5,474 | 5,256 | 5,712 |
| DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT | | | | | |
| o Full-Time Employees | 2,465 | 2,708 | 2,546 | 2,443 | 2,701 |
| - City-Funded | 553 | 585 | 561 | 584 | 578 |
| - CD | 1,183 | 1,348 | 1,241 | 1,154 | 1,348 |
| - Other | 729 | 775 | 744 | 705 | 775 |
| SCHOOL CONSTRUCTION AUTHORITY | | | | | |
| o Full-Time Employees | 845 | 1,039 | 872 | 872 | by 9/01 |
| NEW YORK CITY HOUSING AUTHORITY | | | | | |
| o Total Employees | 14,867 | 14,920 | 14,920 | 14,915 | 14,680 |
| - Full-Time Employees | 14,127 | 14,177 | 14,127 | 14,030 | 13,809 |
| - Full-Time Equivalent of Part-Time Employees | 306 | 307 | 278 | 296 | 291 |
| - City Seasonal Aides | 434 | 436 | 515 | 589 | 580 |
| o Tenant Employees | 27.4% | 27.0% | 27.4% | 27.4% | 27.4% |
| DEPARTMENT OF SANITATION | | | | | |
| o Full-Time Employees | 9,977 | 10,696 | 10,224 | 10,468 | 10,365 |
| - Uniformed, City-Funded | 7,644 | 8,405 | 7,928 | 8,093 | 8,105 |
| - Civilian, City-Funded | 2,040 | 1,940 | 1,971 | 2,079 | 1,909 |
| - Uniformed, CD | 126 | 141 | 135 | 132 | 141 |
| - Civilian, CD | 73 | 86 | 80 | 73 | 86 |
| - Other | 94 | 124 | 110 | 91 c | 124 |
| DEPARTMENT OF PARKS AND RECREATION | | | | | |
| o Full-Time Employees | 2,025 | 2,068 | 2,106 | 2,020 | 2,034 |
| - City-Funded | 1,768 | 1,696 | 1,779 | 1,753 | 1,662 |
| - CD | 35 | 76 | 76 | 34 d | 76 |
| - Other | 222 | 296 | 251 | 233 | 296 |
| o Peak Seasonal Employees | 2,744 | 2,908 | 2,996 | 2,895 | 2,996 |

C. AGENCY PERSONNEL RESOURCES

| INDICATORS | FY 2000 | Fiscal Year 2001 | | | FY 2002 |
|-----------------------------------|------------------|------------------|-----------------|-------------------|---------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| LANDMARKS PRESERVATION COMMISSION | | | | | |
| o Full-Time Employees | 44 | 45 | 45 | 45 | 45 |
| - City-Funded | 41 | 41 | 41 | 41 | 41 |
| - CD | 3 | 4 | 4 | 4 | 4 |
| PUBLIC LIBRARIES | | | | | |
| o BROOKLYN PUBLIC LIBRARY | | | | | |
| - Full-Time Employees | 1,197 | 1,216 | 1,208 | 1,205 | 1,216 |
| o NEW YORK PUBLIC LIBRARY | | | | | |
| Branch Libraries | | | | | |
| - Full-Time Employees | 1,500 | 1,495 | 1,495 | 1,494 | 1,521 |
| Research Libraries | | | | | |
| - Full-Time Employees | 165 | 161 | 161 | 171 | 174 |
| o QUEENS BOROUGH PUBLIC LIBRARY | | | | | |
| - Full-Time Employees | 1,102 | 1,102 | 1,095 | 1,078 | 1,095 |
| DEPARTMENT OF BUSINESS SERVICES | | | | | |
| o Full-Time Employees | 152 | 155 | 158 | 152 | 155 |
| - City-Funded | 138 | 143 | 146 | 138 | 143 |
| - CD | 11 | 12 | 12 | 11 | 12 |
| - Other | 3 | 0 | 0 | 3 | 0 |
| DEPARTMENT OF CULTURAL AFFAIRS | | | | | |
| o Full-Time Employees | 33 | 35 | 35 | 33 | 35 |
| - City-Funded | 30 | 32 | 32 | 31 | 32 |
| - CD | 2 | 1 | 2 | 1 | 2 |
| - Other | 1 | 2 | 1 | 1 | 1 |
| DEPARTMENT OF HEALTH | | | | | |
| o Full-Time Employees | 2,984 | 3,537 | 3,277 | 3,006 | 3,294 |
| - City-Funded | 2,019 | 2,457 | 2,168 | 2,109 | 2,445 |
| - CD | 0 | 0 | 0 | 0 | 0 |
| - Other | 965 | 1,080 | 1,109 | 897 e | 849 |
| HEALTH AND HOSPITALS CORPORATION | | | | | |
| o Total Employees | 39,320 | 38,820 | 39,288 | 39,288 | 38,320 |

C. AGENCY PERSONNEL RESOURCES

| INDICATORS | FY 2000 | Fiscal Year 2001 | | | FY 2002 |
|---|---------------|------------------|--------------|----------------|------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| DEPARTMENT OF MENTAL HEALTH, MENTAL RETARDATION, AND ALCOHOLISM SERVICES | | | | | |
| o Full-Time Employees | 220 | 256 | 222 | 222 | 241 |
| - City-Funded | 138 | 157 | 146 | 137 | 159 |
| - State-Funded | 82 | 99 | 76 | 85 | 82 |
| HUMAN RESOURCES ADMINISTRATION | | | | | |
| o Full-Time Employees | 13,154 | 13,403 | 12,861 | 13,225 | 12,969 |
| - City-Funded | 10,492 | 9,410 | 9,598 | 9,499 | 9,455 |
| - Other | 2,662 | 3,933 | 3,202 | 3,681 | 3,454 |
| - CD | 0 | 60 | 61 | 45 f | 60 |
| o Per Diem Employees | 1,567 | 3,044 | 3,044 | 1,460 g | 3,013 |
| ADMINISTRATION FOR CHILDREN'S SERVICES | | | | | |
| o Full-Time Employees | 7,121 | 7,395 | 7,252 | 7,250 | 7,619 |
| - City-Funded | 7,035 | 7,233 | 7,131 | 7,171 | 7,457 |
| - Other | 75 | 155 | 112 | 72 h | 155 |
| - CD | 11 | 7 | 9 | 7 | 7 |
| DEPARTMENT OF HOMELESS SERVICES | | | | | |
| o Full-Time Employees | 1,697 | 1,692 | 1,646 | 1,676 | 1,559 |
| - City-Funded | 1,682 | 1,692 | 1,646 | 1,664 | 1,559 |
| - Other | 15 | 0 | 0 | 12 | 0 |
| - CD | 0 | 0 | 0 | 0 | 0 |
| o Per Diem Employees | 423 | 671 | 671 | 488 (i) | 671 |
| DEPARTMENT OF EMPLOYMENT | | | | | |
| o Full-Time Employees | 143 | 164 | 176 | 142 j | 164 |
| - City-Funded | 2 | 2 | 2 | 2 | 2 |
| - JTPA | 141 | 162 | 174 | 140 j | 162 |
| DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT | | | | | |
| o Full-Time Employees | 195 | 300 | 249 | 208 k | 294 |
| - City-Funded | 104 | 165 | 111 | 102 | 170 |
| - Other | 91 | 135 | 138 | 106 k | 124 |
| DEPARTMENT FOR THE AGING | | | | | |
| o Full-Time Employees | 361 | 390 | 352 | 363 | 380 |
| - City-Funded | 138 | 148 | 149 | 145 | 146 |

C. AGENCY PERSONNEL RESOURCES

| INDICATORS | FY 2000 | Fiscal Year 2001 | | | FY 2002 |
|---|------------------|------------------|-----------------|-------------------|---------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| - CD | 0 | 0 | 0 | 0 | 0 |
| - Other | 223 | 242 | 203 | 218 | 234 |
| o Full-Time Equivalent of Part-Time Employees | 32 | 32 | 32 | 32 | 32 |
| BOARD OF EDUCATION | | | | | |
| o Full-Time/Full-Time Equivalent Employees | 136,885 | * | * | 137,360 | * |
| - City-Funded | 114,171 | * | * | 115,670 | * |
| - Reimbursable | 22,714 | * | * | 21,690 | * |
| CITY UNIVERSITY OF NEW YORK | | | | | |
| o Full-Time Employees | 3,756 | 3,569 | 3,634 | 3,780 | 3,687 |
| DEPARTMENT OF CONSUMER AFFAIRS | | | | | |
| o Full-Time Employees | 238 | 245 | 247 | 237 | 245 |
| - City-Funded | 237 | 245 | 247 | 237 | 245 |
| - Other | 1 | 0 | 0 | 0 | 0 |
| DEPARTMENT OF BUILDINGS | | | | | |
| o Full-Time City-Funded Employees | 692 | 763 | 729 | 686 | 763 |
| TAXI AND LIMOUSINE COMMISSION | | | | | |
| o Full-Time City-Funded Employees | 370 | 399 | 399 | 378 | 399 |
| LAW DEPARTMENT | | | | | |
| o Full-Time Employees | 1,171 | 1,188 | 1,244 | 1,189 | 1,152 |
| - City-Funded | 1,135 | 1,135 | 1,197 | 1,160 | 1,105 |
| - Other | 36 | 53 | 47 | 29 (l) | 47 |
| DEPARTMENT OF FINANCE | | | | | |
| o Full-Time Employees | 2,142 | 2,147 | 2,161 | 2,101 | 2,138 |
| - City-Funded | 2,142 | 2,109 | 2,149 | 2,101 | 2,126 |
| - Other | 0 | 38 | 12 | 0 m | 12 |
| DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES | | | | | |
| o Full-Time Employees | 1,455 | 1,575 | 1,449 | 1,434 | 1,616 |
| - City-Funded | 1,092 | 1,108 | 1,051 | 1,031 | 1,087 |
| - Other | 363 | 467 | 398 | 403 | 529 |

C. AGENCY PERSONNEL RESOURCES

| INDICATORS | FY 2000 | Fiscal Year 2001 | | | FY 2002 |
|--|------------------|------------------|-----------------|-------------------|---------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| DEPARTMENT OF CITY PLANNING | | | | | |
| o Full-Time Employees | 260 | 258 | 244 | 248 | 249 |
| - City-Funded | 99 | 93 | 90 | 85 | 93 |
| - CD | 132 | 145 | 139 | 130 | 139 |
| - Other | 29 | 20 | 15 | 33 n | 17 |
| DEPARTMENT OF RECORDS AND INFORMATION SERVICES | | | | | |
| o Full-Time Employees | 47 | 53 | 53 | 48 | 44 |
| - City-Funded | 42 | 45 | 46 | 44 | 44 |
| - Funded by Grants | 5 | 8 | 7 | 4 | 0 |
| DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS | | | | | |
| o Full-Time City-Funded Employees | 261 | 308 | 269 | 266 | 307 |
| CITY COMMISSION ON HUMAN RIGHTS | | | | | |
| o Full-Time Employees | 119 | 120 | 116 | 117 | 115 |
| - City-Funded | 35 | 36 | 36 | 35 | 31 |
| - CD | 79 | 84 | 80 | 77 | 84 |
| - Other | 5 | 0 | 0 | 5 | 0 |
| o Full-Time Equivalent of Part-Time Employees | 1 | 1 | 1 | 1 | 1 |
| DEPARTMENT OF INVESTIGATION | | | | | |
| o Full-Time City-Funded Employees | 341 | 357 | 357 | 338 | 357 |
| DEPARTMENT OF DESIGN AND CONSTRUCTION | | | | | |
| o Full-Time Employees | 1,235 | 1,334 | 1,271 | 1,247 | 1,334 |
| - Other | 1,235 | 1,334 | 1,271 | 1,247 | 1,334 |

C. AGENCY PERSONNEL RESOURCES

- (a) Actual civilian employees in this category included grant-funded employees who are not reflected in the Plan for the period.
- (b) The Department hired 59 juvenile counselors funded by a federal grant that is not reflected in the Plan for the reporting period.
- (c) The hiring of engineers, construction workers and project managers was postponed because of delays in starting construction for several projects.
- (d) The remaining vacancies in this funding category were filled with seasonal employees, which do not appear as full-time employees.
- (e) The Department was unable to hire staff during this period due to delays in processing.
- (f) There are currently 16 employees in the hiring process for this funding category.
- (g) Attrition remained high among per diem employees. As of October 2000, the Agency began the hiring process for 983 employees in this category.
- (h) The Agency could not fill as many positions as planned due to vacancies among participants in federally funded Head Start programs.
- (i) The Department was unable to hire as many employees as planned for this category during the reporting period due to delays in processing. However, the Department expects to complete the hiring of at least 71 per diem employees during the third quarter of Fiscal 2001.
- (j) The Department did not meet its planned full-time headcount due to attrition and delays in processing during the reporting period.
- (k) The Department was unable to hire as many employees as planned during the reporting period due to delays in processing.
- (l) There are 19 active employees from this category currently reflected in the Department's City-funded headcount.
- (m) There are 12 active State-funded employees currently reflected in the Department's City-funded headcount.
- (n) Additional full-time staff funded by grants added to the Department's budget after the start of Fiscal 2001 are not reflected in the Plan for the reporting period.

D. PAID ABSENCE RATES

The Mayor's Office of Operations monitors citywide paid absence rates in accordance with Mayoral Directive 91-9. It uses data from the Office of Payroll Administration and the Police, Fire, Correction and Sanitation departments to produce reports of agency and citywide monthly and fiscal year-to-date paid absence rates. The absence rate monitoring program helps City and agency management to identify areas of unacceptably high paid absence. Agency heads are responsible for closely monitoring absenteeism, ensuring that paid absence is used in accordance with current contracts and regulations, and establishing procedures to limit the loss of employee availability due to unnecessary or avoidable paid sick leave.

- The total absence rate was 4.45 percent (equivalent to 11 days absent per year) in the first four months of Fiscal 2001, compared with 4.46 percent during the first four months of Fiscal 2000. The total absence rate is calculated using three types of absence: Line-of-Duty Injury absence among the uniformed forces at the Police, Fire and Sanitation departments; Workers' Compensation absence for all civilian employees, as well as for uniformed employees at the Department of Correction; and total paid sick leave for all employees.
- The combined absence rate of uniformed employees in the Police, Fire, Correction and Sanitation departments was 4.47 percent (equivalent to 11.1 days absent per year) during the first four months of Fiscal 2001, compared with 4.52 percent in the corresponding period a year earlier. Absence rates for uniformed employees decreased in the Sanitation, Correction and Fire departments, and increased in the Police Department.
 - The absence rate for uniformed Sanitation Department employees decreased to 4.71 percent (11.7 days absent per year) in the first four months of Fiscal 2001, compared with 6.42 percent during the corresponding period of Fiscal 2000.
 - The absence rate for uniformed Correction Department employees improved to 5.63 percent (14 days absent per year) during the reporting period, compared with 5.92 percent during the first four months of Fiscal 2000.
 - The absence rate for the Fire Department's uniformed force was 6.35 percent (equivalent to 15.8 days absent per year) during the first four months of Fiscal 2001, compared with 6.62 percent during the corresponding period of Fiscal 2000.
 - The absence rate for the Police Department's uniformed force was 3.53 percent (8.8 days absent per year) during the first four months of Fiscal 2001, compared with 3.15 percent in the first four months of Fiscal 2000.
- The absence rate of the City's civilian workforce was 4.43 percent (10.9 days absent per year) during the first four months of Fiscal 2001, compared with 4.40 percent in the first four months of the previous fiscal year. Among the 34 agency civilian workforces in this City total, Fiscal 2001 four-month absence rates increased for 19 civilian workforces, and decreased for 15 civilian workforces, compared with the same period of Fiscal 2000.

