

Consolidated Plan



2020 Annual Performance Report

Bill De Blasio
Mayor, City of New York

NYC
PLANNING



Effective as of September 23, 2021

Consolidated Plan

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2020 Consolidated Plan Annual Performance and Evaluation Report (CAPER)

September 23, 2021

VOLUMES 1 & 2

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INTRODUCTION

As a condition of receiving U.S. Department of Housing and Urban Development (HUD) Office of Community Planning and Development (CPD) formula entitlement program funds (Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Housing Opportunities for Persons With AIDS (HOPWA), and Emergency Solutions Grant (ESG) funds), the City of New York is required to submit a Consolidated Annual Performance and Evaluation Report (CAPER). The 2020 CAPER reports on New York City's one-year progress (January 1, 2020 to December 31, 2020) in using its annual entitlement grants award to address the priority needs and goals articulated in the City's Consolidated and extended Five-Year Strategic Plan for plan years 2015-2020. 2020 represents the sixth and final year of a six-year strategic plan.

New York City's performance and evaluation report was formulated using the federally-mandated eCon-Planning Suite, a new electronic template for producing the (five-year) Consolidated Plan, One-Year Action Plans, and the associated Consolidated Annual Performance and Evaluation Report (CAPER) directly within HUD's Integrated Disbursement and Information System (IDIS).

In addition, beginning 2016 localities receiving Emergency Solutions Grant (ESG) funds were required to complete and submit the ESG eCART (ESG-CAPER Annual Reporting Tool) Version 5 which supersedes the ESG-specific eCAPER module CR-65, ESG Persons Assisted, and all other previous versions of the ESG eCART. Therefore, the reader is requested to please refer to the appendix 2016 NYC eCART when reviewing ESG-related information in the respective eCAPER modules.

Similarly, as per HUD's guidance on March 4, 2016 [HOPWA Grantee Reporting in IDIS](#), the City's comprehensive HOPWA household output and accomplishment data is reported and available in the HOPWA CAPER (HUD-40110-D). Therefore, in order to facilitate a comprehensive understanding of the City's HOPWA related programmatic expenditures and accomplishments the reader is also requested to refer to appendix HOPWA 2020 CAPER when reviewing HOPWA-related data.

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

In calendar year 2020, the New York City Department of Health and Mental Hygiene (DOHMH) administered the HOPWA grant to serve a total of 3,025 households across the NYC Eligible Metropolitan Statistical Area (EMSA) with permanent housing facilities, permanent housing placement assistance, tenant-based rental assistance (TBRA), short-term rent, mortgage and utility (STRMU) assistance, and supportive services.

In addition to providing stable, safe and affordable housing, the HOPWA program also contributed to improved quality of life indicators for HOPWA beneficiaries. On an annual basis, DOHMH carefully tracks and measures consumer outcomes such as retention in care; treatment adherence; and viral load suppression. In 2019, the year for which we have the most up to date surveillance data for HOPWA consumers residing in New York City, 99% of HOPWA consumers were engaged in HIV care; 96% of those in care were started on ART treatment; and 88% of those on treatment were virally suppressed. Furthermore, 82% of those virally suppressed at last viral load in 2019 were also durably suppressed.

Please see [“CDBG Assessment of Addressing Specific Objectives”](#) for an overview of major initiatives and highlights that were proposed and executed throughout the program year using CDBG funds.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Affordable Housing New Construction on NYCHA Sites	Affordable Housing Public Housing	NYCHA: \$0	Rental units constructed	Household Housing Unit	10,000	0	0.00%	0	0	0.00%

Create Affordable Housing - New Construction	Affordable Housing	HOME: \$56,532,159	Rental units constructed	Household Housing Unit	1055	4,328	0.00%	681	117	0.00%
Create New Homeownership Opportunities- Downpymnt	Affordable Housing	HOME: \$4,000,000	Direct Financial Assistance to Homebuyers	Households Assisted	450	676	0.00%	100	75	0.00%
Create Safer Communities	Public Housing	\$0	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	250	0	0.00%	0	0	0.00%
Emergency Shelter & Essential Services (ESG)	Homeless	ESG: \$47,600,221	Homeless Person Overnight Shelter	Persons Assisted	79,900	71,629	89.6%	14,000	10,282	73.4%
Facilitate small business development and growth	Non-Housing Community Development	CDBG: \$0	Businesses assisted	Businesses Assisted	29,367	16,424	55.93%	0	0	0.00%
Homeless Outreach (ESG)	Homeless	ESG: \$ 4,760,022	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	3,700	2,621	70.8%	700	751	107.3%

Homeless Prevention (ESG)	Homeless	ESG: \$ 10,880,050	Homelessness Prevention	Persons Assisted	24,100	39,783	165.1%	3,500	5,261	150.3%
Implement Annual Inspections	Public Housing	\$0	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	86,000	0	0.00%	0	0	0%
Improve literacy of low-skilled adults	Non-Housing Community Development	CDBG: \$1,309,716	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	9,965	10,820	108.58%	1,360	1,212	89.12%
Improve sanitary conditions throughout the City	Non-Housing Community Development Vacant Lots	CDBG: \$382,338	Other	Other	15,466	6,760	43.71%	0	0	0.00%
Improve the Provision of Heat	Public Housing	\$0	Other	Other	44	0	0.00%	0	0	0.00%
Improvement of Elevator Service	Public Housing	\$0	Other	Other	10	0	0.00%	0	0	0.00%

Increase accessible hsg for people w/disabilities	Non-Homeless Special Needs	CDBG: \$0	Rental units rehabilitated	Household Housing Unit	28	11	39.29%	0	0	0.00%
Increase accessible hsg for people w/disabilities	Non-Homeless Special Needs	CDBG: \$192,162	Homeowner Housing Rehabilitated	Household Housing Unit	31	19	61.29%	0	1	0.00%
Increase capacity of local arts organizations	Non-Housing Community Development	CDBG: \$109,543	Other	Other	73	13	17.81%	250	0	0.00%
Increase housing stability among low-income PLWHA	Affordable Housing Non-Homeless Special Needs	HOPWA: \$43,877,546	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0	0	1,735	1,779	102.54%
Increase housing stability among low-income PLWHA	Affordable Housing Non-Homeless Special Needs	HOPWA: \$43,877,546	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	385	402	104.42%	0	0	0.00%

Increase housing stability among low-income PLWHA	Affordable Housing Non-Homeless Special Needs	HOPWA: \$43,877,546	Housing for People with HIV/AIDS added	Household Housing Unit	35,743	36,752	102.82 %	0	0	0.00%
Increase housing stability among low-income PLWHA	Affordable Housing Non-Homeless Special Needs	HOPWA: \$43,877,546	HIV/AIDS Housing Operations	Household Housing Unit	268	307	114.55 %	0	0	0.00%
Increase housing stability among low-income PLWHA	Affordable Housing Non-Homeless Special Needs	HOPWA: \$43,877,546	Other	Other	230	187	81.30%	0	0	0.00%
Increase Resident Employment Opportunities	Public Housing	\$0	Jobs created/retained	Jobs	1,250	0	0.00%	0	0	0.00%
Independent living for the elderly and disabled	Public Housing Non-Homeless Special Needs	CDBG: \$4,842,676	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	36,958	16,853	45.60%	4,198	4,198	100.00 %

Launch Resident Watch Anonymous Tip Line	Public Housing	\$0	Other	Other	15	0	0.00%	0	0	0.00%
Maintain habitability for elderly homeowners	Non-Homeless Special Needs	CDBG: \$366,840	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	13,275	12,212	91.99%	2,100	716	34.10%
Make the City more livable for ppl w/disabilities	Non-Homeless Special Needs	CDBG: \$165,915	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	1,368,335	2,167,049	158.37%	458,335	648,220	141.43%
Make the City more livable for ppl w/disabilities	Non-Homeless Special Needs	CDBG: \$805,719	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	26,414	0	0	26,414	0.00%
Pest Population Reduction	Public Housing	\$0	Other	Other	50	0	0.00%	0	0	0.00%
Preservation of historic buildings and areas	Non-Housing Community Development	CDBG: \$6,995	Facade treatment/business building rehabilitation	Business	6	4	66.67%	1	1	100%

Preservation of historic buildings and areas	Non-Housing Community Development	CDBG: \$45,279	Homeowner Housing Rehabilitated	Household Housing Unit	18	12	66.67%	3	1	33.33%
Preserve and improve occupied private housing	Private Housing	CDBG: \$38,865,374	Rental units rehabilitated	Household Housing Unit	125,808	325,595	258.80%	41963	69,903	166.58%
Preserve and improve occupied private housing	Private Housing	CDBG: \$44,223,919	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	6,133,860	3,783,102	61.68%	488,750	554,904	113.54%
Preserve and improve occupied private housing	Private Housing	CDBG: \$1,277,652	Other	Other	104	194	90.38%	104	94	90.38%
Preserve NYCHA's Public Housing Units	Affordable Housing Public Housing	\$0	Rental units rehabilitated	Household Housing Unit	37,500	0	0.00%	0	0	0.00%
Prevent Displacement and Reduce Cost Burdens-TBRA	Affordable Housing	HOME: \$7,500,000	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	6,945	3,514	0.00%	460	932	0.00%

Prevent long-term displacement and homelessness	Homeless	CDBG: \$452,271	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	9,724	0	2,400	2,925	121.88 %
Prevent long-term displacement and homelessness	Homeless	CDBG: \$26,865,141	Homeless Person Overnight Shelter	Persons Assisted	10,895	19,568	179.61 %	4,525	2,821	62.34%
Promote access to care among low-income PLWHA	Affordable Housing Non-Homeless Special Needs	HOPWA: \$43,877,546	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	385	402	104.42 %	385	402	104.42 %
Promote access to care among low-income PLWHA	Affordable Housing Non-Homeless Special Needs	HOPWA: \$43,877,546	Housing for People with HIV/AIDS added	Household Housing Unit	35,743	36,752	102.82 %	2,119	2,162	102.03 %
Promote access to care among low-income PLWHA	Affordable Housing Non-Homeless Special Needs	HOPWA: \$43,877,546	HIV/AIDS Housing Operations	Household Housing Unit	268	307	14.55%	268	307	114.55 %

Promote access to care among low-income PLWHA	Affordable Housing Non-Homeless Special Needs	HOPWA: \$43,877,546	Other	Other	230	187	81.30%	230	187	81.30%
Promote justice for victims of crime and abuse	Non-Housing Community Development	CDBG: \$3,107,088	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	707,000	674,628	95.42%	108,000	113,807	105.38%
Provide community green space through gardens	Non-Housing Community Development	CDBG: \$924,826	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	139,530	274,809	196.95%	15,836	17,958	113.40%
Provide community green space through gardens	Non-Housing Community Development	CDBG: \$160,082	Other	Other	40	95	237.50%	40	9	22.50%
Provide day care services to low/mod households	Non-Housing Community Development	CDBG: \$2,678,561	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	2,058	1,093	53.11%	173	217	125.43%

Provide enrichment activities to low/mod areas	Non-Housing Community Development	CDBG: \$31,134,090	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	96,000	106,659	111.10 %	12,000	9,109	75.91%
Provide recreational activities for low/mod people	Non-Housing Community Development	CDBG: \$1,921,587	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	103,818,547	101,259,983	97.54%	16,863,109	16,863,109	100.00 %
Provide safe learning environment in City schools	Non-Housing Community Development	CDBG: \$9,375,978	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	1,150,873	1,983,539	172.35 %	371,278	498,425	311.61 %
Provide safe, accessible senior centers	Non-Housing Community Development	CDBG: \$829,559	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	18,007	11,497	63.85%	3,000	1,926	64.20%
Provide safe, accessible senior centers	Non-Housing Community Development	CDBG: \$0	Other	Other	19	10	64.20%	3	1	33.33%

Recover and rebuild after Hurricane Sandy	Affordable Housing Public Housing Homeless Non-Housing Community Development	CDBG-DR: \$0	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	8,200,057	16,400,000	200.00%	57	0	0.00%
Recover and rebuild after Hurricane Sandy	Affordable Housing Public Housing Homeless Non-Housing Community Development	CDBG-DR: \$0	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	27,850	9,764	35.06%	0	0	0.00%
Recover and rebuild after Hurricane Sandy	Affordable Housing Public Housing Homeless Non-Housing Community Development	CDBG-DR: \$0	Brownfield acres remediated	Acre	68	0	0.00%	0	0	0.00%

Recover and rebuild after Hurricane Sandy	Affordable Housing Public Housing Homeless Non-Housing Community Development	CDBG-DR: \$0	Rental units rehabilitated	Household Housing Unit	1,332	6,664	500.30 %	1,332	337	25.30%
Recover and rebuild after Hurricane Sandy	Affordable Housing Public Housing Homeless Non-Housing Community Development	CDBG-DR: \$0	Homeowner Housing Rehabilitated	Household Housing Unit	34,017	32,125	94.44%	2,017	3,056	151.51 %
Recover and rebuild after Hurricane Sandy	Affordable Housing Public Housing Homeless Non-Housing Community Development	CDBG-DR: \$0	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	300	111	37.00%	0	0	0.00%

Recover and rebuild after Hurricane Sandy	Affordable Housing Public Housing Homeless Non-Housing Community Development	CDBG-DR: \$0	Jobs created/retained	Jobs	430	285	66.28%	0	0	0.00%
Recover and rebuild after Hurricane Sandy	Affordable Housing Public Housing Homeless Non-Housing Community Development	CDBG-DR: \$0	Businesses assisted	Businesses Assisted	897	2,834	315.94%	47	1,005	2,138.30%
Recover and rebuild after Hurricane Sandy	Affordable Housing Public Housing Homeless Non-Housing Community Development	CDBG-DR: \$0	Buildings Demolished	Buildings	410	13	3.17%	10	13	130.00%

Reduce discrimination and promote diversity	Non-Housing Community Development	CDBG: \$0	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	435,000	52,138	11.99%	0	0	0.00%
Reduce homelessness	Homeless	CDBG: \$4,809,320	Homeless Person Overnight Shelter	Persons Assisted	55,620	56,941	102.38%	9,500	5,851	61.59%
Reduce homelessness	Homeless	CDBG: \$4,809,320	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	278	0	0	278	0.00%
Reduce homelessness among low-income PLWHA	Affordable Housing Homeless Non-Homeless Special Needs	HOPWA: \$43,877,546	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	385	402	104.42%	385	402	104.42%
Reduce homelessness among low-income PLWHA	Affordable Housing Homeless Non-Homeless Special Needs	HOPWA: \$43,877,546	Housing for People with HIV/AIDS added	Household Housing Unit	35,743	36,752	102.82%	2,119	2,162	102.03%

Reduce homelessness among low-income PLWHA	Affordable Housing Homeless Non-Homeless Special Needs	HOPWA: \$43,877,546	HIV/AIDS Housing Operations	Household Housing Unit	268	307	114.55 %	268	307	114.55 %
Reduce homelessness among low-income PLWHA	Affordable Housing Homeless Non-Homeless Special Needs	HOPWA: \$43,877,546	Other	Other	230	187	81.30%	230	187	81.30%
Reduce hunger	Non-Housing Community Development	CDBG: \$222,381	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	318,931	212,747	66.71%	0	27,978	0.00%
Reduce hunger	Non-Housing Community Development	CDBG: \$1,046,798	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	12,000	1,082,891	9,024.09%	12,000	376,785	3,139.88%
Reduction of blighted properties	Non-Housing Community Development	CDBG: \$0	Rental units rehabilitated	Household Housing Unit	0	0	0.00%	0	0	0.00%

Reduction of blighted properties	Non-Housing Community Development	CDBG: \$6,960,022	Buildings Demolished	Buildings	173	67	38.73%	23	10	43.48%
Reduction of blighted properties	Non-Housing Community Development	CDBG: \$485,018	Other	Other	55,223	0	0.00%	55,223	0	0.00%
Remediation of Mold and Moisture	Public Housing	\$0	Other	Other	15	0	0.00%	0	0	0.00%
Return foreclosed housing to private ownership	Affordable Housing	CDBG: \$13,214,675	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	3,077	2,823	91.75%	2,123	1,964	92.51%
Revitalize commercial districts in low/mod areas	Non-Housing Community Development	CDBG: \$0	Businesses assisted	Businesses Assisted	76	44	57.89%	1	0	0.00%
Revitalize commercial districts in low/mod areas	Non-Housing Community Development	CDBG: \$1,969,382	Other	Other	99	336	339.39%	99	90	90.91%

Revitalize the Bronx River and the adjacent area	Non-Housing Community Development	CDBG: \$257,832	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	1,710,000	1,554,025	90.88%	270,000	330,000	122.22%
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Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

Assessment of CDBG Program Performance

In 2020, the City's CD-funded programs generally met or exceeded their goals. However, several programs had actual accomplishments that were at least 25 percent higher or lower than their 2020 projections. Below is an explanation for each activity.

The following programs did not meet their accomplishment projections due to the COVID-19 pandemic:

- Landmarks Historic Preservation Grant Program, Homeowner Housing Rehabilitated: One owner-occupied unit was completed in 2020; two remaining projects scheduled for completion in 2020 were temporarily put on hold in the spring of 2020 due to the COVID-19 pandemic.
- Primary Prevention Program: A seven-month pause due to the COVID-19 pandemic was implemented for field activities, including lead testing inspections performed by HPD inspectors.
- DHS Homeless Services: The accomplishments are lower than projected for two reasons related to the COVID-19 pandemic: the City attempted to place more clients in non-congregate settings to prevent the spread of the virus, and more clients sought shelter from family and friends that may have been reluctant to shelter them pre-pandemic.
- Homeless Outreach and Housing Placement Services: The number of individuals served was lower than the projection predominantly due to the COVID-19 pandemic. COVID-19 decreased the mobility of many homeless clients. To decrease risk of exposure, some clients remained in shelter and others were able to secure places to stay with friends or family who were not open to housing them under normal circumstances. However, despite the decrease in individuals served, there was a

marked increase in the number of services provided to each client. For example, Project Hospitality provided mental health-related services on-site for some time rather than coordinate services with outside providers.

- GreenThumb, Public Facilities: The GreenThumb program prioritized public service work over public facilities work due to COVID-19 pandemic. . For example, the program delivered supplies and materials directly to gardens instead of holding centralized supply pickup events that may not have allowed for social distancing. As a result, distribution efforts took longer, and the public facilities component did not meet accomplishment projections.
- Elderly Minor Home Repair Program: The Elderly Minor Home Repair Program serves clients who were deemed part of the highest at risk group if exposed to the virus. Clients requested to be placed on a waitlist for services if they did not require an emergency repair.
- DFTA Senior Center Improvements, Centers Completed: Senior center construction decreased due to the pandemic, including a statewide moratorium on construction that occurred between March and June.
- Public Housing Rehabilitation Program: Lead-based paint inspections were suspended in late March 2020 due the pandemic. Inspections restarted in September 2020. However, NYCHA did not utilize its right to enter housing units through the remainder of the year due to COVID-related precautions.
- Alternative Enforcement Program: Through the AEP program, the City may repair or replace major building systems (e.g., roofs, plumbing, heating systems) and perform emergency repairs in severely distressed residential buildings when the property owner fails to protect the health and safety of the tenants. AEP accomplishments were lower than expected due to two factors: a months-long statewide moratorium on construction related to the COVID-19 pandemic, and HPD's efforts to work with property owners to have them perform the work using private funds. In 2020, 250 buildings consisting of 4,593 units were discharged, 4,503 of which were discharged due to owner compliance.
- HPD Emergency Shelters: Due to the pandemic, the number of homeless persons served through the overnight shelters component of this program decreased against prior year accomplishments and 38 percent against projected 2020 accomplishments. However, the component of this program for which persons were placed in homeless set-aside units, exceeded 2020 projections by 22 percent. Across both program components, the program reached 83 percent of its projected 2020 accomplishments.

The following programs exceeded their accomplishment projections as a result of the COVID-19 pandemic:

- Housing Information and Education: The program conducted a total 41 percent increase website hits and phone outreach due to the pandemic. Program services included a weekly video conferencing call on COVID-19 guidelines, news, and programs.

Accomplishment variances unrelated to COVID-19:

- Emergency Repair Program: Accomplishments were greater than projected due to multiple building-wide repairs, including a high volume of self-closing door installations.
- Project Open House: At the onset of COVID-19, the program revised down projections to zero due to program-wide pause due to the pandemic, however, one project completed in 2019 was fully paid out in 2020. As such, the program exceeded its projections, as the site completed in 2019 was reported in 2020.
- Early Care and Education Services: This program exceeded the number of slots funded through CD at three of five CD-funded sites. Overall, the program exceeded its projected slots funded through CD by 25.4 percent.
- Demolition Program: In 2020, HPD used CD funds to complete 10 full or partial demolitions of hazardous properties. Final payment will be made in 2021 for an additional seven full or partial demolitions that were completed in 2020. The number of buildings demolished is beyond HPD's control. Buildings are demolished pursuant to a Declaration of Emergency issued by the Department of Buildings. Furthermore, HPD gives property owners the opportunity to correct the conditions on their own or pay for the work once completed with City resources. Only demolitions that have been fully paid for with CD funds count toward CD accomplishments.
- Accessibility Improvements in City Schools: In 2020, DOE used CD funds to conduct 32 jobs at 18 schools. Because these funds were allocated in a previous year and because the City reallocated a substantial portion of the program's funding to COVID needs, the City was unable to project accomplishments for 2020.
- Code Violation Removal in Schools, Public Facilities: The actual number of people served was higher than projected due to the program responding to health and safety conditions as they arise, making accomplishment projections difficult. The City uses the prior year's actual accomplishment as the next year's projections, but this is not necessarily indicative of the next year's performance. Additionally, the Department of Education did not expend CD funds for rehabilitation work in 2019 due to previously disclosed compliance concerns that were resolved for the 2020 program year, making 2020 projections especially challenging. In 2020, the program corrected violations at CD-eligible sites at schools where the collective student population was 220 percent above its projections based on prior year benchmarks.

- School Kitchen Renovations Program: This program served 229,799 people under its Public Facilities component, and 0 people under its Public Services component in 2020. These accomplishments exceeded the projections, which were zero for both components, because these funds were allocated in a previous year, and therefore the City was unable to project accomplishments for 2020.
- Community Arts Development Program: This program intended to switch to nonprofit capacity building so it projected the number of organizations to benefit, but due to complications documenting the program would primarily benefit low- and moderate-income people, that plan didn't go forward. The program instead focused on closing out its existing work with and transitioning to the Avenue NYC program at the Department of Small Business Services.
- Met Council Food Pantry/Food Pantry Services: 2020 reporting includes accomplishments and expenditures from 2017, 2018, and 2020. Met Council had expenditures in 2017 and 2018 but the City did not draw on them. The Met Council contract with the City was not registered until 2019, so the City set up accruals for these expenditures. However, due to an influx of program income and the inability to use program income for accrued expenses, the City was unable to draw down and reimburse the City's general fund. True 2020 accomplishment figures for the pantry program, which includes an updated methodology from the prior years, reflects 24,119 persons assisted, in line with the City's agreement with Met Council, which projected 12,000 persons assisted for consecutive six-month periods.
- Public Housing Rehabilitation Program: Work was ongoing, but the program did not draw down funds in 2020. Accomplishments for 2020 will be reflected in the 2021 APR.

Please see the CDBG Addenda for more detailed information on each CD-funded program's accomplishments.

CDBG Assessment of Addressing Specific Objectives

Program regulations state that every CD-funded activity must benefit either low- and moderate-income (low/mod) persons, prevent or eliminate slums or blight, or meet an urgent need. Further, at least 70 percent of CD program funds must benefit low/mod persons. New York City maintains discretion in using its funds for housing renovation, maintenance, and services; economic development; improvements and renovations to public facilities; and public services. The goals identified in this module and the programs that support them have been prioritized both for their ability to address the needs identified in the 2015-2019 Five-Year Strategic Plan and for their benefit to low/mod persons and communities. For Calendar Year 2020, 76.8 percent of CD funds were used to benefit low/mod persons.

The CD program imposes the spending caps listed below. The City is close to both caps and cannot fund additional programs that would fall into these categories.


- A 15 percent cap on Public Services, which is calculated by dividing the sum of the Public Service expenditures and unliquidated obligations by the sum of the current year's grant and prior year's program income. In 2020, the City's Public Service percentage was 9.0 percent.
- A 20 percent cap on Planning and Administration activities, which is calculated by dividing the sum of the Planning and Administration expenditures and unliquidated obligations by the sum of the current year's grant and current year's program income. In 2020, the City's Planning and Administration percentage was 17.6 percent.

In 2020, the City had a total of \$323,596,005 available from the Federal Fiscal Year 2020 Entitlement, program income, revenue credits, and accruals. Total expenditures were \$208,846,192. This is an expenditure rate of 65 percent. The City also received \$224,363,433 in CDBG-CV funding to prevent, prepare for, and respond to COVID-19. As of December 31, 2020, CDBG-CV reimbursements totaled \$26,290,888 as the City was still performing due diligence to ensure all COVID-related expenditures met federal requirements. Between the Entitlement and CV programs, the City drew a total of \$235,137,080 in CDBG funding in Calendar Year 2020.

Although this expenditure rate is relatively low compared to past years, the City feels this rate is acceptable given the impact of COVID-19 on the City's budget. New York City had its first confirmed case of COVID-19 on March 1, 2020, soon becoming the early epicenter of the COVID-19 crisis in the U.S. Shortly thereafter, Governor Cuomo issued a statewide stay-at-home order, which went into effect on March 22, 2020. Due to the pandemic, the City:

- Issued a strict hiring freeze, resulting in significantly fewer hires than in previous years. Thus, positions that would have been CD-funded went unfilled.
- Temporarily or fully suspended certain CD-funded activities (e.g., Beacon Schools, Minipools) and reduced service for others. For example, while larger parks were open to allow social distancing, they did not hold large events as in years past. Additionally, construction projects (e.g. Parks Construction and Renovation Program, LPC Historic Preservation Grants Program, and Project Open House) could not start as scheduled.
- Reallocated \$146,366,000 of prior-year CD funding to new programs to prepare for, respond to, and prevent the spread of COVID-19. While these programs quickly incurred expenditures, the City is still reviewing the charges to ensure they were

necessary, reasonable, and compliant with applicable regulations. Accordingly, the City has not yet drawn reimbursement on a large portion.

	Office of Community Planning and Development U.S. Department of Housing and Urban Development Integrated Disbursement and Information System PR26 - CDBG Financial Summary Report Program Year 2020 NEW YORK CITY , NY
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PART I: SUMMARY OF CDBG RESOURCES	
01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	491,128,211.00
02 ENTITLEMENT GRANT	173,664,331.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	110,144,674.00
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
06a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	(62,399,100.00)
08 TOTAL AVAILABLE (SUM, LINES 01-07)	712,538,116.00
PART II: SUMMARY OF CDBG EXPENDITURES	
09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	172,796,864.00
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	(248,804.00)
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	172,548,060.00
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	36,188,589.00
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	109,543.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	208,846,192.00
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	503,691,924.00
PART III: LOWMOD BENEFIT THIS REPORTING PERIOD	
17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00

18	EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	65,976,141.00
19	DISBURSED FOR OTHER LOW/MOD ACTIVITIES	145,206,779.00
20	ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	(78,650,170.00)
21	TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	132,532,750.00
22	PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	76.81%
LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS		
23	PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2020 PY: 2021 PY: 2022
24	CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25	CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26	PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%
PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS		
27	DISBURSED IN IDIS FOR PUBLIC SERVICES	26,736,219.00
28	PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	7,217,272.00
29	PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	12,586,180.00
30	ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	(3,544,481.00)
31	TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	17,822,830.00
32	ENTITLEMENT GRANT	173,664,331.00
33	PRIOR YEAR PROGRAM INCOME	229,373,318.00
34	ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	(204,255,164.00)
35	TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	198,782,485.00
36	PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	8.97%
PART V: PLANNING AND ADMINISTRATION (PA) CAP		
37	DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	36,188,589.00
38	PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	3,477,554.00
39	PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	5,123,822.00
40	ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	109,543.00
41	TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	34,651,864.00
42	ENTITLEMENT GRANT	173,664,331.00
43	CURRENT YEAR PROGRAM INCOME	110,144,674.00
44	ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	(86,706,821.00)
45	TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	197,102,184.00
46	PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	17.58%

APR 46 - Calendar Year 2020

Community Development Block Grant

Explanation of Adjustments to IDIS PR26 Report - CDBG Financial Summary Report

The following adjustments were necessary to properly reflect New York City's financial summary data in the Integrated Disbursement and Informaytion System (IDIS)

Line 07 - Adjustment To Compute Total Available:

Adjustment \$ (62,399,100.00) is the program income in Calendar Year 2020 that was attributable to the Urban Renewal land of sale.

This was accounted for in calendar 2018 for CAP calculations.

Adjustment Components:		
2020 Program Income Used - Urban Renewal land sale:	(62,399,100)	
Line 5a Status of Funds- 2020 NHS Program Income:		0
Line 7 PR 26 Adjustment	(62,399,100)	

Line 10 - Adjustment To Compute Total Amount Subject To Low/Mod Benefit:

Line 09 amt \$ 172,796,864.00 is incorrect. The correct amount is \$ 172,548,060.00 on Line 10 of the Status of Funds which is the Total Expenditures Reported less the Planning & Administration expenditures.

Line 09 PR 26	(172,796,864)
Line 10 Status of Funds	172,548,060
Line 10 PR 26 Adjustment	(248,804)

Line 14 - Adjustment To Compute Total Expenditures:

Line 12 \$
 amount of 36,188,589.00 is incorrect. The correct amount expended for Planning & Administration is \$ 36,298,132.00
 on Line 9 of the Status of Funds.

Line 12 PR 26	(36,188,589.00)
Line 9 Status of Funds	36,298,132.00
Line 14 PR 26 Adjustment	109,543.00

Line 20 - Adjustment To Compute Total Low/Mod Credit:

Line 19 \$
 amount of 145,206,779.00 is in correct. The correct amount disbursed for other Low/Mod Activities is \$ 66,556,609.00
 on Line 15 of the Status of Funds.

Line 19 PR 26	(145,206,779.00)
Line 15 Status of Funds	66,556,609.00
Line 20 PR 26 Adjustment	(78,650,170.00)

Line 30 - Adjustment To Compute Total PS Obligations:

Line 27 \$
 amount of 26,736,219.00 is incorrect. The correct amount disbursed for Public Services is 23,191,738
 on Line 21 of the Status of Funds.

Line 27 PR 26	(26,736,219.00)
Line 21 Status of Funds	23,191,738.00
Line 30 PR 26 Adjustment	(3,544,481.00)

Line 34 - Adjustment To Compute Total Subject To PS CAP:

Adjustment \$ (204,255,164.00) is a sum of reducing the prior year's program income by the value of the prior year's revenue credits, by the value of the prior year's program income from the NHS' Revolving Loan Fund and the 2020 Program Income Used from the Urban Renewal Land sale.

Adjustment Components:	
Prior Year's Rev Credits:	(31,995,236)
Line 5a Status of Funds -NHS prior year program income:	0
2019 Program Income Used - Urban Renewal land sale:	(172,259,928)
Line 34 Adjustment to PR26 PS CAP calculation:	(204,255,164)

Line 40 - Adjustment To Compute Total PA Obligations:

Line 37 \$ 36,188,589.00 is incorrect. The correct amount expended for planning and administration is \$ 36,298,132.00 on Line 31 of the Status of Funds.

Line 37 PR 26	(36,188,589.00)
Line 31 Status of Funds	36,298,132.00
Line 40 PR 26 Adjustment	109,543.00

Line 44 - Adjustment To Compute Total Subject to PA Cap:

Adjustment \$ (86,706,821.00) is the sum of reducing the current year's program income by the value of the revenue credits and of (86,706,821.00) the the value of the program income used from the Urban Renewal land sale leaving just the current year's true program income for the Planning and Administration CAP calculation.

Adjustment Components:	
<i>2020 Revenue Credits</i>	<i>(24,307,721.00)</i>
<i>Line 5a Status of Funds- 2020 NHS Program Income</i>	0.00
<i>2020 Program Income <u>Used</u> - Urban Renewal land sale:</i>	<i>(62,399,100.00)</i>
Line 44 Adjustment to PR26 PS CAP calculation:	(86,706,821.00)

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).
91.520(a)

	CDBG	HOME	ESG	HOPWA
Race:				
White	420	55		0
Black or African American	1809	105		0
Asian	19	75		0
American Indian or American Native	19	10		0
Native Hawaiian or Other Pacific Islander	7	3		0
Total	2274	248	0	0
Ethnicity:				
Hispanic	554	38		0
Not Hispanic	1720	210		0

Table 2 – Table of assistance to racial and ethnic populations by source of funds

HOPWA

Since the above table does not capture all the racial and ethnic reporting categories available to HOPWA, please refer to the attached HOPWA table for a comprehensive break down of the racial and ethnic composition of households assisted with HOPWA funding in 2020. Moreover, you can refer to the HOPWA 2020 Consolidated Annual Performance and Evaluation Report (HOPWA 2020 CAPER) for race and ethnicity details for both HOPWA eligible individuals and their beneficiaries.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a, Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a, Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	10	4	3	0
2.	Asian	13	2	0	0
3.	Black/African American	1,659	378	241	27
4.	Native Hawaiian/Other Pacific Islander	1	0	0	0
5.	White	429	238	79	66
6.	American Indian/Alaskan Native & White	1	0	0	0
7.	Asian & White	2	0	0	0
8.	Black/African American & White	47	12	2	0
9.	American Indian/Alaskan Native & Black/African American	13	8	0	0
10.	Other Multi-Racial	850	443	177	166
11.	Column Totals (Sum of Rows 1-10)	3,025	1,085	502	259
<i>Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a, Row 4.</i>					

Figure 1 – HOPWA Racial & Ethnic Composition of households assisted in 2020

HOME

Please note that the chart above does not contain all of the racial categories available to HOME grantees in the IDIS reporting system. HOME funds were used to serve 1,116 households of multiple races. Please see the tables below for the Racial and Ethnic Categories assisted through the HOME program in 2020.

Home Unit Completions by Racial / Ethnic Category						
	Rentals		TBRA Families		First Time Homebuyers	
	Units Completed	Units Completed - Hispanics	Units Completed	Units Completed - Hispanics	Units Completed	Units Completed - Hispanics
White	29	18	214	175	12	3
Black/African American	51	7	637	94	19	1
Asian	1	0	53	7	28	0
American Indian/Alaskan Native	7	1	10	5	1	0
Native Hawaiian/Other Pacific Islander	2	1	4	3	0	0
American Indian/Alaskan Native & White	3	3	1	0	0	0
Black/African American & White	0	0	3	3	0	0
Amer. Indian/Alaskan Native & Black/African Amer.	0	0	1	1	0	0
Other multi-racial	16	15	9	5	15	13
Total	109	45	932	293	75	17

	Total, Rentals and TBRA		Total, Homebuyers and Homeowners		Grand Total	
	Units Completed	Units Completed - Hispanics	Units Completed	Units Completed - Hispanics	Units Completed	Units Completed - Hispanics
White	243	193	12	3	255	196
Black/African American	688	101	19	1	707	102
Asian	54	7	28	0	82	7
American Indian/Alaskan Native	17	6	1	0	18	6
Native Hawaiian/Other Pacific Islander	6	4	0	0	6	4
American Indian/Alaskan Native & White	4	3	0	0	4	3
Black/African American & White	3	3	0	0	3	3
Amer. Indian/Alaskan Native & Black/African Amer.	1	1	0	0	1	1
Other multi-racial	25	20	15	13	40	33
Total	1,041	338	75	17	1,116	355

Figure 2 - Racial and Ethnic Categories assisted through the HOME program in 2020

Community Development Block Grant

CDBG funds were used to serve at least 699,034 people of multiple races. Please see the following CDBG Total Race and Ethnicity Table.

ALL PROGRAMS		
<i>CDBG Race and Ethnicity</i>	Total	Hispanic
White	97,256	3,666
Black/African-American	183,482	2,106
Asian	97,497	14
American Indian/Alaska Native	455	91
Native Hawaiian/Other Pacific Islander	159	117
American Indian/Alaska Native & White	2	0
Asian & White	1	0
Black/African-American & White	11	9
American Indian/Alaska Native & Black/African-American	6	0
Other Multi-Racial	320,165	269,751
Total:	699,034	275,754

Figure 3 - CDBG Total Race and Ethnicity

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	\$323,596,005	\$208,846,192
HOME	public - federal	\$75,591,288	\$55,071,182
HOPWA	public - federal	\$43,877,546	\$47,751,737
ESG	public - federal	\$14,647,037	\$9,766,592
LIHTC	public- state	\$16,312,250	\$16,312,250
Section 8	public - federal	\$480,770,826	\$473,026,175
Other	public - federal	\$901,056,493	\$231,549,628

Table 3 - Resources Made Available

Narrative

ESG

In Fiscal Year 2020, New York City expended \$9,766,592 of ESG funding within four areas. The City expended \$6,265,041 of ESG funding for emergency shelter and essential services to create or staff new programs and to provide enhancements for existing programs. The City expended \$642,644 of ESG funding through contracts with five not-for-profit organizations to provide street outreach services. The City expended \$1,295,517 to support seven contracted local not-for-profit service providers operating 16 Homeless Prevention programs known as Homebase. Finally, New York City expended \$1,563,390 in ESG funds to support and enhance the HMIS system.

CDBG

In Calendar Year In 2020, the City had a total of \$323,596,005 available from the Federal Fiscal Year 2020 Entitlement, program income, revenue credits, and accruals. Total expenditures were \$208,846,192. The City also received \$224,363,433 in CDBG-CV funding to prevent, prepare for, and respond to COVID-19. CDBG-CV reimbursements totaled \$26,290,888. Between the Entitlement and CV programs, the City drew a total of \$235,137,080 in CDBG funding in Calendar Year 2020.

Identify the geographic distribution and location of investments

N/A

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

CDBG

In 2020, the City used CDBG funds in combination with other sources (including Federal, City, and State) for a variety of programs, particularly Public Service activities. Nearly all social service programs (e.g., day care services, community centers, literacy classes, homeless services) are supported with several funding streams to maximize efficiency and flexibility. Additionally, such activities are often City priorities but Federal and State funding is not sufficient to meet the need, or CD spending authority may be limited due to the CDBG Public Service and Planning/Admin caps. The City also contributes a substantial amount of tax levy and capital funding to its programs focused on improving housing quality.

HOPWA

In 2020, HOPWA dollars were combined with other federal resources, as well as State and City funding, to support HIV/AIDS housing and other supportive services. HASA used City Tax Levy and matching State and federal dollars to fund case management; rental assistance; permanent and transitional congregate housing; and permanent scattered-site housing for low-income individuals and families living with HIV/AIDS. Eligible clients also received medical assistance, homecare, and homemaking services funded with City, State and federal dollars. HOPWA funds distributed to community-based organizations by DOHMH augmented City and State-funded services to persons with mental illness and HIV/AIDS. In the Lower Hudson Valley and New Jersey, State, City and County funds complemented HOPWA-funded rental assistance and other supportive services. Grant and private foundation dollars provided additional support to community-based organizations funded through HASA and DOHMH. In summary, the total funding leveraged across all HOPWA programs in 2020 equaled to \$583,414,491.

ESG

The New York City Department of Social Services (DSS), which includes the Human Resources Administration (HRA) and the Department of Homeless Services (DHS), provides a dollar for dollar match of the ESG award using City Tax Levy (CTL) funds. \$9.8 million of CTL funds were used to match the ESG funds received from HUD, for City Fiscal Year 2020. In addition to the required matching funds, DSS provides additional CTL funds for family and adult shelters; street outreach; prevention services including legal services, emergency rent arrears and community-based programs; rental assistance and permanent supportive housing; and program administration. DSS also receives Federal funding, including the Temporary Assistance for Needy Families (TANF) block grant, which is used for programs and services for families with children, and New York State funding, which serves adult households without children.

HOME

HPD Capital matching Federal Funds

HPD's total capital commitments for calendar year 2020 from all funding sources (including HUD) was approximately \$479,083,687. Of that amount \$466,255,262 came from the City. Of the City funds, \$419,973,183 was committed for programs that used City funds in conjunction with Federal funds (CDBG,

HOME, Section 8, etc.). The remaining \$46,282,079 of City funds was used in programs that did not receive Federal funds.

The City used a portion of this \$419,973,183 figure to meet its 12.5% requirement to match HOME funds, in addition to using the appraised value of tax exemptions.

HPD Expense matching Federal Funds

HPD's total expense spending for calendar year 2020 from all funding sources (including HUD) was approximately \$906,874,206. Of that amount, approximately \$136,396,298 came from the City (tax levy, Inter-Fund Agreement (IFA), and Intra-City). Of the City funds, approximately \$85,577,095 was scheduled for programs that used City funds in conjunction with Federal funds (CDBG, HOME, Section 8, etc.). The remaining approximately \$50,819,203 of City funds was used in programs that did not receive Federal funds.

HPD Capital without Federal Funds

In Calendar Year 2020, HPD committed approximately \$46,282,079 in programs that received no Federal funds.

HPD Expense without Federal Funds

In Calendar Year 2020 HPD spent approximately \$50,819,202 in programs that received no Federal funds.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	\$947,783,737
2. Match contributed during current Federal fiscal year	\$12,473,758
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$960,257,495
4. Match liability for current Federal fiscal year	\$5,479,334
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$954,778,161

Table 4 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
6441	9-20	0	\$8,045,771	0	0	0	\$3,664,750	\$11,710,521
6442	9-20	0	\$343,595	0	0	0	\$155,097	\$498,692
6443	9-20	0	\$262,243	0	0	0	\$2,302	\$264,545
Total		0	\$8,651,609	0	0	0	\$3,822,149	\$12,473,758

Table 5 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
8,189,043.42	970,219.26	1,290,400	0	8,498,862.70

Table 6 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Number	6	0	0	0	0	6
Dollar Amount	317,495,843	0	0	0	0	317,495,843
Sub-Contracts						
Number	70	0	1	0	7	62
Dollar Amount	106,240,420	0	310,000	0	6,938,702	98,991,718
	Total	Women Business Enterprises	Male			
Contracts						
Number	6	0	6			
Dollar Amount	317,495,843		317,495,843			
Sub-Contracts						
Number	70	11	59			
Dollar Amount	106,240,420	12,501,185	93,739,235			

Table 7 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 8 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 9 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	460	932
Number of Non-Homeless households to be provided affordable housing units	100	75
Number of Special-Needs households to be provided affordable housing units	681	109
Total	1,241	1,116

Table 10 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	460	932
Number of households supported through The Production of New Units	681	109
Number of households supported through Rehab of Existing Units	0	0
Number of households supported through Acquisition of Existing Units	100	75
Total	1,241	1,116

Table 11 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

In developing the 2020 Consolidated Plan, the City allocated a small portion of the HOME grant for Tenant Based Rental Assistance (TBRA) due to the remaining funding available in prior year's grants as a result of the challenges that the program encountered with lease-ups.

Additionally, it is important to note that HUD's reporting system asks localities to separately report homeless and special needs households. However, the City uses HOME funds for supportive housing that is designed for people who are both homeless and who concurrently have special needs. The City assisted 68 of those Special Needs households in 2019 with HOME funds, falling short of our target, but we have consistently exceeded the annual targets for the previous years as established in the 2015 Strategic Plan, and as such we ended 2020 399% over the 5 year goal. Our commitment to and success in addressing the

housing needs of homeless and disabled New Yorkers continues to be reliant on the availability of HOME funds.

Additional shortfalls in creation of new affordable housing units is due to the challenges that New York City faced in our response to the COVID-19 pandemic. Construction delays brought on by the pandemic caused a further delay in available affordable housing units to fill.

Discuss how these outcomes will impact future annual action plans.

In recent years the City has primarily allocated its HOME grant for either special needs/homeless housing or for down payment assistance for first time homebuyers. This year’s outcomes support the City’s belief that HOME funds can be used successfully in this way and expects this usage of funds to continue in future annual action plans.

As rising home prices continue to impact the access to quality affordable housing for our HomeFirst target population, the City is committed to the continued use of HOME funding to provide much needed gap financing to eligible LMI purchasers through its HomeFirst Down Payment Assistance Program and to creating even more opportunities to link these purchasers to city-sponsored homeownership developments well into upcoming years.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	0	1,049
Low-income	0	67
Moderate-income	0	0
Total	0	1,116

Table 12 – Number of Households Served

Narrative Information

HOME

HOME provided TBRA assistance to 932 households of which 88% went to extremely low-income households, 11% went to very low-income households and the remaining 1% went to low-income households. HOME also provided first time homebuyer assistance to 67 households of which 1% was extremely low-income, 24% were very low-income, and 75% were low-income households. Of the 109 HOME rental units, 86% went to extremely low-income households and 14% went to very low-income households.

Community Development Block Grant

NYC does not use CDBG funds for rental assistance, the production of new units, or the acquisition of existing units. While CD funds are used to rehabilitate existing units, these activities are aimed at eliminating hazardous conditions or increasing accessibility for people with disabilities, not at providing

affordability. Accordingly, none of the City's CDBG-funded rehabilitation activities result in affordable rental housing as defined at 24 CFR § 92.252.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

ESG funds support outreach activities that engage persons experiencing street homelessness while connecting them to services and helping them move into transitional and permanent housing. In 2020, these funds supported three outreach programs that provide coordinated services and make placements in drop-in centers, safe havens, stabilization beds, shelters and permanent housing settings. Many of these placements also provide homeless individuals with meals, counseling, medical/psychiatric services, showers, laundry facilities, recreation space, referrals for employment, assistance in applying for benefits and other social services. ESG funding also supported two drop-in centers in 2020. Drop-in centers provide clients experiencing street homelessness with food, shower/bathroom facilities and chairs to rest. Additionally, case managers and housing specialists work with clients to obtain any needed services, medical care, mental health treatment, public benefits, and permanent housing.

HOME-STAT was launched in New York City in March 2016 and is the most comprehensive street homeless outreach initiative of any major city in the United States. As part of the citywide HOME-STAT outreach effort, hundreds of highly-trained not-for-profit outreach staff, including licensed social workers, canvass the streets, proactively engaging New Yorkers experiencing homelessness. Outreach staff offer services and assistance, working to gain clients' trust with the goal of addressing the underlying issues that may have caused or contributed to their unsheltered homelessness to ultimately help these individuals transition off the streets.

Beginning in late 2019, DHS rolled out the Joint Command Center, a 24/7 operation that acts as a clearinghouse for a range of issues related to street homelessness. The Joint Command Center reports on "hot spots," processing 311 requests and coordinating assessments by nurses authorized under Mental Health Law section 9.58 to perform removals of individuals who are a danger to themselves or others. In 2020 DHS also launched a "hot spot" initiative aimed at focusing on historical hot spots of homeless activity. It should be noted that due to the Covid-19 pandemic and the associated need to socially distance, capacity at some of our drop-in centers regrettably had to be reduced. In response to Covid-19, the City developed and implemented extensive, expanded efforts to engage individuals on the subways during the closure period, especially at End of Line stations. Through these efforts, outreach teams helped hundreds of individuals accept and access shelter services. The City also dramatically expanded resources dedicated to serving and supporting New Yorkers who've lived unsheltered: since Homeless Outreach Population Estimate (HOPE) 2020, NYC has opened more than 1,300 specialized beds to help these formerly unsheltered individuals get back on their feet.

In the 2020 Action Plan, the City set a goal of placing 700 persons into temporary and permanent housing through homeless outreach programs supported by ESG funding. Cumulatively, ESG-supported outreach

programs made 751 placements into temporary and permanent housing, achieving 107.3% of the goal. This included 264 from drop-in centers and 487 from street outreach. In 2020, these programs served a total of 1,478 persons, including 787 through street outreach programs and 691 through ESG-funded drop-in facilities.

Addressing the emergency shelter and transitional housing needs of homeless persons

Governed by a unique right-to-shelter mandate, New York City provides temporary emergency shelter to families with children, adult couples without minor children, and single adults without available housing alternatives. DHS collaborates with not-for profit partners to provide temporary shelter and services that homeless New Yorkers need to achieve and maintain housing permanency. In April 2016, Mayor de Blasio announced a major restructuring of homeless services in NYC, followed by a release of a comprehensive plan in February 2017 to turn the tide on homelessness, neighborhood by neighborhood. The plan's guiding principle is community and people first; giving homeless New Yorkers, who come from every community across the five boroughs, the opportunity to be sheltered closer to their support networks and anchors of life in the communities they called home in order to more quickly stabilize their lives.

The City also works to improve conditions within the existing shelter portfolio. A coordinated multi-agency Shelter Repair Squad inspects homeless shelters to identify and address building violations and shelters in need of repairs. DHS has increased its City Capital budget funding for shelter upgrades and has put in place a hotline for shelter residents so that it can respond quickly to their concerns. In addition, in response to the COVID-19 pandemic, in 2020 New York City engaged in extensive shelter de-densification efforts, moving thousands of clients to lower-density settings to ensure their health and safety.

In 2020, ESG funding provided critical support to emergency shelter and essential services in the following ways:

- ESG funding supported nineteen Single Adult shelter programs and one Adult Family Shelter program.
- DHS operates several emergency shelters specifically for single adult clients who have substance use issues. ESG funding supported substance-use counselors as well as substance-use services to further support individuals struggling with substance use. Acknowledging that mental health issues are a common barrier to housing permanency, ESG funding also supported mental health services within the funded shelters.
- New York City expanded a non-traditional model of emergency shelter for unsheltered individuals who repeatedly decline to enter shelter called a "Safe Haven" (not to be confused with HUD-funded Safe Havens). This program offers low-threshold rules and provides private/semi-private sleeping areas. A flexible model, it allows providers to meet the needs of the unsheltered homeless population and is used for placement by street outreach teams only. ESG funding supported three NYC Safe Havens in 2020.

Based on HMIS data from the E-Caper SAGE report, the City served 10,282 clients across the 20 ESG-

supported emergency shelter programs in 2020, representing 73.4% of the annual goal of 14,000 clients served which was based on past experience with client entries and exits from shelter. This goal was not met primarily due to a reduction in the number of unique clients served at two large shelters, as well as minor reductions at several other programs. This is likely the result of fewer new entrants and more consistent stays among those who were in the adult shelter system city-wide throughout the pandemic, as clients received services from the same providers for more extended periods over time.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The Homebase program remains at the center of New York City's homeless prevention efforts. Homebase's community-based prevention has expanded to ensure that Homebase is the first point of entry for those at risk of homelessness and that people can be served in their home borough. ESG funds for prevention services are allocated to sixteen Homebase contracts covering all five boroughs.

Located in areas of high need, Homebase assists families and individuals to overcome immediate housing issues that could result in homelessness and helps them stay housed in their community. The program is overseen by the Human Resources Administration (HRA). The agency works with the not-for-profit providers to use data analytics to proactively target prevention services for the most at-risk, customizing assistance to meet the needs of each household. Services include tenant/landlord mediation; household budgeting; emergency rental assistance; job training and placement; and benefits advocacy (child care, SNAP, tax credits, public health insurance). Also, by strengthening HRA staff assisting Homebase, HRA has expanded processing and triage for HRA benefits, including public assistance and rental assistance. Homebase programs are expanding their case management services to include family mediation, educational advancement, employment and financial literacy services.

In the 2020 Action Plan, the City set a goal of assisting 3,500 persons in households without children in Homebase programs with the support of ESG funds. In 2020, the ESG-funded Homebase programs assisted 5,261 persons in households without children, achieving 150.3% of the goal. This goal was surpassed due to continued high demand for prevention services for many at-risk single adults throughout NYC.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

New York City continues to make strides since the launch of the Five-year Consolidated Strategic Plan

(2015-2019) in helping homeless persons transition to permanent housing and in stabilizing them in their communities to avoid future homelessness. The City local housing voucher program, CityFHEPS, was created in 2018 to better serve the community as a streamlined program that replaced three existing voucher programs - Living in Communities (LINC), City Family Eviction Prevention Supplement/Family Exit Plan Supplement (CityFEPS) and the Special Exit and Prevention Supplement (SEPS), all of which provided rental assistance options to people leaving shelter and prevented families and individuals from entering shelter. New York City has coupled this with funding and incentives for landlords and brokers to rehouse homeless persons.

Further, New York reopened Section 8 housing vouchers and New York City Housing Authority (NYCHA) apartments to a targeted number of homeless families. From July 2015 through December 2020, a total of 9,681 formerly homeless individuals in 4,962 households were placed into permanent housing as part of the Section 8 program administered by NYCHA.

New York City has also launched the largest municipal commitment ever to build and expand supportive housing. Supportive housing integrates case management and connection to mental health and substance use disorder treatment along with referrals to counseling, medical care, and other social and supportive services as needed. It has a proven record of helping stabilize lives while reducing reliance on homeless shelters, hospitals, mental health institutions and jails. In 2016, after the Mayor's commitment to fund 15,000 units over 15 years, the Mayor created a Task Force of nonprofit providers that were charged with analyzing the best way to develop and deliver on the plan. The Task Force developed essential recommendations for operationalizing the plan, including the prioritization of these units for those most in need. Through the Administration's ambitious NYC 15/15 plan the City has awarded nearly 5,500 15/15 units to providers. As of June 2021, across all City agencies, more than 2,700 people have moved into their own homes.

In 2017, the City committed to providing universal access to legal services for all New York City tenants facing eviction in housing court through enactment of the United States' first right-to-counsel law. Tenants facing eviction cases in housing court with household incomes at or below 200% of the federal poverty guidelines have access to full legal representation, with those making more able to receive brief legal assistance. After implementing right-to-counsel in targeted high-need neighborhoods, the program was expanded citywide at the beginning of the Covid-19 pandemic.

Employment is a cornerstone of efforts in emergency shelters to help clients return to housing independence. ESG funds support several employment initiatives operated in emergency shelter, including a program offering a substance-free environment for men that stresses the importance of saving money and self-sufficiency. This program offers career counseling, job search and placement services.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

In December 2018, Mayor Bill de Blasio and NYCHA announced NYCHA 2.0, a comprehensive plan to address New York City's aging public housing and ensure residents have the safe, decent and affordable homes they deserve. The 10-year plan aims to deliver and fund comprehensive renovations at NYCHA's developments and launch aggressive new repair strategies to tackle lead paint, mold, elevators, heat and vermin.

NYCHA 2.0 includes three programs to assure quality affordable housing for NYCHA's residents – Permanent Affordability Commitment Together ("PACT"), Build to Preserve ("BTP"), and Transfer to Preserve ("TTP"). PACT is the conversion of public housing units from Section 9 funding to Section 8 funding via federal programs such as HUD's Rental Assistance Demonstration ("RAD").

PACT facilitates major improvements to NYCHA's developments while preserving long-term affordability and maintaining strong resident rights through public-private partnerships. Approximately 9,500 units have converted to Section 8 and more than \$1.75 billion in renovations have already been completed or are under construction across New York City. That includes:

- Completion of \$579 million in major upgrades at more than 3,200 apartments in Queens and the Bronx; and
- Approximately \$1.2 billion in major upgrades under construction at approximately 6,300 apartments that are home to more than 13,000 New Yorkers.

PACT Partners have been designated for another 5 transactions that are expected to close in 2021, comprising approximately 6,300 units. An additional 16,600 households are currently in the planning and engagement stage. In sum, NYCHA has more than 26,000 units completed, in-construction, or in a stage of resident engagement or pre-development.

Transfer to Preserve is a program that generates revenue for capital repairs through the sale of unutilized development rights, also known as air rights. NYCHA completed two air rights transfers, one at Ingersoll Houses in Brooklyn and another at Hobbs Court in Manhattan, generating approximately \$27 million in proceeds for capital repairs.

Build to Preserve is a program to develop revenue for capital repairs through development of mixed-income, mixed-use buildings on select NYCHA campuses. NYCHA released an RFP in April 2021 to implement a community-driven preservation and investment strategy at Fulton, Chelsea, Chelsea Addition, and Elliott Houses in the Chelsea neighborhood. The four developments, which include 2,073 apartments across 24 buildings in the Chelsea section of Manhattan, have an estimated total of \$366 million in extensive capital need and repair costs ranging from heating infrastructure to building security improvements. NYCHA anticipates selecting partners by the end of 2021. Additionally, new 100% affordable housing developments on underutilized NYCHA land are also underway.

I. First PACT Conversion at Ocean Bay (Bayside)

In December 2016, NYCHA closed its first PACT transaction at Ocean Bay (Bayside) in the Rockaways neighborhood of Queens, converting 1,395 apartments in 24 elevator buildings from public housing to project-based Section 8 funding. NYCHA entered into a public-private partnership with MDG Construction + Design (developer and general contractor), The Wavecrest Management Team (property management company), Catholic Charities of Brooklyn and Queens (social services provider), and Ocean Bay Community Development Corporation (resident outreach and engagement team). The project was financed with Superstorm Sandy recovery funds from FEMA, along with New York State Housing Finance Agency tax-exempt bonds and equity generated from federal 4% Low Income Housing Tax Credits. The project's total development cost was \$560 million, including the FEMA-funded resiliency work. Funds were directed to extensive capital improvements, including the installation of upgraded heating and security systems, new boilers and roofs, and updated apartment interiors that include new windows, kitchens, and bathrooms. All rehab work occurred with tenants in-place; no residents are being relocated or displaced as a result of the conversion. RAD repairs were completed in 2018 and the remaining FEMA work concluded in 2019.

II. PACT Conversions in the Bronx and Brooklyn

Between October 2018 and February 2020, NYCHA closed on five PACT conversions as outlined below. Extensive capital improvements are under construction at all the sites, including upgrades to roofs, elevators, boilers, security systems, and grounds, as well as apartment interiors, including new kitchens and bathrooms. All rehab work is occurring with tenants in-place; no residents are being permanently relocated or displaced.

PACT/RAD at Betances: This conversion provided \$145 million for comprehensive repairs to 1,088 apartments across 40 buildings in the Mott Haven neighborhood of the Bronx. NYCHA entered into a public-private partnership with MDG Design + Construction (developer), The Wavecrest Management Team (property manager), and Catholic Charities Community Services, Archdiocese of New York (social services provider). The project was financed with conventional debt and developer equity. Repairs were substantially completed at the end of 2020.

PACT/RAD at Twin Parks West: This conversion is providing \$46 million for comprehensive repairs to 312 apartments in the Fordham Heights neighborhood of the Bronx. NYCHA entered into a public-private partnership with Gilbane Development Company (developer), Dantes Partners (developer), Apex Building Group (general contractor), and Kraus Management, Inc. (property manager). Social services are being provided by BronxWorks. The project is being financed with conventional debt. Phase I repairs are now complete and Phase II repairs will be completed in 2022.

PACT/RAD at Highbridge-Franklin: This conversion is providing \$38 million for comprehensive repairs to 336 apartments across 14 buildings in the Highbridge and Claremont neighborhoods of the Bronx. NYCHA entered into a public-private partnership with Gilbane Development Company (developer), Dantes Partners (developer), Apex Building Group (general contractor), and The Kraus Organization (property manager). Social services are being provided by BronxWorks. The project is being financed with

conventional debt and a subsidy loan from the New York City Department of Housing Preservation and Development. Phase I repairs are now complete and Phase II repairs will be completed in 2023.

PACT/RAD at Hope Gardens: This conversion will provide \$280 million for comprehensive repairs to 1,321 apartments across 60 buildings in the Bushwick neighborhood of Brooklyn. NYCHA entered into a public-private partnership with Pennrose Properties (developer), Procida Construction (general contractor), Pinnacle City Living (property manager), and Acacia Network (social services provider). Repairs are expected to be completed in the fall of 2021.

PACT/RAD at Brooklyn Sites: This conversion will provide \$434 million for comprehensive repairs to 2,625 apartments across 38 buildings in Brooklyn. NYCHA entered into a public-private partnership with the Arker Companies, Omni NY LLC, Dabar Development Partners, and Bedford Stuyvesant Restoration Corporation (developer joint venture), Chateau GC and Renewal Construction Services LLC (general contractor), Progressive Management (property manager), and El Puente, Nan Newark Tech World, Parcure Community Health Network (social services provider). Repairs began in 2020 and will be completed in late 2022 or 2023.

PACT/RAD at Manhattan Sites: This conversion will provide \$383 million for comprehensive repairs to 1,718 units across 16 developments in Manhattan. The development team is a joint venture between Monadnock Development LLC, Community Preservation Corporation, Community Development Trust, Kalel Holdings, Lemor Development Group, and Community League of the Heights (CLOTH). Repairs began in 2020 and will be completed in late 2022 or 2023.

