

## The Impacts of Hurricane Sandy

- 43 deaths in NYC
- 6,500 patients evacuated from hospitals and nursing homes
- Nearly 90,000 buildings in the inundation zone
- Close to 2 million people without power
- \$19 billion in damage



## Managing Risk

## Sandy reinforced that resilient building design can reduce risks to severe flood events.



Neponsit, Queens



Arverne by the Sea, Queens



## Managing Risk

#### The New York Times

**Hurricane Dorian** 

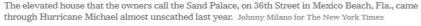
Live updates Map tracker Crisis in Bahamas Q. and A. Photos How to help

### The Lessons Florida Has Learned From Past Hurricanes

#### Strong building codes matter.

When Hurricane Michael flattened parts of the Florida Panhandle last year, it <u>exposed a serious weakness</u> in the state's building code: Stringent rules to make homes along the Atlantic coast resistant to fierce winds were more lenient in the Panhandle, a region historically less prone to hurricanes. Older properties in the scenic town of Mexico Beach, Fla., did not stand a chance against that storm, a Category 5 beast.

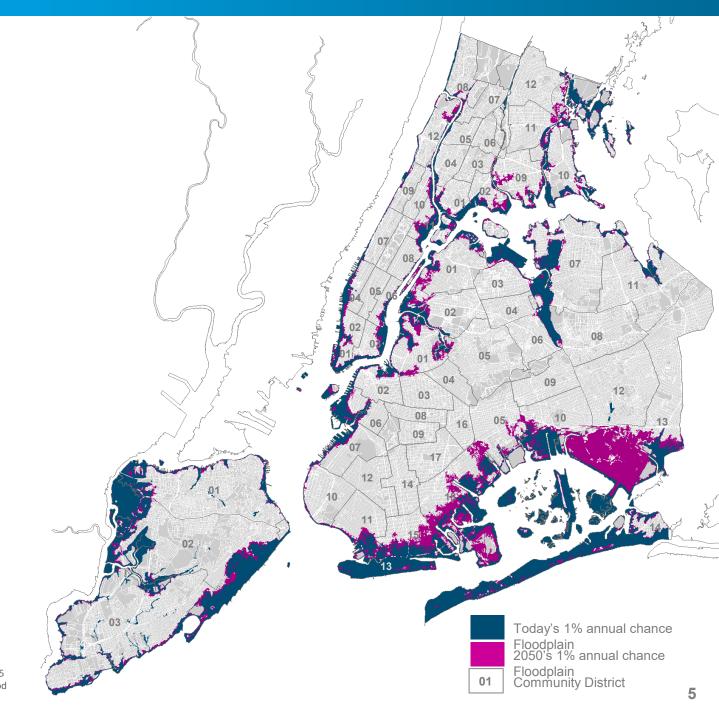






### Coastal Flood Risk

	Current 1% annual chance Floodplain	2050's 1% annual chance Floodplain	
People	400,685	794,534	
Buildings	80,907	122,132	



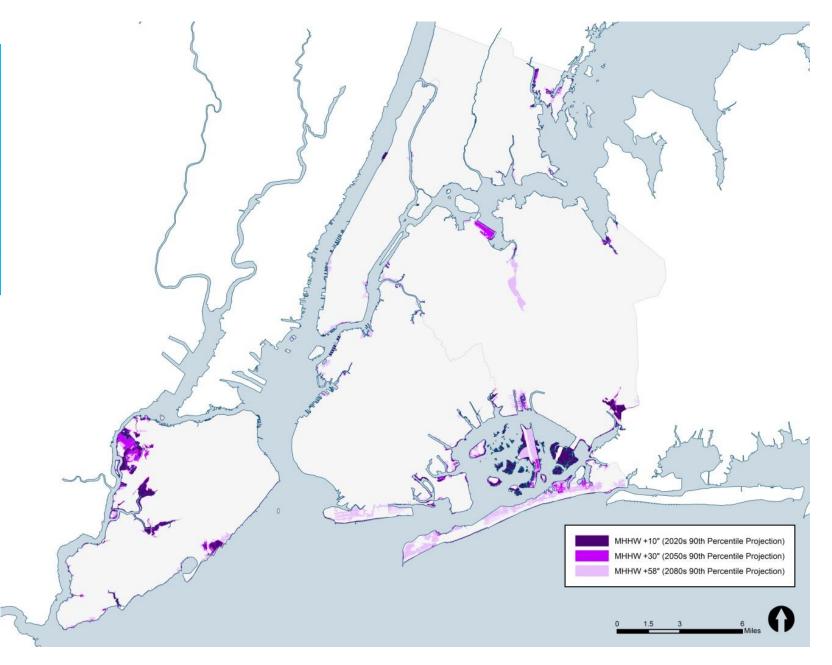


Source: The current floodplain is based on the 1% annual chance floodplain established by the FEMA 2015 Preliminary Flood Insurance Rate Maps (PFIRM). The 2050s floodplain is based on FEMA's Preliminary Flood Insurance Rate Map data and the New York City Panel on Climate Change's 90th Percentile Projections for Sea-Level Rise in the 2050s.

## Coastal Flood Risk

Tidal Flooding*				
	2020s Projected	2050s Projected	2080s Projected	
Residential Units	2,400	13,400	104,600	
Buildings	1,600	7,000	28,000	
Land Area (Acres)	5,300	7,500	13,300	
Streets (Miles)	11	47	270	

<sup>\*</sup>Numbers rounded for clarity.









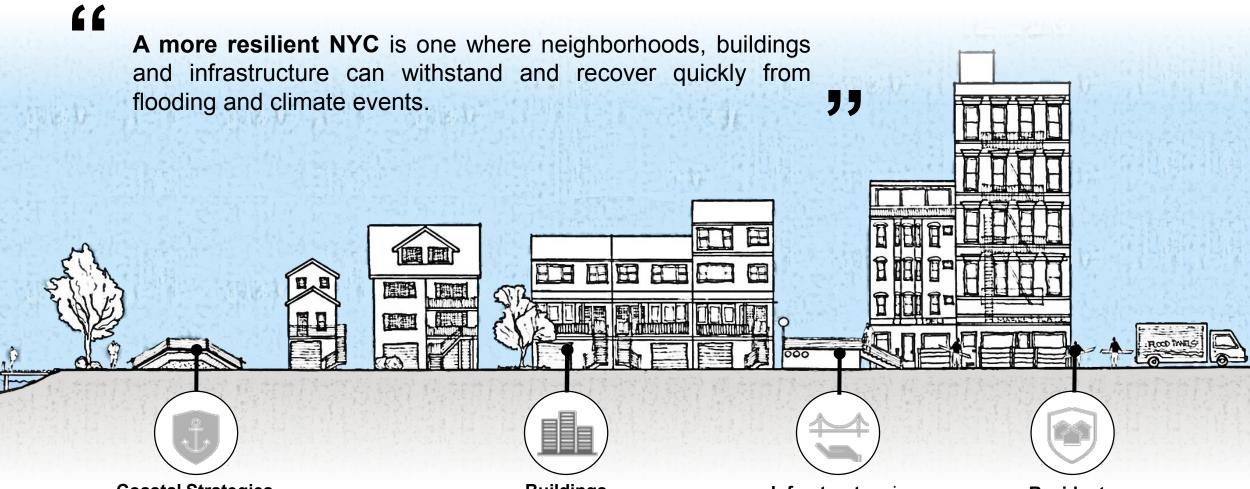
The waterfront is large—with 520 miles—and diverse.

These areas face different flood risks and issues with the current regulatory framework, and require particular strategies to make them resilient.





## **#ONENYC**



Coastal Strategies

are strengthened as first line of defense against flooding and sea level rise

Buildings
are designed to withstand and
recover from flooding

**Infrastructure** is protected from climate hazards

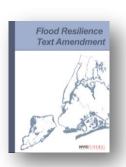
Residents and businesses are prepared

## What we have done since Sandy

Overview



Executive Order (2012)



Flood Text (2013)



Recovery Text (2015)



Citywide & Neighborhood Studies + Outreach (2014-2019)



Zoning for Coastal Flood Resiliency (2020)



Recommended

citywide and

local zoning

changes

**Special Coastal Risk Districts** (2017)



**Additional Local Actions** (2020)



## Land Use Planning in the Floodplain

## Citywide vs. Local Approach

Where flood risk is exceptional, including where sea level rise will lead to future daily tidal flooding

Where risk from extreme events can be managed through infrastructure and context can support growth

### Flood risk and Land Use Considerations

### **Limit Density**

In some areas, there is a need to limit future density to decrease the exposure to damage and disruption.

### **Support Planned Density**

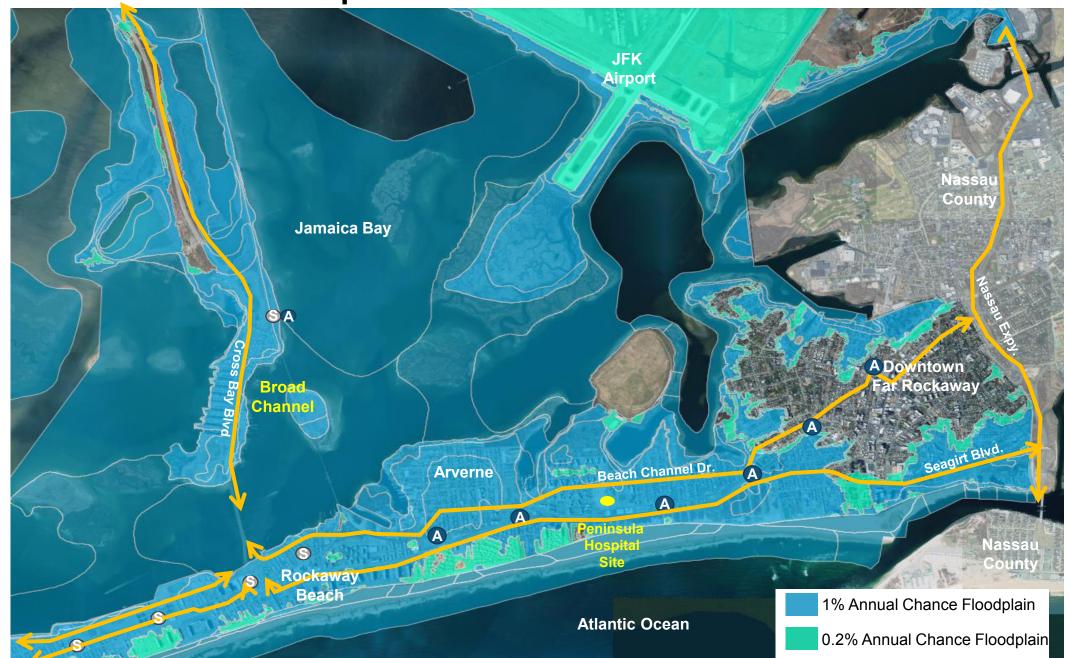
Adjust zoning to allow all buildings to meet resiliency standards, by providing flexibility and removing zoning obstacles.

### **Encourage Density**

In other areas, the city can encourage new development, as to increase the resilient building stock.