D. PAID ABSENCE RATES

JULY - OCTOBER

| WORKFORCE OR AGENCY | FY 2001 DOC. SICK LEAVE | FY 2001 UNDOC. SICK LEAVE | FY 2001 TOTAL SICK LEAVE | FY 2001 LODI/ WC | FY 2001 TOTAL ABSENCE | FY 2000 TOTAL ABSENCE | FY 2001 EQUIV. ABS. DAYS/YEAR |
|-----------------------------------|-------------------------|---------------------------|--------------------------|------------------|-----------------------|-----------------------|-------------------------------|
| UNIFORMED WORKFORCES | | | | | | | |
| DOC (U) | 4.93% | NA | 4.93% | 0.70% | 5.63% | 5.92% | 14.0 |
| FDNY (U) | 1.95% | NA | 1.95% | 4.40% | 6.35% | 6.62% | 15.8 |
| NYPD (U) | 2.54% | NA | 2.54% | 0.99% | 3.53% | 3.15% | 8.8 |
| DOS (U) | 3.50% | NA | 3.50% | 1.21% | 4.71% | 6.42% | 11.7 |
| Subtotal | 2.93% | NA | 2.93% | 1.54% | 4.47% | 4.52% | 11.1 |
| LARGE CIVILIAN WORKFORCES | | | | | | | |
| NYPD (C) | 2.51% | 1.66% | 4.17% | 0.03% | 4.20% | 4.30% | 10.3 |
| FDNY (C) | 1.87% | 1.95% | 3.82% | 2.33% | 6.15% | 7.06% | 15.2 |
| ACS | 2.05% | 1.82% | 3.87% | 0.16% | 4.03% | 3.99% | 10.0 |
| HRA | 2.84% | 1.73% | 4.58% | 0.23% | 4.81% | 4.60% | 11.9 |
| DHS | 1.77% | 2.40% | 4.16% | 0.49% | 4.65% | 5.01% | 11.5 |
| HPD | 2.74% | 1.24% | 3.99% | 0.41% | 4.40% | 4.45% | 10.9 |
| DOH | 2.13% | 1.72% | 3.84% | 0.06% | 3.91% | 3.86% | 9.7 |
| DEP | 2.40% | 1.12% | 3.52% | 0.78% | 4.30% | 4.12% | 10.7 |
| DOS (C) | 2.60% | 1.23% | 3.82% | 0.55% | 4.37% | 4.48% | 10.9 |
| DOF | 3.19% | 1.09% | 4.28% | 0.49% | 4.77% | 4.22% | 11.8 |
| DOT | 3.20% | 1.29% | 4.49% | 1.58% | 6.07% | 5.91% | 14.9 |
| DPR | 2.06% | 0.67% | 2.73% | 0.29% | 3.02% | 3.25% | 7.5 |
| Subtotal | 2.47% | 1.54% | 4.01% | 0.50% | 4.51% | 4.53% | 11.1 |
| MEDIUM CIVILIAN WORKFORCES | | | | | | | |
| LAW | 1.68% | 1.48% | 3.16% | 0.03% | 3.19% | 2.78% | 7.9 |
| DCAS | 2.14% | 1.31% | 3.44% | 0.29% | 3.73% | 3.36% | 9.2 |
| DDC | 2.44% | 0.98% | 3.42% | 0.06% | 3.48% | 3.21% | 8.6 |
| DOC (C) | 1.93% | 2.62% | 4.55% | 0.24% | 4.79% | 4.35% | 11.7 |
| DJJ | 2.54% | 0.97% | 3.51% | 0.50% | 4.01% | 4.19% | 9.8 |
| PROBATION | 2.59% | 1.54% | 4.14% | 0.00% | 4.14% | 4.19% | 10.2 |
| DOB | 2.30% | 0.92% | 3.22% | 0.20% | 3.42% | 3.30% | 8.5 |
| Subtotal | 2.21% | 1.50% | 3.71% | 0.17% | 3.88% | 3.64% | 9.6 |
| SMALL CIVILIAN WORKFORCES | | | | | | | |
| DGP | 2.07% | 2.16% | 4.23% | 0.01% | 4.24% | 3.56% | 10.5 |
| DOI | 2.73% | 0.74% | 3.47% | 0.11% | 3.58% | 3.08% | 8.9 |
| DOE | 2.85% | 2.02% | 4.87% | 0.06% | 4.92% | 4.14% | 12.2 |
| DFTA | 1.78% | 1.58% | 3.35% | 0.00% | 3.35% | 3.54% | 8.3 |
| CULTURAL | 0.86% | 3.49% | 4.35% | 0.07% | 4.42% | 3.39% | 10.8 |
| FISA | 2.37% | 1.42% | 3.79% | 0.00% | 3.79% | 3.75% | 9.4 |
| LANDMARKS | 0.30% | 1.87% | 2.16% | 0.00% | 2.16% | 3.91% | 5.4 |
| TLC | 2.48% | 0.59% | 3.08% | 2.51% | 5.59% | 6.28% | 13.8 |
| CCHR | 2.93% | 1.83% | 4.76% | 0.28% | 5.04% | 6.42% | 12.4 |
| DYCD | 2.82% | 1.04% | 3.86% | 0.00% | 3.87% | 3.15% | 9.6 |
| DBS | 2.09% | 2.35% | 4.44% | 0.00% | 4.44% | 4.49% | 11.0 |
| DMH | 2.11% | 1.31% | 3.43% | 0.03% | 3.46% | 3.43% | 8.6 |
| DOITT | 1.80% | 2.03% | 3.83% | 0.05% | 3.88% | 3.91% | 9.6 |
| DORIS | 2.78% | 1.18% | 3.96% | 0.00% | 3.96% | 3.45% | 9.9 |
| CONSUMER | 2.40% | 1.07% | 3.47% | 0.25% | 3.72% | 3.92% | 9.2 |
| Subtotal | 2.40% | 1.58% | 3.97% | 0.34% | 4.31% | 4.00% | 10.7 |
| Uniformed | 2.93% | NA | 2.93% | 1.54% | 4.47% | 4.52% | 11.1 |
| Civilian | 2.44% | 1.54% | 3.98% | 0.46% | 4.43% | 4.40% | 10.9 |
| TOTAL | 2.68% | 0.78% | 3.46% | 0.99% | 4.45% | 4.46% | 11.0 |
| CITYWIDE | 2.68% | 0.78% | 3.46% | 0.23% | 3.69% | 3.69% | 9.1 |

Note: The **Total Absence Rate** is calculated by dividing the sum of paid sick leave for all employees, Line-of-Duty Injury absence for uniformed employees, and paid Workers' Compensation absence for civilian employees, by paid scheduled hours for all employees. The **Citywide Absence Rate** is calculated by dividing paid sick leave for all employees plus paid Workers' Compensation absence for civilian employees by paid scheduled hours for all employees.

E. AGENCY OVERTIME EARNED

The Mayor's Office of Operations and the Office of Management and Budget have joint responsibility for monitoring the overtime spending of City agencies. In accordance with Mayoral Directive 94-3, selected high-overtime agencies provide annual overtime control plans and monthly reports on earned overtime and its causes, which the Office of Operations uses to produce monthly and year-end reports for agencies and City Hall. In Fiscal 2001, 10 selected agencies, with overtime earnings representing over 90 percent of total City overtime spending, are being monitored in this manner.

- Total City overtime earnings were \$264.3 million during the first four months of Fiscal 2001, compared with \$218.6 million in the corresponding period of Fiscal 2000, an increase of 21 percent. This increase reflects the effective use of overtime as a mechanism that enables the City to increase services without an accompanying growth in government over the long run. Total City overtime includes the overtime expenditures of all agencies on the Payroll Management System, as well as the Health and Hospitals Corporation.
- Total monitored agency overtime earnings in the first four months of Fiscal 2001 were \$248.1 million, a 22 percent increase from the \$203.4 million earned at the same agencies in the corresponding period of Fiscal 2000. In Fiscal 2001 the monitored agencies are the Police, Fire, Correction and Sanitation departments; the departments of Juvenile Justice (DJJ), Environmental Protection (DEP) and Transportation (DOT); the Human Resources Administration (HRA); the Administration for Children's Services (ACS); and the Health and Hospitals Corporation (HHC).
- Combined overtime earnings in the uniformed agencies (Police, Fire, Correction and Sanitation) were \$194.8 million in the first four months of Fiscal 2001. The four uniformed agencies used \$155.3 million in overtime during the first four months of Fiscal 2000. Overtime increased in the Police Department, accounting for the bulk of the increase in total City and monitored agency overtime in the first four months of Fiscal 2001. The other three uniformed agencies, the Sanitation, Fire and Correction departments, experienced decreases in overtime.
 - Police Department overtime earnings were \$128.5 million in the first four months of Fiscal 2001, compared with \$69.1 million in the same period of Fiscal 2000, an increase of 86 percent. The additional overtime was used primarily for coverage of planned and unplanned public events and for planned anti-crime and quality-of-life initiatives during the reporting period.
 - Department of Sanitation overtime earnings were \$13.8 million in the first four months of Fiscal 2001, a decrease of 44 percent from \$24.7 million in the corresponding period a year earlier. The reduction in overtime reflects the availability of additional uniformed sanitation workers, hired since October 1999, to deal with expanded weekly recycling and to prepare for the increased export of refuse and the closing of Fresh Kills Landfill.
 - Overtime earnings in the Department of Correction (DOC) were \$16.7 million in the first four months of Fiscal 2001, a decrease of 14 percent from \$19.5 million in the first four months of Fiscal 2000. This decrease is due primarily to the declining average daily inmate population in DOC facilities, which allowed the Department to continue its aggressive bed consolidation efforts and control the number of staff required on duty for each shift.
 - Overtime earnings in the Fire Department were \$35.8 million in the first four months of Fiscal 2001, 15 percent less than the \$42.0 million earned in the same period of Fiscal 2000. This reduction is attributable primarily to the hiring of additional uniformed firefighters since October 1999.

E. AGENCY OVERTIME EARNED

- Combined overtime earned in the six civilian monitored agencies (DJJ, DEP, DOT, HRA, ACS and HHC) was \$53.3 million in the first four months of Fiscal 2001, an increase of 11 percent from \$48.1 million in the corresponding period of Fiscal 2000. Four-month overtime earnings increased in five of the civilian monitored agencies in Fiscal 2001.
 - Overtime earnings in DJJ were \$1.5 million in the first four months of Fiscal 2001, 6 percent lower than the \$1.6 million used in the same period of Fiscal 2000. The stability in DJJ overtime was due primarily to the Department's overtime management and the stability of its average daily population of residents in secure detention during the reporting period, compared with the first four months of Fiscal 2000.
 - HHC overtime spending was \$20.8 million during the reporting period, an increase of 2 percent from \$20.3 million in the first four months of Fiscal 2000. The Corporation used \$8.6 million, or 41 percent, of its overtime for Plant Maintenance during the first four months of Fiscal 2001, primarily to repair and to renovate an expansive and aging network of medical facilities. HHC used an additional \$4.9 million, or 24 percent of its four-month total, for overtime to provide necessary coverage by nursing staff throughout its facilities.
 - Overtime earnings in ACS were \$7.2 million in the first four months of Fiscal 2001, an increase of 13 percent from \$6.4 million in the same period of Fiscal 2000. This increase reflects additional overtime used during the implementation of a new staffing model for ACS congregate care residential centers.
 - DEP overtime earnings were \$7.6 million during the reporting period, an increase of 17 percent from \$6.5 million in the first four months of Fiscal 2000. The additional overtime was used throughout the Department, including performance of critical in-house maintenance and emergency repairs at DEP facilities, conducting the Beach Protection Program, oversight of the dewatering of the Croton Aqueduct, and preparation and supervision of capital construction projects at wastewater treatment plants.
 - DOT overtime earnings were \$10.1 million in the first four months of Fiscal 2001, an increase of 20 percent from \$8.4 million in the same period of Fiscal 2000. The additional overtime was used to proceed with bridge and road capital construction, and street and ferry repair projects.
 - Overtime earnings in HRA were \$6.0 million in the first four months of Fiscal 2001, an increase of 22 percent from \$4.9 million in the first four months of Fiscal 2000. The additional overtime was used primarily for the continuing HRA full-engagement initiative, for fraud prevention projects, and for other welfare reform programs that entailed keeping facilities open or personnel available for extended hours and weekends.

E. AGENCY OVERTIME EARNED

(All numbers in thousands)

| Agency | FY 2000 Annual Actual | FY 2000 4-Month Actual | FY 2001 4-Month Actual |
|---|-----------------------------|------------------------------|------------------------------|
| Police Department (a) | \$237,303 | \$69,070 | \$128,484 |
| Fire Department (b) | \$106,220 | \$41,991 | \$35,836 |
| Department of Correction (c) | \$49,383 | \$19,520 | \$16,671 |
| Department of Probation | \$1,628 | \$495 | \$551 |
| Department of Juvenile Justice | \$5,351 | \$1,625 | \$1,541 |
| Civilian Complaint Review Board (d) | \$198 | \$11 | \$70 |
| Department of Transportation (e) | \$25,958 | \$8,423 | \$10,134 |
| Department of Environmental Protection (f) | \$19,715 | \$6,482 | \$7,569 |
| Department of Housing Preservation and Development (g) | \$1,203 | \$210 | \$265 |
| New York City Housing Authority (h) | \$20,344 | \$7,276 | \$5,567 |
| Department of Sanitation (i) | \$73,791 | \$24,746 | \$13,776 |
| Department of Parks and Recreation (j) | \$3,205 | \$1,148 | \$859 |
| Landmarks Preservation Commission | \$11 | \$1 | \$1 |
| Department of Business Services (k) | \$39 | \$24 | \$15 |
| Department of Cultural Affairs | \$6 | \$2 | \$0 |
| Department of Health | \$2,719 | \$1,175 | \$1,120 |
| New York City Health and Hospitals Corporation | \$58,746 | \$20,322 | \$20,814 |
| Department of Mental Health (l) | \$18 | \$4 | \$43 |
| Human Resources Administration (m) | \$13,377 | \$4,851 | \$6,043 |
| Administration for Children's Services | \$20,633 | \$6,391 | \$7,208 |
| Department of Homeless Services | \$5,069 | \$2,183 | \$2,042 |
| Department of Employment | \$14 | \$7 | \$9 |
| Department of Youth and Community Development (n) | \$24 | \$9 | \$2 |
| Department for the Aging | \$2 | \$2 | \$0 |
| Board of Education (o) | \$9,998 | \$3,173 | \$3,832 |
| Department of Consumer Affairs (p) | \$464 | \$100 | \$210 |
| Department of Buildings (q) | \$1,034 | \$327 | \$413 |
| Taxi and Limousine Commission | \$438 | \$160 | \$159 |
| Law Department (r) | \$730 | \$148 | \$191 |
| Department of Finance (s) | \$1,011 | \$310 | \$231 |
| Department of Design and Construction | \$2,759 | \$1,107 | \$999 |
| Department of Citywide Administrative Services | \$3,729 | \$1,461 | \$1,276 |
| Department of City Planning | \$23 | \$6 | \$11 |
| Department of Records and Information Services | \$0 | \$0 | \$0 |
| Department of Information Technology and Telecommunications | \$290 | \$92 | \$93 |
| City Commission on Human Rights | \$0 | \$0 | \$0 |
| Department of Investigation | \$3 | \$1 | \$0 |

E. AGENCY OVERTIME EARNED

- (a) Additional overtime was used primarily to provide security at planned and unplanned public events and for planned anti-crime and quality-of-life initiatives.
- (b) Overtime decreased primarily as the result of the hiring of firefighters since the comparable reporting period in Fiscal 2000.
- (c) The Department reduced overtime by continuing to consolidate prisoner housing during a period of decreasing average daily inmate population.
- (d) Additional overtime was used to increase the number of cases closed, and to reduce the age of the caseload and the number of pending cases.
- (e) Additional overtime was used on roadways, to dispose of waste consisting of old pavement and to avoid backlogs due to reduced use of Fresh Kills Landfill; on bridges, to increase repair activity and prepare for special events, including OpSail; and on ferry boats, to maintain and repair the aging fleet.
- (f) Overtime increased for in-house emergency repairs and critical maintenance of DEP facilities; for preparation and oversight of capital projects, including upgrading wastewater treatment plants and dewatering the Croton Aqueduct; for implementation of the Beach Protection Program; and for resolution of a customer service backlog related to the water meter surcharge initiative.
- (g) Overtime for the reporting period increased as a result of the Department's efforts to meet the remediation requirements of Local Law 38, the City's lead paint violation and poisoning prevention law.
- (h) The Housing Authority continued its efforts to reduce overtime by reallocating resources and increasing efficiency in the use of its skilled trades employees.
- (i) Overtime decreased for the reporting period due to the availability of uniformed sanitation workers hired since the first four months of Fiscal 2000.
- (j) Less overtime was used during the reporting period because outdoor swimming pool hours were reduced due to inclement weather conditions in Summer 2000.
- (k) The Department used less overtime in its MIS and Dockmaster's units than in the comparable period of Fiscal 2000.
- (l) Additional overtime was used for the implementation of procedures and data processing systems to increase the timeliness of review and resolution of budget modifications submitted by contracted agencies.
- (m) Additional overtime was used primarily for the accelerated call-in of 124,000 public assistance clients, part of HRA's ongoing full-engagement initiative, and for fraud prevention projects.
- (n) The Department filled some long-vacant positions and further improved its Comprehensive Contract Management System, which streamlined the processing of monthly expenditure reports from contracted agencies.
- (o) The Division of School Facilities used additional overtime to maintain and repair school buildings during the reporting period.