III. PACT Conversions of LLCII/Unfunded Units in the Bronx

In July 2017, NYCHA announced that it would use PACT to protect the Authority's unfunded unit portfolio. This portfolio consisted of eight (8) mixed-finance public housing developments known as the "LLC II developments," which are ineligible to be included in the federal public housing operating fund and capital fund program subsidy formulas. Previously, NYCHA's PACT initiative was synonymous with RAD. To make significant repairs, more effectively manage the developments, and strategically deploy NYCHA's limited financial resources, NYCHA expanded PACT to create additional public-private partnerships and actively bring the unfunded units into the Authority's Housing Choice Voucher (Section 8) project-based program.

On December 3, 2017, HUD approved NYCHA for a retention action pursuant to 2 CFR Part 200 for one non-dwelling building and 13 buildings with 722 apartments at Baychester Houses and Murphy Houses in the Bronx. On December 28, 2018, NYCHA closed on this PACT/Unfunded Units conversion by entering into a public-private partnership with MBD Community Housing Corporation (developer and social services provider), Camber Property Group (developer), and L&M Development Partners (developer and property manager). Social services are also being provided by BronxWorks. The project was financed with conventional debt with a permanent takeout loan by the New York City Housing Development Corporation. Repairs were completed in 2021 and repair costs for the project totaled more than \$116 million.

In August 2018, NYCHA requested HUD approval for a retention action pursuant to 2 CFR Part 200 for Independence Towers and Williams Plaza in Brooklyn. NYCHA began community engagement and resident conversion activities at these developments in March 2018. HUD approved NYCHA's Significant Amendment to the FY 2018 Annual Plan for the retention action at Independence Towers and Williams Plaza on September 14, 2018. In the second quarter of 2019, NYCHA selected a development to complete this project 106 consisting of The Arker Companies, Omni New York LLC, Dabar Development Partners and Bedford Stuyvesant Restoration Corporation. The project closed in February 2020.

NYCHA submitted an Amendment to the FY 2019 Annual Plan on March 21, 2019 to request HUD approval for a retention action pursuant to 2 CFR Part 200 for the remaining four developments in the LLC II portfolio, namely 344 East 28th Street, Wise Towers, Linden, and Boulevard. Through this action, all units in the developments will be operated outside of the federal public housing program under project-based Section 8. Families will be transitioned to Section 8 assistance. Families ineligible for Section 8 assistance will be allowed to remain in place and pay no more than 30% of their income for rent.

HUD approved NYCHA's Significant Amendment to the FY 2019 Annual Plan for the retention action for these developments on June 19, 2019. NYCHA selected a development team comprised of The Community Preservation Corporation (CPC), the Community Development Trust (CDT), Monadnock Development, Kalel Holdings, Lemor Development Group, Community League of the Heights (CLOTH) and Cornell Pace Inc. (CPI) to finance, rehabilitate, and manage the Manhattan developments of 344 East 28th Street and Wise Towers, and converted the properties in November 2020. NYCHA also selected development teams in February 2020 for the remaining Brooklyn developments at Linden and Boulevard and anticipates completing conversion of the entire portfolio of unfunded units by the end of 2021.

IV. Recently Completed "Transfer to Preserve" Air Rights Transfer

Hobbs Court, Manhattan – In March 2020, NYCHA completed its first Transfer to Preserve transaction. NYCHA received \$2,664,000 from an adjacent property owner for 9,000 square feet of unused development and parking rights at Hobbs Court in Upper Manhattan. Hobbs Court is not a public housing development. As such, proceeds from this transaction will be used to make repairs at nearby Metro North Plaza. The development and parking rights will facilitate construction of a 115,000 square foot mixed-used building with 185 apartments and 13,000 square feet of commercial space. The project will provide affordable housing for 30% of the new units under the Affordable New York Housing Program. Construction of the new building is currently underway.

Ingersoll, Brooklyn – In June 2020, NYCHA received \$24,850,000 from an adjacent property owner for a parcel of approximately 6,000 square feet (part of Block 2050, Lot 1) along with an additional 90,634 square feet of surplus development rights. The proceeds from the transaction will be used to make repairs at Ingersoll Houses. The parcel and development rights will facilitate construction of a 400-unit mixed-use building, of which 100 units will be affordable. Construction of the new building is currently underway.

V. Recently Completed New Housing Construction

Van Dyke I, Brooklyn – In 2019, NYCHA leased a portion of Block 3777, Lot 1 for construction of a 180-unit family housing development. Construction will be complete in 2021.

Betances V, Bronx – In 2019, NYCHA leased a portion of Block 2287, Lot 26, for construction of a 152-unit senior housing development. Construction is underway.

Morrisania Air Rights, Bronx – In 2020, NYCHA leased a portion of Block 2409, Lot 98, for construction of a 171-unit family housing development. Construction is underway.

Twin Parks West, Bronx – In 2020, NYCHA leased a portion of Block 3143, Lots 234, 236 and 240, for construction of a 182-unit family housing development. Construction is underway.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

FHA Small Homes Program - NYCHA has offered residents of its single-family FHA Repossessed Houses the opportunity to qualify and purchase the home they rent. Primarily located in Southeast Queens, the homes are part of the U.S. Department of Housing and Urban Development (“HUD”) approved 5(h) Project HOME Homeownership Plan. In accordance with the plan, NYCHA is repairing the homes to ensure that they meet HUD standards. Residents with incomes sufficient to pay real estate taxes, assessments, utilities, and maintenance on the homes will receive homeownership and financial counseling to prepare them to assume responsibility for owning their homes. Prospective buyers were offered contracts of sale in 2014; closings commenced in the summer of 2015 and are on-going.

Resident Associations

Most NYCHA developments have resident associations, also known as tenant associations, resident councils, or tenant councils. These democratic organizations are dedicated to improving the quality of life in NYCHA developments and the surrounding neighborhoods. Resident councils may actively participate through a working partnership with NYCHA giving residents a voice in the operation of their developments. Each resident association’s executive board is elected by association members and typically consists of a president, vice-president, secretary, treasurer, and sergeant-at-arms. NYCHA helps residents create a resident association if their development does not already have one.

The Citywide Council of Presidents

Every president of a recognized resident association is a member of the City-Wide Council of Presidents which is geographically structured into 9 District Committees in the city. Resident association presidents elect an Executive Board to represent their district. Members of the CCOP’s district Executive Boards automatically become members on the Resident Advisory Board, described below. CCOP works with senior NYCHA staff on the issues affecting life in NYCHA developments, engaging with government at all levels (local, state, and federal).

The Resident Advisory Board

The Resident Advisory Board (RAB) consists of public housing and Section 8 residents. It primarily addresses various aspects of NYCHA's annual and five-year agency plans, which set forth NYCHA's priorities and policies in 18 core areas and chart the course for NYCHA's short-term and long-term future. RAB members express concerns, make recommendations, and advise NYCHA management as the plans are drafted. RAB's recommendations for the final plan are incorporated when the plan is submitted to the U.S. Department of Housing and Urban Development. RAB members are responsible for informing residents in each development/district about the plans' development at both the draft and final stages. The RAB consists of 45 elected resident association presidents and one Section 8 representative.

Financial Capability and Asset Building: Family Self-Sufficiency (FSS)

The Housing Choice Voucher Family Self-Sufficiency (FSS) Program is a HUD initiative that promotes economic self-sufficiency among participating families by referring them to educational, career counseling, money management, job training and job placement services. Participants receive a savings account which grows as the family's earned income increases. Upon completion of educational and employment goals, the family receives the money accumulated in the account, provided that no member received cash public assistance over the preceding 12 months and the head of household is employed. The money may be used as a down payment on a home, payment for higher education, startup capital for a business or to pursue other personal goals. Participating families do not jeopardize their Section 8 vouchers and may continue to receive Section 8 assistance upon graduation from the program if they continue to meet Section 8 eligibility criteria.

As of December 31, 2020, there were 960 participants in the program. A total of 469 participants, or 49%, had active escrow accounts with an average balance of \$6,125. In 2020, the program continued to outreach to residents participating in the HUD Family Unification Program (FUP) demonstration initiative for youth who have aged out of foster care. In addition to receiving the standard FSS benefits, FUP youth who enroll in FSS can extend the duration of their NYCHA Section 8 voucher from three to five years.

Resident Economic Empowerment & Sustainability (REES) continued its collaboration with the Food Bank for New York City to provide free tax preparation services across 12 community centers and Jobs-Plus sites. In the 2020 tax season, 514 tax returns were filed via Virtual VITA at NYCHA locations.

Actions taken to provide assistance to troubled PHAs

The New York City Housing Authority is not designated as troubled.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

NYCHA's Accessibility and Visitability Activities

The New York City Housing Authority (NYCHA) is the largest public housing authority in North America. NYCHA's conventional Public Housing Program has 168,100 (as of June 2021) apartments in 285 developments throughout the City in 2,212 residential buildings containing 3,164 elevators.

In accordance with the Voluntary Compliance Agreement (VCA) signed jointly with the Department of Housing and Urban Development in 1996, NYCHA agreed to convert five percent of its total stock of units, currently equivalent to 8,800 units, into Section 504 fully accessible units available to residents or applicants with mobility impairments.

In addition, NYCHA provides accessibility via reasonable accommodations and greater accessibility to existing conventional apartments via accessibility features.

As of Q1 2021, NYCHA converted 6,746 Section 504 units which meet varying levels of accessibility and completed about 19,506 modifications to conventional units to aid residents with mobility impairments.

NYCHA also offers reasonable accommodations in policies, procedures and practices that will make non dwelling facilities, services and programs accessible to persons with disabilities.

In 2020, NYCHA added 106 fully accessible units citywide via its Grounds Improvement initiative. The Grounds Improvement initiative seeks to bring grounds, walkways, common areas, parking lots, basketball courts and play areas to full accessibility for use by mobility impaired residents and visitors. NYCHA reported a total of 4,642 (2.7%) fully accessible units to the Department of Housing & Urban Development at the end of the 1st quarter of 2021.

As of March 2021, NYCHA also provides housing assistance through the Section 8 (Housing Choice Voucher) program to an additional 197,894 New Yorkers, in cooperation with over 24,000 private property owners. Over 545,000 people in New York City are served by NYCHA's public housing, Section 8, and RAD/PACT programs.

As of January 2021, NYCHA owns and operates a total of 7,694 converted apartments for people with mobility impairments, which includes apartments in developments that have undergone PACT conversions.

HPD

Since Mayor de Blasio launched the Housing New York plan in 2014, New York City has accelerated the creation and preservation of affordable housing to levels not seen in 30 years. It has financed nearly

180,000 affordable units. In 2017, Mayor de Blasio committed to accelerating and expanding the pace of Housing New York to achieve 300,000 affordable apartments by 2026 — 100,000 more than initially planned. Over 40 percent of the units financed are reserved for very low-income households, also surpassing initial projections.

The de Blasio administration is proud to have passed the most aggressive Mandatory Inclusionary Housing (MIH) policy in the nation, requiring developers whose properties were rezoned to allow additional capacity to dedicate a portion of the new housing to be permanently affordable. Zoning for Quality and Affordability removed many regulatory barriers (e.g., parking requirements, impractical height limits) that significantly constrained the creation of affordable and senior housing projects. A reformed 421a program requires affordable housing in rental properties using the exemption, and eliminates tax breaks for luxury condos.

The full *Housing New York* plan can be found here:

http://www.nyc.gov/html/housing/assets/downloads/pdf/housing_plan.pdf

The expansion of the original *Housing New York* plan can be found here:

<http://www1.nyc.gov/assets/hpd/downloads/pdf/about/hny-2.pdf>.

In addition to this unprecedented level of housing investment, the City has worked to accelerate the development of underutilized sites, enact zoning changes to promote new affordable housing, and conduct comprehensive neighborhood plans that address housing and other community needs.

HPD has accelerated its Request for Proposals (RFP) pipeline for new construction, ensuring that underused developable land is transformed into residential and mixed-use development that contributes to broader community revitalization efforts. To date under HNY, RFPs have been issued for 83 projects across approximately 217 public sites that will generate more than 13,000 affordable homes. To help accomplish these goals, HPD has revamped the RFP document to more clearly communicate its requirements and preferences, as well as open up the process to a broader range of developers.

The City has enacted zoning changes in several neighborhoods, including Jerome Avenue in the Bronx, East New York, East Harlem, Inwood, Downtown Far Rockaway, and the Bay Street Corridor in Staten Island. Together, these zoning changes will allow the creation of thousands of new affordable homes over the next 15 years over what could have been built under previous zoning.

HPD has also conducted comprehensive neighborhood planning efforts to address critical needs and identify opportunities for housing and other forms of community investment.

The Resilient Edgemere Plan addresses the impacts of climate change and flooding on the waterfront neighborhood of Edgemere, Queens. The impacts of climate change present a real risk to residents and their homes, and exacerbate social inequity. Edgemere's severe damage from Hurricane Sandy, coupled with the City's ownership of a significant amount of vacant land, created an opportunity to pair the City's recovery efforts with a long-term vision for a higher quality of life for Edgemere residents. With this in mind, HPD launched the Resilient Edgemere Community Planning Initiative as a collaboration between

City agencies, community members, elected officials, and local organizations. Moving from comprehensive planning towards implementation, the Resilient Edgemere Community Plan lays out clearly defined goals, strategies, and concrete projects, representing millions of dollars in planned investment over the next 10 years and beyond.

The Brownsville Plan is the result of a community-driven process to identify neighborhood goals, form strategies to address local needs, and find resources to fill gaps in service in this low-income community. The Brownsville Plan will result in the creation of over 2,500 new affordable homes representing over one billion dollars of investment in housing in the neighborhood. HPD announced the designation of the development teams identified through the Brownsville Request for Proposals (RFP), for over 880 affordable homes to be built on three City-owned sites. In addition, the Brownsville Plan will coordinate over \$150 million in City investments, including renovations of Brownsville's parks, improvements to the open spaces on NYCHA developments, a new community center for teens at Brownsville Houses, and a new Neighborhood Health Action Center.

HPD launched the Bed-Stuy Housing Initiative in the Spring of 2019 to evaluate and improve upon the agency's affordable housing investments in Bedford-Stuyvesant. By working with local community groups and coordinating housing-related city agencies, the initiative is designed to ensure that HPD programs and investments are working towards common objectives for the neighborhood and that housing developed on public land responds to local needs. As part of the initiative HPD has hosted a series of public workshops and roundtable events to engage residents, elected officials, community-based organizations, and housing-related government agencies. At the end of the process the agency will publish a housing plan summarizing the community's goals for affordable housing development, plans for future development of affordable housing on City-owned land, and strategies for supporting tenants and homeowners across the neighborhood.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

HOPWA

In 2020, the COVID-19 pandemic presented a myriad of obstacles to consumer/household health outcomes, food insecurity, increased utility costs, job-loss, fear of using public transportation, and decrease in medical visits, to name a few. To assess the impact of COVID-19 infection among HOPWA consumers, DOHMH released system enhancements to track COVID-19 symptoms, test results, hospitalizations and deaths. By making these new data fields available, HOPWA Project Sponsors were better able to document COVID-19 related outcomes, which in turn allowed them to hone in their efforts on meeting the needs of those that most required support.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

NYC Housing Authority

Currently, NYCHA is not in compliance with a number of federal regulations with respect to lead-based paint (LBP) and LBP hazards. This section will describe some of the main steps NYCHA is taking to address

LBP hazards and to attempt to improve its compliance.

Actions Under the HUD Agreement

The first major overall action to reduce LBP hazards and to improve overall compliance is to continue to work to fulfill the LBP requirements set forth in the January 31, 2019 settlement agreement with HUD, the U.S. Attorney's Office for the Southern District of New York ("SDNY") and the City of New York to fix the physical conditions in NYCHA properties, including LBP, mold, heat, elevators and pests ("HUD Agreement").

The HUD Agreement aims to ensure that NYCHA provides decent, safe and sanitary housing for all NYCHA residents. Bart Schwartz was appointed to serve as the Monitor (Section IV.A paragraph 16). The purpose of the Agreement is to ensure that NYCHA complies with its obligations under federal law, reform the management structure of NYCHA, and enable cooperation and coordination between HUD, NYCHA and the City during the term of this agreement (Section I paragraph 8).

Exhibit A of the HUD Agreement sets forth NYCHA's responsibilities with respect to LBP. Exhibit A includes the following requirements:

- Continuous, ongoing compliance with HUD's Lead Safe Housing Rule, EPA's Renovation Repair and Painting (RRP) Rule, and EPA's Abatement Rule, and twice-yearly certifications describing NYCHA's compliance with these rules;
- Performance of certain lead hazard remediation work in specific priority apartments (apartments with children under age six);
- Abatement of NYCHA apartments with LBP and associated interior common areas by 2039 (with specified interim deadlines);
- Performance of biennial risk assessment reevaluations by January 31, 2021;
- Establishment of a Memorandum of Agreement with the New York City Department of Health and Mental Hygiene (DOHMH) regarding elevated blood lead level (EBLL) cases to facilitate ongoing reporting of EBLL cases to HUD;
- Specific obligations to enhance compliance with EPA's RRP Rule;
- Disclosure of LBP information in accordance with HUD's Lead Disclosure Rule.

NYCHA submitted an Initial Action Plan that sets forth eleven actions that NYCHA will take to improve its compliance with obligations under Exhibit A of the Agreement and enhance its lead programs. The Initial Action Plan was approved by the Federal Monitor on January 20, 2021.

The HUD Agreement also requires NYCHA to establish a Compliance Department ("Compliance") and an Environmental Health and Safety Department ("EH&S"), both of which are currently operational. Together, Compliance and EH&S will provide oversight of NYCHA's LBP programs and identify areas of non-compliance.

To date, NYCHA has not been able to certify under the HUD Agreement to full compliance with the Lead Safe Housing Rule or the RRP and Abatement Rules, or the above-described requirements of the

Agreement. In July 2020 and January 2021, NYCHA made submittals under paragraph 30 of Exhibit A which documented certain areas of non-compliance, and areas of progress in 2020. These reports are now publicly available on NYCHA’s website pursuant to the terms of the Initial Lead Action Plan.

In 2020, NYCHA has taken specific steps to provide LBP-related training to its work force, improve its compliance with lead safe work practices, implement IT controls geared towards better and more reliable lead compliance, and devote resources to field and documentary monitoring and oversight. NYCHA still has much work to do to meet its compliance obligations, and NYCHA will continue to work with the Federal Monitor to address compliance shortfalls and implement the actions set forth in the Initial Lead Action Plan.

NYCHA XRF Initiative

The second major overall action to ultimately reduce LBP hazards is NYCHA’s ongoing initiative to perform LBP inspections in approximately 134,000 apartments using XRF analyzer devices. The goal of this project is to definitively identify which apartments do and do not contain LBP and, if the apartments do contain LBP, which specific components in each apartment contain LBP. These testing results will be shared with residents and uploaded into an online portal. The results will also be integrated into NYCHA’s Maximo work order system, further improving NYCHA’s ability to implement lead safe work practices.

As of June 30, 2021, NYCHA has completed LBP inspections in 96,627 apartments and, of these, received the testing results for 67,501 apartments. Of the 67,501 apartments, 22,427 have tested positive for LBP components and 45,074 have tested negative.

HUD Visual Assessments and Interim Controls

Under the Lead Safe Housing Rule, NYCHA must conduct visual assessments of all apartments in “target housing” unless otherwise exempt under 24 CFR §35.115. Target housing means any housing constructed prior to 1978, except housing for the elderly or persons with disabilities (unless a child of less than six years of age resides or is expected to reside in such housing for the elderly or persons with disabilities) or any zero-bedroom dwelling.

2020 Activity	Date Started	Number as of 01/01/2021
Interim Controls to Correct Paint Deficiencies Identified in 2018 Visual Assessments	2018	26,681 (out of 39,602 apartments where interim controls are required) ⁽¹⁾
Interim Controls to Correct Paint Deficiencies Identified in 2018 Visual Assessments	NYCHA did not perform interim controls on paint deficiencies in common areas. This was not in compliance with the Lead Safe Housing Rule.	
2020 Activity	Date Started	Number as of 01/01/2021

Visual assessments of apartments completed	April 2019	38,164 (out of 43,869 apartments where visual assessments are required) [1] [1] Note, a certain number of these units have subsequently tested negative through the NYCHA XRF initiative.
Interim Controls to Correct Paint Deficiencies Identified in 2019 Visual Assessments	2019	6,704 (out of 11,260 apartments where interim controls are required)[1] [1] As NYCHA has disclosed in prior submittals under the HUD Agreements, NYCHA did not comply with HUD regulations on final clearance examinations in its performance of interim controls during 2019.
Visual assessments of common areas completed	2020 Visual Assessment Common Areas started: October 2020 2020 Visual Assessment Apartment Inspection started: November 2020	2020 Visual Assessment Common Areas Completed 3,134 of 3,433 2020 Visual Assessment Apartment Inspection Completed 34,478 of 39,352

In 2021, NYCHA commenced interim controls arising from the 2020 visual assessments, and continues to attempt interim controls for the remaining apartments in the 2018 and 2019 visual assessments. As NYCHA has previously disclosed, NYCHA is not in compliance with applicable lead-based paint requirements but is working toward improving compliance.

Local Law 1 of 2004 Apartment Abatement

Under Local Law 1 of 2004, NYCHA also tests and abates apartments upon turnover where LBP has not been previously ruled out or abated. This abatement provides for the removal or permanent covering of all lead-based paint.

2020 Activity	Numbers
Apartments tested upon turnover in 2020	3873
Apartments tested negative upon turnover in 2020	3297
Apartments tested positive upon turnover in 2020	576
Apartments abated upon turnover in 2020	584
Apartments abated in 2020 based on testing done 2019	138
Total Apartments abated in 2020 irrespective of testing year	802

Department of Health Orders to Abate

NYCHA also responds to Commissioner Orders to Abate (COTA) issued by the New York City Dept of Health and Mental Hygiene arising from elevated blood lead levels in children under 18 residing in NYCHA developments. Statistics regarding blood lead levels for children under the age of 18 are available in the Childhood Blood Lead Level Surveillance reports for 2020.

^[1] As NYCHA has disclosed in prior submittals under the HUD Agreements, NYCHA is not in compliance with certain HUD regulations on final clearance examinations in its performance of interim controls.

Housing and Preservation Development

The City operates several programs to investigate, treat and reduce lead-based paint hazards. The City investigates, abates, and removes LBP hazards in City-owned properties and in privately owned dwellings where owners are unwilling or unable to do so after receiving NYC Local Law 1 of 2004 lead paint violations. Where a lead-poisoned child is identified, the DOHMH orders the owner to abate lead paint hazards. If the owner fails to do so, HPD's Emergency Repair Program (ERP) will do the work and place a lien against the property for the cost. For fiscal year 2020, HPD maintained two requirements-type contracts for lead hazard reduction at a cumulative total contract maximum of \$3,250,000. In addition, HPD had one contract for dust wipe analysis at a total contract maximum of \$99,999.

In FY2020 HPD's Division of Code Enforcement attempted 35,382 inspections, issuing a total of 9,619 violations. 2,066 reinspections were performed. 2,674 violations were certified as corrected; 10,286 violations (includes violations issued in prior years) were removed due to corrections by either the owner or HPD.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

HPD HOME Program

Harness Affordable Housing Investments to Generate Quality Jobs

In addition to HPD's implementation of the Section 3 program, discussed later in this response, the City's investment in affordable housing seeks to leverage greater Minority and Women Owned Business Enterprises (M/WBE) participation in housing development. Expanding opportunities for these organizations expands the pool of developers and contractors that can build affordable housing in New York City and strengthens the housing industry. The City has implemented several programs to expand M/WBEs' access to capital, build their capacity, and provide opportunities to increase the participation of M/WBEs in affordable housing development projects through the Building Opportunity Initiative.

To build the capacity of M/WBEs, HPD partnered with the NYC Small Business Services to create a program specially designed for affordable housing developers. To date, HPD's capacity building program has graduated more than 90 M/WBEs and non-profit development firms.

To create pathways for qualified M/WBE professional service providers and construction contractors to work on HPD-supported affordable housing projects, HPD created its M/WBE Build Up program. The program requires 25% of all City supported cost in any new construction or preservation project receiving \$2 million or more from the City be spent on M/WBEs businesses during the design or construction phase of an affordable housing project. To date, 210 projects are now subject to these program requirements and it is projected that approximately \$992 million dollars will be contracted to M/WBE businesses. HPD has a Build-Out program, which provides networking opportunities between development teams and to qualified M/WBE and small business contractors interested in working on affordable housing projects in addition to providing a seminar series to increase the business acumen and capacity of these M/WBE and local firms.

HPD's Division of Economic Opportunity and Regulatory Compliance enforces compliance with the program, facilitates connections between M/WBE firms and non-M/WBE partner developers or general contractors, and identifies ways to promote changes in the affordable housing industry to increase the participation of M/WBEs.

The City of New York, to the greatest extent feasible, is committed to directing job training and employment opportunities to low- and very low-income New Yorkers. The Department of Housing Preservation and Development (HPD) has undertaken various affirmative efforts to realize the benefits of Section 3 for local residents and local businesses:

- HPD includes information on Sec. 3 requirements in the equal opportunity packages provided to HPD loan recipients, contractors and their sub-contractors at weekly Pre-Award Conferences.
- HPD includes the Section 3 clause in its HUD-funded contracts, alerting each entity of the program and its obligations. The clause also requires its placement in every subcontract subject to Section 3 regulations.

- HPD has created and posted a HUD Section 3 webpage at the HPD website. The webpage contains an explanation of the regulations, reporting forms, a Section 3 Business Concern application, a Business Concerns directory and a listing of employment/training referral sources. The webpage provides firms working with the Agency easy access the information they need to comply. It is available here: <https://www1.nyc.gov/site/hpd/services-and-information/hud-section-3.page>.
- HPD, in line with the policy of the City of New York, posts job notices on its website. Job notices are also available at the NYC Dept. for Citywide Administrative Services website and at public bulletin boards throughout the City.
- HPD has partnered with the NYC Department of Small Business Services (DSBS) to provide the employment and training services of DSBS's Workforce1 Centers. HireNYC is a free program designed to help New Yorkers access training and jobs through the City's purchases and investments. Under HireNYC, the NYC Department of Small Business Services' Workforce1 provides high-quality recruitment services to employers and high-quality employment services to jobseekers. HPD is now partnering with HireNYC to better connect low-income workers to construction job opportunities generated by our affordable housing development projects.

New York City Housing Authority

Job Training and Placement Overview

The Office of Resident Economic Empowerment & Sustainability (REES) implements programs, policies and collaborations to measurably support residents' increased income and assets with a focus on employment and advancement, financial literacy and asset building, adult education and training, and business development. REES uses a partnership and place-based service coordination model, or "Zone Model", to identify and partner with high-quality economic opportunity service providers in each key service area. This approach broadens NYCHA's scope from resident job training and employment to a more comprehensive suite of high-quality economic opportunity service providers. To date, REES has entered into partnership agreements with 70+ community-based and city agencies.

In light of the COVID-19 pandemic and the physical closing of offices beginning in March 2020, REES, as well as many of its partners, quickly transitioned to primarily virtual outreach, information sessions, workshops and trainings, as well as to promoting job orders and respond to residents and partners.

Early in the pandemic, REES surveyed all of its partners to discern how they were conducting business and which programs were operating, ultimately using that information to connect their offerings remotely to NYCHA residents. While most of 2020 placements and connection numbers were not at the pre-pandemic level, REES was able to continue its core work to make sure residents needs were being met.

As of December 2020, over 3,311 resident connections to workforce, training, adult education and financial counseling services were made. In 2020, there were over 647 resident class/training enrollments for services in each service area through REES recruitment efforts. Residents enrolled in training towards

the end of 2020 will complete in 2021.

In November 2015, REES launched Opportunity Connect, a web-based self-service platform that allows residents to interact electronically with REES and REES partners. Through this platform, residents can electronically refer themselves for economic opportunity services offered through NYCHA's network of vetted workforce development, adult education, financial literacy and business development partners. The initiative allows resident to register for information sessions and other services hosted by REES and/or NYCHA's partners, as well as to directly provide information to REES, allowing staff to better connect them with economic opportunities, including employment, generated by NYCHA and its vendors. To easily access resident referrals and report results, there is a respective Partner Portal for REES partners. During the 3rd and 4th quarter of 2020, all REES Partners were trained to access the Portal. In 2021, REES and NYCHA IT will continue to build out an employer portal that will allow REES contractors to upload job orders and receive resumes from qualified residents via the platform, as well as train all NYCHA Housing Assistants to make referrals for residents in the Portal.

Opportunity Connect has allowed REES to reach a more diverse and higher volume of residents across the city, connecting them to local resources. The tool has also helped NYCHA continue to improve its relationship with residents by providing 24/7 access to REES partner services, allows for greater transparency on upcoming opportunities, as well as provides a comprehensive tracking and performance management tool.

Section 3 Requirements

New York City Housing Authority – Program Highlights: Employment and Advancement

i. Section 3/Resident Employment Program

In 2020, NYCHA facilitated 874 direct job placements. These job placements included 421 resident job placements leveraged through outside contractors for various projects at NYCHA developments in accordance with the employment-related provisions of the Housing and Urban Development ("HUD") Section 3 mandate and NYCHA's Resident Employment Program ("REP"). The Resident Employment Program (REP) is a NYCHA-sponsored program that requires that 15% of the labor costs on a contract be expended on resident hiring. With few exceptions, REP applies to construction contracts valued more than \$500,000. In March 2020 with the closing of NYCHA's offices due to the COVID-19 pandemic, REES was able to successfully pivot to offer virtual events and increased phone banking efforts to connect residents to employment and training opportunities across various industries and skillsets. NYCHA-REES continued efforts to strategically work with its vendors during the pandemic and increase efforts to directly connect with residents have resulted in near pre-pandemic placement opportunities for our residents.

To help minimize the spread of COVID-19 in its buildings and central offices, NYCHA has taken aggressive strategies to ensure clean and sanitized buildings. NYCHA increased the number of janitorial per diem workers on NYCHA properties and partnered with vendors which resulted in over 170 NYCHA residents hired as per diem workers and over 154 Section 3 workers as Cleaners.

ii. **Jobs-Plus** is a proven place-based employment program that provides customized employment services, financial counseling, rent-based incentives and peer to peer support to working age residents in 27 NYCHA Developments. There are currently 10 Jobs-Plus sites operating city-wide under the Jobs-Plus expansion. The 10th site is operated through New York City's first HUD Jobs Plus initiative grant which was awarded to NYCHA in 2016. The 10 Jobs-Plus sites serve over 25,000 working age NYCHA residents. The Jobs-Plus expansion marks a key milestone in NYCHA's new approach to better support its residents to increase their income and assets by working with public and private partners to identify gaps in service offerings and to develop strategies that attract high quality resources and proven economic opportunity models, like Jobs-Plus, into public housing neighborhoods. In 2021, Jobs-Plus will again expand to 13 Jobs-Plus sites serving 44 developments.

In September 2016, NYCHA was awarded a \$2 million grant from HUD for the Jobs Plus Initiative Program. HUD's commitment allows NYCHA to bring the Jobs Plus program to the 687 residents of the Pennsylvania-Wortman Houses located in East New York, Brooklyn. Since its launch in March 2017 to end of year 2020, 394 new residents were enrolled—149.23% of the HUD end of year four cumulative goal of 260 (Note: year four ended 3/31/21). Of those members, 216 were assessed in the areas of job readiness, financial health, and/or mental health—102.37% of the HUD end of year 4 goal of 211. The program continues to work to connect members to job opportunities and/or address the barriers to employment. This has resulted in 67 members being placed in full-time (46) or part-time employment (21) from inception to year end 2020. In addition, 20 members who were already employed have moved to a new job or from part-time to full-time employment.

There are 195 members who have received financial coaching, including 61 who are currently receiving the Jobs Plus Earned Income Disallowance program benefits, which has resulted in a combined \$148,535 cumulative rent savings for those residents.

iii. The **NYCHA Resident Training Academy** is a public/private initiative funded by the Robin Hood Foundation. The NRTA began in August 2010 as a citywide, employment-linked training program for NYCHA residents. Residents receive training from some of New York City's premier vocational training providers in preparation for jobs with NYCHA, its contractors, and the private sector. By the end of 2020, over 2,770 NYCHA residents have graduated the Academy with about 90% moving on to employment directly with NYCHA and in various construction-related positions with NYCHA contractors and external affordable housing developers. In August 2015, NYCHA was approved direct entry status for its construction track from the New York State Department of Labor (NYSDOL). Since then, NYCHA has successfully established direct recruitment partnerships with nine apprenticeship programs within the building trades, including DC 9 Painters, IBEW Local 3 Electricians and Local 8 Roofers in order to build a pipeline of NYCHA resident apprentices, leading to fifty-nine (59) NYCHA residents being connected to these trades via direct entry. In 2020, many of these unions postponed open recruitment into their apprenticeship programs due to the pandemic and cancelled apprenticeship classes.

iv. Zone Partner Highlight: **Green City Force**

Green City Force (GCF) is a New York City-based AmeriCorps program that combines national service and

workforce development to reduce carbon emissions while providing urban young adults with training and leadership opportunities related to greening the economy. Green City Force has partnered with REES since 2009 to recruit qualified NYCHA Residents ages 18-24 for their full-time stipend-paid program that provides training leading to certifications, college-prep, leadership development and hands-on work experiences. Specifically, NYCHA conducted intensive recruitment aimed at reaching young adults from the 15 high crime Mayor's Action Plan (MAP) developments. The MAP initiative invests resources into these communities to increase resident access to much needed services and high-quality training programs. REES and GCF hold weekly information sessions at REES's central office and at various NYCHA campuses during recruitment season. NYCHA has worked with GCF to recruit for two tracks, Urban Agriculture and Energy Corps. Participants benefit by learning new skills and training necessary for in demand green jobs and the curriculum is aligned with New York City's waste reduction initiative. Under the new program model GCF Corp Members are exposed to both tracks as they build dedicated hours of service which range from 450, 900, or 1,700 service hours. In 2020, 46 NYCHA residents graduated from Green City Force over one cohort.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

New York City Housing Authority

The NYCHA Board is comprised of seven members appointed by the mayor, including three resident members. The mayor designates one of the board members as the Chair. The Chair is the Chief Executive Officer (CEO) of the Authority and is responsible for the supervision of the business and affairs of the Authority. Members' duties include voting on contracts, resolutions, policies, motions, rules and regulations at regularly scheduled meetings.

NYCHA's Chief Operation Officer (COO) and Executive Vice-Presidents are responsible for the day to day operations. A majority of the departments within NYCHA are clustered into one of nine groups, each headed by an Executive Vice President reporting to the Chair or COO: Capital Projects, Administration, Finance, Community Engagement & Partnerships, Leased Housing, Information Technology, Legal Affairs, Real Estate, and Strategy & Innovation. Several other departments comprising the Executive Group report directly to either the Chair or COO. We are reviewing our current organizational and governance structures as part of the organizational planning efforts under way in collaboration with the Monitor.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

New York City Housing Authority

Family Partnerships Elderly Safe at Home

The Elderly Safe-at-Home program provides services geared towards enhancing the general quality of life of elderly and non-elderly disabled residents who reside in 17 NYCHA developments (Bronx 9, Brooklyn 2, Manhattan 4, Queens 2). This program employs dedicated employees that provide on-site social services to help improve safety and security and enhance health and well-being. As a result, residents continue to

live independently in their homes and prevent premature placement in nursing homes or other forms of institutionalization.

This program provides support and crime prevention services, crisis intervention, and crime victim assistance to address and prevent crimes perpetrated against this vulnerable population. The program also assists residents with maintaining daily life, accessing public entitlements, and coordinating services with outside providers. Residents can meet with the assigned worker in the social service office or in their homes. Workers are also expected to conduct regular home visits and telephone reassurance.

This program also recruits and trains resident volunteers who are organized into a floor captain/buddy system and maintain daily contact with residents in their respective developments. The floor captains are the eyes and ears of the program. They are often the first to detect if something is wrong or identify an incident requiring immediate attention and are obligated to report back to program staff. This program also offers workshops on crime prevention, safety and security, and crime victims' rights and the criminal justice process. Information on these and other topics is disseminated through pamphlets and regularly scheduled meetings at program sites. Residents who need more comprehensive crime victim services are referred to community-based organizations and/or City agencies that specialize in this field.

During 2020, the program provided 66,017 units of support services to approximately 1,797 residents monthly and conducted 2,102 home visits.

Naturally Occurring Retirement Community (NORC) Program

The NORC Program was developed to address the needs of concentrations of seniors who have aged in place, in non-elderly housing. The program was designed to coordinate a broad range of health and social services to help support well and frail elderly residents, 60 years of age and older who continue to live in their own homes. Approximately 20.5% of the NYCHA senior population does not live in senior-designated buildings.

The NORC program concept is to provide “client-directed,” bilingual supportive services to the elderly who do not live in units built for the elderly through building community infrastructure. The program helps to identify needed services and service providers that embodies the needs of the residents. The NORC program services provides: Bilingual on-site assessment, information and referral services, case management, counseling, education/prevention/wellness programs, recreational/socialization programs, and volunteerism. One of the key components is the assistance to access needed health care services, which includes nursing, health screenings, in-home assessments, medication management, and home visits by doctors, when needed. Additionally, the program provides ancillary services such as transportation, shopping, financial management, housekeeping, personal care, support groups, and intergenerational activities, among many others.

Based on DFTA’s reports, from January 1, 2020 to December 31, 2020, the NYCHA NORC program provided services to nearly 11,034 active clients. In Fiscal Year 2020, DFTA awarded \$2,889,492 to 11 NYCHA NORCS- (Bronx 1, Brooklyn 3, Manhattan 6, Queens 1).

Coordination

NYCHA Re-Entry Program

The NYCHA Family Re-Entry Program (FRP) was launched in November 2013 and is designed to reunite formerly incarcerated individuals with their families in Public Housing and provide them the opportunity to be permanently added to the family composition. The goal of the FRP is to address admissions for individuals with a history of criminal justice involvement at a unique juncture and people who are close to release from incarceration, and thus in greatest need of supportive services to prevent recidivism and find stability.

Program participants are provided case management services geared to help them reunite with their families and build supportive social networks. After program completion, the participant can be added to the lease. One of the challenges faced by the program is providing these individuals with opportunities for obtaining economic stability that will enable them to maintain their viability. In order to meet this challenge, the Program is seeking to partner with Community-Based Organizations that have funding, or are in the process of obtaining funding, to provide services. NYCHA is also continuing to advocate for public and private funding for the program and/or our external partners. This new funding will give service providers and NYCHA the ability to enhance and expand services to more NYCHA families.

As of December 2020, the Family Re-Entry Program currently has 287 applications and has reunited 163 people with their families. Of those, 116 have completed the program, 35 have been added to the lease and less than 10 or 2% have had new convictions.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

HPD

The City of New York follows a balanced approach to advancing fair housing. The City makes substantial housing, infrastructure, and service investments in under-resourced neighborhoods and facilitates the construction and preservation of affordable housing opportunities in amenity-rich neighborhoods. Together, such investments are designed to empower New Yorkers with realistic choices to live in thriving, integrated neighborhoods and to ensure that no one is deprived of access to fundamental resources because of their race, ethnicity, disability, religion, or other protected characteristic.

In October 2020, the City released the *Where We Live NYC* plan, a blueprint to advance fair housing over the next five years. The plan is a culmination of a two-year process led by the Deputy Mayor's office, the New York City Department of Housing Preservation and Development (HPD), and the New York City Housing Authority (NYCHA), and involved more than 30 City agencies. *Where We Live NYC* is the City's five-year plan to break down barriers to opportunity and build more integrated, equitable neighborhoods, which includes crucial recovery efforts in response to the disproportionate impact the COVID-19 pandemic has had on low-income communities of color.

One crucial aspect of the balanced approach is the *Housing New York* plan, which has built on the previous year's successes by continuing to build and preserve affordable housing in areas of higher opportunity, and use the preservation and development of affordable housing, along with other investments, to help neighborhoods in need of revitalization and residents at risk of displacement. In high opportunity neighborhoods, land and other costs of development remain extremely high, presenting a challenge to providing affordable housing in these areas. The City's strategies for addressing that challenge leverage the strong private market to provide affordable housing through mandatory and voluntary inclusionary housing policies, tax incentives, and making regulations and regulatory processes more efficient and less costly.

In neighborhoods in need of revitalization, the City's investment strategy includes rehabilitating housing; offering economic incentives for housing developers/sponsors and businesses; securing financial resources to fund housing improvements, community facilities and services, and business opportunities in neighborhoods in need of revitalization; and preserving affordable housing when a community is being revitalized to promote integration. The City's prior CAPERs described some of the largest *Housing New York* initiatives that have helped to overcome impediments to fair housing choice, including the passage of Mandatory Inclusionary Housing (MIH), development of new financing programs to create mixed-income housing, and development of new preservation tools to keep buildings affordable. In 2020, the City continued to implement this work, and advanced new initiatives to address impediments to fair housing, including efforts to fight displacement due to economic pressures, promote mixed income housing and affordable homeownership, and educate residents and building owners about their rights and responsibilities under fair housing laws.

Over the past 20 years, housing costs in New York City have significantly outpaced income growth, contributing to a lack of housing choice and higher displacement risk. Even as some neighborhoods have become more integrated and poverty levels have decreased, low-income residents risk being priced out and forced to move to more segregated and higher poverty areas. To address this issue, the City is making a significant effort to fight displacement through a range of efforts. HPD's preservation work directly combats displacement by securing affordability agreements for existing affordable housing at risk of going "market rate," counteracting the enormous economic pressure on existing affordable housing that threatens to displace low-income residents. In areas where the City supports the development of new affordable housing, the City works to preserve nearby affordable housing. In fiscal year 2020, the City preserved 23,414 affordable apartments, out of a total 121,383 affordable apartments preserved since the inception of the *Housing New York* plan. The City has continued implementation and expansion of several important anti-harassment measures such as the Certification of No Harassment (CONH) program, Speculation Watchlist, and Universal Access to Counsel.

CCHR/HPD

The New York City Commission on Human Rights (CCHR) is the agency responsible for enforcing New York City's anti-discrimination and anti-harassment law, the New York City Human Rights Law (NYCHRL), the most comprehensive local human rights law in the country. The mission of CCHR complements HPD's dedication to curbing housing discrimination.

The NYCHRL prohibits housing discrimination based on age, color, disability, gender, gender identity, immigration status, lawful occupation, lawful source of income (including housing subsidies), marital or partnership status, military service, national origin, pregnancy, presence of children in the home, race, religion/creed, sexual orientation, and status as victim of domestic violence, sexual violence, or stalking. As of October 2018, the NYCHRL also provides a distinct legal claim against housing providers who fail to engage with residents with disabilities in a cooperative dialogue about their need for a reasonable accommodation. Because the NYCHRL is inclusive of the protected categories covered by the federal Fair Housing Act, the agencies' coordinated efforts are compliant with HPD's federally-mandated obligation to promote fair housing.

HPD and CCHR maintain a website that promotes awareness of fair housing practices and enforcement. The Fair Housing NYC website provides the public with a broad range of fair housing-related content and referral services, including summaries of relevant laws, examples of discriminatory policies and practices, and links to CCHR and HPD resources. The site can be accessed at: <http://www.nyc.gov/html/fhny/html/home/home.shtml>.

Community Outreach as part of *Where We Live NYC*

Where We Live NYC is an inclusive, comprehensive, collaborative planning process to build the next chapter of fair housing policy for New York City. As part of this process, the City engaged in extensive analysis and public participation to better understand how fair housing challenges like segregation, discrimination, and access to thriving neighborhoods impact New Yorkers' lives and how the City can eliminate barriers that currently impede fair housing.

Over the course of the *Where We Live NYC* planning process, outreach included the creation of a Fair Housing Stakeholder Group of more than 150 advocates, service providers, housing developers, researchers, and community leaders who were invited to participate in each part of the *Where We Live NYC* process, from understanding existing fair housing conditions to brainstorming goals and strategies.

The planning process also included Community Conversations to learn directly from more than 700 residents across the five boroughs through 60 focus-group-style conversations in 15 different languages, hosted in partnership with community-based organizations. These events included educational information on fair housing rights and resources on how to file complaints.

NYCHA's Responses to Allegations of Housing Discrimination

NYCHA's Department of Equal Opportunity (DEO) investigates residents' or applicants' housing discrimination complaints internally and recommends corrective or conciliatory action where necessary. NYCHA DEO also investigates applicants' claims of denial of eligibility based on disability. In 2020, NYCHA received 26 new complaints and opened 15 Fair Housing investigations. NYCHA DEO closed 35 matters during 2020. NYCHA DEO reviewed no housing eligibility disability matters, as referenced below.

Total number of housing discrimination investigations opened by DEO in 2020: **15**

Figure 4 - Complaint Basis - Number of Cases

Complaint Basis	Number of Complaints
Disability	1
Other	1
Race	3
Race, Gender	1
Religion	1
Sexual Harassment	8
Grand Total	15

Total number of probable cause determinations: 0

No applicants who were determined ineligible for public housing asserted the denial was based on disability between January 1, 2020 and December 31, 2020. The number of applications where ineligibility determination was revoked by DEO: 0.

NYCHA's Non-Discrimination Training

Fair Housing Programs Within the New York City Housing Authority

NYCHA is committed to providing equal housing opportunities for all qualified residents and applicants and prohibits unlawful discrimination.

NYCHA's Non-discrimination Training

DEO also conducts mandatory training for NYCHA employees on non-discrimination policies, including equal employment opportunity; sexual harassment; fair housing non-discrimination; and reasonable accommodation. NYCHA posts its Fair Housing Non- Discrimination Policy conspicuously at development management offices, community centers, hearing rooms and public places where NYCHA serves residents and applicants. In 2020 NYCHA DEO trained 444 new employees on our EEO, Fair Housing, and Sexual Harassment Prevention Policies at New Orientation Training and over 159 additional employees with refreshers in various areas.

NYCHA's Services for People with Disabilities

Through the DEO Services for People with Disabilities Unit (SPD), NYCHA will continue to strengthen its relationships with advocates and organizations that assist people with disabilities. SPD provides assistance and information to applicants and residents with disabilities to assist them in obtaining decent, affordable and accessible housing, and assists in processing reasonable accommodation requests of NYCHA residents with disabilities. In 2019, SPD responded to or assisted with 329 reasonable accommodation matters from residents, applicants, Section 8 voucher holders and/or their respective advocates. The SPD also handled approximately 2,330 housing related matters from clients who either telephoned or were walk-ins. Housing applicants, residents, Section 8 voucher holders and others in need of assistance with disability issues may call the “Hotline” at (212) 306-4652 or TTY at (212) 306-4845.

NYCHA applicants, residents and Section 8 voucher holders with a disability may request reasonable accommodations to participate in NYCHA’s programs, services, or activities. Residents may contact their property management office Monday through Friday from 8:30AM to 4:30PM, or the NYCHA SPD at 212-306-4652, or TTY telephone at 212-306-4845, Monday through Friday, from 8:30AM to 5:00PM.

NYCHA property management staff at these locations provides assistance to facilitate reasonable accommodation requests. Section 8 Participants and Applicants for Public Housing may contact NYCHA’s Customer Contact Center at (718) 707-7771, or local NYCHA Walk-In Centers offices in the Bronx and Brooklyn New York, or NYCHA’s SPD, or TTY telephone at 212-306-4845, Monday through Friday, from 8:30AM to 5:00PM for reasonable accommodation assistance.

NYCHA'S Services for Homeless Families

In 2014, NYCHA reinstated the highest housing priority for homeless families in NYC Department of Homeless Services (DHS) shelters, upgrading their need-based priority from N-4 to N-0 (the highest Need-Based priority). NYCHA also gives preference to DHS homeless families on the NYCHA Working Family list for public housing apartments available for new rentals. NYCHA’s plan not only addresses local housing needs but is also in line with the national objectives of using existing housing assistance programs as an essential part of achieving former President Obama’s goals of the 2010 Federal Strategic Plan to End Homelessness. In further support of the Mayor’s Housing Plan, NYCHA also connected homeless families holding top priority on the Section 8 waiting list with available Section 8 project-based units in the Authority’s 19 LLC developments. NYCHA will continue to prioritize homeless individuals for the Section 8 wait list.

NYCHA Assisting LEP Persons

NYCHA assists individuals with limited English proficiency (LEP) in accordance with the United States Department of Housing and Urban Development’s “Final Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient (“LEP”) Persons,” published in the Federal Register at 72 FR 2732 (January 22, 2007).

NYCHA’s Language Services Unit (LSU) consists of two interpreters for each of the following languages: Spanish, Chinese, and Russian. LSU also administers a Language Bank, with over 120 NYCHA employee volunteers who speak 34 languages, to provide language services to LEP individuals. In addition, LSU

continuously assesses NYCHA's language assistance needs, and monitors NYCHA's language delivery assistance services in conjunction with its programs and services for residents, applicants, and Section 8 voucher holders. LSU also makes recommendations for modifications to NYCHA's language services-related activities, as appropriate.

NYCHA translates vital documents into the languages it most frequently encounters (Spanish, Russian, Chinese Simplified, and Chinese Traditional). NYCHA posts various translated documents on its public-facing website, and all the information on its website can be translated via the "Translate this Page" feature.

NYCHA's Language Assistance Services Hotline enables NYCHA Property Management staff to arrange for immediate *over-the-phone* interpretation services from a third-party language vendor. This has improved customer service for LEP residents; reduced wait times for over-the phone interpretations; improved tracking and reporting for language services; and streamlined the delivery of language assistance.

NYCHA Hurricane Sandy Recovery Efforts

New York City Housing Authority Program

In December 2015, NYCHA gained access to \$3 billion, the largest single grant in Federal Emergency Management Agency (FEMA) history. Since then, NYCHA has been moving full steam ahead to complete the critical Recovery and Resilience projects. As of Q4 2020, seven developments have had major Sandy Recovery Projects substantially completed covering 35 residential buildings. NYCHA has awarded all major contracts related to Sandy Recovery and spent over \$2.2 billion. Every day, NYCHA is making tremendous strides to ensure its developments are protected in the face of climate change and that projects are progressing as quickly as possible. As of the end of 2020, NYCHA had active construction benefitting 246 buildings. NYCHA anticipates completing all Sandy related construction in 2023.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

ESG Monitoring Activities

The NYC Department of Social Services (DSS), incorporating HRA and DHS, receives ESG funds to engage unsheltered individuals living on the street, to operate and provide essential services to residents in emergency shelters serving adults without minor children, and to help prevent homelessness. ESG grant allocations and funding priorities related to ESG under the Consolidated Plan are managed by the Federal Homeless Policy and Reporting unit (FHPR) within the Office of Research and Policy Innovation (ORPI), within NYC DSS.

- FHPR is responsible for submitting the ESG section of the NYC Consolidated Plan (Con Plan) and all related plans and reports.
- To develop the upcoming AAP report, FHPR reaches out to each DSS/HRA and DHS Division receiving ESG funds on at least an annual basis to discuss program budgets, eligible ESG activities and documentation requirements.
- FHPR meets with each program area (DHS Emergency Shelter, DHS Street Outreach, HRA Prevention, and DSS ITS/Technology) to discuss any requested, or required, programmatic investment changes for the up-coming Annual Action Plan year.
- FHPR works with Programs and DSS Budget/Finance to finalize the ESG Budget and ensure all necessary actions are taken to fully draw down on the funds for eligible activities.
- FHPR provides DHS and HRA Programs with any performance related information used in Federal planning and reporting.

In accordance with practices described in the Con Plan AAP, DSS Finance is responsible for the fiscal administration of the ESG grant. DSS Finance submits in IDIS the drawdowns for revenue associated with eligible ESG expenses in the activities/contracts identified. ESG expenditures and claims are all subject to the appropriate internal controls as governed by Directive One. Beginning in 2018 and on a quarterly basis, Finance has provided FHPR and program areas with updates on grant expenditures and IDIS drawdowns.

The DHS and HRA program area receiving the ESG dollars coordinates any necessary corrective action planning with specific ESG-funded programs. NYC CoC HMIS-derived performance data is shared with Programs as needed.

The FHPR unit meets with the relevant program divisions and DSS finance on a quarterly basis. These meetings focus on program performance reports from the NYC CoC HMIS system and the spending/drawdown reports generated by Finance.

DSS utilizes HUD's standard performance measures to evaluate ESG funded provider performance. DSS shares this framework with the Continuum of Care Steering Committee and reviews periodically with the

NYC CoC Data Management Committee.

DSS/HRA and DHS utilize HMIS as a data source to monitor performance through the following indicators:

- Number of homeless individuals/households served
- Number of successful placements of individuals/households into permanent housing
- Length of time person remains homeless

HOME Monitoring Activities

Annual Owner Certification and Site Visit/File Review to assure records properly collected and retained:

HPD requires each owner of a HOME-assisted property to submit an Annual Owner Certification (together with a rent roll and other supporting documentation) to confirm that their projects are in compliance with all applicable program restrictions. HOME units are required to have physical unit inspections. Further, each year the agency visits the offices of the owners of selected HOME properties to perform a detailed review of tenant files to assure records are properly collected and retained and that tenants meet HOME funding requirements and are charged rents that are within the prescribed limits. These site visits generally are performed in the first year after a project is “placed in service” and every third year thereafter; however, site visits may be more frequent when new information is discovered that demands our investigation. The agency conducts these site visits throughout the compliance period under the owner’s HOME Written Agreement to ensure that the owner continues to properly collect and retain all required documentation.

Suspicion of Fraud:

HPD works closely with the New York City Department of Investigation (DOI) to address fraud, including any fraud involving HOME Program funds. If the agency suspects fraud, then a further review is conducted by agency staff and, if appropriate in accordance with local legal requirements, a referral is made to DOI. The Marketing Guidelines require that the Developers use certain forms during all tenant selection processes. These forms include: IRS Form 4506 Request for Copy of Tax Return; IRS Form 4506-T Request for Copy of Tax Return Transcript; NYS DTF-505 Form Request for Copy of State Tax Return; and an Authorization to Release Information form. If an applicant file contains inconsistent information, these forms are used by agency staff and DOI in order to clarify the information or to determine if any fraud exists. On occasion, DOI has referred matters to appropriate prosecutors’ offices. Furthermore, Developers are made aware that they must forward any suspicious information directly to the agency and/or DOI. Lastly, if any inconsistent or suspicious information is brought to the agency’s attention regarding a Developer and or its agent, the matter is referred to DOI for further investigation.

CDBG Monitoring Activities

In 2020, the NYC Office of Management and Budget's (OMB) Community Development (CD) Unit conducted the following monitoring activities in compliance with its responsibilities under 2 CFR §200.331:

Maintenance and Operation of Tax-Foreclosed Housing (MOTH)

The CD Unit completed a monitoring of the Department of Housing Preservation and Development (HPD) that began in 2016 and focused on ensuring all sites benefitting from the MOTH program were residential tax-foreclosed properties. The monitoring identified two Findings related to assisting properties that had not been tax-foreclosed or were not residential. The CD Unit directed HPD to reimburse the CDBG program \$283,250.42 for ineligible charges. Because these charges were paid in closed City fiscal years, the CD Unit is currently working with the OMB Accounting Services Unit to determine the appropriate method for the CDBG program to be reimbursed.

The CD Unit implemented new processes as a result of the monitoring. Starting in 2019, HPD must submit bi-annual lists of Third Party Transfer buildings that received CD-funded fuel and utilities and vacant tax-foreclosed properties that were sealed. Charges for sites that are not located in CD-eligible areas are moved to City tax levy before the City fiscal year is closed.

Neighborhood Preservation Consultants (NPC)

The CD Unit also began and completed a monitoring of the NPC program, which was scheduled to end on June 30, 2020. The CD Unit monitored the program to ensure expenditures were CD-eligible prior to program closeout. The monitoring identified charges that were either ineligible (e.g., provided outside approved service areas) or unsupported (e.g., providers did not maintain timesheets, failed to demonstrate they met their contracted benchmarks). As a result, all CD funds that were expended on the program between CFYs 2017 and 2020 were disallowed. Similar to the MOTH monitoring, the City was still in the process of completing the reimbursement as of December 31, 2020.

Metropolitan Council on Jewish Poverty Food Pantry

The CD Unit concluded its monitoring of the food pantry, which included review of the reimbursement process, client and cost eligibility documentation, and the Department of Youth and Community Development's oversight of the contract registration process. The CD Unit took a particularly close look at the voucher and reimbursement process through review of extensive backup documentation. All relevant parties provided the necessary information and the CD Unit issued a draft report in December 2019. In the interim, the organization had significant turnover and implemented new systems and processes. The CD Unit will use the findings from the draft report while working with the new Met Council staff to ensure that compliance with the CDBG requirements is built into their policies and procedures.

Project Open House

The CD Unit also completed its monitoring of Project Open House, which is operated by the Mayor's Office for People with Disabilities (MOPD) with the assistance of HPD. The monitoring focused on client eligibility, program efficiency, adequacy of MOPD's and HPD's policies and procedures, and procurement. As the monitoring was nearing completion, other issues came to light, such as the program's compliance with HUD's lead-based paint requirements. Rather than issue a monitoring report, the CD Unit worked with MOPD and HPD to overhaul the program including revised grant agreements and environmental review

forms, a quarterly tracking process, and a new policies and procedures document. During this time, the program did not award any new grants. The City expects the program to issue new grants in 2021 with these improvements in place.

Safe Horizon

The CD Unit also completed a monitoring of the Mayor's Office of Criminal Justice's (MOCJ) contract with Safe Horizon. The monitoring included a review of the organization's procurement and financial policies, the program's Court-Based service's invoicing process, the domestic violence hotline's translation services, as well as OTPS and PS costs. The monitoring also included a review of MOCJ's efforts to review invoices and validate program spending. The CD Unit issued a final monitoring report, which included two Findings, in December 2020. Safe Horizon, MOCJ, and the CD Unit agreed to a corrective action plan that has since been implemented.

Minipools

The CD Unit began a desk review monitoring of the Minipools program, which is run by NYC Parks and Recreation. The monitoring is focused on the program's City Fiscal Year 2019 expenditures to ensure that CD funds were spent on eligible activities. The monitoring is in progress and is expected to be completed in 2021.

On-going Monitoring

- **Equipment Management:** The CD Unit typically performs two equipment use and tracking reviews each summer. The purpose of these visits is to ensure CD-funded equipment is properly labeled and located where each program's equipment listing (called a Property Register) indicates. Due to the COVID-19 pandemic, these reviews were not possible in 2020. The CD Unit expects such site visits to resume in late 2021 or the summer of 2022.
- **Duties of CD-funded Personnel:** The CD Unit also reviews agencies' requests to hire staff throughout the year as an ongoing monitoring activity. The Unit is electronically notified every time an agency requests to fill a CD-funded position. If the CD Unit determines that a position is not CD-eligible, it will not approve the hire. The requesting agency must then either use another funding source for the position or make necessary adjustments to the position's duties. Agencies are also instructed to correct positions that are CD-eligible but are not being charged to the appropriate eligibility category or national objective. In early 2020, the City implemented a strict hiring freeze due to the COVID-19 pandemic. As a result, the CD Unit did not receive hiring requests for most of the year.

HOPWA Monitoring Activities

DOHMH staff monitors HOPWA project sponsors' performance and spending compliance on a monthly basis. Technical assistance is routinely delivered to address programmatic and/or fiscal challenges. On a quarterly basis, DOHMH staff convenes a review of all HOPWA contracts to determine if there are new or emerging trends or common challenges experienced among project sponsors that should prompt additional training and technical assistance. As necessary, DOHMH seeks technical assistance from Collaborative Solutions and the Cloudburst Group.

Due to the COVID-19 pandemic, DOHMH paused all on-site program, fiscal and habitability monitoring visits. During 2020, DOHMH staff provided monthly support to HOPWA Project Sponsors via telephone or

Zoom, and focused on enhancing online systems to support virtual monitoring efforts that allow DOHMH staff to review program and fiscal documentation as needed using a secure online portal. The launch of the portal is set to take place in 2021.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The City notified the public of the Consolidated Plan Annual Performance and Evaluation Report (CAPER) for review, utilizing multiple notification methods to announce the public comment period. Over 500 notifications were emailed and about 2,000 letters were sent to New York City residents, organizations and public officials inviting their review and comments on the report. In addition, notices were published in three local newspapers: an English-, a Spanish-, and a Chinese-language daily, each with citywide circulation. Furthermore, the notice was posted on the Department of City Planning and NYC Office of Management and Budget websites.