### Flood Risk and Land Use Spectrum





### Flood Risk and Land Use Planning – South Queens

### **Broad Channel Characteristics**

- Entirely within the current 1% annual chance floodplain, including areas subject to high velocity wave activity
- The area already experiences periodic tidal flooding, a condition likely to worsen with sea level rise
- Limited vehicular access to/from neighborhood
- Zoning was updated in 2017 to limit increasing the population of this highly vulnerable area
- \$48M street and bulkhead raising project

Super moon high tide flooding in Broad Channel





### Flood Risk and Land Use Planning – South Queens

### Peninsula Hospital Site Characteristics

- Private rezoning adopted in Nov. 2019 to support new affordable housing (2,000 units), and commercial and community facility uses
- Entirely within the current 1% annual chance floodplain
- The center of the site will graded up above the 1% annual chance floodplain
- All buildings will be designed to meet or exceed flood resistant construction standards
- The site is located within an established residential area and near critical infrastructure that supports the Rockway Peninsula's population

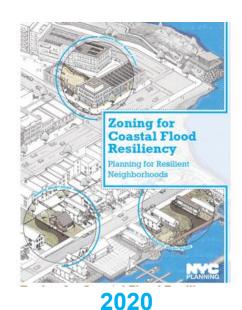
### Rendering of Peninsula Hospital Redevelopment facing south





## **Next Steps**

## Citywide text amendment + local actions



### Zoning for Coastal Flood Resiliency

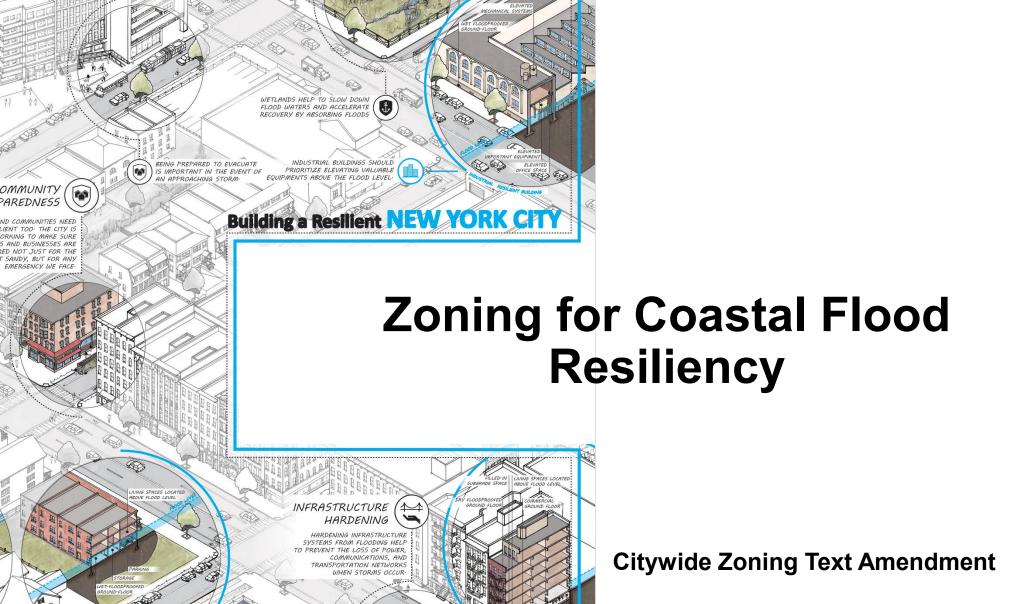
Encourage resiliency throughout the city's current and future floodplains



### **Local Actions**

Resiliency rezonings in Gerritsen Beach and Sheepshead Bay, BK, Old Howard Beach, QN





N210095 ZRY October 19, 2020

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Goal 2. Support long-term resilient design of all building types

Goal 3. Allow for adaptation over time through incremental retrofits

Goal 4. Facilitate future recovery by reducing regulatory obstacles



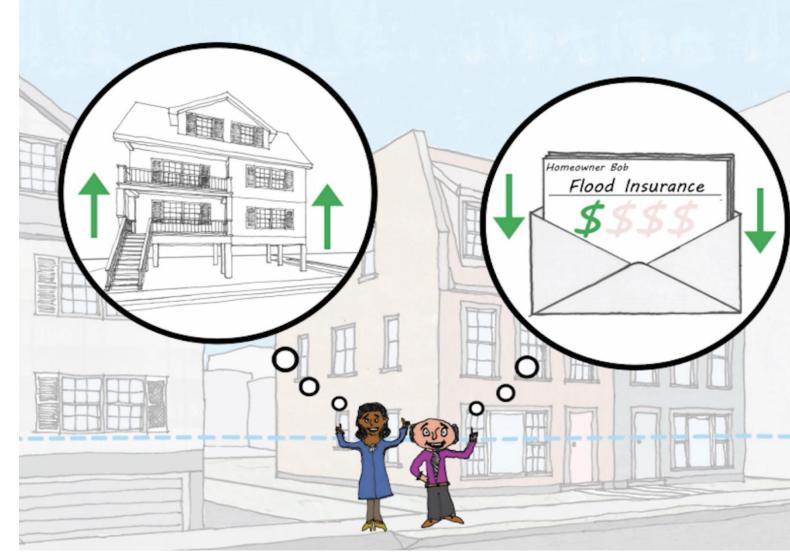


**Overview** 

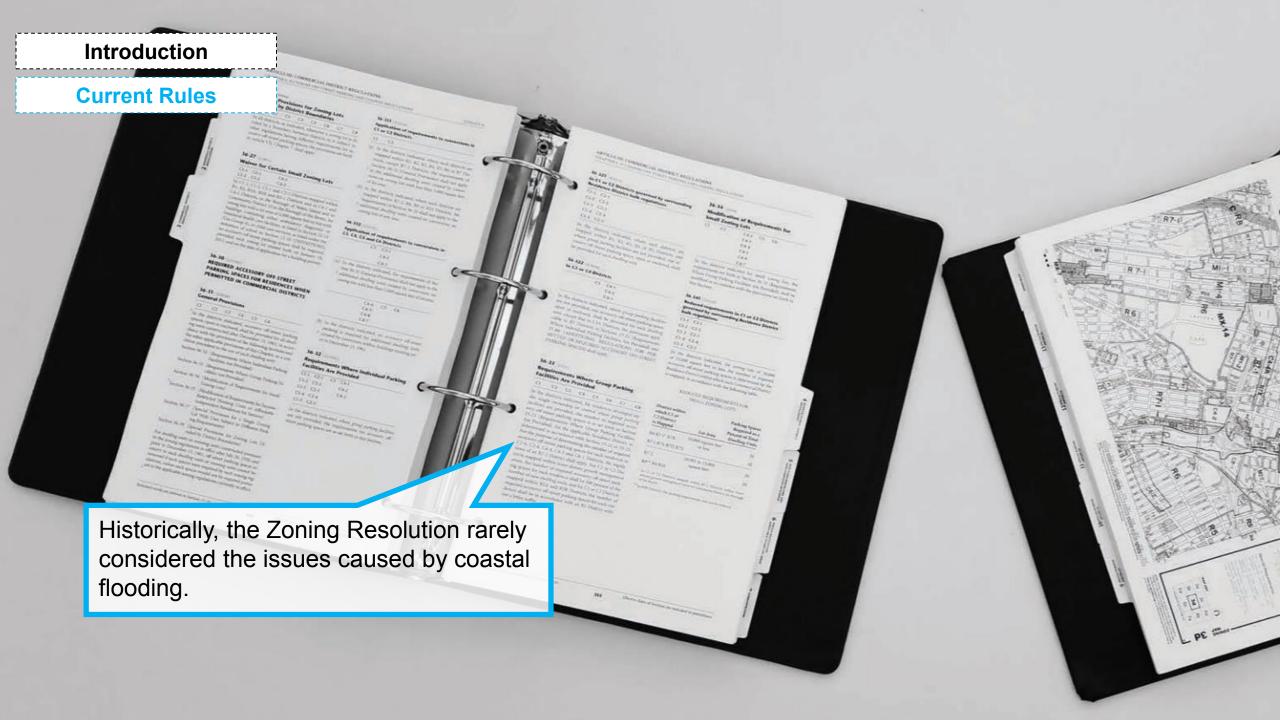
## ZCFR would provide the option to design or otherwise retrofit buildings to:

- Reduce damage from future coastal flood events
- Be resilient in the long-term by accounting for climate change
- Potentially save on long-term flood insurance costs

ZCFR would also set a framework for emergency situations—whether they be like Hurricane Sandy, or COVID-19.







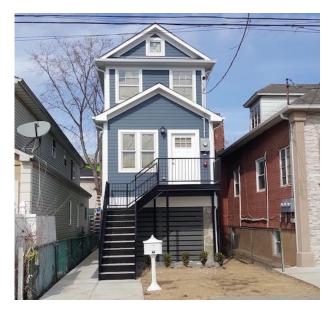


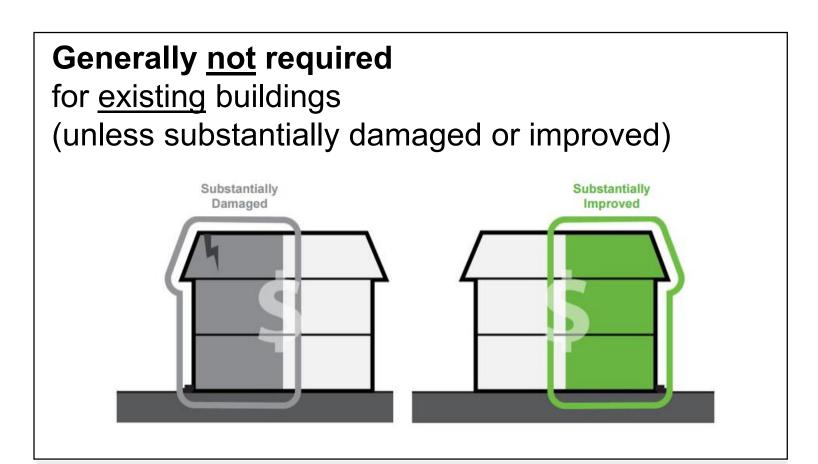
**Current Rules** 

**Building Code** 

Regulations governing flood-resistant construction are located in DOB Appendix G of the NYC Building Code. Compliance with such rules is generally required for new buildings constructed in the flood zone, and for existing buildings that are significantly modified.

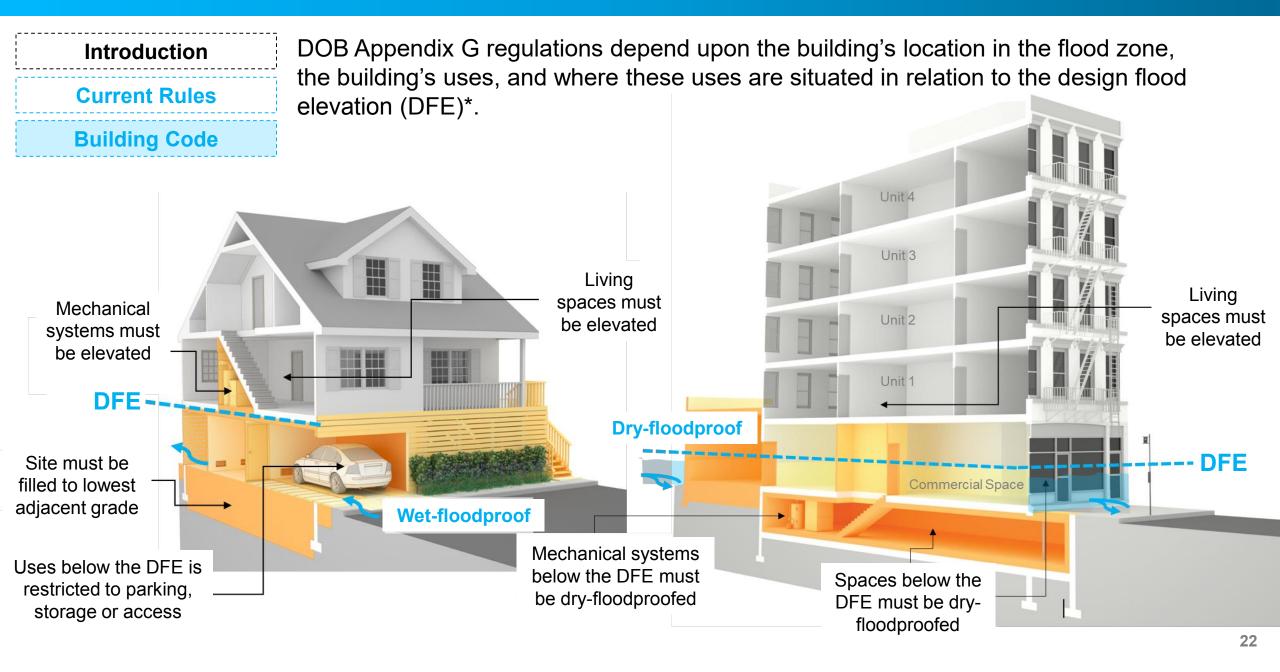
# **Required** for all <u>new</u> buildings





Substantially Damaged: Restoring Cost ≥ 50% Market Value Substantially Improved: Improvement Cost ≥ 50% Market Value







the DFE is determined by adding freeboard (additional height for safety established in Appendix G) to the Base Flood Elevation (BFE), which PLANNING is the elevation to which floodwater is anticipated to rise during a 1% annual chance storm as shown on FEMA's maps.