E. AGENCY OVERTIME EARNED

- (p) Additional overtime was used for tobacco licensing and enforcement and for community outreach efforts during the reporting period.
- (q) The Department used additional overtime for its efforts to reduce the average number of days between the submission and the first examination of building plans.
- (r) Additional overtime was used for the early settlement initiative in the Tort Division and to prepare archival materials for submission to the Department of Records.
- (s) The Department improved its internal controls on authorization for overtime and eliminated overtime used for Y2K preparation during the comparable period of Fiscal 2000.

F. VEHICLE FLEETS AND MAINTENANCE

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|----------------------------------|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| CITYWIDE FLEET SIZE | 26,153 | 24,942 | 26,000 | 26,031 | 26,500 |
| POLICE DEPARTMENT | | | | | |
| o Total Vehicles | 6,281 | 6,312 | 6,998 | 6,394 | 7,036 |
| o Vehicles Out of Service | 7% | 5% | 9% | 7% a | 9% |
| o Light Duty | 5620 | 5,712 | 6,433 | 5,840 | 5,673 |
| - Average Vehicle Age (Months) | 59 | 66 | 45 | 56 | 45 |
| - Average Vehicle Mileage | 58,879 | 63,281 | 60,000 | 59,292 | 60,000 |
| - Downtime | 6% | 5% | 9% | 6% a | 9% |
| - Average Cost of Maintenance | \$1,520 | NI | DNA | \$512 | DNA |
| o Medium Duty | 485 | 514 | 468 | 446 | 900 |
| - Average Vehicle Age (Months) | 80 | 80 | 75 | 80 | 75 |
| - Average Vehicle Mileage | 60,100 | 54,765 | 50,000 | 58,823 | 50,000 |
| - Downtime | 10% | 10% | 8% | 10% b | 8% |
| - Average Cost of Maintenance | \$2,256 | NI | DNA | \$731 | DNA |
| o Heavy Duty | 79 | 86 | 97 | 76 c | 118 |
| - Average Vehicle Age (Months) | 105 | 87 | 96 | 95 | 96 |
| - Average Vehicle Mileage | 42,312 | 63,611 | 65,000 | 49,662 | 65,000 |
| - Downtime | 5% | 6% | 8% | 10% b | 8% |
| - Average Cost of Maintenance | \$2,771 | NI | DNA | \$1,216 | DNA |
| FIRE DEPARTMENT | | | | | |
| o Total Vehicles | 1,824 | 1,869 | 1,953 | 1,808 | 1,953 |
| o Vehicle Inventory | | | | | |
| - Engines | 293 | 291 | 280 | 293 | 280 |
| - Ladders | 177 | 180 | 197 | 176 | 197 |
| - Rescue/Hazardous Materials | 25 | 21 | 27 | 29 | 27 |
| - Support Vehicles | 888 | 959 | 985 | 899 | 985 |
| - Ambulances | 416 | 416 | 464 | 411 | 464 |
| o Light Duty | 393 | 411 | 450 | 399 | 450 |
| - Average Vehicle Age (Months) | 60 | 63 | 68 | 68 | 68 |
| - Average Vehicle Mileage | 57,866 | 50,656 | 60,000 | 59,071 | 61,000 |
| - Downtime | 8% | 3% | 7% | 4% d | 7% |
| - Average Cost of Maintenance | \$2,059 | NI | DNA | \$681 | \$2,265 |
| o Medium Duty | 447 | 448 | 470 | 458 | 470 |
| - Average Vehicle Age (Months) | 69 | 74 | 80 | 67 | 73 |
| - Average Vehicle Mileage | 45,122 | 48,730 | 57,000 | 46,760 | 57,000 |
| - Downtime | 8% | 5% | 10% | 6% d | 10% |
| - Average Cost of Maintenance | \$2,488 | NI | DNA | \$1,066 | \$2,737 |

F. VEHICLE FLEETS AND MAINTENANCE

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|----------------------------------|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| o Heavy Duty | 48 | 60 | 65 | 42 e | 65 |
| - Average Vehicle Age (Months) | 111 | 99 | 106 | 119 | 125 |
| - Average Vehicle Mileage | 28,192 | 32,902 | 40,000 | 26,640 | 30,000 |
| - Downtime | 7% | 6% | 10% | 2% f | 8% |
| - Average Cost of Maintenance | \$2,746 | NI | DNA | \$967 | \$3,021 |
| o Rescue/Hazardous Materials | 25 | 23 | 27 | 29 | 27 |
| - Average Vehicle Age (Months) | 66 | 79 | 88 | 65 | 71 |
| - Average Vehicle Mileage | 46,311 | 77,940 | 60,000 | 45,451 | 48,000 |
| - Downtime | 15% | 8% | 15% | 9% g | 15% |
| - Average Cost of Maintenance | \$8,083 | NI | DNA | \$4,450 | \$8,891 |
| o Engines | 293 | 291 | 280 | 293 | 280 |
| - Average Vehicle Age (Months) | 85 | 85 | 95 | 87 | 92 |
| - Average Vehicle Mileage | 49,871 | 48,990 | 55,000 | 49,809 | 50,000 |
| - Downtime | 9% | 5% | 9% | 9% | 9% |
| - Average Cost of Maintenance | \$7,433 | NI | DNA | \$2,931 | \$8,176 |
| o Ladders | 177 | 180 | 197 | 176 | 197 |
| - Average Vehicle Age (Months) | 84 | 86 | 98 | 82 | 84 |
| - Average Vehicle Mileage | 43,033 | 46,154 | 51,000 | 42,501 | 45,000 |
| - Downtime | 12% | 7% | 10% | 11% | 10% |
| - Average Cost of Maintenance | \$19,201 | NI | DNA | \$7,177 | \$21,121 |
| o Ambulances | 416 | 416 | 464 | 411 | 464 |
| - Average Vehicle Age (Months) | 50 | 45 | 54 | 55 | 57 |
| - Average Vehicle Mileage | 73,232 | 62,315 | 70,000 | 76,370 | 75,000 |
| - Downtime | 12% | 8% | 10% | 8% g | 10% |
| - Average Cost of Maintenance | \$9,199 | NI | DNA | \$3,149 | \$10,119 |
| DEPARTMENT OF CORRECTION | | | | | |
| o Total Vehicles | 520 | 518 | 505 | 526 | 530 |
| - Alternative Fuel Vehicles | 57 | 57 | 58 | 72 h | 72 |
| o Vehicles Out of Service | 13% | 12% | 10% | 11% | 10% |
| o Average Vehicle Age (Months) | 43 | 44 | 42 | 71 | 42 |
| o Light Duty | 147 | 148 | 164 | 152 | 170 |
| - Average Vehicle Age (Months) | 41 | 40 | 57 | 60 | 40 |
| - Average Vehicle Mileage | 15,369 | 4,345 | 15,000 | 6,952 | 15,000 |
| - Downtime | 7% | 10% | 9% | 15% (i) | 8% |
| - Average Cost of Maintenance | \$235 | NI | \$330 | \$274 | \$330 |
| o Medium Duty | 137 | 139 | 120 | 137 | 130 |
| - Average Vehicle Age (Months) | 56 | 62 | 60 | 76 | 60 |
| - Average Vehicle Mileage | 11,567 | 3,482 | 13,000 | 4,524 | 13,000 |
| - Downtime | 7% | 14% | 10% | 17% (i) | 8% |

F. VEHICLE FLEETS AND MAINTENANCE

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|---|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Average Cost of Maintenance | \$194 | NI | \$235 | \$189 | \$235 |
| o Heavy Duty | 236 | 231 | 221 | 237 | 230 |
| - Average Vehicle Age (Months) | 54 | 57 | 52 | 80 | 55 |
| - Average Vehicle Mileage | 13,084 | 4,325 | 13,000 | 4,608 | 13,000 |
| - Downtime | 4% | 9% | 10% | 17% (i) | 8% |
| - Average Cost of Maintenance | \$311 | NI | \$385 | \$269 | \$385 |
| DEPARTMENT OF TRANSPORTATION | | | | | |
| o Total Vehicles | 2,720 | 2,524 | 2,715 | 2,798 | 2,725 |
| - Alternative Fuel Vehicles | 517 | 513 | 575 | 597 | 625 |
| o Average Age of Fleet (Years) | 5.7 | 5.7 | 5.7 | 5.8 | 6.4 |
| o Vehicles Out of Service | 12% | 11% | 12% | 12% | 12% |
| o Light Duty | 1,096 | 1,023 | 1,125 | 1,129 | 1,125 |
| - Average Vehicle Age (Months) | 48 | 45 | 52 | 51 | 56 |
| - Average Vehicle Mileage | 27,954 | 27,377 | 30,000 | 28,561 | 30,000 |
| - Downtime | 8% | 9% | 11% | 10% | 11% |
| - Average Cost of Maintenance | \$1,145 | NI | \$1,100 | \$477 | \$1,500 |
| o Medium Duty | 664 | 591 | 675 | 705 | 700 |
| - Average Vehicle Age (Months) | 70 | 77 | 76 | 70 | 76 |
| - Average Vehicle Mileage | 19,680 | NI | 20,000 | 18,090 | 20,000 |
| - Downtime | 14% | 11% | 15% | 10% j | 15% |
| - Average Cost of Maintenance | \$2,718 | NI | \$2,600 | \$886 | \$2,800 |
| o Heavy Duty | 690 | 653 | 700 | 716 | 700 |
| - Average Vehicle Age (Months) | 88 | 93 | 90 | 88 | 92 |
| - Average Vehicle Mileage | 30,257 | NI | 30,000 | 29,988 | 30,000 |
| - Downtime | 19% | 20% | 28% | 20% j | 24% |
| - Average Cost of Maintenance | \$6,087 | NI | \$5,400 | \$1,988 | \$6,000 |
| DEPARTMENT OF ENVIRONMENTAL PROTECTION | | | | | |
| o Total Vehicles | 2,041 | 1,921 | 2,075 | 2,059 | 2,075 |
| - Alternative Fuel Vehicles | 302 | 258 | 350 k | 290 (l) | 350 |
| o Light Duty | 969 | 944 | 1,025 | 979 | 1,025 |
| - Average Vehicle Age (Months) | 67 | 60 | 60 | 60 | 60 |
| - Average Vehicle Mileage | 55,858 | 53,320 | 50,000 | 51,233 | 50,000 |
| - Downtime | 8% | 6% | 7% | 3% m | 7% |
| - Average Cost of Maintenance | \$1,686 | NI | \$1,800 | \$533 | \$1,800 |
| o Medium Duty | 411 | 384 | 400 | 383 | 400 |
| - Average Vehicle Age (Months) | 62 | 60 | 60 | 68 | 60 |
| - Average Vehicle Mileage | 29,814 | 31,063 | 30,000 | 40,599 | 30,000 |

F. VEHICLE FLEETS AND MAINTENANCE

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|------------------------------------|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Downtime | 10% | 8% | 8% | 5% m | 8% |
| - Average Cost of Maintenance | \$1,713 | NI | \$1,860 | \$497 | \$1,850 |
| o Heavy Duty | 335 | 316 | 325 | 354 | 325 |
| - Average Vehicle Age (Months) | 99 | 96 | 90 | 93 | 90 |
| - Average Vehicle Mileage | 24,847 | 30,679 | 30,000 | 32,155 | 30,000 |
| - Downtime | 17% | 14% | 12% | 8% m | 12% |
| - Average Cost of Maintenance | \$4,293 | NI | \$3,468 | \$708 | \$4,500 |
| DEPARTMENT OF SANITATION | | | | | |
| o Total Vehicles | 5,808 | 5,644 | 5,594 | 5,756 | 5,598 |
| - Alternative Fuel Vehicles | 701 | 547 | 804 | 574 n | by 9/01 |
| o Light Duty | 1,178 | 1,059 | 1,058 | 1,112 | 1,045 |
| - Average Vehicle Age (Months) | 52 | 60 | 64 | 53 | 67 |
| - Average Vehicle Mileage | 38,257 | 39,362 | 46,686 | 35,148 | 48,438 |
| - Downtime | 10% | 12% | 10% | 8% (o) | 10% |
| - Average Cost of Maintenance | NI | NI | NI | DNA | NI |
| o Medium Duty | 461 | 426 | 487 | 493 | 470 |
| - Average Vehicle Age (Months) | 71 | 81 | 65 | 62 | 71 |
| - Average Vehicle Mileage | 27,393 | 30,227 | 27,113 | 23,951 | 29,691 |
| - Downtime | 10% | 13% | 10% | 8% (o) | 10% |
| - Average Cost of Maintenance | NI | NI | NI | DNA | NI |
| o Heavy Duty | 3,522 | 3,467 | 3,408 | 3,495 | 3,467 |
| - Average Vehicle Age (Months) | 61 | 65 | 60 | 61 | 59 |
| - Average Vehicle Mileage | 22,493 | 23,028 | 21,675 | 21,608 | 20,368 |
| - Downtime | 19% | 19% | 19% | 18% | 19% |
| - Average Cost of Maintenance | NI | NI | NI | DNA | NI |
| DEPARTMENT OF PARKS AND RECREATION | | | | | |
| o Total Vehicles | 1,908 | 1,893 | 1,886 | 1,913 | 1,886 |
| - Alternative Fuel Vehicles | 99 | 98 | 98 | 109 | 98 |
| o Hours Unavailable (Downtime) | 6% | 5% | 5% | 5% | 5% |
| o Light Duty | 1,139 | 1,099 | 1,095 | 534 p | 1,095 |
| - Average Vehicle Age (Months) | 96 | 96 | 84 | 84 | 84 |
| - Average Vehicle Mileage | 41,107 | 44,400 | 40,000 | 29,821 | 40,000 |
| - Downtime | 4% | 4% | 4% | 3% q | 4% |
| - Average Cost of Maintenance | NI | NI | NI | \$410 | DNA |
| o Medium Duty | 496 | 519 | 511 | 894 p | 511 |
| - Average Vehicle Age (Months) | 110 | 108 | 108 | 96 | 108 |
| - Average Vehicle Mileage | 24,414 | 28,885 | 30,000 | 36,864 | 30,000 |
| - Downtime | 7% | 8% | 7% | 4% q | 7% |