A dedicated email address is provided that allow citizens to provide comments. Publication of the 2020 CAPER is posted on DCP's Consolidated Plan webpage that can link the document to the language translation tool.

The 15-day public comment period began September 23, 2021 and ended October 7, 2021. The public was instructed to submit their written comments on the 2020 Consolidated Plan Annual Performance and Evaluation Report close of business, October 7, 2021 to: Lisa Rambaran, New York City Consolidated Plan Program Manager, Mayor's Office of Operations, 253 Broadway 10th Floor, New York, New York 10007, email: Con-PlanNYC@planning.nyc.gov.

The Department of City Planning posted the Proposed 2020 Performance Report on the Department's website and may be accessed at: <http://www.nyc.gov/planning>.

Comments received will be summarized and agencies' responses incorporated into the version submitted to HUD.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

The City made several programmatic changes in 2020, mostly related to the COVID-19 pandemic.

Coronavirus’s impact on NYC was swift and unprecedented. For example, within six weeks of Mayor de Blasio declaring a state of emergency, the number of NYC residents filing for unemployment had increased 1,893% over the same six-week period in 2019, the entire public school system switched to remote learning, and approximately one-third of the City’s food banks were forced to close as demand for free or affordable food exploded.

As part of the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), the City received \$224,363,433 in supplemental CDBG funds (called CDBG-CV) to prevent, prepare for, and respond to COVID-19. Additionally, to fund urgent coronavirus-related activities, the City reallocated \$146,366,000 in prior-year funds from four existing programs:

Program Cancellations

- The Accessibility Improvements in City Schools program's 2019 allocation was reduced by \$66,241,000. The City will use future alternate funding sources to make the required accessibility improvements.
- The Shelter Modernization Program's 2019 allocation was reduced by the entire \$307,000.

Budget Reductions

- The Emergency Repair Program's 2019 allocation was reduced from \$42,480,000 to \$12,214,000, a reduction of \$30,266,000.
- NYCHA's Public Housing Rehabilitation Program's 2019 program allocation was reduced from \$50,647,000 to \$1,095,000, a reduction of \$49,552,000.

Although the Emergency Repair and Public Housing Rehabilitation programs’ 2019 CDBG budgets have been reduced, the City proposed an amendment to the 2018 Consolidated Plan to reallocate funds *within* the 2018 program year to ensure these programs will remain fully operational (to the extent possible within COVID-19 health and safety restrictions).

These changes were made for the following reasons:

1. The City opted to redirect funds from programs with longer spending timelines to the immediate need for a COVID-19 response; and
2. Through the CARES Act, Congress waived the 15 percent Public Service cap for both the CDBG-CV and 2019 CDBG Entitlement allocations. All four changes reallocated funds from rehabilitation-related programs to COVID-focused Public Service activities.

Between CDBG-CV and prior-year funds, the City directed \$370,729,433 of CDBG funds to the following activities:

- The DOE Remote Learning Program provided students with resources to access remote classes, educational materials, and educators and peers while school buildings are closed or in limited use. Allocation: \$189,741,366.
- Connected NYCHA: Older Adults provided internet-enabled tablets, support, and training to 10,000 senior NYCHA residents to combat social isolation, access critical information, and remain engaged with services/resources during the COVID-19 emergency. Allocation: \$4,420,567.
- The GetCool Program provided air conditioner units and installation to older adults to mitigate prolonged exposure to extreme heat during stay-at-home orders. Allocation: \$33,210,000.
- The Pandemic Food Reserve Emergency Distribution (P-FRED) program allowed the City to increase its ability to purchase and distribute food to match the increased demand caused by the pandemic. Additionally, the program provided capacity building grants to food pantries participating in the program and upgraded apps used to monitor and facilitate food distribution. Allocation: \$50,000,000.
- The Test and Trace Resource Navigators Program helped quarantined residents access necessities while they were unable to leave the home. Allocation: \$29,400,000.
- The COVID-19 Technical Support Program provided administrative support to CDBG-eligible activities that responded to the pandemic. Allocation: \$600,000.
- The DOE Community Meals Program offers free daily meals to households experiencing food insecurity. Allocation: \$57,727,500.
- DOE Reopening Costs program ensures that safe air ventilation is maintained for children and staff at educational facilities. Allocation: \$5,630,000.

Finally, the Minipools program did not operate during the 2020 season due to concerns about spreading COVID-19. The City reallocated the program's 2020 allocation of \$566,000 as follows:

- \$250,000 was allocated to the Project Open House program to perform lead-based paint assessments and remediation at several sites.
- \$50,000 was allocated to the Landmarks Preservation Commission (LPC) Planning program to photograph and otherwise record historically significant properties that pose a threat to the surrounding community and are expected to be demolished.
- \$266,000 would be allocated to the CDBG Administration program to cover indirect costs and fringe benefits attributable to CDBG-funded staff.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

N/A

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

During 2020 there were 527 HOME projects under compliance monitoring. The projects included 1,699 buildings containing 17,473 HOME units. Due to the COVID-19 pandemic, no physical (HQS) inspections were conducted in calendar year 2020. The deadline to perform 2020 HQS inspections for these units was extended to 9/30/2021.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

The NYC Department of Housing Preservation and Development (HPD), in conjunction with the New York City Continuum of Care (NYC CoC) rents up permanent supportive housing (PSH) units, some of which are partially funded with HOME, through a Coordinated Entry (CE) process. PSH providers receiving HOME funds must follow the Policies and Procedures of NYC's CE process, called Coordinated Assessment and Placement System (CAPS). CAPS ensures eligible applicants are prioritized based on a standardized assessment and vulnerability index. PSH projects must follow a housing-first, low threshold intake process that does not screen out potential tenants for income, criminal justice involvement, substance use disorder, lack of adherence to mental health treatment and PSH providers must maintain satisfactory records evidencing adherence to these policies. All other HOME-funded units either follow the CE process or are subject to the HPD Marketing Handbook and its associated affirmative marketing requirements.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

HPD utilized program income on one project in 2020. The project is a supportive senior housing development located in the Bronx. It is comprised of 176 units, 13 of which will be HOME assisted, upon completion.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

The housing related activities within the Consolidated Plan support the broader housing strategy articulated in the Mayor's Housing New York, and Housing New York 2.0 plan, which expanded the housing plan to 300,000 units by 2026. At the close of New York City's 2020 Fiscal Year, the Housing Plan had financed nearly 180,000 affordable apartments and homes for New Yorkers. In 2020, the various New York City agencies that administer the City's federally-funded Consolidated Plan housing and supportive housing programs continued to work toward the Mayor's Housing Plan objectives and goals.

During the 2020 funding grant year, we utilized approximately \$466,255,262 in local capital funds, along with our HOME allocation and tax credit and bond authority to support new construction efforts including

permanent supportive housing, senior housing, multifamily rental housing serving a wide variety of income levels, 1-4 family rental buildings, and down-payment assistance for qualified low-income homebuyers. In addition to new construction, the preservation of existing affordable units is a key priority of the Mayor's Housing Plan. The City's preservation strategies include enforcement of the Housing Maintenance Code, outreach to owners about how to proactively address maintenance and financial challenges, and providing local financing and tax exemptions to rehabilitate properties in return for a regulatory agreement that guarantees long-term affordability.

HPD's 2020 utilization decreased roughly \$1 billion from 2019. This is attributable to the outbreak of the COVID-19 pandemic, which resulted in an unprecedented downturn in economic activity across the globe. Specifically as it relates to HPD, the Mayor's Office of Management and Budget (OMB) placed a citywide freeze on capital expenditures roughly between April 2020 and August 2020. HPD was allowed to resume its capital program beginning in the fall, but on a limited basis in accordance with the City's broader cash flow projections.

CR-55 - HOPWA 91.520(e)

Identify the number of individuals assisted and the types of assistance provided

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance payments	10	15
Tenant-based rental assistance	385	402
Units provided in transitional housing facilities developed, leased, or operated with HOPWA funds	2,387	2,469
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	0
Total		

Table 13 – HOPWA Number of Households Served

Narrative

As the designated grantee for the New York City Eligible Metropolitan Statistical Area (EMSA), the New York City Department of Health and Mental Hygiene's (DOHMH) administers, coordinates and executes the HUD HOPWA formula grant. The EMSA is comprised of the five boroughs of the City of New York together with Westchester, Orange and Rockland Counties in the Lower Hudson Valley and Middlesex, Monmouth and Ocean Counties in New Jersey. The Division of Disease Control works with these six counties and eligible localities therein to plan and evaluate their use of HOPWA funds and to ensure the consistency of their efforts with those in the rest of the EMSA.

In calendar year 2020, DOHMH administered the HOPWA grant to serve the NYC EMSA with permanent housing facilities, permanent housing placement assistance, tenant-based rental assistance (TBRA), short-term rental, mortgage and utility (STRMU) assistance and supportive services.

In the permanent housing facilities category, HOPWA funds assisted 2,469 households with permanent supportive housing. HOPWA funds were also used to provide housing information and permanent housing placement services. In 2020, 393 households benefited from housing information services and additional funds were used to assist 172 households secure permanent housing placement (**data not reflected in the table above).

Within the TBRA and STRMU categories, 402 households were served with TBRA services in 2020, and STRMU funds increased housing stability for 15 households, helping these households avoid homelessness.

*Since the IDIS CR-55 HOPWA table does not reflect all of the HOPWA Housing Subsidy Assistance categories such as permanent housing placement services and housing information services nor account for duplication, the total number of households served above (i.e., 2,898) does not match the total of 3,150 reported in the HOPWA 2020 Consolidated Annual Performance and Evaluation Report (HOPWA 2020 CAPER).

To address this, please refer to the attached CR-55 HOPWA table, which provides all of these outcomes and is consistent with the data reported in the HOPWA 2020 Consolidated Annual Performance and Evaluation Report (HOPWA 2020 CAPER).

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households			
		HOPWA Assistance		Leveraged Households	
		a.	b.	c.	d.
		Goal	Actual	Goal	Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households			
1.	Tenant-Based Rental Assistance	385	402	0	18,293
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	2,387	2,469		3,136
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served)				771
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)				
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)				
4.	Short-Term Rent, Mortgage and Utility Assistance	10	15		
5.	Permanent Housing Placement Services	220	172		
6.	Adjustments for duplication (subtract)		33		
7.	Total HOPWA Housing Subsidy Assistance (Columns a – d equal the sum of Rows 1-5 minus Row 6; Columns e and f equal the sum of Rows 1-5)	3,002	3,025		22,200

Figure 5 – HOPWA Performance

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	NEW YORK CITY
Organizational DUNS Number	140135505
EIN/TIN Number	136400434
Identify the Field Office	NEW YORK
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

ESG Contact Name

Prefix	Ms
First Name	Martha
Middle Name	0
Last Name	Kenton
Suffix	0
Title	Executive Director, Federal Homeless Policy

ESG Contact Address

Street Address 1	NYC Dept of Homeless Services
Street Address 2	4 World Trade Center, 31st Floor
City	New York
State	NY
ZIP Code	10004-
Phone Number	9292216183
Extension	0
Fax Number	0
Email Address	kentonm@dss.nyc.gov

ESG Secondary Contact

Prefix	Ms
First Name	Kristen
Last Name	Mitchell
Suffix	0
Title	Associate Commissioner
Phone Number	9292216227
Extension	0

Email Address

mitchellkr@dss.nyc.gov

2. Reporting Period—All Recipients Complete

Program Year Start Date

01/01/2020

Program Year End Date

12/31/2020

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name

City

State

Zip Code

DUNS Number

Is subrecipient a victim services provider

Subrecipient Organization Type

ESG Subgrant or Contract Award Amount

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units – Rehabbed	0
Number of New Units – Conversion	0
Total Number of bed - nights available	1,289,473
Total Number of bed - nights provided	1,122,673
Capacity Utilization	87%

Table 14 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

DSS is the Collaborative Applicant for the NYC Continuum of Care (CoC). As the ESG recipient, DSS is also able to coordinate extensively with NYC’s CoC and the Consolidated Plan jurisdiction. Efforts are made around shared goals, listed in NYC’s five year Con Plan, which are consistent with the CoC’s strategic plan: investing in proven strategies to reduce the number of homeless individuals on the streets; preventing those families and individuals at risk of homelessness from entering shelter; and ensuring that shelter is a short-term solution to a housing crisis by rapidly re-housing homeless persons. It aims to end homelessness, with an emphasis on chronic and veteran homelessness. The alignment of the Con Plan goals and the CoC’s strategic plan has led to coordinated efforts within the jurisdiction to address the needs of homeless persons and those at risk of homelessness.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	2,436,945	1,452,220	1,295,517
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	2,436,945	1,452,220	1,295,517

Table 15 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	0	0

Table 16 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Essential Services	7,441,652	7,116,396	6,265,041
Operations	0	0	0
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	7,441,652	7,116,396	6,265,041

Table 17 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Street Outreach	733,892	668,151	642,644
HMIS	1,700,010	188,125	1,563,390
Administration	962,838	453,431	0

Table 18 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2018	2019	2020
	13,275,337	9,878,323	9,766,592

Table 19 - Total ESG Funds Expended

11f. Match Source

	2018	2019	2020
Other Non-ESG HUD Funds			
Other Federal Funds			
State Government			
Local Government	13,275,337	9,878,323	9,766,592
Private Funds			
Other			
Fees			
Program Income			
Total Match Amount	13,275,337	9,878,323	9,766,592

Table 20 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2018	2019	2020
	26,550,674	19,756,646	19,533,184

Table 21 - Total Amount of Funds Expended on ESG Activities



Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing and Urban Development

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
NYC Dept of Housing Preservation and Dev
100 Gold Street, New York, NY 10038
13-6400434

Reporting Entity
NYC Dept of Housing Preservation and Dev
100 Gold Street, New York, NY 10038

Dollar Amount	\$77,061,995.00
Contact Person	Julie Freeman
Date Report Submitted	08/25/2021

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/20	12/31/20	CDB1	Community Devel Block Grants

Part I: Employment and Training

Job Category	Number of New Hires	Number of New Hires that Are Sec. 3 Residents	Aggregate Number of Staff Hours Worked	Total Staff Hours for Section 3 Employees	Number of Section 3 Trainees
Officials and Managers	32	3	0	0	8
Clerical	11	2	0	0	25
Professional	151	9	0	0	14
Technician	1	0	0	0	1
Laborers (Unskilled)	16	2	0	0	3
College Graduate Assistant Project Manager	2	0	0	0	0
EPA Lead Inspector	9	0	0	0	0
Craft Workers (Skilled)	0	0	0	0	2
Service Workers	0	0	0	0	4
Sales	0	0	0	0	2
Operatives	0	0	0	0	1

Total New Hires	222
Section 3 New Hires	16
Percent Section 3 New Hires	7.2%
Total Section 3 Trainees	60
The minimum numerical goal for Section 3 new hires is 30%.	

Part II: Contracts Awarded

Construction Contracts	
Total dollar amount of construction contracts awarded	\$9,479,462.00
Total dollar amount of contracts awarded to Section 3 businesses	\$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	0%
Total number of Section 3 businesses receiving construction contracts	0
The minimum numerical goal for Section 3 construction opportunities is 10%.	

Non-Construction Contracts	
Total dollar amount of all non-construction contracts awarded	\$14,347,398.00
Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$3,000,000.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	20.9%
Total number of Section 3 businesses receiving non-construction contracts	1
The minimum numerical goal for Section 3 non-construction opportunities is 3%.	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing.	
Yes	Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
Yes	Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
Yes	Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
No	Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
No	Other; describe below.

The City posts job notices on its website. Job notices are also available at the NYC Department for Citywide Administrative Services website and at public bulletin boards throughout the City.

The City has posted a HUD Section 3 webpage at the NYC Department of Housing Preservation and Development website. The webpage contains an explanation of the regulations, the forms to be utilized by entities subject to Section 3 regulations, the Business Concern application, a directory of Business Concerns and a listing of employment/training referral sources.

HPD has partnered with the NYC Department of Small Business Services (DSBS) to provide the employment and training services of DSBSs Workforce1 Centers. HireNYC is a free program designed to help New Yorkers access training and jobs through the City's purchases and investments. Under HireNYC, DSBS Workforce1 provides high-quality recruitment services to employers and high-quality employment services to jobseekers. The program is featured on HPD's Section 3 webpage and in factsheets provided to all prime and subcontractors that attend its preaward conferences.

All of NYCHAs job postings include the message, NYCHA residents are encouraged to apply. Additionally, NYCHA Departments are encouraged to work with NYCHAs Office of Resident Economic Empowerment & Sustainability (REES) to source NYCHA residents for their open job opportunities. REES distributes a bi-weekly e-newsletter which reaches all NYCHA residents who have an email on file and routinely features employment opportunities at government agencies and upcoming civil service exams. Lastly, to support and expand resident access to quality job training and employment NYCHA staff across various departments meet bi-monthly to discuss progress on the NYCHA Resident Training Academy and to plan for new training and employment opportunities which will lead to employment at NYCHA.

The City has also hired a Section 3 Coordinator located in DSBS that will facilitate stronger relationships with Section 3 business concerns, Workforce1 Centers, Section 3 workers, and New York City agencies.



Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing and Urban Development

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
NYC Dept of Housing Preservation and Dev
100 Gold Street, New York, NY 10038
13-6400434

Reporting Entity
NYC Dept of Housing Preservation and Dev
100 Gold Street, New York, NY 10038

Dollar Amount	\$47,765,255.26
Contact Person	Nicole Garrett
Date Report Submitted	08/25/2021

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/20	12/31/20	HOME	HOME Program

Part I: Employment and Training

Job Category	Number of New Hires	Number of New Hires that Are Sec. 3 Residents	Aggregate Number of Staff Hours Worked	Total Staff Hours for Section 3 Employees	Number of Section 3 Trainees
Other	54	2	0	0	11
Technicians	6	2	0	0	2
Clerical	7	3	0	0	0
Operatives (semiskilled)	5	0	0	0	8
Laborers (unskilled)	160	27	0	0	57
Craft Workers (Skilled)	169	30	0	0	112

Total New Hires	401
Section 3 New Hires	64
Percent Section 3 New Hires	15.96%
Total Section 3 Trainees	190
The minimum numerical goal for Section 3 new hires is 30%.	

Part II: Contracts Awarded

Construction Contracts	
Total dollar amount of construction contracts awarded	\$423,736,263.00
Total dollar amount of contracts awarded to Section 3 businesses	\$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	0%
Total number of Section 3 businesses receiving construction contracts	0
The minimum numerical goal for Section 3 construction opportunities is 10%.	

Non-Construction Contracts	
Total dollar amount of all non-construction contracts awarded	\$0.00
Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	N/A
Total number of Section 3 businesses receiving non-construction contracts	0
The minimum numerical goal for Section 3 non-construction opportunities is 3%.	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing.

Yes	Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
Yes	Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
Yes	Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
No	Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
No	Other; describe below.

HPD, in line with the policy of the City of New York, posts job notices on its website. Job notices are also available at the NYC Dept. for Citywide Administrative Services website and at public bulletin boards throughout the City.

HPD has posted a HUD Section 3 webpage at the NYC/HPD website. The webpage contains an explanation of the regulations, the forms to be utilized by entities subject to Section 3 regulations, the Business Concern application, a directory of Business Concerns and a listing of employment /training referral sources.

HPD has partnered with the NYC Department of Small Business Services (DSBS) to provide the employment and training services of DSBSs Workforce1 Centers. HireNYC is a free program designed to help New Yorkers access training and jobs through the City's purchases and investments. Under HireNYC, the NYC Department of Small Business Services Workforce1 provides high-quality recruitment services to employers and high-quality employment services to jobseekers. HPD is partnering with HireNYC to better connect low-income workers to construction job opportunities generated by our affordable housing development projects.



Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing and Urban Development

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
NYC Dept of Housing Preservation and Dev
100 Gold Street, New York, NY 10038
13-6400434

Reporting Entity
NYC Dept of Housing Preservation and Dev
100 Gold Street, New York, NY 10038

Dollar Amount:	\$42,813,596.32
Contact Person:	Eleonora Jimenez-Levi
Date Report Submitted:	04/27/2021

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/20	12/31/20	HPWA	Hsg Opport for Persons with AIDS

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.



HUD ESG CAPER FY2020

Grant: ESG: New York - NY - Report Type: CAPER

Report Date Range

1/1/2020 to 12/31/2020

Q01a. Contact Information

First name	Kristen
Middle name	
Last name	Mitchell
Suffix	
Title	Associate Commissioner, Office of Research and Policy Innovation, NYC Dept. of Social Services
Street Address 1	150 Greenwich St.
Street Address 2	42nd Floor
City	New York
State	New York
ZIP Code	10007
E-mail Address	mitchellkr@dss.nyc.gov
Phone Number	(917)573-5745
Extension	
Fax Number	()-

Q01b. Grant Information

As of 6/25/2021

ESG Information from IDIS

Fiscal Year	Grant Number	Current Authorized Amount	Total Drawn	Balance	Obligation Date	Expenditure Deadline
2020	E20MC360104	\$14,647,037.00	\$0	\$14,647,037.00	1/22/2021	1/22/2023
2019	E19MC360104	\$14,126,459.00	\$4,183,311.16	\$9,943,147.84	8/13/2019	8/13/2021
2018	E18MC360104	\$13,529,906.00	\$8,406,749.51	\$5,123,156.49	12/18/2018	12/18/2020
2017	E17MC360104	\$13,542,650.00	\$11,288,310.67	\$2,254,339.33	10/19/2017	10/19/2019
2016	E16MC360104	\$13,625,907.00	\$13,625,907.00	\$0	8/3/2016	8/3/2018
2015	E15MC360104	\$13,600,063.00	\$13,600,063.00	\$0	7/17/2015	7/17/2017
2014	E14MC360104	\$12,649,583.00	\$12,649,583.00	\$0	9/30/2014	9/30/2016
2013	E13MC360104	\$10,921,293.00	\$10,921,293.00	\$0	11/19/2013	11/19/2015
2012						
2011						
Total		\$106,642,898.00	\$74,675,217.34	\$31,967,680.66		

CAPER reporting includes funds used from fiscal year:

2018

Project types carried out during the program year

Enter the number of each type of projects funded through ESG during this program year.

Street Outreach	5
Emergency Shelter	20
Transitional Housing (grandfathered under ES)	0
Day Shelter (funded under ES)	0
Rapid Re-Housing	0
Homelessness Prevention	16

Q01c. Additional Information

HMIS

Comparable Database

Are 100% of the project(s) funded through ESG, which are allowed to use HMIS, entering data into HMIS?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes
Are 100% of the project(s) funded through ESG, which are allowed to use a comparable database, entering data into the comparable database?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes

Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project IDs of affiliations	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Re En Da
NYCDHS	C7D9D3A254F47B0DD2B9DF736C9CAF12	The Gathering Place - DI05	0df8dd38a845509ebc42dd29faa0a93e	4				NY-600	364436	0	AWARDS	2020-01-01	2012
NYCDHS	C7D9D3A254F47B0DD2B9DF736C9CAF12	BRONXWORKS HOMEBASE II	dad39f6257ad5dc9962e768f8111a62e	12				NY-600	364436	0	AWARDS	2020-01-01	2012
NYCDHS	C7D9D3A254F47B0DD2B9DF736C9CAF12	BRONXWORKS HOMEBASE I	0b64c47b3492557dbede7aa97409d585	12				NY-600	364436	0	AWARDS	2020-01-01	2012
NYCDHS	C7D9D3A254F47B0DD2B9DF736C9CAF12	Bronxworks Outreach - OR04	2e80777db27550f1ba9889571f2bea08	4				NY-600	364436	0	AWARDS	2020-01-01	2012
NYCDHS	C7D9D3A254F47B0DD2B9DF736C9CAF12	Common Ground Outreach - OR01	eeaff32cd72f5650900352ff62a4a5bf	4				NY-600	364436	0	AWARDS	2020-01-01	2012

Q05a: Report Validations Table

Total Number of Persons Served	17021
Number of Adults (Age 18 or Over)	16912
Number of Children (Under Age 18)	2
Number of Persons with Unknown Age	107
Number of Leavers	12359
Number of Adult Leavers	12252
Number of Adult and Head of Household Leavers	12359
Number of Stayers	4662
Number of Adult Stayers	4660
Number of Veterans	574
Number of Chronically Homeless Persons	0
Number of Youth Under Age 25	901
Number of Parenting Youth Under Age 25 with Children	0
Number of Adult Heads of Household	15123
Number of Child and Unknown-Age Heads of Household	109
Heads of Households and Adult Stayers in the Project 365 Days or More	460

Q06a: Data Quality: Personally Identifying Information (PII)

Data Element	Client Doesn't Know/Refused	Information Missing	Data Issues	Total	% of Error Rate
Name	0	0	0	0	0.00 %
Social Security Number	1563	142	0	1705	10.02 %
Date of Birth	0	107	0	107	0.63 %
Race	3348	159		3507	20.60 %
Ethnicity	1624	223		1847	10.85 %
Gender	18	2		20	0.12 %
Overall Score				5300	31.14 %

Q06b: Data Quality: Universal Data Elements

	Error Count	% of Error Rate
Veteran Status	0	0.00 %
Project Start Date	4	0.02 %
Relationship to Head of Household	216	1.27 %
Client Location	0	0.00 %
Disabling Condition	0	0.00 %

Q06c: Data Quality: Income and Housing Data Quality

	Error Count	% of Error Rate
Destination	4014	32.48 %
Income and Sources at Start	7740	50.81 %
Income and Sources at Annual Assessment	459	99.78 %
Income and Sources at Exit	6241	50.50 %

Q06d: Data Quality: Chronic Homelessness

	Count of Total Records	Missing Time in Institution	Missing Time in Housing	Approximate Date Started DK/R/missing	Number of Times DK/R/missing	Number of Months DK/R/missing	% of Records Unable to Calculate
ES, SH, Street Outreach	11760	0	0	11760	11760	11760	100.00 %
TH	0	0	0	0	0	0	--
PH (All)	0	0	0	0	0	0	--
Total	11760	--	--	--	--	--	100.00 %

Q06e: Data Quality: Timeliness

	Number of Project Start Records	Number of Project Exit Records
0 days	17021	12263
1-3 Days	0	0
4-6 Days	0	0
7-10 Days	0	0
11+ Days	0	0

Q06f: Data Quality: Inactive Records: Street Outreach & Emergency Shelter

	# of Records	# of Inactive Records	% of Inactive Records
Contact (Adults and Heads of Household in Street Outreach or ES - NBN)	276	150	54.35 %
3rd Night (All Clients in ES - NBN)	0	0	--

Q07a: Number of Persons Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Adults	16912	16912	0	--	0
Children	2	--	0	2	0
Client Doesn't Know/ Client Refused	0	0	0	0	0
Data Not Collected	107	0	0	0	107
Total	17021	16912	0	2	107
For PSH & RRH – the total persons served who moved into housing	0	0	0	0	0

Q08a: Households Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Total Households	15232	15123	0	2	107
For PSH & RRH – the total households served who moved into housing	0	0	0	0	0

Q08b: Point-in-Time Count of Households on the Last Wednesday

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
January	5443	5405	0	0	38
April	4973	4917	0	0	56
July	4649	4607	0	0	42
October	4265	4263	0	0	2

Q09a: Number of Persons Contacted

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	912	90	523	299
2-5 Times	0	0	0	0
6-9 Times	0	0	0	0
10+ Times	0	0	0	0
Total Persons Contacted	912	90	523	299

Q09b: Number of Persons Engaged

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	912	90	523	299
2-5 Contacts	0	0	0	0
6-9 Contacts	0	0	0	0
10+ Contacts	0	0	0	0
Total Persons Engaged	912	90	523	299
Rate of Engagement	5.00	4.00	2.00	3.00

Q10a: Gender of Adults

	Total	Without Children	With Children and Adults	Unknown Household Type
Male	11949	11949	0	0
Female	4879	4879	0	0
Trans Female (MTF or Male to Female)	59	59	0	0
Trans Male (FTM or Female to Male)	4	4	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	4	4	0	0
Client Doesn't Know/Client Refused	15	15	0	0
Data Not Collected	2	2	0	0
Subtotal	16912	16912	0	0

Q10b: Gender of Children

	Total	With Children and Adults	With Only Children	Unknown Household Type
Male	1	0	1	0
Female	1	0	1	0
Trans Female (MTF or Male to Female)	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Subtotal	2	0	2	0

Q10c: Gender of Persons Missing Age Information

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Male	87	0	0	0	87
Female	17	0	0	0	17
Trans Female (MTF or Male to Female)	0	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0	0
Client Doesn't Know/Client Refused	3	0	0	0	3
Data Not Collected	0	0	0	0	0
Subtotal	107	0	0	0	107

Q10d: Gender by Age Ranges

	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collected
Male	12037	1	789	9679	1481	0	87
Female	4897	1	505	3548	826	0	17
Trans Female (MTF or Male to Female)	59	0	14	44	1	0	0
Trans Male (FTM or Female to Male)	4	0	0	4	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	4	0	0	4	0	0	0
Client Doesn't Know/Client Refused	18	0	2	8	5	0	3
Data Not Collected	2	0	1	1	0	0	0
Subtotal	17021	2	1311	13288	2313	0	107

Q11: Age

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Under 5	2	0	0	2	0
5 - 12	0	0	0	0	0
13 - 17	0	0	0	0	0
18 - 24	1311	1311	0	0	0
25 - 34	3378	3378	0	0	0
35 - 44	3142	3142	0	0	0
45 - 54	3733	3733	0	0	0
55 - 61	3035	3035	0	0	0
62+	2313	2313	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	107	0	0	0	107
Total	17021	16912	0	2	107

Q12a: Race

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
White	2927	2897	0	1	29
Black or African American	10040	10020	0	0	20
Asian	219	217	0	0	2
American Indian or Alaska Native	106	106	0	0	0
Native Hawaiian or Other Pacific Islander	222	222	0	0	0
Multiple Races	0	0	0	0	0
Client Doesn't Know/Client Refused	3348	3325	0	1	22
Data Not Collected	159	125	0	0	34
Total	17021	16912	0	2	107

Q12b: Ethnicity

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Non-Hispanic/Non-Latino	10656	10621	0	1	34
Hispanic/Latino	4518	4497	0	1	20
Client Doesn't Know/Client Refused	1624	1619	0	0	5
Data Not Collected	223	175	0	0	48
Total	17021	16912	0	2	107

Q13a1: Physical and Mental Health Conditions at Start

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults ☺	With Only Children	Unknown Household Type
Mental Health Problem	973	973	0	0	--	0	0
Alcohol Abuse	144	144	0	0	--	0	0
Drug Abuse	532	532	0	0	--	0	0
Both Alcohol and Drug Abuse	63	63	0	0	--	0	0
Chronic Health Condition	828	828	0	0	--	0	0
HIV/AIDS	0	0	0	0	--	0	0
Developmental Disability	40	40	0	0	--	0	0
Physical Disability	296	296	0	0	--	0	0

☺ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13b1: Physical and Mental Health Conditions at Exit

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults ☺	With Only Children	Unknown Household Type
Mental Health Problem	706	706	0	0	--	0	0
Alcohol Abuse	112	112	0	0	--	0	0
Drug Abuse	389	389	0	0	--	0	0
Both Alcohol and Drug Abuse	51	51	0	0	--	0	0
Chronic Health Condition	564	564	0	0	--	0	0
HIV/AIDS	0	0	0	0	--	0	0
Developmental Disability	23	23	0	0	--	0	0
Physical Disability	210	210	0	0	--	0	0

☺ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13c1: Physical and Mental Health Conditions for Stayers

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	267	267	0	0	--	0	0
Alcohol Abuse	31	31	0	0	--	0	0
Drug Abuse	150	150	0	0	--	0	0
Both Alcohol and Drug Abuse	12	12	0	0	--	0	0
Chronic Health Condition	264	264	0	0	--	0	0
HIV/AIDS	0	0	0	0	--	0	0
Developmental Disability	17	17	0	0	--	0	0
Physical Disability	86	86	0	0	--	0	0

The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q14a: Domestic Violence History

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	132	132	0	0	0
No	16889	16780	0	2	107
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	17021	16912	0	2	107

Q14b: Persons Fleeing Domestic Violence

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	132	132	0	0	0
No	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	132	132	0	0	0

Q15: Living Situation

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Homeless Situations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	674	674	0	0	0
Transitional housing for homeless persons (including homeless youth)	0	0	0	0	0
Place not meant for habitation	2300	2194	0	0	106
Safe Haven	14	14	0	0	0
Host Home (non-crisis)	0	0	0	0	0
Interim Housing	0	0	0	0	0
Subtotal	2988	2882	0	0	106
Institutional Settings	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	41	41	0	0	0
Substance abuse treatment facility or detox center	484	484	0	0	0
Hospital or other residential non-psychiatric medical facility	151	151	0	0	0
Jail, prison or juvenile detention facility	1170	1170	0	0	0
Foster care home or foster care group home	3	3	0	0	0
Long-term care facility or nursing home	42	42	0	0	0
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Subtotal	1891	1891	0	0	0
Other Locations	0	0	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	118	118	0	0	0
Owned by client, no ongoing housing subsidy	152	152	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, with RRH or equivalent subsidy	0	0	0	0	0
Rental by client, with HCV voucher (tenant or project based)	0	0	0	0	0
Rental by client in a public housing unit	0	0	0	0	0
Rental by client, no ongoing housing subsidy	4697	4697	0	0	0
Rental by client, with VASH subsidy	32	32	0	0	0
Rental by client with GPD TIP subsidy	0	0	0	0	0
Rental by client, with other housing subsidy	275	275	0	0	0
Hotel or motel paid for without emergency shelter voucher	41	41	0	0	0
Staying or living in a friend's room, apartment or house	1732	1732	0	0	0
Staying or living in a family member's room, apartment or house	2989	2988	0	0	1
Client Doesn't Know/Client Refused	17	17	0	0	0
Data Not Collected	2089	2087	0	2	0
Subtotal	12142	12139	0	2	1
Total	17021	16912	0	2	107

Interim housing is retired as of 10/1/2019.

Q16: Cash Income - Ranges

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
No income	2599	0	1393
\$1 - \$150	251	0	201
\$151 - \$250	436	0	334
\$251 - \$500	379	0	271
\$501 - \$1000	3691	0	2577
\$1,001 - \$1,500	1078	0	729
\$1,501 - \$2,000	540	0	395
\$2,001+	305	0	217
Client Doesn't Know/Client Refused	0	0	0
Data Not Collected	7633	0	6135
Number of Adult Stayers Not Yet Required to Have an Annual Assessment	--	4201	--
Number of Adult Stayers Without Required Annual Assessment	--	459	--
Total Adults	16912	4660	12252

Q17: Cash Income - Sources

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
Earned Income	1345	0	1001
Unemployment Insurance	608	0	298
SSI	2911	0	2086
SSDI	1146	0	854
VA Service-Connected Disability Compensation	32	0	28
VA Non-Service Connected Disability Pension	11	0	9
Private Disability Insurance	11	0	7
Worker's Compensation	56	0	42
TANF or Equivalent	499	0	382
General Assistance	171	0	122
Retirement (Social Security)	365	0	242
Pension from Former Job	78	0	55
Child Support	11	0	8
Alimony (Spousal Support)	0	0	0
Other Source	133	0	100
Adults with Income Information at Start and Annual Assessment/Exit	--	0	6117

Q19b: Disabling Conditions and Income for Adults at Exit

	AO: Adult with Disabling Condition	AO: Adult without Disabling Condition	AO: Total Adults	AO: % with Disabling Condition by Source	AC: Adult with Disabling Condition	AC: Adult without Disabling Condition	AC: Total Adults	AC: % with Disabling Condition by Source	UK: Adult with Disabling Condition	UK: Adult without Disabling Condition	UK: Total Adults	UK: % with Disabling Condition by Source
Earned Income	9	992	1001	0.90 %	0	0	0	--	0	0	0	--
Supplemental Security Income (SSI)	965	1121	2086	46.26 %	0	0	0	--	0	0	0	--
Social Security Disability Insurance (SSDI)	420	434	854	49.18 %	0	0	0	--	0	0	0	--
VA Service-Connected Disability Compensation	8	20	28	28.57 %	0	0	0	--	0	0	0	--
Private Disability Insurance	0	7	7	0.00 %	0	0	0	--	0	0	0	--
Worker's Compensation	1	41	42	2.38 %	0	0	0	--	0	0	0	--
Temporary Assistance for Needy Families (TANF)	3	379	382	0.78 %	0	0	0	--	0	0	0	--
Retirement Income from Social Security	16	226	242	6.61 %	0	0	0	--	0	0	0	--
Pension or retirement income from a former job	3	52	55	5.45 %	0	0	0	--	0	0	0	--
Child Support	1	7	8	12.50 %	0	0	0	--	0	0	0	--
Other source	61	464	525	11.62 %	0	0	0	--	0	0	0	--
No Sources	0	1393	1393	0.00 %	0	0	0	--	0	0	0	--
Unduplicated Total Adults	1266	4851	6117		0	0	0		0	0	0	

Q20a: Type of Non-Cash Benefit Sources

	Benefit at Start	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutritional Assistance Program	3553	0	1911
WIC	0	0	0
TANF Child Care Services	2418	0	1314
TANF Transportation Services	2418	0	1314
Other TANF-Funded Services	2418	0	1314
Other Source	0	0	0

Q21: Health Insurance

	At Start	At Annual Assessment for Stayers	At Exit for Leavers
Medicaid	3423	0	1816
Medicare	1030	0	692
State Children's Health Insurance Program	0	0	0
VA Medical Services	0	0	0
Employer Provided Health Insurance	0	0	0
Health Insurance Through COBRA	0	0	0
Private Pay Health Insurance	1	0	1
State Health Insurance for Adults	0	0	0
Indian Health Services Program	0	0	0
Other	0	0	0
No Health Insurance	0	0	0
Client Doesn't Know/Client Refused	0	0	0
Data Not Collected	7740	459	6241
Number of Stayers Not Yet Required to Have an Annual Assessment	--	3053	--
1 Source of Health Insurance	0	0	0
More than 1 Source of Health Insurance	9281	0	6118

Q22a2: Length of Participation – ESG Projects

	Total	Leavers	Stayers
0 to 7 days	3859	3459	400
8 to 14 days	1522	1224	298
15 to 21 days	936	692	244
22 to 30 days	895	584	311
31 to 60 days	2032	1326	706
61 to 90 days	2158	1552	606
91 to 180 days	2833	2004	829
181 to 365 days	1871	1062	809
366 to 730 days (1-2 Yrs)	499	246	253
731 to 1,095 days (2-3 Yrs)	305	108	197
1,096 to 1,460 days (3-4 Yrs)	69	62	7
1,461 to 1,825 days (4-5 Yrs)	24	23	1
More than 1,825 days (> 5 Yrs)	18	17	1
Data Not Collected	0	0	0
Total	17021	12359	4662

Q22c: Length of Time between Project Start Date and Housing Move-in Date

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	0	0	0	0	0
8 to 14 days	0	0	0	0	0
15 to 21 days	0	0	0	0	0
22 to 30 days	0	0	0	0	0
31 to 60 days	0	0	0	0	0
61 to 180 days	0	0	0	0	0
181 to 365 days	0	0	0	0	0
366 to 730 days (1-2 Yrs)	0	0	0	0	0
Total (persons moved into housing)	0	0	0	0	0
Average length of time to housing	--	--	--	--	--
Persons who were exited without move-in	0	0	0	0	0
Total persons	0	0	0	0	0

Q22d: Length of Participation by Household Type

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	3859	3826	0	1	32
8 to 14 days	1522	1521	0	1	0
15 to 21 days	936	936	0	0	0
22 to 30 days	895	895	0	0	0
31 to 60 days	2032	2031	0	0	1
61 to 90 days	2158	2139	0	0	19
91 to 180 days	2833	2816	0	0	17
181 to 365 days	1871	1850	0	0	21
366 to 730 days (1-2 Yrs)	499	491	0	0	8
731 to 1,095 days (2-3 Yrs)	305	300	0	0	5
1,096 to 1,460 days (3-4 Yrs)	69	65	0	0	4
1,461 to 1,825 days (4-5 Yrs)	24	24	0	0	0
More than 1,825 days (> 5 Yrs)	18	18	0	0	0
Data Not Collected	0	0	0	0	0
Total	17021	16912	0	2	107

Q22e: Length of Time Prior to Housing - based on 3.917 Date Homelessness Started

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	0	0	0	0	0
8 to 14 days	0	0	0	0	0
15 to 21 days	0	0	0	0	0
22 to 30 days	0	0	0	0	0
31 to 60 days	0	0	0	0	0
61 to 180 days	0	0	0	0	0
181 to 365 days	0	0	0	0	0
366 to 730 days (1-2 Yrs)	0	0	0	0	0
731 days or more	0	0	0	0	0
Total (persons moved into housing)	0	0	0	0	0
Not yet moved into housing	0	0	0	0	0
Data not collected	10282	10281	0	0	1
Total persons	10282	10281	0	0	1

Q23c: Exit Destination – All persons

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Permanent Destinations	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	0	0	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, no ongoing housing subsidy	1088	1088	0	0	0
Rental by client, with VASH housing subsidy	31	31	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	1073	1073	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	427	417	0	0	10
Staying or living with family, permanent tenure	231	231	0	0	0
Staying or living with friends, permanent tenure	12	12	0	0	0
Rental by client, with RRH or equivalent subsidy	0	0	0	0	0
Rental by client, with HCV voucher (tenant or project based)	0	0	0	0	0
Rental by client in a public housing unit	0	0	0	0	0
Subtotal	2862	2852	0	0	10
Temporary Destinations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	1971	1971	0	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	330	307	0	0	23
Staying or living with family, temporary tenure (e.g. room, apartment or house)	166	166	0	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	66	66	0	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	0	0	0	0	0
Safe Haven	2	2	0	0	0
Hotel or motel paid for without emergency shelter voucher	0	0	0	0	0
Host Home (non-crisis)	0	0	0	0	0
Subtotal	2535	2512	0	0	23
Institutional Settings	0	0	0	0	0
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	17	17	0	0	0
Substance abuse treatment facility or detox center	51	51	0	0	0
Hospital or other residential non-psychiatric medical facility	50	50	0	0	0
Jail, prison, or juvenile detention facility	54	54	0	0	0
Long-term care facility or nursing home	17	17	0	0	0
Subtotal	189	189	0	0	0
Other Destinations	0	0	0	0	0
Residential project or halfway house with no homeless criteria	2	2	0	0	0
Deceased	43	43	0	0	0
Other	2714	2713	0	0	1
Client Doesn't Know/Client Refused	3385	3384	0	0	1
Data Not Collected (no exit interview completed)	629	557	0	0	72
Subtotal	6773	6699	0	0	74
Total	12359	12252	0	0	107
Total persons exiting to positive housing destinations	3361	3328	0	0	33
Total persons whose destinations excluded them from the calculation	109	109	0	0	0
Percentage	27.44 %	27.41 %	--	--	30.84 %

Q24: Homelessness Prevention Housing Assessment at Exit

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Able to maintain the housing they had at project start—Without a subsidy	894	894	0	0	0
Able to maintain the housing they had at project start—With the subsidy they had at project start	633	633	0	0	0
Able to maintain the housing they had at project start—With an on-going subsidy acquired since project start	0	0	0	0	0
Able to maintain the housing they had at project start—Only with financial assistance other than a subsidy	0	0	0	0	0
Moved to new housing unit—With on-going subsidy	18	18	0	0	0
Moved to new housing unit—Without an on-going subsidy	0	0	0	0	0
Moved in with family/friends on a temporary basis	232	232	0	0	0
Moved in with family/friends on a permanent basis	38	38	0	0	0
Moved to a transitional or temporary housing facility or program	6	6	0	0	0
Client became homeless – moving to a shelter or other place unfit for human habitation	51	51	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	3	3	0	0	0
Client doesn't know/Client refused	54	54	0	0	0
Data not collected (no exit interview completed)	1809	1809	0	0	0
Total	3738	3738	0	0	0

Q25a: Number of Veterans

	Total	Without Children	With Children and Adults	Unknown Household Type
Chronically Homeless Veteran	0	0	0	0
Non-Chronically Homeless Veteran	574	574	0	0
Not a Veteran	16338	16338	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Total	16912	16912	0	0

Q26b: Number of Chronically Homeless Persons by Household

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Chronically Homeless	0	0	0	0	0
Not Chronically Homeless	16884	16775	0	2	107
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	17021	16912	0	2	107



Housing Opportunities for Persons With AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 11/30/2023)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. Reporting is required for all HOPWA formula grantees. The public reporting burden for the collection of information is estimated to average 41 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD's requirements for reports submitted by HOPWA formula grantees are supported by 42 U.S.C. § 12911 and HUD's regulations at 24 CFR § 574.520(a). Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number. While confidentiality is not assured, HUD generally only releases this information as required or permitted by law.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation of a building or structure are required to operate the building or structure for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Report of Continued Project Operation throughout the required use periods. This report is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client-level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry

Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, Housing Status or Destination at the end of the operating year, Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Medical Assistance, and T-cell Count. Other HOPWA projects sponsors may also benefit from collecting these data elements. HMIS local data systems must maintain client confidentiality by using a closed system in which medical information and HIV status are only shared with providers that have a direct involvement in the client’s case management, treatment and care, in line with the signed release of information from the client.

Operating Year. HOPWA formula grants are annually awarded for a three-year period of performance with three operating years. The information contained in this CAPER must represent a one-year period of HOPWA program operation that coincides with the grantee’s program year; this is the operating year. More than one HOPWA formula grant awarded to the same grantee may be used during an operating year and the CAPER must capture all formula grant funding used during the operating year. Project sponsor accomplishment information must also coincide with the operating year this CAPER covers. Any change to the period of performance requires the approval of HUD by amendment, such as an extension for an additional operating year.

Final Assembly of Report. After the entire report is assembled, number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7248, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C., 20410.

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent

Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These are additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the criteria described in 2 CFR 200. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *24 CFR 5.403 and the HOPWA Grantee Oversight Resource Guide* for additional reference.

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration

requirements on program income at 24 CFR 200.307.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Per HOPWA regulations at 24 CFR 574.3, any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended.

SAM: All organizations applying for a Federal award must have a valid registration active at sam.gov. SAM (System for Award Management) registration includes maintaining current information and providing a valid DUNS number.

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52-week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from the person's gender assigned at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person With AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 11/30/2023)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by 24 CFR 574.3.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i>		
Grantee Name			
Business Address			
City, County, State, Zip			
Employer Identification Number (EIN) or Tax Identification Number (TIN)			
DUN & Bradstreet Number (DUNs):		System for Award Management (SAM):: Is the grantee's SAM status currently active? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide SAM Number:	
Congressional District of Grantee's Business Address			
*Congressional District of Primary Service Area(s)			
*City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities:	Counties:	
Organization's Website Address	Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee Service Area? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by 24 CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency			
Email Address			
Business Address			
City, County, State, Zip,			
Phone Number (with area code)			
Employer Identification Number (EIN) or Tax Identification Number (TIN)		Fax Number (with area code)	
DUN & Bradstreet Number (DUNs):			
Congressional District of Project Sponsor's Business Address			
Congressional District(s) of Primary Service Area(s)			
City(ies) <u>and</u> County(ies) of Primary Service Area(s)		Cities:	Counties:
Total HOPWA contract amount for this Organization for the operating year			
Organization's Website Address			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

As the designated grantee for the New York City Eligible Metropolitan Statistical Area (EMSA), the New York City Department of Health and Mental Hygiene (DOHMH) administers, coordinates and executes the U.S. Department of Housing and Urban Development's (HUD) HOPWA formula grant. The Eligible Metropolitan Statistical Area (EMSA) is comprised of the five boroughs of the City of New York together with Westchester, Orange, and Rockland Counties in the Lower Hudson Valley and Middlesex, Monmouth and Ocean Counties in New Jersey. DOHMH works with these six counties and eligible localities therein to plan and evaluate their use of HOPWA funds and ensure the consistency of their efforts with those in the rest of the EMSA. HOPWA-funded programs are implemented by the New York City Human Resources Administration's HIV/AIDS Services Administration (HRA/HASA), and the New York City DOHMH.

New York City DOHMH:

DOHMH administers contracts with non-profit community-based organizations (CBOs) throughout the five boroughs of New York City (NYC), as well as three counties in New Jersey and the Lower Hudson Valley. The following HOPWA services were provided under the supervision and guidance of DOHMH in 2020:

Permanent Supportive Housing

Permanent Supportive Housing Programs identify, secure, and provide appropriate permanent supportive housing to low-income persons living with HIV/AIDS and their families. Fourteen CBOs administer 28 permanent supportive housing subcontracts that cater to the needs of different HIV/AIDS target populations such as: homeless single adults and families; adults diagnosed with mental illness; adults diagnosed with a substance abuse disorder; young adults ages 18-26; persons age 55 and over; individuals recently released from jail/institution; and the homeless/chronically homeless. Supportive services focus on case management, engagement and maintenance in HIV primary care, mental health, substance use, life skills management, and housing readiness to ensure individuals living with HIV/AIDS maintain stable housing and enjoy improved quality of life outcomes. In total, these 14 organizations provide 652 units of congregate and scattered-site supportive housing across NYC.

Housing Placement Assistance

The Housing Placement Assistance Programs provide housing information services and permanent housing placements to low-income persons with HIV/AIDS and their families. Five CBOs—located in each of the five boroughs of NYC—assist low-income persons with HIV/AIDS locate and secure permanent housing. Additional service elements include housing-related advocacy, case management services, and short-term rental and security deposit payments to cover rental start-up costs. In 2020, five CBOs delivered housing information services to help HOPWA consumers across the five boroughs establish their housing through permanent housing placement.

Tenant-Based Rental Assistance and Short-term Rent, Mortgage and Utility Assistance

Tenant-Based Rental Assistance (TBRA) is a rental subsidy program provided to HOPWA-eligible clients who wish to live independently, but need assistance in meeting their rent payments. TBRA, therefore subsidizes the rental costs associated with leasing a permanent housing unit in the private rental market. Short-term Rent, Mortgage and Utility Assistance (STRMU) subsidies are provided as emergency assistance to prevent evictions and homelessness. In 2020, one CBO delivered TBRA services to nearly 220 households across New York City and 15 households benefitted from STRMU assistance to prevent eviction.

HIV/AIDS Services Administration (HASA):

The HIV/AIDS Services Administration (HASA), a division of the NYC Human Resources Administration (HRA), provides public assistance, case management, and housing services to persons in NYC living with HIV/AIDS and their families, who seek its assistance. HASA services are comprised of assistance in determining eligibility for Public Assistance, Medicaid, and Supplemental Nutrition Assistance Program, as well as support in accessing other benefits and services as required by the client's individual circumstances. HASA's intensive case management services can include initiating evaluation and treatment of substance abuse and mental illness; home care or homemaking services; or

housing services, including temporary emergency placement, as well as transitional, supportive, and independent housing options.

HASA case managers assist clients in the process of applying for Supplemental Security Income, Social Security Disability Income, and other benefits for which they may qualify. Additionally, they provide clients with referrals to CBOs for an assortment of supplementary services including legal advocacy, medical or dental care, or employment assistance.

HASA case management includes reviews and updates to clients' service plans and packages. For those who are unable to come to a HASA office for assessment or review, HASA case managers conduct home visits in addition to scheduled, periodic visits. Additionally, all clients in emergency housing are visited in their apartment periodically until a permanent and stable placement is found. HASA's intensive case management for families includes permanency planning to help survivors remain intact should the caregiver die or become unable to provide care.

In 2020, with support from HOPWA funding, HRA/HASA administered housing contracts with 19 CBOs to provide 1,735 units of permanent supportive housing for low-income persons with HIV/AIDS in congregate and scattered-site settings. These programs targeted special populations such as persons diagnosed with mental illness or substance abuse.

Lower Hudson Valley & New Jersey:

The counties of Westchester, Orange and Rockland in the Lower Hudson Valley provide TBRA services to 109 households along with supportive services, as necessary, to their clients. In the counties of Monmouth, Middlesex and Ocean in New Jersey, HOPWA funds were allocated to provide TBRA to 79 low-income persons with HIV/AIDS and their families.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your operating year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

In calendar year 2020, DOHMH administered the HOPWA grant to serve a total of 3,025 households across the NYC EMSA with permanent housing facilities, permanent housing placement assistance, TBRA, STRMU assistance, and supportive services.

In the permanent housing facilities category, a total of \$35,510,371 HOPWA dollars assisted 2,469 households with permanent supportive housing. The cost per unit in this housing category is higher than HUD's national average because the low vacancy rate and average rental costs in NYC contribute to more expensive units.

For housing information and permanent housing placement services, 393 households benefited from housing information services and 172 households secured permanent housing placements. Though actual permanent housing placements did not meet anticipated goals (220), project sponsors reported difficulty securing units after March 2020 when social distancing measures were put in place and landlords/brokers quickly stopped showing units. Once social distancing measures eased in the Summer of 2020, HOPWA project sponsors reported many consumers were afraid to seek services or view apartments in-person and thus there were lower-than-normal enrollments numbers due to COVID-19. Despite these challenges, housing providers remain committed to finding innovative solutions such as hosting virtual apartment walk-throughs, connecting with new and existing consumers virtually through Zoom or Facetime. Waiver flexibilities offered by HUD also provided additional relief to expedite and place new enrollments efficiently.

Within the TBRA and STRMU categories, 402 households were served with TBRA services in 2020, and STRMU funds increased housing stability among 15 households, helping these households avoid homelessness. For TBRA services, the cost per unit exceeded HUD's national average due to higher rent costs, higher operating costs and more

vulnerable consumers who were significantly impacted by job losses and faced challenges contributing more towards rent.

DOHMH also funded supportive services, which are essential to ensuring housing stability and durability for low-income persons with HIV/AIDS and their families. In 2020, 37,059 households received supportive services and an additional 899 households received supportive services in tandem with housing subsidy assistance.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

In addition to providing stable, safe and affordable housing, the HOPWA program also contributed to improved quality of life indicators for HOPWA beneficiaries. DOHMH carefully tracks and measures consumer outcomes such as retention in care; treatment adherence; viral load suppression and housing stability, among others. In 2019, the year for which we have the most up to date surveillance data for HOPWA consumers residing in New York City, 99% of HOPWA consumers were engaged in HIV care; 96% of those in care were started on ART treatment; 88% of those on treatment were virally suppressed and 82% of those virally suppressed at last viral load in 2019 were also durably suppressed.

Lastly, non-clinical performance data also demonstrates that HOPWA housing subsidies resulted in stable housing outcomes for HOPWA beneficiaries. Of those who exited the HOPWA program in 2020, 98.5% maintained stable housing.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

In 2020, HOPWA dollars were combined with other federal resources, as well as State and City funding, to support HIV/AIDS housing and other supportive services. HASA used City Tax Levy and matching State and federal dollars to fund case management; rental assistance; permanent and transitional congregate housing; and permanent scattered-site housing for low-income individuals and families living with HIV/AIDS. Eligible clients also received medical assistance, homecare, and homemaking services funded with City, State and federal dollars. HOPWA funds distributed to community-based organizations by DOHMH augmented City and State-funded services to persons with mental illness and HIV/AIDS. In the Lower Hudson Valley and New Jersey, State, City and County funds complemented HOPWA-funded rental assistance and other supportive services. Grant and private foundation dollars provided additional support to community-based organizations funded through HASA and DOHMH. In summary, the total funding leveraged across all HOPWA programs in 2020 equaled to \$583,414,491.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

DOHMH staff monitors HOPWA project sponsors' performance and spending on a monthly basis by reviewing Monthly Program and Fiscal reports. Historically, the agency also conducts on-site program and fiscal monitoring visits, annual fiscal desk audit reviews, and habitability visits to ensure all HOPWA-funded housing units meet the Housing Quality Standards defined by HUD. However, due to the COVID-19 pandemic in 2020, DOHMH did not conduct any on-site program, fiscal, or habitability visits in line with social distance public health measures that encouraged less in-person contact.

To meet the needs of agencies, DOHMH staff maintained monthly contact with agencies on HOPWA operations and routinely delivered technical assistance to address programmatic and/or fiscal challenges. On a quarterly basis, DOHMH staff convene to review HOPWA contract performance to determine if there are new trends or common challenges experienced among project sponsors that should prompt additional training and technical assistance.

As necessary, DOHMH seeks technical assistance from Collaborative Solutions and the Cloudburst Group.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

Over the past 18 months, the COVID-19 pandemic has wrecked havoc on the health and economy of New Yorkers, and increased the demand for housing assistance and supportive services. The crisis has been particularly difficult for low-income New Yorkers and people of color, who were more likely to experience job losses and/or mortality rates due to COVID-19.

Before the pandemic, NYC already faced a severe housing affordability and homelessness crisis. Approximately half of NYC households spent more than 30% of their income on rent and over 60,000 homeless people and families were living in municipal shelters just as the pandemic took hold in March 2020. Unsurprisingly, these same populations that faced housing instability or homelessness experienced the greatest toll throughout the pandemic as they lacked safe and stable places to shelter in place to reduce their risk of contracting COVID-19.

Specifically in the HOPWA portfolio, HOPWA project sponsors and DOHMH witnessed HOPWA consumers experience job losses or difficulty covering all their expenses on fixed income. The increased costs of food, basic necessities and personal protective equipment (PPE) to stay safe placed an undue burden across multiple households. Further, the stay at home orders resulted in higher than normal utility, especially among households with children who were attending school remotely. Other expenses HOPWA consumers reported a need for included transportation, digital equipment and broadband internet access to help them navigate the pandemic and remain connected to primary care and other social services. As a result of the state and national eviction moratorium, as well as HOPWA and Coronavirus Aid, Relief and Economic Security Act (CARES) funding, HOPWA households experiencing income loss or other financial burdens did not face risk of eviction and remained stably housed. Wherever possible, households were assisted to address food insecurity, transportation costs, utility expenses and broadband access.

Despite these efforts, the program did witness a 35% year-to-year increase in the number of deaths among HOPWA consumers compared to prior years. In 2020 alone, a total of 31 consumers passed away. This number is higher compared to pre-pandemic data from 2018 (20) and 2019 (23). Throughout the pandemic many HOPWA consumers opted out of attending their annual medical appointments for fear of getting infected with COVID-19 on public transportation and in health care settings. Other consumers who were overwhelmed with navigating all the City and State orders and/or mourning the loss of friends and family lost to COVID-19, struggled with maintaining their treatment adherence. These scenarios underscore the important role HOPWA project sponsors played in supporting communities disproportionately impacted by the pandemic. Whether it was delivering meals and PPE supplies to each household, escorting consumers to medical appointments in taxis or agency vans, or conducting daily calls to check-in, HOPWA-funded staff worked tirelessly to meet the needs of all HOPWA households.

Many challenges lie ahead as the City works to address the post-pandemic health, housing, educational, and economic

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input checked="" type="checkbox"/> Other, please explain further COVID-19	

ramifications laid to bare by COVID-19. Housing remains a critical focal point that will require substantial investment from state and federal resources, if the City is to prevent a wave of evictions as well as new COVID-19 infections, if low-income households are unable to remain safe and stably housed.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

In December 2020, the New York City DOHMH released the *2019 HIV Surveillance Annual Report* summarizing the latest data on the state and health of New Yorkers recently diagnosed or living with HIV/AIDS. The report analyzes key HIV indicators (incidence and prevalence rates) across populations and geographic distribution, as well as health outcomes such as linkage to care, viral suppression and survival among people living with HIV. According to the 2019 report, the annual number of new HIV diagnoses decreased by 8% from 1,917 in 2018 to 1,772 in 2019. Among individuals living with HIV and engaged in care, 87% were virally suppressed and 69% had durable viral suppression.

Despite these improvements, surveillance data reveals not all groups enjoy the same outcomes and that persistent inequities across race/ethnicity, gender, sexual orientation and socio-economic status remain. For example, Blacks and Latino/Hispanics, account for the largest proportion of new infections, 46.1% and 37.2%, respectively. With regards to transmission risks, MSM continue to experience the highest transmission risk, accounting for 54% of new diagnoses. Across gender categories, men represented 78.7% of new infections, followed by women (18.4%), and transgender (2.9%) populations. Across age groups, in 2019, two age groups (20-29 and 30-39) experienced the majority of new infections (64.8%). And finally in 2019, the number of new HIV diagnoses among people reporting medium poverty (10 to 20% < below FPL) to very high area-based poverty levels ($\geq 30\%$ below FPL) remained stable at 83.3%.