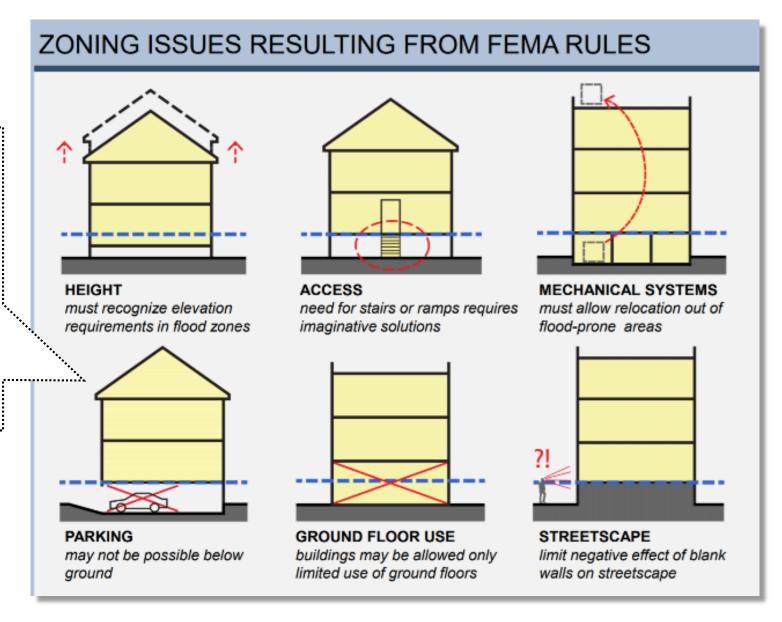
**Current Rules** 

**Zoning Resolution** 

DOB Appendix G regulations created conflicts with zoning regulations that were addressed by the 2013 Flood Text and the 2015 Recovery Text.

# Zoning conflicts that emerged in the ZR, due to Appendix G regulations, included those that govern:

- Locations of uses
- Size and shape of buildings (bulk regulations)
- How buildings interface with the public realm

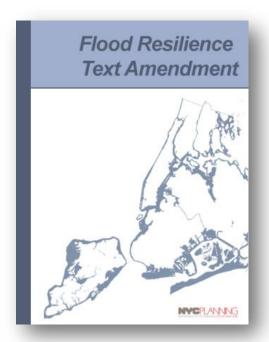




**Current Rules** 

**Zoning Resolution** 

ZCFR builds upon the 2013 Flood Text and the 2015 Recovery Text, which were approved in the aftermath of Hurricane Sandy.



2013 Flood Text
Expires 1 year after the adoption of the new PFIRMs\*



**2015 Recovery Text** Expired on July 23, 2020

- These temporary zoning rules were adopted on an emergency basis and removed many zoning barriers to resilient construction
- However, they are already beginning to expire
- If these rules are not made permanent, it could hinder the protection of existing vulnerable buildings and disincentivize resiliency measures in new construction



**Lessons Learned** 

Aside from the lessons learned through the process of adopting the 2 text amendments, DCP also conducted rigorous analyses to understand local issues that communities were facing to recover from Sandy and how different building types could be made resilient.

2012 Hurricane Sandy

## **Zoning Text** (emergency basis)



### Research, Technical Analysis, and Initial Outreach

Retrofitting Buildings for Flood Risk

RESILIENT RETAIL

resilient
art spaces



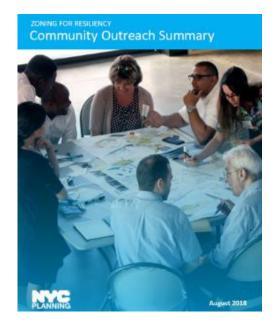
Citywide Studies (2014-2018)

2013 Flood Text 2015 Recovery Text Neighborhood Studies (2014-2017)



**Lessons Learned** 

Broader engagement on a citywide level was also conducted to understand what zoning barriers floodplain communities were still facing

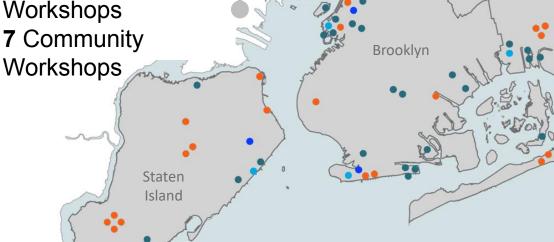


**Community Outreach** (Published in 2018)

We have briefed close to **3,000** stakeholders at more than 225 events since August 2016.

- **15** Council Members
- **5** Borough Presidents & Borough Boards
- **35** Community Boards
- **16** Civic Associations

- **14** Non-Profits
- **49** Other Public **Events**
- **6** Architect Workshops
- **7** Community



**Public Presentations** 

Groups

**Technical** 

**Public** 

Workshops

Borough/CBs

Civic/Community

The Bronx

Queens

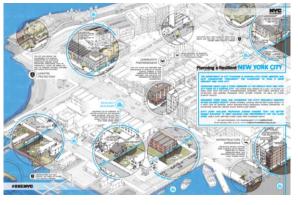


**Lessons Learned** 

Several types of materials were also distributed across the floodplain, to share information about the city's flood risk, flood insurance requirements, building code, and zoning regulations.









### Video

- Advertised on LinkNYC, Facebook, Bus Shelters, and 311
- Mailed announcement to 100 stakeholders

### **Info Briefs**

- Distributed at events
- Available in 7 languages
- Cover four topics: flood resilience zoning, flood resilient construction, flood risk in NYC, and flood insurance

### Poster

- Distributed at events
- Mailed to 300 stakeholders

### **Workshop materials**

- Used in several community workshops
- Approximately 150-200 posters were collected



**Lessons Learned** 

Community workshops helped in identifying community and individual needs regarding making buildings safe from flooding.

## The goal of the engagement was to:

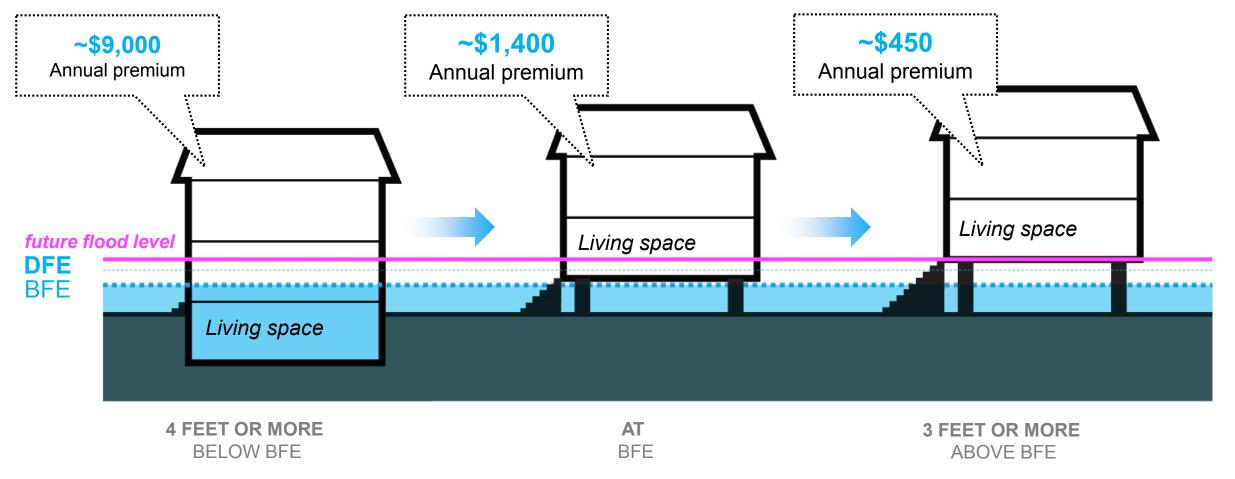
- Educate the community on flood risk and the suite of regulations that are required and available to them in the flood zone
- Learn from homeowners and the technical community how the zoning rules could be improved





**Lessons Learned** 

Current zoning rules, especially regarding how height is measured in the floodplain, were not enough in assisting residents relocate all living spaces above the DFE or elevate the building's lowest floor above Sandy's inundation height or above the future flood level.





**Lessons Learned** 

Current zoning rules are predominantly focused on low-density residential areas and they less effectively address the wider variety of conditions found in the city's floodplain, leading to inequitable outcomes.









Many regulations focused on low-density detached homes which can be more easily retrofitted (i.e., they can be elevated)

Attached homes and multi-family buildings were not adequately addressed, since they must evacuate spaces below the DFE and relocate them on top of the structure

Businesses were also not adequately addressed either, as they heavily rely on cellars for operations and need high visibility from sidewalks to be viable



**Lessons Learned** 

Current zoning rules are predominantly focused on low-density residential areas and they less effectively address the wider variety of conditions found in the city's floodplain, leading to inequitable outcomes.







Certain older neighborhoods and building types did not get additional relief to also have pathways towards resiliency Additional height and floor area exemptions varied by the flood level, leading to unintended outcomes, sometimes even along the same street

Streetscape regulations had inconsistent applicability, particularly in medium- and high-density districts



**Lessons Learned** 

Lastly, rules need to be able to be made applicable quickly after a disaster strikes. Also, disasters may take many forms and lead to different types of damage.





Coastal storms like Hurricane Sandy can lead to damage to the physical environment Disaster such as pandemics, could pose economic challenges to the city overall





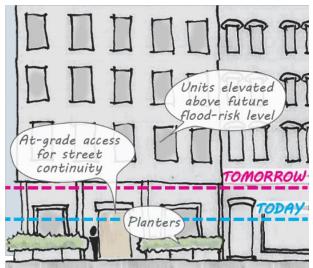
### **Proposal**

**Overview** 

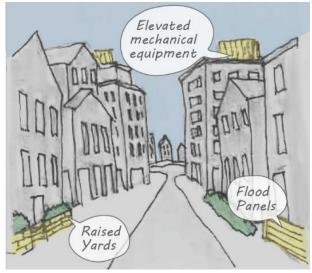
ZCFR would provide permanent relief to floodplain properties, focusing on long-term resiliency by removing impediments for buildings to exceed minimum flood-resistant construction requirements, and by allowing neighborhoods to be adapted over time.