F. VEHICLE FLEETS AND MAINTENANCE

| INDICATORS FOR MAJOR MISSIONS | Fiscal 2000 | | Fiscal 2001 | | Fiscal 2002 |
|--|------------------|-------------------|----------------|-------------------|---------------------|
| | Annual Actual | 4-Month Actual | Annual Plan | 4-Month Actual | Preliminary Plan |
| - Average Cost of Maintenance | NI | NI | NI | \$580 | DNA |
| o Heavy Duty | 273 | 275 | 280 | 277 | 280 |
| - Average Vehicle Age (Months) | 109 | 108 | 108 | 108 | 108 |
| - Average Vehicle Mileage | 30,982 | 30,215 | 30,000 | 26,966 | 30,000 |
| - Downtime | 12% | 8% | 8% | 10% r | 8% |
| - Average Cost of Maintenance | NI | NI | NI | \$1,071 | DNA |
| DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES | | | | | |
| o Total Vehicles | 1,856 | 1,838 | 1,950 | 1,896 | 1,920 |
| - Alternative Fuel Vehicles | 501 | 322 | 500 | 560 | 620 |
| - DCAS-Owned | 279 | 279 | 285 | 260 | 260 |
| - Client-Owned | 1,559 | 1,559 | 1,665 | 1,636 | 1,660 |
| o Light Duty | 1,379 | 1,340 | 1,350 | 1,381 | 1,400 |
| - Average Vehicle Age (Months) | 78 | 65 | 60 | 58 | 60 |
| - Average Vehicle Mileage | 35,676 | 33,653 | 35,500 | 33,291 | 35,000 |
| - Downtime | 2% | 2% | 3% | 3% | 3% |
| - Average Cost of Maintenance | \$837 | NI | \$1,200 | \$431 | \$950 |
| o Medium Duty | 368 | 382 | 400 | 386 | 400 |
| - Average Vehicle Age (Months) | 79 | 81 | 76 | 65 | 72 |
| - Average Vehicle Mileage | 39,068 | 32,209 | 40,000 | 31,251 | 40,000 |
| - Downtime | 2% | 2% | 3% | 3% | 3% |
| - Average Cost of Maintenance | \$1,056 | NI | \$1,200 | \$376 | \$1,100 |
| o Heavy Duty | 94 | 90 | 90 | 92 | 93 |
| - Average Vehicle Age (Months) | 131 | 132 | 135 | 129 | 132 |
| - Average Vehicle Mileage | 34,074 | 30,916 | 32,000 | 32,464 | 32,000 |
| - Downtime | 3% | 2% | 3% | 3% | 3% |
| - Average Cost of Maintenance | \$1,058 | NI | \$1,600 | \$373 | \$1,200 |
| o Support Vehicles (DJJ Buses) | 5 | 5 | 6 | 4 s | 5 |
| - Average Vehicle Age (Months) | 90 | 82 | 70 | 87 | 90 |
| - Average Vehicle Mileage | 58,023 | 31,321 | 33,000 | 52,870 | 40,000 |
| - Downtime | 1% | 1% | 1% | 1% | 1% |
| - Average Cost of Maintenance | \$1,583 | NI | \$1,600 | \$215 | \$1,350 |

F. VEHICLE FLEETS AND MAINTENANCE

- (a) The Department's improved record keeping has reduced duplicate work orders for out of service vehicles and kept downtime below Plan.
- (b) The relinquishment of older vehicles without replacement resulted in overuse of remaining vehicles and increased downtime rates.
- (c) Due to the relinquishment of older vehicles, the Department was below Plan but anticipates receiving new vehicles by the end of Fiscal 2001, which will help to meet the Plan.
- (d) Downtime was below Plan due to the purchase of new vehicles.
- (e) Subsequent to the implementation of the Maintenance Control Management System (MCMS), the definitions of Light, Medium and Heavy Duty vehicles were revised. As a result, some of the vehicles previously categorized as Heavy Duty were moved to other categories.
- (f) Downtime was below Plan because a portion of these vehicles are critical response vehicles that respond only to major emergency incidents, which makes them used less often.
- (g) Downtime was below Plan due to an enhanced preventive maintenance program.
- (h) The number of vehicles was above Plan due to the replacement of older gasoline vehicles during the reporting period.
- (i) Downtime was above Plan because of the shortage of mechanics. The positions were filled in January 2001.
- (j) Downtime was below Plan because the Department focused on keeping as many Medium and Heavy Duty vehicles in service as possible during the paving season. The Department also added five new paving machines to its Heavy Duty vehicle fleet during the reporting period.
- (k) Based on review of the Department's fleet, the Fiscal 2001 Plan was revised since the publication of the Fiscal 2000 Mayor's Management Report.
- (l) The Department anticipates purchasing new vehicles to meet the Plan for Fiscal 2001.
- (m) Downtime is below Plan due to the use of the "Just In Time Parts Program," through which the delivery of parts is significantly faster.
- (n) The Department anticipates meeting its Fiscal 2001 Plan by June 2001.
- (o) Downtime is below Plan due to the Department's receipt of new Light and Medium Duty vehicles.
- (p) Vehicle categorization was redistributed according to MCMS standards, which reduced Light Duty vehicles below the Fiscal 2001 Plan and increased Medium Duty vehicles above Fiscal 2001 Plan.
- (q) Due to the recent implementation of MCMS the Department excluded accidents and included rental vehicles for the first time this fiscal year, which reduced downtime below Plan.
- (r) Downtime was above Plan due to the Department's aging fleet. DPR is awaiting the receipt of 45 new Heavy Duty vehicles to replace the old ones, which should lower downtime.
- (s) The number of these vehicles is below Plan because of relinquishments without replacements. DJJ expected funds to replace their aging buses, but did not receive them.

G. AGENCY PROCUREMENT

There are eight Agency Procurement indicators that will be reported on in the Fiscal 2001 Mayor's Management Report:

- Prompt Payment
- Contract Performance Evaluations and Defaulted Contracts
- Procurements Awarded
- Procurement Processing Cycle Times
- Competitiveness in Procurements
- Performance-Based Contracting
- Retroactive Contracts
- Agency Procurement Actions

Each of these indicators is more fully described below. Prompt Payment indicator data for the first six months of Fiscal 2001 is presented in the Preliminary Fiscal 2001 Mayor's Management Report. Data for the remaining seven indicators, which will be presented in the Citywide Indicators section of Volume II of the Fiscal 2001 Mayor's Management Report, will include all Mayoral operating agencies.

FISCAL 2001 AGENCY PROCUREMENT INDICATORS

Prompt Payment

This section includes Prompt Payment indicators, which reflect agency timeliness in processing invoice payments to the City's contractors. This information, which is maintained in the City's Financial Management System (FMS), is provided by the Financial Information Services Agency (FISA) and includes all payments from both general and capital funds made during the first six months of Fiscal 2001 for all Mayoral operating agencies. Agency-specific data is provided for the percent of all invoice payments, both in terms of the dollar value and the number of invoices, which were paid "on time" (i.e., generally within 30 calendar days of the required date) and by the "grace period" (i.e., within 45 calendar days of the required date for the period July 1 through December 31, 1999, within 37 calendar days for the period January 1 through June 30, 2000, and within 30 calendar days for the period July 1 through December 31, 2000); and the interest paid to contractors. Agency data is totaled to present an overall picture of prompt payment.

As required by the City Charter, the Procurement Policy Board (PPB) Rules (the Rules) concerning prompt payment took effect in 1991, requiring all City agencies to include in their contracts a standard clause stating the City's policy to pay invoices in a timely fashion, or to pay interest on the amounts not paid on time. Certain categories of payment, however, are not subject to interest even if not paid on time (e.g., advance payments to not-for-profit human service contractors). The Rules establish the maximum time for payment to a contractor from the point when the contractor has completed performance, the agency has declared that performance was satisfactory and the contractor has submitted an invoice with appropriate supporting documentation. The Rules also specify the length of time allowed to determine that performance is acceptable and to determine that invoices are properly submitted. After these determinations, in most cases, the City is permitted 30 calendar days to process payments. The Rules allow 60 days for contract changes and 60 days for substantial completion payments or final payments for construction contracts. If disputes arise between the agency and the contractor concerning payment documents or performance, the time required to make corrections or resolve disputes is excluded from the permitted agency processing time. Up until December 31, 1999, the Rules provided a 15-day grace period. If a payment was not made on time, but within the grace period, there was no interest paid. PPB adopted a change in its Rules which phased out this "interest-free" 15-day grace period by reducing it to seven days, effective January 1, 2000, and eliminated it entirely, effective July 1, 2000. PPB works with agencies that are having problems making timely contractor payments to increase the efficiency of their invoice and payment processing.

G. AGENCY PROCUREMENT

As evidenced in the data presented below and in the separate chart that follows, since the introduction of the Prompt Payment indicators in the Preliminary Fiscal 1996 Mayor's Management Report through Fiscal 1999, agencies, overall, had shown steady improvement in the timeliness of contractor payments. However, this trend did not continue for Fiscal 2000 and is attributable to a number of systemic factors, some of which are continuing into Fiscal 2001:

- As a result of the transition to a Y2K compliant City Financial Management System (FMS), the City in general and the agencies in particular needed to adapt to the protocols of the new system, and go through the normal learning curve which typically results in a temporary decrease in performance. This was the reason that Prompt Payment indicator data was not able to be presented in the Preliminary Fiscal 2000 Mayor's Management Report.
- The PPB Rules were changed to reduce the "interest-free" grace period from 15 days to seven days, effective January 1, 2000. This eight-day reduction in the grace period meant that interest began to accrue within 37 days of the required date, rather than within 45 days. The Prompt Payment indicators have been further impacted in Fiscal 2001 because the grace period was eliminated in its entirety, effective July 1, 2000. As such, interest began to accrue within just 30 days of the required date.

Agencies are continuing to successfully adapt their systems to accommodate FMS as well as the elimination of the grace period, which in total reduced the "interest-free" payment period from 45 days to 30 days, a decrease of 33%. This is evidenced by the fact that the \$102,398 in interest paid during the first six months of Fiscal 2001 represents a reduction of \$80,430, or 44% from the \$182,829 paid during the first six months of Fiscal 2000. If performance for the first six months of Fiscal 2001 is calculated by applying the original 15-day grace period (which was in effect for the comparable July – December period in Fiscal 2000), the interest paid would decrease by \$18,902 to \$83,496, resulting in a reduction of \$99,332 or 54% compared to Fiscal 2000.

Trend in Prompt Payment Performance (a) (b)

| | FY 1995 | FY 1996 | FY 1997 | FY 1998 | FY 1999 | FY 2000 | FY 2000 – First 6 Months | FY 2001 – First 6 Months |
|------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|---|---|
| Invoice Value Paid on Time | 84% | 85% | 91% | 92% | 95% | 90% | 87% | 97% |
| Invoice Value Paid by Grace Period | 95% | 96% | 98% | 99% | 99% | 98% | 97% | NA (c) |
| Invoices Paid on Time | 58% | 60% | 81% | 81% | 85% | 78% | 73% | 91% |
| Invoices Paid by Grace Period | 91% | 88% | 95% | 94% | 96% | 91% (a) | 90% | NA (c) |
| Interest Paid to Contractors | \$603,600 | \$395,400 | \$290,900 | \$272,786 | \$118,318 | \$378,762 (d) | \$182,828 | \$102,398 (e) |

Percentage figures in the table above are rounded to the nearest whole percent.

- (a) Due to the factors cited above, prompt payment performance levels for the Fiscal 2000 through the first six months of Fiscal 2001 and prior fiscal years are not fully comparable.
- (b) Data for Fiscal 1995 and 1996 reflect data for all City agencies; data for Fiscal 1997, 1998, 1999, 2000 and 2001 reflect data for Mayoral operating agencies only. Data for Fiscal 2001 was provided by FISA on 1/29/01.
- (c) NA – Not Applicable since the grace period was eliminated effective July 1, 2000.

G. AGENCY PROCUREMENT

- (d) If performance for Fiscal 2000 is calculated by applying the original 15-day grace period, the amount of interest that would be paid to contractors would decrease by approximately \$24,000.
- (e) If performance for the first six months of Fiscal 2001 is calculated by applying the original 15-day grace period, the amount of interest that would be paid to contractors would decrease by approximately \$18,900; if performance for the first six months of Fiscal 2001 is calculated by applying the subsequent seven-day grace period, the amount of interest that would be paid to contractors would decrease by approximately \$8,500.

Contract Performance Evaluations and Defaulted Contracts

This section will include the following Contract Performance Evaluation indicators for all Mayoral operating agencies for those contracts for which comprehensive, fully documented Evaluations of Contractor Performance were required to be completed (i.e., submitted and entered into the VENDEX system) for Fiscal 2001 (i.e., the contract expiration, completion or termination date, as applicable, or the last day of the 12-month contract period, occurred between March 3, 2000 and March 2, 2001): Total Number of Contract Performance Evaluations which were Required to be Completed for Fiscal 2001; Number and Percent of Total Required Contract Performance Evaluations which were Completed; and Number and Percent of Total Contract Performance Evaluations Completed which were Rated as Unsatisfactory. Agency data will be totaled to present an overall picture of contract performance evaluation. (Evaluations submitted outside of the 120-day period, described below, are also entered into the VENDEX system and will be included in the data reported.) In addition, data, which will be provided by the agencies, will be presented on the number of contracts that were defaulted.

As required by the City Charter, the Administrative Code and the Procurement Policy Board (PPB) Rules, agencies are responsible for monitoring and evaluating the performance of all contractors. Pursuant to established Mayor's Office of Contracts procedures:

- Contract performance evaluations are required to be completed for the categories listed below only where vendor performance is unsatisfactory, in which case a Report of Unsatisfactory Vendor Performance (i.e., "Form 5") is to be filed for entry into the VENDEX system.
 - Procurements of goods by means of competitive sealed bidding.
 - Procurements at or below the small purchase limits set forth in the PPB Rules.
- Contract performance evaluations are required to be completed for all other procurements as follows:
 - A comprehensive, fully documented Evaluation of Contractor Performance (i.e., "Form 1, 2, 2S, 3 or 4", as applicable) is to be filed for entry into the VENDEX system within 120 days, prior in certain cases, or subsequent to the contract's expiration, completion or termination date.
 - In addition, for each such contract with an original or amended term of greater than 12 months, a comprehensive, fully documented Evaluation of Contractor Performance also is to be filed for entry into the VENDEX system within 120 days, prior in some cases, or subsequent to the last day of each 12-month period following the contract's effective date. (Where the interim and final performance evaluations are due within the same 120-day period, only one evaluation needs to be prepared.)
 - Agencies monitor and conduct performance evaluations in order to have the requisite information timely available for determining whether an existing contract should be renewed, continued or terminated, or whether a corrective action plan is needed to assure that the contractor fully complies with its contractual responsibilities. This is particularly relevant for the renewal of human service contracts, where evaluations may be conducted prior to the expiration/ anniversary date so that the results can be used to make the renewal determination.

G. AGENCY PROCUREMENT

Procurements Awarded

This section will include data on the number and total original maximum dollar amount of procurements awarded during the 12-month period ending June 30, 2001, except as noted below, for all Mayoral operating agencies for all categories of contracts: goods, construction and/or construction-related services, human services and other. Agency data will be totaled to present an overall picture of procurements awarded. However, the data presented, which is maintained in the City's Financial Management System (FMS), will exclude small purchases, purchase orders, and the encumbrances imposed for multi-year contracts that had been awarded prior to Fiscal 2001. Therefore, the data presented should not be relied upon as a statement of the full level of goods, construction and services actually funded by the City during Fiscal 2001.

Procurement Processing Cycle Times

This section will include data on the average number of calendar days that was required to process contracts awarded from "typical" procurements during the 12-month period ending June 30, 2001 for all Mayoral operating agencies utilizing key methods of award (i.e., competitive sealed bids, competitive sealed proposals and negotiated acquisition). The data presented will be provided by the agencies. Agency data will be totaled to present an overall picture of procurement processing cycle times.

In order to meaningfully reflect the efficiency of the procurement process itself, processing cycle time is measured from the date that a solicitation was publicly released (i.e., the date on which the Invitation for Bids or Request for Proposals was issued; or, as applicable, the date on which a notice of intent to enter into negotiations was published in the City Record; or, where public notice was not required, the date on which vendors were solicited) through the date the procurement was completed by the agency (i.e., the date on which a contract was submitted to the Comptroller's Office for registration). The processing cycle time for contracts awarded from "atypical" procurements, for example, those that were substantially delayed due to litigation, court injunctions, vendor protests, vendor criminal investigations, adverse responsibility determinations or similarly aberrational circumstances, will be excluded so that the average time calculations are representative of typical procurements.