DOHMH's role in addressing health disparities is to direct HOPWA funds to eligible households with the greatest need. Demographic data from all HOPWA clients served in 2020 demonstrates that 88.8% of consumers are either black (54%) or Hispanic (35.8%). Males represented 65%; females accounted for 32%, and the transgender represented 2.6% of all households served with HOPWA funds. In 2020, over half of the HOPWA consumers served were ages 51 years and older (57%), followed by consumers ages 31-50 years old (35%). Finally, of all the households served with HOPWA housing subsidy assistance in 2020, 98% reported extremely low area median incomes (0-30% of median income levels) up from 96.6% in 2019—suggesting more and more consumers accessing HOPWA services experienced high levels of poverty and are at greatest risk of homelessness.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

In calendar year 2020, DOHMH Control staff published one journal piece on HIV housing stability and HIV health outcomes.

Zhong Y, Beattie CM, Rojas J, Farquhar XP, Brown PA, Wiewel EW. Enrollment Length, Service Category, and HIV Health Outcomes Among Low-Income HIV-Positive Persons Newly Enrolled in a Housing Program, New York City, 2014-2017. *American journal of public health.* 2020;110(7):1068-1075.

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$14,618,004	Housing Subsidy Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$1,269,614	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Continuum of Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: NYC Division of Youth & Community Development	\$14,600	Legal and Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: City Tax Levy	\$355,841,000	Housing Subsidy Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: HIV/AIDS Service Administration (HASA)	\$39,337	Housing Subsidy Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: New York State Medicaid	\$185,947	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: New York State OTDA	\$153,952,815	Housing Subsidy Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Rockland County Department of Social Services	\$25,000	Case management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Substance Use and Mental Health Services Administration (SAMHSA)	\$99,253	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance

			<input checked="" type="checkbox"/> Other Support
Other Public: Other Federal, Supportive Housing	\$55,656,000	Housing Subsidy Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: New York State Office of Mental Health (OMH)	\$2,008	Mental Health Counseling	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: New York State Medicaid Health Homes	\$753,937	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: New York State AIDS Institute	\$21,060	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Westchester County Department of Social Services (DSS)	\$36,474	Housing Subsidy Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: New York State Office of Addiction Services & Supports (OASAS)	\$8,511	Substance Use Counseling	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Private Funding			
Grants: United Way	\$42,727	Food/Nutrition	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Grants: Van Ameringen Foundation	\$38,083	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Grants: MAC AIDS Foundation	\$38,083	Housing Supplies	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources	\$3,900	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: Gilead	\$1,543	Food/Nutrition	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: ARCS	\$25,000	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: TOUCH	\$25,000	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: Supermarket Gift Cards	\$3,100	Food/Nutrition	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$713,495		
TOTAL (Sum of all Rows)	\$583,414,491		

2. Program Income and Resident Rent Payments

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	\$5,802,294
2.	Resident Rent Payments made directly to HOPWA Program	\$3,605,423
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	\$9,407,717

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	\$9,327,883
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	\$79,834
3.	Total Program Income Expended (Sum of Rows 1 and 2)	\$9,407,717

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	385	402	0	18,293	\$4,980,031	\$5,596,590
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	2,387	2,469		3,136	\$28,576,837	\$35,510,371
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)				771		
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	10	15			\$139,901	\$12,456
5.	Permanent Housing Placement Services	220	172			\$935,772	\$205,691
6.	Adjustments for duplication (subtract)		33				
7.	Total HOPWA Housing Subsidy Assistance (Columns a – d equal the sum of Rows 1-5 minus Row 6; Columns e and f equal the sum of Rows 1-5)	3,002	3,025		22,200	\$34,632,541	\$41,325,108
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3- or 10- year use agreements						
10.	Total Housing Developed (Sum of Rows 8 & 9)						
Supportive Services		[1] Output: Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors that also delivered HOPWA housing subsidy assistance	822	899			\$4,386,996	\$2,480,670
11b.	Supportive Services provided by project sponsors that only provided supportive services.	33,000	37,059			\$1,000,000	\$1,000,000
12.	Adjustment for duplication (subtract)						
13.	Total Supportive Services (Columns a – d equals the sum of Rows 11 a & b minus Row 12; Columns e and f equal the sum of Rows 11a & 11b)	33,822	37,958			\$5,386,996	\$3,480,670
Housing Information Services		[1] Output: Households				[2] Output: Funding	
14.	Housing Information Services	200	393			\$1,100,665	\$1,249,275
15.	Total Housing Information Services	200	393			\$1,100,665	\$1,249,275

Grant Administration and Other Activities		[1] Output: Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources						
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$1,471,330	\$537,538
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$1,286,014	\$1,159,146
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					\$2,521,186	\$1,696,684
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for operating year (Sum of Rows 7, 10, 13, 15, and 20)					\$43,877,546	\$47,751,737

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	\$0
2.	Alcohol and drug abuse services	153	\$127,983
3.	Case management	37,958	\$2,343,824
4.	Child care and other child services	0	\$0
5.	Education	0	\$0
6.	Employment assistance and training	0	\$0
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	0	\$0
8.	Legal services	0	\$0
9.	Life skills management (outside of case management)	629	\$398,976
10.	Meals/nutritional services	6	\$2,000
11.	Mental health services	643	\$437,473
12.	Outreach	0	\$0
13.	Transportation	194	\$170,414
14.	Other Activity (if approved in grant agreement). Specify:	0	\$0
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	39,583	
16.	Adjustment for Duplication (subtract)	1,625	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	37,958	\$3,480,670

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a, enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b, enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c, enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d, enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e, enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f, enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g, report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a, column [1] and the total amount of HOPWA funds reported as expended in Row a, column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b and f, respectively.

Data Check: The total number of households reported in Column [1], Rows b, c, d, e, and f equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b, c, d, e, f, and g, equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	15	\$12,456
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.		
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.		
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.		
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	15	\$10,804
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.		
g.	Direct program delivery costs (e.g., program operations staff time)		\$1,652

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	402	372	1 Emergency Shelter/Streets	0	Unstable Arrangements
			2 Temporary Housing	0	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	8	Stable/Permanent Housing (PH)
			4 Other HOPWA	12	
			5 Other Subsidy	3	
			6 Institution	1	
			7 Jail/Prison	1	Unstable Arrangements
			8 Disconnected/Unknown	0	
			9 Death	5	
Permanent Supportive Housing Facilities/ Units	2,469	2,356	1 Emergency Shelter/Streets	8	Unstable Arrangements
			2 Temporary Housing	3	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	42	Stable/Permanent Housing (PH)
			4 Other HOPWA	7	
			5 Other Subsidy	24	
			6 Institution	3	
			7 Jail/Prison	0	Unstable Arrangements
			8 Disconnected/Unknown	0	
			9 Death	26	

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		Unstable Arrangements
			8 Disconnected/unknown		

			9 Death		Life Event
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months					

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a, report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b, report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
15	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	1	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>		
	Other HOPWA Housing Subsidy Assistance	14	
	Other Housing Subsidy (PH)		
	Institution <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>		
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>		
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
	Disconnected		
	Death		<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			0
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c to adjust for duplication among the service categories and Row d to provide an unduplicated household total.

Line [2]: For project sponsors that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b below.

Total Number of Households	
1. For Project Sponsors that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	3,058
b. Case Management	899
c. Adjustment for duplication (subtraction)	932
d. Total Households Served by Project Sponsors with Housing Subsidy Assistance (Sum of Rows a and b minus Row c)	3,025
2. For Project Sponsors did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	37,059
b. Total Households Served by Project Sponsors without Housing Subsidy Assistance	37,059

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1d above, report the number of households that demonstrated access or maintained connections to care and support within the operating year.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a, Row 2b, report the number of households that demonstrated improved access or maintained connections to care and support within the operating year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	3,025	37,059	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client’s individual service plan (may include leveraged services such as Ryan White Medical Case Management)	3,025	37,059	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client’s individual service plan	2,748	35,206	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	3,015	37,059	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	3,772	37,059	<i>Sources of Income</i>

Chart 1b, Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b, Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1d above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a, Row 2b, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1 For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	20	0

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Report of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Report of Continued Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used, they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

End of PART 6

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals Who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	3,025

Chart b. Prior Living Situation

In Chart b, report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a above.*

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	2,766
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	0
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	32
4.	Transitional housing for homeless persons	51
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	83
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	47
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	0
9.	Hospital (non-psychiatric facility)	0
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	7
12.	Rented room, apartment, or house	105
13.	House you own	3
14.	Staying or living in someone else’s (family and friends) room, apartment, or house	14
15.	Hotel or motel paid for without emergency shelter voucher	0
16.	Other	0
17.	Don’t Know or Refused	0
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	3,025

c. Homeless Individual Summary

In Chart c, indicate the number of eligible individuals reported in Chart b, Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c do not need to equal the total in Chart b, Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	21	83

Section 2. Beneficiaries

In Chart a, report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b & c on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a, Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a)	3,025
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	49
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	453
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1, 2, & 3)	3,527

b. Age and Gender

In Chart b, indicate the Age and Gender of all beneficiaries as reported in Chart a directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a, Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a, Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E, equals the total number of beneficiaries reported in Part 7, Section 2, Chart a, Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	153	49	19	1	222
3.	31 to 50 years	704	317	44	0	1,065
4.	51 years and Older	1,112	610	16	0	1,738
5.	Subtotal (Sum of Rows 1-4)	1,969	976	79	1	3,025
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	141	118	0	0	259
7.	18 to 30 years	79	73	0	0	152
8.	31 to 50 years	39	30	0	0	69
9.	51 years and Older	14	8	0	0	22
10.	Subtotal (Sum of Rows 6-9)	273	229	0	0	502
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	2,242	1,205	79	1	3,527

c. Race and Ethnicity*

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a, Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a, Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a, Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a, Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	10	4	3	0
2.	Asian	13	2	0	0
3.	Black/African American	1,659	378	241	27
4.	Native Hawaiian/Other Pacific Islander	1	0	0	0
5.	White	429	238	79	66
6.	American Indian/Alaskan Native & White	1	0	0	0
7.	Asian & White	2	0	0	0
8.	Black/African American & White	47	12	2	0
9.	American Indian/Alaskan Native & Black/African American	13	8	0	0
10.	Other Multi-Racial	850	443	177	166
11.	Column Totals (Sum of Rows 1-10)	3,025	1,085	502	259
<i>Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a, Row 4.</i>					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to <https://www.huduser.gov/portal/datasets/il.html> for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	2,967
2.	31-50% of area median income (very low)	50
3.	51-80% of area median income (low)	8
4.	Total (Sum of Rows 1-3)	3,025

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor should complete Part 6: Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a, Project Site Information, and 2b, Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor Agency Name (Required)

--

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):	
b.	Rehabilitation/Construction Dates:		Date started: _____ Date Completed: _____	
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied	
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services	
e.	Number of units in the facility:		HOPWA-funded units = _____ Total Units = _____	
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>	
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public	

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a, please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible – Mobility Units - Sensory Units
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor

Charts 3a, 3b, and 4 are required for each facility. In Charts 3a and 3b, indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence	241					
c.	Project-based rental assistance units or leased units	1,604	437	74	31	0	0
d.	Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor
a.	Leasing Costs	61	\$246,344
b.	Operating Costs	307	\$2,802,188
c.	Project-Based Rental Assistance (PBRA) or other leased units	2,162	\$32,461,839
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>	0	0
e.	Adjustment to eliminate duplication (subtract)	61	
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a through d minus Row e)	2,469	\$35,510,371

Project Sponsor Agency Name AIDS Center of Queens County, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Rahjene Hicks, Director of Housing		
Email Address	rhicks@acqc.org		
Business Address	161-21 Jamaica Avenue, 6th Floor		
City, County, State, Zip	Jamaica, Queens, NY 11432		
Phone Number 718-896-2500	Fax Number 718-472-5486		
Employer Identification Number (EIN):		11-2837894	
DUN & Bradstreet Number: 17-726-7978		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	6		
Congressional District(s) of Primary Service Area(s)	6, 7		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Queens	
Total HOPWA Contract Amount for this Organization	\$2,575,000		
Organization's Website Address	www.acqc.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name African Services Committee, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Mulusew Bekele, Director of Program Operations		
Email Address	mulusewb@africanservices.org		
Business Address	429 West 127th Street, 2nd Floor		
City, County, State, Zip	New York, New York, NY 10027		
Phone Number 212-222-3882	Fax Number 212-222-7067		
Employer Identification Number (EIN):		13-3749744	
DUN & Bradstreet Number: 796-087-047		Central Contractor Registration Active? No	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings, Queens, Richmond	
Total HOPWA Contract Amount for this Organization	\$350,000		
Organization's Website Address	www.africanservices.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Bailey House, Inc		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Kevin Blank, VP of Housing Operations		
Email Address	kblank@baileyhouse.org		
Business Address	1751 Park Avenue, 3rd Fl		
City, County, State, Zip	New York, New York, NY 10035		
Phone Number 212-633-2500 x471	Fax Number 212-633-2932		
Employer Identification Number (EIN):	13-3165181		
DUN & Bradstreet Number: 122506736	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$1,235,354		
Organization's Website Address	www.BaileyHouse.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name CAMBA, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Shirley Gayle, Senior Program Director		
Email Address	shirleyg@camba.org		
Business Address	19 Winthrop Street		
City, County, State, Zip	Brooklyn, Kings, NY 11225		
Phone Number 718-462-8654	Fax Number 718-703-7210		
Employer Identification Number (EIN):	11-2480339		
DUN & Bradstreet Number: 16-071-5983	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Kings	
Total HOPWA Contract Amount for this Organization	\$4,312,988		
Organization's Website Address	www.camba.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Catholic Charities Neighborhood Services, Inc.		Parent Company Name, if applicable Catholic Charities, Diocese of Brooklyn	
Name and Title of Contact at Project Sponsor Agency		Martin Sussman, Vice President	
Email Address		martin.sussman@ccbq.org	
Business Address		191 Joralemon Street	
City, County, State, Zip		Brooklyn, Brooklyn, NY 11201	
Phone Number 718-722-6229		Fax Number 718-722-6217	
Employer Identification Number (EIN):		11-2047151	
DUN & Bradstreet Number: 05-692-6215		Central Contractor Registration Active? No	
Congressional District of Business Location of Sponsor		15	
Congressional District(s) of Primary Service Area(s)		6,7,8,9,10,11,12,13,17	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	Bronx, New York, Kings, Queens, Richmond
Total HOPWA Contract Amount for this Organization		\$1,794,851	
Organization's Website Address		www.ccbq.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		Yes	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Gay Men's Health Crisis		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Kishani Moreno, Vice President of Operations	
Email Address		kishanim@gmhc.org	
Business Address		446 West 33rd Street	
City, County, State, Zip		New York, New York, NY 10001	
Phone Number 212-367-1492		Fax Number 212-367-1220	
Employer Identification Number (EIN):		13-3130146	
DUN & Bradstreet Number: 112905254		Central Contractor Registration Active? No	
Congressional District of Business Location of Sponsor		10	
Congressional District(s) of Primary Service Area(s)		10	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	Brooklyn, New York
Total HOPWA Contract Amount for this Organization		\$892,500	
Organization's Website Address		www.gmhc.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Hispanic AIDS Forum		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Heriberto Sanchez-Soto, Executive Director	
Email Address		hsanchezsoto@hafnyc.org	
Business Address		1767 Park Avenue, 5th Floor	
City, County, State, Zip		New York, New York, NY 10035	
Phone Number 212-563-4500 x2012		Fax Number 212-868-6237	
Employer Identification Number (EIN):		13-3422748	
DUN & Bradstreet Number: 60-738-3346		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		7	
Congressional District(s) of Primary Service Area(s)		5,7,8,9,10,11,12,13,14,15,16	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	Bronx, New York, Kings, Queens, Richmond
Total HOPWA Contract Amount for this Organization		\$3,700,000	
Organization's Website Address		www.hafnyc.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		Yes	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Harlem United Community AIDS Center, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Laura Grund, Senior Vice President	
Email Address		lgrund@harlemunited.org	
Business Address		306 Lenox Avenue, 3rd Floor	
City, County, State, Zip		New York, New York, NY 10027	
Phone Number 212-803-2850		Fax Number 212-803-2899	
Employer Identification Number (EIN):		13-3461695	
DUN & Bradstreet Number: 61-709-6862		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		15	
Congressional District(s) of Primary Service Area(s)		15	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	New York, Bronx
Total HOPWA Contract Amount for this Organization		\$2,757,323	
Organization's Website Address		www.harlemunited.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Institute for Community Living, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Marlyn Reynolds, Program Director		
Email Address	mreynolds@iclinc.net		
Business Address	125 Broad Street, 3rd Fl		
City, County, State, Zip	New York, New York, NY 10004		
Phone Number 718-290-8100	Fax Number 718-498-1019		
Employer Identification Number (EIN):	13-3306195		
DUN & Bradstreet Number: 17-330-6457	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	10		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$450,000		
Organization's Website Address	www.iclinc.net		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Iris House		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Paul David, Fiscal Analyst		
Email Address	pdavis@irishouse.org		
Business Address	2348 Adam Clayton Powell Jr. Boulevard		
City, County, State, Zip	New York, New York, NY 10030		
Phone Number 646-548-0100 x232	Fax Number 646-548-0200		
Employer Identification Number (EIN):	13-3699201		
DUN & Bradstreet Number: 94-616-2104	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$1,034,212		
Organization's Website Address	www.irishouse.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name The Osborne Association, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Jenny Bardales-Reyes, Coordinator of Contract Management & Medicaid		
Email Address	jbardales@osborneny.org		
Business Address	809 Westchester Avenue		
City, County, State, Zip	Bronx, Bronx, NY 10455		
Phone Number 718-707-2641	Fax Number 718-707-3102		
Employer Identification Number (EIN):	13-5563028		
DUN & Bradstreet Number: 08-464-1000	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$350,000		
Organization's Website Address	www.osborneny.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Praxis Housing Initiatives, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Floyd Cuevas, Director of Operations		
Email Address	fcuevas@praxishousing.org		
Business Address	130 West 29th Street 7th Fl.		
City, County, State, Zip	New York, New York, NY 10001		
Phone Number 212-678-0427	Fax Number 212-293-8420		
Employer Identification Number (EIN):	13-3832223		
DUN & Bradstreet Number: 883987752	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, Bronx	
Total HOPWA Contract Amount for this Organization	\$1,779,413		
Organization's Website Address	www.praxishousing.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Project Hospitality, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Rasheed Ford, Associate Area Director		
Email Address	rford@projecthospitality.org		
Business Address	100 Park Avenue		
City, County, State, Zip	Staten Island, Richmond, NY 10302		
Phone Number 718-448-1544	Fax Number 718-720-5476		
Employer Identification Number (EIN):	13-3234441		
DUN & Bradstreet Number: 60-332-6992	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	13		
Congressional District(s) of Primary Service Area(s)	13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Richmond	
Total HOPWA Contract Amount for this Organization	\$1,604,524		
Organization's Website Address	www.projecthospitality.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	Yes		
Grassroots?	Yes		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Services for the Underserved, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Yolanda Stevenson, Program Director		
Email Address	ystevenson@sus.org		
Business Address	457 Saint Marks Place		
City, County, State, Zip	Brooklyn, Brooklyn, NY 11238		
Phone Number 917-408-1437	Fax Number 855-575-6151		
Employer Identification Number (EIN):	91-1918247		
DUN & Bradstreet Number: 10-367-5559	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	9		
Congressional District(s) of Primary Service Area(s)	9		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$656,217		
Organization's Website Address	www.susnyc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name St. Nicks Alliance Corp.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Natalie Birger, Controller	
Email Address		nbirger@stnicksalliance.org	
Business Address		2 Kingsland Avenue, 1st Floor	
City, County, State, Zip		Brooklyn, Kings, NY 11211	
Phone Number 518-930-4767		Fax Number 718-486-5982	
Employer Identification Number (EIN):		51-0192170	
DUN & Bradstreet Number: 09-376-4231		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		14	
Congressional District(s) of Primary Service Area(s)		10,11	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	Kings
Total HOPWA Contract Amount for this Organization		\$2,711,451	
Organization's Website Address		www.stnicksalliance.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Unique People Services, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Yvette Brissett-Andre, CEO/Executive Director	
Email Address		yvetteba@uniquepeopleservices.org	
Business Address		4234 Vireo Avenue	
City, County, State, Zip		Bronx, Bronx, NY 10470	
Phone Number 718-231-7711		Fax Number 718-231-7720	
Employer Identification Number (EIN):		13-3636555	
DUN & Bradstreet Number: 847912466		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		16	
Congressional District(s) of Primary Service Area(s)		6,16	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	Bronx
Total HOPWA Contract Amount for this Organization		\$2,545,153	
Organization's Website Address		www.uniquepeopleservices.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Volunteers of America-Greater New York, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Michael Solana, Acting Vice President of Finance		
Email Address	msolana@voa-gny.org		
Business Address	340 West 85th Street		
City, County, State, Zip	New York, New York, NY 10024		
Phone Number 212-873-2600 x304	Fax Number 212-769-2629		
Employer Identification Number (EIN):	58-1978159		
DUN & Bradstreet Number: 361157287	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	14, 15, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$1,836,406		
Organization's Website Address	www.voa-gny.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	Yes		
Grassroots?	Yes		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Center for Urban Community Services, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Evelyn Cortes, Accounting Coordinator		
Email Address	ecortes@cucs.org		
Business Address	198 East 121st Street 6th floor		
City, County, State, Zip	New York, New York, NY 10035		
Phone Number 212-801-2356	Fax Number 212-801-2356		
Employer Identification Number (EIN):	13-3687891		
DUN & Bradstreet Number: 87-766-0589	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	12		
Congressional District(s) of Primary Service Area(s)	12, 7		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$737,932		
Organization's Website Address	www.cucs.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Coalition for the Homeless		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Siobhan Barnes, Contract Manager		
Email Address	sjiang@cfthomeless.org		
Business Address	129 Fulton Street		
City, County, State, Zip	New York, New York, NY 10038		
Phone Number 212-776-2084	Fax Number 212-964-1206		
Employer Identification Number (EIN):		13-3072967	
DUN & Bradstreet Number: 17-797-2494		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$597,994		
Organization's Website Address	www.coalitionforthehomeless.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Comunilife		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Bernard Bonner, Budget Director		
Email Address	bbonner@comunilife.org		
Business Address	214 West 29th Street, 8th Floor		
City, County, State, Zip	New York, New York, NY 10001		
Phone Number 212-219-1618 x6147	Fax Number 212-643-0634		
Employer Identification Number (EIN):		13-3530299	
DUN & Bradstreet Number: 78-112-3005		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	11, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, Kings, Queens	
Total HOPWA Contract Amount for this Organization	\$4,105,273		
Organization's Website Address	www.comunilife.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name FACES, NY		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Andrea Chue, Fiscal Associate	
Email Address		andreachue.facesny@gmail.com	
Business Address		123 West 115th Street	
City, County, State, Zip		New York, New York, NY 10026	
Phone Number 212-663-7772		Fax Number 212-663-4310	
Employer Identification Number (EIN):		13-3449087	
DUN & Bradstreet Number: 62-321-8856		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	10		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$178,036		
Organization's Website Address	www.facesny.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Housing & Services Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Tenille Da Matha Santanna, Controller	
Email Address		Tsantanna@hsi-ny.org	
Business Address		243 West 30th Street 2nd floor	
City, County, State, Zip		New York, New York, NY 10001	
Phone Number 212-252-9377 x105		Fax Number 212-252-9322	
Employer Identification Number (EIN):		51-0201833	
DUN & Bradstreet Number: 18-927-9276		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$986,523		
Organization's Website Address	www.hsi-ny.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Lantern Community Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Emmanuel Blugh, Controller		
Email Address	eblugh@lanterncommunity.org		
Business Address	494 Eighth Avenue, 20th Floor		
City, County, State, Zip	New York, New York, NY 10001		
Phone Number 212-398-1073 x122	Fax Number 212-398-3071		
Employer Identification Number (EIN):	133910692		
DUN & Bradstreet Number: 16142684	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	10,15,16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Kings, Bronx	
Total HOPWA Contract Amount for this Organization	\$1,230,886		
Organization's Website Address	www.lanterngroup.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name University Consultation & Treatment Center For Mental Hygiene, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Patricia Genao, Bookkeeper		
Email Address	patgen@universityconsultationcenter.org		
Business Address	1021 Grand Concourse		
City, County, State, Zip	Bronx, Bronx, NY 10451		
Phone Number 718-293-8400	Fax Number		
Employer Identification Number (EIN):	13-1944395		
DUN & Bradstreet Number: 08-305-9865	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	7,16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$335,576		
Organization's Website Address	www.universityconsultationcenter.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Rockland County Office of Community Development		Parent Company Name, if applicable Rockland County	
Name and Title of Contact at Project Sponsor Agency		Karey Lynch, HOOME Program Coordinator	
Email Address		lynchk@co.rockland.ny.us	
Business Address		50 Sanatorium Road, Building K	
City, County, State, Zip		Pomona, Rockland, NY 10970	
Phone Number 845-364-3939		Fax Number 845-364-3940	
Employer Identification Number (EIN):		13-6007344	
DUN & Bradstreet Number: 75437848		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	17		
Congressional District(s) of Primary Service Area(s)	17, 18, 19		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	Rockland	Rockland	
Total HOPWA Contract Amount for this Organization	\$193,914		
Organization's Website Address	www.rocklandgov.com/departments/community-development/		
Is the sponsor a nonprofit organization?		No	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Lifting Up Westchester		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Barbara Bento-Fleming, Program Director, Housing Services	
Email Address		bbento-fleming@liftingupwestchester.org	
Business Address		35 Orchard Street	
City, County, State, Zip		White Plains, Westchester, NY 10603	
Phone Number 914-949-0925 x3		Fax Number 914-686-3077	
Employer Identification Number (EIN):		13-3121606	
DUN & Bradstreet Number: 62-537-5811		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	0		
Congressional District(s) of Primary Service Area(s)	16, 17, 18		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	Westchester	Westchester County	
Total HOPWA Contract Amount for this Organization	\$1,167,591		
Organization's Website Address	www.liftingupwestchester.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Monmouth County Division of Social Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Christine Aumack, Social Work Administrator	
Email Address		christine.aumack@co.monmouth.nj.us	
Business Address		3000 Kozloski Road	
City, County, State, Zip		Freehold, Monmouth, NJ 0	
Phone Number 732-431-6000 x6176		Fax Number 732-431-6267	
Employer Identification Number (EIN):		21-6000881	
DUN & Bradstreet Number: 68704485		Central Contractor Registration Active? No	
Congressional District of Business Location of Sponsor		0	
Congressional District(s) of Primary Service Area(s)		NJ-012, NJ-004, NJ-006	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		Monmouth	Monmouth
Total HOPWA Contract Amount for this Organization		\$398,464	
Organization's Website Address		www.co.monmouth.nj.us	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Ocean County Board of Social Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Linda Murtagh, Director	
Email Address		lmurtagh@xbp.dhs.state.nj.us	
Business Address		1027 Hooper Avenue, P.O. Box 547	
City, County, State, Zip		Toms River, Ocean, NJ 0	
Phone Number 7323-349-1500		Fax Number 732-244-8075	
Employer Identification Number (EIN):		21-600956	
DUN & Bradstreet Number: 78-274-776		Central Contractor Registration Active? No	
Congressional District of Business Location of Sponsor		3	
Congressional District(s) of Primary Service Area(s)		3, 4	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		Ocean	Ocean County
Total HOPWA Contract Amount for this Organization		\$267,114	
Organization's Website Address		www.co.ocean.nj.us/socialservices/	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name The Salvation Army New Brunswick Corps		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Lorraine Ramos, Social Service Case Manager		
Email Address	Lorraine.Ramos@USE.SalvationArmy.Org		
Business Address	287 Handy Street		
City, County, State, Zip	New Brunswick, Middlesex, NJ 0		
Phone Number 732-545-1477 x113	Fax Number 732-545-3606		
Employer Identification Number (EIN):	13-5562351		
DUN & Bradstreet Number:			Central Contractor Registration Active? Yes
Congressional District of Business Location of Sponsor	7		
Congressional District(s) of Primary Service Area(s)	7, 13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	Middlesex	Middlesex	
Total HOPWA Contract Amount for this Organization	\$337,500		
Organization's Website Address	www.salvationarmy.org/newbrunswick		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	Yes		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name RECAP		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Joya Gambino, Chief Financial Officer		
Email Address	jgambino@recap.org		
Business Address	40 Smith Street		
City, County, State, Zip	Middletown, Orange, NY 10940		
Phone Number 845-421-6226	Fax Number 845-342-5757		
Employer Identification Number (EIN):	141493667		
DUN & Bradstreet Number: 54519780			Central Contractor Registration Active? Yes
Congressional District of Business Location of Sponsor	0		
Congressional District(s) of Primary Service Area(s)	19, 20		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	Middletown & Newburgh	Orange County	
Total HOPWA Contract Amount for this Organization	\$179,978		
Organization's Website Address	www.recap.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name PathStone		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Jeffrey Lewis, Senior Vice President	
Email Address		jlewis@pathstone.org	
Business Address		400 East Avenue	
City, County, State, Zip		Rochester, Rochester, NY 14607	
Phone Number 585-340-3322		Fax Number 585-340-3337	
Employer Identification Number (EIN):		16-0984913	
DUN & Bradstreet Number: 07-970-5000		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		25	
Congressional District(s) of Primary Service Area(s)		18	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		Middletown	Orange County
Total HOPWA Contract Amount for this Organization		\$82,742	
Organization's Website Address		www.pathstone.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Proposed Consolidated Plan

ANNUAL PERFORMANCE REPORT 2020

Volume III

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

ADDENDA

The City of New York

CD Year 46: January 1, 2020 to December 31, 2020

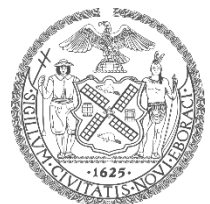


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2020 CONSOLIDATED PLAN ANNUAL PERFORMANCE REPORT COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM ADDENDA

INTRODUCTION

The Community Development Block Grant (CD or CDBG) is one of four annual entitlement grants the City of New York receives from the U.S. Department of Housing and Urban Development (HUD). HUD determines each grantee's CD entitlement amount by a formula and the funds can be used for a variety of different activities. New York City maintains discretion in using its CD allocation for housing renovation, maintenance, and services; economic development; improvements and renovations to public facilities; and public services. Program regulations require every CD-funded activity to either benefit low- and moderate-income (low/mod) persons, prevent or eliminate slum or blighting conditions, or meet an urgent need (e.g., recovering from a hurricane). For the purposes of CDBG, a person is considered low- or moderate-income if their household income is at or below 80 percent of the HUD-defined Area Median Income (AMI).

New York City also receives HUD formula entitlement funding through the HOME Investment Partnerships (HOME), Housing Opportunities for Persons with AIDS (HOPWA), and Emergency Solutions Grants (ESG) programs. As a condition of receiving these funds, grantees must prepare a Consolidated Strategic Plan that outlines how the grantee will use these sources to address its identified needs over a five-year period. Grantees then update the Strategic Plan through a series of One-Year Action Plans. At the end of each program year, grantees must prepare and release for public comment a Consolidated Plan Annual Performance Report (APR).

Volume I of New York City's Consolidated Plan APR only identifies CD expenditures and accomplishment indicators for programs that were allocated funds during 2020. Additionally, Volume I aggregates the accomplishments of the 2020-funded programs by the five-year goals identified in the 2015 Strategic Plan; as a result, expenditures and accomplishments are not clearly identified by program. Finally, due to the limitations of HUD's reporting software, Volume I does not include information for Planning or General Administration activities. Since it's not possible to include performance information for all the 2020 CD-funded programs in Volume I, these Addenda serve as a supplement.

The CD Addenda represent Volume III of the Consolidated Plan APR and are comprised of three documents:

- **Volume III: Addenda Main Volume** - This document reports the performance of NYC's CD program for the Forty-Sixth CD Program Year. The reporting period is the calendar year, consistent with the City's Consolidated Plan Year. The format of this document is based on the "CD Activity Summary Report" from HUD's reporting software, the Integrated Disbursement and Information System (IDIS). The report reflects programs that received a CD allocation in the 2020 Consolidated Plan and programs that are still spending prior years' funds. It also includes other "offline" information such as site-specific expenditures and accomplishments too voluminous to enter into IDIS's accomplishments fields, which are limited to 4,000 characters.
- **Volume III: Locational Data Files** – This Appendix provide the locations of properties assisted by several CD-funded programs, the 2010 census tract for each location, and the CD eligibility of each census tract. This information is primarily used to document the eligibility of programs that serve areas as opposed to individuals. A CD-eligible census tract is one that is at least 51.0 percent comprised of persons from low/mod households and where at least 50.0 percent of the built floor area is classified as residential. Zoning data from the Department of City Planning's Primary Land Use Tax Lot Output (PLUTO) system is aggregated for all buildings in a census tract to determine the percentages of residential, commercial, and industrial floor area.
 - Section A contains an explanation of how each listed program served the locations in its respective site listing and a table that summarizes the number and eligibility of the sites served by each program.
 - Section B lists specific site addresses for the following programs: Emergency Repair Program (ERP), ERP Lead-Based Paint Hazard Reduction, Litigation, Litigation Certificate of No Harassment (CONH) Pilot, Maintenance and Operation of Tax-Foreclosed Housing (MOTH), MOTH: Third Party Transfer,

Targeted Code Enforcement: General Inspections Targeted Code Enforcement: Lead-Based Paint Inspections, and Targeted Code Enforcement: Proactive Preservation Initiative.

Due to the coronavirus, printed copies are not available. However, electronic copies may be requested by emailing PellegrinoE@omb.nyc.gov.

Following are explanations of the Integrated Disbursement and Information System's (IDIS) fields used in the Annual Performance Report.

- Project ID: A sequential, IDIS-generated number that is based on the order in which programs were entered into IDIS during the reporting year in which they were created.
- IDIS Activity ID: IDIS also assigns every funded activity a HUD activity number. Some programs have more than one component, which results in activities sharing a Project ID but having separate Activity IDs. For example, the GreenThumb program has two activities: a Public Services component and a Public Facilities component. Both activities share the Project ID 0053, but the Public Services component is Activity ID 537 and the Public Facilities component is 6487.
- Status: Lists the status of each program as follows:
 - CANCELLED - The activity was cancelled, and all funds were reprogrammed;
 - COMPLETED - The activity was completed and will not be reported in subsequent APRs; and
 - OPEN - The activity is underway.
- Location: Provides a summary of the location of each activity that was active during the program year.
- Description: Provides a short description of the work funded through each activity.
- Matrix Code: Each program must be matched to a CD eligibility category, which identifies the type of activity funded (i.e., describes what the activity is *doing*). The Matrix Code further drills down to the nature of the activity. For example, Public Facilities & Improvements programs are signified by Matrix Code 03 and are usually followed by a letter that identifies the type of facility being renovated (e.g., 03A signifies a senior center, 03M signifies child care centers). A listing of all available matrix codes is provided in the chart located on page VI.

The first matrix code shown for each program identifies the primary eligibility category for the program reported; if a program meets more than one eligibility category, secondary matrix codes are listed as well.
- National Objective: With the exception of programs categorized as Planning or Program Administration, every program must meet a national objective. The national objective identifies the population or area(s) served through a CD-funded program. A brief explanation of the various national objectives is provided on page IX.
- Drawn in Program Year: Displays the total amount of "drawn" (disbursed) funds during the program year.
- Accomplishments: Grantees must report accomplishments using HUD-defined categories. The categories are People, Households, Businesses, Jobs, Organizations, Housing Units, and Public Facilities. Since not all CD accomplishments fit neatly into the HUD categories, clarification is provided in the accomplishment narrative when necessary. Also, please refer to section CR-05 in Volume I of the Consolidated Plan APR for the Goals and Outcomes Chart and a discussion of those programs that fell short of or surpassed their goals by more than 25 percent.
- Race/Ethnicity of Beneficiaries: Lists the race/ethnicity of the beneficiaries for activities that are required to maintain personal records. The City cannot require this data from clients; it must be provided voluntarily. However, HUD does allow estimates or surveys to be used to report racial data. Where a personal record is not maintained, the administering agency or nonprofit will use data from surveys or estimates, if available. The race/ethnicity data that is reported for each program reflect the aggregated total of the CD-funded sites served.

Please note that race/ethnicity categories reflect those required by the Federal Office of Management and Budget. The methodology gives persons and households the ability to identify themselves as being of one or more races (for households, the information generally reflects the race/ethnicity of the head of the household). Along with their racial identification, individuals and households are asked to identify whether they are Hispanic or Non-Hispanic. However, several CD-funded programs have found that many Hispanic persons only choose to identify their ethnicity and refuse to identify a race. These persons are reported under the “Other Multi-Racial” category.

- Income Category: Income information is reported for activities in which the benefit flows primarily to specific persons or households. Information on direct beneficiaries is collected only for the following national objectives: Limited Clientele: Income Survey or Income Exclusive, Low- and Moderate-Income Housing, and Low- and Moderate-Income Jobs.
 - Extremely Low: represents the total number of households or persons assisted whose incomes are at or below 30 percent of AMI.
 - Low/Mod: represents the total number of households or persons assisted whose incomes are between 30.1 percent and 50 percent of AMI. Please note that, while IDIS titles this field as “Low/Mod,” it only captures the number of low-income households or persons.
 - Moderate: represents the total number of households or persons assisted whose incomes are between 50.1 percent and 80 percent of AMI.
- Accomplishment Narrative: Provides program accomplishments for the reporting period as well as the activity's status, which may include milestones reached or problems and delays encountered.

2020 Fiscal Issues

The City's Consolidated Plan 2020 Year (calendar year) is the same as the Forty-Sixth Community Development Year (CD 46). The 2020 Proposed Consolidated Plan reflected a CDBG Entitlement award of \$173,664,331 for Federal Fiscal Year (FFY) '20. To supplement the FFY '20 Entitlement, the City had projected that a total of \$63,600,000 would be available from program income, applicable credits, and accruals. Thus, the City projected that a total of \$277,081,000 would be available to allocate to programs in 2020/CD 46.

In 2020, the City had a total of \$323,596,005 available from the Federal Fiscal Year 2020 Entitlement, program income, revenue credits, and accruals. Total expenditures were \$208,846,192. This is an expenditure rate of 65 percent.

The City also received \$224,363,433 in supplemental CDBG funds (called CDBG-CV) from the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) to prevent, prepare for, and respond to COVID-19. As of December 31, 2020, CDBG-CV reimbursements totaled \$26,290,888 as the City was still performing due diligence to ensure all COVID-related expenditures met federal requirements. Between the Entitlement and CV programs, the City drew a total of \$235,137,080 in CDBG funding in Calendar Year 2020.

Although the expenditure rate is relatively low compared to past years, the City feels this rate is acceptable given the impact of COVID-19 on the City's budget. New York City had its first confirmed case of COVID-19 on March 1, 2020, soon becoming the early epicenter of the COVID-19 crisis in the U.S. Shortly thereafter, Governor Cuomo issued a statewide stay-at-home order, which went into effect on March 22, 2020. Due to the pandemic, the City:

- Issued a strict hiring freeze, resulting in significantly less hires than in previous years. Thus, positions that would have been CD-funded went unfilled.
- Temporarily or fully suspended certain CD-funded activities (e.g., Beacon Schools, Minipools) and reduced service for others. For example, while larger parks were open to allow social distancing, they did not hold large events as in years past. Additionally, construction projects (e.g. Parks Construction and Renovation Program, LPC Historic Preservation Grants Program, and Project Open House) could not start as scheduled.

- Reallocated \$146,366,000 of prior-year CD funding to new programs to prepare for, respond to, and prevent the spread of COVID-19. While these programs quickly incurred expenditures, the City is still reviewing the charges to ensure they were necessary, reasonable, and compliant with applicable regulations. Accordingly, the City has not yet drawn reimbursement on a large portion.

Programmatic Changes in the 2020/CD 46 Budget

The City made several programmatic changes in 2020, mostly related to the COVID-19 pandemic.

Coronavirus's impact on NYC was swift and unprecedented. For example, within six weeks of Mayor de Blasio declaring a state of emergency, the number of NYC residents filing for unemployment had increased 1,893% over the same six-week period in 2019, the entire public school system switched to remote learning, and approximately one-third of the City's food banks were forced to close as demand for free or affordable food exploded.

As stated previously, the City received \$224,363,433 in supplemental CDBG funds (called CDBG-CV) to prevent, prepare for, and respond to COVID-19. Additionally, to fund urgent coronavirus-related activities, the City reallocated \$146,366,000 in prior-year funds from four existing programs:

Program Cancellations

- The Accessibility Improvements in City Schools program's 2019 allocation was reduced by \$66,241,000. The City will use future alternate funding sources to make the required accessibility improvements.
- The Shelter Modernization Program's 2019 allocation was reduced by the entire \$307,000.

Budget Reductions

- The Emergency Repair Program's 2019 allocation was reduced from \$42,480,000 to \$12,214,000, a reduction of \$30,266,000.
- NYCHA's Public Housing Rehabilitation Program's 2019 program allocation was reduced from \$50,647,000 to \$1,095,000, a reduction of \$49,552,000.

Although the Emergency Repair and Public Housing Rehabilitation programs' 2019 CDBG budgets were reduced, the City proposed an amendment to the 2018 Consolidated Plan to reallocate funds *within* the 2018 program year to ensure these programs will remain fully operational (to the extent possible within COVID-19 health and safety restrictions).

These changes were made for the following reasons:

- The City opted to redirect funds from programs with longer spending timelines to the immediate need for a COVID-19 response; and
- Through the CARES Act, Congress waived the 15 percent Public Service cap for both the CDBG-CV and 2019 CDBG Entitlement allocations. All four changes reallocated funds from rehabilitation-related programs to COVID-focused Public Service activities.

Between CDBG-CV and prior-year funds, the City directed \$370,729,433 of CDBG funds to the following activities:

- The DOE Remote Learning Program provided students with resources to access remote classes, educational materials, and educators and peers while school buildings are closed or in limited use. Allocation: \$189,741,366.
- Connected NYCHA: Older Adults provided internet-enabled tablets, support, and training to 10,000 senior NYCHA residents to combat social isolation, access critical information, and remain engaged with services/resources during the COVID-19 emergency. Allocation: \$4,420,567.
- The GetCool Program provided air conditioner units and installation to older adults to mitigate prolonged exposure to extreme heat during stay-at-home orders. Allocation: \$33,210,000.
- The Pandemic Food Reserve Emergency Distribution (P-FRED) program allowed the City to increase its ability to purchase and distribute food to match the increased demand caused by the pandemic. Additionally, the

program provided capacity building grants to food pantries participating in the program and upgraded apps used to monitor and facilitate food distribution. Allocation: \$50,000,000.

- The Test and Trace Resource Navigators Program helped quarantined residents access necessities while they were unable to leave the home. Allocation: \$29,400,000.
- The COVID-19 Technical Support Program provided administrative support to CDBG-eligible activities that responded to the pandemic. Allocation: \$600,000.
- The DOE Community Meals Program offers free daily meals to households experiencing food insecurity. Allocation: \$57,727,500.
- The DOE Reopening Costs program ensures that safe air ventilation is maintained for children and staff at educational facilities. Allocation: \$5,630,000.

Finally, the Minipools program did not operate during the 2020 season due to concerns about spreading COVID-19. The City reallocated the program's 2020 allocation of \$566,000 as follows:

- \$250,000 was allocated to the Project Open House program to perform lead-based paint assessments and remediation at several sites.
- \$50,000 was allocated to the Landmarks Preservation Commission (LPC) Planning program to photograph and otherwise record historically significant properties that pose a threat to the surrounding community and are expected to be demolished.
- \$266,000 was allocated to the CDBG Administration program to cover indirect costs and fringe benefits attributable to CDBG-funded staff.

HUD MATRIX CODES

MATRIX CODE	HUD Code Title	MATRIX CODE	HUD Code Title
01	Acquisition of Real Property	05A	Senior Services
02	Disposition of Real Property	05B	Services for Persons with Disabilities
03A	Senior Centers	05C	Legal Services
03B	Facility for Persons with Disabilities	05D	Youth Services
03C	Homeless Facilities (Not Operating Costs)	05E	Transportation Services
03D	Youth Centers	05F	Substance Abuse Services
03E	Neighborhood Facilities	05G	Services for Victims of Domestic Violence, Dating Violence, Sexual Assault, or Stalking
03F	Parks, Recreational Facilities	05H	Employment Training
03G	Parking Facilities	05I	Crime Awareness
03H	Solid Waste Disposal Improvements	05J	Fair Housing Activities - Subject to Public Service Cap
03I	Flood Drainage Improvements	05K	Tenant/Landlord Counseling
03J	Water/Sewer Improvements	05L	Child Care Services
03K	Street Improvements	05M	Health Services
03L	Sidewalks	05N	Abused and Neglected Children
03M	Child Care Centers	05O	Mental Health Services
03N	Tree Planting	05P	Screening for Lead Based Paint/Lead Hazards
03O	Fire Station/Equipment	05Q	Subsistence Payments
03P	Health Facilities	05R	Homebuyer Downpayment Assistance - Excluding Housing Counseling, under 24 CFR 5.100
03Q	Abused and Neglected Children Facilities	05S	Rental Housing Subsidies
03R	Asbestos Removal	05T	Security Deposits
03S	Facilities for AIDS Patients (no operating costs)	05U	Housing Counseling Only, under 24 CFR 5.100
03T	Operating Costs Homeless/AIDS Patients	05V	Neighborhood Cleanups
03Z	Other Public Improvements Not Listed in 03A-03S	05W	Food Banks
04	Clearance and Demolition	05X	Housing Information and Referral Services
04A	Cleanup of Contaminated Sites	05Y	Housing Counseling under 24 CFR 5.100 Supporting Homebuyer Downpayment Assistance (05R)
		05Z	Other Public Services Not Listed in 03T and 05A-05Y

MATRIX CODE	HUD Code Title	MATRIX CODE	HUD Code Title
06	Interim Assistance	17C	Commercial/Industrial Building Acquisition, Construction, Rehabilitation
07	Urban Renewal Completion	17D	Other Commercial/Industrial Improvements
08	Relocation	18A	Economic Development: Assistance to For-Profits
09	Rental Income Loss	18B	Economic Development: Technical Assistance
11	Privately Owned Utilities	18C	Micro-Enterprise Assistance
12	Construction of Housing	19C	Nonprofit Capacity Building
13A	Housing Counseling, under 24 CFR 5.100	19E	Operation and Repair of Foreclosed Property
13B	Homeownership Assistance - excluding Housing Counseling under 24 CFR 5.100	19F	Planned Repayments of Section 108 Loans
14A	Rehab; Single-Unit Residential	19G	Unplanned Repayments of Section 108 Loans
14B	Rehab; Multi-Unit Residential	19H	State CDBG Technical Assistance to Grantees
14C	Public Housing Modernization	20	Planning
14D	Rehab; Other than Public-Owned Residential Buildings	20A	State Planning-only 570.483(b)(5) and (c)(3)
14E	Rehabilitation Public/Private Commercial/Industrial	21A	General Program Admin. - 570.206
14F	Energy Efficiency Improvements	21B	Indirect Costs
14G	Acquisition for Rehabilitation	21C	Public Information
14H	Rehabilitation Administration	21D	Fair Housing Activity (subject to Admin. cap)
14I	Lead-Based Paint Abatement	21E	Submissions or Applications for Federal Programs
14J	Housing Services, excluding Housing Counseling under 24 CFR 5.100	21H	CDBG Funding of HOME Admin.
14K	Housing Counseling, under 24 CFR 5.100, Supporting HOME Program Assistance Housing Activities	21I	CDBG Funding of HOME CHDO Operating Costs
14L	Housing Counseling, under 24 CFR 5.100, in Conjunction with CDBG Assisted Housing Rehab	21J	State Administration Costs
15	Code Enforcement	23	Tornado Shelters - Private Mobile Home Parks
16A	Residential Historic Preservation	24A	Payment of Interest on Section 108 Loans
16B	Non-Residential Historic Preservation	24B	Payment of Costs of Section 108 Financing
17A	ED Acquisition by Recipient	24C	Debt Service Reserve
17B	Commercial/Industrial Infrastructure Development		

HUD NATIONAL OBJECTIVES

National Objectives that Provide a Low/Mod Benefit

- **Low- and Moderate-Income Area** - A Low- and Moderate-Income Area activity is designed to serve low/mod persons residing in a primarily residential area where at least 51 percent of the residents are low/mod persons. The benefits of this type of activity must be available to all residents in the area regardless of income, age, etc.
- **Low- and Moderate-Income Housing** - The activity creates, improves, or assists permanent residential structures that will be occupied by low/mod households. Single unit structures must be occupied by low/mod households, two-unit structures must have at least one unit occupied by a low/mod household, and a structure containing more than two units must have at least 51.0 percent of the units occupied by low/mod households.
- **Low- and Moderate-Income Jobs** - The activity creates or retains permanent jobs, at least 51.0 percent of which, on a full time equivalent (FTE) basis, are either held by low/mod income persons or considered to be available to low/mod income persons.
- **Limited Clientele: Income Exclusive** - The activity requires information on family size and income that demonstrates that *all* beneficiaries are persons from low/mod households.
- **Limited Clientele: Income Survey** - The activity requires information on family size and income that demonstrates that *at least 51.0 percent* of the clientele are persons from low/mod households.
- **Limited Clientele: Nature and Location** - The activity is of such a nature and in such a location that it may reasonably be concluded that the activity's clientele will primarily be low/mod persons. This objective is generally used when an activity primarily benefits New York City Housing Authority developments.
- **Limited Clientele: Presumed Benefit** - The activity benefits a clientele that is generally presumed by HUD to be low/mod persons (abused children, elderly persons, victims of domestic violence, homeless persons, adults meeting Bureau of Census's definition of persons with severe disabilities, illiterate adults, persons living with AIDS, and migrant farm workers).

National Objectives that Address Slum or Blighting Conditions

- **Slum or Blighted Area** - The activity addresses signs of blight or deterioration in areas that have been designated "Slum or Blighted Areas" (SBAs) under state or local law. The Department of Housing Preservation and Development (HPD) uses the triennial *Housing and Vacancy Survey* (HVS) to determine the areas that qualify as SBAs. The primary purpose of the HVS is to determine whether a housing emergency exists, as a condition for the continuation of rent control and rent stabilization in the City; however, the HVS also studies the condition of rental units throughout the city. In New York City, Slum or Blighted Areas are areas in which 25 percent or more of the occupied rental units in multiple dwellings have three or more maintenance deficiencies (e.g., lack of heat, rodents, cracks in the walls or ceilings).
- **Slum or Blight Spot** - The activity eliminates slum or blighting conditions on specific locations or properties not located in designated SBAs. Programs eligible under this category essentially target conditions that cause imminent public health and safety threats in order to prevent the condition from becoming pervasive throughout the adjacent area and properties.

National Objectives that Address an Urgent Need

- **Urgent Need** - The activity is designed to alleviate existing conditions that pose a serious and immediate threat to the public's health or welfare and that are of recent origin or recently became urgent. This national objective is not currently relevant for any of New York City's CD-funded activities.

7A PROGRAM

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0085

IDIS Activity ID: 507

Status: Open

Location:

CD-funded staff at HPD oversee projects citywide. Staff is located at 100 Gold Street, New York, New York 10038.

Program Description:

CD funds are used for staff that oversees systems repair and replacement through 7A assistance packages. The aim is to improve conditions in 7A buildings.

Consolidated Plan Strategic Goal: Preserve and improve occupied private housing

Matrix Code:

14B - Rehabilitation: Multi-Unit Residential

National Objective:

Slum or Blighted Area
Slum or Blight Spot

Drawn in Program Year: \$784,951.00

Accomplishments

Proposed: 84 Housing Units (Rental)

Actual: 90 Housing Units (Rental)

Accomplishment Narrative:

Article 7-A of the New York State Real Property Actions and Proceedings Law authorizes the New York City Housing Court to appoint administrators to operate privately-owned buildings that delinquent owners have abandoned or where dangerous conditions exist that affect the life, health, and safety of the tenants. Under Article 7-A, HPD is authorized to initiate legal action when serious emergency conditions exist in occupied residential buildings where the property owner has not addressed such conditions over an extended period.

In 2020, 7A staff appointed administrators for two buildings, conducted 69 feasibility inspections, performed 25 Consent Order Monitorings, and discharged one building from the 7A program (sold via bankruptcy proceeding). 7A staff brought 22 housing units from substandard to standard condition with capital funding, and 68 housing units from substandard to standard through litigation, for a total of 90 housing units.

HPD uses CD funds for staff within the 7A Financial Assistance Unit. As of 12/31/2020, there were 10 budgeted positions, of which nine were active.

ACCESSIBILITY IMPROVEMENTS IN CITY SCHOOLS

Administering Agency: Department of Education (DOE)

Project ID: 0038

IDIS Activity ID: 6816

Status: Open

Location:

New York City public schools citywide.

Program Description:

The Department of Education uses CD funds to make physical improvements that will increase the number of schools that are accessible to persons with disabilities.

Consolidated Plan Strategic Goal: Make the City more livable for people with disabilities

Matrix Code:

03E - Public Facilities and Improvements:
Neighborhood Facilities

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$805,719.00

Accomplishments

Proposed: 0 People

Actual: 26,414 People

Race Category	Total Served	# Hispanic
White:	3,976	0
Black/African American:	6,588	0
Asian:	4,298	0
American Indian/Alaskan Native:	0	0
Native Hawaiian/Other Pacific Islander:	0	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	11,552	10,732
Total:	26,414	10,732

Income Category:	Person
Extremely Low	0
Low/Mod	18,321
Moderate	0
Non-Low/Moderate	8,093
Total	26,414
<i>Percent Low/Mod</i>	69.36%

Accomplishment Narrative:

Through this program, the NYC Department of Education (DOE) performs physical improvements to increase the number of City schools that are accessible for students, parents, employees, and community members with disabilities.

Public schools are currently used, or could be used if they were more accessible, as polling sites, testing centers, hurricane evacuation centers, sites for literacy classes, venues for community meetings and public hearings, etc. The national objective for this program is Limited Clientele: Presumed Benefit as the upgrades at the schools benefit all persons with disabilities. However, the City is unable to quantify the number of community members that may use each school, so the City has reported the number of students that attend each site.

In 2020, DOE used CD funds to conduct 32 jobs at 18 schools. The following are expenditure amounts and job counts by job type:

<u>Violation Type</u>	<u>Job Count</u>	<u>Expenditure</u>
Accessibility Installations and Upgrades	15	\$290,674
Architectural and Engineering Drawings	13	\$115,761
Electrical	3	\$36,820
Elevator Repair	1	\$25,980
Bonding	N/A	\$109,170
Total	32	\$578,405

As of 12/31/2020, this program had three budgeted and two active positions. PS charges for 2020 totaled \$227,314.

ADULT LITERACY PROGRAM: CLASSROOM

Administering Agency: Department of Youth and Community Development (DYCD)

Project ID: 0204

IDIS Activity ID: 2005

Status: Open

Location:

Citywide - See the accomplishment narrative for sites.

Program Description:

CD funds are used to administer Adult Basic Education and English for Speakers of Other Language classes for adults.

Consolidated Plan Strategic Goal: Improve literacy of low-skilled adults

Matrix Code:

05H - Public Services: Employment Training

National Objective:

Limited Clientele: Presumed Benefit
Limited Clientele: Income Survey

Drawn in Program Year: \$1,309,716.00

Accomplishments

Proposed: 1,360 People

Actual: 1,212 People

Race Category	Total Served	# Hispanic
White:	299	70
Black/African American:	124	19
Asian:	176	1
American Indian/Alaskan Native:	4	3
Native Hawaiian/Other Pacific Islander:	1	1
American Indian/Alaskan Native & White:	1	0
Asian & White:	0	0
Black/African American & White:	10	9
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	597	524
Total:	1,212	627

Income Category:	Person
Extremely Low	1,045
Low/Mod	137
Moderate	28
Non-Low/Moderate	2
Total	1,212
<i>Percent Low/Mod</i>	<i>99.8%</i>

Accomplishment Narrative:

In 2020, 1,212 people were served by nonprofit organizations receiving CD funds for Adult Basic Education (ABE) and English for Speakers of Other Languages (ESOL) services. The ABE providers served 406 individuals and the ESOL providers served 806 individuals. Each organization’s name, service location (Congressional District in parentheses), and accomplishment information is listed below.

ABE Providers	
BROOKLYN	
Council of Jewish Organizations 1523 Avenue M (9) Amount Expended: \$110,698 Persons Served: 90	St. Nicks Alliance 424 Leonard Street (12) Amount Expended: \$71,091 Persons Served: 65

MANHATTAN	
Northern Manhattan Improvement Corporation 45 Wadsworth Avenue (13) Amount Expended: \$34,886 Persons Served: 59	The Door, a Center of Alternatives 555 Broome Street (10) Amount Expended: \$59,323 Persons Served: 81
QUEENS	STATEN ISLAND
The Fortune Society, Inc. 29-76 Northern Boulevard (12) Amount Expended: \$70,072 Persons Served: 45	Jewish Community Center of Staten Island / Joan & Alan Bernikow JCC 1466 Manor Road (11) Amount Expended: \$72,900 Persons Served: 66
ESOL Providers	
BRONX	
BronxWorks, Inc. 2070 Grand Concourse (15) Amount Expended: \$55,011 Persons Served: 41	Kingsbridge Heights Community Center 3101 Kingsbridge Terrace (13) Amount Expended: \$61,887 Persons Served: 28
Mercy Center 377 East 145 th Street (15) Amount Expended: \$77,472 Persons Served: 80	
BROOKLYN	
Church Avenue Merchants Block Association (CAMBA) 1137 Herkimer Street (8) Amount Expended: \$77,905 Persons Served: 36	Jewish Community Center of Coney Island 3001 West 37 th Street (8) Amount Expended: \$49,528 Persons Served: 51
Opportunities for a Better Tomorrow 783 Fourth Avenue (7) Amount Expended: \$68,287 Persons Served: 46	Shorefront YM-YWHA of Brighton-Manhattan Beach, Inc. 3300 Coney Island Avenue (8) Amount Expended: \$67,488 Persons Served: 74
MANHATTAN	
Agudath Israel of America Community Services, Inc. 255 Broadway (10) Amount Expended: \$47,477 Persons Served: 43	Henry Street Settlement 265 Henry Street (7) Amount Expended: \$61,851 Persons Served: 61
Inwood Community Services, Inc 651 Academy Street (13) Amount Expended: \$45,311 Persons Served: 61	

QUEENS	
Catholic Charities Diocese of Brooklyn and Queens 23-40 Astoria Boulevard (12) Amount Expended: \$83,400 Persons Served: 10	Make the Road New York 92-10 Roosevelt Avenue (14) Amount Expended: \$61,961 Persons Served: 97
Queens Community House 74-09 37 th Avenue (14) Amount Expended: \$53,890 Persons Served: 95	Young Women's Christian Association of Queens 4207 Parsons Boulevard (6) Amount Expended: \$79,278 Persons Served: 83

ALTERNATIVE ENFORCEMENT PROGRAM

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0206

IDIS Activity ID: 2543

Status: Open

Location:

Citywide - See the accomplishment narrative and Part 2 for a list of sites.

Program Description:

The Alternative Enforcement Program (AEP) is intended to alleviate the serious physical deterioration of the most distressed buildings in NYC by forcing the owners to make effective repairs.

Consolidated Plan Strategic Goal: Preserve and improve occupied private housing

Matrix Code:

14B - Rehabilitation: Multi-Unit Residential

National Objective:

Slum or Blight Spot

Drawn in Program Year: \$5,645,723.00

Accomplishments

Proposed: 150 Housing Units (Rental)

Actual: 43 Housing Units (Rental)

Accomplishment Narrative:

In 2020, there were 347 active buildings containing 4,176 units in AEP. CD funds were used for full systems replacements at two buildings with a total of 11 units, bringing them from substandard to standard. Additionally, final payments were made for systems replacements that were completed in prior years. These jobs benefitted four buildings containing 32 units.

Additional work completed at AEP buildings included:

- 30 units of asbestos analysis totaling \$4,533
- Eight instances of asbestos removal totaling \$114,682
- 96 instances of lead analysis totaling \$2,866
- 27 lead abatements totaling \$112,959
- 42 electrical repairs totaling \$74,946
- Cleared eight plumbing stoppages totaling \$4,002
- Completed 257 fuel drops totaling \$134,002
- Completed 556 gas drops totaling \$158,145
- 528 units of electricity service totaling \$71,522

Apart from the items listed above, AEP also used CD funds for the following cancellation payments to contractors:

- 213 payments totaling \$33,530 related to a lack of access to the building;
- 124 payments totaling \$15,680 for instances where work was completed by others;
- One payment of \$100 for a duplicate work order; and
- Four instances totaling \$400 in which the conditions were different than originally stated.