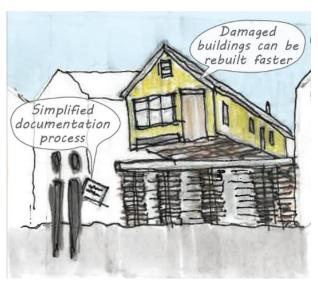




Support long-term resilient design of all building types



Allow for adaptation over time through incremental retrofits



Facilitate future recovery by reducing regulatory obstacles

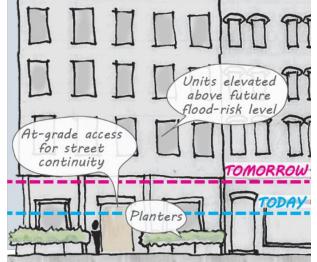


### **Proposal**

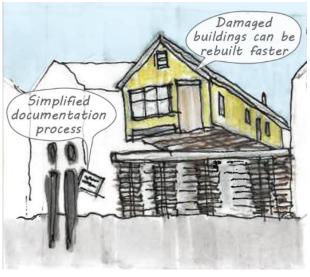
**Overview** 

ZCFR would provide permanent relief to floodplain properties, focusing on long-term resiliency by removing impediments for buildings to exceed minimum flood-resistant construction requirements, and by allowing neighborhoods to be adapted over time.









# Encourage resiliency throughout the current and future floodplains

Applicability

# Support long-term resilient design of all building types

- Building Envelope
- Ground Floors
- Streetscapes
- Special Conditions
- Discretionary Actions

# Allow for adaptation over time through incremental retrofits

- Mechanical equipment
- Support Spaces
- ☐ Flood Protection Measures
- Waterfront Sites

# Facilitate future recovery by reducing regulatory obstacles

- Power Systems
- Accessibility
- Vulnerable Populations
- Disaster Recovery





## Goal 1

Encourage resiliency throughout the current and future floodplains

Applicability.....

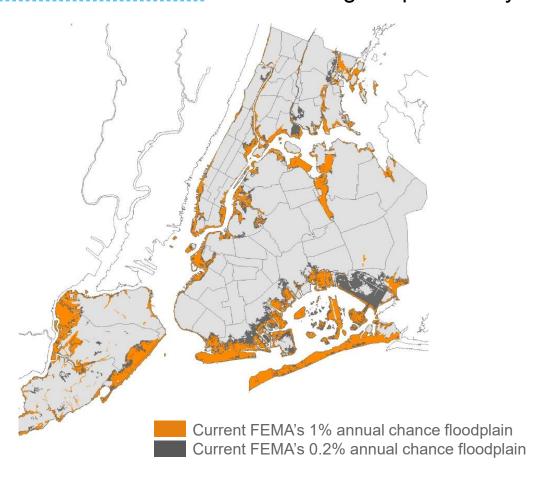




#### **Applicability**

**Expanding beyond 1%** 

By expanding the availability of optional floodplain regulations to beyond the areas at high risk of being flooded, more building owners would be able to design or retrofit their buildings to proactively meet flood-resistant construction standards

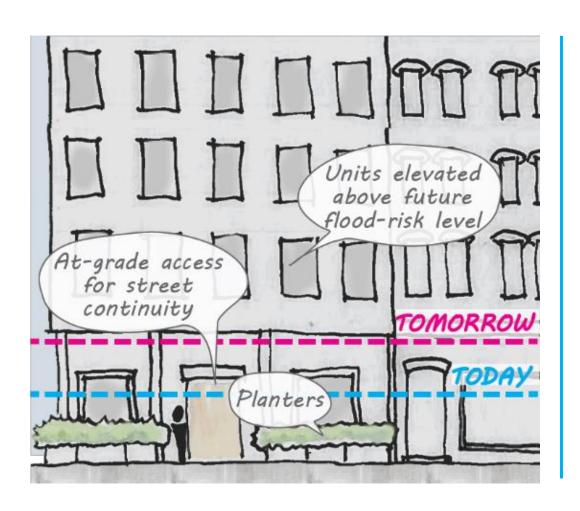


Updated Item Current FEMA's 1% annual chance floodplain and Current FEMA's 0.2% annual chance floodplain

**2013 Flood Text:** applies to the 1% annual chance floodplain

Proposed Rule: applies to both the 1% and 0.2% annual chance floodplains





### Goal 2

Support long-term resilient design of all building types

Building Envelope
Ground Floors
Streetscapes
Special Conditions
Discretionary Actions

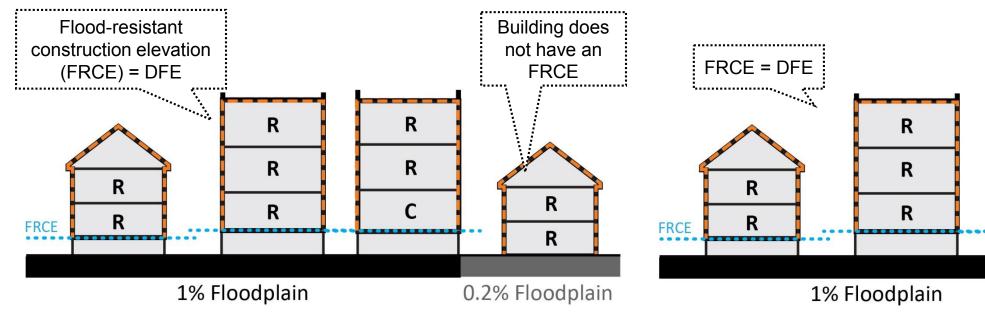


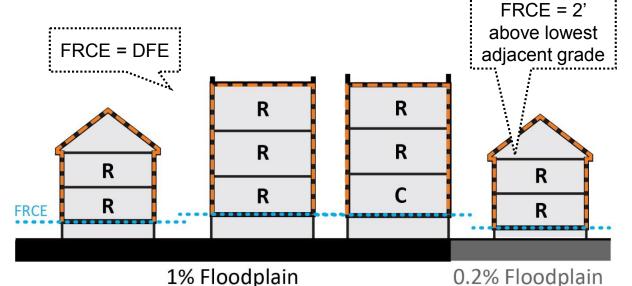


**FRCE** 

Additional height would continue to be given to allow building owners to meet the requirements set by FEMA and Appendix G of NYC's Building Code for floodresistant construction, even when these are not required.

Updated Item





**2013 Flood Text:** allows building height to be measured from the FRCE

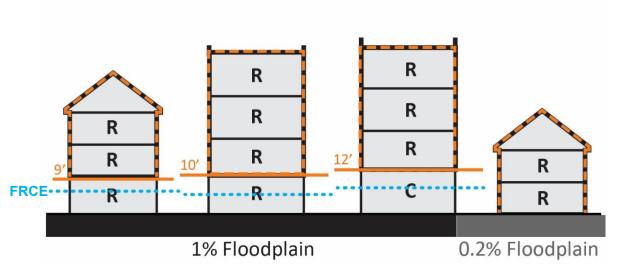
Proposed Rule: continues to allow building height to be measured from the FRCE

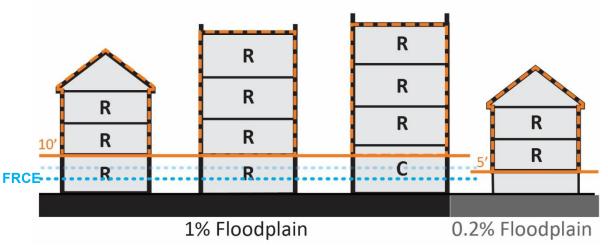


**Reference Plane** 

A consistent framework for additional building height would encourage building owners to address long-term climate change, and allow for lower insurance costs and usable spaces at grade.

Updated Item





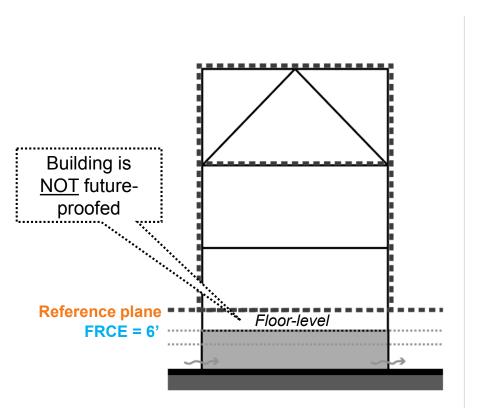
**2013 Flood Text:** allows building height to be measured from a reference plane located at 9', 10' or 12' depending on the building's use and zoning district

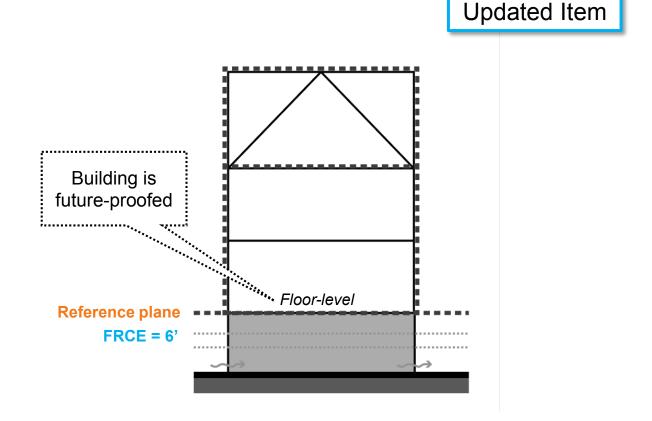
**Proposed Rule:** allows building height to be measured from a Reference Plane located at **max 10' or 5' above grade** (in the 1% and 0.2% floodplains, respectively)



**Reference Plane** 

To ensure that the additional height is tied to actual resiliency improvements, the building's first story above the level of protection would have to be located at or above the chosen Reference Plane.





**2013 Flood Text:** allows building height to be measured from a higher reference plane but does not require the first occupiable floor to be placed at or above such level

Proposed Rule: allows building height to be measured from a higher reference plane while ensuring that the floor is placed at or above such level



**Reference Plane** 

**Illustrative Examples** 

Elevated to the minimum requirement, therefore height starts from the FRCE

# Flood-resistant Construction Elevation (FRCE)

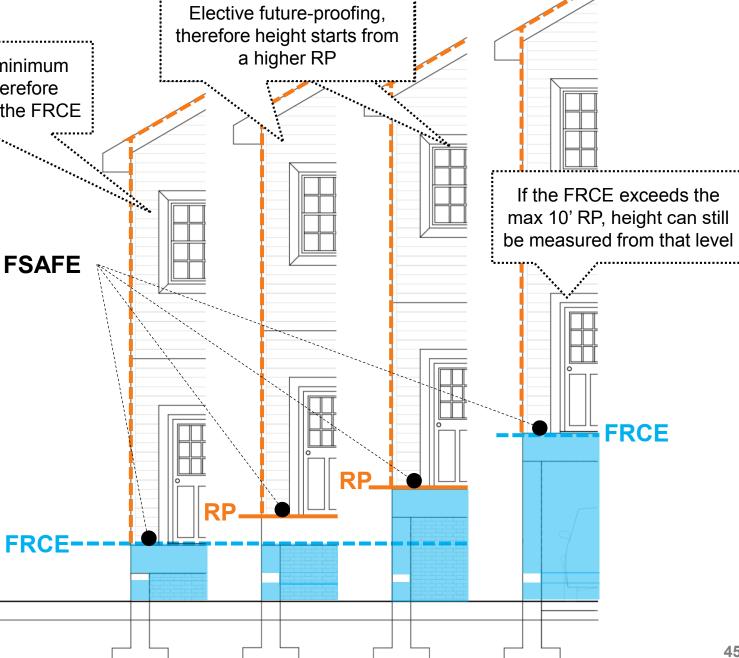
Minimum level required for elevation / floodproofing

# First Story Above Flood Elevation (FSAFE)

The level of the first floor located at or above the flood elevation (FRCE)

# Reference Plane (RP)

Level from which the zoning envelope can be measured



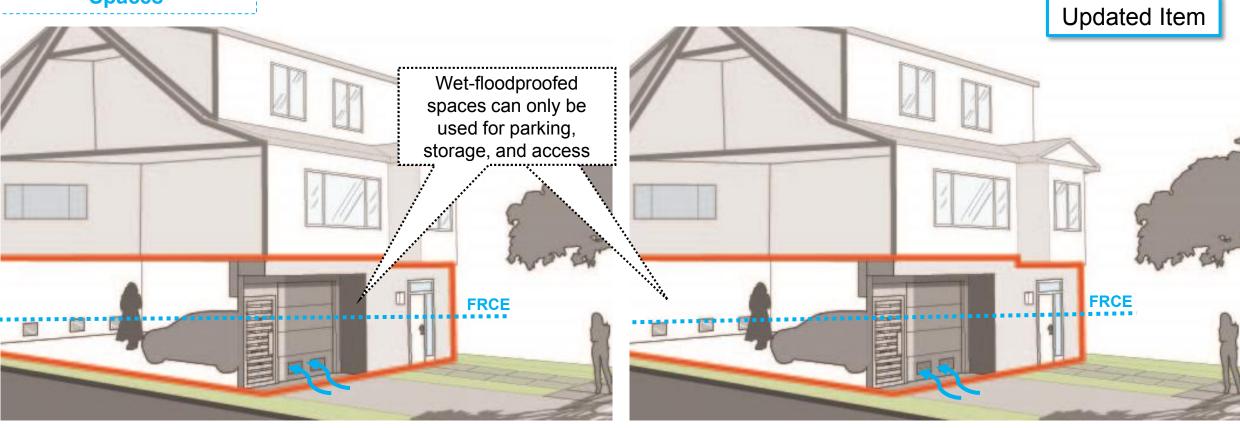




#### **Ground Floors**

Wet-floodproofed Spaces

A more consistent floor area exemption for wet-floodproofed spaces in all buildings would help promote long-term resiliency improvements and useful ground floors.