Competitiveness in Procurements

This section will include data reflecting the level of vendor competitiveness achieved by all Mayoral operating agencies for all contracts awarded by competitive sealed bids, competitive sealed proposals or negotiated acquisition*, and for construction and/or construction-related services contracts awarded by any method of award (e.g., competitive sealed bids, competitive sealed proposals, negotiated acquisition, sole source, renewal, line-item appropriation, etc.) during Fiscal 2001. The data, which is maintained in the City's Financial Management System (FMS), will include for each of these groupings: Total Number of Contracts Awarded; Total Dollar Value of Contracts Awarded; Number and Percent of Total Contracts Awarded for which there were 3 or more Responses; and Dollar Value and Percent of Total Dollar Value of Contracts Awarded for which there were 3 or more Responses. Agency data will be totaled to present an overall picture of vendor competitiveness.

*Will exclude contracts for which negotiated acquisition was used to either extend an existing contract for a limited period of time, or to continue a multi-phase construction-related services contract for an ongoing complex construction project. Under these circumstances, the Procurement Policy Board Rules contemplate conducting negotiations with only the incumbent contractor.

G. AGENCY PROCUREMENT

Performance-Based Contracting

Many City contracts concentrate on operational details (e.g., how many employees at what salary), which can be quite extensive, taking up many pages of the contract. This approach diverts the focus of both the contracting agency and the vendor from the real issues, "What are the agency's goals and are they being achieved?" Under performance-based contracting, the emphasis is shifted from the means employed by a contractor to reach desired goals, to whether the agency achieved its goals by contracting with the vendor. Correspondingly, the payment structure for these contracts rewards vendors that achieve the agency's goals rather than just reimbursing them for expenditures made. An additional benefit of performance-based contracting is that as the City changes its focus from the means of performance to the outcome produced, the City's costs for managing contracts decrease, while the quality of services increase.

Typically, the critical question in determining if a contract is performance based is whether the contract provides the contractor with financial incentives and/or disincentives that are tied to the goals that the agency is seeking to achieve through the contract. Thus, a contract is considered to be performance based if it incorporates one or more of the following payment methods in whole or in large part:

- Performance-based outcome measures and related financial incentives and/or disincentives (e.g., the contractor would complete a construction project by a prescribed date but would receive a 10% bonus for completing it substantially ahead of schedule; the contractor would maintain a 95% occupancy level in an SRO but would be assessed a 2% penalty if that level was not maintained).
- Unit payments tied to outcomes (e.g., the contractor would receive a prescribed fee per foot of road reconstructed).
- Milestone payments tied to outcomes (e.g., the contractor would receive a prescribed payment when a client is placed in employment and another payment when the client has been retained in employment for 90 days).
- Liquidated damages tied to outcomes (e.g., the contractor would be penalized \$100 per day for each day that an audit report is not submitted by the prescribed due date).

This indicator will include data reflecting the level of performance-based contracting for all Mayoral operating agencies for new contracts awarded for services and construction during Fiscal 2001. The data, which will be provided by the agencies, will include: Total Number of Contracts Awarded; Total Dollar Value of Contracts Awarded; Number and Percent of Total Contracts Awarded which were Performance-Based; and Dollar Value and Percent of Total Dollar Value of Contracts Awarded which were Performance-Based.

Retroactive Contracts

This indicator will include data reflecting the level of contract retroactivity (i.e., contracts that started prior to the completion of the procurement process, that is, the date on which a contract was registered by the Comptroller's Office*) for all Mayoral operating agencies for all new and renewal contracts, except emergency contracts and accelerated procurement contracts, awarded during Fiscal 2001. The data, which is maintained in the City's Financial Management System (FMS), will include: Total Number of Contracts Awarded; Total Dollar Value of Contracts Awarded; Number and Percent of Total Contracts Awarded which were Retroactive; Dollar Value and Percent of Total Dollar Value of Contracts Awarded which were Retroactive; and Average Number of Days that Elapsed Between the Contract Start Date and the Contract Registration Date.

*The date on which a contract was formally registered by the Comptroller's Office may be after the Charter legal registration date and, therefore, the contract may not be retroactive at all or may be less retroactive than reported.

G. AGENCY PROCUREMENT

Agency Procurement Actions

Section 12c(5) of the Charter requires that the Mayor's Management Report include for each agency a summary of the contracts entered into during the previous fiscal year (e.g., Fiscal 2001), categorized by the method of award used and the dollar value. This information is maintained in the City's Financial Management System (FMS). However, it should be noted that the manner in which contracting data is maintained in FMS precludes analysis or meaningful conclusions about contracting trends from use of that database alone. For example, FMS reflects the total dollar amount of a contract in whatever year the contract was registered. Thus, depending upon when particular multi-year contracts expire and need to be re-let, there will be an increase in contracting activity reflected in FMS for the year in which the new multi-year contracts are registered, with no contracting activity reflected during the intervening years even though the goods, services or construction provided for in these contracts continue to be funded and provided.

**G. AGENCY PROCUREMENT
PROMPT PAYMENT
July 1, 2000 - December 31, 2000**

| Agency | Invoice Value Paid On Time | Invoices Paid On Time | Interest Paid to Contractors |
|---|----------------------------|-----------------------|------------------------------|
| Police Department | 86% | 88% | \$28,064 |
| Fire Department | 95% | 89% | \$2,987 |
| Department of Correction | 83% | 87% | \$23,309 |
| Department of Probation | 100% | 99% | \$75 |
| Department of Juvenile Justice | 88% | 92% | \$156 |
| Department of Transportation | 94% | 73% | \$2,075 |
| Department of Environmental Protection | 98% | 99% | \$0 |
| Department of Housing Preservation & Development | 93% | 93% | \$3,865 |
| Department of Design and Construction | 98% | 98% | \$800 |
| Department of Sanitation | 100% | 99% | \$50 |
| Department of Parks and Recreation | 93% | 80% | \$6,144 |
| Landmarks Preservation Commission | 100% | 99% | \$0 |
| Department of Business Services | 100% | 98% | \$0 |
| Department of Cultural Affairs | 99% | 99% | \$81 |
| Department of Health/Office of the Chief Medical Examiner | 99% | 93% | \$25 |
| Department of Mental Health | 99% | 99% | \$29 |
| Human Resources Administration | 100% | 97% | \$6,441 |
| Administration for Children's Services | 97% | 90% | \$3,717 |
| Department of Homeless Services | 93% | 69% | \$23,190 |
| Department of Employment | 99% | 99% | \$0 |
| Department of Youth and Community Development | 97% | 95% | \$133 |
| Department for the Aging | 99% | 98% | \$0 |
| Department of Consumer Affairs | 97% | 99% | \$388 |
| Department of Buildings | 100% | 100% | \$0 |
| Taxi and Limousine Commission | 100% | 100% | \$0 |
| Law Department | 84% | 97% | \$43 |
| Department of Finance | 97% | 94% | \$37 |
| Department of Citywide Administrative Services | 95% | 89% | \$593 |
| Department of City Planning | 100% | 100% | \$0 |
| Department of Records and Information Services | 100% | 99% | \$0 |
| Department of Information Technology & Telecommunications | 92% | 80% | \$196 |
| Commission on Human Rights | 100% | 100% | \$0 |
| Department of Investigation | 100% | 100% | \$0 |
| Total | 97% | 91% | \$102,398 |

G. AGENCY PROCUREMENT CAPITAL PROJECTS -- FINANCIAL COMMITMENTS

(All numbers in thousands)

| AGENCY | FY 2000 | Fiscal Year 2001 | | | FY 2002 |
|---|------------------|------------------|-----------------|-------------------|---------------------|
| | Annual Actual | Annual Plan | 4-Month Plan | 4-Month Actual | Preliminary Plan |
| POLICE DEPARTMENT | \$11,038 | \$179,637 | * | \$10,956 | \$69,607 |
| FIRE DEPARTMENT | \$48,696 | \$226,095 | * | \$23,546 | \$192,124 |
| DEPARTMENT OF CORRECTION | \$59,418 | \$282,349 | * | \$70,657 | \$5,362 |
| DEPARTMENT OF JUVENILE JUSTICE | \$23 | \$12,819 | * | \$4,882 | \$68,266 |
| DEPARTMENT OF TRANSPORTATION | \$516,962 | \$1,351,984 | * | \$115,817 | \$1,477,132 |
| DEPARTMENT OF ENVIRONMENTAL PROTECTION | \$1,017,645 | \$2,903,398 | * | \$615,799 | \$2,682,432 |
| DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT | \$294,029 | \$463,751 | * | \$97,285 | \$551,396 |
| SCHOOL CONSTRUCTION AUTHORITY (a) | \$1,320,600 | \$2,428,500 | * | \$643,776 | \$1,185,425 |
| NEW YORK CITY HOUSING AUTHORITY | \$9,649 | \$61,878 | * | \$0 | \$12,201 |
| DEPARTMENT OF SANITATION | \$197,612 | \$247,522 | * | \$84,685 | \$265,672 |
| DEPARTMENT OF PARKS AND RECREATION | \$146,711 | \$371,415 | * | \$49,085 | \$240,477 |
| PUBLIC LIBRARIES | \$9,792 | \$189,251 | * | \$4,104 | \$54,995 |
| ECONOMIC DEVELOPMENT CORPORATION | \$21,467 | \$668,248 | * | \$73,044 | \$446,190 |
| DEPARTMENT OF CULTURAL AFFAIRS | \$20,974 | \$470,076 | * | \$38,626 | \$110,938 |
| DEPARTMENT OF HEALTH | \$23,547 | \$52,704 | * | \$6,106 | \$22,829 |
| NEW YORK CITY HEALTH AND HOSPITALS CORPORATION | \$19,202 | \$221,748 | * | \$20,279 | \$194,722 |

G. AGENCY PROCUREMENT CAPITAL PROJECTS -- FINANCIAL COMMITMENTS

(All numbers in thousands)

| AGENCY | FY 2000 Annual Actual | Fiscal Year 2001 | | FY 2002 Preliminary Plan | |
|--|-----------------------------|------------------|-----------------|--------------------------------|-------------------|
| | | Annual Plan | 4-Month Plan | | 4-Month Actual |
| HUMAN RESOURCES ADMINISTRATION | \$53,417 | \$102,108 | * | \$20,279 | \$194,722 |
| DEPARTMENT OF HOMELESS SERVICES | \$10,743 | \$45,896 | * | \$19,525 | \$24,674 |
| DEPARTMENT FOR THE AGING | \$2,651 | \$13,602 | * | \$381 | \$15,710 |
| DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES | \$89,859 | \$310,949 | * | \$54,911 | \$169,666 |
| DEPARTMENT OF DESIGN AND CONSTRUCTION o Managing Agency | \$861,708 | \$1,102,374 | NA b | NA b | by 9/00 b |

**Because of the nature of the capital process, it is difficult for agencies to accurately project the level of capital commitments during the first four months of each fiscal year. Performance versus plan during the first four months of the fiscal year is not indicative of performance during the entire fiscal year. Four-month plans for capital commitment levels are no longer included.*

G. AGENCY PROCUREMENT CAPITAL PROJECTS -- FINANCIAL COMMITMENTS

- (a) Figures for financial commitment plans and actual spending were provided by the School Construction Authority. The Fiscal 2001 Annual Plan has been revised to reflect an accelerated schedule for 12 new school facilities, as outlined in the Mayor's State of the City address in January 2001.
- (b) All Department capital financial data are incurred as a managing agency. Managing agency financial totals are reported in the September Mayor's Management Report.

AGENCY INTERNAL CONTROLS

CHARTER INTERNAL CONTROL REPORTING REQUIREMENT

Statements on the status of mayoral agencies' internal control environments and systems are summarized below with the actions taken or to be taken to strengthen such systems, pursuant to Section 12c(3) of the New York City Charter. The Mayor's Office of Operations compiled these statements from agencies' internal control certifications, financial integrity statements, and applicable State and City Comptrollers' audit reports.

Operations focused its Fiscal 2000 reviews on 34 mayoral agencies. The heads of the agencies attested to the status of their internal control systems over their principal operations, including the 14 areas covered by the City Comptroller's Directive 1, specifically: effectiveness and efficiency, cash receipts, imprest funds (petty cash), billings and receivables, expenditures and payables, inventory, payroll and personnel, management information systems (MIS) mainframe/midrange, MIS-personal computers/local area networks (LANs), Internet connectivity, single audit, licenses/permits, violations certificates, leases/concessions/franchises and internal audit function.

SUMMARY STATEMENTS ON THE STATUS OF INTERNAL CONTROL SYSTEMS

The head of the Department of City Planning attested to the overall sufficiency of its internal control systems. Thirty-three agencies reported weaknesses in one or more areas that may prevent the achievement of internal control objectives as defined in Section 389 of the New York City Charter. Except as qualified below, agency heads believe their systems of internal control, taken as a whole, are sufficient to meet the City's internal control objectives of maximizing the effectiveness and integrity of operations and reducing vulnerability to waste, abuse, errors or irregularities. All agencies are committed to pursuing courses of corrective action, and are monitoring their internal control systems and environments through self-inspections and risk assessments, management reviews, external audit follow-ups, internal audits in agencies with internal audit units and the use of independent auditors, where applicable.

ADMINISTRATION FOR CHILDREN'S SERVICES

The Administration for Children's Services reports that the Agency addressed weaknesses from prior statements over the past fiscal year, including the transfer of financial functions from HRA, strengthening internal controls, promoting efficiency and effectiveness, and addressing areas of improvement as referenced in audits and reviews. Specifically, the Agency assumed responsibility for miscellaneous payments for caseworker/client travel, initial housing subsidy and homemaking payments, administrative miscellaneous payments, tuition payments, preventive contracts and Agency for Child Development (ACD) administrative contracts, Head Start and ACD day-care contracts, accounting, audit and closeout function, imprest/sub-imprest funds, maintenance of bank accounts, claiming and revenue functions and the reimbursement of employee expenses. The Agency plans to assume the following functions in Fiscal 2001: check reconciliations, check production and distribution of direct foster-care payments, subsidized adoption payments and recurring housing subsidy payments for the Foster Home Account, and management of bank accounts for Supplemental Security Income/Social Security Assistance eligible foster-care children. In addition, the Agency will assume responsibility for the Office of Child Support Enforcement (OCSE) back reconciliations.

AGENCY INTERNAL CONTROLS

The Agency also completed Fiscal 1999 reconciliations for foster-care contract agencies and began the Fiscal 1998 reconciliations. In January 2000 the Agency began a new method of managing capacity of Foster Boarding Homes for each of its contract agencies. The Evaluation and Quality Improvement Protocol enables the Agency to evaluate agencies' performance on compliance, outcomes and quality of practice. The Agency coordinated steps between the internal audit and the sub-impres fund units to increase security over sub-impres funds. The Agency also made changes to its miscellaneous expense account, such as reassigning staff and instituting new internal control procedures for administering the account. The Agency hired a CPA firm to review the OCSE bank reconciliation function, including the current procedures, and to conduct a risk assessment.

In Fiscal 2000 the Agency registered its contracts for the neighborhood-based system of services for children and families. This program will ensure that children coming into foster care will be placed within their own community district. The Agency continues to work with New York State to improve CONNECTIONS and initiated new fiscal work groups to identify programming and fiscal issues that impede payments and claiming. Finally, the Agency continues to increase its compliance and internal controls for documentation of case records by hiring and training additional Categorical Eligibility Unit staff. The Agency developed a computerized inventory database to record and control capital and special fixed assets and will develop a new inventory management database to track all computer hardware and software. The Agency's internal audit unit will continue to monitor and review the overall internal control environment by conducting procedural reviews, risk assessments and external audit follow-up.

COMMISSION ON HUMAN RIGHTS

The Commission on Human Rights' system of internal controls needs to be strengthened in the areas of inventories and MIS. Although the Agency purchased software to establish and maintain an inventory system for fixed assets and consumable supplies, staff shortages and computer hardware problems have stalled the implementation of the system. As a result, a number of MIS issues remain open. However, the Agency interviewed two candidates for vacant MIS positions and they are going through the hiring process.