HPD also received \$500,000 in City tax levy from the City Council for AEP. In 2020 there were five buildings underway, six complete, and one building in which work was completed, but the final payment will not be made until 2021.

After owners are notified that their buildings have been chosen for participation in AEP, they are given four months to meet the requirements for discharge. During this time, the CD-funded AEP staff contacts building owners to ensure owner compliance and discharge their property from the AEP program. Some buildings are also transferred to the 7A Program or discharged due to vacancy. When HPD contractors do not gain access to the building, the staff obtains access warrants for contractors to do needed repairs. In 2020, 250 buildings consisting of 4,593 units were discharged, 4,503 of which were discharged due to owner compliance. Accordingly, the primary measure of AEP’s success is the number of buildings the City does not have to rehabilitate. If a building owner fails to comply, HPD performs the work using either tax levy or CD funds; however, only units that are rehabilitated with CD funds are counted toward CD accomplishments.

As of 12/31/2020, this program had 53 budgeted positions, of which 52 were active.

CD revenue is generated when owners pay for the cost of the work done by the City as well as for management fees.

Address	Congressional District	Year Completed	# of Units
Bronx			
730 East 227 th Street	16	2019	3
Brooklyn			
1017 Dumont Avenue	8	2019	4
864 Elton Street	8	2019	6
473 Wilson Avenue	7	2020	7
Manhattan			
509 West 134 th Street	13	2019	19
475 West 140 th Street	13	2020	4
Total:			43

AVENUE NYC: COMMERCIAL REVITALIZATION

Administering Agency: Department of Small Business Services (SBS)

Project ID: 0026

IDIS Activity ID: 522

Status: Open

Location:

Citywide - See Part 2 for an area listing.

Program Description:

Avenue NYC promotes the economic viability of neighborhood retail areas by providing general technical assistance and marketing and promotion programs to small businesses.

Consolidated Plan Strategic Goal: Revitalize commercial districts in low/mod areas

Matrix Code:

18B - Special Activities by CBDO's
18B - ED Technical Assistance

National Objective:

Low- and Moderate-Income Area
Limited Clientele: Nature and Location

Drawn in Program Year: \$1,641,326.00

Accomplishments

Proposed: 1 Businesses
13 Other (Organizations)

Actual: 0 Businesses
13 Other (Organizations)

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 64.06%

Accomplishment Narrative:

In Calendar Year 2020, Avenue NYC continued to make multi-year commitments aimed at building the capacity of partner organizations to better understand neighborhood needs, develop impactful programs, and sustain their work in the community. Avenue NYC worked with 13 Community-Based Development Organizations (CBDOs), three of which completed their contract term. Some programming highlights include:

- **Jamaica Center Improvement Association:** adapted efforts to attract, support, and retain businesses and market and promote their dynamic Downtown Jamaica commercial corridor by designing a series of promotional initiatives, including “Buy Local,” “Seen What’s Opened Lately?” “Welcome Wagon,” and “Welcome to the Neighborhood” packets introducing new businesses, residents, and employees to Downtown Jamaica. In addition to promoting these efforts through their newly established website “jamaica.nyc,” the organization printed and distributed over 10,000 collateral pieces at events and through direct mailing to residents and merchants. In order to keep their large community of businesses updated on the constantly evolving pandemic, the Association also built and deployed “JBID Alerts,” an MMS texting system to rapidly disseminate sensitive/emergency information, enrolling 108 unique business owners, and referred 200+ businesses to other business service/technical assistance providers over the course of the year.
- **Bay Ridge 5th Avenue District Management Association:** launched their Senior Discount business promotion program and their “Find it on 5th” business promotion campaign aimed at informing residents about local holiday shopping options, printing 1,000 pamphlets with a list and map of participating businesses, and mailing promotional postcards to 40,000 residences in the service area. The organization focused COVID-19 response efforts on providing information/resources to merchants, distributing PPE to merchants, and conducting outreach. Twenty-five businesses were connected with specialized technical assistance through a partnership with Start Small Think Big, and over 35 businesses were connected with other business assistance resources/providers. Individual technical assistance on marketing, social media, and website development was also provided to merchants directly. In an effort to incentivize residents and shoppers to engage their physical commercial corridor, the Bay Ridge 5th Avenue District Management Association’s Avenue NYC Program Manager also leveraged other sponsorships to fund the hard costs of commissioning artists to paint a series of 11 benches along a 20-block service area as part of the “Arts on the Avenue” commercial district campaign.

As of 12/31/2020, this program had seven budgeted positions, of which five were active.

Below are definitions for the categories of work that are listed in the Part 2:

- **Commercial District Needs Assessment:** consists of methodically gathered and evaluated quantitative and qualitative data through surveys, interviews, and observations to diagnose the economic health of the district and identify appropriate strategic programming and services. Consists of systematic community engagement to present CDNA findings to consumers, merchants, the community board, and relevant City agencies.
- **Placemaking:** focuses on creating or enhancing a sense of place that captures or reinforces the unique character of the commercial corridor with the goal of increasing consumer spending.
- **Business Attraction and Retention:** such efforts work to recruit new businesses into the targeted district as well as retain existing businesses so that the commercial corridor may better serve the needs of local residents.
- **Façade Improvement:** covers program design, administration, and marketing for sponsors that have or will dedicate funding for the capital costs of façade improvement activities. The sponsor is required to use a design consultant and produce model storefronts with a combination of open grid security gates, retractable or faux-retractable awnings, high quality storefront signs, and an exterior finish (paint or other material).
- **Merchant Organizing:** selects sponsors to lead planning, outreach, and organizing efforts to create a new merchants association or revitalize an existing organization that can address area business needs.
- **Capacity Building:** such projects identify corridors/districts in need of commercial revitalization assistance and develop assessments, strategies, tools, and the capacity to execute economic development initiatives.

Organization Information	Service Area Information	Accomplishments / CDBG Eligibility Category	CDBG Funds	
			Budgeted	Expended
Commercial Revitalization Projects				
BRONX				
Greater Hunts Point Economic Development Corporation 1231 Lafayette Avenue	HUNTS POINT/ LONGWOOD Congressional District: 15 LMA Percentage: 81.77%	<p>The organization is currently in the third year of a three-year contract, concluding on June 30, 2021 (the end of CFY 2021). CD funds are paying for one full-time program manager and the activities listed below:</p> <p>Business Attraction and Retention: 570.203(b) 18B: ED Technical Assistance</p> <ul style="list-style-type: none"> • Published online and physically printed 2,000 copies of Hunts Point Longwood Retail Business Guide, pending distribution in following quarter. • Hosted two technical assistance workshops for merchants in partnership with Start Small Think Big, and subsequently hosted a series of webinars (to accommodate pandemic restrictions), including “Marketing & Messaging to Customers During COVID-19,” and “Commercial Leasing & Insurance during COVID-19.” • Partnered with the Business Opportunity Center on a series of eight workshops on five business topics adapted to COVID-19 circumstances. • Secured attendance of over 100 businesses to workshops/webinars over the course of the year. • Actively monitored occupancy developments in the neighborhood and the conditions of the business community throughout COVID-19 pandemic. 	181,853	81,853
Mosholu Preservation Corporation 3400 Reservoir Oval	NORWOOD Congressional District: 13 LMA Percentage: 72.59%	<p>The organization completed the second and final year of their contract on June 30, 2020. CD funds paid for one full-time program manager, assisted in paying for one other full-time staff member, and the activities listed below:</p> <p>Business Attraction and Retention: 570.203(b) 18B: ED Technical Assistance</p> <ul style="list-style-type: none"> • Actively promoted 11 commercial spaces. • Hosted one Brokers Breakfast to promote broker interest in commercial vacancies in the district and attract prospective businesses. • Hosted virtual information sessions with merchants to explain evolving COVID-19 guidelines 	78,062	78,062

Organization Information	Service Area Information	Accomplishments / CDBG Eligibility Category	CDBG Funds	
			Budgeted	Expended
		<ul style="list-style-type: none"> • Provided technical assistance to businesses applying for private grants and PPP funding • Engaged 240+ unique businesses over course of fiscal year. <p>Placemaking: 570.204(a) 18B: Special Activities by CBDOs</p> <ul style="list-style-type: none"> • Retained and collaborated with consultant to assist merchants in creating and learning to manage social media accounts for their businesses and hosted a marketing workshop with 15 attendees. • Promoted essential and re-opened businesses across MPC social media during closures. 		
BROOKLYN				
Bay Ridge 5th Avenue District Management Association 480B 80 th Street	BAY RIDGE Congressional District: 11 LMA Percentage: 64.48%	<p>The organization is presently in the second year of a three-year contract. CD funds are paying for one full-time program manager and the activities listed below:</p> <p>Commercial District Needs Assessment (CDNA): 570.204(a) 18B: Special Activities by CBDOs</p> <ul style="list-style-type: none"> • Conducted the CDNA for the first half of year one of the grant period. <p>Business Attraction and Retention: 570.203(b) 18B: ED Technical Assistance</p> <ul style="list-style-type: none"> • Launched Senior Discount business promotion program, printed 1,000 tri-fold pamphlets with list and map of participating businesses, and mailed promotional postcard to 40,000 residences in service area. • Focused COVID-19 response efforts on providing information/resources to merchants, distributing PPE to merchants, and outreach. • Connected 25 businesses with technical assistance through Start Small Think Big. • Provided individual technical assistance on marketing, social media, and website development through 'Focus on 5th' initiative. • Leveraged sponsorship fund cost of commissioning artists to paint series of 11 benches along 20-block service area, coordinating "Arts on the Avenue" promotional campaign for commercial district. 	200,000	100,000

Organization Information	Service Area Information	Accomplishments / CDBG Eligibility Category	CDBG Funds	
			Budgeted	Expended
		<ul style="list-style-type: none"> Promoted "Find it on 5th" business promotion campaign aimed at informing residents about local holiday shopping options available to them. Connected 35+ businesses with business assistance resources/providers. Engaged 350+ unique businesses over course of fiscal year. 		
Flatbush Development Corporation 1616 Newkirk Avenue	FLATBUSH Congressional District: 9 LMA Percentage: 63.80%	<p>The organization completed the second and final year of their contract on June 30, 2020. CD funds paid for one full-time program manager and the activities listed below:</p> <p>Merchant Organizing: 570.203(b) 18B: ED Technical Assistance</p> <ul style="list-style-type: none"> Continued to formalize and grow the Cortelyou Road Merchants Association (CORMA) Board of Directors, filling the treasurer, secretary, and two co-president positions. Built COVID-19 "Open/Closed" Business Directory as resource for residents on business closure statuses and special shopping circumstances; popularity resulted in a 35% increase in mailing list subscriptions. <p>Placemaking: 570.204(a) 18B: Special Activities by CBDOs</p> <ul style="list-style-type: none"> Developed and launched promotional "District Logos" for commercial corridors. Coordinated socially-distanced district marketing event featuring local musicians on balconies through a no-cost partnership "Porch Music Festival" to promote foot traffic to corridor amid diminished commercial activity. Secured participation in placemaking/district marketing events from 30+ merchants and 300+ attendees. 	72,114	99,398
Pitkin Avenue District Management Association 1572 Pitkin Avenue	BROWNSVILLE Congressional District: 9 LMA Percentage: 72.90%	<p>The organization completed the second and final year of their contract on June 30, 2020. CD funds paid for one full-time program manager and the activities listed below:</p> <p>Business Attraction and Retention: 570.203(b) 18B: ED Technical Assistance</p>	75,378	75,378

Organization Information	Service Area Information	Accomplishments / CDBG Eligibility Category	CDBG Funds	
			Budgeted	Expended
		<ul style="list-style-type: none"> Expanded participation in business promotion initiative “BID Customer Loyalty Program Advantage Card” by 13 percent through postcard mailings to 3,500 residents. Developed content for “Pitkin Avenue Lookbook” in partnership with Youth Design Center, a youth-based Brownsville creative agency, aimed at promoting large concentration of local clothing retailers. Because of COVID-19 disruptions, the completion of the project was postponed, with production planned for next quarter. Conducted three vacancy surveys and released the “Summer Pitkin Avenue Real Estate Report” online and via email blast to 2,000 Mailchimp subscribers. Leveraged relationship with tech platform Citiesense to create an “Essential Businesses Directory” to keep residents/shoppers updated on business hours of operation updates, re-openings, closures, etc. <p>Façade Improvement: 570.203(b) 18B: ED Technical Assistance</p> <ul style="list-style-type: none"> Provided technical assistance and project management assistance for third storefront improvement project since commencement of first year. 		
MANHATTAN				
Community League of the Heights 500 West 159 th Street	WASHINGTON HEIGHTS/INWOOD Congressional District: 13 LMA Percentage: 69.57%	The organization is currently in the third year of a three-year contract, concluding on June 30, 2021. CD funds are paying for one full-time program manager and assisting to pay for one other full-time staff member, and the activities listed below: Placemaking: 570.204(a) 18B: Special Activities by CBDOs <ul style="list-style-type: none"> Leveraged Avenue NYC commercial revitalization work to be awarded \$18,750 Corridor Challenge grant by Local Initiatives Support Corporation (LISC). Updated, printed, and distributed 500 copies of comprehensive local restaurant guide “Hungry in The Heights?” Launched online business guide “cloth159.org/local.” Launched “Open for Business” Campaign and produced four promotional videos encouraging residents shop local. 	180,033	80,033

Organization Information	Service Area Information	Accomplishments / CDBG Eligibility Category	CDBG Funds	
			Budgeted	Expended
		<ul style="list-style-type: none"> Developed weekly COVID-19 newsletter disseminating financial assistance resources and promoting CLOTH technical assistance services Hosted two no-cost seasonal district promotion tree installations at Ilka Tanya Payan Park (157th and Broadway) and McKenna (Triangle) Park with the assistance of NYC Parks Department. 		
Harlem Park to Park 134 West 118 th Street	CENTRAL HARLEM Congressional District: 13 LMA Percentage: 68.11%	<p>The organization is currently in the third year of a three-year contract, concluding on June 30, 2021. CD funds are paying for one full-time program manager and the activities listed below:</p> <p>Business Attraction and Retention: 570.203(b) 18B: ED Technical Assistance</p> <ul style="list-style-type: none"> Produced Financial and Legal technical assistance workshop series in partnership with Start Small Think Big. Attracted 160+ attendees to workshop series over course of fiscal year. Created online “Harlem Restaurants Delivery & Takeout Guide” to encourage patronage of food businesses during COVID-19 closures. Distributed Financial Assistance Resource information regularly to mailing list network. Supported Harlem Food Pantry program to provide grocery items for local Harlem hospitality/restaurant workers impacted by COVID-19 closures. <p>Placemaking: 570.204(a) 18B: Special Activities by CBDOS</p> <ul style="list-style-type: none"> Co-produced series of holiday business promotion pop-up events: Uptown Artisanal Market, Harlem Makers Collection, Uptown Underground Market, Harlem Night Market, supporting their production through marketing, equipment rental, and promotion in HP2P newsletter and social media marketing. Secured participation of 120+ businesses in HP2P district marketing initiatives over the course of the fiscal year. Partnered with local Harlem media and marketing company “Experience: Harlem” to produce a Shop Harlem Virtual Holiday Fair. 	142,717	62,506

Organization Information	Service Area Information	Accomplishments / CDBG Eligibility Category	CDBG Funds	
			Budgeted	Expended
Lower East Side District Management Association 54 Orchard Street	LOWER EAST SIDE Congressional Dist. 7 LMA Percentage: 51.26%	<p>The organization commenced the first year of a three-year contract on July 1, 2019 (CFY 2020). However, after the completion of the first year, the grant contract was transferred (assigned) to a different organization for programmatic reasons. CD funds paid for one full-time program manager and assisted in paying for one other full-time staff member, and the activities listed below:</p> <p>Commercial District Needs Assessment (CDNA): 570.204(a) 18B: Special Activities by CBDOs</p> <ul style="list-style-type: none"> Conducted the CDNA for the first half of year one of the grant period. <p>Business Attraction and Retention: 570.203(b) 18B: ED Technical Assistance</p> <ul style="list-style-type: none"> Hosted two legal advice workshops for merchants “Understanding Real Estate Taxes and Non-Rent Charges,” facilitated by lawyers from Brooklyn Legal Services Corporation A. Launched “eastvillage.nyc” district resources and promotional website. Partnered with the East Village Independent Merchants Association (EVIMA) to host a series of webinars for the merchant community on the following topics: PPP Application and Legal Support Webinar (152 registrants), Small Business Administration Live Q+A (104 registrants), and Small Business Strategies and Resources with Pace Small Business Development Center (47 registrants). Provided support to East Village Community Coalition (EVCC) to assist their pivot to an online platform and the establishment of their website “What’s Open EV?” by contributing information gathered from the CDNA business inventory and conducting direct outreach to businesses to determine which were open. 	200,000	100,000
QUEENS				
Chhaya Community Development Corporation 37-43 77 th Street, 2 nd Floor	JACKSON HEIGHTS Congressional District 14 LMA Percentage: 61.87%	<p>The organization is currently in the third year of a three-year contract, concluding on June 30, 2021. CD funds are paying for one full-time program manager and assisting in paying for one other full-time staff member and the activities listed below:</p>	170,705	70,705

Organization Information	Service Area Information	Accomplishments / CDBG Eligibility Category	CDBG Funds	
			Budgeted	Expended
		<p>Business Attraction and Retention: 570.203(b) 18B: ED Technical Assistance</p> <ul style="list-style-type: none"> Produced series of 14 business assistance workshops, including “Signing a Commercial Lease” (in partnership with Business Solutions Center) and “Financing Your Business” (offered in Nepali). Secured participation of 200+ businesses over the course of workshop series. Distributing an updated version of Chhaya “Resource Handbook” for merchants created the prior fiscal year in both English and Bangla. Updated and distributed the 2020 Small Business Resource Guide in English, Spanish, and Bengali versions. Directly supported SBS COVID-19 recovery efforts by administering the Jackson Heights Grocer Survey by conducting direct outreach to 110 local grocers and assessing their needs. Leveraged the support of 12 volunteers to in administering the Jackson Heights Grocer Survey. Partnered with SBS to distribute approximately 6,200 pieces of PPE to local businesses in the Jackson Heights commercial corridor. Leveraged Avenue NYC commercial revitalization work to be chosen partner CBO for LISC’s NYC Small Business Relief & Recovery program, assisting 12 small businesses to apply, with seven applicants awarded \$70,000. <p>Placemaking: 570.204(a) 18B: Special Activities by CBDOS</p> <ul style="list-style-type: none"> Planned, promoted, and hosted a virtual version of Chhaya’s annual Chatpati Mela Festival, attracting 3,000+ web attendees. 		
<p>Jamaica Center Improvement Association, Inc. 161-10 Jamaica Avenue</p>	<p>DOWNTOWN JAMAICA Congressional District: 5 LMA Percentage: 57.46%</p>	<p>The organization is currently in the third year of a three-year contract, concluding on June 30, 2021. CD funds are paying for one full-time program manager and the activities listed below:</p> <p>Business Attraction and Retention: 570.203(b) 18B: ED Technical Assistance</p> <ul style="list-style-type: none"> Built and deployed “JBID Alerts” MMS texting system to rapidly disseminate sensitive/emergency information, enrolled 108 unique business owners. Referred 200+ businesses to other business service/technical assistance providers. 	178,874	99,939

Organization Information	Service Area Information	Accomplishments / CDBG Eligibility Category	CDBG Funds	
			Budgeted	Expended
		<ul style="list-style-type: none"> Supported district's COVID-19 response and recovery efforts by actively monitoring, updating, and disseminating policy/guideline information and public/private financial resources. <p>Placemaking: 570.204(a) 18B: Special Activities by CBDOs</p> <ul style="list-style-type: none"> Designed, built, and launched new district resource and promotion website "jamaica.nyc". Designed and distributed series of promotional collateral pieces, including "Buy Local," "Seen What's Opened Lately?" "Welcome Wagon," and "Welcome to the Neighborhood" packets introducing new businesses, residents, and employees to Downtown Jamaica. Established a business promotion activity in which new businesses to the district can request their own ribbon-cutting ceremony to raise awareness of their opening. Printed and distributed 10,000+ collateral pieces across initiatives/programs. 		
<p>Queens Economic Development Corporation 120-55 Queens Boulevard</p>	<p><u>RICHMOND HILL</u> Congressional District: 5 LMA Percentage: 54.06%</p>	<p>The organization is presently in the second year of a three-year contract. CD funds are paying for one full-time program manager and assisting to pay for one other full-time staff member and the activities listed below:</p> <p>Commercial District Needs Assessment (CDNA): 570.204(a) 18B: Special Activities by CBDOs</p> <ul style="list-style-type: none"> Conducted the CDNA for the first half of year one of the grant period. <p>Business Attraction and Retention: 570.203(b) 18B: ED Technical Assistance</p> <ul style="list-style-type: none"> Created "Richmond Hill Winter Holiday Shopping Guide," recruiting 12 merchants to offer discounts as part of the promotion and printing and distributing 2,000 copies in the district. Routinely connected local merchants to one-on-one technical assistance with Business Advisors on staff. Developed an online COVID resource guide in late March to help business owners understand and apply to loan programs and disseminated weekly newsletter updates to merchant community on navigating guidelines/policies/closures and Open Restaurants program. 	189,405	89,405

Organization Information	Service Area Information	Accomplishments / CDBG Eligibility Category	CDBG Funds	
			Budgeted	Expended
		<ul style="list-style-type: none"> Gathered data and developed content for district promotional "Richmond Hill Food and Culture Guide," whose publication was postponed pending general reopening of restaurants; plan to publish in the summer of 2021. Actively updated commercial vacancy database and new business openings to maintain current data for service area. Established Richmond Hill-specific webpage hosted on QEDC website and began aggregating resources, such as a "map" of community stakeholders, to build out content of the page over the term of the project. Promoted QEDC's boroughwide "Reboot & Restart" 18-part webinar series for small businesses to Richmond Hill merchants. 		
Rockaway Business Alliance, Inc. P.O. Box 94052 Rockaway Park	ROCKAWAY Congressional District: 5 LMA Percentage: 53.97%	<p>The organization is currently in the third year of a three-year contract, concluding on June 30, 2021. CD funds paid for one full-time program manager through October 30, 2020, after which the position was eliminated. The project work was assumed by an approved consultant as of December 31, 2020.</p> <p>Due to unforeseen staff and leadership changes within the Rockaway Business Alliance organization, accomplishments will be reported in 2021.</p>	177,935	77,935
Sunnyside District Management Association, Inc. 45-56 43 rd Street	SUNNYSIDE Congressional District: 14 LMA Percentage: 61.60%	<p>The organization is presently in the second year of a three-year contract. CD funds are paying for one full-time program manager and assisting to pay for one other full-time staff member and the activities listed below:</p> <p>Commercial District Needs Assessment (CDNA): 570.204(a) 18B: Special Activities by CBDOs</p> <ul style="list-style-type: none"> Conducted the CDNA for the first half of year one of the grant period. <p>Business Attraction and Retention: 570.203(b) 18B: ED Technical Assistance</p> <ul style="list-style-type: none"> Developed "What's in Sunnyside" digital business promotion campaign to raise awareness for residents of local business offerings, COVID-related business closure information, and how to support local merchants during the pandemic. 	198,653	112,718

Organization Information	Service Area Information	Accomplishments / CDBG Eligibility Category	CDBG Funds	
			Budgeted	Expended
		<ul style="list-style-type: none"> • Provided one-on-one assistance for merchants on common COVID-related business issues as well as technical assistance with managing web presence on an ongoing basis, engaging with 60+ unique businesses over the course of the fiscal year. • Coordinated and facilitated standalone webinar session in partnership with pro-bono attorneys addressing pertinent legal issues that small businesses may be facing during the pandemic disruption, attended by seven merchants. 		
Commercial Revitalization Projects Subtotal:			2,045,729	1,127,932

Organization Information	CD Eligible Organizations Served	Accomplishments / CDBG Eligibility	Budgeted	Expended
Capacity Building Projects (570.201(p) 19C Nonprofit Organization Capacity Building and Low- and Moderate-Income Area)				
BRIC Arts Media	<p><u>Completed in Calendar Year 2020:</u></p> <ul style="list-style-type: none"> • Bay Ridge 5th Avenue District Management Association • Queens Economic Development Corporation • Rockaway Business Alliance • Sunnyside District Management Association <p><u>Engaged in Calendar Year 2020:</u></p> <ul style="list-style-type: none"> • Bridge Street Development Corporation • Community League of the Heights • Cooper Square Community Development Committee • Flatbush Development Corporation • Greater Hunts Point Economic Development Corporation • Harlem Park to Park Initiative • Jamaica Center Improvement Association, Inc. • Morris Park District Management Association • Woodhaven District Management Association 	<p>CD funds assisted in paying for five full-time staff members and activities listed below:</p> <ul style="list-style-type: none"> • Five 60-second custom video spots for CD-eligible CBDOs • Five 30-second custom video spots for CD-eligible CBDOs • Creation of individual Marketing Reports for nine CD-eligible CBDOs • Media Education Cell Phone Video Production course • Two Marketing Workshops 	50,000	50,000
Coro New York Leadership	<p><u>Completed in Calendar Year 2020:</u></p> <ul style="list-style-type: none"> • Alliance for Downtown New York • Atlantic Avenue District Management Association, Inc. • Bedford Stuyvesant Gateway District Management Association, Inc • Central Fulton Street District Management Association, Inc./ FAB Alliance • Columbus Avenue District Management Association • Flatiron/23rd Street Partnership District Management Association, Inc. • Garment District Alliance • Hudson Yards / Hell's Kitchen Business Improvement District • Jamaica Center Improvement Association, Inc. • Lincoln Square District Management Association, Inc. • Lower East Side Partnership • Myrtle Avenue Brooklyn Partnership • Queens Economic Development Corporation • Rockaway Business Alliance • SoHo Broadway DMA, Inc. • Urban Health Plan, Inc. 	<p>The organization is presently in the second year of a three-year contract. CD funds are assisting to pay for three full-time staff members and the activities listed below:</p> <ul style="list-style-type: none"> • Conducted the Neighborhood Leadership program, which is a nine-month training program to develop leadership skills, learn new approaches to commercial revitalization, and connect participants to stakeholders and resources that are instrumental to leading change in their communities. • Hosted a three-day Opening Retreat; • Hosted five Leadership Retreat Days; • Prep Workshop, Showcase, and Closing Ceremony; • Designed and delivered five Strategy/ Issue Days focused on key commercial revitalization strategies and issues; • Coordinated four Alumni resource-sharing and networking events. 	100,000	100,000

Organization Information	CD Eligible Organizations Served	Accomplishments / CDBG Eligibility	Budgeted	Expended
	<ul style="list-style-type: none"> • Westchester Square District Management Association, Inc. • Yemeni American Merchants Association, Inc. <p><u>Engaged in Calendar Year 2020:</u></p> <ul style="list-style-type: none"> • Bronx Community College/CUNY • Brooklyn Chamber of Commerce • Brownsville Community Justice Center (Center for Court Innovation) • Business Outreach Center Network • Community League of the Heights • Cooper Square Community Development Committee • Fordham Road District Management Association • Grand Street District Management Association • Jamaica Center Improvement Association, Inc. • Meatpacking District Management Association • Morris Park District Management Association • Mosholu Preservation Corporation • Myrtle Avenue Brooklyn Partnership • Queens Chamber of Commerce • REMA 4 US, Inc. • The Fifth Avenue Business Improvement District, Inc. • Times Square Alliance • Union Square Partnership, Inc. • Village Alliance • Woodhaven District Management Association 			
Lawyers Alliance for New York City	<p><u>Completed in Calendar Year 2020:</u></p> <ul style="list-style-type: none"> • 165 Mall Improvement Association • Alliance for Coney Island • Bedford Stuyvesant Gateway District Management Association, Inc. • Castleton Avenue Merchants Organization • Central Fulton Street District Management Association, Inc./ FAB Alliance • Chinatown Partnership Local Development Corporation • Church Avenue District Management Association, Inc. • Downtown Flushing Transit HUB District Management Association • East Village Independent Merchants Association (EVIMA) • Flatbush Avenue District Management Association, Inc. 	<p>The organization is currently in the third year of a three-year contract, concluding on June 30, 2021. CD funds are assisting to pay for seven full-time staff members and the activities listed below:</p> <ul style="list-style-type: none"> • Legal work delivered to 11 CBDOs (contracts and governance); • Consultations provided to 17 CBDOs; • Presented three workshops; • Revisions to template BID governance documents provided to SBS for review; • Memo on Applicability of Open Meeting Law (OML) to BIDs provided to SBS for review. 	36,432	36,432

Organization Information	CD Eligible Organizations Served	Accomplishments / CDBG Eligibility	Budgeted	Expended
	<ul style="list-style-type: none"> • Flatbush Development Corporation • Flatbush Nostrand Junction District Management Association • Grand Street District Management Association • Jamaica Center Improvement Association, Inc. • Lower East Side Partnership • Merchants of Third Ave Civic Improvement Association, Inc. • Mosholu-Jerome-East Gun Hill Road District Management Association • Old Astoria Neighborhood Association, Inc. • Queens Women's Chamber of Commerce, Inc. • Red Hook Merchants Association • REMA 4 US, Inc. • South Brooklyn Local Development Corp. • Staten Island Chamber of Commerce <p><u>Engaged in Calendar Year 2020:</u></p> <ul style="list-style-type: none"> • Bedford Stuyvesant Gateway District Management Association, Inc. • Castleton Avenue Merchants Organization • Central Fulton Street District Management Association, Inc./ FAB Alliance • Chhaya Community Development Corporation • Chinatown Partnership LDC • Flatbush Avenue District Management Association, Inc. • Flatbush Development Corporation • Fordham Road District Management Association • Grand Street District Management Association • Jamaica Center Improvement Association, Inc. • Queens Women's Chamber of Commerce, Inc. • Red Hook Merchants Association • South Brooklyn Local Development Corp. • Staten Island Chamber of Commerce • Uptown Grand Central Corp. • Village Alliance • Washington Heights & Inwood Development Corporation • Yemeni American Merchants Association, Inc. 			

Organization Information	CD Eligible Organizations Served	Accomplishments / CDBG Eligibility	Budgeted	Expended
Public Agenda	<p><u>Completed in Calendar Year 2020:</u></p> <ul style="list-style-type: none"> • 82nd Street Partnership • Alliance for Coney Island • Bayside Village Business Improvement District, Inc. • Bridge Street Development Corporation • Brownsville Community Justice Center (Center for Court Innovation) • Central Fulton Street District Management Association, Inc./ FAB Alliance • Columbus Avenue District Management Association • Community League of the Heights • Downtown Brooklyn Partnership • DUMBO District Management Association, Inc. • Flatiron/23rd Street Partnership District Management Association, Inc. • Grand Street District Management Association • Grant Associates, Inc. • Greater Flushing Chamber of Commerce, Inc. • Harlem Park to Park Initiative • Hudson Square District Management Association, Inc. • Hudson Yards / Hell's Kitchen Business Improvement District • Jamaica Center Improvement Association, Inc. • Lincoln Square District Management Association, Inc. • Long Island City Partnership • Lower East Side Partnership • Madison Avenue District Management Association • Meatpacking District Management Association • Morris Park District Management Association • Mosholu-Jerome-East Gun Hill Road District Management Association • Noho NY District Management Association, Inc. • North Brooklyn Coalition Against Family Violence, Inc. • North Flatbush Avenue DMA • Perch Advisors, LLC • Pitkin Avenue District Management Association • Pratt Institute • Project for Public Spaces, Inc. • Queens Economic Development Corporation • Rockaway Business Alliance • Sunset Park District Management Association 	<p>CD funds assisted in paying for five full-time staff members and the activities listed below:</p> <ul style="list-style-type: none"> • Technical assistance for two organizations; • Technical workshop with two organizations; • Workshops with 17 organizations; • Webinar on digital engagement for 44 community organizations. 	54,334	54,334

Organization Information	CD Eligible Organizations Served	Accomplishments / CDBG Eligibility	Budgeted	Expended
	<ul style="list-style-type: none"> • The Horticultural Society of New York • Times Square Alliance • Union Settlement Association, Inc. • Village Alliance • Westchester Square District Management Association, Inc. • Woodhaven District Management Association 			
Support Center for Nonprofit Management	<p><u>Completed in Calendar Year 2020:</u></p> <ul style="list-style-type: none"> • 47th Street Business Improvement District, Inc. (Diamond District) • Bay Ridge 5th Avenue District Management Association • Church Avenue District Management Association, Inc • Columbus Avenue District Management Association • East Midtown Partnership • Flatbush Avenue District Management Association, Inc. • Greater JFK District Management Association Inc • Hudson Yards / Hell's Kitchen Business Improvement District • Kingbridge District Management • Kings Highway Beautification Association • Queens Economic Development Corporation • Rockaway Business Alliance • Southern Boulevard District Management Association, Inc • Staten Island Business Outreach Center / West Brighton LDC • Sunnyside District Management Association <p><u>Engaged in Calendar Year 2020:</u></p> <ul style="list-style-type: none"> • Bridge Street Development Corporation • Community League of the Heights • Cooper Square Community Development Committee • Flatbush Development Corporation • Greater Hunts Point Economic Development Corporation • Harlem Park to Park Initiative • Jamaica Center Improvement Association, Inc. • Morris Park District Management Association • Woodhaven District Management Association 	<p>CD funds assisted in paying for eight full-time staff and the activities listed below:</p> <ul style="list-style-type: none"> • Worked with SBS to provide best practices in nonprofit management in areas such as fundraising, financial management, marketing, impact measurement, board engagement, and strategic planning. • Held five (webinar) workshops for CBDOs • Governance Assistance to three CBDOs • Conducted One-on-One Technical Assistance/ Coaching for seven CBDOs 	87,289	87,289
Capacity Building Projects Subtotal:			328,054	328,055

Organization Information	CD Eligible Organizations Served	Accomplishments / CDBG Eligibility	Budgeted	Expended
Project Delivery and Administration				
Avenue NYC	N/A	Funded Project Managers within SBS to liaise with CD-eligible LDCs and BIDs. The Project Managers are responsible for CD compliance and reporting.	513,394	513,394
TOTAL			2,887,177	1,969,381

AVENUE NYC: ORGANIZATIONAL DEVELOPMENT

Administering Agency: Department of Small Business Services (SBS)

Project ID: 0026

IDIS Activity ID: 7266

Status: Open

Location:

Citywide – See part 2 for an area listing

Program Description:

Avenue NYC promotes the economic viability of neighborhood retail areas by providing general technical assistance and marketing and promotion programs to small businesses.

Consolidated Plan Strategic Goal: Revitalize commercial districts in low/mod areas

Matrix Code:

19C - CDBG Non-profit Organization Capacity Building

National Objective:

Low- and Moderate-Income Area

Drawn in Program Year: \$328,055.00

Accomplishments

Proposed: 86 Organizations

Actual: 64 Organizations

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 64%

Accomplishment Narrative:

In 2020, the Department of Small Business Services (SBS) continued to build upon its nonprofit management technical assistance programs through the organizational development offerings for Avenue NYC-funded organizations and others serving low- and moderate-income communities. SBS offered the Neighborhood Leadership program, Nonprofit Management Coaching & Workshops, Legal Assistance, Community Engagement Assistance, and Video Production & Media Training to help address challenges these organizations face with operations, strategy development, stakeholder and community engagement, marketing, and project implementation. Some highlights from 2020 include:

- **Coro New York Leadership Center** provided vital community spaces for Neighborhood Leadership program participants to support each other and share resources as the COVID-19 pandemic hit. For example, Yiftee, a locally-based eGift card program, was successful in promoting local shopping in the Westchester Square BID. A group was able to share this resource and encourage other organizations to utilize Yiftee or similar programs to stimulate their local shopping.
- **BRIC Arts Media** worked to produce videos that highlight work of partner community-based organizations in their commercial districts. Through this program, Avenue NYC commercial revitalization grantees get the opportunity to work one-on-one with BRIC to develop the videos, receive media education training, and customize marketing reports. Participant organizations used professionally edited videos to bring their community together. All participant organizations relied heavily on digital materials such as these videos to promote their commercial districts and engage shoppers and residents during the COVID-19 pandemic.

The number of employees funded with CD is listed in Avenue NYC: Commercial Revitalization narrative.

BEACON SCHOOL PROGRAM

Administering Agency: Department of Youth and Community Development (DYCD)

Project ID: 0142

IDIS Activity ID: 567

Status: Open

Location:

CD-eligible areas citywide - See the accomplishment narrative for sites.

Program Description:

The Beacon School Program provides comprehensive services to youth and community residents. Each school has an advisory council comprised of community residents and officials to ensure community ownership and support.

Consolidated Plan Strategic Goal: Provide enrichment activities to low/mod areas

Matrix Code:

05Z - Public Services: Other

National Objective:

Limited Clientele: Nature and Location
Low- and Moderate-Income Area

Drawn in Program Year: \$4,885,765.00

Accomplishments

Proposed: 12,000 People

Actual: 9,109 People

Accomplishment Narrative:

In Calendar Year 2020, the Beacon School Program served 9,109 adults and students. The decrease in people served is due to the impact of the COVID-19 pandemic on community center enrollment. After suspension of in-person activities in spring 2020, the Beacons pivoted to remote services through June 2020. In comparison to prior summers, few providers met target enrollment. Further, as a result of delayed school openings caused by the pandemic, Beacon programs modified after-school service delivery. In late September, providers alternated between in-person services as schools re-opened for blended learning and remote activities when building closures were implemented. Following are highlights of 2020 accomplishments:

The SISDA Beacon located at I.S. 217 in the southeast Bronx continued to exhibit exemplary practices as a hub for community wellness under these unique circumstances. In March, the program underwent a metamorphosis from an in-person community center to a virtual learning extension partner of its host school. Throughout the year, the program has maintained a continuity of community service through its virtual platform. The organization's investment in the community and high level of partnership with the school continues to benefit the local residents.

The Catholic Charities Mosaic Beacon located at P.S. 11 in the Highbridge section of the Bronx is cited for its persistent assessment of its local community needs. Its food pantry was a community feature before the pandemic, but the need has since grown. As seen with other Beacons, the program's staff enhanced its services on the virtual platform during the cold weather and City-mandated school closures. The program also seized every opportunity to convene outdoor activities as the weather allowed for summer camp and fall recreation activities.

The ASPIRA Beacon at I.S. 224 in the Mott Haven section of the Bronx continued to leverage its partnerships with its host school and a variety of local schools. Among the Beacons whose communities endured the greatest impact from COVID-19, the program continued to be a primary hub for a variety of resources and services. In addition to food drives, meal giveaways, and school supply distribution, the Beacon maintained a continuity of communications to youth, parents, and other adults in the community. The feedback resulted in referral services and informed the focus of staff, who provided engaging on-site activities, virtual after-school, and virtual evening programs.

Over their history, Beacon Schools have demonstrated the signature practice of utilizing skilled youth development staff as role-models, the purpose of which is to encourage participants to aspire to and achieve successful life outcomes. As a result of this practice, it is common for participants to be promoted to assistantship and ultimately leadership roles in the field, very often within the program they attended. This model practice speaks to the authenticity of community-based development in that it both perpetuates community voice and input and provides hope for underserved populations.

Please note that the primary national objective for this program is Limited Clientele: Nature and Location due to the proximity of six of the 10 schools to NYCHA residential developments. Four Beacons use the L/M Area national objective: Community Association of Progressive Dominicans (I.S. 117), Simpson Street Development Association (I.S. 217), Community Association of Progressive Dominicans (M.S. 328), and RiseBoro Community Partnership (I.S. 562). These four schools have a combined L/M area percentage of 74.57 percent. Please see Part 2 for additional details.

As of December 31, 2020, this program had one budgeted and one active position.

Organization	School	Address/Congressional District	Persons served	CDBG Funds	
				Budgeted	Expended
The following schools were CD-funded using the Limited Clientele (LMC): Nature and Location national objective. They are eligible due to their proximity to New York City Housing Authority developments.					
ASPIRA	P.S./I.S. 224	345 Brook Avenue, Bronx (15)	461	767,674	214,227
Catholic Charities	P.S. 11	1257 Ogden Avenue, Bronx (15)	3,380	902,202	638,326
Children's Aid Society	I.S. 219	3630 Third Avenue, Bronx (15)	633	1,053,285	847,395
Church Avenue Merchants Block Association (CAMBA)	Mott Hall IV	1137 Herkimer Street, Brooklyn (8)	509	112,668	109,799
Cypress Hills Local Development Corporation	J.H.S. 218	370 Fountain Avenue, Brooklyn (8)	396	782,014	426,882
Jewish Community Center of Staten Island	I.S. 49	101 Warren Street, Staten Island (11)	708	766,816	593,047
The following schools were CD-funded using the Low- and Moderate-Income Area (LMA) Benefit National Objective.					
Community Association of Progressive Dominicans	I.S. 117	1865 Morris Avenue, Bronx (15)	1,312	804,219	487,734
Simpson Street Development Association Inc. (SISDA)	I.S. 217	977 Fox Street, Bronx (15)	577	862,074	463,144
RiseBoro Community Partnership	I.S. 562	125 Covert Street, Brooklyn (8)	708	1,044,321	536,649
Community Association of Progressive Dominicans	M.S. 328	401 West 164 th Street, Manhattan (13)	425	977,773	464,365
The following schools received payments for prior year activities:					
Community Association of Progressive Dominicans	I.S. 117	1865 Morris Avenue, Bronx (15)	N/A	N/A	1,658
Community Association of Progressive Dominicans	M.S. 328	401 West 164 th Street, Manhattan (13)	N/A	N/A	1,662
Goodwill Industries of Greater New York and New Jersey, Inc.	I.S. 10	45-11 31 st Avenue, Queens (5)	N/A	N/A	1,311
PS Charges					
CD funds were used for one position to oversee the CD-funded providers.				99,566	99,566
Total:			9,109	8,172,612	4,885,765

BRONX RIVER PROJECT

Administering Agency: Department of Parks and Recreation (NYC Parks)

Project ID: 0055

IDIS Activity ID: 532

Status: Open

Location:

Entire Length of the Bronx River
Bronx River Alliance
One Bronx River Parkway
Bronx, NY 10462-2869

Program Description:

The Bronx River Project works to improve and protect the Bronx River, create a continuous greenway along its banks, and provide opportunities to enjoy and care for the river.

Consolidated Plan Strategic Goal: Revitalize the Bronx River and the adjacent area

Matrix Code:

05Z - Public Services: Other

National Objective:

Low- and Moderate-Income Area

Drawn in Program Year: \$257,832.00

Accomplishments

Proposed: 270,000 People

Actual: 330,000 People

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 73.92%

Accomplishment Narrative:

The Bronx River Project works to improve and protect the Bronx River, create a continuous Greenway along its banks, and provide opportunities to enjoy and care for the river. Despite the challenges due to the COVID-19 pandemic, the Bronx River Project raised river awareness through its website, use of social media sites, and a monthly e-newsletter, *The Bronx River Current*. In 2020, CD funds paid for three Conservation Crew employees, equipment, and office supplies.

During 2020, the program hosted a variety of programs, virtual programming, and in-person events:

Ecology Program

- The Microplastic Study identified and quantified different types of microplastic waste present in the river.
- In partnership with the Billion Oyster Project, the program monitored an oyster reef at the mouth of the river off Soundview Park. Canoe trips were available to observe the reef.
- The Unified Water Study measured water quality at Long Island Sound embayments.
- The Bronx River Turtle Study explored how environmental factors such as dams impact the health of turtles.
- The Community Science Program provided social media updates regarding the oyster reef at Soundview Park, floatable waste, and fecal pathogens in the Bronx River.
- The River House Systems monitoring conducted research on the building's air quality, energy produced by the building's solar panels, and other energy efficiency metrics.

Education Program

- A new partnership was launched with Fannie Lou Hamer Freedom High School involving seven students in ninth grade.
- Staff developed online resources and created virtual programming on aquatic biodiversity, terrestrial biodiversity, and floatable litter. Curricula meets NYS/Next Generation Science Standards and are accompanied by at-home activities.
- Virtual Earth Week included environmentally themed programming and had a total of 3,300 views.
- The first ever virtual field trip brought students from the Bronx on a virtual tour of Concrete Plant Park.

Outreach Program

- The Bronx River Assembly was held on February 26, 2020 at Hostos Community College. Eighty people were in attendance.
- Socially-distanced in-person volunteering events occurred throughout the year in the parks and trails surrounding the Bronx River. A trash pick-up program was implemented at Starlight Park.
- The Volunteer Program was certified as a Service Enterprise organization by Points of Light, the world's largest organization dedicated to volunteer service. The Volunteer Program hosted 33 events, collected 223 trash bags, and engaged 381 volunteers for a total of 762 hours. On December 8, 2020, The Alliance celebrated volunteer contributions at a virtual volunteer recognition event.
- Volunteers contributed more than 120 hours towards the Bronx River Foodway in 2020. Additionally, the Foodway held virtual programs, cooking classes, and virtual tours.

CDBG ADMINISTRATION

Administering Agency: Various

Project ID: 0063

IDIS Activity ID: 0517

Status: Open

Location:

255 Greenwich Street
New York, NY 10007-2549

Program Description:

CD-funded staff provides administrative support services for planning, management, and citizen participation necessary to formulate, implement, and evaluate NYC's CD program.

Consolidated Plan Strategic Goal: N/A (Program Administration)

Matrix Code:

21A - General Program Administration

National Objective:

Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$2,599,622.00

Accomplishment Narrative:

As of December 31, 2020, CD funded 17 full-time and four part-time positions across five agencies for the administration of the CD grant. Of those, 11 full-time positions and four part-time positions were active. The agencies were the NYC Office of Management and Budget (eight active full-time positions and three active part-time positions), the Department of City Planning (one active full-time position), the Mayor's Office for People with Disabilities (one active full-time position), Landmarks Preservation Commission (one active part-time position), and the Department of Small Business Services (one active full-time position).

CODE VIOLATION REMOVAL IN SCHOOLS: GENERAL REPAIRS

Administering Agency: Department of Education (DOE)

Project ID: 0165

IDIS Activity ID: 683

Status: Open

Location:

New York City public schools citywide.

Program Description:

The Department of Education uses CD funds to prevent or remove code violations in New York City schools.

Consolidated Plan Strategic Goal: Provide safe learning environment in City schools

Matrix Code:03E - Public Facilities and Improvements:
Neighborhood Facilities**National Objective:**Limited Clientele: Income Survey
Slum or Blight Spot

Drawn in Program Year: \$6,300,101.00

Accomplishments

Proposed: 123,759 People

Actual: 272,879 People

Race Category	Total Served	# Hispanic
White:	41,074	0
Black/African American:	68,061	0
Asian:	44,399	0
American Indian/Alaskan Native:	0	0
Native Hawaiian/Other Pacific Islander:	0	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	119,345	110,875
Total:	272,879	110,875

Income Category:	Person
Extremely Low	0
Low/Mod	186,956
Moderate	0
Non-Low/Moderate	85,923
Total	272,879
<i>Percent Low/Mod</i>	<i>68.5%</i>

Accomplishment Narrative:

In 2020, the Department of Education (DOE) completed work that prevented or corrected 475 violations in 294 City schools. Of those, 391 jobs (in 240 schools serving 221,832 students) were in schools that serve a predominately low- and moderate-income population and 84 jobs (in 54 schools serving 51,047 students) addressed slum and blighting conditions. The following are expenditure amounts and job counts by job type:

<u>Violation Type</u>	<u>Job Count</u>	<u>Expenditure</u>
<i>Low- and Moderate-Income Schools</i>	<i>391</i>	<i>\$5,299,506</i>
Air Conditioning Repair	1	\$12,461
Bonding	N/A	\$269,164
Boiler Inspection and Repair	217	\$3,826,776
Elevator Repair and Upgrades (including design)	95	\$462,058
Fuel Tank Inspections and Repair	15	\$143,586
Filing Fee	N/A	\$708
Oil Tank Inspection and Repair	33	\$111,424
Lead Inspection and Remediation	16	\$220,578
Potable and Hot Water Inspection and Repair	14	\$238,431
Project Management Fees	N/A	\$14,320
<i>Slum or Blight Spot</i>	<i>84</i>	<i>\$1,000,595</i>
Boiler Repair	61	\$805,097
Bonding	N/A	\$29,060
Elevator Repair and Upgrades	16	\$109,910
Filing Fee	N/A	\$600

Lead Inspection and Remediation	2	\$34,662
Oil Tank Repair	4	\$16,604
Potable and Hot Water Inspection and Repair	1	\$4,435
Project Management Fees	N/A	\$227

Please note that the actual number of people served was higher than projected due to the program responding to health and safety conditions as they arise, making accomplishment projections difficult. The City uses the prior year's actual accomplishment as the next year's projections, but this is not necessarily indicative of the next year's performance.

CODE VIOLATION REMOVAL IN SCHOOLS: ENVIRONMENTAL HEALTH

Administering Agency: Department of Education (DOE)

Project ID: 0165

IDIS Activity ID: 6611

Status: Open

Location:

New York City public schools citywide.

Program Description:

CD funds are used to test for and address elevated lead levels in school drinking water and paint.

Consolidated Plan Strategic Goal: Provide safe learning environment in City schools

Matrix Code:

04A - Clean-Up of Contaminated Sites

National Objective:

Limited Clientele: Income Survey
Slum or Blight Spot

Drawn in Program Year: \$3,075,877.00

Accomplishments

Proposed: 247,519 People

Actual: 225,546 People

Race Category	Total Served	# Hispanic
White:	33,950	0
Black/African American:	56,255	0
Asian:	36,697	0
American Indian/Alaskan Native:	0	0
Native Hawaiian/Other Pacific Islander:	0	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	98,644	91,643
Total:	225,546	91,643

Income Category:	Person
Extremely Low	0
Low/Mod	159,605
Moderate	0
Non-Low/Moderate	65,941
Total	225,546
<i>Percent Low/Mod</i>	<i>70.8%</i>

Accomplishment Narrative:

In 2020, DOE completed work that tested for or remediated 285 lead violations in 230 City schools. Of those, 242 jobs — at 190 schools serving 188,169 students — were in schools that serve a predominately low- and moderate-income population. Forty-three (43) jobs — at 40 schools serving 37,377 students — were in schools that do not serve a

predominantly low- and moderate-income population and were categorized as addressing slum or blighting conditions on a spot basis. The following are expenditure amounts and job counts for lead testing and remediation in 2020:

<u>National Objective</u>	<u>Job Count</u>	<u>Expenditure</u>
Limited Clientele	242	\$2,262,277
Slums or Blight Spot	43	\$383,168

Included in these costs are \$52,123 for Project Management fees and \$128,550 for Bonding costs.

In 2020, DOE hired staff to oversee lead remediation in all schools. As of 12/31/2020, this program had six budgeted and five active positions for a total of \$430,432.

COMMUNITY ARTS DEVELOPMENT PROGRAM

Administering Agency: Department of Cultural Affairs (DCLA)

Project ID: 0079

IDIS Activity ID: 2924

Status: Open

Location:

Citywide – See narrative for a list of areas assisted.

Program Description:

CADP uses consultants to provide technical assistance to small cultural organizations.

Consolidated Plan Strategic Goal: Increase capacity of local arts organizations

Matrix Code:

20 - Planning

National Objective:

Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$109,543.00

Accomplishment Narrative:

In 2020, Community Arts Development Program (CADP) staff oversaw final development of the Building Community Capacity technical assistance program. Three CBDOs representing Bushwick, Far Rockaway, and Morrisania were selected to participate. However due to City's COVID-related financial constraints, the program lost City tax levy support, the scope was reduced, and the planning phase of the program was restructured for completion by June 30, 2021. The program, which takes a collaborative and comprehensive approach to building cultural capacity in targeted low- and moderate- income neighborhoods, will transition to the Avenue NYC program at the Department of Small Business Services beginning in City Fiscal Year 2022.

In 2020, CADP staff developed and oversaw three webinars. The first, titled Culture in Public Spaces, was held in September and had 430 attendees. The webinar included presentations by NYC outdoor event permitting agencies: the Mayor's Office of Media and Entertainment, Department of Parks and Recreation, and Department of Transportation. The second webinar was held in October and was joined by 355 attendees. At this webinar, titled Accessibility and Inclusion in the Virtual Space: how cultural organizations can adapt and connect, MOPD presented tips, technical guidance, and resources for inclusive practices. The webinar also included a moderated panel, featuring members of NYC cultural community, who explored opportunities, challenges, and lessons learned regarding the critical goal of inclusion in the digital space. The third webinar, Board Leadership in a Shifting Landscape, was held in December and received 240 attendees. DCLA staff moderated a conversation on how cultural board members are making a difference for their organization's audiences and communities, while navigating changing conditions and tackling critical needs and opportunities.

As of 12/31/2020 this program had two budgeted positions and one active.

CONNECTED NYCHA: OLDER ADULTS - CV

Administering Agency: Various

Project ID: 0038

IDIS Activity ID: 7261

Status: Open

Location:

NYCHA Developments - Citywide

Program Description:

CDBG funds will be used to provide tablets and related support and training services to elderly NYCHA residents.

Consolidated Plan Strategic Goal: Independent living for the elderly and disabled

Matrix Code:

05A - Public Services: Senior Services

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$42,563.00

Accomplishments

Proposed: 10,242 People

Actual: 0 People

Race Category	Total Served	# Hispanic
White:	0	0
Black/African American:	0	0
Asian:	0	0
American Indian/Alaskan Native:	0	0
Native Hawaiian/Other Pacific Islander:	0	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	0	0
Total:	0	0

Income Category:	Person
Extremely Low	0
Low/Mod	0
Moderate	0
Non-Low/Moderate	0
Total	0
<i>Percent Low/Mod</i>	<i>N/A</i>

Accomplishment Narrative:

In response to the COVID-19 pandemic, Mayor de Blasio announced a plan to assist senior residents of NYCHA developments throughout the city to navigate the pandemic and its effects safely and successfully. As part of the Mayor’s plan, the City distributed free internet-connected tablets to senior NYCHA residents and provided immediate and sustained follow-up support and training on how to use the devices. The scope of services ensured that device recipients were supported to effectively understand the device and how it could be used for a variety purposes, such as connecting with family, friends, health resources, and government services and community assistance programs.

The Department of Information Technology and Telecommunications (DOITT) is using CD funds for tablet purchases and broadband support. Additionally, CD funds were used for the training and practical support services provided to all device recipients by Older Adults Technology Services (OATS), an NYC-based nonprofit that specializes in technology training for senior citizens. In 2020, CD funds were used to partially reimburse the salaries of OATS personnel such as Trainers, the Director of Training and Technology, the Program Coordinator, the Membership/Advocacy Manager and Outreach Coordinator, as well as OTPS costs. The contract with OATS is administered by the Department for the Aging.

OATS developed materials, both in print and online, designed for older learners about the device, and oriented towards emergency response needs. OATS provided the infrastructure and staff needed to make and receive calls to assist seniors with tutoring, troubleshooting, and recommended resources. In 2020, OATS accomplished the following:

- Created and packed "Getting Started" inserts/packets with all tablets that were shipped to device recipients;
- Distributed 307 course books to participants in Android Essentials and Intro to Digital Culture;
- Conducted two online trainings (Intro to Digital Culture and Android Essentials) with 718 registered participants and 367 completions;
- Held 672 one-hour online lectures and workshops, which had 6,812 participants;
- Received 36,911 inbound support calls; and
- Made 10,049 outbound support calls.

As a result of the Connected NYCHA program, 95 percent of participants said they felt satisfied, or very satisfied, with their tablet and the support received from Senior Planet; 95 percent felt more socially connected; 91 percent were better equipped to find resources online; and 90 percent would recommend the program to their peers.

The \$42,563 in expenditures were for CD-eligible work completed by OATS during Calendar Year 2020. While the 10,000 tablets were delivered to device recipients residing in NYCHA developments by December 31, 2020, the City has not yet drawn CDBG reimbursement for the tablets or broadband. Due to the speed with which the City implemented programs to respond to COVID-19, the City is still reviewing program documentation to ensure all costs are eligible for CDBG funding. Furthermore, only 10,000 tablets will be paid for with CD funds – an additional 242 program tablets were paid for with other funding. Full program accomplishments will be reflected in the 2021 Annual Performance Report.

COVID-19 TECHNICAL SUPPORT PROGRAM - CV

Administering Agency: Mayor's Office of Housing Recovery Operations (HRO)

Project ID: 0043

IDIS Activity ID: 7297

Status: Open

Location:

250 Broadway, New York, NY, 10007

Program Description:

CD funds will be used for technical support in the Resource Navigators and GetFood COVID-19 programs.

Consolidated Plan Strategic Goal: N/A (Program Administration)

Matrix Code:

21A - General Program Administration

National Objective:

Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$0.00

Accomplishment Narrative:

The COVID-19 Technical Support Program will provide administrative support for the Test and Trace Resource Navigators and GetFoodNYC Emergency Home Food Delivery COVID-19 response programs. The Mayor's Office of Housing Recovery Operations (HRO) will oversee the program.

This program is categorized as an administrative activity and thus is not required to provide accomplishment projections.

DAY CARE CENTER ENVIRONMENTAL HEALTH IMPROVEMENTS

Administering Agency: Department of Education (DOE)

Project ID: 0039

IDIS Activity ID: 6817

Status: Open

Location:

Five *EarlyLearn* sites in the Bronx and Brooklyn.

Program Description:

The Department of Education will use CD funds to address environmental health conditions at City-owned or -leased day care centers.

Consolidated Plan Strategic Goal: Provide safe learning environment in City schools

Matrix Code:

04A - Clean-Up of Contaminated Sites

National Objective:

Limited Clientele: Presumed Benefit
Slum or Blight Spot

Drawn in Program Year: \$0.00

Accomplishment Narrative:

Due to contractual issues in 2020, DOE was unable to complete environmental site review work utilizing CD funding. The City will finalize a plan to re-allocate funding to other CD-eligible activities in 2021.

DCP COMPREHENSIVE PLANNING, DATA, AND TOOLS

Administering Agency: Department of City Planning (DCP)

Project ID: 0062

IDIS Activity ID: 529

Status: Open

Location:

Department of City Planning
120 Broadway, New York, NY 10271
Borough Planning Offices Citywide

Program Description:

Staff performs comprehensive planning functions citywide: zoning actions; housing, economic development, and census data analysis; open space and waterfront revitalization plans; etc.

Consolidated Plan Strategic Goal: Promote community development through planning

Matrix Code:

20 - Planning

National Objective:

Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$21,091,505.00

Accomplishment Narrative:

In 2020, the Department of City Planning (DCP) made significant progress in achieving broad policy goals to support the continued development of thriving neighborhoods across New York City. DCP's mission is to plan for the future, which includes a continued emphasis on low- and moderate-income New Yorkers. In 2020, DCP worked towards five strategic objectives described below, which will continue to provide effective planning information and analysis to CDBG-eligible communities and advance CDBG goals of improving housing conditions and the economy.

1. Catalyze Long-term Neighborhood Improvement through Integrated Planning

In 2020, in conjunction with *Housing New York*, DCP announced, advanced for public review, and completed place-based planning studies to foster diverse, livable neighborhoods with mixed-income housing and supporting services. Through these inclusive community development initiatives with public and private stakeholders, DCP expands access

to quality jobs and services, and stimulates housing production where traditionally under-invested neighborhoods can accommodate growth. Accomplishments included the following:

- In 2020, DCP completed public engagement and outreach efforts for the Gowanus Area Study, reviewed the draft plan, and advanced work towards the completion of Environmental Review. Stakeholders continued strategizing neighborhood goals and priorities, such as sustainability, resiliency, land use, arts and culture, and economic development. The Gowanus Neighborhood Plan is expected to be certified into public review in the first half of 2021.