**2013 Flood Text:** allows existing buildings to exempt wet-floodproofed spaces from floor area

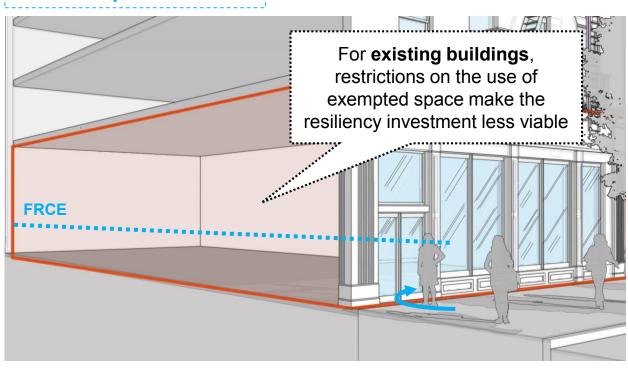
Proposed Rule: allows new and existing buildings to exempt wet-floodproofed spaces from floor area

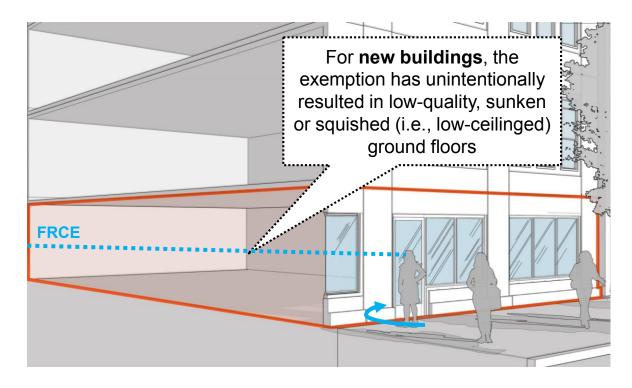


#### **Ground Floors**

Dry-floodproofed Spaces

A floor area exemption for dry-floodproofed spaces along the retail corridors would encourage active uses to be kept at the street level, promoting a safe and lively pedestrian environment.



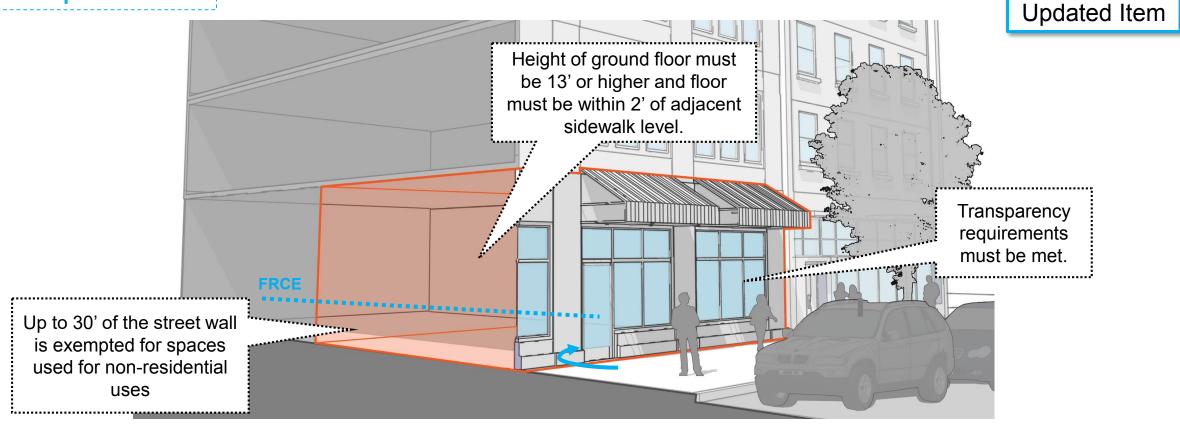


**2013 Flood Text:** allows the entire ground floor to be exempted in existing buildings (with certain limitations), and for new buildings, only cellar space is exempted (as measured from the FRCE, not the base plane)

#### **Ground Floors**

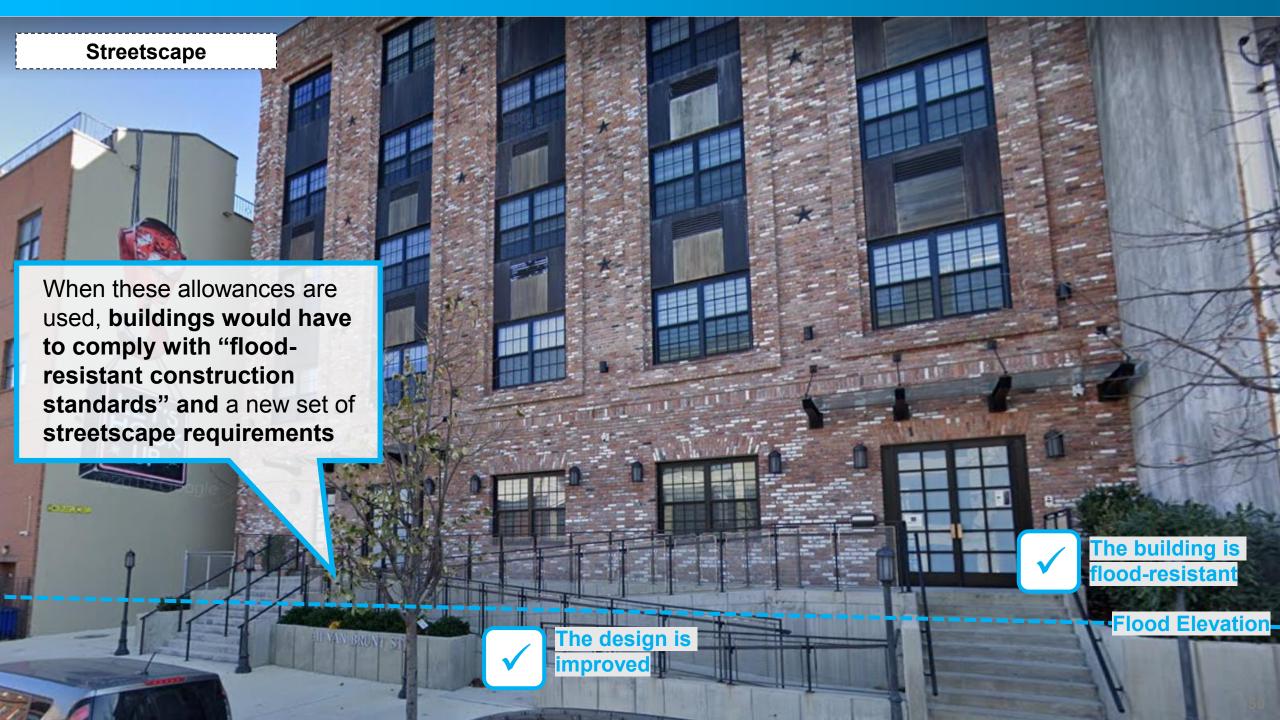
Dry-floodproofed Spaces

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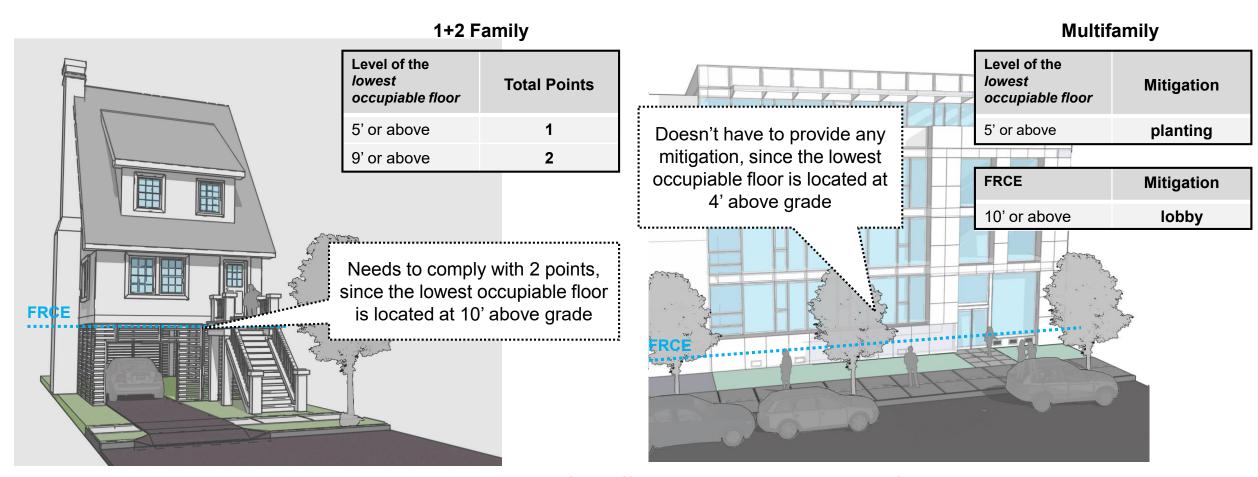
Proposed Rule: allows a portion of the ground-floor to be exempted without regard to the FRCE level for **new and existing buildings**, provided that certain design conditions are met (spaces will <u>not</u> be considered "cellars")





**Point System** 

More consistent streetscape requirements and greater design options will ensure that buildings contribute to their surroundings while reflecting the variety of neighborhoods in the floodplain.