CIVILIAN COMPLAINT REVIEW BOARD

The Civilian Complaint Review Board's system of internal controls needs to be strengthened in the areas of inventory and MIS-personal computer/LANs. Weaknesses include overlapping functions due to staff shortages, inventories being conducted and supervised by managerial staff, lack of an automatic lockout feature for unattended computer workstations, lack of a separate fire detection system for the file server room and the absence of off-site storage facilities. The Agency's corrective actions include, but are not limited to, reorganizing staff to maximize efficiency in vulnerable areas and instituting several levels of approval from management without reducing efficiency. The Agency will work with MIS to establish an automatic lockout feature for all personal computers/LANs. In compliance with prior submissions, impres fund duties were redistributed and segregated, and payroll implemented pay stub distribution sign-off. Agency management will continue to supervise all affected areas.

DEPARTMENT FOR THE AGING

The Department for the Aging continues to address its need to increase control over government assets in the custody of its contract agencies. While the Department reported this weakness last year and the year before, substantial improvements have been made. The Department revised its written procedures and continues to follow up to ensure that the procedures are implemented. In the coming year, the Department will further develop outcome performance measurements for contracted and directly related services to senior consumers. The Quality Assurance Unit continues to work on this task as it reviews service performance standards.

AGENCY INTERNAL CONTROLS

DEPARTMENT OF BUILDINGS

The Department of Buildings' system of internal controls needs to be strengthened in the areas of inventory and MIS. Specifically, the Agency needs to implement a computerized inventory tracking system for goods, document and tag all furnishings, completely reconcile property records, segregate duties in inventory taking, and develop and maintain system applications. These areas of partial compliance are primarily due to inadequate staffing. Fiscal constraints of the last year stalled a plan to establish inventory reorder levels and to tag the entire inventory of furnishings and equipment. With the new requirements for fixed assets reporting, the ongoing effort to reconcile and update the records in FISA has had a delay. Staff needed training in the new procedures. The Department intends to take corrective action to the extent possible within existing staff levels.

The ongoing effort to reconcile and update the records in FISA was delayed because of the new fixed assets reporting requirements; staff time was diverted for training on the new requirements.

DEPARTMENT OF BUSINESS SERVICES

The Department of Business Services acknowledges a need for additional controls in cash management, payroll and personnel, and MIS. Specific weaknesses include overlapping functions with respect to depositing and accounting for cash receipts, lack of segregation of duties in the timekeeping function and incomplete network software documentation. To the extent possible, the Agency plans to add additional levels of supervision to improve these areas.

DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES

The Department of Citywide Administrative Services' system of internal controls needs to be strengthened in the areas of inventories and internal audit. Due to staff shortages, there are some cases where the same people who operate those areas perform Agency inventories. In addition, the Agency's fixed assets system is inaccurate because it contains records for equipment purchased for other agencies. The City's auditors have stated the fixed asset system is a citywide issue. Also, the Agency's current staff level in Internal Audit does not allow for generally accepted government auditing standards audits nor information technology audits and there is no corrective action for the Internal Audit unit.

DEPARTMENT OF CONSUMER AFFAIRS

The Department of Consumer Affairs' system of internal controls needs to be strengthened in the area of MIS, specifically the LAN. Through the internal audit function and daily licensing operations, a security matter has been identified in connection with the City Agencies Management Information System (CAMIS) concerning access. The Agency is in the process of investigating access to CAMIS and determining the impact on operations. The Agency installed its LAN in the first quarter of Calendar 2000, and obtained the required bid quotations for fire detection/suppression devices for the LAN room. The Agency will have the fire detection/suppression devices installed when funding becomes available.

AGENCY INTERNAL CONTROLS

DEPARTMENT OF CORRECTION

The Department of Correction's system of internal controls needs to be strengthened in the areas of payroll/personnel, MIS-mainframe and personal computers/LANs, expenditures/payables and internal audits. Specific weaknesses identified in these areas include the reliance on manual records for tracking employee leave balances and the need for more accurate and efficient payroll record keeping. Other weaknesses include inadequate separation of duties between applications development and the maintenance of computer system applications, lack of documentation of the data processing system, control weaknesses in the Agency's purchasing procedures and the lack of information technology audit capabilities. Finally, there is a need for financial and financial-related audits. Corrective actions include, but are not limited to, ensuring that time cards are maintained for leave accruals/usages until an integrated time and payroll recording system is implemented by the end of Calendar 2001, establishing a disaster recovery site, enforcing existing policies governing compliance with Procurement Policy Board rules and providing information technology training for internal audit staff. In compliance with prior submissions, the Agency purchased all the components needed to establish a computer recovery site; completion of this project is now in progress. The Agency has assigned specific individuals to monitor the Agency's federal funds receipts and expenditures to ensure compliance with the federal Single Audit Act. A formal write-off policy has been implemented to address all discrepancies for facility based control accounts.

DEPARTMENT OF CULTURAL AFFAIRS

The Department of Cultural Affairs' system of internal controls needs to be strengthened in the areas of MIS-personal computers/LANs and segregation of duties for cash receipts and imprest funds. The Agency hired an Information Technology Director to address the major weaknesses, however the unit is still understaffed. Staff shortages also weaken segregation of duties with regard to cash receipts and imprest funds. The Agency will continue to monitor its overall control environment through management reviews.

DEPARTMENT OF DESIGN AND CONSTRUCTION

The Department of Design and Construction's system of internal controls needs to be strengthened in the areas of payroll and personnel, MIS-mainframe/midrange, MIS-personal computers/LANs and Internet connectivity. Corrective actions include, but are not limited to, ensuring that employees sign for their paycheck/stubs, developing an effective and automatic log-out feature for unattended computer workstations and conducting penetration testing of the Agency's firewalls to ensure that Internet configurations are properly set. In compliance with prior submissions, the Agency has placed its major operational and administrative manuals on its intranet site and has converted over 100 of its outdated workstations to Windows NT. The Agency's Information Technology Division implemented a new procedure requiring that system passwords change every 45 days as well as a system-generated error message and automatic system lockout feature. The information technology personnel now have access to a log for on-system transactions and unauthorized access violations.

AGENCY INTERNAL CONTROLS

DEPARTMENT OF EMPLOYMENT

The Department of Employment's internal control system needs to be strengthened in the area of billings and receivables, expenditures and payables, MIS-personal computer/LANs and Single Audit. Specifically, the Agency needs to develop a new and reliable accounting system with a grant-based Cost Allocation Plan (CAP), develop and strengthen its monitoring procedures over subrecipients, and write policies and procedures for software licensing agreements and copyright laws. To address these issues, the Agency's Fiscal & Budget Unit collaborated with the New York State Department of Labor's Work Force Division and created a new accounting system for the recording and reporting of grants expenditures and cash disbursements. The Agency also automated elements of a new accounting system as well as a policy and procedure manual. In the future, the Agency will continue to monitor the overall control environment through risk assessments, internal audits and external audit follow-ups. Over the past year the Agency made considerable improvements in MIS, such as implementing password protection for communication servers, developing written procedures for sharing passwords by individuals and instructing users in how to save critical data to network drives. In addition, the Agency also included the recovery of LAN processing capabilities in its Disaster Recovery Plan, which was completed in Fiscal 2000.

DEPARTMENT OF ENVIRONMENTAL PROTECTION

The Department of Environmental Protection needs to improve its water and sewer billing practices and procedures, customer information, accounts receivable, cash receipts and internal audits. The Agency will focus particular attention on these areas as it strives for continuous improvement in all aspects of its operation.

DEPARTMENT OF FINANCE

The Department of Finance's system of internal controls needs to be strengthened in the areas of unapplied payments and MIS. These weaknesses include the extent of unapplied payments applicable to business taxes and parking violation fines, delays in the process of correcting such unapplied payments and other internal control weaknesses. In addition, the Agency does not have a disaster recovery plan covering business facilities. Present and future corrective actions include implementing a new imaging system, known as FileNet, to eliminate delays in retrieving documents, intensifying efforts on researching and correcting the backlog of unapplied payments, and improving supervisory review over the current process. The Agency is also in the process of hiring a consultant to assist in the development of a disaster recovery plan covering business facilities.

DEPARTMENT OF HEALTH

The Department of Health's internal control structure needs to be strengthened in the areas of cash receipts, inventories and MIS-personal computers/local area networks. Specific weaknesses include the lack of an automated cash management system at the burial desk, segregation of duties in record keeping for inventory control, and formal security policies and disaster planning for the Agency's networks. Corrective actions include, but are not limited to, consolidating computer network functions; centralizing security management; and improving oversight of purchases of computer hardware, software and services. MIS also finalized an Internet access and security policy as well as a disaster and backup recovery plan.

AGENCY INTERNAL CONTROLS

DEPARTMENT OF HOMELESS SERVICES

The Department of Homeless Services' system of internal controls needs to be strengthened in the areas of effectiveness and efficiency, inventories, MIS-personal computers/LANs, single audit and internal audit function. Specific weaknesses include the lack of formal written operating procedures clearly defining management policies, lack of accurate and complete inventory records for capital assets, and the failure to implement security measures for computer hardware and software. Additional weaknesses include the failure to address the Agency's audit concerns and the failure to evaluate subrecipients' single audit reports as well as monitor them if corrective actions are taken in a timely manner.

Over the past year the Agency has taken significant steps to address internal control weaknesses reported in the Fiscal 1999 evaluation. Specifically, procedures have been revised and updated; the timely submission of claims improved; and the responsibilities for initiating, evaluating, approving and recording capital expenditures were segregated. Additionally, the quality assurance function was used to assess the appropriateness of system enhancements and the internal audit unit formalized protocols and created the first sections of an audit manual. The Agency reports in its Fiscal 2000 statement that a previously identified weakness was not yet corrected. Specifically, the lack of documentation and written programming standards for the midrange computer is still a problem; however, with the industry trend to use off-the-shelf products, the Agency relies on documentation and standards provided by vendors. In the case of in-house developed applications, there are now new and computerized tools that can be used. The Agency has taken and continues to take actions to correct these problems and will continue to monitor the overall internal control environment through internal audits, external audit follow-ups and risk assessments.

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT

The Department of Housing Preservation and Development's system of internal controls needs to be strengthened in the area of cash receipts, billings and receivables, inventories, MIS-mainframe/midrange and personal computers/LANs and internal audit functions. These weaknesses include the failure to immediately record and deposit rental receipts, lack of written procedures in certain units, not aging some receivables and the lack of a formal write-off policy. There are also inadequate segregation of duties in inventory control, lack of an individual identification number for computer equipment, lack of independence of the internal audit function and failure to use risk assessment to select potential problem areas for management to review. The Agency's corrective actions include, but are not limited to, recording and depositing rental receipts in a timely fashion, developing written procedures where necessary, determining the extent of write-off policies and reviewing receivables to determine the feasibility of preparing aging reports. In addition, the Agency is addressing all inventory needs by instituting weekly cycle counts of fast moving items and is developing a new computerized inventory system.

DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS

The Department of Information Technology and Telecommunications has identified that the absence of an independent internal/MIS audit unit represents an internal control weakness. However, DoITT does not have the headcount or funding to staff such a unit. To compensate, the Agency will continue to monitor the internal control environment through ongoing reviews of Agency procedures, self-inspections, external audit follow-up and use of information technology.

AGENCY INTERNAL CONTROLS

DEPARTMENT OF INVESTIGATION

The Department of Investigation's system of internal controls needs to be strengthened in the area of MIS-personal computers/LANs. Specifically, the Agency's security of unattended computer workstations needs improvement. This issue will be addressed when the Agency's primary database is replaced. Funding for the new system has been requested as part of the Fiscal 2001 November Plan New Needs submission.

DEPARTMENT OF JUVENILE JUSTICE

The Department of Juvenile Justice reported that its internal control needs to be strengthened in the area of effectiveness and efficiency. Specifically, the Agency's collective absence rate during Fiscal 2000 was slightly higher than the goal. The Agency attributes the increase to employees on long-term leave due to medical problems. The Agency's corrective actions include, but are not limited to, implementing better monitoring procedures, training, and developing more incentive and employee recognition programs.

DEPARTMENT OF MENTAL HEALTH, MENTAL RETARDATION, AND ALCOHOLISM SERVICES

The Department of Mental Health, Mental Retardation, and Alcoholism Services' system of internal controls needs to be strengthened in the areas of effectiveness and efficiency, billings and receivables, and MIS – personal computers/LANs. Corrective action plans include, but are not limited to, completing a review of all CPA audits of contracting agencies and hiring staff at a rate sufficient to maintain authorized headcount levels. Additionally, the Agency plans to follow up on some receivables in a timely and effective manner, improve physical security and encryption procedures, and document current applications.

DEPARTMENT OF PARKS AND RECREATION

The Department of Parks and Recreation's system of internal controls needs to be strengthened in the areas of cash receipts, billings and receivables, inventory, licenses/permits, and internal audits. These weaknesses include the incomplete segregation of duties in the areas of cash receipts, billings and receivables, inventory, and licenses/permits; some control problems over cash receipts and receivables; cases of a lack of pre-numbered documents, and a lack of an internal audit function. The Agency has not implemented the agencywide Internet access via the Department of Information, Technology and Telecommunications (DoITT) and is currently working with DoITT and the Department of Investigation to obtain authorization and access via the City's network.

The Agency intends to take and, in a number of cases, has already taken measures to correct some of these problems. The Agency successfully completed its data processing preparation for Y2K. The Agency launched a new home page in July 2000 that features interactive components such as on-line permit applications/renewals and virtual park tours. The Agency debuted its intranet site in December 1999. The site will provide performance measurement reports for management in the future. The Agency's MIS unit completed migrating the e-mail system to "parks.nyc.gov"; and a proposal for implementing a Customer Response System was submitted to the Mayor's Office of Operations, which will handle complaints and correspondences from the public in a more efficient way. The Agency's storehouses, shops, garages and Urban Park Service Field offices will be connected to the Parks Information Network this fiscal year to allow the users in the field to have access to up-to-date inventory and work order information, as well as to email and the intranet. The present and future actions also include training of employees handling cash, closer employee supervision, and continued upgrade and integration of the Agency's computer systems.

AGENCY INTERNAL CONTROLS

DEPARTMENT OF PROBATION

The Department of Probation's system of internal controls needs to be strengthened in the areas of effectiveness and efficiency, expenditures and payables, inventories, MIS-mainframe/midrange and internal audits. Specific weaknesses include the lack of policies and procedures for the Domestic Violence Program and the handling of goods in the Procurement Unit when the recipient is unavailable. Also identified was the lack of formal training in specification writing and contract administration, lack of an independent review of firearm inventories, and comparisons of perpetual inventory records against actual inventory. Corrective actions include, but are not limited to, requiring the Domestic Violence Program to develop policies and procedures; including the names of recipients on all purchase orders; identifying training programs in specification writing and contract administration; periodically performing independent reviews of firearm inventories; and implementing a perpetual inventory system. In addition, the Agency intends to investigate all significant variances of inventory. In compliance with prior submissions, the Agency implemented the use of metal tags for office furniture and other equipment identification, established a single centralized security administrator and implemented procedures to accommodate system users outside of normal operating hours.

DEPARTMENT OF RECORDS AND INFORMATION SERVICES

The Department of Records and Information Services' system of internal controls needs to be strengthened in the area of an internal audit. The Agency implemented an inventory tracking procedure for software and increased the use of personal computers to perform some selected functions more efficiently to effect more timely remittance from the Municipal Archives. The Agency reconsidered developing a procedure manual for inventory, payroll and timekeeping; instead, it will rely on existing documents from other agencies. The Agency continues to minimize these risks by using managerial reviews of its operations.

DEPARTMENT OF SANITATION

The Department of Sanitation's system of internal controls needs to be strengthened in the areas of improving its cash handling procedures relative to Derelict Vehicle operations. As a corrective measure, the Agency will require that all checks and money orders received by the unit be restrictively endorsed before sending to Fiscal Services for deposit. The Agency strengthened its cash handling procedures in the Recycling area by moving its check-receiving function to the Waste Export Unit after a recent reorganization. The Recycling unit is still responsible for billing. Just prior to Fiscal 2001 the Agency completed the development of a formal MIS manual, which covers Computer Processing Controls, Change Management Policies, SDLC Procedures and Security Policies, as well as starting a computer equipment and software inventory system, as previously recommended by the City Comptroller. The inventory system is now fully operational. The Agency has no write-off policy because no receivables have ever been written off. However, in the event that receivables need to be written off, the Agency intends to follow the City Comptroller's Directive 21.