DCP also worked with community stakeholders on the following initiatives:

- The Northwestern Crown Heights Study shared a revised planning framework with various stakeholders to develop consensus around strategies to support job growth and new affordable housing along major East-West thoroughfares in central Brooklyn.
- The Southern Boulevard Neighborhood Study, which identifies assets, opportunities, and critical infrastructure in the neighborhood. The Department's planning framework was shared with the community and elected officials in 2020 and it continues to be revised as the community's needs and vision come into focus.
- The area around newly proposed Metro North stations in the East Bronx that will bring affordable housing, retail, and community facilities to the area. This will open previously isolated sites and provide existing residents with new transit options. In 2020, a new planning framework and a proposal for construction and staging was shared with the community for feedback and review. The Department also began work on environmental analysis required for the proposal.
- The Soho and Noho Study analyzed the existing retail, housing, and manufacturing uses and provides the framework for a future development plan. The report was based on years of research of existing conditions and an intensive community engagement process that was conducted collaboratively with elected officials through 2018 and 2019. The Department expects that the environmental review process will be completed in 2021 and that the proposal will advance into public review before the end of 2021.
- The Arverne East Proposal, which will facilitate affordable residential units and commercial space while protecting a sensitive coastal nature preserve and dune preserve. In 2020, the proposal was finalized and entered into public review.
- The Sunnyside neighborhood advanced a proposal seeking to construct affordable housing units, ground floor commercial, and community facility spaces. In 2020, the project was advanced into public review.
- The Special Flushing Waterfront District implemented a comprehensive plan to redevelop and remediate waterfront area in downtown Flushing. This project follows a report prepared under a NY State Brownfield Opportunity Area grant and builds on outreach work conducted in 2019. The plan will facilitate housing units, commercial space, and open space along the waterfront. In 2020, the Department completed the environmental review for the project and advanced the proposal into public review.
- The Staten Island Districts Update is a comprehensive, collaborative effort to enhance and streamline the City's approach to protecting natural resources and neighborhood character in more than half the land-area of Staten Island. In 2020, the Department completed the environmental review and prepared for the proposal to enter public review in early 2021.

2. Encourage Housing Production, Affordability, and Quality

DCP also worked to advance zoning proposals and to advise communities on the proposals of its sister agencies and private actors throughout the city to increase residential capacity and affordable housing development. Overall, DCP, in collaboration with communities, is working to increase access to affordable housing and strengthen the existing housing stock throughout CDBG-eligible census tracts.

DCP's 2020 housing initiatives focused on the implementation of the City's housing plan, *Housing New York: A Five-Borough, Ten Year Plan*. In 2020, the New York City Planning Commission approved 11 proposals that mapped

Mandatory Inclusionary Housing, allowing for approximately 2,200 total units, 1,258 of which are permanently affordable through the City's Mandatory Inclusionary Housing and other affordable housing programs.

In 2020, the Department advanced *Zoning for Transit Accessibility*, a zoning framework intended to accelerate the implementation of ADA accessibility throughout the New York City transit system's subways while also aligning design and construction with improved station access. In 2020, the framework was developed and shared with agency partners. It is intended to move into public review in 2021.

3. Promote Economic Development

A variety of economic initiatives were continued in 2020 that will support the City's goal of creating 100,000 new, quality jobs. Many of the initiatives underway at DCP relate to manufacturing zoning district areas within CD-eligible areas. Accomplishments in 2020 included the following:

- The FRESH II project was initiated to provide greater incentives for neighborhood grocery stores to locate in underserved neighborhoods. The study will expand the boundaries of areas where FRESH is applicable and would provide visibility into the pipeline to monitor the saturation of application locations. The proposal will also modify the zoning text to allow for more stores in existing buildings. In 2020, work started on the proposal so that environmental review could proceed.
- As part of the City's COVID-19 pandemic recovery, the Department advanced an Open Restaurants text amendment that will provide eating and drinking establishments more certainty that the Open Restaurants program will be available next year. The proposal will extend the zoning relief included in the current Executive Order by removing zoning barriers to outdoor dining until the end of 2021. It would also allow outdoor dining on sidewalks and streets pursuant to DOT rules, in privately-owned public spaces pursuant to DCP rules, and in other private spaces like parking lots. The Department hopes to finalize the text amendment and refer it to the public for review in late 2021.
- The private Industry City project began public review in 2020. The application, which includes a zoning map amendment, a zoning text amendment to create a new special district, and a special permit for use, bulk, and parking, will facilitate a reactivation and expansion of a large industrial development located in Sunset Park, Brooklyn.
- The Department re-visited the previously issued *Assessing Storefront Vacancy in NYC* retail study based on case studies of 24 neighborhoods around the city. The goal of revisiting this study and the associated retail data was to understand how the COVID-19 pandemic was impacting local retail corridors so that policy prescriptions could be developed to support local businesses.

4. Enhancing the Resiliency and Sustainability of Neighborhoods

DCP refined a detailed proposal for *Zoning for Coastal Flood Risk*, a citywide text amendment to update and make permanent the temporary 2013 Flood Resilience Zoning Text Amendment to encourage long-term resilient design across the city's 1 percent and 0.2 percent annual chance floodplains, allow buildings to be adapted over time through partial resiliency strategies, and provide the zoning tools that are necessary to facilitate future recovery. In addition, the proposal would allow resiliency improvements to be more easily incorporated at the water's edge as sites redevelop and in public spaces, as well as help facilitate the city's long-term recovery from the COVID-19 pandemic.

5. Provide Effective Planning Information and Analysis

Planning Information

In 2020, DCP expanded upon its process for working with communities on their annual Community District Needs Statements and budget requests. Over the last three years, DCP has piloted a new, online form intended to better collect information, so that City agencies could act on requests more easily, and so DCP could track and report on trends behind the requests.

Planning Data

Citywide Geo and Enterprise Data Management (EDM) teams collectively are responsible for developing and maintaining specialized geographic data processing capabilities that support the planning activities of DCP and other City agencies, including Federally-funded programs such as CDBG. Major products created and maintained by Citywide Geo include the Geosupport System and the Property Address Directory. EDM is responsible for the Linear Integrated Ordered Network derived from the Citywide Street Centerline file and maintains data layers in the Department of Finance’s Digital Tax Map.

As of 12/31/2020, this program had 161 budgeted positions, of which 138 were active.

DEMOLITION PROGRAM

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0171

IDIS Activity ID: 831

Status: Open

Location:

Citywide

Program Description:

HPD demolishes structurally hazardous buildings that are issued a Declaration of Emergency by the Department of Buildings. CD funds pay for the demolition work performed by outside contractors.

Consolidated Plan Strategic Goal: Reduction of blighted properties

Matrix Code:

04 - Clearance and Demolition

National Objective:

Slum or Blighted Area
Slum or Blight Spot

Drawn in Program Year: \$6,960,022

Accomplishments

Proposed: 23 Demolitions

Actual: 10 Demolitions

Accomplishment Narrative:

In 2020, HPD used CD funds to complete 10 full or partial demolitions of hazardous properties. Final payment will be made in 2021 for an additional seven full or partial demolitions that were completed in 2020. Please note that buildings are demolished pursuant to a Declaration of Emergency issued by the Department of Buildings. Therefore, the total number of demolitions is beyond HPD’s control. Furthermore, HPD gives property owners the opportunity to correct the conditions on their own before completing it with City resources. Only demolitions that have been fully paid for with CD funds will count toward CD accomplishments.

In addition to CD-funded demolitions, HPD used CD funds for demolition-related activities, including:

- 105 units of asbestos testing and monitoring, both for sites that will be demolished using CD funds and for sites that will be demolished with other funds:
 - 64 units of asbestos investigation, which benefitted 63 sites;
 - 11 units of asbestos analysis, which benefitted nine sites; and
 - 30 units of asbestos monitoring, which benefitted 28 sites.
- Four units of asbestos removal for three sites.
- Initial payment for demolition expenses incurred at four sites prior to owner compliance.
- Final payment for three demolition expenses reported as complete in prior years.

Completed CD-funded demolitions were valued at \$2,442,127. Payments for demolitions that were still underway totaled \$4,250,010. Final payment for demolition expenses that were completed in prior years were valued at \$25,640. Additional CD-funded demolition-related activity was valued at \$21,536.

Three CD-funded demolitions took place in designated Slum or Blighted Areas and seven qualified as the elimination of a slum or blighting condition on a spot basis. The following is a list of the 10 CD-funded demolitions that were completed and paid in full in 2020:

Borough	Address	Census Tract	Congressional District	Sub-Borough Area
Slum or Blight Area				
Bronx	1857 Carter Avenue	231	15	Bronx 4 - University Heights / Fordham
Bronx	1859 Carter Avenue	231	15	Bronx 4 - University Heights / Fordham
Brooklyn	726 Madison Street	385	8	Brooklyn 3 - Bedford / Stuyvesant
Slum or Blight Spot				
Brooklyn	526 Logan Street	1194	8	Brooklyn 5 - East New York / Starrett City
Brooklyn	2333 Stillwell Avenue	382	11	Brooklyn 13 - Coney Island
Queens	99-17 32 nd Avenue	363	14	Queens 3 - Jackson Heights
Queens	115-36 159 th Street	276	5	Queens 12 - Jamaica
Queens	91-08 172 nd Street	462	5	Queens 12 - Jamaica
Queens	102-39 Russell Street	884	8	Queens 10 - Howard Beach / South Ozone Park
Staten Island	55 Winter Avenue	11	11	Staten Island 1 – North Shore

The following seven demolitions were completed in 2020, but final payment will be made with CD funds in 2021:

Borough	Address	Census Tract	Congressional District	Sub-Borough Area
Slum or Blight Area				
Bronx	899 Teller Avenue	173	15	Bronx 3 - Highbridge / South Concourse
Brooklyn	108 Bristol Street	924	9	Brooklyn 16 - Brownsville / Ocean Hill
Brooklyn	453 Coney Island Avenue	506	9	Brooklyn 14 - Flatbush
Brooklyn	38 Van Buren Street	263	8	Brooklyn 3 - Bedford / Stuyvesant
Slum or Blight Spot				
Bronx	710 East 180 th Street	371	15	Bronx 2 - Morrisania / East Tremont
Manhattan	233 East 4 th Street	32	12	Manhattan 2 - Lower East Side / Chinatown
Staten Island	353 St. Pauls Avenue	33	11	Staten Island 1 - North Shore

The following demolition was reported as complete in prior years, but received a final payment in 2020:

Borough	Address	Census Tract	Congressional District	Sub-Borough Area
Slum or Blight Area				
Brooklyn	1536 East New York Avenue	924	8	Brownsville/Ocean Hill (16)

CD funds were used to pay for personnel costs associated with the program. In 2020, CD-funded personnel oversaw one non-CD-funded demolition. Twenty (20) properties were demolished and 316 were stabilized by the property owner. Non-CD-funded demolitions were valued at \$520,939.

As of 12/31/2020, there were nine budgeted positions, which were all active.

CD revenue is generated when private owners pay for CD-funded demolitions performed by the City.

DFTA SENIOR CENTER IMPROVEMENTS

Administering Agency: Department for the Aging (DFTA)

Project ID: 0183

IDIS Activity ID: 975

Status: Open

Location:

Citywide - See accomplishment narrative for sites.

Program Description:

CD funds are used for the renovation of the physical plant and the rectification of code violations in senior centers.

Consolidated Plan Strategic Goal: Provide safe, accessible senior centers

Matrix Code:

03A - Public Facilities and Improvements:
Senior Centers

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$829,559.00

Accomplishments

Proposed: 3,000 People

Actual: 1,926 People

Race Category	Total Served	# Hispanic
White:	146	38
Black/African American:	27	0
Asian:	1,713	0
American Indian/Alaskan Native:	0	0
Native Hawaiian/Other Pacific Islander:	1	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	39	0
Total:	1,926	38

Income Category:	Person
Extremely Low	0
Low/Mod	0
Moderate	1,926
Non-Low/Moderate	0
Total	1,926
<i>Percent Low/Mod</i>	<i>100.00%</i>

Accomplishment Narrative:

In 2020, one senior center renovation project was completed and seven were underway. Please see below for the senior center, provider organization, address, work scope, and expenditure amount of each project.

CD funds also paid for two positions at the Department for the Aging (DFTA). PS charges totaled \$198,444.

City Hall Senior Center
Hamilton Madison House
100 Gold Street, Manhattan
Congressional District: 10

Work Scope: CD funds were used to upgrade the interior of the facility by installing chair rails, replacing and rebuilding a media closet, and removing and replacing a sink and cabinetry with wall tiling in an art room.

Amount Expended: \$69,930

Project Status: Completed

SEBCO Senior Program
SEBCO Development, Inc.
885 Bruckner Boulevard, Bronx
Congressional District: 15

Work Scope: CD funds will be used to install ductless heating units and related lines, and pumps and piping to serve the center's kitchen, dining, and recreation room.

Amount Expended: \$0

Project Status: Underway

CCNS Narrows Senior Center
Catholic Charities Neighborhood Services
191 Joralemon Street, Brooklyn

Work Scope: CD funds are being used for cellar construction work, to add a three fixture women's restroom and adjacent storage, and to reconfigure existing the casework office for added accessibility.

Amount Expended: \$0

Project Status: Underway

Hazel Brooks Neighborhood Senior Center
Fort Greene Council
951 Ocean Avenue, Brooklyn
Congressional District: 9

Work Scope: CD funds are being used to install a sump pump, sprinkler, and gas line and valve.

Amount Expended: \$0

Project Status: Underway

FIND Woodstock Neighborhood Senior Center
FIND Aid for the Aged
127 West 43rd Street, Manhattan

Works Scope: CD funds are being used to renovate two large toilet rooms, enhance lighting levels to better serve seniors, install sensors to save energy, and replace inoperable toilet partitions.

Amount Expended: \$0

Project Status: Underway

Mott Street Senior Center
New York Foundation for Senior Citizens, Inc.
180 Mott Street, Manhattan
Congressional District: 7

Work Scope: CD funds are being used to replace a cooling tower.

Amount Expended: \$0

Project Status: Underway

Sirovich Senior Center
Educational Alliance
331 East 12th Street, Manhattan
Congressional District: 12

Works Scope: CD funds are being used for additional toilet rooms, providing access for people with disabilities to the case management offices, increasing storage and functionality of the facility's service area, and to install new roofing.

Amount Expended: \$446,038

Project Status: Underway

KCS Community Services Senior Center
 Korean Community Services of Metropolitan New York, Inc.
 203-05 32nd Avenue, Queens
 Congressional District: 6
 Work Scope: CD funds are being used for brick parapet wall and coping replacement and to install a new commercial kitchen, upgrade the roof, improve the lighting in the dining area, and provide an ADA-accessible restroom.
 Amount Expended: \$115,147
 Project Status: Underway

Please note the actual accomplishments are lower than the projected because senior center construction decreased due to the pandemic, including a statewide moratorium on construction that occurred between March and June.

DHS HOMELESS SERVICES

Administering Agency: Department of Homeless Services (DHS)

Project ID: 0182

IDIS Activity ID: 974

Status: Open

Location:

30th Street Men's Shelter
 400-430 East 30th Street, New York, NY 10016

Program Description:

The Department of Homeless Services provides shelter and services to homeless families and single adults. CD funds pay for security services at the 30th Street Men's Shelter in Manhattan.

Consolidated Plan Strategic Goal: Reduce homelessness

Matrix Code:

03T - Operating Costs of Homeless/AIDS Patients Programs

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$4,369,130.00

Accomplishments

Proposed: 7,000 People

Actual: 4,285 People

Race Category	Total Served	# Hispanic
White:	910	425
Black/African American:	2,579	266
Asian:	84	5
American Indian/Alaskan Native:	30	19
Native Hawaiian/Other Pacific Islander:	86	65
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	596	469
Total:	4,285	1,249

Income Category:	Person
Extremely Low	4,285
Low/Mod	0
Moderate	0
Non-Low/Moderate	0
Total	4,285
<i>Percent Low/Mod</i>	<i>100.0%</i>

Accomplishment Narrative:

The 30th Street Men's Shelter is a multi-functional building with a total of 850 beds located at 400-430 East 30th Street, Manhattan. This site is the Department of Homeless Services' (DHS) main point of entry for all single adult men as well as adult households without children, and as such acts as DHS's primary location for Intake and Diversion efforts. Intake and Diversion for single adult men is focused on a strengths-based assessment of each client and their available resources, and provides valuable services including, but not limited to, family mediation, emergency one-shot deal applications, referral for short- and long-term rehabilitation, and financial assistance for family reunification.

CD funds pay for a portion of the contract with a private security firm at the 30th Street Men's Shelter in an effort to provide a safe environment for the residents.

The accomplishments are lower than expected due to the COVID-19 pandemic. COVID-19 decreased the mobility of many homeless clients. To decrease risk of exposure, some clients were able to secure places to stay with friends or family who were not open to housing them under normal circumstances.

DHS SHELTER RENOVATIONS PROJECT SUPPORT

Administering Agency: Department of Homeless Services (DHS)

Project ID: 0015

IDIS Activity ID: 6489

Status: Open

Location:

33 Beaver Street
New York, NY 10004-2736

Program Description:

DHS uses CD funds for staff that oversees efforts to address lead-based paint in City- and nonprofit-owned homeless shelters. The actual rehabilitation is paid for using non-CDBG funds.

Consolidated Plan Strategic Goal: Reduce homelessness

Matrix Code:

04A - Clean-Up of Contaminated Sites

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$193,808.00

Accomplishment Narrative:

Through the Shelter Renovations Project Support program, DHS uses CD funds to support Special Project Managers in the Facilities and Logistics Unit that coordinate and manage efforts to address lead-based paint in family shelter units. The Project Managers' duties include creating and managing project work orders to initiate lead inspections; performing site visits on a regular basis to monitor the progress of tasks assigned to contractors; coordinating all lead remediation and abatement activities among providers, programs, and contractors; reviewing and approving invoices; monitoring the performance of the lead inspection and lead remediation/abatement contractors; preparing reports to identify and correct potential issues; resolving problems that arise in meeting schedules and costs; and advising and making recommendations to provide ongoing support to *LeadFreeNYC*. The inspection program was put on pause on March 13, 2020 due to the COVID-19 pandemic. Prior to the pause, the Project Managers oversaw the testing of 278 units. For the remainder of the year, staff worked with the Information Technology Unit to create an automated Work Order, Payment, and Document retention system to streamline and standardize the operation.

As of 12/31/2020, this program had three budgeted positions, of which three were active.

DOE COMMUNITY MEALS - CV

Administering Agency: Department of Education (DOE)

Project ID: 0042

IDIS Activity ID: 7296

Status: Open

Location:

New York City public schools in underserved areas citywide

Program Description:

CD funds will be used to provide free meals at City schools for persons in need of food.

Consolidated Plan Strategic Goal: Reduce hunger

Matrix Code:

05W - Food Banks

National Objective:

Limited Clientele: Nature and Location

Drawn in Program Year: \$0.00

Accomplishments

Proposed: 0 People

Actual: 0 People

Race Category	Total Served	# Hispanic
White:	0	0
Black/African American:	0	0
Asian:	0	0
American Indian/Alaskan Native:	0	0
Native Hawaiian/Other Pacific Islander:	0	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	0	0
Total:	0	0

Income Category:	Person
Extremely Low	0
Low/Mod	0
Moderate	0
Non-Low/Moderate	0
Total	0
<i>Percent Low/Mod</i>	<i>N/A</i>

Accomplishment Narrative:

At onset of COVID-19, the public school system transitioned to a USDA Summer Feeding program, which allows meal service during a closure. Subsequently, DOE expanded its emergency meal service to adults, for which the City does not receive reimbursement from the federal government.

Beginning on July 1, 2020, DOE began using CD funds for the adult Grab-and-Go portion of the effort. Funding is used for food and supply costs for the adult portion of the overall feeding program at 260 adult-focused sites. However, approximately 1,688 school locations are open to all persons in need of food with 1,075 available for a Grab-and-Go meal service.

The City has not yet drawn CDBG reimbursement for this program. Due to the speed with which the City implemented programs to respond to COVID-19, the City is still reviewing program documentation to ensure all costs are eligible for CDBG funding. Full program accomplishments will be reflected in the 2021 Annual Performance Report.

DOE REMOTE LEARNING - CV

Administering Agency: Department of Education (DOE)

Project ID: 0036

IDIS Activity ID: 7259

Status: Open

Location:

New York City public schools citywide

Program Description:

CD funds will be used to support the education of public school students throughout the city while they attend class remotely during the COVID-related school closure.

Consolidated Plan Strategic Goal: Provide safe learning environment in City schools

Matrix Code:

05D - Public Services: Youth Services

National Objective:

Limited Clientele: Income Survey

Drawn in Program Year: \$26,248,325.00

Accomplishments

Proposed: 0 People

Actual: 0 People

Race Category	Total Served	# Hispanic
White:	0	0
Black/African American:	0	0
Asian:	0	0
American Indian/Alaskan Native:	0	0
Native Hawaiian/Other Pacific Islander:	0	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	0	0
Total:	0	0

Income Category:	Person
Extremely Low	0
Low/Mod	0
Moderate	0
Non-Low/Moderate	0
Total	0
<i>Percent Low/Mod</i>	<i>N/A</i>

Accomplishment Narrative:

On March 17, 2020, the New York City Department of Education (DOE), the largest public-school system in the nation, abruptly ceased in-person learning in response to the COVID-19 pandemic with an unknown date to reopen. Due to the closure, approximately one million DOE students began remote learning from home, including shelters, public housing, and foster care. With little time to plan, DOE developed an emergency strategy to enable students to continue their education remotely for an indefinite period. Such resources to support this endeavor included the purchase and deployment of broadband-enabled devices for students whose families reported lacking access otherwise as well as the development and distribution of paper workbooks and other educational materials.

CD funds were used to pay for paper material costs and support for the iPads such as AppleCare support, iPad cases, Microsoft software licenses, device management planning and implementation consultant costs, central hardware to support increased capacity related to remote instruction, project management and quality assurance, application development for remote instruction, Student Information System (SIS) integration, scanners/barcode readers, staging and shipping and distribution services, Google remote instruction software and implementation, iLearnNYC licenses, implementation of Little SIS and reporting tools for Google Workspace, Cherwell software licenses, device security,

student and family portal enhancements, family communication platforms, Learning Management Systems Integration, a 'parent university' program, NYC Schools Account enhancement, device repair and recall coordination and distribution services and additional ad-hoc device distribution services, and LTE broadband service.

The City has not yet drawn full CDBG reimbursement for this program. Due to the speed with which the City implemented programs to respond to COVID-19, the City is still reviewing program documentation to ensure all costs are eligible for CDBG funding. Full program accomplishments will be reflected in the 2021 Annual Performance Report.

DOE RE-OPENING COSTS - CV

Administering Agency: Department of Education (DOE)

Project ID: 0041

IDIS Activity ID: 7295

Status: Open

Location:

New York City public schools citywide.

Program Description:

CD funds will be used to support re-opening costs, primarily air filtration, for educational facilities throughout the city.

Consolidated Plan Strategic Goal: Provide safe learning environment in City schools

Matrix Code:

05D - Public Services: Youth Services

National Objective:

Limited Clientele: Income Survey

Drawn in Program Year: \$0.00

Accomplishments

Proposed: 0 People

Actual: 0 People

Race Category	Total Served	# Hispanic
White:	0	0
Black/African American:	0	0
Asian:	0	0
American Indian/Alaskan Native:	0	0
Native Hawaiian/Other Pacific Islander:	0	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	0	0
Total:	0	0

Income Category:	Person
Extremely Low	0
Low/Mod	0
Moderate	0
Non-Low/Moderate	0
Total	0
<i>Percent Low/Mod</i>	<i>N/A</i>

Accomplishment Narrative:

Through the DOE Reopening Costs program, the City is using CD funds to partially reimburse DOE costs for emergency purchases related to reopening schools during the COVID-19 health crisis. CD funds will be used for air purifiers that will be serve DOE-owned and -operated public school to ensure the health and safety of persons occupying DOE facilities.

The City has not yet drawn CDBG reimbursement for this program. Due to the speed with which the City implemented programs to respond to COVID-19, the City is still reviewing program documentation to ensure all costs are eligible for CDBG funding. Full program accomplishments will be reflected in the 2021 Annual Performance Report.

DOE SCHOOL KITCHEN RENOVATIONS PROGRAM: PUBLIC FACILITIES

Administering Agency: Department of Education (DOE)

Project ID: 0020

IDIS Activity ID: 6232

Status: Open

Location:

New York City public schools citywide.

Program Description:

CD funds are used to upgrade school kitchens and purchase equipment necessary to expand the City's Breakfast in the Classroom initiative.

Consolidated Plan Strategic Goal: Reduce hunger

Matrix Code:

03E - Public Facilities and Improvements:
Neighborhood Facilities

National Objective:

Limited Clientele: Income Survey

Drawn in Program Year: \$222,381.00

Accomplishments

Proposed: 0 People

Actual: 27,978 People

Race Category	Total Served	# Hispanic
White:	4,211	0
Black/African American:	6,978	0
Asian:	4,552	0
American Indian/Alaskan Native:	0	0
Native Hawaiian/Other Pacific Islander:	0	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	12,237	11,368
Total:	27,978	11,368

Income Category:	Person
Extremely Low	0
Low/Mod	0
Moderate	22,115
Non-Low/Moderate	5,863
Total	27,978
<i>Percent Low/Mod</i>	<i>79.0%</i>

Accomplishment Narrative:

Every NYC Department of Education (DOE) school makes free breakfast available to every student. However, as of 2015, breakfast participation was relatively low compared with the need: about 24 percent of students ate breakfast in school every morning, while approximately 75 percent of students qualified for “free” or “reduced-price” meals, meaning that they were from low- and moderate-income homes.

Through the DOE School Kitchen Renovations Program, the City has used CD funds to partially fund the expansion of “Breakfast in the Classroom,” which is an initiative by Mayor Bill de Blasio and DOE to ensure that a free and complete breakfast is available to NYC elementary and middle school students. In schools that implemented Breakfast in the

Classroom, breakfast participation has risen to approximately 50 percent, helping ensure that students start the day with a healthy and nutritious meal.

CD funds are used to purchase refrigerators and freezers necessary to store the food and for facility improvements to accommodate the new equipment. Facility improvements include the installation of electrical outlets, panel boxes, and facility changes to allow for space for the new equipment, and, in very limited cases, bring additional power into the building.

This initiative will ultimately benefit 300,000 students, especially children from low- and moderate-income households, in approximately 500 elementary school and middle schools.

In 2020, the City drew \$222,381 in reimbursement for this program. However, actual expenditures for 2020 totaled \$329,968. DOE used \$308,236 in CD funds to complete 42 electrical upgrades in 41 schools. This benefited 27,978 students, 22,115 of which were from low- and moderate-income households. An additional \$21,731 in CD funds was used for a construction management contract to oversee the work.

Due to an accounting error, there is a \$107,586 variance between what was expended (\$329,968) and what the City was reimbursed (\$222,381). In 2019, DOE used \$107,586 for several jobs that were CD-eligible. However, DOE moved these charges to a previous City fiscal year shortly after December 31, 2019. Because the previous CFY was closed and DOE had not set up a CD accrual for these charges, CD was ultimately unable to pay for them. In 2020, the City returned the drawn funds to the CD program and they were used to offset 2020 expenditures.

The accomplishment number is higher than the proposed due to a change in work scope. DOE intended to use CD funds for large walk-in refrigerators, but the City ultimately decided to use Capital funds for this work. DOE instead used CD funds to further electrical upgrades for the program.

DOE SCHOOL KITCHEN RENOVATIONS PROGRAM: PUBLIC SERVICES

Administering Agency: Department of Education (DOE)

Project ID: 0020

IDIS Activity ID: 5846

Status: Completed

Location:

Citywide

Program Description:

CD funds are used to upgrade school kitchens and purchase equipment necessary to expand the City's Breakfast in the Classroom initiative.

Consolidated Plan Strategic Goal: Reduce hunger

Matrix Code:

05D - Public Services: Youth Services

National Objective:

Limited Clientele: Income Survey

Drawn in Program Year: \$0

Accomplishments

Proposed: 0 People

Actual: 0 People

Accomplishment Narrative:

DOE will no longer use CD funds for the purchase of refrigerators and freezers for the Breakfast in the Classroom Initiative. All remaining funds will be reallocated to the Code Violation Removal in Schools Program.

This program also has a Public Facilities and Improvements component (HUD Activity #6232). Please see the entry for that component for Calendar Year 2020 accomplishments.

EARLY CARE AND EDUCATION SERVICES

Administering Agency: Department of Education (DOE)

Project ID: 0042

IDIS Activity ID: 550

Status: Open

Location:

Five *EarlyLearn* sites throughout the city - See the accomplishment narrative for a site list.

Program Description:

Children are provided child development, educational, and social services in early education centers operated under contract with the City.

Consolidated Plan Strategic Goal: Provide day care services to low/mod households

Matrix Code:

05L - Public Services: Child Care Services

National Objective:

Limited Clientele: Income Survey

Drawn in Program Year: \$2,678,561.00

Accomplishments

Proposed: 173 People

Actual: 217 People

Race Category	Total Served	# Hispanic
White:	55	50
Black/African American:	16	7
Asian:	7	2
American Indian/Alaskan Native:	26	24
Native Hawaiian/Other Pacific Islander:	10	10
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	103	71
Total:	217	164

Income Category:	Person
Extremely Low	169
Low/Mod	42
Moderate	5
Non-Low/Moderate	1
Total	217
<i>Percent Low/Mod</i>	<i>99.5%</i>

Accomplishment Narrative:

In 2020, CD funded 217 slots at the following *EarlyLearn* sites:

Betances Early Childhood Development Center (Operated by Sheltering Arms Services)

Expended: \$165,520

528 East 146th Street, Bronx

Children served through CD-funded slots: 13

Congressional District: 15

New Life Child Development Center

Expended: \$1,998,357

295 Woodbine Street, Brooklyn

Children served through CD-funded slots: 58

Congressional District: 7

406-408 Grove Street, Brooklyn

Children served through CD-funded slots: 52

Congressional District: 7

1307 Greene Avenue, Brooklyn

Children served through CD-funded slots: 57

Congressional District: 7

Rena Day Care Center

Expended: \$514,684

639 Edgecombe Avenue, Manhattan

Children served through CD-funded slots: 37

Congressional District: 13

ELDERLY MINOR HOME REPAIR PROGRAM

Administering Agency: Department for the Aging (DFTA)

Project ID: 0049

IDIS Activity ID: 568

Status: Open

Location:

Citywide

Program Description:

The New York Foundation for Senior Citizens, Inc. provides free home repair services for elderly homeowners meeting the HUD Section 8 low- and moderate-income criteria.

Consolidated Plan Strategic Goal: Maintain habitability for elderly homeowners

Matrix Code:

05A - Public Services: Senior Services

National Objective:

Limited Clientele: Income Exclusive

Drawn in Program Year: \$366,840.00

Accomplishments

Proposed: 2,100 People

Actual: 716 People

Race Category	Total Served	# Hispanic
White:	323	34
Black/African American:	352	6
Asian:	13	0
American Indian/Alaskan Native:	1	0
Native Hawaiian/Other Pacific Islander:	8	1
American Indian/Alaskan Native & White:	1	0
Asian & White:	1	0
Black/African American & White:	1	0
American Indian/Alaskan Native & Black/African American:	6	0
Other Multi-Racial:	10	0
Total:	716	41

Income Category:	Person
Extremely Low	228
Low/Mod	271
Moderate	217
Non-Low/Moderate	0
Total	716
<i>Percent Low/Mod</i>	<i>100.0%</i>

Accomplishment Narrative:

In 2020, the program completed 1,242 repairs benefiting 716 households. CD funds were used for OTPS costs such as office space, supplies, printing, telephone, postage, repair material and parts, insurance, conferences and staff training, costs incurred by traveling to clients' homes, and expenses related to the COVID-19 pandemic. In addition, CD funds were used for nine positions; the descriptions for these positions are listed below:

- Program Director: Responsible for the day-to-day operations, hiring staff, public outreach, and supervising the program's budget; purchases materials for low- and extremely low-income clients; and refers clients with home and quality-of-life issues to the appropriate agencies.
- Program Coordinator: Takes client requests for work orders; sets up appointments; coordinates staff schedules; collects data for monthly, quarterly, and annual reports; and other administrative duties.
- Repair Person (four positions): Conducts new client safety audits and general assessments; performs repairs in plumbing, electrical, carpentry, weatherization, and masonry fields.
- Controller: Oversees the program's payroll, budget, and expenses.
- Director of Programs: Oversees the provider's various programs, personnel, and budgets.
- Secretary: Answers telephone, assists Program Coordinator and Program Director, and handles incoming mail.

Due to the COVID-19 pandemic, the accomplishments for this program were lower than expected. The Elderly Minor Home Repair Program serves clients who were deemed part of the highest at-risk group if exposed to the virus. Clients were fearful of having people, even their long-time trusted repairmen, in their homes and requested to be placed on a waitlist for services if they did not require an emergency repair. From March to December 2020, program staff completed 1,564 client wellness calls, providing information and referrals to existing clients and offering assistance with non-emergency repair requests.

ELDERLY SAFE-AT-HOME PROGRAM

Administering Agency: New York City Housing Authority (NYCHA)

Project ID: 0039

IDIS Activity ID: 511

Status: Open

Location:

Twelve New York City Housing Authority complexes in Bronx, Brooklyn, Manhattan, and Queens - See the accomplishment narrative for locations.

Program Description:

The program uses trained paraprofessionals to educate the elderly and disabled tenants on anti-crime tactics.

Consolidated Plan Strategic Goal: Independent living for the elderly and disabled

Matrix Code:

05A - Public Services: Senior Services
 05B - Public Services: Services for People with Disabilities

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$675,000.00

Accomplishments

Proposed: 3,946 People

Actual: 4,198 People

Race Category	Total Served	# Hispanic
White:	1,617	1,492
Black/African American:	2,366	680
Asian:	148	6
American Indian/Alaskan Native:	27	20
Native Hawaiian/Other Pacific Islander:	40	34
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	0	0
Total:	4,198	2,232

Income Category:	Person
Extremely Low	0
Low/Mod	4,198
Moderate	0
Non-Low/Moderate	0
Total	4,198
<i>Percent Low/Mod</i>	<i>100.0%</i>

Accomplishment Narrative:

In 2020, the Elderly Safe-at-Home program provided services geared towards enhancing the general quality of life of elderly and non-elderly residents with disabilities who resided in 12 NYCHA developments. This program employs dedicated employees that provide on-site social services to help improve safety and security and enhance health and well-being.

In 2020, CD funds paid for the following program staff:

- Administrator: oversees the daily operations of the program for homebound elderly residents and residents with disabilities when the Supervisor is not available.
- Supervisor II Social Worker: oversees the daily operations of the program services to homebound elderly and handicapped residents.
- Community Coordinator: oversees the daily operations of the program for elderly residents and residents with disabilities that are homebound.

CD-funded accomplishments in 2020 totaled 68,119 units of assistance to 4,198 people, including:

- | | |
|---------------------------------------|----------------------------------|
| 1) Health: 14,636 | 9) Social & Cultural: 6,990 |
| 2) Entitlements: 2,655 | 10) Bill Payment: 322 |
| 3) Crisis Intervention: 9 | 11) Transportation: 3,712 |
| 4) Homecare: 3,577 | 12) Shopping: 1,083 |
| 5) Legal: 253 | 13) Rent Assistance: 443 |
| 6) Abuse: 250 | 14) Apartment Maintenance: 2,676 |
| 7) Protective Services for Adults: 24 | 15) Burial: 42 |
| 8) Telephone Calls: 18,523 | 16) Crime: 7 |

17) Meals: 2,685
 18) Home Visits: 2,102
 19) Office & Outside Visits: 1,886

20) Correspondence: 191
 21) Other: 6,043

CD funds were used at the following sites during Calendar Year 2020:

Development Name	Address	Congressional District
Bronx		
Boston Road Plaza	2440 Boston Road	14
Bronx River Addition	1350 Manor Avenue	15
Butler Houses	1402 Webster Avenue	15
Courtlandt Avenue Senior Center	372 East 152 nd Street	15
Jackson Houses	799 Courtlandt Avenue	15
McKinley Houses	731 East 161 st Street	15
Morris I & II	3663 Third Avenue	15
Randall-Balcom	2705 Schley Avenue	14
Brooklyn		
Marcus Garvey/Reverend Brown Houses	1630 St. Marks Avenue	8
Manhattan		
LaGuardia Addition	282 Cherry Street	7
UPACA 5&6/Morris Park Senior Citizens Home	1940 Lexington Avenue	13
Queens		
Latimer Gardens	139-10 34 th Avenue	6

EMERGENCY REPAIR PROGRAM

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0009

IDIS Activity ID: 509

Status: Open

Location:

Citywide – See the Emergency Repair Program building lists in the Appendix.

Program Description:

The Emergency Repair Program (ERP) works to correct immediately hazardous "C" violations. The goal is to secure voluntary corrective actions by landlords, eliminating the need for direct City involvement.

Consolidated Plan Strategic Goal: Preserve and improve occupied private housing

Matrix Code:

06 - Interim Assistance
 14B - Rehabilitation: Multi-Unit Residential
 14I - Lead-Based Paint Hazard Test / Abatement
 19E - CDBG Operation and Repair of Foreclosed Property

National Objective:

Low- and Moderate-Income Area
 Slum or Blight Spot
 Low- and Moderate-Income Housing

Drawn in Program Year: \$33,219,651.00

Accomplishments

Proposed: 41,813 Housing Units (Rental)

Actual: 69,860 Housing Units (Rental)

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 61.8%

Accomplishment Narrative:

ERP works to correct immediately hazardous emergency conditions for which the Division of Code Enforcement has cited a Class “C” violation in a privately-owned residential building or for which another City agency has cited an emergency condition. The Emergency Services Bureau (ESB) confirms that the violations represent emergency conditions and contacts owners or managing agents of buildings where class “C” violations were issued. The Emergency Repair and Environmental Hazard (EREH) Unit makes repairs when owners fail to do so. In 2020, EREH completed 10,989 repairs, of which 799 addressed lead paint violations. In total, these repairs affected 69,860 housing units.

General Emergency Repairs

Below is a summary by national objective of accomplishments that were not related to lead-based paint.

Violation Category	Emergency Conditions Certified by ERP Inspector	Conditions Referred to ERB for Remediation	Emergency Repairs Performed
<i>Low- and Moderate-Income Area: \$21,048,826</i>	50,602	32,369	8,409
Boilers / Heat / Fuel-Related	5,951	4,215	460
Electrical Violations	700	502	147
Iron Works / Fire Escape Violations	549	376	128
Miscellaneous Citations	6,178	4,643	1,147
Plaster / Painting	5,252	3,623	489
Plumbing Violations	7,933	4,691	607
Roof	552	450	141
Rubbish / Sewage Citations	144	102	23
Self-Closing Doors	14,948	8,467	4,014
Window Glazing Violations	2,015	1,381	328
Window Guard Violations	6,380	3,883	925
<i>Slums or Blight Spot: \$4,451,111</i>	10,717	6,856	1,781
Boilers / Heat / Fuel-Related	1,260	900	97
Electrical Violations	148	106	31
Iron Works / Fire Escape Violations	116	80	27
Miscellaneous Citations	1,309	984	243
Plaster / Painting	1,112	768	104
Plumbing Violations	1,680	994	128
Roof	117	95	30
Rubbish / Sewage Citations	30	22	5
Self-Closing Doors	3,166	1,793	850
Window Glazing Violations	427	292	70
Window Guard Violations	1,352	822	196

These figures include 213 fuel deliveries to 40 buildings with 286 units and 1,930 utility payments for 682 buildings with 2,129 units to ensure continued delivery of essential services to tenants living in privately-owned buildings.

Lead-Based Paint Activities: \$7,719,714

In 2020, ERP inspectors certified 7,579 lead conditions as emergency code violations, of which 5,505 were referred to EREH for remediation. EREH corrected 799 lead violations when landlords did not comply voluntarily. All lead-based paint activities in private properties are categorized as meeting the Slums or Blight Spot national objective.

As of 12/31/2020, there were 245 budgeted positions, of which 212 were active. CD-funded positions included Lead Inspectors, Clerical Support, Contractor Liaisons, Field and Construction Repair Inspectors, and Lead Abatement Workers.

CD revenue is generated when private owners pay for repair work performed by the City.

FOOD PANTRY SERVICES

Administering Agency: Department of Youth and Community Development (DYCD)

Project ID: 0037

IDIS Activity ID: 6789

Status: Open

Location:

Food Distribution Center – 5361 Preston Court,
Brooklyn, NY 11234

Program Description:

CD funds are used to operate food pantries that serve low- and moderate-income New Yorkers.

Headquarters – 77 Water Street, New York, NY
10005

Consolidated Plan Strategic Goal: Reduce hunger

Matrix Code:

05W - Food Banks

National Objective:

Limited Clientele: Income Survey

Drawn in Program Year: \$311,529.00

Accomplishments

Proposed: 12,000 People

Actual: 24,119 Persons

Race Category	Total Served	# Hispanic
White:	0	0
Black/African American:	0	0
Asian:	0	0
American Indian/Alaskan Native:	0	0
Native Hawaiian/Other Pacific Islander:	0	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	24,119	0
Total:	24,119	0

Income Category:	Person
Extremely Low	0
Low/Mod	0
Moderate	24,119
Non-Low/Moderate	0
Total	24,119
<i>Percent Low/Mod</i>	<i>100.00%</i>

Accomplishment Narrative:

Through the Food Pantry Services program, the Metropolitan Coordinating Council on Jewish Poverty assisted seven food pantries across Brooklyn, Manhattan, and Queens from July 1, 2019 to June 30, 2020. The program was open to all clients who are primarily seniors, low-income families, and people with disabilities. CD funds were used to pay for personnel costs associated with running the pantries as well as OTPS costs associated with purchasing food, paying for utilities, and renting the facilities.

Through the seven sites receiving CD funds, a total of 120,715 people and 1,086,435 meals were served. Of the 120,715 persons served, 24,119 were attributed to CD funding. The reason why the actual accomplishment figure is higher than the projected accomplishment figure is because the accomplishment figure reflects all persons served during the calendar year while the projection only covered January 1 – June 30, 2020. As of part of the City Fiscal Year 2021 budget adoption, the program received additional funding, which was not factored into the estimated accomplishments.

Please see a summary below for each of the seven pantries. Each organization’s name, service location, and Congressional District is listed below:

Site Name	Address	Congressional District
Brooklyn		
Abraham I	3915 Neptune Avenue	8
Abraham II	3811 Surf Avenue	8
COJO of Bensonhurst	8635 21 st Avenue	11
Council Towers II	99 Vandalia Avenue	8
Council Towers III	1170 Pennsylvania Avenue	8
Manhattan		
United Jewish Communities of the East Side	15 Willett Street	7
Queens		
Commonpoint	67-09 108 th Street	6

GETCOOL AIR CONDITIONER PROGRAM - CV

Administering Agency: Various

Project ID: 0039

IDIS Activity ID: 7262

Status: Open

Location:

Citywide

Program Description:

Provide air conditioners and installation services to low- and moderate-income older adults (60 and above) that are at increased risk of heat-related illness due to the limited availability of cooling centers throughout the city due to the COVID-19 crisis.

Consolidated Plan Strategic Goal: Perform housing market analysis

Matrix Code:

05Z - Public Services: Other

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$3,968,927.00

Accomplishments

Proposed: 49,275 People

Actual: 0 People

Accomplishment Narrative:

The City of New York typically provides access to cooling centers for vulnerable residents during the summer months. In order to prevent the spread of the COVID-19, cooling centers remained closed and City residents were urged to stay home. The GetCool program was launched to provide a total of 74,000 air conditioners to older adults (60 and above) in order to ensure a safe home environment free from the risk of death by heat-related illness. The New York City Office of Emergency Management administered the program in conjunction with the Department of Citywide Administrative Services and the Department of Information Technology and Telecommunications.

In 2020, funds were spent on a portion of the purchase and installation of air conditioners, as well as contracts associated with program delivery. The City has not yet drawn CDBG reimbursement for these costs. Due to the speed with which the City implemented programs to respond to COVID-19, the City is still reviewing program documentation to ensure all costs are eligible for CDBG funding. Full program accomplishments will be reflected in the 2021 Annual Performance Report.

GREENTHUMB: PUBLIC FACILITIES

Administering Agency: Department of Parks and Recreation (NYC Parks)

Project ID: 0053

IDIS Activity ID: 6487

Status: Open

Location:

Gardens located in CD-eligible areas citywide -
See the narrative for a listing of sites.

Program Description:

CD funds are used to make permanent improvements in
community and school gardens.

Consolidated Plan Strategic Goal: Provide community green space through gardens

Matrix Code:

03E - Public Facilities and Improvements:
Neighborhood Facilities

National Objective:

Low- and Moderate-Income Area
Limited Clientele: Income Survey
Limited Clientele: Nature and Location

Drawn in Program Year: \$160,082.00

Accomplishments

Proposed: 40 Public Facilities

Actual: 9 Public Facilities

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 76.16%

Accomplishment Narrative:

In 2020, the GreenThumb Public Facilities component assisted nine gardens with sidewalk and foundation work at the following locations:

Bronx Gardens:

- Jardin de la Familia
- Woodycrest Community Garden

Brooklyn Gardens:

- Berry Street Garden
- East End Community Garden
- El Puente: Espiritu Tierra Community Garden - Earth Spirit
- Fred MacLeod Community Garden
- I.S. 318 - Project Roots
- Monty's Bradford Street Garden

Queens Gardens:

- Community Serenity Garden

In 2020, CD funded 17 positions, of which 13 were active.

The actual accomplishments are lower than proposed due to GreenThumb's shift of priorities caused by the COVID-19 pandemic. GreenThumb's public service work increased drastically in 2020. Typically, GreenThumb hosts an annual event where gardens pick up various supplies and materials. However, due to social distancing and large gathering restrictions, GreenThumb made the deliveries to each garden instead, which took time away from Public Facilities projects.

Please note that this program also has a Public Services component (HUD Activity ID #537). Please see the following program entry for more information.

GREENTHUMB: PUBLIC SERVICES

Administering Agency: Department of Parks and Recreation (NYC Parks)

Project ID: 0053

IDIS Activity ID: 537

Status: Open

Location:

Gardens located in CD-eligible areas citywide -
See the GreenThumb site list for a listing of
blocks and lots.

Program Description:

Garden materials, technical assistance, and general
support services are provided to community groups and
schools for the creation of vegetable and flower gardens in
vacant lots and at schools throughout the city.

Consolidated Plan Strategic Goal: Provide community green space through gardens

Matrix Code:

05Z - Public Services: Other

National Objective:

Low- and Moderate-Income Area
Limited Clientele: Income Survey
Limited Clientele: Nature and Location

Drawn in Program Year: \$924,826.00

Accomplishments

Proposed: 15,836 People

Actual: 17,958 People

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 76.16%

Accomplishment Narrative:

In 2020, GreenThumb had 551 active community gardens, of which 306 were CD-eligible. GreenThumb continued to support school gardens with technical support, workshops, materials, education, and outreach bringing the total number of registered school gardens to 795, of which 575 are CD-eligible.

In Calendar Year 2020, CD-funded staff continued to support CD-eligible community garden sites across New York City. The following activities were accomplished in Calendar Year 2020 by CD-funded GreenThumb staff:

- Engaged with NYCHA staff, residents, and existing gardeners, both in person and remotely, for an expansion of GreenThumb;
- Built two gardens on NYCHA developments; and
- Renovated and delivered materials to dozens of CD-eligible GreenThumb gardens.

While the COVID-19 pandemic impacted GreenThumb's ability to host in person events, the program hosted a variety of virtual and socially-distanced events throughout the year. In 2020, GreenThumb held 71 virtual webinars and hosted 13 in-person, socially-distanced workshops. The program also recorded 15 educational videos that were shared on the major social networks and held ten multiple-session remote and in-person trainings.



NYCHA Pelham Parkway – Before



NYCHA Pelham Parkway – After

In 2020, CD funded 17 positions, of which 13 were active. CD also funded food, garden materials, and office and storage supplies.

Please note that this program also has a Public Facilities component (HUD Activity ID #6487). Please see the previous program entry for more information.

Community Garden Site Listing

Garden Name	Address	Congressional District	Census Tract
BRONX			
Anthony Avenue Garden	2078 Anthony Avenue	15	381
Bainbridge Avenue Garden	2974-2980 Bainbridge Avenue	13	415
Bathgate Garden	1836 Bathgate Avenue	15	395
Belmont Little Farmers	2483 Belmont Avenue	15	389
Bette's Rose Garden	989 Teller Avenue	15	173
Black Joy Farm	972 Simpson Street	15	159
Bronx Sunshine Garden	1768 Bryant Avenue	15	161
Bruckner Mott Haven Garden	677 East 136th Street	15	27.01
Bryant Hill Community Garden	901 Bryant Avenue	15	115.02
C.S. 134X - Community Garden	1311 Bristow Street	15	153
Cauldwell Youth Garden	551 Cauldwell Avenue	15	73
Claremont Neighborhood Garden	1280 Teller Avenue	15	177.01
Clay Avenue Community Garden	1328-1332 Clay Avenue	15	177.02
Clinton Avenue Community Garden	757 East 179th Street	15	371
College Avenue Garden	1420 College Avenue	15	225
Community Rock Garden	1665 Longfellow Avenue	15	161
Cook Community Farm	2173 Bathgate Avenue	15	395
Courtland Avenue Association	364-366 East 158th Street	15	69
Creston Jungle Playground	2242 Creston Avenue	15	237.04
Davidson Avenue Community Garden	2385 Davidson Avenue	15	253

Garden Name	Address	Congressional District	Census Tract
Demera Santiago Garden	941 Avenue St. John	15	83
Eagle Slope	586 Westchester Avenue	15	73
El Batey Borincano	811-815 Eagle Avenue	15	75
El Batey de Dona Provi	504 East 178th Street	15	395
El Coqui Community Garden	924 Melrose Avenue	15	141
Family and Friends Community Garden	809 Courtlandt Avenue	15	69
Family Group Garden	418 East 158th Street	15	69
Farm in the Bronx	2179 Washington Avenue	15	395
Fordham Bedford Lot-Busters	2597 Bainbridge Avenue	13	399.01
Franklin Memorial Garden	1058 Cauldwell Avenue	15	185
Freeman Garden	1225 Hoe Avenue	15	125
Friends of Brook Park Community Garden	494 East 141st Street	15	39
Garden of Eden	1686 Weeks Avenue	15	229.02
Garden of Happiness	2156-2160 Prospect Avenue	15	371
Garden of Life	1685 Weeks Avenue	15	229.02
Garden of Youth	2175 Prospect Avenue	15	371
Genesis Community Garden	1183 Franklin Avenue	15	185
Grant Avenue Garden	1062 Grant Avenue	15	181.01
Green Patch on Walton	110 East 176th Street	15	227.01
Hornaday Community Garden	851 Hornaday Place	15	363
Isla Verde Garden	625 Wales Avenue	15	73
Jackson Forest Garden	722 Home Street	15	135
Jacqueline Denise Davis Garden	1022-1024 Boston Road	15	185
Jardin de la Familia	1507 Washington Avenue	15	169
Jardin de la Roca	422 East 160th Street	15	141
Jardin de las Rosas	15 Buchanan Place	15	239
Jim Mackey Eastchester Road Community Garden	3658 Eastchester Road	16	386
La Isla USA	836 Elton Avenue	15	141
La Isla Youth Community Garden	96-98 West 163rd Street	15	189
Las Casitas Community Garden	1130 Woodycrest Avenue	15	199
Latinos Unidos	427 East 157th Street	15	69
Leave It Better Kids Garden	1974 Grand Avenue	15	243
Manor Avenue Seniors Garden	1025 Manor Avenue	15	50.01
Mapes Avenue Garden (HDFC)	2124 Mapes Avenue	15	365.01
Melrose New Generation Community Garden	377 East 160th Street	15	141
Mildred T. Rhodebeck Garden (Garden of Eden)	927 Faile Street	15	119
Miracle Garden - Bronx	851 Fairmont Place	15	365.02
Mosaic Center	1315 Odgen Avenue	15	201
New Beginnings Community Garden	2502 Davidson Avenue	13	265
NYRP Members Garden (Dalia Group)	724 Courtlandt Avenue	15	69
P.S. 186 - Day Treatment Program	745 Jennings Street	15	151
Paradise on Earth Garden	1101-1105 Fox Street	15	125
Perry's Garden (Concerned Tenants of Daly Avenue)	2139 Daly Avenue	15	363
Rainbow Block Association	379 East 159th Street	15	141

Garden Name	Address	Congressional District	Census Tract
Rainbow Garden of Life and Health	762 Melrose Avenue	15	69
Randall Community Garden	1834 Randall Avenue	15	16
Risse Street Community Garden	10 Risse Street Garden	13	421
Roberto Clemente Community Garden	1272-1280 Shakespeare Avenue	15	211
Schomburg Satellite Academy H.S.	869 East 164th Street	15	129.01
St. Ann's Block Garden Association	666-68 St. Ann's Avenue	15	75
Synergi Urban Garden	1211 Hoe Avenue	15	125
Target Bronx (Anderson Avenue Community Garden)	1001 Anderson Avenue	15	189
Townsend Garden	1735 Walton Avenue	15	227.01
Tremont Community Garden	551 East 178th Street	15	375.04
United We Stand Garden (Sunflower / Girasol)	627 East 137th Street	15	27.01
Vogue Community Garden	431 East 156th Street	15	25
Wanaqua Garden	460-464 East 136th Street	15	25
William Rainey Garden	685 Beck Street	15	83
Willis Avenue Community Garden (Bronx Community & Cultural Garden)	378 Willis Avenue	15	41
Wishing Well Garden	886 Reverend James A. Polite Avenue	15	87
Woodycrest Community Garden	949 Woodycrest Avenue	15	189
BROOKLYN			
100 Quincy Community Garden	100 Quincy Street	8	229
400 Montauk Avenue Garden	956 New Lots Ave	8	1118
700 Decatur Street Block Association Garden	700 Decatur Street	8	373
A Patch of Inspiration	631 Powell Street	8	920
Abib Newborn	495 Osborn Street	9	920
All People's Church of the Apostolic Faith Community Garden	149 Tompkins Avenue	8	259.01
Amboy Street Garden	208 Amboy Street	9	902
American Heart Garden	122 Hart Street	8	261
Artsy Bloom Community Garden	716 Sutter Avenue	8	1158
Ashford Learning Garden	341 Ashford Street	8	1150
Ashford Street Abundant Garden	330 Ashford Street	8	1150
Atkins Gardeners	213 Atkins Avenue	8	1194
Bedford Stuyvesant Community Garden (Lola Bryant Comm. Garden)	95 Malcolm X Boulevard	8	387
Berry Street Garden	303 Berry Street	7	551
Big Red Garden Community Garden (William Byum)	436 Van Siclen Avenue	8	116
Brownsville Community Farm (James McKeather)	239 Herzl Street	9	898
Brownsville Green Community Garden	1418 Eastern Parkway	9	361
Cedar Tree Garden	305 Greene Avenue	8	233
Central Bainbridge Street Community Garden	277-279 Bainbridge Street	8	381
Chestnut Street Garden	9 Chestnut Street	7	1176.02

Garden Name	Address	Congressional District	Census Tract
Citizens for a Better Community Garden	742 Monroe Street	8	385
Clara's Garden	579 Glenmore Avenue	8	1152
Cleveland Street Vegetable Garden	433 Cleveland Street	8	1166
Clifton Place Memorial Garden & Park	1031 Bedford Avenue	8	243
Concerned Citizens of Grove Street Community Garden	72 Grove Street	7	399
Concerned Residents of Barbey Street	606 Glenmore Avenue	8	1150
Contented Hart Garden	1475 Bushwick Avenue	8	403
Cooper Street Gardeners	34 Cooper Street	8	403
Crystal Wells Block Association Community Garden	35 Crystal Street	8	1196
David Foulke Memorial Garden	248-250 Bergen Street	7	71
Decatur Street Community Garden	1052 Decatur Street	8	403
East End Community Garden	260 Van Siclen Avenue	8	520
Eden's Community Garden	1123 St. Marks Avenue	9	309
EL Garden	120 Jefferson Street	7	425
El Puente: Espiritu Tierra Community Garden - Earth Spirit	203-207 South 2nd Street	7	523
Elton Street Block Association	585 Elton Street	8	1164
Escape to Nature (Glenmore Hendrix Block Association)	555 Glenmore Avenue	8	1152
Essex Street Community Garden	3030 Fulton Street	7	1168
Euclid / Pine Street Block Association Garden	1308 Dumont Avenue	8	1208
Euclid 500 Community Garden	532 Euclid Avenue	8	1196
F.A.R.R. Community Garden	808 Herkimer Street	8	299
Family Community Garden Brooklyn	793 Cleveland Street	8	1120
Fantasy Garden	181 Legion Street	9	894
Feeding Tree Garden	340 Tompkins Avenue	8	265
First Quincy Street Community Garden	397-401 Quincy Street	8	265
First Temple of David	746 Dumont Avenue	8	1126
Floral Vineyard	2379 Pitkin Avenue	8	1150
Fred MacLeod Community Garden	1833 Strauss Street	9	920
Garden of Angels	978 Greene Avenue	8	387
Garden of Life	762 Herkimer Place	8	229.02
Gates Harvest Garden	953 Gates Avenue	8	387
Georgia Avenue Community Garden	328 New Lots Avenue	8	1128
Gethsemane Garden	148 Newport Street	9	916
Good Shepherds Community Group	555-557 Shepherd Avenue	8	1164
Greene Avenue United Neighbors Association Garden	490 Greene Avenue	8	243
Gregory's Garden (P.S. 158 Memorial Garden)	444 Warwick Street	8	1150
Halsey Ralph & Howard Community Garden	774 Halsey Street	8	377
Hands & Heart (New Lots Urban Farm)	290 New Lots Avenue	8	1130
Hart to Hart Community Garden	104-108 Hart Street	8	261
Hattie Carthan Community Garden	719 Marcy Avenue	8	251

Garden Name	Address	Congressional District	Census Tract
Hattie Carthan Herban Farm	49 Van Buren St	8	263
Heckscher Foundation Children's Garden (Williamsburg Garden)	134 Scholes Street	7	505
Hendrix Street Block Association Garden	532 Hendrix Street	8	1160
Herbal Garden of East New York	281 Schenck Avenue	8	1150
Her-King Alagantic Block Association Garden	411 Herkimer Street	8	271
Highland Park Children's Garden	400 Jamaica Avenue	7	1172.01
Howard Garden	750 Howard Avenue	9	892
Hull Street Community Garden Inc.	221-235 Hull Street	8	369
Hull Street Playground	145 Hull Street	8	371
I.S. 318 - Project Roots	110 Walton Street	7	507
Imani Community Garden (Schenectady)	87 Schenectady Avenue	8	309
Imani II Community Garden	1680 Pacific Street	8	309
Isabahlia Community Garden	615 Saratoga Avenue	9	902
It's All About Brownsville	754 Thomas Boyland Street	9	898
Jane Bailey Memorial Garden	327 Greene Avenue	8	233
Jerome-Glenmore Cornerstone	316-318 Jerome Street	8	1150
Jerry and the Senior Gents of E.N.Y.	349 Schenck Avenue	8	1150
Jes Good Rewards Children's Garden	155 Amboy Street	9	902
Keap Fourth Community Garden	347 Keap Street	7	527
Kosciusko Street Garden and Learning Center	385 Kosciusko Street	8	281
La Finca Community Garden	1036 Flushing Avenue	7	427
LDC of Broadway	900 Broadway	7	287
Lefferts Place Block Association Garden	162 Lefferts Place	8	227
Linwood Street Garden (Pagan's Garden)	580 Linwood Street	8	1164
Madison Harvest Community Garden	894 Madison Street	8	375
Madison Hill Community Garden	88-90 Madison Street	8	229
Madison Square Garden	1262-1264 Madison Street	7	435
Madison Street Association	974 Madison Street	8	397
Mama Dee's Community Garden	1397 Bedford Avenue	9	221
Marge Matthews Garden	13 Louis Place	8	301
MHBA Living Laboratory Community Garden	386 Chester Street	9	916
Montauk Community Garden - Concerned Residents of Montauk Avenue	214 Montauk Avenue	8	1194
Monty's Bradford Street Garden	522 Bradford Street	8	1126
Myrtle Village Green (Pratt Area Community Council)	636 Myrtle Avenue	8	235
Nehemiah Ten GreenThumb Block Association	565 Barbey Street	8	1162
Newport Garden	191-201 Newport Street	9	916
Oko Farms Aquaponics Education Garden	104 Moore Street	7	491
Oriental Garden	326 Jerome Street	8	1150
P.S. 4K - Paradise Garden	676-696 Glenmore Avenue	8	1150
Patchen Avenue Garden	49 Patchen Avenue	8	387
Patrick Van Doren Pocket Park	123 Malcolm X Boulevard	8	387
People's Garden BQLT (Youth City Little League)	1237-1241 Broadway	7	395