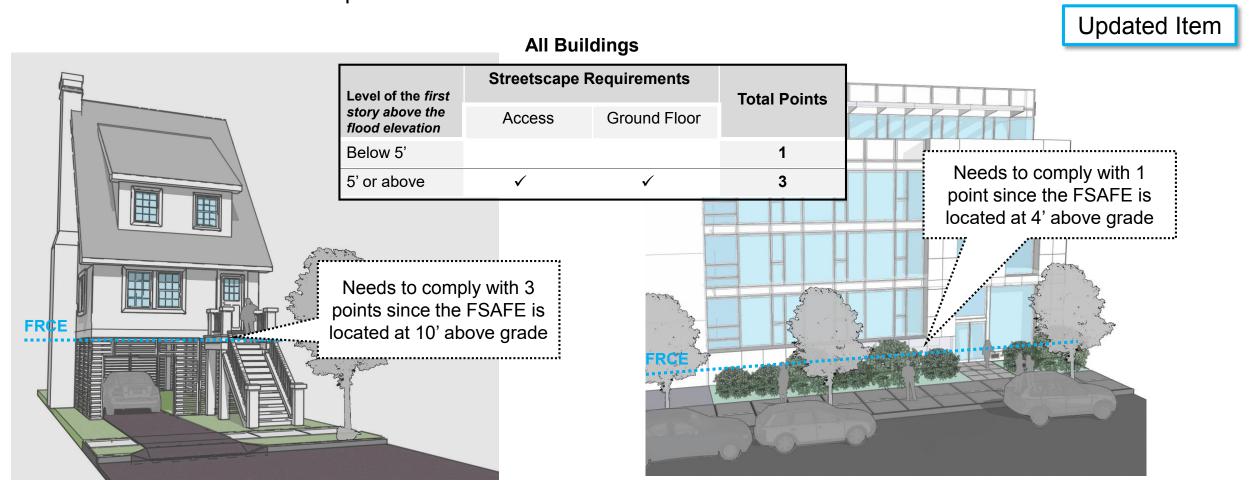


**2013 Flood Text:** provides inconsistent thresholds for different building types and few design options to help mitigate potential blank walls



**Point System** 

More consistent streetscape requirements and greater design options will ensure that buildings contribute to their surroundings while reflecting the variety of neighborhoods in the floodplain.



Proposed Rule: provides consistent thresholds for all building types and a wider range of design options to help mitigate potential blank walls and elevated access



**Mitigation Options** 

More consistent streetscape requirements and greater design options will ensure that buildings contribute to their surroundings while reflecting the variety of neighborhoods in the floodplain.









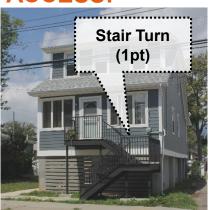


**2013 Flood Text:** provides design options for 1&2 family homes and requires certain design elements for other building types

**Mitigation Options** 

More consistent streetscape requirements and greater design options will ensure that buildings contribute to their surroundings while reflecting the variety of neighborhoods in the floodplain.

ACCESS:













Updated Item

**GROUND FLOOR LEVEL:** 











**Entrance** 



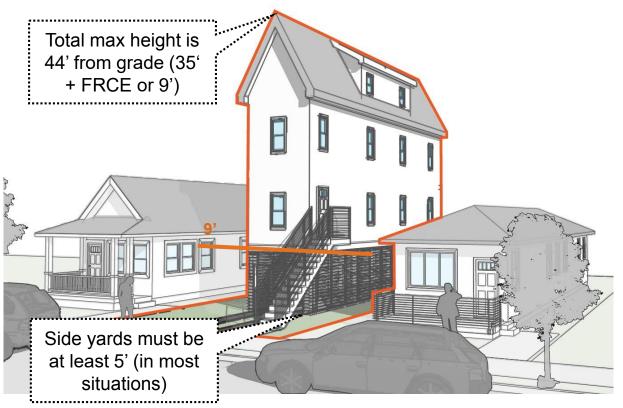
Proposed Rule: provides design options for different building types



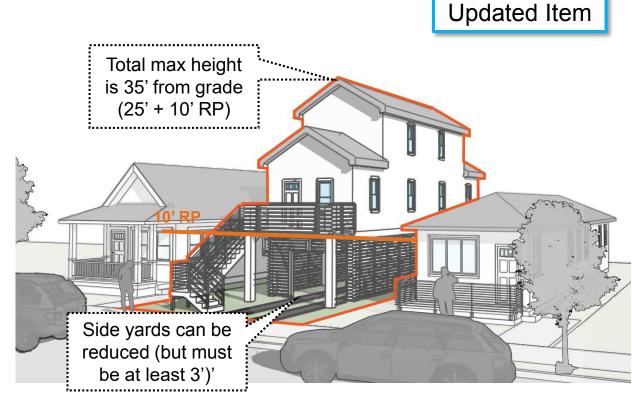


**Cottage Envelope** 

The popular set of rules for small lots known as the "cottage envelope" would continue to enable construction and retrofits of resilient homes that better match their surroundings and are able to accommodate better layouts.



2013 Flood Text: does not provide additional relief for small zoning lots beyond underlying allowances 2015 Recovery Text: created the "cottage envelope", offering broader side and rear yard reductions in exchange for a shorter height above the FRCE

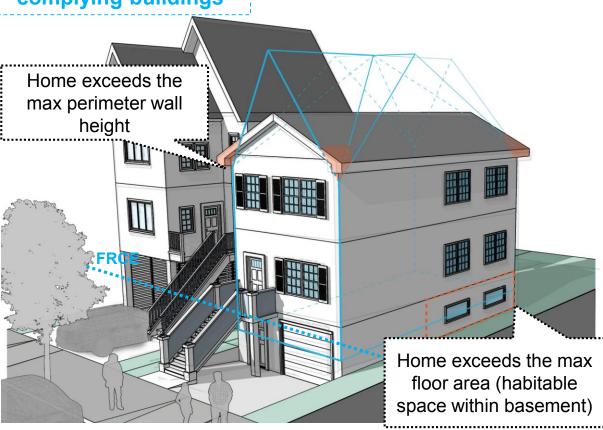


Proposed Rule: continues to allow the "cottage envelope" provisions including an allowance for buildings to match their neighboring front yard, in exchange for a shorter height above the RP

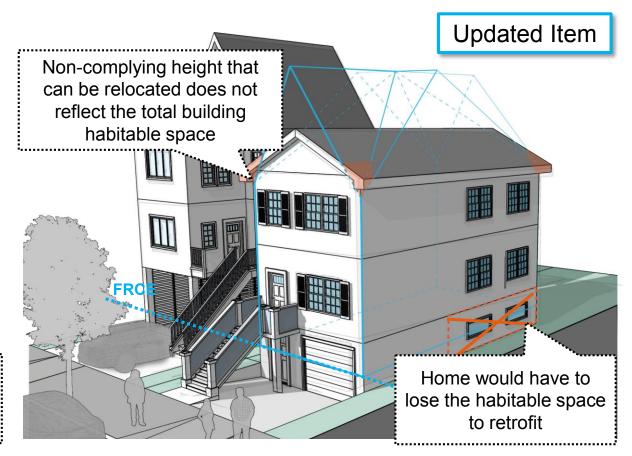


Allowances for retrofitting or reconstructing non-complying buildings will enable resiliency for the large number of buildings that do not adhere to the current rules.

**Existing Non- complying buildings** 



**Underlying Text:** doesn't allow these buildings to increase the degree of non-compliance, even when they are required to be raised for resiliency purposes



**2013 Flood Text:** allows these buildings to be retrofitted or reconstructed by raising the lowest habitable floor located above grade to the FRCE (and increase non-compliances)

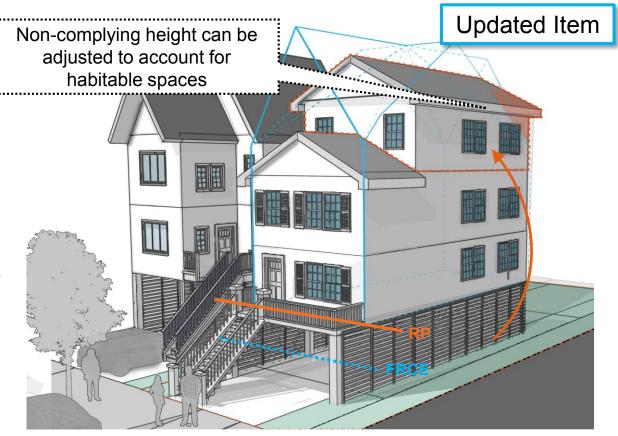


Allowances for retrofitting or reconstructing non-complying buildings will enable resiliency for the large number of buildings that do not adhere to the current rules.

**Existing Non- complying buildings** 

Non-complying height that can be relocated does not reflect the total building habitable space Home would have to lose the habitable space to retrofit

**2013 Flood Text:** allows these buildings to be retrofitted or reconstructed by raising the lowest habitable floor located above grade to the FRCE (and increase non-compliances)

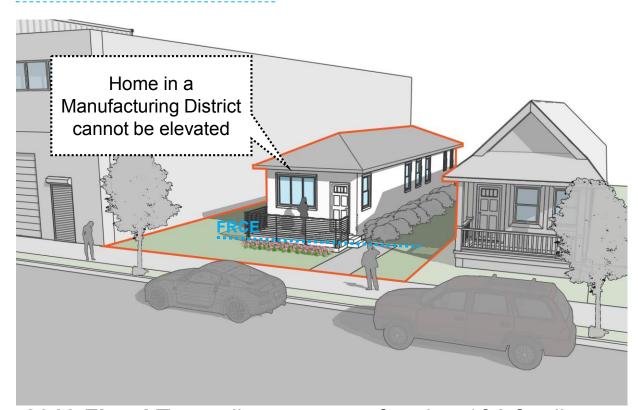


Proposed Rule: allows these buildings to be retrofitted or reconstructed by relocating the lowest habitable floor to the FRCE or RP, provided it complies with certain parameters that control new non-compliances



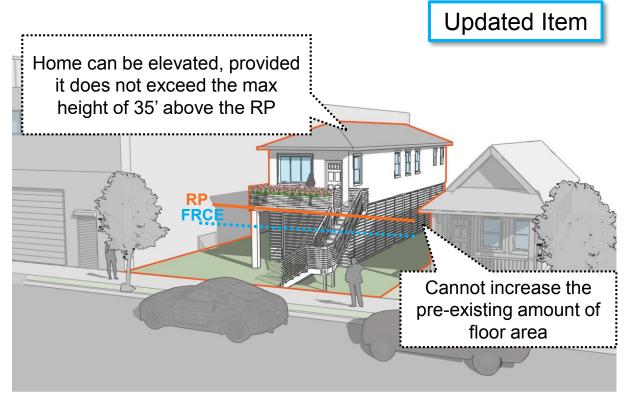
Allowances for retrofitting or reconstructing buildings with non-conforming uses would enable resiliency for the large number of buildings that do not adhere to the current rules.

**Non-Conforming** 



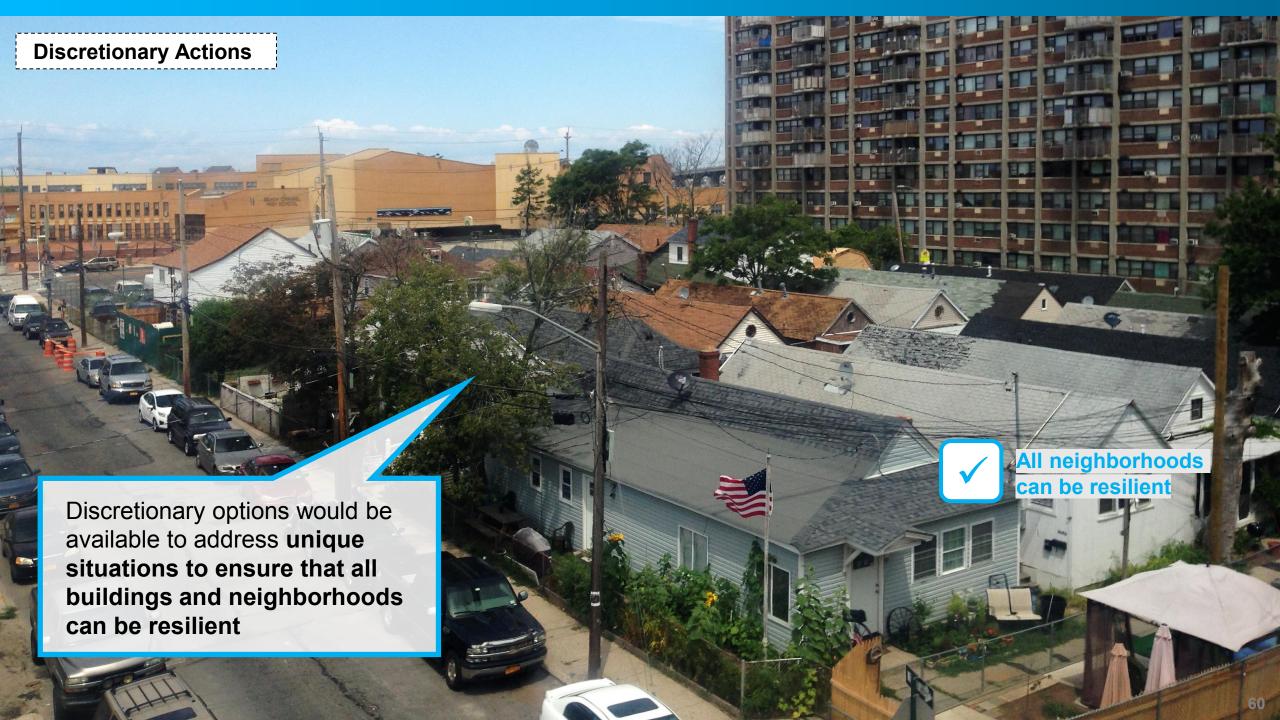
**2013 Flood Text:** allows non-conforming 1&2 family homes (except homes in M or C8 Districts) to be reconstructed to the FRCE.