DEPARTMENT OF TRANSPORTATION

The Department of Transportation's system of internal controls needs to be strengthened in the area of inventory control. This weakness is limited to the Agency's smaller facilities where inventory controls continue to need improvement. The Agency will continue to address these concerns. The Agency did, however, correct inventory control weaknesses in its larger facilities by adding staff. The Agency also continues to upgrade its computerized inventory systems.

AGENCY INTERNAL CONTROLS

DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT

The Department of Youth and Community Development's system of internal controls needs to be strengthened in the areas of effectiveness and efficiency, inventory, personal computers/LANs and Internet connectivity. Specific weaknesses include the need to document policies and procedures, improve measurement of both outcomes and outputs, minimize staff turnover, implement audit recommendations outstanding for more than one year, and implement productivity and monitoring standards for field staff. The Agency also needs to implement procedures for recouping equipment in a contractor's possession, etching identification tags on equipment and preparing for Internet connectivity. Regarding MIS, the Agency needs to develop new information technology systems to improve monitoring and compliance, and install software that tracks all changes occurring in the Agency's applications development programs.

Many of the key weaknesses identified in the Fiscal 1999 evaluation were corrected. Most notably the need to develop more measurable outcomes, develop procedures for recouping equipment in a contractor's possession, and develop improved monitoring procedures and productivity standards for field staff. The Agency intends to take, and has taken, actions to correct these problems. The Agency continues to implement procedures for monitoring field staff, recouping government assets, and etching identification numbers into each piece of equipment.

FIRE DEPARTMENT

The Fire Department's system of internal controls needs to be strengthened in the areas of effectiveness and efficiency, cash receipts, billings and receivables, inventory and internal audit. Specific weaknesses include lack of adequate program management, internal controls governing ambulance transport claim development and billing, staffing, technology and internal control policies relative to inventory, asset management and audit coverage. Corrective actions include, but are not limited to, improving program management, documenting management policies, outsourcing the ambulance transport claim processing functions, improving controls over supplies and fixed assets, and adhering to generally accepted auditing standards.

HUMAN RESOURCES ADMINISTRATION

The Human Resources Administration's present system of internal controls, taken as a whole, is sufficient to meet the City's internal control objectives of maximizing the effectiveness and integrity of Agency operations and reducing the vulnerability of the Agency to waste, abuse, error or irregularities. Several of the Agency's weaknesses identified in previous statements have either been corrected or are in the final stages of completion. Specifically, the Agency's General Support Services Division implemented the AssetWorks automated system to address its need to analyze trade staff productivity and maintain perpetual inventory records. The Agency also moved all its Temporary Assistance to Needy Families and Safety Net caseload to the NYCWAY computer system to better track the case files. The Agency also successfully completed all Y2K activities. The Agency continues to review and adjust encumbrances monthly, update the policies and procedures handbook for Protective Services for Adults, and monitor the quality of housing vendor services as well as instruct these vendors on improving their operating procedures. Finally, the Agency continues to develop contingency and disaster recovery plans for its local area network and document all UNIX computer systems.

AGENCY INTERNAL CONTROLS

LANDMARKS PRESERVATION COMMISSION

The Landmarks Preservation Commission's system of internal controls needs to be strengthened in the areas of cash receipts, expenditures and payables, and MIS-personal computers/LANs. These weaknesses are primarily attributable to inadequate staffing and an extremely low volume of transactions. Specifically, the Agency had no checks returned for insufficient funds and no receivables during the fiscal year. The Agency will continue to monitor the internal control environment through management reviews.

LAW DEPARTMENT

The Law Department's system of internal controls needs to be strengthened in the areas of internal audit standards. Specifically, the Agency failed to have all staff members involved in the internal review process organizationally located outside the line of management of the audited unit. The Agency will continue to monitor the internal control environment to ensure that this does not materially affect the internal review process.

POLICE DEPARTMENT

In prior internal control statements, the Police Department identified several areas that continue to cause concern. These areas include the inability to acquire an off-site disaster recovery facility to enable continuance of full computer operations in the event of a disaster. To address this issue, the Agency secured funding, however the contractual process is not complete. In addition, the Department identified the Payroll Management System as an area susceptible to manipulation and the Agency relies on a specialized internal auditing unit to address the payroll and timekeeping issues. Further, physical facilities and ancillary equipment are not adequately maintained because of personnel shortages and budget constraints. Other concerns include the failure of precinct collection centers to remit to the central accounting section cash receipts on a timely basis and the noncompliance with the 30-day requirement for filing claims for State and federal aid. Where feasible, corrective steps have been initiated to minimize these deficiencies. The Agency will continue to monitor the internal control environment through the Internal Affairs Bureau, Quality Assurance Division, Integrity Control Officers and the Agency's Self-Inspection Program.

TAXI AND LIMOUSINE COMMISSION

The Taxi and Limousine Commission has not pursued the creation of a write-off policy but has redirected its efforts toward implementing a more effective debt-collection program to improve internal controls over billings and receivables, specifically for uncollectable receivables. This area was identified as needing improvement in the previous year's internal control statement. In an effort to more effectively collect fines and other outstanding debt owed to the Commission, the Agency's Office of Judgment Enforcement works closely with the civil courts and the New York City Sheriff's Office to implement an efficient and mostly automated debt-collection program.

BUDGETARY UNITS OF APPROPRIATION

Fiscal 2001

PUBLIC SAFETY

Police [056]

| | |
|-----|-----------------------------|
| 001 | Operations |
| 002 | Executive Management |
| 003 | School Safety -PS |
| 004 | Administration - Personnel |
| 006 | Criminal Justice |
| 007 | Traffic Enforcement |
| 008 | Transit Police - PS |
| 009 | Housing Police - PS |
| 100 | Operations - OTPS |
| 200 | Executive Management - OTPS |
| 300 | School Safety - OTPS |
| 400 | Administration - OTPS |
| 600 | Criminal Justice - OTPS |
| 700 | Traffic Enforcement - OTPS |

Fire [057]

| | |
|-----|---|
| 001 | Executive Administrative |
| 002 | Fire Extinguishment & Emergency Response |
| 003 | Fire Investigation |
| 004 | Fire Prevention |
| 005 | Executive Administrative - OTPS |
| 006 | Fire Extinguishment & Emergency Response - OTPS |
| 007 | Fire Investigation - OTPS |
| 008 | Fire Prevention - OTPS |
| 009 | Emergency Medical Services- PS |
| 010 | Emergency Medical Services- OTPS |

Correction [072]

| | |
|-----|-----------------------|
| 001 | Administration |
| 002 | Operations |
| 003 | Operations - OTPS |
| 004 | Administration - OTPS |

Probation [781]

| | |
|-----|-----------------------------|
| 001 | Executive Management |
| 002 | Probation Services |
| 003 | Probation Services - OTPS |
| 004 | Executive Management - OTPS |

Juvenile Justice [130]

| | |
|-----|------------------------------|
| 001 | Personal Services |
| 002 | Other than Personal Services |

Civilian Complaint Review Board [054]

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| 001 | Personal Services |
| 002 | Other than Personal Services |

BUDGETARY UNITS OF APPROPRIATION

INFRASTRUCTURE

Transportation [841]

| | |
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| 001 | Executive Administration and Planning Management |
| 002 | Highway Operations |
| 003 | Transit Operations |
| 004 | Traffic Operations |
| 006 | Bureau of Bridges |
| 007 | Bureau of Bridges - OTPS |
| 011 | Executive and Administration - OTPS |
| 012 | Highway Operations - OTPS |
| 013 | Transit Operations - OTPS |
| 014 | Traffic Operations - OTPS |

Environmental Protection [826]

| | |
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| 001 | Executive and Support |
| 002 | Environmental Management |
| 003 | Water Supply and Wastewater Collection |
| 004 | Utility - OTPS |
| 005 | Environmental Management - OTPS |
| 006 | Executive and Support - OTPS |
| 007 | Central Utility |
| 008 | Wastewater Treatment |

Housing Preservation and Development [806]

| | |
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| 001 | Office of Administration |
| 002 | Office of Development |
| 004 | Office of Housing Preservation |
| 006 | Housing Maintenance and Sales |
| 008 | Office of Administration - OTPS |
| 009 | Office of Development - OTPS |
| 010 | Housing Maintenance and Sales - OTPS |
| 011 | Office of Housing Preservation |

Department of Design and Construction [850]

| | |
|-----|------------------------------|
| 001 | Personal Services |
| 002 | Other than Personal Services |

Housing Authority [Miscellaneous Budget]

| | |
|-----|------------------------------|
| 001 | Personal Services |
| 002 | Other than Personal Services |
| 003 | Fringe Benefits |
| 005 | Indigent Defense Services |

BUDGETARY UNITS OF APPROPRIATION

COMMUNITY SERVICES

Sanitation [827]

| | |
|-----|-------------------------------------|
| 101 | Executive Administrative |
| 102 | Cleaning and Collection |
| 103 | Waste Disposal |
| 104 | Building Management |
| 105 | Bureau of Motor Equipment |
| 106 | Executive and Administrative - OTPS |
| 107 | Snow Budget - Personal Services |
| 109 | Cleaning and Collection - OTPS |
| 110 | Waste Disposal - OTPS |
| 111 | Building Management - OTPS |
| 112 | Motor Equipment - OTPS |
| 113 | Snow - OTPS |

Parks and Recreation [846]

| | |
|-----|---|
| 001 | Executive Management and Administrative Services |
| 002 | Maintenance and Operations |
| 003 | Design and Engineering |
| 004 | Recreation Services |
| 006 | Maintenance and Operations - OTPS |
| 007 | Executive Management and Administrative Services - OTPS |
| 009 | Recreation Services - OTPS |
| 010 | Design and Engineering - OTPS |

Landmarks Preservation [136]

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| 001 | Personal Services |
| 002 | Other than Personal Services |

New York Public Library - The Research Libraries [035]

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|-----|------------------------|
| 001 | Lump Sum Appropriation |
|-----|------------------------|

New York Public Library [037]

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| 003 | Lump Sum - Borough of Manhattan |
| 004 | Lump Sum - Borough of the Bronx |
| 005 | Lump Sum - Borough of Staten Island |
| 006 | Systemwide Services |
| 007 | Consultant and Advisory Services |

Brooklyn Public Library [038]

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| 001 | Lump Sum |
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Queens Borough Public Library [039]

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| 001 | Lump Sum |
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BUDGETARY UNITS OF APPROPRIATION

ECONOMIC DEVELOPMENT SERVICES

Department of Business Services [801]
(Economic Development Corporation
Mayor's Office of Film, Theater and Broadcasting)

| | |
|-----|---|
| 001 | Department of Business Services - PS |
| 002 | Department of Business Services - OTPS |
| 004 | Contract Compliance & Business Opportunity |
| 005 | Contract Compliance & Business Opportunity - OTPS |
| 006 | Economic Development Corporation |
| 008 | Economic Planning/Film/Public Finance - PS |
| 009 | Economic Planning/Film/Public Finance - OTPS |

Cultural Affairs [126]

| | |
|-----|---|
| 001 | Office of the Commissioner |
| 002 | Office of the Commissioner - OTPS |
| 003 | Cultural Programs |
| 004 | Metropolitan Museum of Art |
| 005 | New York Botanical Garden |
| 006 | American Museum of Natural History |
| 007 | The Wildlife Conservation Society |
| 008 | Brooklyn Museum |
| 009 | Brooklyn Children's Museum |
| 010 | Brooklyn Botanical Garden |
| 011 | Queens Botanical Garden |
| 012 | New York Hall of Science |
| 013 | Staten Island Institute of Arts and Science |
| 014 | Staten Island Zoological Society |
| 015 | Staten Island Historical Society |
| 016 | Museum of the City of New York |
| 017 | Wave Hill |
| 019 | Brooklyn Academy of Music |
| 020 | Snug Harbor Cultural Center |
| 021 | Studio Museum in Harlem |
| 022 | Other Cultural Institutions |
| 024 | New York Shakespeare Festival |

HEALTH SERVICES

Health [816]

| | |
|-----|--------------------------------------|
| 101 | Administration and Support Services |
| 102 | Health Related Services |
| 103 | Community Health Services |
| 104 | Environmental Health Services |
| 106 | Chief Medical Examiner |
| 107 | Health Care Access -PS |
| 111 | Management and Administration - OTPS |
| 112 | Health Related Services - OTPS |
| 113 | Community Health Services - OTPS |
| 114 | Environmental Health Services - OTPS |

BUDGETARY UNITS OF APPROPRIATION

115 Prison Health Services - OTPS
116 Chief Medical Examiner - OTPS
117 Health Care Access - OTPS

Health and Hospitals Corporation [819]

001 Lump Sum

Mental Health [817]

001 Personal Services
002 Other than Personal Services
003 Mental Health Services
004 Mental Retardation Services
005 Alcoholism Services
006 Early Intervention - PS
007 Early Intervention - OTPS

HUMAN SERVICES AND EDUCATION

Human Resources [069]

101 Administration - OTPS
103 Public Assistance - OTPS
104 Medical Assistance - OTPS
105 Adult Services - OTPS
201 Administration
203 Public Assistance
204 Medical Assistance
205 Adult Services

Administration for Children's Services [068]

001 Personal Services
002 Other than Personal Services
003 Office of Child Support Enforcement/Head Start/Day Care - PS
004 Office of Child Support Enforcement/Head Start/Day Care - OTPS
005 Administrative - PS
006 Child Welfare - OTPS

Department of Homeless Services [071]

100 Personal Services
200 Other than Personal Services
300 Family Rental Assistance
400 Adult Rental Assistance

Employment [094]

001 Personal Services
002 Other than Personal Services
770 Personal Services - JTPA
773 Other than Personal Services - JTPA

BUDGETARY UNITS OF APPROPRIATION

Youth and Community Development [260]

002 Community Development Agency - PS
005 Community Development Agency - OTPS
311 Personal Services
312 Other than Personal Services

Aging [125]

001 Executive and Administrative Management
002 Community Programs
003 Community Programs - OTPS
004 Executive and Administrative Management - OTPS

Board of Education [040]

301 District Instruction/Instructional Support Services
302 District Instruction/Instructional Support Services - OTPS
303 District Special Education Instructional Services
304 District Special Education Instructional Services - OTPS
305 District Operations/Administration
306 District Operations/Administration - OTPS
311 High School Instruction/Instructional Support Services
312 High School Instruction/Instructional Support Services - OTPS
313 High School Special Education Instructional Services
314 High School Special Education Instructional Services - OTPS
315 High School Operations/Administration
316 High School Operations/Administration - OTPS
321 Special Education Citywide Instruction/Instructional Support Services
322 Special Education Citywide Instruction/Instructional Support Services - OTPS
323 Division of Special Education Instructional Support Services
324 Division of Special Education Instructional Support Services - OTPS
325 Division of Special Education Operations/Administration
326 Division of Special Education Operations/Administration - OTPS
327 Special Education Operations/Administration (District/High School/Citywide)
328 Special Education Operations/Administration (District/High School/Citywide) - OTPS
335 School Facilities Custodial Maintenance
336 School Facilities Custodial Maintenance - OTPS
338 Pupil Transportation
339 School Food Services
340 School Food Services - OTPS
341 School Safety
342 School Safety - OTPS
344 Energy and Leases
353 Central Administration
354 Central Administration - OTPS
361 Fringe Benefits
370 Non-Public School Payments
381 Categorical Programs
382 Categorical Programs - OTPS

City University of New York [042]

001 Community College - OTPS
002 Community College - PS
003 Hunter Schools - OTPS

BUDGETARY UNITS OF APPROPRIATION

004 Hunter Schools - PS
005 CUNY-wide Scholarships - OTPS
012 Senior College - OTPS

REGULATORY SERVICES

Consumer Affairs [866]

001 Administration
002 Licensing/Enforcement
003 Other than Personal Services
004 Adjudication

Buildings [810]

001 Personal Services
002 Other than Personal Services

Taxi and Limousine [156]

001 Personal Services
002 Other than Personal Services

CITYWIDE ADMINISTRATION

Law [025]

001 Personal Services
002 Other than Personal Services

Finance [836]

001 Administration and Planning
002 Operations
003 Property
004 Audit
005 Legal
006 Tax Appeals Tribunal -PS
007 Parking Violations Bureau - PS
009 City Sheriff - PS
011 Administration - OTPS
022 Operations - OTPS
033 Property - OTPS
044 Audit - OTPS
055 Legal - OTPS
066 Tax Appeals Tribunal - OTPS
077 Parking Violations Bureau - OTPS
099 City Sheriff – OTPS

Citywide Administrative Services [856]

001 Division of Citywide Personnel Services
002 Division of Citywide Personnel Services - OTPS
003 Office of Administrative Trials and Hearings

BUDGETARY UNITS OF APPROPRIATION

004 Office of Administrative Trials and Hearings - OTPS
005 Board of Standards and Appeals
006 Board of Standards and Appeals - OTPS
100 Executive and Financial Administrative Services
190 Executive & Financial Administrative Services - OTPS
300 Division of Facilities Management
390 Division of Facilities Management - OTPS
400 Division of Municipal Supply Services
490 Division of Municipal Supply Services - OTPS
500 Division of Real Estate Services
590 Division of Real Estate Services - OTPS
600 Communications
690 Communications - OTPS

City Planning [030]

001 Personal Services
002 Other than Personal Services
003 Geographic Systems - PS
004 Geographic Systems - OTPS

Records and Information Services [860]

100 Personal Services
200 Other than Personal Services

Information Technology and Telecommunications [858]

001 Personal Services
002 Other than Personal Services

Commission on Human Rights [226]

001 Personal Services
002 Other than Personal Services
003 Community Development - PS
004 Community Development - OTPS

Investigation [032]

001 Personal Services
002 Other than Personal Services
003 Inspector General - PS
004 Inspector General - OTPS

TECHNICAL NOTE

INTRODUCTION

Evaluating the performance of City government is a complex activity requiring large amounts of diverse data. Unlike the evaluation of private sector performance, which is often measured by a single summary indicator such as return on investment, the evaluation of City performance requires an array of measures. The range of these indicators must be broad enough to report the quantity and quality of service provided, the responsiveness of agencies to the needs of various communities, and productivity and workload factors associated with an agency's operations.