Garden Name	Address	Congressional District	Census Tract
Poppa & Momma Jones Historical Garden	337 Van Siclen Avenue	8	1152
Positive Seeds of Life Garden	554 Snediker Avenue	8	1132
Powell Street Garden	434 Livonia Avenue	8	1132
Preston Community Garden	1711 Park Place	9	363
Prophecy Garden	43-69 Malta Street	8	1130
Q Gardens Community Farm	69 East 18th Street	9	506
Red Gate Garden	604 Marcy Avenue	8	253
Sheffield Garden	673 Sheffield Avenue	8	1128
Shield of Faith	79-85 Montauk Avenue	8	1192
Shiloh Garden	323 Monroe Street	8	265
Sh'ma Yisrael	2084-90 Pacific Street	8	303
Spencer Street Community Garden	230A Spencer Street	8	241
St. John Cantius Parish Community Garden	476 New Jersey Ave	8	1156
Stars of Hope Community Garden	213 Madison Street	8	245
Sterling Community Group Garden	1701 Sterling Place	9	363
Success Garden - East New York	449-461 Williams Avenue	8	1130
Sumpter Street Community Garden	182 Sumpter Street	8	379
Sunkissed Garden	658 Saratoga Avenue & 89 Blake Avenue	9	900
Sunshine Community Garden	99-100 Mckibben Street	7	505
T&T Vernon Block Association Garden	200 Vernon Avenue	8	259.01
Target Brooklyn (Bedford Avenue Block Association)	931 Bedford Avenue	8	241
Tehuti Ma'at Community Garden	455 Ralph Avenue	9	363
Ten Eyck Garden	15-17 Ten Eyck Street	7	513
Tranquility Farm (Willoughby Avenue Garden)	267 Throop Avenue	8	283
UCC Youth Farm	620 Schenck Avenue	8	1124
Umoja Garden	1448 Broadway	8	375
Union Street Garden and Community Development	970 Union Street	9	213
Upon This Rock Community Garden	2556 Pitkin Avenue	8	1194
Vernon and Throop Community Garden	253 Throop Avenue	8	283
Vernon Cases Community Garden	42-48 Vernon Avenue	8	253
Walt L. Shamel Community Garden	1095 Dean Street	8	221
Warwick Street Greenery Glow Garden	601 Warwick Street	8	1162
Westbrook Memorial Garden	1233 Pacific Street	8	315
Whole Neighborhood Garden	1001 Bedford Avenue	8	241
Woodbine Street Block Association Garden	146 Woodbine Street	7	415
MANHATTAN			
103rd Street Community Garden	105 East 103rd Street	13	166
11 BC Serenity Garden	626 East 11th Street	12	28
117th Street Community Garden	172 East 117th Street	13	182
133rd Swing Street Garden	155 West 133rd Street	13	226
6BC Botanical Garden	624-628 East 6th Street	12	26.01
All People's Garden, Inc.	293-295 East 3rd Street	12	26.01
Bradhurst Gardens Association (Garden of Love)	321 West 152nd Street	13	235.02

Garden Name	Address	Congressional District	Census Tract
Brisas Del Caribe	237 East 3rd Street	12	26.01
Carrie McCracken TRUCE Garden	145 St. Nicholas Avenue	13	218
Carver Community Garden	236-242 East 124th Street	13	194
Children's Magical Garden	131 Stanton Street	12	30.01
Clayton Williams Garden	303 West 126th Street	13	215
Clinton Community Garden (LES)	171 Stanton Street	12	22.01
Corozal Family	170 East 117th Street	13	182
De Colores Community Yard & Cultural Center	313 East 8th Street	12	34
Dorothy K. McGowan Memorial Garden	513 West 158th Street	13	245
Dorothy Strelsin Memorial Garden (Suffolk Street - Committee of Poor People)	174 Suffolk Street	12	30.01
Down to Earth Garden	546 East 12th Street	12	34
Edgecomb Avenue Garden Park Sanctuary	339 Edgecomb Avenue	13	231
El Gallo Community Garden	1891-1895 Lexington Avenue	13	182
El Jardin del Paraiso	710 East 5th Street	12	26.01
Elizabeth Langley Memorial Garden	121-123 West 137th Street	13	228
Family Community Garden Manhattan	156 East 111th Street	13	172
Fifth Street Slope Garden	626-27 East 5th Street	12	26.01
Five Star Gardens	252 West 121st Street	13	220
Frank White Memorial Garden	506 West 143rd Street	13	229
Frederick Douglass Boulevard Community Garden	301 West 152nd Street	13	235.02
Friendship Garden (Lucille McClarey Garden)	499 West 150th Street	13	237
Garden of Love	302 West 116th Street	13	201.02
Harlem Grown 131st Street Farm	34 West 131st Street	13	208
Harlem Grown 134th Street Farm	116 West 134th Street	13	226
Harlem Grown 134th Street Green House	126 West 134th Street	13	226
Harlem Rose Garden	8 East 129th Street	13	206
Herb Garden (formerly 111th Street Betterment Assoc.)	176 East 111th Street	13	172
Hope Garden	193 East 2nd Street	12	30.02
Hope Steven Garden	1656 Amsterdam Avenue	13	229
Hope: The Friendly Garden on the Hill (Senior Citizen Sculpture Garden)	475 West 152nd Street	13	235.01
La Casita	223 East 119th Street	13	194
La Cueva Community Garden	71 East 115th Street	13	184
Lower East Side People Care	25 Rutgers Street	7	6
Luigi's Garden of Love	227 West 115th Street	13	218
Maggie's Garden	564 West 149th Street	13	233
Miracle Garden	194-196 East 3rd Street	12	30.02
Mo' Pals	545 West 147th Street	13	233
Mobilization for Change Community Garden	955 Columbus Avenue	13	193
Morris Jumel Community Garden	455-457 West 162nd Street	13	243.01
Our Neighborhood Place - Abyssinian Development Corp.	77 West 127th Street	13	208
P.S. 76 - Garden of Perseverance	203 West 120th Street	13	220

Garden Name	Address	Congressional District	Census Tract
Pa'lante Community Garden (110th Street Block Assoc.)	1651 Madison Avenue	13	174.02
Papo's Garden	220 East 119th Street	13	188
Parque De Tranquilidad	314-318 East 4th Street	12	26.01
Pueblo Unido	1659 Madison Avenue	13	174.02
Relaxation Garden	209 Avenue B	12	28
Rev. Linnette C. Williamson Memorial Park	65-67 West 128th Street	13	208
RING - Riverside Inwood Neighborhood Garden	236 Dyckman Street	13	287
Robert L. Clinkscales Playground and Community Garden (C.G. of West 146th Street)	234 West 146th Street	13	232
Secret Garden	293 East 4th Street	12	26.01
Serenity Gardens	522 West 146th Street	13	229
Siempre Verde Garden	181 Stanton Street - 137 Attorney Street	12	22.01
St. Luke's Community Garden	435 West 141st Street	13	227
St. Nicholas Miracle Garden	330 Saint Nicholas Avenue	13	215
Sugar Hill Park	333 Edgecombe Avenue	13	231
The Humanitarians Garden	270-272 East 4th Street	12	26.01
Toyota Children's Learning Garden (Coradan Evaeden)	603 East 11th Street	12	208
Unity Park	55 West 128th Street	13	208
Walter Miller III Memorial Garden (La Casa Frela)	13 West 119th Street	13	189
West 104th Street Garden	8 West 104th Street	13	189
West 132nd Street Garden	108-114 West 132nd Street	13	226
West 181st Street Beautification Project	814 West 181st Street	13	265
William B. Washington Memorial Garden	325 West 126th Street	13	215
QUEENS			
97th Street Block Association	33-28 97th Street	14	375
Back to Eden Community Garden	144-29 Lakewood Avenue	5	196
Better Community Civic Association	150-14 115th Drive	5	272
Corona Taxpayers Association	52-02 102nd Street	14	443.01
Discovery Community Garden 1	108-56 Union Hall Street	5	260
Dunton and Civic Association Community Garden	143-01 Shore Avenue	5	198
Evergreen Community Garden	47-32 Colden Street	6	837
George Eagle Carr Community Garden	89-23 148th Street	5	24
George Washington Carver Botanical Garden	109-19 156th Street	5	260
Malcolm X Garden	33-12 112th Street	14	381
McIntosh Neighborhood Association Garden	25-19 100th Street	14	367
Paradise Garden	107-29 Inwood Street	5	198
Sparrow's Nest Community Garden	54-01 101st Street	14	443.01
Trees of Life Organic Garden	106-18 173rd Street	5	440
Two Coves Community Garden	11-01 30th Avenue	12	81
STATEN ISLAND			
HEALTH for Youth, Skyline Playground Community Garden	40-66 Prospect Avenue	11	77

Garden Name	Address	Congressional District	Census Tract
Roots of Peace Community Garden	390 Targee Street	11	29
Westervelt Community & Family Garden	143 Westervelt Avenue	11	7

HOMELESS OUTREACH AND HOUSING PLACEMENT SERVICES

Administering Agency: Department of Homeless Services (DHS)

Project ID: 0046

IDIS Activity ID: 1729

Status: Open

Location:

Project Hospitality Drop-In Center
25 Central Avenue
Staten Island, NY 10301-2501

Program Description:

Outreach services are provided to homeless individuals throughout Staten Island.

Consolidated Plan Strategic Goal: Reduce homelessness

Matrix Code:

03T - Operating Costs of Homeless/AIDS Patients Programs

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$440,190.00

Accomplishments

Proposed: 2,500 People

Actual: 1,566 People

Race Category	Total Served	# Hispanic
White:	678	203
Black/African American:	752	94
Asian:	14	0
American Indian/Alaskan Native:	30	5
Native Hawaiian/Other Pacific Islander:	5	3
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	87	0
Total:	1,566	305

Income Category:	Person
Extremely Low	1,566
Low/Mod	0
Moderate	0
Non-Low/Moderate	0
Total	1,566
<i>Percent Low/Mod</i>	<i>100.0%</i>

Accomplishment Narrative:

Through the Homeless Outreach and Housing Placement Services Program, Project Hospitality provides outreach, assessment, referrals, and other services to homeless individuals with mental illness living in Staten Island (Congressional District 11). In 2020, Project Hospitality served 1,566 individuals and provided 74,430 units of service, of which 16,327 units were CD-funded. The units of service included Drop-In Center/Shelter services with accompanying meals, showers, and clothing. Additionally, 913 individuals received 2,547 units of service for psychiatric and mental health services and 533 referrals for medical, psychiatric, and detoxification services and placements in outer-borough

Drop-In Centers. In 2020, Project Hospitality increased capacity to 71 stabilization beds to provide shelter, resources, and referrals to those in need.

CD funds paid for OTPS costs such as administration costs, materials and supplies, client and staff transportation costs, staff training, rent and utility payments, facility maintenance and repair, and insurance. Funds were also used to either fully or partially support several positions including the Assistant Director, an MIS Coordinator, the Director of Homeless Services, Director of Clinical Services, Director of Government Contracts, Outreach and Placement Services Director, a psychiatrist, case managers, Outreach Enrollment Coordinator, and a Placement Aftercare Specialist.

Please note the number of individuals served was lower than the projection predominantly due to the COVID-19 pandemic. COVID-19 decreased the mobility of many homeless clients. To decrease risk of exposure, some clients remained in shelter and others were able to secure places to stay with friends or family who were not open to housing them under normal circumstances. However, despite the decrease in individuals served, there was a marked increase in the number of services provided to each client. For example, Project Hospitality provided mental health-related services on-site for some time rather than coordinate services with outside providers.

HOUSING INFORMATION AND EDUCATION

Administering Agency: Mayor's Office for People with Disabilities (MOPD)

Project ID: 0048

IDIS Activity ID: 516

Status: Open

Location:

100 Gold Street
New York, NY 10038-1605

Program Description:

Housing Information and Education provides outreach to people with disabilities, landlords, tenants, and advocates in the areas of housing and housing rights.

Consolidated Plan Strategic Goal: Make the City more livable for people with disabilities

Matrix Code:

05B - Public Services: Services for People with Disabilities

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$165,915.00

Accomplishments

Proposed: 458,335 People

Actual: 648,220 People

Accomplishment Narrative:

In 2020, MOPD provided 648,220 units of service: 621,000 website hits and 27,220 instances of phone outreach.

July 2020 marked the 30th anniversary celebration of the signing of the Americans with Disabilities Act. MOPD held and promoted more than 30 virtual events in 30 days, put on by MOPD and other organizations from the disability community. Through the 21 virtual events held by MOPD itself, the agency virtually connected with an audience of nearly 2,000 people. On July 26, 2020, MOPD partnered with Disability Unite to put on a daylong celebration of virtual programming as a replacement for the in-person NYC Disability Pride Parade. This virtual daylong celebration reached a viewership of nearly 12,000 on Facebook, YouTube, and the [Disability Unite Website](#).

Due to COVID-19 guidelines, MOPD established a weekly Zoom call with the community to provide updates on the latest COVID-19 news and programs. Many City agencies participated on a weekly basis including the Department of Health and Mental Hygiene, Office of Emergency Management, Department of Education, Commission on Human Rights,

DemocracyNYC, and Department of Social Services. Additional agencies joined periodically to report relevant information. In total, MOPD held 24 community calls. Initially, weekly call participation was over 100 callers and as the City began to reopen in the summer, attendance remained at 50 to 70 callers.

MOPD's Digital Accessibility Coordinator and digital team created guidance for holding accessible virtual meetings. Topics covered included choosing an accessible virtual conferencing platform, creating accessible digital materials, providing accommodations, and tips on running an effective meeting. A digital guide was distributed to City agencies and community partners including cultural institutions. MOPD's Digital Accessibility Coordinator and team also provided consultations for cultural institutions seeking to improve the accessibility of their electronic communications. Some of the topics covered included alt-text for images and captions, and audio description for videos/live performances with various organizations including the New York Botanical Garden, Central Park Conservancy, Poster House, and Gallop NYC.

MOPD's ASL (American Sign Language) Direct staff continued to conduct outreach events virtually within the Deaf and Hard of Hearing community about ASL Direct and encouraged participants to take advantage of receiving information in ASL on City resources that would benefit for those who need it. ASL Direct staff hosted several virtual Deaf Town hall events for Deaf community.

In 2020, MOPD General Counsel activities included responding to inquiries into laws applicable to housing and employment disability discrimination, including but not limited to the NYC Human Rights Law (HRL) and federal Fair Housing Act (FHA); conducting educational seminars on laws pertaining to accessible housing; working with HPD on *Where We Live NYC*, a collaborative planning process to better understand housing challenges and develop the next chapter of fair housing policies that fight discrimination; continuing to plan MOPD's Empowered Cities initiative; reviewing requests for variances from NYC Building Code accessibility requirements; and creating publications and information sheets on various topics including access to small businesses, accessible store fronts, accessible facility check lists, service animals, and snow removal.

As of 12/31/20, this program had three budgeted positions, all of which were active.

HOUSING RESEARCH AND EVALUATION

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0060

IDIS Activity ID: 504

Status: Open

Location:

100 Gold Street
New York, NY 10038-1605

Program Description:

The Division of Housing Policy defines initiatives to advance housing policy goals and implements all projects necessary to conduct the legally-mandated NYC Housing and Vacancy Survey (HVS).

Consolidated Plan Strategic Goal: Perform housing market analysis

Matrix Code:

20 - Planning

National Objective:

Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$4,317,049.00

Accomplishment Narrative:

HPD's Division of Housing Policy (DHP), within the Office of Policy and Strategy, works with leaders from across HPD and other City agencies to identify and define high-priority initiatives and lead projects that advance key policy goals.

DHP has three units: Research and Evaluation, Policy Development and Special Initiatives, and Fair Housing Policy and Investments. Please note that Fair Housing Policy and Investments is CD-funded under the HPD Fair Housing program.

In 2020, HPD used CD funds to support the following planning efforts and subdivisions within DHP:

Division of Research & Evaluation

HPD's Research and Evaluation team (R&E) is responsible for the design and execution of data collection and statistical analysis related to HPD's programming and policy agenda as well as various analyses of New York City's population and housing stock. R&E works across business units within HPD as well as with outside stakeholders on statistical and survey research to support decision-making related to existing programs as well as the design and implementation of new policies. The following R&E activities were supported with CDBG funds in 2020:

- *The NYC Housing and Vacancy Survey (NYCHVS)*: In 2020, R&E continued work on the redesign of the NYCHVS, a multi-year effort to modernize the survey and increase its value to the City and the broader user community. R&E worked closely with Census to prepare for fieldwork, including adaptations because of the pandemic. R&E tested its revised questionnaire in seven languages, created and tested a COVID-19 module, developed a suite of respondent-facing materials to improve response rates and reduce item non-response for the 2021 NYCHVS, developed field protocols to implement the in-person survey during COVID-19, and submitted a grant proposal to the National Institutes of Health. R&E worked closely with Census to create an entirely new field representative training curriculum tailored for New York City, focused on new topics such as screening and recruitment and overcoming reluctance, soft skills, implicit bias, language justice, and more. This included curriculum development, creation of training materials, training for all instructors, drafting a field manual, and self-study lessons to complement the 40-hour remote classroom training.
- *New York City Housing and Neighborhood Study (NYC-HANS)*: NYC-HANS is a collaboration between R&E with researchers at Teachers College / Columbia University and New York University (NYU) that evaluates the impact of newly constructed affordable housing on the health and well-being of low-income recipients. Most of the NYC-HANS work in 2020 focused on data management and technical assistance for other project partners, including NYU and Columbia. R&E coordinated data sharing agreements with other NYC agencies and R&E staff provided support for academic partners in the drafting of five working papers that will be submitted for peer-reviewed publication in early 2021.
- *Rent Regulation Analysis*: R&E has unique access within the City to rent-regulated registration data from New York State's Department of Homes and Community Renewal. Staff utilize these and other data to provide descriptive information and perform regular analyses on New York City's housing stock to inform discussions on rent regulation with business units within HPD as well as with outside stakeholders. R&E also provides technical assistance to various business groups within and outside of HPD. Throughout the year, R&E presented on the history, mechanics, and impact of rent regulation at meetings with key decision makers. R&E conducted a variety of analyses that examined the effects of prior rent regulation reforms on New York City's housing stock and the affordability of rent regulated units. This analysis not only helped stakeholders to consider past reforms but also to think strategically about proposed legislation to extend various forms of rent regulation.
- *Contract with Rent Guidelines Board*: R&E is the liaison between HPD and the New York City Rent Guidelines Board (RGB), which is a sub-recipient of CDBG funds. The Board comprises members representing tenants,

owners, and the public. CDBG funds supported RGB's independent research, collection, and analysis of data, including HVS data, to assess the NYC housing market.

Division of Policy Development and Special Initiatives

The Policy Development and Special Initiatives unit (PDSI) is an interdisciplinary team of policy and data analysts, technical researchers, and project managers whose work covers a dynamic portfolio of short- and long-term policy projects. PDSI works across the agency to further its mission by assessing policy proposals, designing new initiatives, and reviewing the impacts of existing policy. CDBG funds supported the following PDSI activities in 2020:

- *Quantitative Data Analysis for the City's Fair Housing Plan:* PDSI conducted data analysis to support the City of New York's five-year fair housing plan called *Where We Live NYC*. This included analysis of demographic and housing data to inform goals and strategies in the plan, as well as ongoing analytic support to guide the implementation of key strategies. Analysis projects included supporting the development of a fair housing-informed and neighborhood-based decision-making framework for the City's affordable housing programs, evaluating the City's down payment assistance program available to first time homeowners, and analyzing demographic patterns in neighborhoods undergoing rezoning.
- *Financial Analysis for Affordable Housing Tax Incentive Programs:* PDSI conducted analyses of City affordable housing tax incentive programs to support program development.
- *Anti-Displacement Policy Development:* PDSI led work on a suite of anti-displacement policy research and program development. This included developing new anti-displacement policies and programs, assessing existing City programs, and responding to external policy proposals. PDSI developed new eviction protection measures for City-subsidized affordable housing projects. The new policy requires entities receiving City subsidy to disclose information about evictions in their portfolio. PDSI also initiated an evaluation required by local law of the pilot Certification of No Harassment (CONH) program, which requires owners of buildings where tenant harassment is suspected to undergo an investigation before new permits for substantial construction work are granted.
- *COVID Emergency Response:* PDSI led multiple analytical projects to inform the City's emergency response programs to address the impact of the COVID-19 pandemic on tenants and the housing stock. PDSI conducted analysis of demographic and building characteristic data to inform the need and appropriate targets for emergency assistance programs targeted to tenants or building owners. PDSI also led research into best practices around emergency assistance program design and implementation.
- *Creating New Models for Affordable Housing:* PDSI led research and analysis of legislation relating to new models for affordable housing development and preservation. PDSI is leading the City's exploration of new models of community wealth building and ownership through shared equity. PDSI is developing a Request for Information to learn about shared equity models, such as renter equity, community land trusts, public land trusts, and neighborhood stock ownership plans.

Both R&E and PDSI regularly respond to requests from various business groups in order to support decision-making and support the various needs within the agency. In 2020, staff performed many short-term analyses for groups including Intergovernmental Affairs, the Office of Policy and Strategy, Communications, Marketing, Tenant Resources, Code Enforcement, Development, Tax Incentives, Legal Affairs, and others. These requests typically address the need for demographic information, analysis of the population served by various programs, statistics on program performance,

and/or evaluation of the efficacy of an existing or proposed program. Staff also provide technical assistance on data analysis, data collection, and data privacy issues to other analytic teams.

As of 12/31/2020, this program had 15 budgeted positions, 13 of which were active.

HPD ADMINISTRATION

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0092

IDIS Activity ID: 508

Status: Open

Location:

100 Gold Street
New York, NY 10038-1605

Program Description:

Staff performs administrative functions for several of HPD's CD-funded programs.

Consolidated Plan Strategic Goal: Perform housing market analysis

Matrix Code:

21A - General Program Administration
21H - HOME Admin / Planning Costs

National Objective:

Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$6,558,405.00

Accomplishment Narrative:

As of 12/31/2020, there were 85 budgeted positions, of which 76 were active. Positions include Budget Analysts who oversee CD expenditures, Audit Personnel, Timekeepers, Computer Programmers, Clerical support, staff who oversees HOME and Low-Income Housing Tax Credit monitoring and compliance activities, and the HOME-funded down payment assistance program.

HPD EMERGENCY SHELTERS: PUBLIC SERVICES

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0198

IDIS Activity ID: 7264

Status: Open

Location:

100 Gold Street
New York, NY 10038-1605

Program Description:

CD funds support HPD's Homeless Placement Services (HPS) Unit, which facilitates the placement of shelter clients into newly constructed or rehabilitated apartments that have been subsidized by HPD and/or the NYC Housing Development Corporation. In addition to HPD Emergency Shelter clients, HPS refers clients from DHS and HRA shelters.

Consolidated Plan Strategic Goal: Prevent long-term displacement and homelessness

Matrix Code:

08 - Relocation
05Z - Public Services: Other

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$452,271.00

Accomplishments

Proposed: 2,400 People

Actual: 2,925 People

Race Category	Total Served	# Hispanic
White:	0	0
Black/African American:	0	0
Asian:	0	0
American Indian/Alaskan Native:	0	0
Native Hawaiian/Other Pacific Islander:	0	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	2,925	0
Total:	2,925	0

Income Category:	Person
Extremely Low	2,925
Low/Mod	0
Moderate	0
Non-Low/Moderate	0
Total	2,925
<i>Percent Low/Mod</i>	<i>100.0%</i>

Accomplishment Narrative:

In 2020, HPD placed 2,925 people (1,219 households) in homeless set-aside units. Additionally, staff reviewed 4,918 units for compliance.

Please note this activity was previously combined with the HPD Emergency Shelter: Relocation activity. The program was split into two activities as of July 1, 2020. The full Calendar Year 2020 expenditure for this activity is \$1,032,903.

HPD EMERGENCY SHELTERS: RELOCATION

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0198

IDIS Activity ID: 1439

Status: Open

Location:

Citywide - See accomplishment narrative for sites.

Program Description:

HPD provides emergency relocation services to tenants displaced as a result of fires or vacate orders issued by the Department of Buildings, the Fire Department, or HPD.

Consolidated Plan Strategic Goal: Prevent long-term displacement and homelessness

Matrix Code:

08 - Relocation

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$26,865,141.00

Accomplishments

Proposed: 4,525 People

Actual: 2,821 People

Race Category	Total Served	# Hispanic
White:	553	452
Black/African American:	1,416	123
Asian:	87	0
American Indian/Alaskan Native:	19	10
Native Hawaiian/Other Pacific Islander:	6	1
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	740	556
Total:	2,821	1,142

Income Category:	Person
Extremely Low	2,821
Low/Mod	0
Moderate	0
Non-Low/Moderate	0
Total	2,821
<i>Percent Low/Mod</i>	<i>100.0%</i>

Accomplishment Narrative:

In 2020, 1,467 people (346 households) were served in Family Shelters (including children) and 1,354 people (960 households) were served in Adult Shelters, for a total of 2,821 people (1,306 households) served. A total of 171 family and 353 adult households (524 total households) were later relocated to permanent housing. Please see Part 2 for a listing of homeless facilities.

As of 12/31/2020, this program had 32 budgeted positions, of which 30 were active.

HPD Emergency Shelters Part 2

The following HPD homeless facilities received CD funds:

Facility Name	Address	Borough	Congressional District
1033/1038 Faile Street	1033/1038 Faile Street	Bronx	15
Bronx Hotel	101 East Tremont Avenue	Bronx	15
Bruckner House	857 Bruckner Boulevard	Bronx	15
Grand Concourse	1749 Grand Concourse	Bronx	15
Hotel 138	478 East 138 th Street	Bronx	15
Hotel 480	480 East 138 th Street	Bronx	15
Hotel 871	871 Bruckner Boulevard	Bronx	15
Kelly House	742 Kelly Street	Bronx	15
Ruth Fernandez	760 Fox Street	Bronx	15
Stebbins Hotel	1391 Stebbins Avenue	Bronx	15
Help New Horizon	188-190 Sutter Avenue	Brooklyn	9
Kings Hotel	2416 Atlantic Avenue	Brooklyn	8
North Brooklyn YMCA	570 Jamaica Avenue	Brooklyn	7
Adams House	179 West 137 th Street	Manhattan	13
Adams House IV	247 West 139 th Street	Manhattan	13

Facility Name	Address	Borough	Congressional District
Cambridge Hotel	141 West 110 th Street	Manhattan	13
Convent	34 Convent Avenue	Manhattan	13
Dexter Hotel	345 West 86 th Street	Manhattan	10
Harlem YMCA	180 West 135 th Street	Manhattan	13
Hotel 17	225 East 17 th Street	Manhattan	12
Hotel 99	244 West 99 th Street	Manhattan	10
HPD Client Assessment Center	312 West 109 th Street	Manhattan	10
Lexington Residence	120 East 31 st Street	Manhattan	12
Manhattan Hotel	308 West 94 th Street	Manhattan	10
Morningside Inn	235 West 107 th Street	Manhattan	10
New Heights Hotel	558 West 184 th Street	Manhattan	13
St. Nicholas Hotel	828 St. Nicholas Avenue	Manhattan	13
St. Nicholas Hotel	747 St. Nicholas Avenue	Manhattan	13
West Broadway Hotel	601 West 142 nd Street	Manhattan	13
Westside Hotel	228 West 132 nd Street	Manhattan	13
Yale Hotel	316 West 97 th Street	Manhattan	10
Beach House 116	158 Beach 116 th Street	Queens	5
Flushing YMCA	138-46 Northern Boulevard	Queens	6
Maria's House	154 Beach 114 th Street	Queens	5
Scotts House	20 Scott A. Gadell Place	Queens	5

The following is a list of American Red Cross homeless facilities used in 2020.

Facility Name	Address	Borough	Congressional District
Bronx Guesthouse	862 East 232 nd Street	Bronx	16
Bronx Park Motel	2500 Crotona Avenue	Bronx	15
Ramada Inn	646 Gerard Avenue	Bronx	15
Residence Inn	1776 Eastchester Road	Bronx	14
Best Western Plus	764 4 th Avenue	Brooklyn	7
Best Western Plus	3003 Emmons Avenue	Brooklyn	8
Days Inn	435 39 th Street	Brooklyn	7
Days Inn	1118 36 th Street	Brooklyn	7
Fairfield Inn & Suites	181 3 rd Avenue	Brooklyn	7
Holiday Inn Express	625 Union Street	Brooklyn	7
Kings Hotel	2416 Atlantic Avenue	Brooklyn	8
Pointe Plaza Hotel	2 Franklin Avenue	Brooklyn	7
Red Lion Inn & Suites	279 Butler Street	Brooklyn	7
Sleep Inn	134 22 nd Street	Brooklyn	7

Facility Name	Address	Borough	Congressional District
Super 8	267 3 rd Avenue	Brooklyn	7
The Metropolitan	437 Union Avenue	Brooklyn	12
Aloft Harlem Hotel	2296 Frederick Douglass Boulevard	Manhattan	13
Clarion Hotel	429 Park Avenue South	Manhattan	12
Comfort Inn	548 West 48 th Street	Manhattan	10
Excelsior Hotel	45 West 81 st Street	Manhattan	10
Fairfield Inn & Suites	538 West 58 th Street	Manhattan	10
Harlem YMCA	180 West 135 th Street	Manhattan	13
Holiday Inn	585 Eighth Avenue	Manhattan	12
Holiday Inn	150 Delancey Street	Manhattan	12
Holiday Inn Express	60 West 36 th Street	Manhattan	12
Holiday Inn Express	532 West 48 th Street	Manhattan	10
Hotel 24	25 West 24 th Street	Manhattan	12
Seafarers	14 Irving Place	Manhattan	12
Skyline Motel	501 West 49 th Street	Manhattan	10
Vanderbilt YMCA	230 East 47 th Street	Manhattan	12
Airway Inn	82-20 Astoria Boulevard	Queens	14
Anchor Inn	215-34 Northern Boulevard	Queens	6
Comfort Inn	124-18 Queens Boulevard	Queens	6
Days Inn	31-36 Queens Boulevard	Queens	12
Econolodge	113-18 Rockaway Boulevard	Queens	5
Fairfield Inn & Suites	28-66 College Point Boulevard	Queens	14
Grand Motor Inn	72-51 Grand Avenue	Queens	6
Holiday Inn	39-05 29 th Street	Queens	12
Holiday Inn	112-40 37 th Avenue	Queens	14
Howard Johnson	220-16 Jamaica Avenue	Queens	5
LeTap Inn	139-01 Archer Avenue	Queens	5
PAR Central Motor Inn	82-85 Parsons Boulevard	Queens	6
SpringHill Suites	112-15 Northern Boulevard	Queens	14
Super 8	151-20 136 th Avenue	Queens	5
Super 8	31-62 14 th Street	Queens	12
View Inn & Suites	111-26 Van Wyck Expressway	Queens	5
Hampton Inn & Suites	1120 South Avenue	Staten Island	11
Hilton Garden Inn	1110 South Avenue	Staten Island	11
Ramada Inn	535 North Gannon Avenue	Staten Island	11
West Shore Motor Lodge	2600 Veterans Road West	Staten Island	11

Please note this activity was previously combined with the HPD Emergency Shelter: Public Services activity. The program was split into two activities as of July 1, 2020.

HPD FAIR HOUSING

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0024

IDIS Activity ID: 502

Status: Open

Location:

100 Gold Street
New York, NY 10038-1605

Program Description:

HPD provides fair housing counseling and educational services through an agreement with the City's Commission on Human Rights.

Consolidated Plan Strategic Goal: Reduce housing discrimination

Matrix Code:

21D - Fair Housing Activities

National Objective:

Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$200,688.00

Accomplishment Narrative:

HPD Fair Housing is the result of an interagency Memorandum of Understanding (MOU) between the NYC Department of Housing Preservation & Development (HPD) and the NYC Commission on Human Rights (CCHR) to target entities engaged with HPD to preserve and expand the supply of affordable housing throughout NYC. Fair Housing raises the awareness of building owners and project sponsors who receive financial assistance from HPD to comply with the Federal Fair Housing Act and NYC Human Rights Law.

The NYC Human Rights Law prohibits housing discrimination on the basis of race, color, religion, sex, disability, age, national origin, familial status, sexual orientation, country of origin, citizenship, marital status, partnership status, lawful occupation, gender, or lawful source of income. The NYC Human Rights Law also prohibits discrimination based on previous arrest or conviction records, caregiver status, credit history, gender identity, pregnancy, unemployment status, or status as a victim of domestic violence, sexual violence, or stalking. Because the City's Human Rights Law is inclusive of the Federal Fair Housing Act, the MOU is compliant with HPD's obligation to promote fair housing.

Staff participates in HPD's Marketing and Affordability Oversight Program workshops, focusing on fair housing rights and responsibilities. In 2020, staff presented at six workshops attended by 231 participants comprised of developers, building owners, and marketing, leasing, and real estate agents who were about to begin marketing buildings for occupancy. HPD staff also reviews fair housing obligations during weekly pre-award conferences. In 2020, HPD held nine live pre-award presentations with 462 on-demand views, which served 606 participants comprised of building owners and construction project development entities working with the agency.

In 2020, HPD and the New York City Housing Authority (NYCHA) also finalized *Where We Live NYC*, the City's inclusive and comprehensive fair housing planning process. Through *Where We Live NYC*, the City worked collaboratively with hundreds of residents and community leaders to better understand the fair housing challenges that New York City faces today and identify goals, strategies, and actions to advance fair housing. The project included several key components:

- **A Fair Housing Stakeholder Group** of more than 150 advocates, service providers, housing developers, researchers, and community leaders who were invited to participate in each part of the *Where We Live NYC* process, from understanding existing conditions to drafting goals and strategies.
- **Community Conversations** to learn directly from more than 700 residents across the five boroughs through 62 focus-group-style conversations in 15 different languages, hosted in partnership with community-based organizations.
- **Public participation** through a set of interactive online tools and public events that encourage all New Yorkers to get involved in the fair housing planning process by sharing input online, participating in *Where We Live NYC*

events, hosting a conversation in their own community, and learning more about fair housing rights, data, and history.

- **Data analysis** to deepen the City's understanding of fair housing issues, using publicly available sources as well as administrative data from City agencies and other government partners.
- **Government partnerships** to evaluate existing policies and initiatives and to design new policy solutions that are both impactful and feasible.

Since its launch, the *Where We Live NYC* website has had more than 23,000 unique visits. On social media, the hashtag #WhereWeLiveNYC has generated over 140,000 impressions and 1,900 direct engagements. Additionally, the *Where We Live NYC* promotional video inviting residents to participate was viewed nearly 9,000 times.

Fair Housing NYC is a website created by HPD and CCHR that promotes awareness of fair housing practices and enforcement. It provides the public with a range of fair housing-related content and referral services. The site can be accessed at <http://www.nyc.gov/html/fhnyc/html/home/home.shtml>.

As of 12/31/2020, the program had three budgeted positions of which one was active.

INSPECTIONS IN CITY SHELTERS

Administering Agency: Department of Homeless Services (DHS)

Project ID: 0040

IDIS Activity ID: 6818

Status: Open

Location:

Shelter units citywide.

Program Description:

The Department of Homeless Services and the Human Resources Administration will use CD funds to support inspections in shelter and transitional housing units.

Consolidated Plan Strategic Goal: Reduce homelessness

Matrix Code:

04A - Clean-Up of Contaminated Sites

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$257,956.00

Accomplishments

Proposed: 0 People

Actual: 0 People

Accomplishment Narrative:

Through this program, the Department of Homeless Services (DHS) and the Human Resources Administration (HRA) conduct regular inspections of shelter and transitional housing units. Funding supports inspection activities carried out by a contracted vendor. This project covers testing only; CD funds will not be used for renovation activities.

In Calendar Year 2020, the City was able to complete CD-funded lead-based paint testing at 278 units: 42 units at one site in the Bronx and 236 units among four sites in Brooklyn. Testing was paused in March 2020 due to the COVID-19 pandemic. The City expects testing to resume in 2021.

LANDMARKS HISTORIC PRESERVATION GRANT PROGRAM: NON-RESIDENTIAL

Administering Agency: Landmarks Preservation Commission (LPC)

Project ID: 0052

IDIS Activity ID: 2925

Status: Open

Location:

Citywide - See narrative for a list of sites.

Program Description:

The program consists of two components: a façade restoration grant for homeowners and a grant for nonprofit organizations.

Consolidated Plan Strategic Goal: Preservation of historic buildings and areas

Matrix Code:

16B - Non-Residential Historic Preservation

National Objective:

Low- and Moderate-Income Area
Limited Clientele: Presumed Benefit
Slum or Blight Spot

Drawn in Program Year: \$6,995.00

Accomplishments

Proposed: 1 Organizations

Actual: 1 Organizations

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 52.13%

Accomplishment Narrative:

In 2020, one nonprofit project was complete, and one was underway. The accomplishment number of one represents the “Total number of nonprofit projects completed” in 2020.

Lewis H. Latimer House

34-41 137th Street, Queens

Expended: \$6,995

Individual Landmark

Congressional District: 6

National Objective: Low/Mod Area

Work Scope: The project involved the restoration and reinstallation of one original historic window and seven replicates for a total of eight windows. The work was completed in 2019 and final payment was made in 2020. Please see before and after photos below:



Lewis H. Latimer House – Before



Lewis H. Latimer House – After

Stuyvesant Heights Christian Church
 69 MacDonough Street, Brooklyn
 Expended: \$0.00

Stuyvesant Heights Historic District
 Congressional District: 8
 National Objective: Low/Mod Area

Work Scope: The project will involve recoating the rear façade of the day care portion of the building to match the underlying brick color; repainting, resealing, and caulking windows; and replacing cracked glass at a small window. Work will be complete in 2021.

Please note that this program also has a Residential component (HUD Activity #541). Please see the following program entry for more information.

LANDMARKS HISTORIC PRESERVATION GRANT PROGRAM: RESIDENTIAL

Administering Agency: Landmarks Preservation Commission (LPC)

Project ID: 0052

IDIS Activity ID: 541

Status: Open

Location:

CD-eligible sites citywide - See the accomplishment narrative for addresses.

Program Description:

The program consists of two components: a façade restoration grant for homeowners and a grant for nonprofit organizations.

Consolidated Plan Strategic Goal: Preservation of historic buildings and areas

Matrix Code:

16A - Residential Historic Preservation

National Objective:

Low- and Moderate-Income Housing
 Slum or Blight Spot

Drawn in Program Year: \$45,279.00

Accomplishments

Proposed: 3 Housing Units (Owner)

Actual: 1 Housing Units (Owner)

Race Category (Households)	Owner		Renter		Total	
	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0
Asian:	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0
Asian & White:	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0
Other Multi-Racial:	1	0	0	0	1	0
Total:	1	0	0	0	1	0

Income Category (Units):	Owner	Renter	Total
Extremely Low	0	0	0
Low/Mod	0	0	0
Moderate	1	0	1
Non-Low/Moderate	0	0	0
Total	1	0	1
<i>Percent Low/Mod</i>	<i>100.00%</i>	<i>N/A</i>	<i>100.00%</i>

Accomplishment Narrative:

In 2020, one homeowner project was complete and two were underway. The accomplishment number of one represents the "Total number of owner-occupied units completed" in 2020. The City has provided Project IDs instead of site addresses to maintain confidentiality.

84th Street, Queens 541202003

Expended: \$15,000

Jackson Heights Historic District

Congressional District: 14

National Objective: Low/Mod Housing

Work Scope: CD-funded work involved reconstructing the brick staircase, installing two sets of metal railings, and replacing the copper gutters at the façade. Work was complete and final payment was made in 2020.



Jackson Heights Historic District - After

Work was underway at the following residential properties:

47th Street, Brooklyn 541202102

Expended: \$7,875

Central Sunset Park Historic District

Congressional District: 7

National Objective: Low/Mod Housing

Work Scope: The project involves restoring the front façade including paint removal, repointing and cleaning, repairing and reinforcing deteriorated areas of the cornice, repairing the stoop, and restoring the ironwork. The work will be complete in 2021.

Maple Street, Brooklyn 541201901

Expended: \$22,404

Prospect Lefferts Gardens Historic District

Congressional District: 9

National Objective: Low/Mod Housing

Work Scope: The project involves repairing and repainting the cornice, repairing the damaged brownstone of the façade, repairing the stoop and basement steps, repairing the front door saddle, painting the façade, and rebuilding the areaway, retaining wall, and planter box. The work will be completed in 2021.

Only one owner-occupied unit was complete in 2020 because the two remaining projects scheduled for completion in 2020 were put on hold in the spring of 2020 because of the COVID-19 pandemic. After the pause on construction was lifted, the two residential projects, which required extensive work, could not be completed before the winter. Cold temperatures during winter months are not conducive for the type of work being done. The delayed projects are expected to be completed in the spring or summer of 2021.

Please note that this program has a Non-Residential component (HUD Activity #2925). Please see the previous program entry for more information.

LANDMARKS PRESERVATION COMMISSION PLANNING

Administering Agency: Landmarks Preservation Commission (LPC)

Project ID: 0202

IDIS Activity ID: 1677

Status: Open

Location:

1 Centre Street
New York, NY 10007-1602

Program Description:

LPC Planning conducts various activities such as environmental reviews and architectural, archaeological, and historical analyses.

Consolidated Plan Strategic Goal: Preservation of historic buildings and areas

Matrix Code:

20 - Planning

National Objective:

Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$551,107.00

Accomplishment Narrative:

Historic Designations

In 2020 the Landmarks Preservation Commission (LPC) completed four designations, including two historic districts and two individual landmarks, for a total of 110 buildings and sites. LPC also produced informative, interactive social media content to educate and uplift New Yorkers during the COVID-19 pandemic.

Starting March 2020, all LPC staff worked remotely due to the pandemic. Despite the challenges of reduced access to physical archival collections, the Research Department successfully continued its research, survey, and designation work remotely throughout the year, with virtual meetings and public hearings on proposed designations. Three of the four designations were completed remotely. Specific designations include:

- **Manida Street Historic District (Bronx):** Designed by architects James F. Meehan and Daube & Kreyborg, and built in 1908-09, the Manida Street Historic District is a remarkable example of the early-20th century development of the South Bronx's Hunts Point neighborhood.

- Public School 48 (Queens): Designed in 1932 by Walter C. Martin, Public School 48 was the first school constructed using Martin's "P" plan and is a fine example and early use of the Art Deco style applied to a community elementary school.
- Angel Guardian Home (Brooklyn): The first landmark in Dyker Heights, Brooklyn, the Angel Guardian Home is a monumental orphanage building designed by George H. Streeton in the Renaissance Revival and Beaux-Arts styles and built by the Sisters of Mercy in 1899.
- East 25th Street Historic District (Brooklyn): The East 25th Street Historic District is a remarkably cohesive and intact group of row houses built by a single developer, the Henry Meyer Building Company, in the Renaissance Revival style between 1909 and 1912. It is New York City's first historic district in Flatbush east of Flatbush Avenue.

Research Department

The Research Department surveyed 4,883 buildings in 2020; 3,400 buildings were surveyed at the reconnaissance level, 1,100 buildings were surveyed at the moderate level, and 383 surveys at the intensive level, to produce individual building descriptions for historic districts in 2020 or 2021. Surveys include Melrose-Parkside/Prospect-Lefferts Gardens Study Area (1,606 buildings surveyed at the reconnaissance level); Windsor Terrace (372 buildings surveyed at the reconnaissance level survey to identify potential historic district study area); Hell's Kitchen Study Area (593 buildings surveyed at the moderate level to identify potential historic district boundaries); and potential Dorrance Brooks Square Historic District, Harlem (275 buildings surveyed at the intensive level for historic district planned for designation in 2021).

Archaeology Department

The Archaeology Department completed 332 reviews in Calendar Year 2020 (a drop of 11 percent from Calendar Year 2019), which included 52 rezoning actions for DCP, HPD, and EDC. Ninety-eight (98) percent of the reviews were completed within 10 calendar days, meeting one of the agency's Mayor's Management Report goals. The Archaeology Department also manages the NYC Archaeological Repository which can be accessed at www.nyc.gov/archaeology.

Environmental Review Department

In Calendar Year 2020, LPC's Environmental Review Department (ER) issued 1,706 Final Sign Off (FSO) letters, which is up 23 percent from last year, and coordinated 741 ER/CEQR project reviews and architectural and archaeological reviews for 8,033 sites and/or georeferenced points. This number includes all review categories processed and overseen by ER. Due to the pandemic, the Uniform Land Use Review Procedure (ULURP) was paused from March 2 to September 14, 2020, which affected the number of projects submitted for review and processed through CEQR.

ER staff assisted in the update of Historic and Cultural Resources chapter of the CEQR Technical Manual, which was published in November. Despite the pause in the ULURP process, work continued for some high-profile, major City projects, including the Governor's Island Phased Redevelopment, the Borough Based Jail System, the LaGuardia Airport Access Improvements Airtrain, the East Side Coastal Resiliency System, and Cooper Commons (Greenpoint Hospital). The ULURP process resumed in September and new projects were submitted for review, including the Soho/Noho Rezoning and the Empire Station Development.

ER will continue to monitor and document ERGIS functionality to support future improvements to basic operations and facilitate timely data reports from users, outside agencies, and LPC administration. Significant improvements continue to be made to the ERGIS Historic Maps collection, which consists of an interactive georeferenced digital historic map collection. In 2020, 20 maps were georeferenced, and ERGIS now includes more than 3,220 maps drawn from multiple sources.

Additionally, ER worked on the following:

- Programmatic Agreement for HPD's CD-funded Demolition Program: LPC processed 44 demolition sites, responding to nearly all requests within 10 business days.

- Agreement with the NY SHPO to review National Register-listed and -eligible properties and issue compliances with the New York City Energy Conservation Code, NYCECC 501.6. LPC reviewed and issued compliances for 868 properties.

Technical and Educational Projects

On March 30, 2020, LPC launched an initiative to celebrate New York City, providing engaging online content about the historic buildings and neighborhoods that make New York a vibrant, beautiful, and resilient city. Through the #LoveNYCLandmarks initiative during the COVID-19 pandemic, LPC shared articles, photos, story maps, neighborhood tours, trivia/quizzes, and games and activities for kids and adults. The initiative is an effort to educate and engage New Yorkers in a joyful discourse about the city’s history and culture, foster civic pride, and strengthen connections to the places we love. Research staff provided the written and image content for this initiative, including the articles and interactive quizzes.

As of December 31, 2020, CD funded a total of seven positions (five full-time and two part-time), all of which were active.

LITIGATION

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0084

IDIS Activity ID: 500

Status: Open

Location:

Citywide - See the Litigation building list in the Appendix.

Program Description:

CD funds assist in paying for the Housing Litigation Division, a unit within HPD that conducts litigation in Housing Court. CD funds also pay for Code Enforcement Inspectors that support litigation work.

Consolidated Plan Strategic Goal: Preserve and improve occupied private housing

Matrix Code:

15 - Code Enforcement

14I - Lead-Based Paint Hazard Test / Abatement

National Objective:

Low- and Moderate-Income Area

Slum or Blight Spot

Drawn in Program Year: \$7,984,318.00

Accomplishments

Proposed: 200,000 Housing Units (Rental)

Actual: 232,178 Housing Units (Rental)

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 60.75%

Accomplishment Narrative:

In 2020, the Housing Litigation Division (HLD) used CD funds to litigate 7,823 cases, collect \$2.25 million in court fines, and eliminate 37,057 Housing Code violations. Of the cases litigated, 650 were comprehensive; 1,700 were for heat and hot water complaints; 4,505 were for tenant-initiated actions; 158 were for the enforcement of judgments; 47 were for anti-harassment complaints; four were for landlords who failed to register their buildings with the City; 35 were for 7A actions; 56 were for lead-related access warrants; 667 were for non-lead-related access warrants, and one was for miscellaneous other cases. This affected 175,684 units that were in low- and moderate-income areas.

The City continued the Certificate of No Harassment (CONH) Pilot Expansion, which targets residential buildings in specific neighborhoods with the highest rates of physical distress, ownership changes, or areas undergoing rezoning where heightened protection against harassment is essential. The CONH Pilot Expansion targeted 24 residential

buildings containing 517 units, of which 14 buildings containing 399 units were located in low- and moderate-income areas.

As of December 31, 2020, 80 positions were budgeted, of which 69 were active. CD funds paid for the following staff positions:

- Attorneys: Represent HPD in court actions including heat and hot water cases, comprehensive and false certifications, and 7A actions.
- Paralegals: Bring legal documents to court to obtain index numbers and research ownership of properties through each of the county clerks.
- Investigators: Collect money judgments from landlords including seizure accounts.
- Clerical: Prepare paperwork for court cases and process rental checks.

CD revenue is generated from civil penalties assessed against property owners for violations of the State and City Housing Codes.

CD also funds other components of HPD's follow-up efforts to ensure safe housing. The Emergency Repair Program (ERP) works to correct immediately hazardous "C" violations. The goal is to secure voluntary corrective actions by landlords, eliminating the need for direct City involvement. The Alternative Enforcement Program (AEP) is intended to alleviate the serious physical deterioration of the most distressed buildings in NYC by forcing the owner to make effective repairs.

MAINTENANCE AND OPERATION OF TAX-FORECLOSED HOUSING

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0019

IDIS Activity ID: 5845

Status: Open

Location:

Citywide - See the Maintenance and Operation of Tax-Foreclosed Housing property listings in the Appendix.

Program Description:

This program helps to maintain City-owned, tax-foreclosed residential buildings until the City can achieve its goal of selling the buildings to the tenants, nonprofits, or private entrepreneurs and returning the buildings to the tax rolls.

Consolidated Plan Strategic Goal: Return foreclosed housing to private ownership

Matrix Code:

19E - CDBG Operation and Repair of Foreclosed Property
14I - Lead-Based Paint Hazard Test / Abatement
14B - Rehabilitation: Multi-Unit Residential
08 - Relocation

National Objective:

Low- and Moderate-Income Housing
Low- and Moderate-Income Area

Drawn in Program Year: \$13,214,675.00

Accomplishments

Proposed: 2,123 Housing Units (Rental)

Actual: 1,964 Housing Units (Rental)

Race Category (Persons) ¹	Owner		Renter		Total	
	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	516	447	516	447
Black/African American:	0	0	593	239	593	239
Asian:	0	0	22	0	22	0
American Indian/Alaskan Native:	0	0	15	10	15	10
Native Hawaiian/Other Pacific Islander:	0	0	2	2	2	2
American Indian/Alaskan Native & White:	0	0	0	0	0	0
Asian & White:	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0
Other Multi-Racial:	0	0	53	51	53	51
Total:	0	0	1,201	748	1,201	748

Income Category:	Owner	Renter	Total
Extremely Low	0	0	0
Low/Mod	0	856	856
Moderate	0	158	158
Non-Low/Moderate	0	187	187
Total	0	1,201	1,201
<i>Percent Low/Mod</i>	<i>N/A</i>	<i>84.43%</i>	<i>84.43%</i>

Accomplishment Narrative:

For the entire occupied City-owned inventory between January 1 and December 31, 2020, there were:

- 137 occupied buildings
- 1,201 occupied units
- 763 vacant units
- 1,034,704 gallons of fuel consumed
- \$667,727 in utility payments made
- \$1,263,800 in water and sewer payments
- 62 Pre-Qualification Applications for Contractor Procurement
- 180 Re-Certified Contractors for Contractor Procurement
- 447 Open Market Orders awarded
- 365 Open Market Orders completed
- 33 efficiency tests on heating plants
- 554 monitoring inspections
- 277 survey inspections
- 277 inspections for contractor payment requests
- Six City-owned residential buildings containing 47 units sealed

HPD also used \$309,746 in CD funding for 23 relocation payments to tenants that were temporarily relocated during building renovations. Of those, six were relocated for more than 12 months or permanently.

Please note that as of December 31, 2020, there was a total of 1,964 units in the entire MOTH inventory. HUD's Integrated Disbursement and Information System (IDIS) only allows the reflection of the total number of occupied units (1,201).

Affordable Neighborhood Cooperative Program (ANCP): In 2020, four ANCP buildings containing 69 units were sold. CD program income generated from the sale of ANCP buildings in 2020 totaled \$4.

Multifamily Preservation Loan Program (MPLP): In 2020, three MPLP buildings containing 59 units were sold. CD program income generated from the sale of MPLP buildings in 2020 totaled \$3.

The Urban Homesteading Assistance Board, Inc. (UHAB) and Neighborhood Housing Services of New York City, Inc. (NHS) provided CD-funded technical training in building management and maintenance to TIL and HDFC buildings mainly located in Manhattan, Bronx, Brooklyn, and Queens. In 2020, 197 tenant organizations were assisted.

Neighborhood Housing Services of New York City Inc. (NHSNYC) provided CD funded training and technical assistance services for residents and cooperative board members to run a limited-equity cooperative within the purview of HPD's low-income homeownership program – TIL, HDFC, and ANCP. In 2020, 121 tenant organizations were assisted.

CD funds paid for the rent at the following HPD field offices that support the in rem operations:

- 105 East 106th Street, New York, NY 10029
- 3280 Broadway, New York, NY 10027

Third Party Transfer (TPT): TPT properties are not City-owned and are transferred to the portfolio of Neighborhood Restore, a nonprofit organization that assumes interim ownership of the properties until they can be transferred to permanent owners. CD funds pay for fuel charges in TPT buildings. In 2020, 15 TPT properties received 197,592 gallons of CD-funded fuel totaling \$337,257.

There were 42 residential buildings in the TPT portfolio as of 12/31/2020. During the year, three buildings were disposed of and 12 buildings with 167 units were rehabilitated with non-CD funds.

As of 12/31/2020, MOTH had 64 budgeted positions, of which 62 were active.

¹In Rem Household Income and Race/Ethnicity Survey

In a letter dated January 26, 1996, HUD accepted the City's proposal to use the triennial *New York City Housing Report/Housing and Vacancy Survey* to document the income eligibility of tenants served through the MOTH program. The *2017 NYC Housing and Vacancy Survey*, using data compiled by the Census Bureau, demonstrated that 84.4 percent of all in rem households have incomes at or below 80 percent of the HUD-defined median for the New York Primary Metropolitan Statistical Area (PMSA). The HVS also estimated that 71.3 percent of the households were at or below 50 percent of the New York PMSA median income (low-income). As of 12/31/20, there were 1,964 units in the MOTH inventory, 1,201 of which were occupied by tenants.

Income Band	Percentages	MOTH
Total Low-Income:	71.3%	856
Total Moderate-Income:	13.1%	158
Total Non-Low/Mod:	15.6%	187
Total Occupied Units:	100.0%	1,201
Total Vacant Units:		763
Total Units:		1,964

The 2017 NYC Housing and Vacancy Survey also included an assessment of the race/ethnicity of the tenants living in in rem housing. The survey found the race/ethnicity of the in rem tenants was as follows: 43.0 percent White, 49.4 percent Black/African-American, 1.8 percent Asian, 1.2 percent American Indian/Alaskan Native, .2 percent Native Hawaiian/Other Pacific Islander, 4.2 percent Hispanic (No Race Identified), and .2 percent Non-Hispanic. Because the Hispanic tenants did not report a race, they are reported under the “Other Multi-Racial” category. These percentages were applied to the occupied in rem units to derive the figures in the Race/Ethnicity Chart.

In Rem Building Listing

A listing of the addresses of all residential occupied buildings in the MOTH program can be found in Volume III of the APR, CD Appendix A. The document also reports the low- and moderate-income population of the census tract in which each building is located. However, the MOTH inventory is actually CD-eligible using the Low- and Moderate-Income Housing national objective based on the In Rem Household Income Survey referenced previously, not on the percentage of low/mod persons in the census tract.

MET COUNCIL FOOD PANTRY

Administering Agency: Department of Youth and Community Development (DYCD)

Project ID: 0174

IDIS Activity ID: 834

Status: Open

Location:

5361 Preston Court
Brooklyn, NY 11234

Program Description:

The Metropolitan Council on Jewish Poverty (Met Council) operates a food distribution program targeting low- and moderate-income residents in New York City. Funds pay for staff, food, and operational costs.

Consolidated Plan Strategic Goal: Reduce hunger

Matrix Code:

05W - Food Banks

National Objective:

Limited Clientele: Income Survey

Drawn in Program Year: \$735,269.00

Accomplishments

Proposed: 0 People

Actual: 352,666 People

Race Category	Total Served	# Hispanic
White:	142,061	14,510
Black/African American:	42,079	23
Asian:	6,597	0
American Indian/Alaskan Native:	4	1
Native Hawaiian/Other Pacific Islander:	6	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	161,919	360
Total:	352,666	14,894

Income Category:	Person
Extremely Low	0
Low/Mod	0
Moderate	352,666
Non-Low/Moderate	0
Total	352,666
<i>Percent Low/Mod</i>	<i>100.0%</i>

Accomplishment Narrative:

The Metropolitan Council on Jewish Poverty (Met Council) operates a food program that gathers, assembles, and distributes emergency food packages to thousands of poor and needy clients. Each day, the organization's trucks transport bulk food to the Met Council's warehouse from various sources including The Food Bank for New York City, vendor donations, and food purchases. Agency staff and volunteers assemble the bulk food into individual, household-sized packages. These packages are distributed to families and individuals through distribution sites throughout the city, as well as at the Met Council food warehouse site in Southern Brooklyn. The pantry provides more than 5.2 million pounds of food annually.

Please note the amount drawn in 2020 was incurred by the Met Council in 2017 and 2018. The Met Council's contract was not registered until 2019, so the City had to set up accruals for the expenditures. However, due to an influx of program income and the inability to use program income for accrued expenses, the City was unable to draw down reimbursement. In February 2020, in light of the program income received, HUD waived the requirement to draw program income before grant funds, and the City was able to draw reimbursement for these expenditures.

At the time costs were incurred, recipients of the program were required to provide documentation (sample payroll stubs, income tax returns, self-certification or documentation that they participate in another income-restricted program) to show proof of low- and moderate-income eligibility; however, no individual is refused food on their first visit to the food pantry. If an individual did not want to provide the information, they were required to complete a self-certification form and meet with a social worker.

The Met Council also provides food vouchers, which can be used to purchase perishable items and products in local grocery stores. These vouchers are an added means of support for extremely low-income households for whom a monthly food package is not enough. Vouchers also help in emergency situations when a scheduled distribution is unavailable.

CD funds generally pay for program staff, including the drivers, a receiving clerk, Food Network Director, Food Program Manager, as well as for OTPS costs, such as office supplies and equipment, rent, utilities, bulk food, office telephone, cellphone fees, and travel expenses.

MINIPOOLS

Administering Agency: Department of Parks and Recreation (NYC Parks)

Project ID: 0095

IDIS Activity ID: 539

Status: Open

Location:

Citywide - See the accomplishment narrative for locations.

Program Description:

CD funds pay for seasonal lifeguards, recreation, and support staff at Minipools that are located near New York City Housing Authority developments.

Consolidated Plan Strategic Goal: Provide recreational activities for low/mod people

Matrix Code:

05D - Public Services: Youth Services

National Objective:

Limited Clientele: Nature and Location

Drawn in Program Year: \$0.00

Accomplishments

Proposed: 0 People

Actual: 0 People

Race Category	Total Served	# Hispanic
White:	0	0
Black/African American:	0	0
Asian:	0	0
American Indian/Alaskan Native:	0	0
Native Hawaiian/Other Pacific Islander:	0	0
American Indian/Alaskan Native & White:	0	0
Asian & White:	0	0
Black/African American & White:	0	0
American Indian/Alaskan Native & Black/African American:	0	0
Other Multi-Racial:	0	0
Total:	0	0

Income Category:	Person
Extremely Low	0
Low/Mod	0
Moderate	0
Non-Low/Moderate	0
Total	0
<i>Percent Low/Mod</i>	<i>N/A</i>

Accomplishment Narrative:

Due to the COVID-19 pandemic, NYC Minipools were closed during the summer of 2020. CD funds were reprogrammed to other CD-eligible programs as discussed in the Amended 2020 One-Year Annual Action Plan.

NEIGHBORHOOD PRESERVATION CONSULTANTS

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0114

IDIS Activity ID: 506

Status: Cancelled

Location:

Citywide

Program Description:

The Department of Housing Preservation and Development contracted with nonprofit organizations that assisted with implementing the agency's anti-abandonment strategy in low- and moderate-income areas.