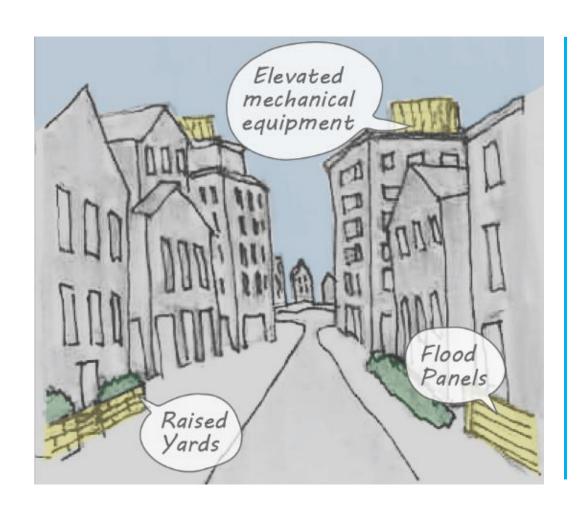
**2015 Recovery Text:** allows homes in M Districts to be elevated and reconstructed.



Proposed Rule: allows more types of buildings with non-conforming uses (including residences in M or C8 Districts) to be **retrofitted** or reconstructed (if within residential clusters) to the RP.







## Goal 3

# Allow for adaptation over time through incremental retrofits

Mechanical Equipment
Support Spaces
Flood Protection Measures
Waterfront Sites



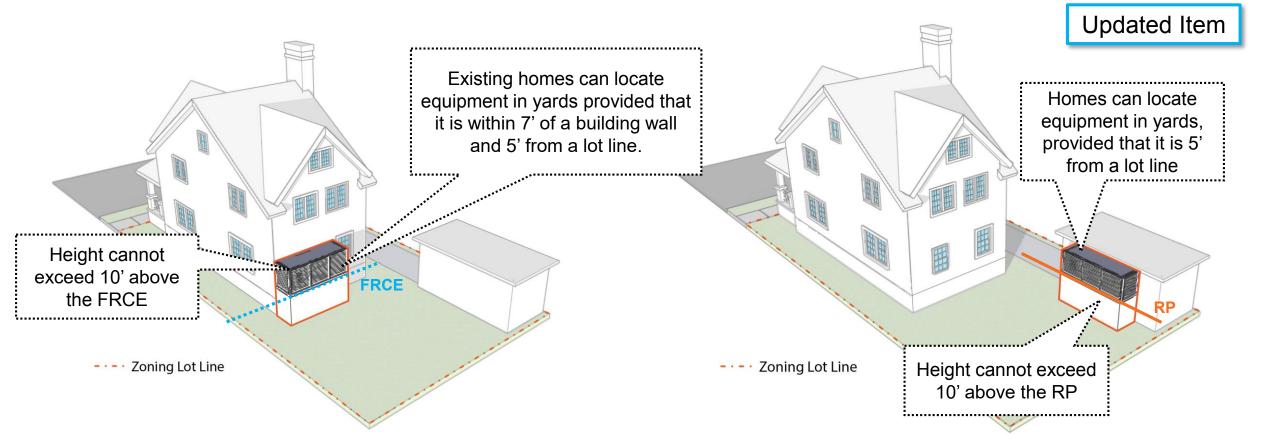


Building owners that face regulatory obstacles or cost constraints to make their buildings fully comply with flood-resistant construction standards would still have the option to make them more resilient

#### **Mechanical Equipment**

**Open areas** 

Permitted obstruction allowances would enable the placement of mechanical equipment above flood levels outside of buildings to address situations where space is constrained, or when structures cannot sustain additional loads.



**2013 Flood Text:** allows mechanical equipment to be located within open areas, provided that it is screened, and that location and height limitations are met

Proposed Rule: allows additional flexibility for mechanical equipment to be located within open areas, provided that it is enclosed, and that coverage and height limitations are met

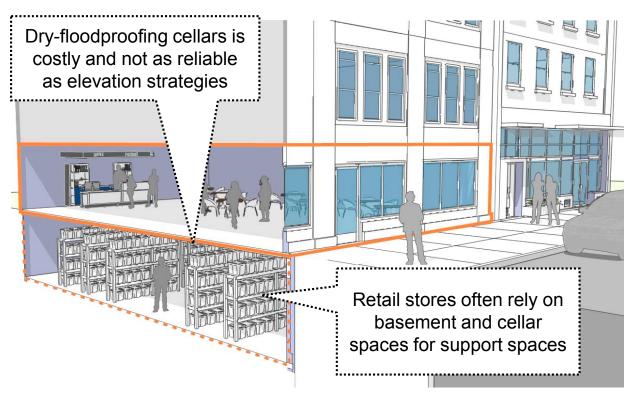




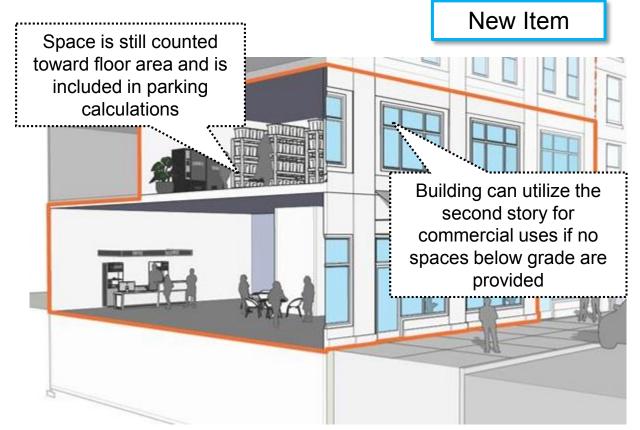
#### **Support Spaces**

**Ground Floors: 2<sup>nd</sup> story commercial** 

Modified use regulations would give businesses the opportunity to move critical business functions, such as storage and inventory, out of basements or cellars to above the flood level, improving the long-term resiliency of commercial corridors.



**Underlying Text:** limits commercial uses to the groundfloor in mixed-use buildings located within in low- and medium-density commercial corridors



Proposed Rule: allows commercial uses on the second story of mixed-use buildings in all commercial corridors