A further complication in this measurement is that performance is often greatly influenced by nonoperational and social factors beyond the City's control, and by shifting State and federal mandates. The less controllable indicators should be viewed as operating statistics rather than performance measures.

RESULTS AND TARGETS

Results ("Actuals") are given for Fiscal 2000 and for the first four months of Fiscal 2001 if the indicator has been in use since that time. Fiscal 2001 Plan data is based on the City's Financial Plan issued on January 25, 2001, unless otherwise noted. "Plan" is a general term covering targets or goals for performance measures and projections or expectations for workload or service demand measures. Changes in Fiscal 2001 plans or targets from past Reports are explained in the footnotes to the data tables. Wherever the term "January Financial Plan" is mentioned in this Report, it refers to the City's Financial Plan for Fiscal 2001-2005, as released on January 25, 2001.

The indicator tables reflect data for the period ending October 31, 2000. Wherever possible the text includes events and key issues through publication. Figures are rounded in the text for ease of reading.

NOTES ON TABLES

DNA -- Data not available.

NA -- Not applicable. No previous data exists because the program or activity is new, the program is ending and no target is projected, or the agency's planning cycle is not compatible with that of the Mayor's Management Report.

* -- Not subject to targeting. This symbol will appear when historical data does not provide a sufficient basis for targeting, or the activity is dependent upon factors not in the agency's control or the activity would otherwise be inappropriate to target.

[] -- Bracketed Numbers in the Indicator Tables. New York City Charter Section 12c(6) requires that the Mayor's Management Report reflect the relationship between programmatic indicators and budgetary appropriations. Bracketed [] numbers found in the indicator tables reflect 1) the agency code (found at the beginning of agency indicator tables) and 2) the unit of appropriation codes (reflected under each major mission heading). The Appendix to this Report contains a descriptive listing of each unit of appropriation by agency. For information concerning the specific dollar appropriations for these codes, please refer to the Mayor's Executive Budget.

NI -- New indicator. No reporting system or records exist for the period, but data will be collected in the future. A date in the "Plan" column indicates when the data will be available.

Footnotes -- As much as possible, indicators are self-explanatory. Footnotes provide additional explanations of terms where needed. In this Report footnotes provide definitions of all new indicators. However, if definitions have been printed in earlier Reports, they may not be repeated here. Footnotes explain changes in targets, variances from targets, important redefinitions and errors made in past Reports.

TECHNICAL NOTE

Percentages and Ratios -- Percentages and ratios are averages for the reporting period, except where otherwise specified.

Fiscal Year -- The City operates on a July 1 to June 30 fiscal year, but must coordinate its budget with at least two other fiscal years. The New York State fiscal year begins on April 1 and runs through March 31, and appropriations for certain reimbursable City expenditures are established on that basis. Many federally funded programs follow the federal fiscal year, which begins on October 1 and runs through September 30. Community development funds, which are federal block grant funds, do not conform with the City's fiscal year. Unless otherwise indicated, the City's fiscal year is used in the Report.

FINANCIAL RESOURCES

Expenses -- Expenses refer to planned and actual expenditures across all units of appropriation in an agency's expense budget. Fiscal 2000 Actual numbers may have changed since the September 2000 Mayor's Management Report. The figures reported here reflect the "Comprehensive Annual Report of the Comptroller for the Fiscal Year Ended June 30, 2000." Figures may include funds encumbered during the reporting period to be paid out over the entire fiscal year.

Fiscal 2001 Four-Month Plan numbers for expenditures are consistent with the November 2000 Financial Plan. The Fiscal 2001 Four-Month Actual data is as of October 31, 2000, based on the October FISA Report. Fiscal 2001 Annual Plan and Fiscal 2002 Preliminary Plan figures reflect the City's Financial Plan released on January 25, 2001. Any deviations from these sources will be footnoted.

Data is supplied by the Office of Management and Budget (OMB) unless otherwise noted.

Revenues -- Revenues, both actual and planned, include cash collections in the following classes unless otherwise footnoted: licenses, permits, privileges, fines, forfeitures, franchises, rental income, interest income, charges for services including general governmental charges and miscellaneous.

Fiscal 2000 Actual numbers for revenues may have changed since the September 2000 Mayor's Management Report. The figures reported here reflect the "Comprehensive Annual Report of the Comptroller for the Fiscal Year Ended June 30, 2000." Fiscal 2001 Four-Month Plan data is consistent with the November 2000 Financial Plan. The Fiscal 2001 Four-Month Actual data is as of October 31, 2000, based on the October FISA report. Fiscal 2001 Annual Plan and Fiscal 2002 Preliminary Plan figures are based on the City's Financial Plan released on January 25, 2001.

Data is supplied by the Office of Management and Budget (OMB) unless otherwise noted.

Overtime -- Overtime refers to fiscal-year-to-date overtime earned and hours worked as reported in the Payroll Management System (PMS) PECPM511 Total Monthly Overtime Report for the period ending October 31, 2000. Overtime figures for the Health and Hospitals Corporation and the New York City Housing Authority, which do not use PMS, are self-reported. These sources are consistent with the Mayor's Office of Operations' citywide overtime monitoring program.

EMPLOYEE INDICATORS

Full-Time Employees -- These positions include regular City employees and other personnel funded by federal and State funds. Data was obtained from OMB and represent the headcount existing on the final day of the period. For example, Fiscal 2000 data reflect the year-end full-time actual headcount as of June 30, 2000. This end-of-period count is consistent with OMB reporting techniques unless otherwise noted.

Data sources are as follows: Fiscal 2000 Annual Actual is based on the June 2000 FISA Report; Fiscal 2001 Four-Month Plan is based on the November 2000 Financial Plan; Fiscal 2001 Four-Month Actual is based on

TECHNICAL NOTE

the October 2000 FISA Report; Fiscal 2001 Annual Plan and Fiscal 2002 Preliminary Plan data are based on the City's Financial Plan released on January 25, 2001.

Agencies deriving their own headcount information are the New York City Housing Authority, the Board of Education, the School Construction Authority, the Health and Hospitals Corporation, the Brooklyn Public Library, the New York Public Library and the Queens Borough Public Library.

Major mission employee data represent the agencies' allocation of these personnel to specific missions or operating divisions. Not all missions or operating divisions are included; thus, in some cases the personnel allocations listed do not equal the total agency headcount.

Full-Time Equivalent of Part-Time Employees -- Agencies with significant numbers of part-time workers have computed the full-time equivalent of these personnel. Because of variations in the methods used by agencies, this data should not be used to compare agencies.

Other Personnel Resources-- This category describes those personnel resources not properly covered by either the "Full-Time Employee" or "Full-Time Equivalent" category, such as seasonal workers in the Department of Parks and Recreation, and school crossing guards in the Police Department.

Absence Rates -- Absence rates are compensated leave as a proportion of scheduled paid hours. Compensated leave for nonuniformed work forces includes sick leave and Workers' Compensation. Compensated leave for uniformed work forces includes sick leave, Line-of-Duty-Injury (LODI) absence at the Police, Fire, and Sanitation Departments, and Workers' Compensation for uniformed Correction personnel. In this Report, all Mayoral agencies report absence rates according to these standard definitions. In some cases, compensated leave components as shown do not add up to the total absence rate because of rounding to the nearest hundredth. Fiscal 2000 Annual Actual absence rates in some agencies may have been revised from those previously published, based on updated agency data.

CAPITAL INDICATORS

Agency commitment data is based on the City's Capital Commitment Plan, which lists the projects agencies are authorized to advance. The preliminary plan for the upcoming fiscal year is issued in April in conjunction with the Executive Budget, and modified in September and in January along with the Request Budget. The final plan is issued in conjunction with the Executive Budget for the next fiscal year. All agencies' Fiscal 2002 preliminary financial commitment plans in this Report are based on the January 2001 Capital Commitment Plan unless otherwise noted.

Capital financial indicators by agency appear in the Citywide Indicators in Volume II of the Report. Capital management indicators are calculated for full fiscal years and Fiscal 2001 data will be published in the September 2001 Mayor's Management Report. Programmatic indicators for selected agencies, based on Ten-Year Capital Strategy categories of work for infrastructure rehabilitation, facility reconstruction and expansion, and equipment purchases, appear in agency indicator tables. A complete listing of programmatic indicators, along with a summary of capital financial and management indicators, is published as part of the City's September Capital Commitment Plan.

The Office of Management and Budget has revised its Capital financial indicators to make the Authorized Commitment Plan congruent with the Target Commitment Plan.

Financial Commitments represent the contracts that the City registers during the current fiscal year and are shown as totals for City and non-City funds. Actual commitments will be measured against the target amounts for the fiscal year in the September 2001 Mayor's Management Report.

The Commitment Plan is the total level of commitments (all funds) the City plans to achieve in a fiscal year as established in the City's Financial Plan. Agency targets may be revised during the year to reflect significant program changes or modifications to non-City agreements.

TECHNICAL NOTE

The Fiscal 2001 Plan represents authorized levels established in the City's Financial Plan. Data reported on the plans was revised and is based on the January 2001 Capital Commitment Plan.

The Fiscal 2002 Preliminary Plan represents preliminary authorized levels stated in the City's January 2001 Capital Commitment Plan.

Actual Managing Agency Commitments are commitments by the agency responsible for project advancement, adjusted for unplanned amounts. In some cases, an agency may be responsible for managing a project for work that would normally be within the jurisdiction of another agency, e.g., the Department of Environmental Protection's replacement of a section of the street after completing major sewer work. In this case, DEP is the managing agency even though street work is the Department of Transportation's responsibility. This indicator will be published in the September 2001 Mayor's Management Report.

Management Indicators, which feature data on projects started and completed, are not calculated for the first four months of the fiscal year. The uncertain timing of the capital process does not permit accurate monitoring and planning at the project level for the time period. These indicators will be published in the September 2001 Mayor's Management Report.

A Capital Project is defined as a particular program of work at a single site, usually requiring one or more OMB approvals to proceed, or at multiple sites for which OMB grants blanket approval within a certain dollar limit, unless otherwise defined.

Project Designs Started is either the commencement of in-house design or the commitment of the project's first consultant design contract unless otherwise defined.

Construction Projects Started is defined as the commitment of the project's first major construction contract or the commencement of in-house construction, unless otherwise defined.

Projects Completed are those for which the last remaining major construction contract is declared substantially complete by the managing agency unless otherwise defined. Equipment purchases are counted only at the project completion stage.

LOCAL SERVICE DISTRICT REPORTING

To adhere to requirements in Section 12d of the revised City Charter, the Mayor's Management Report will break down indicators wherever practicable by local service district (borough, community board, health district, etc.). For a more extensive breakdown, refer to the Office of Management and Budget's annual Local District Resource Statement. The Mayor's Office of Operations will continue to refine choices of Report indicators based on its work with City agencies.

AGENCY INTERNAL CONTROLS

As required under Section 12c(3) of the New York City Charter, Mayoral agencies are required to report annually on the state of their internal controls. Internal controls comprise the plan of organization, procedures and practices adopted within an organization to ensure efficient and effective use of resources, protect against misuse of property, account properly for revenues and expenditures, and assure reliability of management information and adherence to laws and policies.

TECHNICAL NOTE

PROCUREMENT PERFORMANCE

Prompt Payment

The prompt payment indicators appearing in Section G of the Citywide Indicators in Volume II of this Report reflect agency timeliness in processing invoice payments to the City's contractors. This information, which is maintained in the City's Financial Management System (FMS), is provided by the Financial Information Services Agency (FISA) and includes all payments from both general and capital funds made during the first six months of Fiscal 2001 for all Mayoral agencies. Agency-specific data is provided for the percent of all invoice payments, both in terms of the dollar value and the number of invoices, which were paid "on time" (i.e., generally within 30 calendar days of the required date) and by the "grace period" (i.e., within 45 calendar days of the required date for the period July 1 through December 31, 1999, within 37 calendar days for the period January 1 through June 30, 2000, and within 30 calendar days for the period July 1 through December 31, 2000); and the interest paid to contractors. Agency data is totaled to present an overall picture of prompt payment.

As required by the City Charter, the Procurement Policy Board (PPB) Rules (the Rules) concerning prompt payment took effect in 1991, requiring all City agencies to include in their contracts a standard clause stating the City's policy to pay invoices in a timely fashion, or to pay interest on the amounts not paid on time. Certain categories of payment, however, are not subject to interest even if not paid on time (e.g., advance payments to not-for-profit human service contractors). The Rules establish the maximum time for payment to a contractor from the point when the contractor has completed performance, the agency has declared that performance was satisfactory and the contractor has submitted an invoice with appropriate supporting documentation. The Rules also specify the length of time allowed to determine that performance is acceptable and to determine that invoices are properly submitted. After these determinations, in most cases, the City is permitted 30 calendar days to process payments. The Rules allow 60 days for contract changes and 60 days for substantial completion payments or final payments for construction contracts. If disputes arise between the agency and the contractor concerning payment documents or performance, the time required to make corrections or resolve disputes is excluded from the permitted agency processing time. Up until December 31, 1999, the Rules provided a 15-day grace period. If a payment was not made on time, but within the grace period, there was no interest paid. PPB adopted a change in its Rules that phased out this "interest-free" 15-day grace period by reducing it to seven days, effective January 1, 2000, and eliminated it entirely, effective July 1, 2000.

The Citywide Indicators section of Volume II of the September 2001 Mayor's Management Report will include data on the eight Agency Procurement performance indicators listed below.

- Prompt Payment
- Contract Performance Evaluations and Defaulted Contracts
- Procurements Awarded
- Procurement Processing Cycle Times
- Competitiveness in Procurements
- Performance Based Contracting
- Retroactive Contracts
- Agency Procurement Actions

Data for the each of the Agency Procurement indicators listed above will include all Mayoral agencies. For additional background information, refer to Section G of the Citywide Indicators in Volume II of this Report.