Consolidated Plan Strategic Goal: Preserve and improve occupied private housing

Matrix Code:
18B - Special Activities by CBDO's
05X - Housing Information and Referral Services

National Objective:
Low- and Moderate-Income Area

Drawn in Program Year: \$(129,675.00)

Accomplishments

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 67.31%

Accomplishment Narrative:

During 2020, the Community Development Unit at the Office of Management and Budget began and completed a monitoring of the NPC program, which was scheduled to end on June 30, 2020. The CD Unit monitored the program to ensure expenditures were CD-eligible prior to program closeout. The monitoring identified charges that were either ineligible for CD funding (e.g., provided outside approved service areas) or unsupported (e.g., providers did not maintain timesheets, failed to demonstrate they met their contracted benchmarks). As a result, all CD funds that were expended on the program between CFYs 2017 and 2020 were disallowed. While the City was still in the process of completing the reimbursement as of December 31, 2020, \$129,675 of ineligible charges that had been drawn in prior years were revised in HUD's reporting system, IDIS. The draws were credited to CD-eligible programs, resulting in a negative expenditure for NPC.

PANDEMIC FOOD RESERVE EMERGENCY DISTRIBUTION (P-FRED) - CV

Administering Agency: Human Resources Administration (HRA)

Project ID: 0040

IDIS Activity ID: 7263

Status: Open

Location:

Citywide

Program Description:

To prevent against a food supply chain disruption coupled with the impacts of COVID-19, the Office of Emergency Management and Human Resources Administration are preparing a food reserve to deploy in the event of a food shortage.

Consolidated Plan Strategic Goal: Preserve and improve occupied private housing

Matrix Code:
05W - Food Banks

National Objective:
Limited Clientele: Nature and Location

Drawn in Program Year: \$0.00

Accomplishments

Proposed: 18,000,000 People

Actual: 0 People

Accomplishment Narrative:

As part of the COVID-19 response, New York City established a Food Reserve as a prudent planning measure in the event of a future supply chain disruption. A total of 11,602,731 pounds of shelf stable food, approximately 12,625,730 meals, was secured in warehouses across the City and in New Jersey. Additionally, a total of 5,359,000 pounds of fresh produce, approximately 6,430,800 meals, was procured and delivered to 297 P-FRED participating pantries. In total, 19,056,530 meals were secured through the P-FRED program.

In addition to securing food, the P-FRED program aims to enhance the capacity of pantries in the P-FRED program in order to respond to increased demand and prepare for a potential supply chain disruption. This effort includes enhancements to the FeedNYC reporting system, grants for technology related upgrades, as well as capacity increasing equipment at up to 40 select pantries in underserved neighborhoods across the city.

The accomplishment listed above is 0 as no funds were drawn on this program for Calendar Year 2020. Due to the speed with which the City implemented programs to respond to COVID-19, the City is still reviewing program documentation to ensure all costs are eligible for CDBG funding. Full program accomplishments will be reflected in the 2021 Annual Performance Report.

PARKS CONSTRUCTION AND RENOVATION PROGRAM

Administering Agency: Department of Parks and Recreation (NYC Parks)

Project ID: 0001

IDIS Activity ID: 6989

Status: Open

Location:

Lewis Playground
773 Willoughby Avenue
Brooklyn, NY 11206

Program Description:

CD funds will be used to create new or renovate existing parks.

Vale of Cashmere
95 Prospect Park West
Brooklyn, NY 11215

Consolidated Plan Strategic Goal: Provide recreational activities for low/mod people

Matrix Code:

03F - Public Facilities and Improvements: Parks,
Recreational Facilities

National Objective:

Low- and Moderate-Income Area

Drawn in Program Year: \$0.00

Accomplishment Narrative:

The Department of Parks and Recreation will use CD funds to create new or renovate existing City recreational facilities at the following locations:

Lewis Playground

In 2020, the Lewis Playground project was in the rebidding and procurement process. This project will reconstruct Lewis Playground located on Willoughby Avenue between Lewis Avenue and Marcus Garvey Boulevard in Brooklyn. The upper level of the playground will include new play equipment for children up to age 12, while offering accessible play opportunities, increased seating, and game and picnic tables. The lower level will include an informal outdoor classroom with benches, game tables and a chalkboard wall. Planting areas have been added, which help to define the various play spaces and buffer them from the surrounding park and adjacent street.

Vale of Cashmere

The Vale of Cashmere Pathway project will reconstruct the paths in the area near Endale Arch to the Zucker Natural Exploration Area, and from Flatbush Avenue to Dongan Oak Path in Prospect Park, Brooklyn. The design intent is to reconstruct the paths in their existing locations by removing or milling existing material, clearing catch basins and drains, and re-paving. The path will be paved with asphaltic concrete pavement. These materials are consistent with Prospect Park restoration plans. Central Park settee benches and lighting will be installed. The project will improve drainage along

the path, install native grasses and wildflowers, while adding additional storm drains and storm lines that will fix current erosion issues. In 2020, construction was underway.

PELHAM BAY PARK ADMINISTRATOR'S OFFICE

Administering Agency: Department of Parks and Recreation (NYC Parks)

Project ID: 0021

IDIS Activity ID: 5847

Status: Open

Location:

One Bronx River Parkway (Administrator's Office)
Bronx, NY 10462-2869

Program Description:

Pelham Bay Park is NYC's largest park (2,772 acres). CD funds pay for staffing and related expenses associated with the administration of the park.

Consolidated Plan Strategic Goal: Provide recreational activities for low/mod people

Matrix Code:

05Z - Public Services: Other
20 - Planning

National Objective:

Low- and Moderate-Income Area
Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$460,342.00

Accomplishments

Proposed: 5,400,000 People

Actual: 5,400,000 People

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 73.92%

Accomplishment Narrative:

The Pelham Bay Park Administrator's Office coordinates special events, educational and environmental programming, capital projects, natural areas and wildlife management, volunteer activities, and community outreach.

In 2020, the administration staff faced difficulties and challenges due to the COVID-19 pandemic. The pandemic prohibited various activities at the park in the spring; however, the public use of the park increased dramatically. Park goers were in areas of open lawns and small grassy spots that previously had never been used. With the closure of indoor event halls and gyms, staff faced challenges of spontaneous pop-up events, fitness classes, and misuse of sites and natural areas. The Pelham Bay Park staff worked tirelessly throughout the pandemic with a concerted effort to protect the park and provide safe, clean spaces; small volunteer opportunities; and fun, educational outdoor programming.

Special events were not allowed for several months due to the pandemic and only 252 special events permits were issued in 2020. Despite a 35 percent drop from the previous year, Pelham Bay still accounted for 28 percent of all borough events. Many annual public programs were cancelled, but several were re-imagined (Tour de Bronx) or hosted virtually (Making Strides Breast Cancer Walk). The Tribeca Film Drive-In experience was a major summer attraction with 15 free movies at Orchard Beach, and a community-sponsored drive-in attracted many local patrons. Public programming was limited in capacity or virtual and many events featured distribution of personal protective equipment like masks or gloves to park goers.

During the year, Pelham Bay Park's natural areas work was limited, but site-specific work by Pelham's Natural Areas Manager, NRG, and volunteers was beneficial. Invasive removals, tree and shrub plantings, and deer protection measures helped preserve the healthy diversity of forests, meadows, and wetlands. In 2020, over 320 volunteers logged 1,014 hours on a variety of projects, an 85 percent decrease due to restrictions from the pandemic.

In 2020, the PB Park Administrator continued to oversee capital projects. Several projects were halted in 2020 including the Orchard Beach Nature Center reconstruction and the Pelham Bridge replacement. Survey work and scope development began in August for the new \$35 million maintenance building at Orchard Beach, with construction expected to begin in 2022. The restoration of the seawall at the former Pelham Landfill, damaged during Hurricane Sandy, continued the design phase. The City Island Water Main Replacement Project continued construction through 2020. Two capital projects were completed including the \$3.2 million Eastchester Bay Waterfront reconstruction and the \$550,000 expansion of the Sensory Garden.

As of December 31, 2020, two of the four budgeted positions were active including the PB Park Administrator and the Director of Special Events. Additionally, CD funds paid for event supplies, programming expenses, office supplies and furniture, and equipment.

PRIMARY PREVENTION PROGRAM

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0210

IDIS Activity ID: 5634

Status: Open

Location:

Citywide

Program Description:

The Primary Prevention Program reduces lead-based paint hazards and other housing related health risks in units occupied by low-income and at-risk households.

Consolidated Plan Strategic Goal: Preserve and improve occupied private housing

Matrix Code:

14H - Rehabilitation Administration

14I - Lead-Based Paint Hazard Test / Abatement

National Objective:

Slum or Blight Spot

Drawn in Program Year: \$492,701.00

Accomplishments

Proposed: 20 Housing Units (Rental)

Actual: 4 Housing Units (Rental)

Accomplishment Narrative:

The Primary Prevention Program grappled with several major challenges during Calendar Year 2020. Prior to the onset of the COVID-19 pandemic, the program experienced delays moving forward with its 2017 HUD Lead Hazard Reduction Demonstration Grant pipeline. The \$3.4M three-year 2017 grant commenced in October 2018. The main challenge faced by the program was new procurement requirements imposed by HUD.

A seven-month pause due to the COVID-19 pandemic was implemented for field activities, including lead testing inspections performed by HPD Lead Inspectors, which are necessary to develop abatement scopes of work. Field inspections began to resume in September 2020. During the pause, the program continued to accept and process applications, and work with building owners to complete the administrative processes needed to close on a grant with HPD.

Please note the program's primary eligibility category changed to Rehabilitation Administration in 2020. The City will now report the number of units at which the program completes work with non-CD funding.

Following are locations where PPP completed work in 2020:

- 137 East 43rd Street, Brooklyn (1 Unit)
- 198 Hewes Street, Brooklyn (3 Units)

As of 12/31/2020, PPP had seven budgeted positions, of which five were active.

PROJECT OPEN HOUSE

Administering Agency: Mayor's Office for People with Disabilities (MOPD)

Project ID: 0047

IDIS Activity ID: 515

Status: Open

Location:

Citywide - See the accomplishment narrative for a listing of sites where work was completed or underway.

Program Description:

Project Open House (POH) uses contractors to remove architectural barriers in rental units and owner-occupied homes.

Consolidated Plan Strategic Goal: Increase accessible housing for people with disabilities

Matrix Code:

14A - Rehabilitation: Single-Unit Residential
 14B - Rehabilitation: Multi-Unit Residential
 05B - Public Services: Services for People with Disabilities

National Objective:

Low- and Moderate-Income Housing
 Limited Clientele: Presumed Benefit

Drawn in Program Year: \$192,162.00

Accomplishments

Proposed: 0 Housing Units (Owner)
 0 Housing Units (Rental)
 0 Person (Public Service)

Actual: 1 Housing Units (Owner)
 0 Housing Units (Rental)
 0 People (Public Service)

Race Category (Households)	Owner		Renter		Public Service		Total	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	1	0	0	0	0	0	1	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian & White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other Multi-Racial:	0	0	0	0	0	0	0	0
Total:	1	0	0	0	0	0	1	0

Income Category (Units):	Owner	Renter	Public Service	Total
Extremely Low	0	0	0	0
Low/Mod	1	0	0	1
Moderate	0	0	0	0
Non-Low/Moderate	0	0	0	0
Total	1	0	0	1
<i>Percent Low/Mod</i>	<i>100.00%</i>	<i>N/A</i>	<i>N/A</i>	<i>100.00%</i>

Accomplishment Narrative:

In 2020, the Project Open House (POH) program did not accept applications due to the inability to perform work on existing projects because of COVID-19 restrictions on construction work. Projects that were active in Spring 2020 were put on hold, and slowly resumed as restrictions on construction were lifted. One site that was completed in 2019 received a final payment in 2020 and is therefore counted as an accomplishment for the 2020 program year.

MOPD and the Department of Housing Preservation and Development (HPD) worked together on compliance for the lead-based paint requirements established by HUD regulations. MOPD and HPD prepared a list of POH projects requiring a risk assessment and then proceeded with lead remediation depending on site conditions.

The following is a list of Project Open House projects. The City has provided Project IDs instead of site addresses to maintain confidentiality. Congressional District are in parentheses; all projects impacted one unit each unless otherwise noted.

Owner-Occupied

The following owner-occupied rehabilitation project was completed in 2020, Congressional District in parentheses:

98th Street, East Elmhurst (14) - 515201912

The project involved the installation of handrails at staircases and in the bathroom, as well as a raised toilet and a whirlpool jetted tub.

Expended: \$13,869

The following owner-occupied rehabilitation projects were underway, Congressional District in parentheses:

Vincent Avenue, Bronx (14) - 515201908

The project involves the installation of a wheelchair lift, accessible shower and sinks, along with a raised toilet.

Expended: \$0

East 40th Street, Brooklyn (9) - 515201910

The project involves the installation of a vertical platform lift at the front entrance.

Expended: \$56,777

Paerdegat 12th Street, Brooklyn (8) – 515201913

The project involves the installation of a vertical stair lift, grab bars in the bathroom, a raised toilet, and ADA-compliant door locks.

Expended: \$14,777

West 137th Street, New York (13) - 515201803

The project involves the installation of a vertical platform lift at the front entrance of a multi-unit cooperative building.

Expended: \$0

Hillside Avenue, Queens (6) - 515201914

The project involves the installation of a vertical lift outside the building.

Expended: \$0

The following renter-occupied rehabilitation project was underway:

82nd Street, Queens (14) - 515201908

The project involves the installation of slip resistant bathroom tiles, an accessible shower, and a wheelchair ramp.

Expended: \$0

The following project was cancelled:

West 94th Street, Manhattan (10) – 515201805

The project was for the installation of a walk-in shower and bidet, re-installation of the bathroom door to open out, and re-location of a grab bar and towel rack. However, the project was cancelled after the client declined to participate. CD funds were expended for materials purchased for the project, which will be put towards future CD-funded projects.

Expended: \$2,987

As of 12/31/2020 there was one budgeted position, which was active. CD funds paid for additional POH program staff, which totaled \$103,752.

PROSPECT PARK ADMINISTRATOR'S OFFICE

Administering Agency: Department of Parks and Recreation (NYC Parks)

Project ID: 0032

IDIS Activity ID: 530

Status: Open

Location:

95 Prospect Park West
Brooklyn, NY 11215-3709

Program Description:

CD funds pay for the staffing costs and related expenses associated with the administration of Brooklyn's Prospect Park, which includes the Audubon Center and Lefferts Historic House. The Audubon Center is a state-of-the-art facility dedicated to wildlife preservation and natural education. The Lefferts Historic House offers free public programs focusing on the everyday life of the Dutch settlers who inhabited Brooklyn in the 1700s.

Consolidated Plan Strategic Goal: Provide recreational activities for low/mod people

Matrix Code:

05Z - Public Services: Other
20 - Planning

National Objective:

Low- and Moderate-Income Area
Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$855,035.00

Accomplishments

Proposed: 8,863,109 People

Actual: 8,863,109 People

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 63.03%

Accomplishment Narrative:

The Prospect Park Administrator's Office uses CD funds for OTPS costs such as office supplies, automotive equipment and supplies, rental equipment for special events, and the production and mailing of outreach materials. CD also funds

seven full-time positions, of which six were active as of December 31, 2020. CD-funded staff manages the office’s budget and operations, plans/supervises park events, manages the park’s educational programming, and coordinates volunteer efforts.

Prospect Park was impacted by the COVID-19 pandemic with record visitation and a decrease in available resources. However, Prospect Park volunteers continued to play a critical role in trash collection and prevention, providing support to the park’s landscape department, and fulfilling community engagement goals for the Prospect Park Alliance.

The COVID-19 pandemic restricted in-person activities held in the park. The Prospect Park Audubon Center, the Lefferts Historic House, and the Imagination Playground did not have public hours due to the pandemic. However, Prospect Park created a range of virtual culture and nature activities for adults, families, and children to do remotely. By the end of 2020, a total of 35,132 views were reached: Lefferts Historic House and Audubon Center (24,042 views); The Brooklyn Roots Festival (2,500 views); National Caribbean-American Heritage Month (7,000 views); and Lefferts/Audubon Play + Go Kits (1,590 views).

Prospect Park continued to benefit from the generosity of community members. Prospect Park had the help of 2,117 volunteers who donated 9,771 hours in 2020. Volunteers assisted in a variety of tasks including 119 public fieldwork events. While Prospect Park volunteers ceased all projects in March due to the pandemic, the Volunteer Litter Program was introduced in July 2020 to address the ongoing trash issue caused by the uptick in visitation. The program created a Green & Go Kit and It’s My Park Monday to collect litter throughout areas most impacted by increased usership. Greeters spread awareness about the park’s trash management strategy and encouraged park visitors to carry out their trash or place it in the proper receptacle.

In 2020, the Volunteer Litter Program’s 1,290 volunteers made 1,982 visits, filled 2,527 trash bags, and engaged 8,400 park goers to pick up their trash. Prospect Park’s Junior Volunteer Corps contributed a total of 207 visits from pre-registered individuals and families (47), groups (85), and walk-ins (75). Groups and walk-ins were safely accommodated by creating time slots, segregating groups, and ensuring no more than 20 volunteers were on site at a time.

Volunteer Litter Program	Number of Volunteers	Number of Visits	Number of Trash Bags Filled	Number of People Engaged
Greeters	25	56	0	8,400
Green & Go Kit	853	1,147	1,246	0
It's My Park Monday	412	779	1,281	0
Total	1,290	1,982	2,527	8,400

While many traditional landscape programs were cancelled due to COVID-19 restrictions, woodland restoration programs slowly returned. Starting in August 2020, 12 Wednesday Woodland Corps events were hosted with approximately 10 volunteers per event. Prospect Park’s landscape department continued to host 25 skilled woodland volunteers who contributed 3,220 hours in 2020.

PUBLIC HOUSING REHABILITATION PROGRAM: LEAD TESTING

Administering Agency: New York City Housing Authority (NYCHA)

Project ID: 0016

IDIS Activity ID: 7268

Status: Open

Location:

NYCHA developments citywide

Program Description:

CD funds are used to test NYCHA residential buildings for lead-based paint and for staff within the Department of Housing Preservation and Development's NYCHA Lead Exemption Unit, which reviews and processes lead exemption applications.

Consolidated Plan Strategic Goal: Reduction of blighted properties

Matrix Code:

14I - Lead-Based Paint Hazard Test / Abatement

National Objective:

Low- and Moderate-Income Housing

Drawn in Program Year: \$193,440.00

Accomplishments

Proposed: 36,000 Housing Units (Rental)

Actual: 0 Housing Units (Rental)

Race Category (Persons)	Owner		Renter		Total	
	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0
Asian:	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0
Asian & White:	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0
Other Multi-Racial:	0	0	0	0	0	0
Total:	0	0	0	0	0	0

Income Category:	Owner	Renter	Total
Extremely Low	0	0	0
Low/Mod	0	0	0
Moderate	0	0	0
Non-Low/Moderate	0	0	0
Total	0	0	0
<i>Percent Low/Mod</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>

Accomplishment Narrative:

Despite a delay in conducting lead inspections related to COVID-19, NYCHA continued using contractors to perform lead testing in residential units during 2020. As of 12/31/2020, the City had not yet drawn CDBG reimbursement for these costs as the CD Unit at the Office of Management and Budget was still reviewing invoice and contract documentation. Expenditures and accomplishments will be reported in the 2021 APR.

The expenditure of \$193,440 is related to staff in the Department of Housing Preservation and Development's Lead Exemption Unit, which reviews and processes lead-exemption applications for NYCHA housing units. Please note the Public Housing Rehabilitation Program was split into three separate activities as of July 1, 2020. Additional expenditures incurred for this staff between January 1 and June 30, 2020, totaling \$291,578, are reflected in the Rehabilitation Administration component of this program. Thus, total 2020 expenditures for the Lead Exemption Staff are \$485,018. As of 12/31/2020, this unit had 18 budgeted positions, 10 of which were active.

PUBLIC HOUSING REHABILITATION PROGRAM: PHYSICAL IMPROVEMENTS

Administering Agency: New York City Housing Authority (NYCHA)

Project ID: 0016

IDIS Activity ID: 7267

Status: Open

Location:

NYCHA developments citywide

Program Description:

CDBG funds are used to renovate NYCHA residential buildings.

Consolidated Plan Strategic Goal: Reduction of blighted properties

Matrix Code:

14C - Public Housing Modernization

National Objective:

Low- and Moderate-Income Housing

Drawn in Program Year: \$0.00

Accomplishments

Proposed: 0 Housing Units (Rental)

Actual: 0 Housing Units (Rental)

Race Category (Persons)	Owner		Renter		Total	
	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0
Asian:	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0
Asian & White:	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0
Other Multi-Racial:	0	0	0	0	0	0
Total:	0	0	0	0	0	0

Income Category:	Owner	Renter	Total
Extremely Low	0	0	0
Low/Mod	0	0	0
Moderate	0	0	0
Non-Low/Moderate	0	0	0
Total	0	0	0
<i>Percent Low/Mod</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>

Accomplishment Narrative:

In 2020, no CD-funded rehabilitation projects were completed due to a statewide moratorium on construction related to COVID-19. In addition to simply delaying projects, the moratorium significantly impacted projects that are weather-dependent. The City worked with NYCHA throughout the year to revise project timelines and plan for projects that will begin in 2021.

PUBLIC HOUSING REHABILITATION PROGRAM: REHABILITATION ADMINISTRATION

Administering Agency: New York City Housing Authority (NYCHA)

Project ID: 0016

IDIS Activity ID: 6490

Status: Open

Location:

NYCHA developments citywide

Program Description:

CD funds support NYCHA's Capital Projects Division staff, which oversees construction and renovation projects within NYCHA developments, and staff within the Department of Housing Preservation and Development (HPD) who process NYCHA's requests for reimbursement.

Consolidated Plan Strategic Goal: Reduction of blighted properties

Matrix Code:

14H - Rehabilitation Administration

National Objective:

Low- and Moderate-Income Housing

Drawn in Program Year: \$291,578.00

Accomplishments

Proposed: 19,233 Housing Units (Rental)

Actual: 0 Housing Units (Rental)

Race Category (Persons)	Owner		Renter		Total	
	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0
Asian:	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0
Asian & White:	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0
Other Multi-Racial:	0	0	0	0	0	0
Total:	0	0	0	0	0	0

Income Category:	Owner	Renter	Total
Extremely Low	0	0	0
Low/Mod	0	0	0
Moderate	0	0	0
Non-Low/Moderate	0	0	0
Total	0	0	0
<i>Percent Low/Mod</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>

Accomplishment Narrative:

The expenditure of \$291,578 is related to staff in the Department of Housing Preservation and Development's (HPD) Lead Exemption Unit, which reviews and processes lead-exemption applications for NYCHA housing units. Please note the Public Housing Rehabilitation Program was split into three separate activities as of July 1, 2020. Additional

expenditures incurred for this staff between July 1 and December 31, 2020, totaling \$193,440, are reflected in the Lead Testing component of this program. Thus, total 2020 expenditures for the Lead Exemption Staff are \$485,018.

In 2020, no CD funds were drawn down for Capital Planning Division (CPD) staff employees. During this timeframe, the CD team worked with NYCHA to clarify the payroll billing methodology to ensure clear and verifiable back-up documentation is available for every CPD employee. Thus, the units rehabbed with non-CD funds, and overseen by the CPD staff will be reported in the 2021 APR.

CD-funded positions included, but were not limited to, Administrative Architects, Administrative City Planners, an Assistant Resident Buildings Superintendent, Associate Housing Development Specialists, Construction Project Managers, Landscape Architects, Procurement Analysts, Staff Analysts, and a Telecommunications Associate.

In Calendar Year 2020, no CD funds were expended for contractual construction management work; however, work by vendors continued to take place over the course of the year. Such work included but was not limited to the planning and design of waste management systems, ventilation, boiler replacement, and elevator renovation projects.

CD funds did not have any expenditures for staff within the HPD's Fiscal Division, which is tasked with reviewing invoices and reimbursing NYCHA for charges incurred under this program. As of December 31, 2020, there were four budgeted positions, of which zero were active. HPD has been able to process reimbursements using existing staff. Funds will be reallocated to other CD-eligible needs in 2021.

RENT GUIDELINES BOARD SUPPORT STAFF

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0199

IDIS Activity ID: 1440

Status: Open

Location:

1 Centre Street, Suite 2210
New York, NY 10007

Program Description:

The Rent Guidelines Board support staff engages in year-round research efforts to establish rent adjustments to units subject to the Rent Stabilization Law in NYC.

Consolidated Plan Strategic Goal: Perform housing market analysis

Matrix Code:

20 - Planning
21A - General Program Administration
21C - Public Information

National Objective:

Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$487,875.00

Accomplishment Narrative:

The Rent Guidelines Board (RGB) is a local body with a mandate in both state and local law to investigate conditions within the residential real estate industry and to establish fair rent adjustments for rent stabilized units. Under the Rent Stabilization Law, the Board is charged with establishing annual guidelines following a review of the economic condition of the residential real estate industry in New York City, relevant data from current and projected cost of living indices, and other data as it becomes available. RGB uses CD funds for staff that provides administrative support and prepares research that enables the Board to complete its mandate.

The following is a list of the essential administrative functions RGB staff performed in 2020:

- The Board held four virtual meetings in which the members discussed its research agenda, reviewed staff reports, and heard testimony from public officials, housing experts, and industry and tenant representatives. These virtual meetings were held on April 23, April 30, May 5, and May 27.
- The staff scheduled and administered virtual public hearings prior to the adoption of rent guidelines, which is mandated by the NYC Administrative Code §26-510(h) and the New York City Charter. To fulfill this mandate, the Board held virtual hearings on June 10 and 11 in which owners, tenants and public officials commented on the proposed guidelines for rent stabilized apartments, lofts, and hotels.
- The staff scheduled and administered two virtual voting meetings to vote on rent adjustments: a virtual meeting to adopt proposed guidelines on May 7 and a meeting to adopt the final guidelines on June 17.
- As required, the staff prepared and filed the final orders and explanatory statements with the City of New York. The Board's orders and related explanatory statements were filed with the City Clerk on June 26, 2020 and subsequently published in *The City Record*.

In addition to the items listed above, the staff had a myriad of other administrative duties, such as, but not limited to, maintaining communication with Board members; answering inquiries from the public regarding RGB and rent stabilization issues via the phone and by email; distributing materials to the Board; maintaining record-keeping systems; managing RGB funds; maintaining the RGB website (nyc.gov/RGB); and communicating with City Hall and other City agencies regarding the Board's activities and research.

In 2020, the CD-funded RGB support staff produced the following publications:

- The 2020 Price Index of Operating Costs (PIOC) measures the price change in a market basket of goods and services used in the operation and maintenance of rent stabilized apartment buildings, lofts, and hotels in New York City from 2019 to 2020. The PIOC was 3.7 percent for Rent Stabilized Apartment Buildings, 2.9 percent for hotels, and 6.2 percent for lofts.
- The 2020 Income and Expense Study (I&E) is a cross-sectional and longitudinal study of owner reported income and expenses of rent stabilized buildings in NYC. The most recent I&E examined the conditions that existed in New York's rent stabilized housing market in 2018.
- The 2020 Mortgage Survey provides details about New York City's multifamily lending during Calendar Year 2019. Each January, RGB surveys lending institutions that underwrite mortgages for multifamily rent stabilized properties. This survey determined that the average interest rate for new multifamily mortgages decreased 66 basis points from the prior year to 3.99 percent.
- The 2020 Income and Affordability Study (*I&A*) provides details about housing affordability and tenant income in the city's rental market. The study highlights yearly changes in many major economic factors that affect the city's tenant population. It also takes into consideration a broad range of market forces and public policies that affect housing affordability. Such factors include the city's overall economic condition as well as the number of eviction proceedings, the impact of welfare reform, and Federal housing policies on rents and incomes. Among other findings, the study reported that in 2019 the city's economy grew by 2.4 percent, the city gained 95,600 jobs, and the unemployment rate fell to 3.9 percent. Please note, the report was published in April 2020 and focused on data from 2019, which does not reflect the economic impact of the COVID-19 pandemic on the city.
- RGB Staff released the 2020 Housing Supply Report, which found that in 2019, the number of new housing units completed decreased 6.9 percent and 26,547 work permits were issued for new dwelling units, a 27 percent increase from the previous year. Please note, the report was published in May 2020 and focused on data from 2019, which does not reflect the impact of the COVID-19 pandemic on the housing supply of the City.
- Changes to the Rent Stabilized Housing Stock in New York City in 2019 examined the transfer of units to and from the stabilized housing stock. The study found a net estimated loss of 2,444 rent stabilized units in 2019.

While the reports are accessible for free online, CD program income is generated from the sale of the CD-funded reports that are purchased in hard copy.

The Rent Guidelines Board disseminates rent adjustments for rent stabilized apartments, lofts, and hotels in NYC. The Board successfully fulfilled this mandate by setting the following rent adjustments for leases commencing or being renewed between October 1, 2020 and September 30, 2021:

- Rent stabilized apartments increased 0 percent for one-year leases and 1 percent for two-year leases. For loft units covered under Article 7-C of the Multiple Dwelling Law, rents increased by 0 percent for one-year leases and 1 percent for two-year leases.
- Rents for hotel units (i.e., single-room occupancies, lodging houses, and rooming houses) had a zero percent increase.
- For rent controlled units that became vacant after September 30, 2020 and entered the rent stabilization system, the RGB established a rent guideline that sets the new rent at 39 percent above the maximum base rent. The NYS Division of Housing and Community Renewal must review the new rent for each unit.

In 2020, CD funds paid for four full-time positions and two temporary consultants. Funds were also used for office supplies, consulting services, equipment, printing costs, public notices, and public hearing costs.

SAFE HORIZON – COURT-BASED SERVICES

Administering Agency: Mayor's Office of Criminal Justice (MOCJ)

Project ID: 0037

IDIS Activity ID: 513

Status: Open

Location:

2 Lafayette Street
New York, NY 10007-1307

Program Description:

Safe Horizon offers court-based services for crime victims to reduce the psychological, physical, and financial hardships associated with victimization.

Consolidated Plan Strategic Goal: Promote justice for victims of crime and abuse

Matrix Code:

05G - Public Services: Services for Victims of Domestic Violence, Dating Violence, Sexual Assault, or Stalking
05Z - Public Services: Other

National Objective:

Low- and Moderate-Income Area

Drawn in Program Year: \$2,050,415.00

Accomplishments

Proposed: 14,000 People

Actual: 12,778 People

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 62.58%

Accomplishment Narrative:

Through a contract with the NYC Mayor's Office of Criminal Justice, the nonprofit organization Safe Horizon provides an array of services to crime and abuse victims, their families, and their communities in order to provide support, prevent violence, and promote justice. CD funds are used to support the Crime Victims' and Domestic Violence Hotlines and Court-Based Services for Crime Victims in Criminal and Family Courts (Bronx, Brooklyn, and Queens).

In 2020, CD-funded staff included administrative staff, executive staff, a Case Manager, Senior Case Manager, Client Advocate, Client Advocate Specialist, Supervising Social Worker, Program Director, Program Manager, Program

Coordinator, Program Teacher, and Program Assistant. CD-funded OTPS costs included a variety of program office related expenses, as well as victim service expenses.

Using CD funds, Safe Horizon provided services to 12,778 people under Court-Based Services. Please refer to the program's Part 2 for 2020 survivor stories. A service breakout for the Court-Based Services program is provided below.

Court-Based Services for Crime Victims

- Total number of clients that received services: 12,778

Bronx Criminal and Family Courts

- Number of clients that received services (Victims/Witnesses Assisted): 6,290
- Percentage of clients receiving services that have a safety assessment and risk management plan in place: 100%
- Restitution provided: 104
- Number of visits registered in the Children's Center: 689

Brooklyn Criminal and Family Courts

- Number of clients receiving services (Victims/Witnesses Assisted): 2,976
- Percentage of clients receiving services that have a safety assessment and risk management plan in place: 97%
- Restitution provided: 110
- Number of visits registered in the Children's Center: 392

Queens Criminal and Family Courts

- Number of clients that received services (Victims/Witnesses Assisted): 3,512
- Percentage of clients receiving services that have a safety assessment and risk management plan in place: 96%
- Restitution provided: 108
- Number of visits registered in the Children's Center: 115

In City Fiscal Year 2021, the Safe Horizon program was separated into two components: Court-Based Services and the Domestic Violence and Crime Victims Hotline. The Domestic Violence and Crime Victims Hotline component of the Safe Horizon program will now be reported separately under HUD Activity #7265. However, the contracts for these services were not registered in separate budget codes in the City's Financial Management System, and the City erroneously drew reimbursement for both components under the Court-Based Services activity. While expenditures in IDIS reflect \$3,107,088 spent under Court-Based Services, the correct CD-funded expenditure in Calendar Year 2020 is \$2,050,415. Please see the Hotlines program entry for Calendar Year 2020 accomplishments.

Part 2 Testimonials

Bronx Family Court

A Bronx Case Manager assisted Ms. I, a 43-year-old victim of intrafamily violence, with developing a risk management plan, discussed the Family Court process of obtaining an Order of Protection, drafted and e-filed the Family Court Petition, and advocated with an 18B Panel attorney for a legal consultation. The Case Manager further assisted Ms. I with contact information for the virtual court waiting room used by the Courts to hear Family Court matters.

After the Order of Protection was granted by the Court, the Case Manager discussed the serving process by the NYC Sheriff's office and reporting further incidents or violations of the Order of Protection to the police. Upon a follow up with Ms. I a few days later, she said that she felt safe and was happy with the support she received from Safe Horizon.

Brooklyn Family Court

A Safe Horizon Case Manager helped Ms. M, whose ex-partner refused to return their children after they stayed with him for about one week at the beginning of the pandemic, petition for a writ of habeas corpus (an order to return the children to her). Ms. M, who has sole custody of the children and who was designated an essential worker for that week, contacted Brooklyn Family Court. With much advocacy from Safe Horizon with the court, including being on the phone with her during her court appearances, the judge ordered the father to allow the mother to pick up her children. Ms. M traveled to Philadelphia that night and picked up her children.

Queens Family Court

Ms. R called Safe Horizon after she broke up with her boyfriend, who threatened to release her personal information and photos, and pushed her violently when she went to his home to retrieve her property. After her first call with a Case Manager, Ms. R had changed her mind hoping the situation would resolve itself. But shortly thereafter, Ms. R reached out to the Case Manager once again. She stated her ex-partner had texted her a news article with the headline: "Man Shoots his Wife in America and Commits Suicide." Ms. R became frightened and decided to reschedule the appointment with a Domestic Violence Attorney Case Manager, who arranged another appointment with the Law Project. After her consultation, Ms. R decided to obtain a family court order of protection.

The Case Manager drafted a thorough petition and advocated with court appointed counsel who quickly agreed to represent her in court. The Case Manager e-filed the petition and Ms. R appeared virtually that afternoon, accompanied by counsel. A full order of protection was issued, which ordered her ex-partner to stay away from her, to cease from any contact with her, and to engage in no third-party contact. The Case Manager safety planned with Ms. R, provided information for the Sheriff and verification of service, and discussed instructions for enforcing her order. Ms. R expressed relief in having this court order in place and an attorney to represent her at the next court date.

SAFE HORIZON – DOMESTIC VIOLENCE AND CRIME VICTIMS’ HOTLINES

Administering Agency: Mayor's Office of Criminal Justice (MOCJ)

Project ID: 0037

IDIS Activity ID: 7265

Status: Open

Location:

2 Lafayette Street
New York, NY 10007-1307

Program Description:

Safe Horizon offers hotlines for crime and domestic violence victims to reduce the psychological, physical, and financial hardships associated with victimization.

Consolidated Plan Strategic Goal: Promote justice for victims of crime and abuse

Matrix Code:

05G - Public Services: Services for Victims of Domestic Violence, Dating Violence, Sexual Assault, or Stalking
05Z - Public Services: Other

National Objective:

Limited Clientele: Presumed Benefit

Drawn in Program Year: \$1,056,673.00

Accomplishments

Proposed: 94,000 People

Actual: 101,029 People

Race Category	Total Served	# Hispanic	Income Category:	Person
White:	8,948	455	Extremely Low	0
Black/African American:	37,374	672	Low/Mod	93,235
Asian:	5,287	0	Moderate	0
American Indian/Alaskan Native:	303	0	Non-Low/Moderate	7,794
Native Hawaiian/Other Pacific Islander:	0	0	Total	101,029
American Indian/Alaskan Native & White:	0	0	<i>Percent Low/Mod</i>	<i>92.3%</i>
Asian & White:	0	0		
Black/African American & White:	0	0		
American Indian/Alaskan Native & Black/African American:	0	0		
Other Multi-Racial:	49,117	43,462		
Total:	101,029	44,589		

Accomplishment Narrative:

Through a contract with the NYC Mayor’s Office of Criminal Justice, the nonprofit organization Safe Horizon provides an array of services to crime and abuse victims, their families, and their communities in order to provide support, prevent violence, and promote justice. CD funds are used to support the Crime Victims and Domestic Violence Hotlines and Court-Based Services for Crime Victims in Criminal and Family Courts (Bronx, Brooklyn, and Queens).

Using CD funds, Safe Horizon’s Domestic Violence and Crime Victims’ Hotlines program provided 101,029 units of service to its clientele. A service breakout for the Domestic Violence and Crime Victims’ Hotlines is provided below.

Crime Victims Hotline

- Calls answered: 7,794

Domestic Violence Hotline

- Calls answered: 93,235
- Percentage of callers requesting shelter (requires a shelter assessment): 99%
- Percentage of callers identified as appropriate for shelter and linked to shelter services: 52%

Please note that this program also has a Court-Based Services component (HUD Activity #513). Please see the program entry for Calendar Year 2020 accomplishments.

As of July 1, 2020, the Safe Horizon program was separated into two components in IDIS: Court-Based Services and the Domestic Violence and Crime Victims’ Hotlines. However, the contracts for these services were not registered in separate budget codes in the City’s Financial Management System, and the City erroneously drew reimbursement for both components under the Court-Based Services activity. While expenditures in IDIS reflect \$0 spent under the Hotlines program, the correct CD-funded expenditure in Calendar Year 2020 is \$1,056,673.

SCHOOLYARDS TO PLAYGROUNDS

Administering Agency: Department of Parks and Recreation (NYC Parks)

Project ID: 0026

IDIS Activity ID: 6233

Status: Open

Location:

228 Avenue S
Brooklyn, NY 11223-2746

Program Description:

The Schoolyards to Playgrounds initiative identifies schoolyards in neighborhoods most in need of parks and playgrounds and opens them year-round for public use. CD funds will be used for physical improvements necessary to open one site.

Consolidated Plan Strategic Goal: Provide recreational activities for low/mod people

Matrix Code:

03F - Public Facilities and Improvements: Parks,
Recreational Facilities

National Objective:

Low- and Moderate-Income Area

Drawn in Program Year: \$0.00

Accomplishment Narrative:

In early 2020, the Department of Parks and Recreation (NYC Parks) continued its CD-funded work to convert the schoolyard at I.S. 228 (228 Avenue S, Brooklyn; Congressional District 11) into a playground. This project is part of the City's initiative to have all New Yorkers within a ten-minute walk to a park by 2030. However, the project was put on hold due to the COVID-19 pandemic.

SCORECARD PROGRAM

Administering Agency: Mayor's Office of Operations (MOO)

Project ID: 0203

IDIS Activity ID: 1678

Status: Open

Location:

Citywide

Program Description:

Scorecard produces street and sidewalk cleanliness ratings so the Department of Sanitation can develop policy, plan changes to its cleaning and enforcement programs, and evaluate its methods.

Consolidated Plan Strategic Goal: Improve sanitary conditions throughout the City

Matrix Code:

20 - Planning

National Objective:

Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$382,338.00

Accomplishment Narrative:

Through the Scorecard Program, service inspectors employed by the Mayor's Office produce monthly street and sidewalk cleanliness ratings for every city neighborhood. A visual rating scale is used to determine the percent of acceptably clean streets and sidewalks, and results are published on the Mayor's Office website.

Information from the Scorecard Program is used in the following ways:

- To help DSNY develop and evaluate policies related to its cleaning and enforcement programs and assess the performance of its field managers.
- Community boards and other members of the public use the data to learn about cleanliness conditions in their neighborhoods and participate in developing operational and enforcement changes (including Alternate Side Parking regulations, street/sidewalk inspections, vacant lot cleaning, and the placement and emptying of street corner litter baskets).
- Quarterly reports are developed for selected Business Improvement Districts (BIDs) upon request from DSNY or the Department of Small Business Services. Currently, 15 BIDs, including local development corporations and industrial parks, receive quarterly Scorecard ratings to help evaluate the effectiveness of their cleaning efforts and improve local cleaning practices, generally.
- The City Comptroller's office has used Scorecard data in conjunction with audits of the BIDs' use of City funds for district cleaning.

Community Development Block Grant funds pay for six full-time staff members, including five service inspectors, and one Clerical Associate. Funds were also allocated for upgrading the Scorecard system database, replacement of hand-held computers used for data collection, and Other Than Personal Services expenses (e.g., jackets for inspectors).

Scorecard field inspections were paused in April 2020 due to the COVID-19 pandemic. Inspections restarted in July 2020 using a solo rating system to comply with City health and safety guidelines. The solo inspection allowed for greater physical distance between co-workers and minimal impact on Scorecard's monthly cleanliness reports. Staff prepared quarterly reports for the Deputy Mayor of Operations, which provided much needed insight on street/sidewalk cleanliness conditions during the cancelation and restart of street cleaning services.

Scorecard ratings have been associated with substantial long-term gains in city cleanliness levels, overall and in specific neighborhoods. Today, approximately 94 percent of city streets are rated acceptably clean. This is a dramatic improvement compared to the less-than-70% ratings issued in the early days of the program. The citywide trend can be seen on the Office of Operations website at: <http://www1.nyc.gov/site/operations/performance/scorecard-street-sidewalk-cleanliness-ratings.page>.

As of 12/31/2020, CD funded a total of eight positions, of which six were active.

TARGETED CODE ENFORCEMENT PROGRAM

Administering Agency: Department of Housing Preservation and Development (HPD)

Project ID: 0010

IDIS Activity ID: 505

Status: Open

Location:

Citywide - See the Targeted Code Enforcement building lists in the Appendix.

Program Description:

CD funds pay for Housing Inspectors and personnel who perform Code-related activities. Target areas are deteriorated or deteriorating residential neighborhoods with at least 51 percent of the population at or below 80 percent of the Area Median Income. City tax levy supports enforcement efforts outside of CD-eligible target areas.

Consolidated Plan Strategic Goal: Preserve and improve occupied private housing

Matrix Code:

15 - Code Enforcement

14I - Lead-Based Paint Hazard Test / Abatement

National Objective:

Low- and Moderate-Income Area

Slum or Blight Spot

Drawn in Program Year: \$36,239,601.00

Accomplishments

Proposed: 288,750 Housing Units (Rental)

Actual: 310,611 Housing Units (Rental)

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 72.41%

Accomplishment Narrative:

The accomplishment figure of 310,611 consists of 180,638 units receiving general inspections, 449 units assisted through PEB, and 11,796 units receiving initial lead-based paint inspections.

General Code Enforcement

In 2020, the program received 124,408 CD-eligible complaints, which were related to 228,224 problems. Each complaint may relate to multiple problems (e.g., lack of heat, inoperable smoke detector, peeling paint). Below are accomplishments achieved with CD funds:

- Number of code inspections: 180,638
 - Number of emergency heat and hot water inspections: 61,478
 - Number of emergency non-heat and non-hot water inspections: 86,181
- Code violations issued: 172,814
- Housing units receiving a violation: 246,046
- Code violations removed by inspection: 77,532
- Code violations removed by administrative removal: 12,325
- Total number of housing units inspected: 310,611
- Total number of CD-eligible inspection time (hours): 45,533
- Percentage of inspections conducted in CD-eligible areas: 65.3 percent
- Percentage of CD-eligible inspection time: 65.5 percent

Special Enforcement Unit

Cost allocated Indicators for 2020 include:

- Number of emergencies responded to by Code Inspectors: 603
- Number of residential units vacated: 828
- Number of Code inspections performed on vacated units: 2,423
- Number of vacated units restored for occupancy: 482
- Of households returning to permanent residency, number returning to their original apartment: 82
- Number of relocation liens placed on properties: 173
- Value of relocation liens placed on properties: \$9,504,832

Proactive Enforcement Bureau (PEB)

PEB is 100 percent CD-funded. In 2020, PEB had 15 budgeted positions, of which 15 were active.

- Initial inspections performed: 3
- Re-inspections performed: 20
- Housing units assisted: 449
- Violations addressed: 6
- Buildings referred to the Housing Litigation Division: 1

Lead Inspections

This unit is 100 percent CD-funded and may perform lead inspections anywhere in the city. In 2020, this unit had 153 budgeted positions, which 137 were active. Expenditures associated with lead inspections, which was charged to the Slums or Blight Spot national objective, were \$11,839,296.

- Lead inspections performed: 20,737
- Units receiving a lead inspection: 11,796
- Lead violations issued: 7,488
- Re-inspections of violations certified as corrected by building owner: 1,494
- Lead violations removed either by HPD (through the Emergency Repair Program) or the building owner: 6,455

311 Citizen Complaint Center

As mentioned earlier, CD funds pay for the time 311 operators spend on CD-eligible housing complaint calls. In 2020, DoITT received 305,214 Housing Code-related complaints. Of the subsequent inspections, 65.9 percent were determined to be CD-eligible and 65.8 percent of the time was CD-eligible. 311 operators spent a total of 2,618,793

minutes (43,647 hours) on housing complaints. A total of \$1,393,207 was charged to CD in Calendar Year 2020 for 311 operators. DoITT had 34 budgeted positions, of which 35 were active.

CD revenue is generated when multiple-unit dwelling owners pay fees related to registering their buildings with HPD as well as for heat and hot water violations and administrative fees related to the violations. This revenue is cost-allocated between CD and tax levy to reflect those owners whose properties are within CD targeted areas and those outside.

As of December 31, 2020, Targeted Code Enforcement had a total of 419 budgeted positions, of which 387 were active.

CD also funds other components of HPD's follow-up efforts to ensure safe housing. When landlords fail to correct hazardous emergency conditions for which the Division of Code Enforcement has cited Class "C" violations, the Emergency Repair Program will make the necessary repairs. The City will also undertake full system replacements in buildings exhibiting serious physical deterioration under the Alternative Enforcement Program. Under the Litigation program, HPD's Housing Litigation Division initiates actions in Housing Court against owners of privately-owned buildings to enforce compliance with the Housing Quality Standards contained in the New York State Multiple Dwelling Law and the New York City Housing Maintenance Code. See these programs' entries for accomplishments.

CD-Eligible Code Enforcement Areas

Borough	Sub-Borough	2010 Census Tracts	% Low/ Mod	% of Units Meeting Maintenance Deficiency Criteria (2017 HVS)
Bronx	1 Mott Haven / Hunts Point	1, 19, 23, 25, 27.01, 27.02, 31, 33, 35, 37, 39, 41, 43, 51, 65, 67, 69, 71, 73, 75, 77, 79, 83, 85, 87, 89, 93, 115.02, 117, 119, 121.02, 127.01, 129.01, 131, 159	88.47%	25.10%
Bronx	2 Morrisania / East Tremont	60, 121.01, 123, 125, 133, 135, 141, 145, 147.01, 147.02, 149, 151, 153, 155, 157, 161, 163, 165, 167, 169, 185, 220, 334, 359, 361, 363, 365.01, 365.02, 367, 369.01, 369.02, 371, 373, 375.04, 385, 387, 389, 391, 393, 395, 397	86.73%	23.50%
Bronx	3 Highbridge / South Concourse	59.02, 61, 63, 143, 171, 173, 175, 177.01, 177.02, 179.01, 179.02, 181.01, 181.02, 183.01, 183.02, 189, 193, 195, 197, 199, 201, 209, 211, 213.02, 219, 221.01, 221.02, 223, 225	84.95%	26.90%
Bronx	4 University Heights / Fordham	53, 205.01, 205.02, 213.01, 215.01, 215.02, 217, 227.01, 227.02, 227.03, 229.01, 229.02, 231, 233.01, 233.02, 235.01, 235.02, 237.03, 237.04, 239, 241, 243, 245.01, 245.02, 247, 249, 251, 257, 379, 381, 383.01, 383.02	87.42%	35.00%
Bronx	5 Kingsbridge Heights / Mosholu	237.02, 253, 255, 261, 263, 265, 269, 399.01, 399.02, 401, 403.02, 405.01, 405.02, 407.02, 411, 413, 415, 419, 421, 423, 425, 429.01, 429.02, 431	80.37%	31.50%

Borough	Sub-Borough	2010 Census Tracts	% Low/ Mod	% of Units Meeting Maintenance Deficiency Criteria (2017 HVS)
Bronx	6 Riverdale / Kingsbridge	267.01, 267.02, 273, 277, 279, 281, 283, 285, 287, 289, 293.01, 293.02, 295, 297, 301, 307.01, 319, 323, 335, 337, 343, 345, 351, 403.03, 403.04, 407.01, 409; Manhattan 309	54.87%	28.90%
Bronx	7 Soundview / Parkchester	2, 4, 16, 20, 24, 28, 38, 40.01, 42, 44, 46, 48, 50.01, 50.02, 52, 54, 56, 62, 64, 68, 70, 72, 74, 76, 78, 84, 86, 90, 92, 96, 98, 202, 204, 206.01, 210.01, 210.02, 212, 216.01, 216.02, 218, 222	72.23%	19.20%
Bronx	9 Pelham Parkway	200, 224.01, 224.03, 224.04, 228, 230, 232, 236, 238, 240, 244, 246, 248, 250, 252, 254, 256, 284, 286, 288, 296, 310, 312, 314, 316, 318, 324, 326, 328, 330, 332.01, 332.02, 336, 338, 340, 342, 344, 348, 350, 360	63.00%	22.50%
Bronx	10 Williamsbridge / Baychester	356, 358, 364, 368, 370, 372, 374, 376, 378, 380, 382, 386, 388, 390, 392, 394, 396, 398, 404, 406, 408, 414, 418, 420, 422, 424, 426, 428, 430, 434, 435, 436, 442, 444, 448, 449.01, 449.02, 451.01, 451.02, 456, 458, 460, 484	63.00%	21.50%
Brooklyn	3 Bedford-Stuyvesant	233, 241, 243, 245, 249, 251, 253, 255, 257, 259, 259, 261, 263, 265, 267, 269, 273, 275, 277, 279, 281, 283, 285, 287, 289, 291, 293, 295, 375, 377, 379, 383, 385, 387, 507, 531, 1237	71.77%	26.60%
Brooklyn	4 Bushwick	285.01, 389, 391, 393, 395, 397, 399, 401, 403, 405, 407, 409, 411, 413, 415, 417, 419, 421, 423, 425, 427, 429, 431, 433, 435, 437, 439, 441, 443, 445, 447, 453, 485, 489, 493	77.20%	15.50%
Brooklyn	5 East New York / Starrett City	1058.01, 1058.04, 1070, 1078, 1098, 1104, 1106, 1110, 1116, 1118, 1120, 1124, 1142.01, 1142.02, 1146, 1150, 1152, 1160, 1162, 1164, 1166, 1168, 1170, 1172.01, 1172.02, 1174, 1176.01, 1176.02, 1178, 1180, 1182.01, 1182.02, 1184, 1186, 1188, 1190, 1192, 1194, 1196, 1198, 1200, 1202, 1208, 1210, 1214, 1220	74.64%	23.10%
Brooklyn	8 North Crown Heights / Prospect Heights	161, 163, 203, 205, 207, 215, 217, 219, 221, 247, 271, 297, 299, 305, 307, 309, 311, 313, 315, 317.01, 317.02, 337, 339, 341, 343, 345, 347, 349, 351, 353, 357, 359, 381	67.47%	29.50%

Borough	Sub-Borough	2010 Census Tracts	% Low/ Mod	% of Units Meeting Maintenance Deficiency Criteria (2017 HVS)
Brooklyn	9 South Crown Heights	213, 319, 321, 323, 325, 327, 329, 331, 333, 335, 355, 796.01, 796.02, 798.01, 798.02, 800, 802, 804, 806, 808, 810, 820, 822, 874.01, 876, 878, 880	69.96%	21.80%
Brooklyn	16 Brownsville / Ocean Hill	301, 303, 361, 363, 365.01, 365.02, 367, 369, 371, 373, 892, 894, 896, 898, 900, 902, 906, 908, 910, 912, 916, 918, 920, 922, 924, 1122, 1126, 1128, 1130, 1132, 1134, 1144, 1156, 1158	79.06%	34.40%
Brooklyn	17 East Flatbush	780, 782, 784, 790, 792, 794, 814, 816, 818, 824, 826, 828, 830, 832, 834, 836, 838, 840, 846, 848, 850, 852, 854, 856, 858, 860, 862, 864, 866, 868, 870, 872, 882, 884, 886, 888, 890, 928, 930, 932, 934, 936, 938, 946	60.93%	18.50%
Manhattan	2 Lower East Side / Chinatown	2.01, 2.02, 6, 8, 10.01, 10.02, 12, 14.01, 14.02, 16, 18, 20, 22.01, 22.02, 24, 25, 26.01, 26.02, 27, 28, 29, 30.01, 30.02, 32, 34, 36.01, 36.02, 38, 40, 42	66.85%	18.80%
Manhattan	7 Morningside Heights / Hamilton Heights	193, 195, 197.01, 199, 201.01, 203, 205, 207.01, 209.01, 211, 213.03, 217.03, 219, 223.01, 223.02, 225, 227, 229, 231, 233, 235.01, 237	69.43%	24.00%
Manhattan	8 Central Harlem	186, 190, 197.02, 200, 201.02, 206, 208, 212, 214, 215, 216, 218, 220, 221.02, 222, 224, 226, 228, 230, 232, 234, 235.02, 236, 243.02, 257, 259	69.59%	17.70%
Manhattan	9 East Harlem	156.02, 158.02, 160.02, 162, 164, 166, 168, 170, 172, 174.01, 174.02, 178, 180, 182, 184, 188, 192, 194, 196, 198, 210, 240, 242	74.67%	20.60%
Manhattan	10 Washington Heights / Inwood	239, 241, 243.01, 245, 247, 249, 251, 253, 255, 261, 263, 265, 267, 269, 271, 273, 275, 277, 279, 281, 283, 285, 287, 291, 293, 295, 297, 299, 303, 307, 309, 311	72.09%	17.00%

Borough	Sub-Borough	2010 Census Tracts	% Low/ Mod	% of Units Meeting Maintenance Deficiency Criteria (2017 HVS)
Queens	12 Jamaica	182, 184.01, 184.02, 186, 188, 190, 192, 194, 196, 198, 202, 204, 206, 208, 212, 238, 240, 246, 254, 258, 260, 262, 264, 266, 270, 272, 274, 276, 278, 280, 282, 284, 288, 294, 330, 334.01, 334.02, 352, 366, 368, 376, 384, 394, 398, 400, 402, 404, 414, 424, 426, 432, 434, 440, 444, 446.01, 446.02, 460, 462, 468, 470, 480, 482, 484, 500, 502.01, 502.02, 504, 506, 508, 510, 518, 520, 522, 524, 526, 528, 530, 788, 790, 792	56.82%	17.20%

TEST AND TRACE RESOURCE NAVIGATORS - CV

Administering Agency: Mayor's Office of Housing Recovery Operations (HRO)

Project ID: 0037

IDIS Activity ID: 7260

Status: Open

Location:

Citywide

Program Description:

CD funds are used to connect New York City residents who have been diagnosed with or exposed to COVID-19 with resources to safely isolate at home.

Consolidated Plan Strategic Goal: Independent living for the elderly and disabled

Matrix Code:

05M - Public Services: Health Services

National Objective:

Low- and Moderate-Income Area

Drawn in Program Year: \$156,186.00

Accomplishments

Proposed: 40,000 People

Actual: 0 People

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 58.69%

Accomplishment Narrative:

The Resource Navigator Program connects New York City residents who are diagnosed with or exposed to COVID-19 to the critical resources they need to safely isolate at home and avoid spreading the disease. The City partnered with community-based organizations (CBOs) and the City University of New York's (CUNY) School of Public Health to operate the program.

Services provided included, but were not limited to, assisting clients with obtaining food delivery, medication pickup, referral to social benefits such as Medicare or Medicaid, and domestic violence prevention services. CD funds were also be used to purchase and deliver immediate necessities including, but not limited to, baby formula, diapers, baby food, feminine hygiene products, etc.

The City has not yet drawn CDBG reimbursement for this program. Due to the speed with which the City implemented programs to respond to COVID-19, the City is still reviewing program documentation to ensure all costs are eligible for CDBG funding. Full program accomplishments will be reflected in the 2021 Annual Performance Report.

VAN CORTLANDT PARK ADMINISTRATOR'S OFFICE

Administering Agency: Department of Parks and Recreation (NYC Parks)

Project ID: 0033

IDIS Activity ID: 531

Status: Open

Location:

One Bronx River Parkway (Administrator's Office)
Bronx, NY 10462-2869

Program Description:

CD funds pay for staffing and related expenses associated with the administration of Van Cortlandt Park.

Consolidated Plan Strategic Goal: Provide recreational activities for low/mod people

Matrix Code:

05Z - Public Services: Other
20 - Planning

National Objective:

Low- and Moderate-Income Area
Not Applicable for Planning or Administrative Programs

Drawn in Program Year: \$606,210.00

Accomplishments

Proposed: 2,600,000 People

Actual: 2,600,000 People

Percentage of Low- and Moderate-Income Persons in Area(s) Served: 73.92%

Accomplishment Narrative:

The Van Cortlandt Park Administrator's Office coordinates special events, educational and environmental programming, capital projects, natural areas and wildlife management, volunteer activities, and community outreach.

In 2020, Van Cortlandt Park (VCP) served as a haven for community members, providing a place for family gatherings, sports, relaxation, and a way to be close to nature without leaving the city. Van Cortlandt Park was a main source of comfort during the COVID-19 pandemic. VCP served twice as many park patrons who had very few recreational alternatives during the shutdown of gyms, theaters, and restaurants in the city.

While the number of visitors to the park were not counted due to COVID-19 restrictions, VCP staff observed a substantial increase in visitation, which was noted by increased usage of all facilities, pathways, and trails at all times of the day. Additionally, there was an exponential increase in trash throughout the park due to the uptick in visitation coupled with a lack of open space for Bronx residents.

Due to the pandemic, athletic permits and special events permits were greatly reduced. In 2020, VCP issued 67 special event permits and five sports permits. VCP ordinarily issues permits for team play in baseball, softball, football, lacrosse, ultimate frisbee, cricket, and soccer. Cross-country track competitions were typically held for students every day on its highly acclaimed course. However, due to social distancing protocols, VCP only hosted a handful of small races. The Urban Park Rangers led virtual hikes. Throughout the fall, VCP partnered with NYC Audubon to offer free, social-distanced bird walks. Both the New York Philharmonic Orchestra free concert and Barefoot Dancing, a free, multi-cultural live music series, were cancelled due to the pandemic.

The Van Cortlandt Forest Restoration (VCFR) staff, which is funded through City tax levy, continued its work in the park. The VCFR staff removed 200 tons of garbage from the park. In 2020, the Parks Inspections Program rated Van Cortlandt

Park as having acceptable cleanliness levels during 90 percent of the inspections. Additionally, the staff planted 231 native trees, 1,175 shrubs, 2,565 herbs, and 750 bulbs.

VCP counted 1,218 volunteers conducting activities included gardening, forest, wetland, and trail restoration projects. Additionally, 168 students participated in ecological programs and 22 Bronx high school students and four college students received paid ecological internships.

In 2020, the VC Park Administrator's Office oversaw several capital projects. The pedestrian bridge over the Major Deegan Expressway is on hold due to the pandemic. Capital projects still in the construction phase include the Woodlawn Playground, which is a new playground at the Mosholu Avenue and Broadway intersection, critical renovations to the sports fields at Indian Field, and the Mosholu golf course and new golf house. Three projects opened to the public in 2020 including a Parks Without Borders project, which transformed derelict tennis courts into an entrance plaza with gardens, seating, and a decorative fountain; the new Putnam Greenway, a 750-mile Empire State Trail that runs from downtown Manhattan up to Buffalo, NY; and a new Skate Park along Broadway.

As of December 31, 2020, two of the five budgeted positions were active, including the Special Events Coordinator and the Office Manager. CD funds were also used for office supplies, tools and hardware for park maintenance, and equipment and supplies for the park.