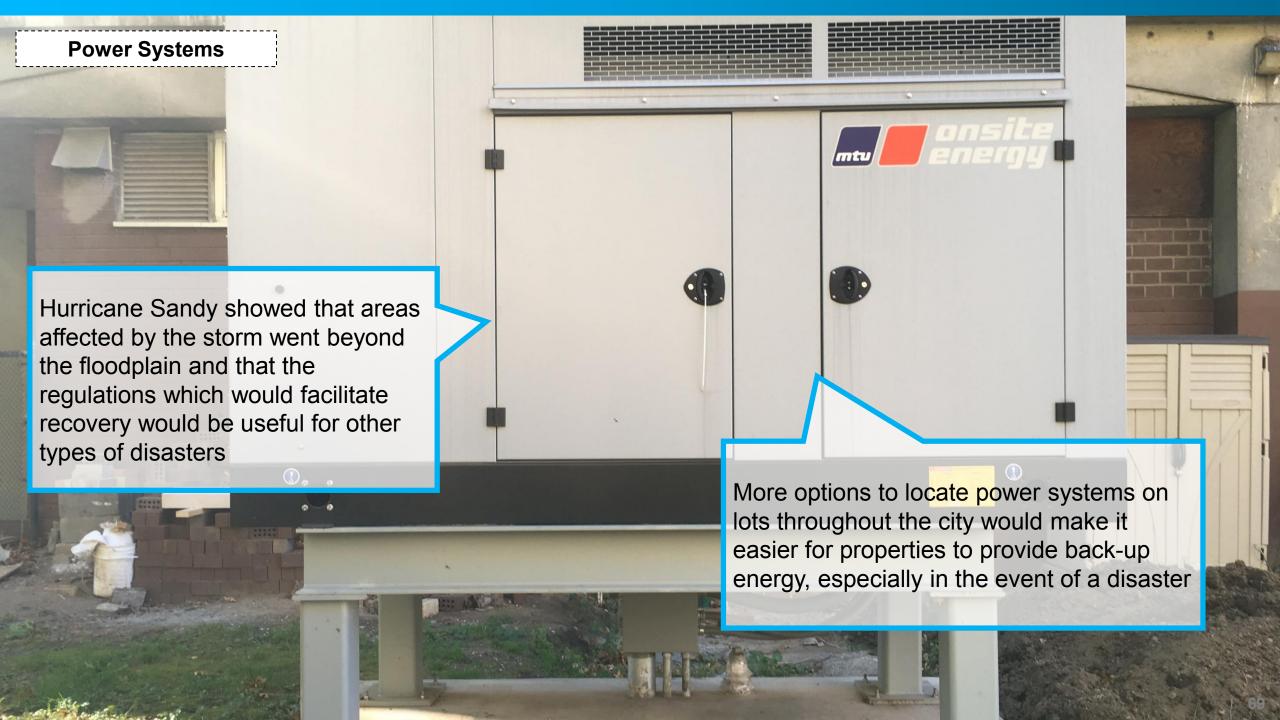


# Goal 4

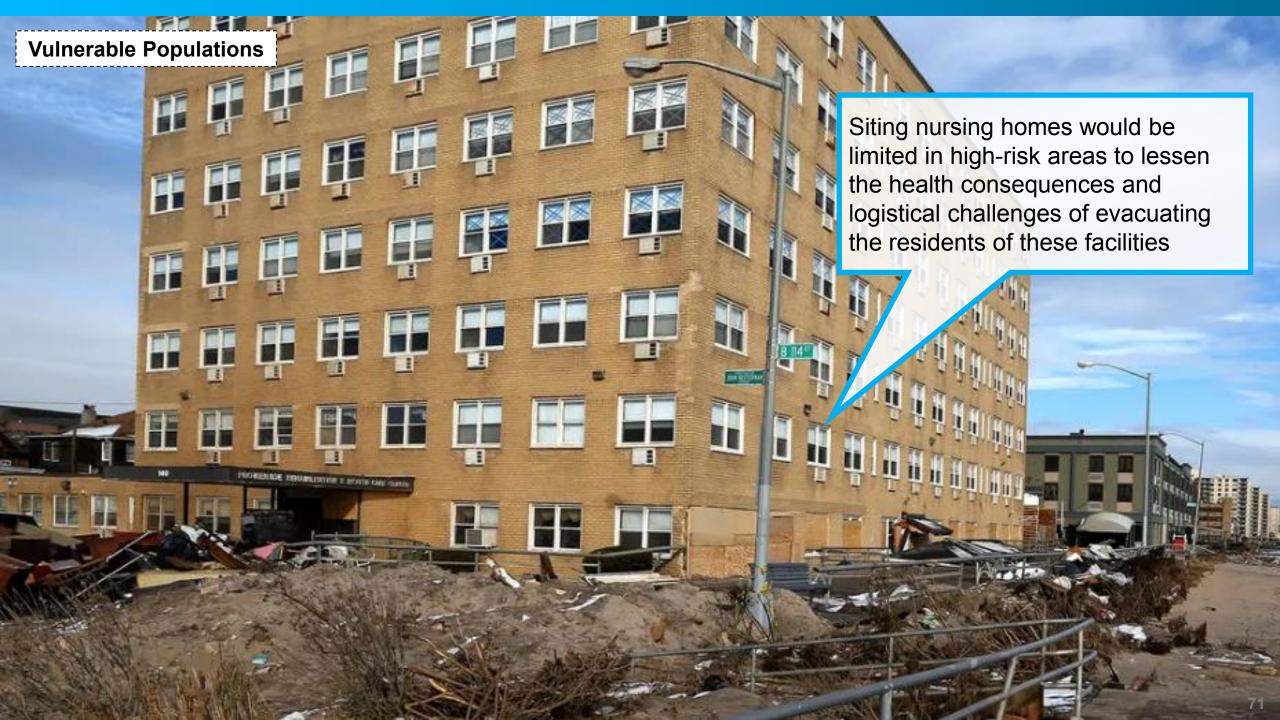
# Facilitate future recovery by reducing regulatory obstacles

Power Systems
Accessibility
Vulnerable Populations
Disaster Recovery









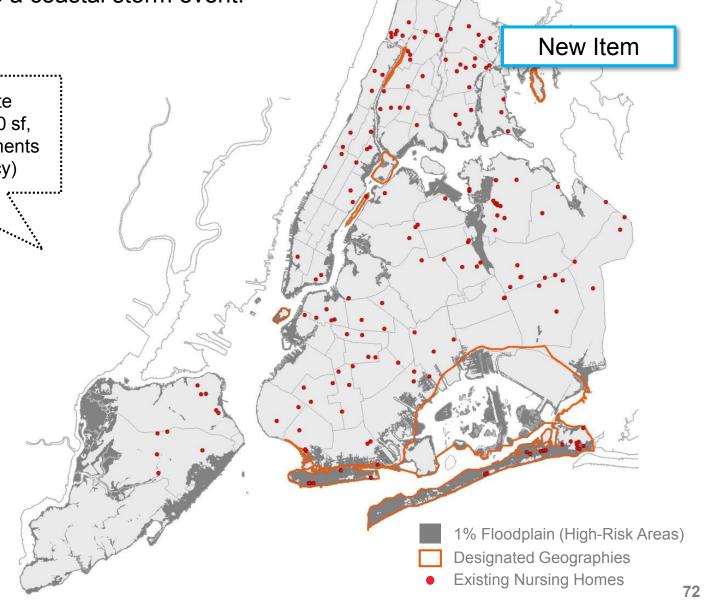
#### **Vulnerable Populations**

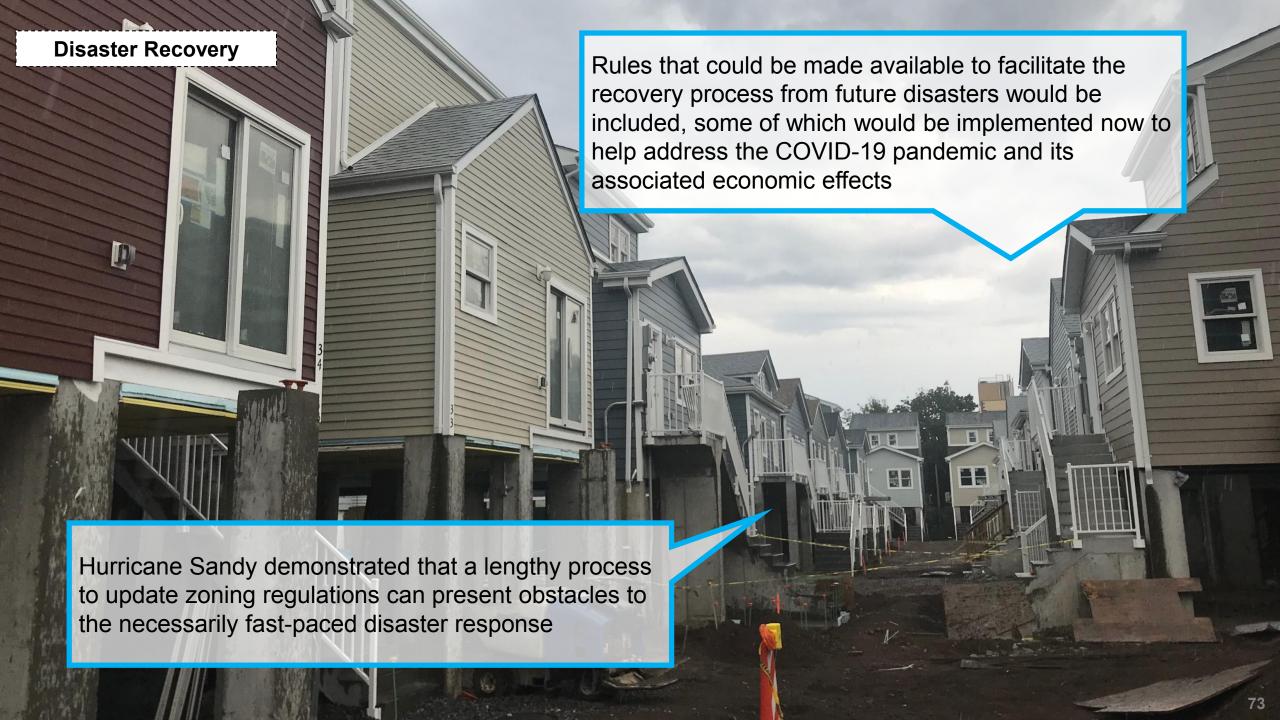
Use restrictions would limit the exposure of nursing home residents to areas at high risk of flooding since these populations are particularly vulnerable, whether they shelter in place or evacuate prior to a coastal storm event.

Existing facilities can create enlargements of up to 15,000 sf, allowing for modest improvements (including building resiliency)

Proposed Rule: prohibits new nursing homes and restrict the enlargement of existing facilities within the 1% annual chance floodplain and selected areas where vehicular access would likely become limited during a disaster.



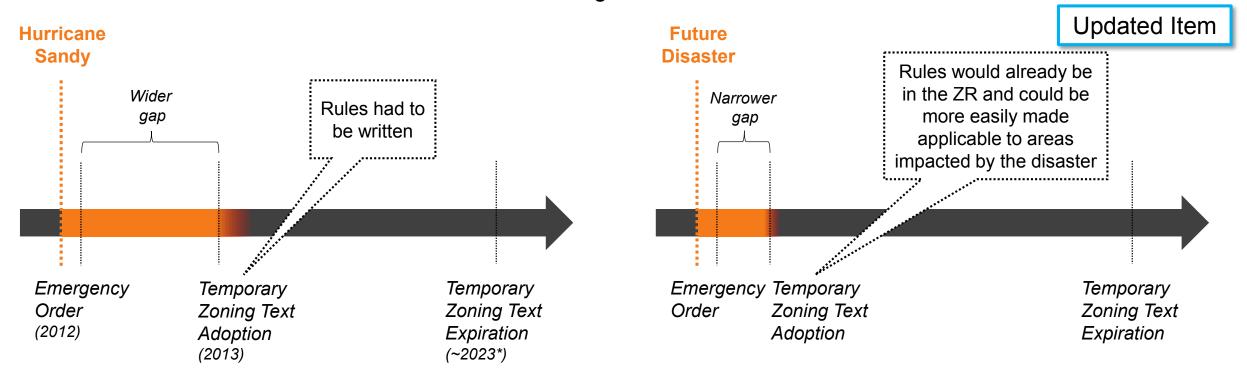




#### **Disaster Recovery**

**Framework** 

A series of disaster recovery provisions that could be made available through a text amendment when a disaster occurs would offer a roadmap for the public, planners, and decisionmakers when working to recover from a disaster.



<sup>\*</sup>original assumption: 2014

**2013 Flood Text:** recovery provisions had to be developed soon after Hurricane Sandy, taking certain time to be adopted

Proposed Rule: recovery provisions would be placed in the Zoning Resolution today, so they can be quickly selected post-disaster based on the issues caused by such event and the necessary time period for recovery



#### **Disaster Recovery**

**Types of Rules** 

Recovery provisions would include rules that could facilitate the recovery process from disasters which cause physical impacts (e.g., hurricanes), and a wider range of disasters that do not necessarily lead to physical damage (e.g., pandemics).

Updated Item

- Damage & Destruction Provisions
- Bulk Modifications
- ☐ Tax Lots as Zoning Lots
- Documentation Allowances

- □ Damage & Destruction Provisions
- Bulk Modifications
- ☐ Tax Lots as Zoning Lots
- Documentation Allowances
- □ Construction Timeframes
- ☐ CPC Special Permits/Authorizations Timeframes
- ☐ Discontinuance of non-conforming uses
- □ Temporary Uses

**2013 Flood Text and 2015 Recovery Text:** allowed Sandy-damaged buildings (i.e. most non-conforming and non-complying) to be rebuilt and additional relief to expedite recovery (such as documentation allowances)

Proposed Rule: builds upon this set of provisions and include additional rules such as allowing non-conforming uses to be discontinued for a longer period of time



#### **Disaster Recovery**

COVID-19

Selected rules would be triggered to provide a more predictable, long-term method to administer the pandemic relief.

New Item

These allowances will cease when the EO expires and is not renewed, or when the state of emergency ends



Damage & Destruction Provisions

■ Bulk Modifications

☐ Tax Lots as Zoning Lots

Documentation Allowances

□ Construction Timeframes

☑ CPC Special Permits/Authorizations Timeframes

Discontinuance of certain non-conforming uses

□ Temporary Uses

**Mayor's Executive Order:** provides short-term relief from regulations, including relief from construction timeframe rules and non-conforming use provisions

Proposed Rule: puts these two provisions into effect throughout the city for a period of two years, consistent with the general intent of the disaster recovery rules and the Executive Order



#### **Environmental Review**

**EIS** 

A Draft Generic Environmental Impact Statement (DGEIS) was conducted with the Department of City Planning (DCP) acting on behalf of the City Planning Commission (CPC) as the Lead Agency

A Notice of Completion of a Draft Generic Environmental Impact Statement (DGEIS) was issued on October 16, 2020.



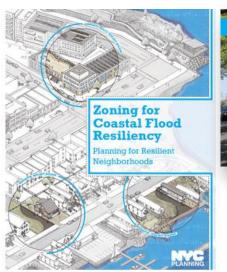
The DGEIS did not identify any significant adverse impacts



#### **Next Steps**

**Public Review** 

The citywide text amendment is being proposed to follow the ULURP clock, in parallel with the three local actions (presentation to follow) to simplify the review process.





### **Zoning for Coastal Flood Resiliency**

Citywide Text Amendment

## Local Actions

Gerritsen Beach, BK Sheepshead Bay, BK Old Howard Beach, QN

Community Boards (60 days)

Borough Presidents & Boards (30 days)

City Planning Commission (60 days) City Council Mayor (50 days) (5 days)

